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Computational Study of Kinematics of the Anterior Cruciate Ligament Double-Bundle Structure during Passive Knee Flexion–Extension

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1 Abstract

The anterior cruciate ligament (ACL) comprises an anteromedial bun-2 dle (AMB) and posterolateral bundle (PLB). Cadaver studies showed that 3 this double-bundle structure exhibits reciprocal function during passive knee flexion–extension, with the PLB taut in knee extension and the AMB taut 5 in knee flexion. In vivo measurements indicated that straight-line lengths of 6 both bundles decrease with increasing knee-flexion angle (KFA). To inter-7 pret these seemingly conflicting facts, we developed a computational ACL 8 model simulating the kinematics of the double-bundle structure during pas-9 sive knee flexion–extension. Tibial and femoral shapes were reconstructed 10 from computed-tomography images of a cadaver knee and used to construct 11 an idealized model of an ACL including its bundles at the tibiofemoral joint. 12 The ACL deformations at various KFAs were computed by finite element 13 analysis. Results showed that the PLB was stretched in knee extension (KFA 14 $= 0^{\circ}$) and slackened with increasing KFA. The AMB was stretched in knee 15 extension (KFA = 0°) and remained stretched on the medial side when the 16 knee flexed (KFA = 90°), but its straight-line length decreased with increas-17 ing KFA. These findings are consistent with cadaver and *in vivo* experimental 18 results and highlight the usefulness of a computational approach for under-19 standing ACL functional anatomy. 20

$_{21}$ keyword

- 22 Anterior Cruciate Ligament, Functional anatomy, Passive knee flexion-
- 23 extension, Double-bundle structure, Finite element method.

24 1. Introduction

The anterior cruciate ligament (ACL) is one of four essential knee-joint ligaments that stabilize the joint, especially in the anterior drawer. ACL injury is one of the most common knee-joint injuries and often occurs without contact [1]. Many biomechanical studies of the ACL from various viewpoints (*e.g.*, functional anatomy, mechanical properties) have been conducted to better understand the mechanism of ACL injuries and to improve ACL reconstruction techniques, as shown in recent review articles [2, 3].

According to existing cadaver studies about ACL functional anatomy 32 [4, 5, 6], the ACL structure can be divided into two fiber bundles located 33 on the anteromedial side (anteromedial bundle; AMB) and the posterolat-34 eral side (posterolateral bundle; PLB). It is commonly believed that this 35 fiber double-bundle structure has a reciprocal relation in that the PLB is 36 tensed in knee extension, and the AMB is tensed in knee flexion. Recent 37 in vivo three-dimensional imaging measurements revealed that the straight-38 line lengths of both bundles were longest at low knee flexion angles (KFAs) 39 and shortened significantly with an increasing KFA. Based on their in vivo 40 experimental results [7, 8, 9, 10], Jordan et al. [8] noted that the function 41 of these two bundles may be better characterized as complementary, rather 42 than reciprocal. 43

To interpret these seemingly conflicting facts and deepen our understanding of the function of the double-bundle structure, we hypothesized that a computational approach to expressing the ACL deformation and kinematics

of the double-bundle structure in the mechanical sense might be a pow-47 erful approach. Various computational ACL models have been proposed 48 [11, 12] following earlier computational three-dimensional (3D) ACL models 40 with anisotropic properties exhibited by the fiber orientation to represent 50 the stress field in the ACL during passive knee flexion–extension [13, 14]. In 51 the past decade, greatly advanced simulations were used in studies that con-52 sidered other ligaments and cartilage as well [15, 16]. However, knowledge 53 about the function of the ACL double-bundle structure is still limited, and 54 there is still great interest in improving the means to reconstruct the ACL 55 after injury [17]. Computational simulation based on existing computational 56 mechanical ACL models and recently gained anatomical knowledge may help 57 us interpret experimental facts and update our understanding of the function 58 of the ACL double-bundle structure. 59

This study therefore aimed to develop a computational ACL model to ex-60 press the kinematics of the ACL double-bundle structure during passive knee 61 flexion–extension. Tibial and femoral shapes were extracted from computed-62 tomography (CT) images, and their postures at various KFAs were repre-63 sented based on recent in vivo measurements. A computational ACL model 64 with its double-bundle structure was then set up as a tibiofemoral joint. Its 65 deformation under prescribed postures at various KFAs was then calculated 66 by a finite element method. The fiber orientation of the double-bundle struc-67 ture and its deformations were then evaluated based on the computational 68 results. 69

70 2. Materials and Methods

71 2.1. Tibiofemoral joint kinematics

To represent the tibiofemoral joint geometry and its postures during pas-72 sive knee flexion-extension, the right femur and tibia geometries (supine 73 position) were extracted from representative CT images of a female cadaver 74 that are archived in the Visible Human Project [18] using ScanIP version 7.0 75 (Synopsys; Mountain View, CA, USA) (Figure 1 (a)). Insertion sites of the 76 ACL at each bone— Γ_t on the tibia and Γ_f on the femur—were modeled 77 as ovals [19], in which the sizes of the major axis [mm] and minor axis [mm] 78 were set at 8.0:5.5 in Γ_t and 7.5:5.0 in Γ_f with consideration of the AMB and 79 PLB positions [20, 21]. 80

The femoral and tibial postures at various KFAs were expressed based on 81 the functional flexion axis (FFA), in which the knee flexion-extension is ex-82 pressed as rotations around two body-embedded axes (the flexion-extension 83 and internal–external (IE) rotation axes) [22, 23]. The surgical trans-epicondylar 84 axis in the femur was set based on *in vivo* measurements of knee-joint kine-85 matics conducted by Asano *et al.* [24] and used as the flexion–extension axis 86 (Fig. 1(b) top). The longitudinal rotation axis was set based on previous 87 cadaver studies [23, 25] and used as the IE rotation axis (Fig. 1(b) bottom) 88 at low KFAs (screw-home movement). The IE rotation was considered at 89 low KFAs ($\langle = 30^{\circ}$) [23] and expressed as the internal rotation of the tibia 90 by a constant fraction with increasing KFA. The range of the IE rotation 91 $\theta_{\rm IE} \; [^\circ]$ was 30° based on previous experimental measurements [26, 23]. Addi-92

tionally, the anterior-posterior (AP) displacement of the femur was used to describe its sliding motion on the tibial plateau at high KFAs (*e.g.*, as shown in [24, 27]). The AP displacement was considered at high KFAs (> 45°) and expressed as femoral displacement to the posterior side of the tibial plateau proportionally with increasing KFA. The total AP displacement, $l_{\rm AP}$ [mm], was set to 10 mm based on previous experimental observations [24].

To evaluate the effects of the degrees of IE rotation and AP displacement 99 on the femoral and tibial postures associated with the ACL kinematics, para-100 metric studies of the AP displacement and IE rotation were conducted, and 101 the results were compared with the straight-line lengths of the AMB and 102 PLB. First, the degrees of IE rotation in three cases, $\theta_{\rm IE} = 30^{\circ}$, 15° , and 103 0° , with a constant $l_{\rm AP}$ of 10 mm were compared. Next, the degrees of AP 104 displacement in three cases, $l_{\rm AP} = 10, 5$, and 0 mm with a constant $\theta_{\rm IE}$ of 105 30° were compared. 106

107 2.2. ACL geometry construction

An ACL model with the double-bundle structure was constructed (Fig.1(d)). The initial geometry of the ACL was constructed to interpolate insertion sites on the tibia, Γ_t , and femur, Γ_f , at a KFA of 90°, when the straight-line length of the ACL was shortest. ACL reference geometry was constructed by inplane rotation of both insertion sites of the initial ACL geometry to parallel the double-bundle structure. The ACL reference geometry was discretized by a set of eight-node hexahedral elements, consisting of 18,880 elements with 115 20,459 nodes.

Fiber orientations of each bundle were defined by the method of Otani and Tanaka [28] to assign unit direction vectors of fibers. In each bundle, the fiber direction vector, \mathbf{a} , was defined as the unit vector of the spatial gradient of the scalar variable, ϕ , which is given by

$$\mathbf{a} = \frac{\nabla \phi}{\|\nabla \phi\|}.\tag{1}$$

The spatial distribution of ϕ was modeled to be smooth and was expressed by solving the Laplace equation in each bundle while following the Dirichlet boundary condition ($\phi = 1$ on Γ_t and $\phi = 0$ on Γ_f).

123 2.3. Computation of ACL deformation

We simulated ACL deformation at various KFAs using the ACL model of Weiss *et al.* [29], which can express nearly incompressible, transversely isotropic properties of the ACL. The strain energy density function W was described by the following three terms:

$$W = W_{\rm iso}(\hat{I}_1) + W_{\rm aniso}(\lambda) + W_{\rm vol}(J) \tag{2}$$

where W_{iso} and W_{aniso} are the chronic components that express the isotropic and anisotropic characteristics, respectively. W_{iso} and W_{aniso} are given by

$$W_{\rm iso}(\hat{I}_1) = c_1(\hat{I}_1 - 3) \tag{3}$$



Figure 1: Workflow representing the geometries and postures of a tibiofemoral joint with the anterior cruciate ligament (ACL). (a) Femoral and tibial shapes extracted from computed-tomography images with the ACL insertion sites, including the anteromedial bundle (AMB, blue) and posterolateral bundle (PLB, red). (b) Axes of knee flexion–extension for the femur and interior–exterior rotation of the tibia. (c) Postures of the tibiofemoral joint at knee flexion angles of 0° , 30° , 60° , and 90° (viewed from the medial side). (d) Reference geometry construction of the ACL and definition of fiber orientation in the double bundle. Initially, the ACL geometry was represented by linear interpolation between insertion sites at a knee-flexion angle of 90° , when the straight-line length of the ACL was shortest (left). The ACL reference geometry to parallel the double-bundle structure (center). The fiber orientations of each bundle were defined by the method presented by Otani and Tanaka [28] (right).

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$$\lambda \frac{\partial W_{\text{aniso}}}{\partial \lambda} = \begin{cases} 0 & \text{if } \lambda < 1 \\ c_3[\exp\left[c_4(\lambda - 1)\right]\right] & \text{if } 1 \le \lambda \le \lambda^* \\ c_5\lambda + c_6 & \text{Otherwise} \end{cases}$$
(4)

where c_1, c_3, c_4, c_5 , and c_6 are constants, and λ^* is the threshold used to de-131 termine the phenomenological properties of the bundles. These terms were 132 established by the modified first invariants of the right Cauchy–Green defor-133 mation tensor, $\hat{I}_1 = tr(\hat{\mathbf{C}})$, and the stretch ratio expressing the deformation 134 along the orientations of the fiber bundles, $\lambda = \sqrt{\mathbf{a}^T \cdot \mathbf{C} \cdot \mathbf{a}}$. Here, $\hat{\mathbf{C}}$ repre-135 sents the modified right Cauchy–Green deformation tensor and is expressed 136 as $J^{-\frac{2}{3}}\mathbf{C}$ based on the volume ratio, J, and the right Cauchy–Green defor-137 mation tensor, C. The volumetric component, $W_{\rm vol}$, is expressed in terms of 138 J as follows: 139

$$W_{\rm vol}(J) = k_v \ln J^2 \tag{5}$$

where k_v is the penalty parameter. The values of these parameters were set as described by Pena *et al.* [30] and calculated by fitting the experimental measurements reported by Butler *et al.* [31].

The ACL deformations at various KFAs were calculated by solving the weak form of the equilibrium equation using the Galerkin finite element method with the Newton–Raphson scheme (*cf.* [32]). Selective reduced integration was applied to alleviate volume locking. The linearized equation was calculated by PARDISO implemented in the Intel Math Kernel Library. The initial stretch ratio was set for each bundle using the method of Limbert *et al.* [14] to avoid non-physiological fiber extension. In this study, the initial stretch ratio was assumed to be uniform for each bundle and was set at 0.75 for the AMB and at 0.7 for the PLB, not to exceed the failure limit of the ACL— that is, 1.2, based on Butler *et al.* [33]—regardless of the KFA.

154 3. Results

155 3.1. ACL kinematics during passive knee flexion-extension

Figure 2(a) and (b) show the motion of the femur relative to the tibia and 156 the surgical trans-epicondylar axis for KFAs from 0° to 90°. The tibiofemoral 157 joint motion indicates that the posterior displacement of the lateral condyle 158 was higher than that of the medial condyle, which is well-known behavior 159 based on *in vivo* and *in vitro* measurements (e.g., [34, 35]). The changes in 160 the ACL bundle lengths in the above case for KFAs from 0° to 90° are shown 161 as black lines in Fig. 2(c). Both the AMB and PLB lengths were within the 162 ranges observed thorugh in vivo measurements by Yoo et al. [9] at KFAs of 163 45° and 90° . 164

¹⁶⁵ Furthermore, the effects of the degrees of AP displacement and IE ro-¹⁶⁶ tation were evaluated by parametric studies about l_{AP} and θ_{IE} . As l_{AP} de-¹⁶⁷ creased to 5 and 0 mm, the AMB was shortened, and the AP displacement ¹⁶⁸ decreased; these lengths were outside the range of the *in vivo* measurements ¹⁶⁹ reported by Yoo *et al.* [9] at a KFA of 90°. However, the decreases in the



Figure 2: Tibial and femoral postures during knee flexion angle (KFA) from 0° to 90°. Representative postures of the tibiofemoral joint at KFAs of 0°, 30°, 60°, and 90° (a) and movement of the surgical epicondylar axis for KFA from 0° to 90° (b) for a total IE rotation angle of 30° and AP displacement of 10 mm. (c) Normalized lengths of the anteromedial bundle (AMB, left) and posterolateral bundle (PLB, right) at KFAs from 0° to 90° to 90° with various degrees of the internal–external rotation, $\theta_{\rm IE}$, and AP displacement, $l_{\rm AP}$. In vivo experimental data (open circles) [9] are shown for comparison.

PLB length were consistent regardless of the AP displacement and remained within the range of the *in vivo* measurements reported by Yoo *et al.* [9] in all cases. When θ_{IE} was decreased to 15° and 0°, although both the AMB and PLB lengths reduced similarly in all cases, the reduction ratios increased with decreasing θ_{IE} . These values were out of the range of the *in vivo* measurements reported by Yoo *et al.* [9] except for the case in which $\theta_{IE} = 15^{\circ}$ and KFA = 90°.

177 3.2. ACL fiber orientations

Snapshots of the ACL geometry, fiber orientation of each bundle, and 178 stretch ratios at KFAs of 0° , 30° , 60° , and 90° are shown in Fig. 3. The 179 fiber orientation was visually represented as tangential lines showing the 180 direction vectors of fibers. The double-bundle structures in the ACL were 181 almost parallel at a KFA of 0° and became twisted and curved when the knee 182 flexed. The stretch ratio of the PLB in the posteromedial side was locally $\lambda >$ 183 100% at a KFA of 0° and decreased with increasing KFA. Almost all domains 184 in the PLB slackened ($\lambda < 100\%$) even at a KFA of 30°. The stretch ratios 185 of the AMB on the medial side were also $\lambda > 100\%$ regardless of the KFA, 186 whereas those on the lateral side were relatively low and slackened when the 187 knee flexed. 188

To evaluate the extents of the two bundle stretches quantitatively, we 189 calculated the volume fraction of the stretch ratio in each bundle domain 190 (Fig. 4). Approximately 50% of each bundle had stretched ($\lambda > 100\%$) when 191 the KFA was 0°. In the PLB, the slackened domain ($\lambda < 100\%$) consistently 192 increased with increasing KFA, and almost all domains had slackened at a 193 KFA of 90° . In the AMB, the degree of stretching became relatively mild 194 at a KFA of 30° , and approximately 38% of the AMB domain was stretched 195 $(\lambda > 100\%)$; the domain remained stretched regardless of the KFA. The 196 AMB had the least stretched domain (approximately 23%) at a KFA of 60° , 197 and it was approximately 29% at a KFA of 90° . 198

199

Finally, we considered the influences of the AP displacement and IE ro-



Figure 3: Geometry of the anterior cruciate ligament (ACL, top), fiber orientations of the anteromedial bundle (AMB) and posterolateral bundle (PLB) (middle), and fiber stretch ratios, λ (bottom), at knee flexion angles of 0°, 30°, 60°, and 90° (viewed from the medial and lateral sides). The fiber orientation is represented as tangential lines along the fiber direction vectors, and the fiber stretch ratios are visualized on these tangential lines.



Figure 4: Volume fractions of the stretch ratios in the anteromedial bundle (AMB, blue) and posterolateral bundle (PLB, red) at knee flexion angles of 0° (top), 30° (top middle), 60° (bottom middle), and 90° (bottom).

tation on the ACL deformation. The ACL deformations in the flexion states 200 relative to the fully extended state (KFA = 0°) were computed for two cases: 201 small $l_{\rm AP} = 5$ mm with $\theta_{\rm IE} = 30^{\circ}$ and small $\theta_{\rm IE} = 15^{\circ}$ with $l_{\rm AP} = 10$ mm. 202 Figure 5 (a) shows the volume fraction of the stretch ratio in each bundle 203 domain at a KFA of 90° in each case. Although the PLB domain was almost 204 slack (stretch ratio < 100%) in the case of $(\theta_{\text{IE}}, l_{\text{AP}}) = (30, 10)$ (Fig. 4 (bot-205 tom)), the PLB domain was still partially stretched (stretch ratio > 100%) 206 in in the cases of $(\theta_{IE}, l_{AP}) = (30, 5)$ and (15, 10). 207

To evaluate the differences between the ACL deformation states in the 208 above computations, we evaluated the changes in the AMB and PLB ridge 209 lengths, which were defined as connections between the major vertexes of 210 the insertion sites along the ACL (black lines in Fig. 5 (b)). Figure 5 (c) 211 shows the changes in the AMB and PLB ridge lengths from KFAs from 0° 212 to 90° in each case. The length of the AMB ridge line was almost constant 213 during knee flexion in all cases. However, the length of the PLB ridge line 214 monotonically decreased with increasing KFA and fell below 80% when KFA 215 = 90° in the case of $(\theta_{\text{IE}}, l_{\text{AP}}) = (30, 10)$, but increased with increasing KFA 216 from 45°, approaching 90% when KFA = 90° in other cases. 217

218 4. Discussion

The function of the ACL double-bundle structure has been of great interest, with various experimental studies having evaluated its functional anatomy [36]. Results of earlier cadaveric studies have been interpreted as



Figure 5: (a) Volume fraction of the stretch ratio in the anteromedial bundle (AMB, blue) and the posterolateral bundle (PLB, red) at knee flexion angles of 90° in the case that $(\theta_{IE}, l_{AP}) = (30, 5)$ (left) and (15, 10) (right). (b) The AMB and PLB domains are shown in blue and red, respectively. (c) Normalized lengths of the AMB and PLB ridges (black lines in (b)) as the KFA increased from 0° to 75°.

showing that the double-bundle structure functions reciprocally, such that the PLB is taut in knee extension, and AMB is taut in knee flexion [4, 5, 6]. Recent *in vivo* measurements showed that both bundles are longest at full knee extension [7, 8, 9, 10]. Amis [36] summarized these facts and noted that AMB is close to isometric when the knee flexes, whereas the PLB slackens.

The present study developed a computational ACL model to represent the 227 kinematics of the ACL double-bundle structure during passive knee flexion-228 extension based on recent *in vivo* measurements. The results showed that 229 the PLB was stretched at full knee extension and slackened when the knee 230 flexed. In contrast, the AMB was continuously taut, especially on the me-231 dial side, regardless of the KFA, although the straight-line length of the AMB 232 monotonically decreased with increasing KFA. This apparently isometric be-233 havior of the AMB can be explained by a torsional deformation of the ACL 234 determined by the relative positional relation between the insertion sites on 235 the tibiofemoral joint (Fig. 3). This result is consistent with those of both 236 cadaver and *in vivo* experimental results. This finding also shows that the 237 function of the double-bundle structure of the ACL is associated with the 3D 238 kinematics of the insertion sites on the femur and tibia. 239

Furthermore, the effects of the IE rotation and AP displacement on the kinematics of the ACL bundles during knee flexion were evaluated. Results showed that small degrees of IE rotation and AP displacement made the reduction in the bundle ridge lengths small (Fig 5) compared with the reduction in the straight-line lengths (Fig. 2) during knee flexion, which highlights

its torsional deformation. This finding suggests that small degrees of IE ro-245 tation and AP displacement enhance the ACL torsional deformation, which 246 may reduce the slack in the PLB when the knee is flexed (Fig. 5). The PLB 247 slackness at high KFAs is well acknowledged from clinical measurements [36]. 248 Thus, under normal conditions, these complex motions may act to reduce the 240 load on the PLB when the knee is flexed against the torsional deformation 250 of the ACL. However, the PLB might not be slackened, even at high KFAs, 251 when the IE rotation and AP displacement are small. Therefore, the 3D 252 ACL kinematics and deformation during knee flexion-extension should be 253 considered in terms of the ACL length as well as its torsional deformation. 254

255 4.1. Limitations

In this study, we modeled the ACL kinematics using several simplifying 256 assumptions based on previous studies. Therefore, the obtained results may 257 have inconsistencies in terms of the subject-specific ACL kinematics. From 258 this viewpoint, this study has two primary limitations. First, the subject-259 specific posture of the tibiofemoral joint at various KFAs was not considered 260 in this study. Instead, we represented the femoral and tibial postures based 261 on the FFA with consideration to the IE rotation and AP displacement. 262 We conducted parametric studies of the effects of IE rotation and AP dis-263 placement on the tibiofemoral posture and confirmed anatomical consistency 264 of represented postures in terms of the ACL kinematics. However, knee-265 joint motions vary widely due to subject-specific differences, measurement 266

approaches, and FFA selection (e.q., [37, 38]). Thus, it is still challenging 267 to uniquely determine the knee-joint motion from tibiofemoral bone geome-268 tries. To overcome this issue and evaluate actual ACL kinematics during 269 knee flexion--extension, in vivo measurements of the kinematic relationship 270 between the two ACL insertion sites would be useful. Second, we simplified 271 the mechanical properties of the ACL, such as the reference geometry and 272 fiber orientation of each bundle, due to incomplete anatomical knowledge. 273 However, recent experimental studies showed that the microstructural prop-274 erties and mechanics of each bundle are different [39, 40, 41, 42]. Thus, lack 275 of knowledge about these mechanical properties makes computational evalu-276 ations of the mechanical stress field of the ACL challenging. To address this 277 issue, further development of the ACL model that reflects the mechanical 278 characteristics is strongly required. 279

The anatomical characteristics of the ACL double-bundle structure are 280 still under debate in the medical field, and the ACL torsional deformation 281 is central in the discussion of this issue. Smigielski et al. [43] conducted a 282 cadaveric study including 111 knees and concluded that the ACL forms a flat 283 ribbon without a clear separation between the AMB and PLB. Following 284 this finding, Noailles et al. [44] studied the geometric characteristics of the 285 ACL using 60 cadaver knees and concluded that the torsion in the ACL fibers 286 because of the relative position of bone insertions makes the ACL appear to 287 have a double-bundle structure. Furthermore, Skelley et al. [41] reported 288 that most of the microstructural and material properties of the ACL appear 289

to follow a linear gradient across the ligament, rather than varying between 290 bundles. Regarding this issue, our results show that torsional deformation 291 can also occur when the AMB and PLB have different deformation charac-292 teristics. To bear this specific deformation, it may be reasonable that the 293 AMB and PLB have different material properties. In future research, we plan 294 to investigate the effects of spatial differences in the material properties of 295 the ACL on its mechanical state during knee flexion—extension, which may 296 contribute to the interpretation of recent ACL anatomical findings from a 297 mechanical viewpoint. 298

²⁹⁹ 5. Conclusions

This study computationally modeled ACL kinematics, focusing on its 300 double-bundle structure, during passive knee flexion-extension. The results 301 showed that the PLB was taut in knee extension, whereas the AMB was 302 consistently taut, regardless of the KFA, although the straight-line length of 303 AMB consistently decreased with increasing KFA. This apparently isometric 304 behavior of the AMB can be explained by torsional deformation of the ACL 305 determined by the relative positional relation between the insertion sites on 306 the tibiofemoral joint. These results are consistent with existing experimental 307 facts, and thus highlight the capabilities of the computational modeling to 308 deepen our understanding of ACL kinematics and the functional anatomy of 309 its double-bundle structure. 310

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317 Conflict of interest

The authors have no financial or personal interests in the work reported in this paper.

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