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How rising CO₂ and global warming may stimulate harmful cyanobacterial blooms

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23 Climate change is likely to stimulate the development of harmful cyanobacterial blooms in 24 eutrophic waters, with negative consequences for water quality of many lakes, reservoirs and 25 brackish ecosystems across the globe. In addition to effects of temperature and eutrophication, 26 recent research has shed new light on the possible implications of rising atmospheric CO_2 27 concentrations. Depletion of dissolved CO_2 by dense cyanobacterial blooms creates a 28 concentration gradient across the air-water interface. A steeper gradient at elevated atmospheric 29 CO_2 concentrations will lead to a greater influx of CO_2 , which can be intercepted by surface-30 dwelling blooms, thus intensifying cyanobacterial blooms in eutrophic waters. Bloom-forming 31 cyanobacteria display an unexpected diversity in CO_2 responses, because different strains 32 combine their uptake systems for CO_2 and bicarbonate in different ways. The genetic 33 composition of cyanobacterial blooms may therefore shift. In particular, strains with low-affinity 34 uptake systems may benefit from the anticipated rise in inorganic carbon availability. Increasing 35 temperatures also stimulate cyanobacterial growth. Many bloom-forming cyanobacteria and also 36 green algae have temperature optima above 25°C, often exceeding the temperature optima of 37 diatoms and dinoflagellates. Analysis of published data suggests that the temperature dependence 38 of the growth rate of cyanobacteria exceeds that of green algae. Indirect effects of elevated 39 temperature, like an earlier onset and longer duration of thermal stratification, may also shift the competitive balance in favor of buoyant cyanobacteria while eukaryotic algae are impaired by 40 41 higher sedimentation losses. Furthermore, cyanobacteria differ from eukaryotic algae in that they 42 can fix dinitrogen, and new insights show that the nitrogen-fixation activity of heterocystous 43 cyanobacteria is strongly stimulated at elevated temperatures. However, models and lake studies

indicate that the response of cyanobacterial growth to rising CO_2 concentrations and elevated temperatures can be suppressed by nutrient limitation. Hence, the greatest response of cyanobacterial blooms to climate change is expected to occur in eutrophic and hypertrophic lakes.

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Keywords: climate change, cyanobacteria, harmful algal blooms, lakes, rising CO₂, temperature
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51 **1. Introduction**

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53 It is well-established that in addition to anthropogenic nutrient enrichment, changes in the 54 Earth's climate, specifically rising temperatures and altered hydrologic patterns, strongly 55 influence the frequency, intensity, and duration of harmful cyanobacterial blooms (Robarts and 56 Zohary, 1987; Trenberth, 2005; Peeters et al., 2007; Suikkanen et al., 2007; Wiedner et al., 2007; 57 Jöhnk et al., 2008; Paerl and Huisman, 2008, 2009; Wagner and Adrian, 2009; O'Neil et al., 58 2012; Paerl and Paul, 2012). An expansion of cyanobacterial blooms is of great societal concern, 59 because harmful cyanobacteria can impair safe drinking, irrigation, fishing and recreational 60 waters that are critical for the growing global human population.

There is convincing evidence that a key driver of climate change is the concentration of atmospheric carbon dioxide (CO₂), which has been shown to modulate the Earth's surface and water temperatures via the 'greenhouse effect' (IPCC 2012). Furthermore, long-term records of atmospheric CO₂ in ice cores and the atmosphere (e.g., at Mauna Loa, Hawaii) have shown that there is a well-defined parallel between increasing CO₂ concentrations and the rise of man-made fossil fuel combustion (Tans et al., 1990). 67 The relationships between rising atmospheric CO_2 levels, global warming and declining water 68 quality are controlled through complex interactions with altered evaporation and rainfall patterns, 69 changing hydrological flows and shifts in chemical and biological processes, all of which interact 70 in non-linear ways (Paerl and Paul, 2012). This creates an enormous challenge in predicting the 71 quantitative and qualitative ramifications for the many types of water bodies that are likely to be 72 impacted. Furthermore, the transport and delivery of nutrients that are critical for development, 73 proliferation and maintenance of cyanobacterial blooms are strongly influenced by climate-74 driven changes in precipitation patterns and biogeochemical processes (Michalak et al., 2013). 75 All of these factors ultimately control planktonic communities, including cyanobacterial blooms 76 (Mitrovic et al., 2003; Elliott, 2010; Hall et al., 2013; Michalak et al., 2013).

77 In addition to its influence on global warming, rising atmospheric CO_2 levels may stimulate 78 the proliferation of surface-dwelling cyanobacteria by providing them preferential access to a 79 vast and rising pool of atmospheric CO₂ (Paerl and Ustach, 1982; Ibelings and Maberly, 1998; 80 Verspagen et al., 2014b). An increase in atmospheric CO_2 increases its dissolution in water. 81 Enhanced dissolution of CO₂ lowers pH, causing a slow acidification of the oceans (Orr et al., 82 2005; Doney et al., 2009). In freshwaters, however, the impact of rising atmospheric CO_2 83 appears more complex than in most marine ecosystems. Freshwater systems range widely in pH 84 and alkalinity (Lazzarino et al., 2009; Balmer and Downing, 2012), which affects the speciation 85 of inorganic carbon. Many freshwater ecosystems receive large amounts of organic carbon from 86 terrestrial systems, which may result in CO₂ supersaturation, i.e., dissolved CO₂ concentrations 87 that greatly exceed equilibrium with the atmosphere (Cole et al., 1994; Sobek et al., 2005). 88 Conversely, in other lakes, CO_2 concentrations are strongly depleted as a consequence of the 89 photosynthetic activity of dense phytoplankton blooms (Talling, 1976; Balmer and Downing,

90 2012; Verspagen et al., 2014b). Similar to the depletion of other resources, depletion of inorganic 91 carbon (C_i) can limit growth (Hein, 1997), particularly in dense surface blooms of cyanobacteria 92 (Ibelings and Maberly, 1998). Hence, the natural range of variation in CO₂ availability is much 93 larger in lakes than in marine or terrestrial ecosystems, and bloom-forming cyanobacteria must 94 cope with this variability.

This review will focus on the current state of knowledge on effects of climate change on harmful cyanobacteria. Although many reviews have already addressed this topic (e.g. Paerl and Huisman, 2009; Carey et al. 2012; O'Neil et al. 2012), most reviews focused on the direct or indirect effects of increased temperature, often in combination with accelerating eutrophication. In this review, effects of rising CO_2 concentrations on cyanobacteria will also be addressed. The mechanistic underpinnings supporting cyanobacterial expansion in an atmospherically- CO_2 enriched, warmer, and nutrient-enriched world will be explored.

102 Physiological traits vary among species and strains and may direct the response of 103 cyanobacterial species to a changing climate. First, an overview of these responses to elevated 104 CO₂ concentrations will be provided, with special emphasis on CO₂-concentrating mechanisms 105 (CCMs). Then the focus will be on direct and indirect temperature effects on cyanobacterial 106 growth and competition, followed by a further exploration of interactive effects of climate 107 change with nutrient availability. Key questions to be addressed are, for instance, whether global 108 change is likely to lead to a proliferation of cyanobacteria at the expense of eukaryotic 109 phytoplankton species, and whether the composition of cyanobacterial blooms may change.

- 111 2. **Response to rising CO₂**
- 112 2.1. Does rising CO_2 intensify bloom development?

Rising atmospheric CO_2 levels are often thought to have only minor impacts on bloom development in freshwater ecosystems. This assumption is based on two common misconceptions. It is often argued (1) that the CO_2 concentrations in freshwater lakes are sufficiently high to cover the carbon demands of phytoplankton populations, because many lakes are "supersaturated" with CO_2 (Cole et al., 1994; Sobek et al., 2005; Jansson et al., 2012), and (2) that changes in CO_2 availability have little effect on bloom development, because most cyanobacteria can also utilize bicarbonate as C source.

120 Concerning the first misconception, it is true that the pCO_2 in many lakes worldwide is well 121 above atmospheric equilibrium (i.e., supersaturated; Cole et al., 1994). Most carbon input in 122 lakes originates from terrestrial primary production in the surrounding watershed and not from 123 atmospheric CO₂ (Cole & Caraco, 2001; Pacala et al., 2001; Richey et al., 2002; Maberly et al., 124 2013), which is subsequently mineralized, causing pCO_2 levels that commonly exceed 1,500 125 ppm. However, even in these "supersaturated waters", the actual concentration of dissolved CO₂ 126 $(CO_2(aq))$ is still quite low, and cyanobacterial blooms can easily turn a supersaturated lake into 127 an undersaturated lake (Ibelings and Maberly, 1998; Verspagen et al., 2014b). For instance, 128 consider a supersaturated lake with a pCO₂ of 1,500 ppm. According to Henry's Law, assuming a solubility constant of $K_{\rm H} = 0.034 \text{ mol } \text{L}^{-1} \text{ atm}^{-1}$, the CO₂(aq) concentration in this lake would 129 130 be only ~50 μ mol L⁻¹. This concentration is certainly not enough to cover the photosynthetic 131 carbon demand of a dense cyanobacterial bloom. The photosynthetic activity of dense blooms can be as high as 12.5 to 50 μ mol C L⁻¹ h⁻¹ (Hein et al., 1997), depleting the CO₂(aq) 132 133 concentration in this lake within a few hours (Talling, 1976; Maberly, 1996). In some lakes, the $CO_2(aq)$ concentration can even be drawn down to less than 0.1 µmol L⁻¹, corresponding to a 134 135 pCO₂ of only a few ppm (Lazzarino et al., 2009, Balmer and Downing, 2011).

136 Data from Lake Volkerak, a large eutrophic lake in The Netherlands, is provided in Figure 1 137 (Verspagen et al., 2006; 2014b). In this figure, the CO₂(aq) concentration that would be predicted 138 from equilibrium with the atmosphere (i.e., $[CO_2^*]$) has also been indicated. This predicted 139 equilibrium CO₂(aq) concentration shows some variation during the seasons, as the solubility of CO₂ in water is temperature dependent. However, seasonal variation of the measured CO₂(aq) 140 141 concentration in Lake Volkerak is much larger, because biological consumption and production 142 of CO₂ act at a much faster rate than the equilibration of CO₂ between water and atmosphere. In 143 winter and spring, the measured CO₂(aq) concentration in Lake Volkerak largely exceeds the 144 $CO_2(aq)$ concentration that would be predicted from equilibrium with the atmosphere, and hence 145 in winter and spring the lake is supersaturated with CO₂. Conversely, dense blooms of the 146 harmful cyanobacterium *Microcystis* occur in Lake Volkerak in summer and early fall. The photosynthetic activity of these blooms depletes the $CO_2(aq)$ concentration to 1 µmol L⁻¹ (≈ 30 147 148 ppm), such that the lake becomes severely undersaturated with CO₂ in summer while the pH 149 rises above 9 for several months (Fig. 1). These data illustrate that the $CO_2(aq)$ concentration in 150 eutrophic lakes can vary from supersaturation in winter to undersaturation in summer.

The drawdown of the $CO_2(aq)$ concentration by cyanobacterial blooms turns lakes into a sink for atmospheric CO_2 (Balmer and Downing, 2011). The CO_2 gas influx depends on the CO_2 deficit. More specifically, the CO_2 influx (g_{CO2}) is proportional to the difference between the expected concentration of $CO_2(aq)$ in equilibrium with the atmosphere (calculated from Henry's law) and the observed $CO_2(aq)$ concentration (Siegenthaler and Sarmiento, 1993; Cole et al., 2010):

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$$g_{CO2} = v(K_H p C O_2 - C O_2(aq))$$
 (1)

160 where v is the gas transfer velocity (also known as piston velocity) across the air-water interface, 161 K_H is the solubility constant of CO₂ gas in water, and pCO₂ is the partial pressure of CO₂ in the 162 atmosphere. If it is assumed that the dense cyanobacterial bloom has stripped the surface layer of 163 $CO_2(aq)$, this equation simplifies to $g_{CO2} = v K_H pCO_2$. The gas transfer velocity depends on 164 several parameters, especially wind speed. A typical value for the gas transfer velocity of lakes is v = 0.02 m h⁻¹ (Crusius and Wanninkhof, 2003; Cole et al., 2010). Hence, assuming an 165 166 atmosphere with $pCO_2 = 400$ ppm, the CO₂ influx during a dense cyanobacterial bloom would amount to $\sim 7 \text{ mmol m}^{-2} \text{ d}^{-1}$. This influx is substantial and can be intercepted by the surface-167 168 dwelling cyanobacterial bloom for supporting photosynthesis (Paerl and Ustach, 1982; Ibelings 169 and Maberly, 1998). A doubling of the atmospheric CO₂ concentration, to 800 ppm, would roughly double the CO₂ influx to ~14 mmol $m^{-2} d^{-1}$. Moreover, this might still be an 170 171 underestimate for dense cyanobacterial blooms. At pH > 9, which is typical for dense blooms, 172 the chemical reaction of CO₂ with the abundant hydroxide ions further increases CO₂ transfer 173 across the air-water surface by a process known as chemically enhanced diffusion (Emerson, 174 1975; Bade and Cole, 2006). Hence, this simple calculation shows that, in principle, an increase 175 in atmospheric CO₂ levels may provide a sufficient influx of C to enable a substantial increase in 176 the productivity of surface-dwelling cyanobacterial blooms.

Models and laboratory experiments have shown that rising CO_2 concentrations may indeed exacerbate cyanobacterial blooms (Schippers et al., 2004; Verspagen et al., 2014b). Verspagen et al. (2014b) performed chemostat experiments with *Microcystis* CYA140 under nutrientsaturating conditions. At a low atmospheric pCO₂ level of 200 ppm (half the current ambient pCO₂), the *Microcystis* population increased until it reached a steady state, at which it had

depleted the dissolved $CO_2(aq)$ concentration to 0.2 µmol L⁻¹ and raised the pH to 10 (Fig. 182 2A,C,E). The same experiment was repeated at an elevated atmospheric pCO_2 level of 1,200 183 184 ppm (three times ambient pCO_2), which resulted in a doubling of the *Microcystis* biomass, 185 whereas the $CO_2(aq)$ concentration was much less depleted and the pH was raised to only 8.5 186 (Fig. 2B,D,F). The model predictions nicely matched the experiments. These results 187 demonstrate, both in theory and lab experiments, that bloom-forming cyanobacteria such as 188 *Microcystis* can become carbon-limited, and that rising pCO₂ levels can increase cyanobacterial 189 biomass (Verspagen et al., 2014b).

190 The second misconception is that changes in CO₂ availability have little effect on bloom 191 development, because most cyanobacteria can also utilize bicarbonate. Indeed, it is true that 192 many if not most cyanobacteria can use bicarbonate. However, whereas CO₂ passively diffuses 193 through the cell membrane, utilization of bicarbonate requires investments in sodium-dependent 194 and ATP-dependent bicarbonate uptake systems as well as in sodium antiporters that excrete the 195 sodium accumulated in the cells (Price, 2011; Burnap et al., 2015; Sandrini et al., 2015b). These 196 costs of bicarbonate utilization may have repercussions for the growth rates that can be achieved. 197 For instance, Synechococcus leopoliensis grows at 80% of its maximum growth rate when 198 bicarbonate is its main carbon source (Miller et al., 1984). Microcystis HUB5-2-4, which lacks 199 the high-flux bicarbonate transporter BicA but does contain the two high-affinity bicarbonate 200 uptake systems SbtA and BCT1 (see next section), grows at only 35% of its maximum growth 201 rate on bicarbonate alone (Verspagen et al. 2014b). In chemostat experiments, this Microcystis 202 strain could barely sustain a small population when CO_2 was largely removed from the gas flow, 203 even though bicarbonate was provided at a saturating concentration of 2,000 μ mol L⁻¹ 204 (Verspagen et al. 2014b). An increase from near-zero pCO_2 levels (0.5 ppm) to saturating pCO_2 205 levels (2,800 ppm) led to an almost 20-fold increase of the *Microcystis* biomass. Hence, these 206 laboratory experiments show that addition of CO₂ may strongly promote cyanobacterial growth 207 even in bicarbonate-rich waters. Yet, other cyanobacterial species such as Cylindrospermopsis 208 raciborskii appear to be more effective bicarbonate users, and for these species rising CO₂ 209 concentrations may have a smaller effect on growth rates when bicarbonate is available as an 210 alternative C_i source (Holland et al., 2012). Hence, the effect of rising CO_2 on cyanobacterial 211 growth is species specific. Moreover, the next sections will show that there is even tremendous 212 variation in CO₂ response within species.

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- 214 2.2. The CO₂-concentrating mechanism of cyanobacteria

215 Phytoplankton use CO₂ and bicarbonate available in the environment for carbon fixation with 216 the RuBisCO enzyme. To overcome the low affinity of RuBisCO for CO₂, most phytoplankton, 217 including cyanobacteria, evolved a CO₂-concentrating mechanism (CCM) (Kaplan and Reinhold, 218 1999; Giordano et al., 2005; Badger et al., 2006; Price et al., 2008; Price, 2011). The typical 219 cyanobacterial CCM is based on the uptake of CO₂ and bicarbonate from the environment, 220 conversion of the acquired CO₂ into bicarbonate in the cytoplasm, and subsequent diffusion of 221 the accumulated bicarbonate into specialized compartments called carboxysomes (Fig. 3). In the 222 carboxysomes, carbonic anhydrases convert the accumulated bicarbonate back to CO_2 , 223 surrounding RuBisCO by a high CO₂ concentration. RuBisCO incorporates CO₂ into the Calvin-Benson-Bassham cycle, which assimilates the acquired carbon into organic molecules. 224

In cyanobacteria, five different C_i uptake systems have been identified, three for the uptake of bicarbonate and two for the conversion of CO₂, that diffuses into the cell, to bicarbonate (Fig. 3). These uptake systems have different physiological properties (Price et al., 2004; Price, 2011; Sandrini et al., 2015b). Two of the bicarbonate transporters, BicA and SbtA, are sodiumdependent symporters (Shibata et al., 2002; Price et al., 2004). BicA has a low affinity for bicarbonate ($K_{0.5} = 70-350 \mu$ M bicarbonate) but high flux rate. Conversely, SbtA has a high affinity for bicarbonate ($K_{0.5} < 5 \mu$ M bicarbonate) but low flux rate (Price et al., 2004). The third bicarbonate transporter, BCT1, is ATP-dependent, and similar to SbtA it has a high affinity for bicarbonate ($K_{0.5} = 10-15 \mu$ M bicarbonate) but a low flux rate (Omata et al., 1999; Omata et al., 2002). All three bicarbonate uptake systems are located in the plasma membrane (Price, 2011).

The two CO₂ uptake systems, NDH-I₃ and NDH-I₄, convert CO₂ that passively diffuses into the cell to bicarbonate in a NADPH-dependent reaction (Price et al., 2002; Price, 2011). NDH-I₃ has a high affinity for CO₂ ($K_{0.5}$ =1–2 μ M CO₂) but a low flux rate (Maeda et al., 2002; Price et al., 2002). Conversely, NDH-1₄ has a lower affinity for CO₂ ($K_{0.5}$ =10–15 μ M CO₂) but a high flux rate (Maeda et al., 2002; Price et al., 2002). This diverse array of C_i uptake systems enables cyanobacteria to respond effectively to changes in C_i availability.

241 Eukaryotic algae can also employ a CCM, but it works differently from the CCM of 242 cyanobacteria. In the green alga Chlamydomonas reinhardtii, the CCM is based on a light-driven 243 pH gradient that is set up across the chloroplast thylakoid membrane, converting bicarbonate 244 transported into the thylakoid lumen into CO_2 near the pyrenoids where CO_2 fixation takes place 245 (Moroney and Ynalvez, 2007; Moroney et al., 2011). An interesting selection experiment where 246 C. reinhardtii was exposed to elevated CO_2 for 1,000 generations revealed that some cell lines 247 lost the ability to induce high-affinity CO₂ uptake (Collins and Bell, 2004; Collins et al., 2006). 248 This was attributed to mutations in CCM genes. Hence, similar to cyanobacteria, C. reinhardtii 249 likely also possesses high-affinity and low-affinity C_i uptake genes. This experiment

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demonstrates that eukaryotic algae can evolve in response to elevated CO₂. Yet, much less is

known about the CCM genes and proteins of algae than those of cyanobacteria.

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53 2.3. Genetic diversity of C_i uptake systems in Microcystis

254 *Microcystis* is a potentially toxic cyanobacterium that forms dense blooms in eutrophic lakes 255 all over the world (Verspagen et al., 2006; Qin et al., 2010; Michalak et al., 2013), and can 256 produce the hepatotoxin microcystin (Codd et al., 2005; Dittmann et al., 2012). The genomes of 257 20 strains of Microcystis aeruginosa (Kützing) (sensu Otsuka et al., 2001) were screened 258 recently, which revealed that these strains differ in the combination of C_i uptake systems 259 (Sandrini et al., 2014). Genes encoding the ATP-dependent bicarbonate transporter BCT, and the 260 two CO₂ uptake systems NDH-I₃ and NDH-I₄ were found in all 20 strains. Most other CCM 261 genes are also widespread in *Microcystis*. However, *Microcystis* strains differ in the presence of 262 the two sodium-dependent bicarbonate transporters BicA and SbtA. Three C_i uptake genotypes 263 were found (Table 1). Some *Microcystis* strains possess all five C_i uptake systems and these are 264 referred to as C_i uptake generalists. Moreover, in *Microcystis*, these C_i uptake generalists co-265 transcribe *bicA* and *sbtA* (Sandrini et al., 2014). Other strains contain the gene *sbtA* encoding for 266 the high-affinity bicarbonate uptake system SbtA but lack the gene *bicA*, and hence will be 267 referred to as high-affinity specialists. And again other strains contain the gene *bicA* encoding for 268 the low-affinity but high-flux bicarbonate uptake system BicA, but lack the gene *sbtA*. These 269 strains will be called high-flux specialists.

Eleven of the 20 investigated *Microcystis* strains produced the hepatotoxin microcystin.
 Microcystin-producing strains were found among the C_i uptake generalists, high-affinity
 specialists and high-flux specialists, and did not form distinct clusters in phylogenetic trees based

on the *bicA* and *sbtAB* sequences (Sandrini et al., 2014). Hence, there is no relationship between
the C_i uptake genotypes and the presence of microcystin production.

275 Within the C_i uptake genotypes, several genetic variants were discovered. For instance, one of 276 the strains had a functional *sbtA* gene but a defective *bicA* gene caused by a transposon insert, 277 and other strains combined *sbtA* with only a small remaining fragment of the *bicA* gene (Sandrini 278 et al., 2014). These strains were classified among the high-affinity specialists, because their *bicA* 279 gene is no longer functional. These results indicate that during the course of evolution some 280 strains may have lost the ability to produce specific C_i uptake systems, in this case the loss of 281 BicA. Presumably, in environments with low C_i availability the production of this low-affinity 282 but high-flux bicarbonate transporter is an unnecessary burden, and its loss may therefore offer a 283 selective advantage.

Consistent with these evolutionary considerations, laboratory experiments confirmed that the genetic variation in C_i uptake systems of *Microcystis* has phenotypic consequences (Sandrini et al., 2014). High-affinity specialists with *sbtA* but without *bicA* grow better at a low partial pressure of CO₂ (pCO₂), but perform poorly at high pCO₂ conditions. Conversely, high-flux specialists with *bicA* but without *sbtA* grow poorly at low pCO₂, but perform well at high pCO₂ levels. Finally, C_i uptake generalists containing all five C_i uptake systems grow well across a wide range of pCO₂ levels (from 20 to 10,000 ppm) (Sandrini et al., 2014).

291 Competition experiments by Van de Waal et al. (2011) showed that rising pCO_2 levels can 292 lead to a reversal in competitive dominance among *Microcystis* strains. These authors interpreted 293 this result by differences in toxin production between the two strains, because one of the strains 294 used in the experiments produced the hepatotoxin microcystin (strain CYA 140) whereas the 295 other was non-toxic (strain CYA 43). However, the genetic analysis of Sandrini et al. (2014) 296 revealed that these two strains also differed in their C_i uptake systems, which provides a much 297 more parsimonious explanation for the observed reversal in competitive dominance. Microcystis 298 strain CYA 140 was a high-affinity specialist (only *sbtA*), and won the competition at low pCO_2 299 levels. In contrast, *Microcystis* strain CYA 43 (= PCC 7005) was a C_i uptake generalist with both 300 *bicA* and *sbtA*, and won the competition at high pCO₂ levels. Hence, while these experiments 301 demonstrate that rising pCO_2 may shift strain dominance, this shift can be attributed to 302 differences in the C_i uptake traits of the strains rather than to differences in their microcystin 303 production. In particular, the results of these competition experiments support the hypothesis that 304 natural selection favors the *sbtA* gene at low CO₂ conditions, whereas *bicA*-containing strains are 305 favored at high CO₂ conditions.

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307 2.4. C_i uptake systems of other harmful cyanobacteria

308 The CCMs of other harmful freshwater cyanobacteria have not been studied in detail, partly 309 because genomic data are still largely lacking. But now the genomes of four Anabaena strains 310 (Wang et al., 2012; Shih et al., 2013; Thiel et al., 2014), one Aphanizomenon strain (Cao et al., 311 2014) and nine *Planktothrix* strains (Tooming-Klunderud et al., 2013; Christiansen et al., 2014) 312 have been sequenced. We analyzed the CCM genes present in these genomes, based on high 313 similarity of the protein sequences with the reference protein sequences from *Microcystis* PCC 314 7806, Microcystis NIES-843, Synechocystis PCC 6803, Synechococcus PCC 7002 and 315 Synechococcus PCC 7942. This analysis revealed that Anabaena, Aphanizomenon and 316 Planktothrix also display variation in the presence of the bicA and sbtA genes, whereas the two 317 CO₂ uptake systems and the BCT1 bicarbonate transporter are widespread among all four 318 cyanobacterial genera (Table 1). Interestingly, in addition to the three genotypes described in *Microcystis*, a fourth genotype that lacks both *bicA* and *sbtA* was detected in *Anabaena*, *Aphanizomenon* and *Planktothrix* (Table 1). Strains with this strategy might be called "C_i uptake
minimalists".

322 Hence, similar to *Microcystis*, other genera of harmful cyanobacteria also show genetic 323 variation in their C_i uptake systems. Presumably, this genetic diversity produces a phenotypic 324 variation similar to *Microcystis*, with a selective advantage for *sbtA*-containing strains at low 325 CO_2 conditions but a selective advantage for *bicA*-containing strains in high- CO_2 environments. 326 The phenotypic niche of Anabaena, Aphanizomenon and Planktothrix strains that lack both bicA 327 and sbtA is intriguing, and has not yet been investigated. The absence of both sodium-328 bicarbonate symporters might imply that bicarbonate uptake has been taken over by the ATP-329 dependent bicarbonate transporter BCT1, as an adaptation to environments with low sodium 330 concentrations. It is also possible that these C_i uptake minimalists are largely specialized in CO_2 331 uptake and have only a very limited capacity for bicarbonate uptake, and hence are mainly found 332 in soft waters with pH < 6 where bicarbonate uptake is of little advantage.

333 It is often argued that cyanobacteria have a very effective CCM, and are therefore particularly 334 strong competitors at low CO_2 levels in comparison to eukaryotic phytoplankton (Shapiro, 1990). 335 If so, one might expect that low CO_2 concentrations will favor cyanobacteria, whereas eukaryotic 336 phytoplankton tend to become more dominant at elevated CO₂ concentrations. A number of 337 competition experiments between cyanobacteria and eukaryotic phytoplankton seems to support 338 this hypothesis (Shapiro, 1997; Caraco and Miller, 1998; Low-Décarie et al., 2011; 2015). In 339 other experiments, however, eukaryotic phytoplankton dominated over cyanobacteria at low CO_2 340 but not at elevated CO₂ concentrations (Verschoor et al., 2013). Indeed, the new insights 341 reviewed above indicate that not all cyanobacteria are strong competitors at low CO₂. The

342 genetic diversity of C_i uptake systems shows that there is major variation in the effectiveness of 343 the cyanobacterial CCM, even among different strains within the same genus. Some 344 cyanobacterial strains perform well at low CO₂, whereas other strains are much better 345 competitors under high CO₂ conditions. This genetic and phenotypic variation in C_i uptake 346 systems provides cyanobacterial communities with the potential for rapid evolutionary 347 adaptation to changing CO₂ conditions, with a major selective advantage for cyanobacteria with 348 high-flux C_i uptake systems in high-CO₂ environments.

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350 **3. Response to rising temperature**

351 *3.1. Enhanced growth rates*

Rising temperatures promote cyanobacterial population dynamics in multiple ways. Temperatures of up to ~25°C directly increase cyanobacterial photosynthesis and growth rate (Robarts and Zohary, 1987; Coles and Jones, 2000; Davis et al., 2009; Mehnert et al., 2010; Lürling et al., 2013). Most phytoplankton species reach their optimum temperature for growth in the range of 20-25°C, although there are exceptions, like the thermophilic cyanobacteria of hot springs (e.g. Allewalt et al., 2006) and stenotherm species (as suggested for *Planktothrix rubescens* (Findenegg, 1947)).

The general consensus is that cyanobacteria have a higher optimal growth temperature than eukaryotic algae. Paerl and Huisman (2008, 2009) based their 'Blooms like it hot' statement on experimental growth rate data of different species by Butterwick et al. (2005) and Reynolds (2006), and of seasonal phytoplankton data in a lake by Jöhnk et al. (2008). As a follow-up, Paerl et al. (2011) and Paerl (2014) showed literature data from several experimental studies, and their graphical compilation of these data also clearly indicate that the temperature optima of 365 cyanobacteria are higher than those of most algae. The temperature optima of cyanobacteria were 366 in the range of 27-37°C overlapping those of green algae with optima in the range of 27-32°C, while those of dinoflagellates (17-27°C) and diatoms (17-22°C) were distinctly different (Paerl, 367 368 2014). An experimental study with different species of cyanobacteria and green algae did not 369 reveal a difference in optimum temperatures between these two taxonomic groups (Lürling et al., 370 2013). An extensive overview of temperature-dependent growth rates from several other studies 371 yielded a slightly higher optimal temperature for cyanobacteria (27.2°C) than for green algae 372 (26.3°C), but this difference was not significant (Lürling et al., 2013). Summarizing, the 373 temperature optima for cyanobacteria and green algae can overlap, and this is likely dependent 374 on species and culture conditions. However, the difference in temperature optima between 375 cyanobacteria and green algae on one side and dinoflagellates and diatoms on the other appears 376 to be considerable.

In addition to temperature optima, it is of interest to investigate how fast the growth rate increases with temperature. The temperature dependence of the growth rates of species has gained much interest in the context of the metabolic theory of ecology (Gillooly et al., 2001; Brown et al., 2004), and can be calculated from the Arrhenius equation:

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$$\mu = c \exp\left(-\frac{E_A}{kT}\right)$$
(2)

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where μ is the growth rate, *c* is a normalization constant, *E*_A is the activation energy, *k* is Boltzmann's constant (8.62 × 10⁻⁵ eV K⁻¹), and *T* is absolute temperature in Kelvin. The activation energy is a measure of the increase of the growth rate with temperature (below the temperature optimum). It can be estimated from an Arrhenius plot, where the natural logarithm 388 of the growth rates $(\ln \mu)$ is plotted against the inverse of temperature (1/kT). The value of the 389 activation energy is then obtained from the (negative) slope of a linear regression of $\ln \mu$ versus 390 1/kT. This approach was applied to the growth data of Lürling et al. (2013). For the 391 cyanobacterium *Aphanizomenon gracile*, for example, this yields an activation energy of $E_A =$ 392 0.64 eV while for the green alga *Scenedesmus acuminatus* it yields 0.11 eV (Fig. 4; Table 2).

393 For the cyanobacterial species investigated by Lürling et al. (2013), E_A ranged from 0.50 to 394 1.23 eV (Table 2). On average, the E_A (± s.d.) of the cyanobacteria was 0.70 (± 0.35) eV, 395 whereas that of green algae was $0.43 (\pm 0.25)$ eV. This indicates that the growth rate of 396 cyanobacteria increases faster with temperature than that of green algae, although the variation 397 among species is considerable, and the difference between cyanobacteria and green algae was 398 therefore at best marginally significant (Two-sample Student's *t*-test (for equal variances), df=14, p=0.096). To facilitate comparison with the literature, Q₁₀ values (which measure the 399 400 change in growth rate for a temperature increase of 10°C) were also calculated from the data of 401 Lürling et al. (2013). Over the temperature range from 20 to 27.5° C, this gave Q₁₀ values of 2.63 402 \pm 0.94 for cyanobacteria and 2.03 \pm 1.02 for green algae (Table 2). Other studies also reported 403 high Q₁₀ values for cyanobacteria, e.g. a study on seven cyanobacterial species by Mehnert et al. 404 (2010) showed an average Q_{10} of 2.33 \pm 0.87. An exceptionally high Q_{10} (~9.6) for the growth-405 temperature dependence of *Microcystis* was reported by Reynolds (2006).

To unravel and understand these differences in temperature sensitivity between cyanobacteria and eukaryotic algae will require further study of the temperature dependencies of the different underlying physiological processes affecting phototrophic growth (e.g., carbon fixation, photorespiration and respiration; Bernacchi et al., 2001; Allen et al., 2005).

411 *3.2. Increased stability of the water column*

412 Temperature not only has a direct effect on the growth rates of organisms, but also has 413 important indirect effects that can tip the competitive balance between species. In particular, high 414 temperatures increase the stability of the water column, which suppresses turbulent mixing 415 (Peeters et al., 2007; Jöhnk et al., 2008) and extends the duration of thermal stratification (De 416 Stasio et al., 1996; Peeters et al., 2007). Thermal stratification is favorable for buoyant 417 cyanobacteria because weak mixing allows buoyant cyanobacteria to float to the upper water 418 layers, where they have better access to light while shading the non-buoyant phytoplankton 419 below (Reynolds et al., 1987; Huisman et al., 2004; Wagner and Adrian, 2009). Several 420 cyanobacterial species contain gas vesicles, which are hollow protein structures in the cell that 421 are filled with gas (Walsby, 1994). If the buoyancy provided by gas vesicles exceeds the ballast 422 provided by other cell constituents, the cells float upwards.

423 Competition between buoyant cyanobacteria and non-buoyant eukaryotic phytoplankton can 424 be described by a simple model. Consider a highly eutrophic or hypertrophic lake, in which 425 nutrients are in ample supply and phytoplankton species such as diatoms, green algae and 426 buoyant cyanobacteria mainly interact through mutual shading (i.e., competition for light). Let 427 $N_i(z,t)$ denote the concentration of phytoplankton species i at depth z and time t. The population 428 dynamics and vertical distribution of a number of n phytoplankton species can be described by 429 the following set of partial differential equations (Klausmeier and Litchman, 2001; Huisman et 430 al., 2004):

431

432
$$\frac{\partial N_i}{\partial t} = \mu_i(I(z))N_i + \nu_i \frac{\partial N_i}{\partial z} + D \frac{\partial^2 N_i}{\partial z^2} \qquad i=1,...,n$$
(3)

434 Here, $\mu_i(I(z))$ is the net specific growth rate of species i as function of light intensity I, where 435 light intensity I decreases exponentially with depth z according to Lambert-Beer's law. 436 Moreover, the light intensity at a given depth also depends on the phytoplankton concentrations 437 above that depth, as denser phytoplankton blooms create more turbid conditions. For notational 438 convenience, it was assumed that the net specific growth rate also includes phytoplankton losses 439 due to, e.g., natural mortality, viral lysis and zooplankton grazing. Furthermore, v_i is the vertical 440 sinking or flotation velocity of species i (with $v_i < 0$ for sinking species and $v_i > 0$ for buoyant 441 species), and D is known as the turbulent diffusion coefficient or vertical eddy diffusivity. Zero-442 flux boundary conditions were assumed.

443 The model predicts that changes in the turbulent diffusion coefficient D, which is a measure 444 of the mixing intensity, can cause a shift in the outcome of competition (Fig. 5; Huisman et al., 445 2004). In turbulent waters, vertical mixing dominates over the flotation and sinking rates of the 446 different species. In this case, buoyant cyanobacteria cannot stay in the upper illuminated layers, 447 because they are dispersed throughout the water column by intense vertical mixing. Under these 448 conditions, diatoms and green algae are often superior competitors and displace buoyant 449 cyanobacteria (Visser et al., 1996; Huisman et al., 2004). In contrast, in waters with only weak 450 vertical mixing the flotation rate exceeds the mixing intensity, and hence buoyant cyanobacteria 451 can float upwards and shade all non-buoyant species below. Moreover, in such stagnant waters 452 diatoms and green algae are not entrained but may sink out of the surface layer. Hence, the 453 model predicts that buoyant cyanobacteria displace the diatoms and green algae and dominate 454 the phytoplankton community in waters with weak vertical mixing (Fig. 5).

455 All three terms in Equation (3) are dependent on temperature. First, the specific growth rates 456 $(\mu_i(I))$ of the different species vary with temperature. As seen in the preceding section, the 457 growth rates of cyanobacteria tend to respond more strongly to rising temperatures than the 458 growth rates of most eukaryotic phytoplankton. Second, according to Stokes' Law, the vertical 459 flotation and sinking velocities (v_i) of the different species are inversely proportional to the 460 viscosity of water. Since water becomes less viscous at higher temperature, this implies that 461 buoyant cyanobacteria will float upwards faster whereas sinking diatoms will sink faster at 462 higher temperature. Third, higher temperatures favor vertical stratification, which increases the 463 stability of the water column and thereby suppresses vertical mixing by turbulent diffusion (D). 464 Hence, higher temperatures lead to enhanced growth, a higher flotation velocity and a more 465 stable water column, which all tend to favor the development of surface blooms of buoyant 466 cyanobacteria at the expense of sinking diatoms and green algae.

467 The model predictions are supported by field studies that manipulated the turbulence structure 468 of eutrophic lakes by artificial mixing. In these lake experiments, buoyant cyanobacteria were 469 dominant when the water was stratified, but they were replaced by non-buoyant eukaryotic 470 phytoplankton after the onset of artificial mixing (Reynolds et al., 1983; Visser et al., 1996; 471 Huisman et al., 2004). An experiment in Lake Nieuwe Meer, The Netherlands, investigated an 472 intermittent mixing regime, in which artificial mixing of the entire lake was alternately switched 473 on and off at two-week intervals (Jöhnk et al., 2008). The experiment was performed during the 474 summer of 2003, which turned out to be one of the hottest summers ever recorded in Europe. 475 The increases and decreases in cell number of the buoyant cyanobacterium *Microcystis* during 476 the summer heatwave coincided with the alternations in mixing intensity. When artificial mixing 477 was switched off, the water column stratified and the *Microcystis* concentration in the surface 478 layer rapidly increased both by vertical migration of the colonies and by population growth. And 479 when artificial mixing was switched on again, the water column was well mixed and Microcystis declined. These results demonstrate that, in this lake, the direct effect of high temperatures on cyanobacterial growth rates was by itself not sufficient for cyanobacterial dominance. In addition, high temperatures also increased the stability of the water column, which enhanced the ability of buoyant cyanobacteria to float upwards and shift the competitive balance in their favor (Jöhnk et al., 2008).

485

486 *3.3. Extension of growing season*

487 Global warming may also affect the annual life cycle of cyanobacteria. Some cyanobacterial 488 species like *Planktothrix agardhii* and *Planktothrix rubescens* form winter blooms or are 489 persistent in the water column throughout the year in temperate lakes (Naselli-Flores et al. 2007; 490 Akçaalan et al. 2014; Anneville et al. 2015). However, most cyanobacterial species decrease in 491 abundance in winter, and several species overwinter as akinetes (specialized cells resistant to 492 cold, desiccation and irradiation) or vegetative cells in lake sediments. N_2 -fixing heterocystous 493 cyanobacteria such as Anabaena, Aphanizomenon and Gloeotrichia may form akinetes (Cirés et 494 al., 2010; Sukenik et al., 2012; 2013), whereas Microcystis colonies overwinter as vegetative 495 cells (Brunberg and Bostrom, 1992; Misson et al., 2010). The overwintering populations of 496 cyanobacteria in the sediment provide a potential inoculum for spring or summer blooms 497 (Brunberg and Blomqvist, 2003; Cirés et al., 2010; Kravchuk et al., 2011). Model simulations indicate that the absence of recruitment from the sediment would decrease the subsequent 498 499 summer bloom of *Microcystis* by about 50% (Verspagen et al., 2005). Increasing temperatures 500 may initiate earlier germination of akinetes (Tsujimura and Okubo, 2003; Carey et al., 2014) and 501 recruitment of *Microcystis* colonies (Trimbee and Prepas, 1988; Karlsson-Elfgren et al., 2004; 502 Cao et al., 2008).

In temperate lakes, *Microcystis* has a bloom period in August-September, after which the population settles to the lake sediment (Reynolds et al., 1981; Takamura et al., 1984; Thomas and Walsby, 1986). This loss of buoyancy in autumn has been explained by accumulation of carbohydrates at decreasing temperatures (Thomas and Walsby, 1986; Visser et al., 1995). Akinete formation by filamentous cyanobacteria is often induced by the onset of physiological stress, e.g., by phosphate limitation, light limitation, or decreasing temperature (Sinclair and Whitton, 1977; Adams and Duggan, 1999; Meeks et al., 2002).

Since temperature is an important driver of recruitment from the sediment in spring and summer, of subsequent population growth, and of the initiation of the benthic life stages at the end of the season, global warming will likely cause an earlier onset and later cessation of cyanobacterial blooms. Hence, climate change may extend the growing season considerably. In Scandinavian lakes, for example, warmer winters and springs have increased spring and early summer biomass of cyanobacteria (Weyhenmeyer, 2001).

516

517 **4. Interactive effects with nutrient availability**

518 *4.1. Effects of climate change depend on nutrient availability*

In many aquatic systems the availability of nutrients determines primary production (Dzialowki et al., 2005; Xu et al., 2010; Lewandowska et al., 2014), and total nitrogen and total phosphorus concentrations are often good predictors of cyanobacterial biomass (Downing et al., 2001; Håkanson et al., 2007). However, at the physiological level, there are still many gaps in our understanding of how nutrient limitation may interact with changes in temperature or CO_2 availability (e.g., Spijkerman et al., 2011). 525 Verspagen et al. (2014a) developed a conceptual framework to predict how different nutrient 526 loads may modify effects of rising CO₂ on phytoplankton biomass production. They investigated 527 a stoichiometrically explicit model that describes phytoplankton growth as function of nutrient, 528 CO_2 and light availability. Hence, there are three potentially limiting resources in this model 529 (Fig. 6A). Inorganic carbon becomes limiting at very low pCO₂ levels, nutrients become limiting 530 at very low nutrient loads, and light becomes limiting in dense phytoplankton blooms at high 531 pCO₂ levels and high nutrient loads. Light limitation can of course also be induced by other 532 mechanisms, such as a high background turbidity (due to high concentrations of dissolved 533 organic matter or resuspended sediment particles), deep mixing, or low incident light intensities 534 in winter. The resource limitation pattern in Fig. 6A can be used to sketch to what extent rising 535 pCO₂ levels will increase phytoplankton biomass (Fig. 6B). In oligotrophic waters with low 536 nutrient loads, rising pCO_2 levels will shift phytoplankton growth from carbon-limited to 537 nutrient-limited conditions (black arrow in Fig. 6A). In this case, the higher CO₂ availability 538 stimulates some growth, but the phytoplankton biomass remains constrained by the low nutrient 539 levels in the system (Fig. 6B). Conversely, in hypertrophic waters with high nutrient loads, rising 540 pCO_2 levels will shift phytoplankton growth from carbon- to light-limited conditions (white 541 arrow in Fig. 6A), which will allow a much larger increase in phytoplankton biomass (Fig. 6B). 542 Chemostat experiments with the harmful cyanobacteria Microcystis CYA140 and HUB5-2-4 543 confirmed these model predictions (Verspagen et al., 2014a). Hence, the general message 544 emerging from these results is that phytoplankton biomass will respond more strongly to rising 545 pCO₂ levels in eutrophic and hypertrophic than in oligotrophic ecosystems.

546 Similarly, the response of cyanobacteria to temperature depends strongly on nutrient 547 availability (Davis et al., 2009; Wagner and Adrian, 2009; Kosten et al., 2012; Taranu et al., 548 2012; Beaulieu et al., 2013; Rigosi et al., 2014). For instance, two recent studies analyzed data 549 on cyanobacterial biomass in more than 1,000 lakes in the USA (Beaulieu et al., 2013; Rigosi et 550 al., 2014). They found that the cyanobacterial biomass in these lakes was affected by both 551 nutrients and temperature, where nutrients explained a larger proportion of the variation than 552 temperature. Moreover, the relative importance of nutrients and temperature varied with the 553 nutrient status of the lakes. Nutrient availability had a large impact on cyanobacterial biomass in 554 oligotrophic lakes, whereas temperature was more important in mesotrophic lakes. In eutrophic 555 and hyper-eutrophic lakes, nutrients and temperature had a synergistic effect on cyanobacterial 556 biomass (Rigosi et al., 2014). Synergy of nutrients and temperature implies that in a warmer 557 climate, nutrient concentrations will have to be decreased further in order to control 558 cyanobacterial biomass (Kosten et al., 2012). Furthermore, analysis of this large data set revealed 559 that the response to nutrients and temperature varied among the different cyanobacterial taxa. 560 Some taxa, such as Anabaena, are more sensitive to changes in nutrient availability, whereas 561 other taxa, such as *Microcystis*, are more sensitive to changes in temperature (Rigosi et al., 562 2014).

563

564 *4.2. Effects of climate change on nitrogen fixation*

Several genera of harmful cyanobacteria are capable of fixing atmospheric dinitrogen (N₂), including *Anabaena* (nowadays referred to as *Dolichospermum*; Wacklin et al., 2009), *Aphanizomenon, Cylindrospermopsis, Nodularia, Lyngbya* and *Nostoc*. In contrast, other harmful cyanobacterial genera such as *Microcystis* and *Planktothrix* cannot fix N₂. Nitrogen fixation is carried out by nitrogenase (Zehr et al., 2000). This enzyme complex is inhibited by oxygen (Gallon, 1992). Since photosynthesis produces oxygen, cyanobacteria need special adaptations to 571 protect nitrogenase from oxygen inactivation. In freshwater ecosystems, diazotrophic 572 cyanobacteria have developed a spatial separation of photosynthesis and nitrogen fixation by 573 differentiating special cells, known as heterocysts (Muro-Pastor and Hess, 2012). Heterocysts 574 have a thick glycolipid cell wall. This cell wall serves as a gas diffusion barrier to decrease the 575 diffusion of O₂ into the heterocyst, but should of course allow a sufficient influx of N₂ to enable 576 nitrogen fixation (Walsby, 1985). Hence, the glycolipid layer is not impermeable for gases. The 577 O_2 that unavoidably diffuses into the heterocyst should be respired fast enough to obtain near 578 anoxic conditions inside the heterocyst.

579 Recently, theory has been developed that uses the different temperature dependencies of 580 O₂ diffusion and respiration to predict how rising temperature will affect nitrogen fixation (Stal, 581 2009; Brauer et al., 2013). Diffusion of O_2 into the cell depends on the O_2 concentration in the 582 surrounding medium, temperature, and the diffusion properties of the cell wall. All else being 583 equal, the O₂ diffusion rate slightly increases with temperature at a Q_{10} of ~1.1; i.e., with every 584 10° C increase of temperature the influx of O₂ into the heterocyst increases only with a factor 1.1 585 (Stal, 2009). Respiration is an enzymatic process that increases (within its physiological limits) 586 with temperature at a Q_{10} of ~2; i.e. the respiration rate doubles with each 10°C increase of 587 temperature. The consequence is that at high temperature, the respiration rate may easily keep up 588 with the diffusive influx of O_2 . However, at low temperature, when respiration is slow, the influx 589 of O₂ by diffusion may exceed the respiration rate and hence the heterocyst has difficulty to 590 maintain anaerobic conditions. At low temperature, the glycolipid cell wall must therefore be 591 more efficient as a gas diffusion barrier. For instance, in the cold waters of the northern Baltic 592 Sea, heterocysts of Anabaena sp. possess a much more efficient gas diffusion barrier than those 593 of Nodularia spumigena in the warmer waters of the Bornholm Sea in the southern Baltic (Stal,

594 2009). The downside of a more efficient gas diffusion barrier is a lower influx of N_2 into the 595 heterocysts, thus suppressing their nitrogen fixation activity. The *Nodularia* blooms in the 596 southern Baltic are indeed more productive in terms of biomass and N_2 fixation activity than the 597 *Anabaena* blooms in the northern Baltic.

These theoretical considerations suggest that increasing temperature will favor heterocysts with glycolipid cell walls that are less rigorous N_2 diffusion barriers and presumably less 'expensive' to synthesize. Therefore, it is likely that increasing temperature will result in a substantially higher N_2 fixation activity and greater competitive advantage for diazotrophic cyanobacteria in nitrogen-limited waters.

603 Rising CO₂ concentrations may also enhance nitrogen fixation rates, as has been reported 604 for non-heterocystous marine cyanobacteria such as Trichodesmium and Crocosphaera 605 (Hutchins et al., 2007; Levitan et al., 2007; Fu et al., 2008). However, there are large strain-606 specific differences in CO_2 response, suggesting that individual strains of these diazotrophs are 607 adapted to grow and fix nitrogen at different CO_2 concentrations (Hutchins et al., 2013). These 608 strain-specific differences might again be related to variation in the presence and expression of 609 different C_i uptake systems, similar to the genetic and phenotypic variation in CO_2 responses of 610 Microcystis (Sandrini et al., 2014; 2015b). For example, Trichodesmium erythraeum IMS101 611 possesses only the high-flux CO_2 uptake system NDH-I₄ and the high-flux bicarbonate uptake 612 system BicA (Kranz et al., 2011), which may explain why the nitrogen fixation and growth rate 613 of this high-flux specialist increase strongly with a rise in ambient pCO_2 levels (Hutchins et al., 614 2007; Levitan et al., 2007; Kranz et al., 2009).

Nitrogen fixation rates in *Nodularia spumigena*, a heterocystous diazotroph from the
Baltic Sea, showed contrasting CO₂ responses in different laboratory experiments (Czerny et al.,

617 2009; Wannicke et al., 2012; Eichner et al., 2014). Part of this variation might be attributed to 618 different growth conditions, as some experiments were performed under phosphate-limited 619 conditions (Wannicke et al., 2012) whereas others used phosphate-replete conditions (Czerny et 620 al., 2009; Eichner et al., 2014). Mesocosms with natural phytoplankton assemblages from the 621 Baltic Sea, including Nodularia and Aphanizomenon species, did not reveal any signification 622 change in N₂ fixation activity in response to elevated CO₂ (Paul et al., 2015). To what extent the 623 N_2 fixation rates of harmful cyanobacteria in lakes and reservoirs will respond to rising CO₂ is 624 still largely an open question. Comparative studies of the genetic and phenotypic variation in 625 CO₂ responses among diazotrophs may shed more light on this important gap in our knowledge.

626

627 5. Effects of climate change on cyanobacterial toxins

Cyanobacteria produce a range of bioactive compounds (Welker and Von Döhren, 1998; Leão et al. 2012). Microcystins are the most well-known and most abundant ones in lakes and are toxic to animals (Metcalf and Codd, 2012). In predicting the effects of climate change on microcystin concentrations in a lake, one should focus on the effect of environmental conditions on: 1) cyanobacterial biomass, 2) the ratio of toxic (microcystin-producing) to non-toxic cyanobacteria, and 3) the microcystin production per cell.

Variation in cyanobacterial biomass causes the highest variation in cyanotoxin concentration in aquatic ecosystems: the more cyanobacterial biomass, the higher the toxin concentration. Cyanobacterial biomass is affected by CO₂, temperature, nutrients, and light, as has been described in the preceding sections when impacts on cyanobacterial growth were discussed.

638 The ratio of toxic to non-toxic strains is also a major determinant of the microcystin
639 concentration in lakes (Kardinaal and Visser, 2005). Davis et al. (2009) found that during field

640 experiments in four lakes in the northeast USA, toxic strains of *Microcystis* grew faster than their 641 non-toxic counterparts when water temperatures were increased 4°C above ambient (average of 642 the four lakes was 24°C). Furthermore, they found that the interaction of increasing temperature 643 and nutrients produced the highest growth rates in toxic strains, potentially leading to larger 644 blooms with higher toxin contents.

645 Changes in microcystin production can be responsible for up to a fourfold variation of the 646 microcystin content per cell (Wiedner et al., 2003; Kardinaal and Visser, 2005; Van de Waal et 647 al., 2009). Many studies have investigated the impact of environmental variables on the 648 microcystin production of toxic cells. The review of Gehringer and Wannicke (2014) indicates 649 that microcystin production is stimulated by an ample supply of nutrients in combination with 650 suitable temperature and light conditions for optimal growth. Under nutrient-rich conditions, 651 elevated CO₂ levels stimulate a further increase of the microcystin content in *Microcystis* cells 652 (Van de Waal et al., 2009; Sandrini et al., 2015a). Furthermore, in a strain producing several 653 different microcystin variants, elevated CO₂ levels in combination with high nitrogen 654 concentrations shifted the microcystin composition towards the more N-rich but less toxic 655 variant microcystin-RR (Van de Waal et al., 2009).

Almost all previous research on the effects of environmental conditions on microcystin production (reviewed by, e.g., Sivonen and Jones, 1999; Gehringer and Wannicke, 2014) has been performed on free microcystins in the cells, while it is now known that a large fraction is covalently bound to proteins (Zilliges et al., 2011; Meissner et al., 2013, 2015). These bound microcystins cannot be extracted using methanol. The fraction of bound microcystins is variable and dependent on the environmental conditions, e.g., the binding to proteins is associated with oxidative stress caused by high light (Meissner et al., 2013). This raises questions regarding the

validity of previous studies as well as the potential toxicity of bound microcystins. Furtherresearch on the binding of microcystins to proteins is therefore recommended.

665 Cyanobacteria can also produce a variety of other toxins, including the hepatotoxins nodularin 666 and cylindrospermopsin and the neurotoxins anatoxin and saxitoxin. These cyanotoxins are less 667 widespread than microcystin, and only a few studies have investigated how their production is 668 affected by environmental conditions (reviewed by Neilan et al., 2013; Boopathi and Ki, 2014). 669 The available studies indicate that nodularin production by *Nodularia spumigena* was stimulated 670 at elevated temperature (Lehtimäki et al., 1997; Hobson and Fallowfield, 2003). Saxitoxin 671 production by Aphanizomenon sp. LMECYA was higher at 28°C than at 22°C (Dias et al., 2002), 672 but saxitoxin production by Cylindrospermopsis raciborskii strain C10 was lower at 25°C than at 673 19°C (Castro et al., 2004). Anatoxin production by Anabaena and Aphanizomenon decreased at 674 high temperature (Rapala et al., 1993). Hence, each of these cyanotoxins shows a different 675 temperature response, which indicates that rising temperatures may alter the toxin composition 676 of cyanobacterial blooms.

677

678 **6. Future research needs and conclusions**

One of the key points emphasized in this review is that dissolved inorganic carbon concentrations in eutrophic lakes can change dramatically on seasonal time scales, from supersaturation in winter to undersaturation in summer. Yet, the possible impacts of rising atmospheric CO_2 levels on freshwater ecosystems have received surprisingly little attention thus far. Models and laboratory experiments provide arguments that rising CO_2 levels are likely to stimulate cyanobacterial blooms. However, field evidence is still limited, and the extent to which cyanobacterial blooms can sequester atmospheric CO_2 is still largely unexplored. Hence, there is a need for lake studies on the coupling of cyanobacterial blooms with seasonal and diurnal dynamics of the dissolved inorganic carbon, and how these dynamics interact with exchanges of CO₂ with the atmosphere.

689 Furthermore, during recent years much more has become known about the molecular 690 functioning and genetic diversity of cyanobacterial CCMs, both in model cyanobacteria such as 691 Synechocystis PCC 6803 (Price, 2011; Burnap et al., 2015) and in environmentally relevant 692 cyanobacteria such as *Microcystis* (Sandrini et al., 2014, 2015b). However, little is known about 693 the abundance, succession and geographical distribution of different C_i uptake genotypes in 694 natural waters, or about evolutionary adaptation of cyanobacterial CCMs following prolonged 695 exposure to elevated CO₂ concentrations. Hence, there is a need for biogeographical and eco-696 evolutionary studies investigating adaptive responses of cyanobacteria to changes in CO_2 697 availability.

698 Although effects of environmental conditions on microcystin production in *Microcystis* have 699 been extensively investigated, there are many other toxins produced by many other species that 700 have yet to be examined. Furthermore, the toxin concentrations in cyanobacteria-dominated 701 lakes are largely determined by the relative abundances of toxic versus non-toxic strains. Yet, 702 only a few studies have investigated how the competition between toxic and non-toxic strains is 703 altered at elevated temperature (Davis et al., 2009) and elevated CO_2 (Van de Waal et al., 2011). 704 Hence, there is a need for studies assessing how climate change will affect the toxicity of 705 cyanobacterial blooms, and in particular under which circumstances toxic strains are able to 706 outperform non-toxic strains and vice versa.

707 Cyanobacteria and eukaryotic algae may respond differently to climate change, which can708 lead to large changes in phytoplankton community composition. Yet, only a few studies have

compared growth responses to temperature or CO_2 across a wide range of species (e.g., Butterwick et al., 2005; Lürling et al., 2013). The available studies provide little information on the impact of limiting resources (N, P, carbon, light) on the temperature-growth responses, and possible synergistic effects of rising CO_2 and elevated temperature have rarely been investigated (Fu et al., 2007; Karlberg and Wulff, 2013). Hence, to understand changes in community composition, there is a great need for controlled studies that compare growth responses to rising CO_2 and global warming across different species.

Comparative lake data have been analyzed to study the impact of temperature on cyanobacterial dominance across large geographical gradients (Kosten et al., 2012; Taranu et al., 2012; Beaulieu et al., 2013; Rigosi et al., 2014). To predict the impact of rising CO_2 concentrations, similar comparative lake studies should be carried out that focus on CO_2 dynamics and pH in relation to phytoplankton community composition. Furthermore, there is a particular need for long-term lake studies, so that changes over time can be quantified.

722 In conclusion, the effects of climate change on cyanobacteria are multifaceted and can be 723 quite complex. However, there is broad consensus in the scientific literature that rising 724 atmospheric CO₂ concentrations and global warming are likely to increase the occurrence, 725 intensity and duration of harmful cyanobacterial blooms in eutrophic lakes. Additionally, the 726 microcystin production of cyanobacteria will probably increase at elevated temperature and high 727 CO_2 levels. There are still many intriguing open questions and uncertainties. Hence, there is a 728 clear need for more laboratory and field research across a range of spatiotemporal scales. The 729 risk that changes in climate and land use will cause a further deterioration of the water quality in 730 many areas of the world generates a societal responsibility for scientists, water managers and policy makers to take further steps in our ability to understand, predict and mitigate theoccurrence of toxic blooms in the surface waters across the changing landscapes of our planet.

733

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Table 1. The presence/absence of genes for C_i uptake systems and microcystin synthesis in 1215 cyanobacteria.

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		C _i uptake systems					
Strain	Origin	Bicarbonate		CO_2		microcystin	
	U	BicA	SbtA	BCT1	NDH-I ₃	NDH-I ₄	genes
8 Microcystis strains	Sandrini et al. (2014)	+	+	+	+	+	+/
Microcystis PCC 7806	Sandrini et al. (2014)	+	-	+	+	+	+
11 Microcystis strains	Sandrini et al. (2014)	-	+	+	+	+	+/
Anabaena cylindrica PCC 7122	GBR (Cambridge)	+	-	?	+	+	-
Anabaena sp. 90	FIN (Lake Vesijarvi)	-	_	+	+	+	+
Anabaena sp. PCC 7108	USA (Moss Beach, California)	_	+	+	+	+	-
Anabaena variabilis ATCC 29413	USA (Mississippi)	+,≠	+	+	+	+	-
Aphanizomenon flos- aquae NIES-81	JPN (Lake Kasumigaura)	_	_	+	+	+	-
Planktothrix agardhii NIVA-CYA 15	NOR (Lake Kolbotnvatnet)	_	+	+	+	+	+
Planktothrix agardhii NIVA-CYA 34	NOR (Lake Kolbotnvatnet)	_	_	+	+	+	+
Planktothrix agardhii NIVA-CYA 56/3	FIN (Lake Steinsfjorden)	_	+	+	+	+	+
Planktothrix prolifica NIVA-CYA 98	FIN (Lake Steinsfjorden)	_	_	+	+	+	+
Planktothrix agardhii NIVA-CYA 126/8	FIN (Lake Langsjon)	+	_	+	+	+	+
Planktothrix mougeotii NIVA-CYA 405	FIN (Lake Steinsfjorden)	_	_	+	+	+	+
Planktothrix prolifica NIVA-CYA 406	FIN (Lake Steinsfjorden)	_	_	+	+	+	+
Planktothrix rubescens NIVA-CYA 407	FIN (Lake Steinsfjorden)	_	_	+	+	+	+
Planktothrix prolifica NIVA-CYA 540	FIN (Lake Steinsfjorden)	_	+	+	+	+	+
<i>Synechocystis</i> sp. PCC 6803	USA (California)	+	+	+	+	+	-
<i>Synechococcus</i> sp. PCC 7002	PRI (Magueyes Island)	+	+	-	+	+	_
<i>Synechococcus</i> sp. PCC 7942	USA (Texas)	_	+	+	+	+	-

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The C_i uptake systems SbtA, BCT1 and NDH-I₃ have a high substrate affinity and low flux rate, whereas BicA and NDH-I₄ have a low substrate affinity and high flux rate.

The model cyanobacteria Synechocystis PCC 6803, Synechococcus PCC 7002 and Synechococcus PCC 7942 are shown for comparison with sequenced Microcystis, Anabaena, Aphanizomenon, and Planktothrix strains.

1222 + indicates that the gene is present. - indicates that the gene is absent. \neq indicates that only a small fragment of 1223 the gene is present. ? indicates that a similar gene is present, but it is not clear if it encodes for the BCT1 bicarbonate 1224 transporter (*cmpABCD*), or possibly a different transporter.

1225 The origins of the strains are indicated with three-letter codes of the different countries (ISO 3166-1 a-3).

The presence of C_i uptake genes is based on high similarity of the protein sequences with the reference protein sequences in *Microcystis* PCC 7806, *Microcystis* NIES-843, *Synechocystis* PCC 6803, *Synechococcus* PCC 7002 and *Synechococcus* PCC 7942. The presence of microcystin genes indicates potentially toxic strains.

Cyanobacteria	E _A	Q ₁₀
Anabaena sp. PCC7122	0.58	1.92
Aphanizomenon gracile	0.64	2.40
Cylindrospermopsis raciborskii CIRF-01	0.75	2.56
Microcystis aeruginosa PCC7941	0.54	2.21
Microcystis aeruginosa CYA140	1.23	4.63
Planktothrix agardhii CYA116	0.51	1.93
Planktothrix agardhii CYA126	0.50	
Synechococcus elongatus PCC6301	0.83	2.75
Green algae		
Ankistrodesmus falcatus CHL8	1.03	1.35
Chlamydomonas reinhardtii CHL13	0.85	1.26
Desmodesmus bicellularis CCAP276/14	0.37	1.92
Desmodesmus quadricauda UTEX614	0.46	2.99
Monoraphidium minutum	0.21	1.83
Scenedesmus acuminatus UTEX415	0.11	1.69
Scenedesmus maximus SAG39.81	0.37	4.09
Scenedesmus obliquus SAG276/3a	0.05	1.10

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1238 Figure legends

Fig. 1. Seasonal changes in phytoplankton population density (green line), dissolved CO_2 concentration ([CO_2], black solid line) and pH (grey dash-dotted line) in Lake Volkerak during two consecutive years. The black dashed line is the expected dissolved CO_2 concentration ([CO_2^*]) when assuming equilibrium with the atmospheric p CO_2 level. Blue shading indicates that the lake is supersaturated with CO_2 , whereas red shading indicates undersaturation. In the months July-October, the cyanobacterium *Microcystis* comprised 75-98% of the phytoplankton population. Adjusted from Verspagen et al. (2014b).

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1248 Fig. 2. Cyanobacterial growth and inorganic carbon chemistry at two different pCO₂ levels. Left panels: Chemostat experiment with low pCO₂ of 200 ppm in the gas flow and 500 μ mol L⁻¹ 1249 bicarbonate in the mineral medium. Right panels: Chemostat experiment with high pCO₂ of 1,200 ppm in the gas flow and 2,000 μ mol L⁻¹ bicarbonate in the mineral medium. Both 1250 1251 chemostats were inoculated with Microcystis CYA140. (A,B) Microcystis biomass (expressed as 1252 1253 biovolume) and light intensity penetrating through the chemostat (I_{OUT}) . (C,D) Dissolved CO₂, 1254 bicarbonate and carbonate concentrations. (E,F) pH. Symbols represent measurements, lines 1255 show model predictions. Adjusted from Verspagen et al. (2014b). 1256

1257 Fig. 3. Schematic overview of the CCM in cyanobacteria. Five different C_i uptake systems are 1258 known in cyanobacteria, including the ATP-dependent bicarbonate uptake system BCT1, two 1259 sodium-dependent bicarbonate uptake systems (BicA and SbtA) and two CO₂ uptake systems 1260 (NDH-I₃ and NDH-I₄). The C_i uptake systems differ in their affinities and flux rates. 1261 Accumulated bicarbonate is converted to CO₂ by carbonic anhydrases (CA) in the 1262 carboxysomes. CO₂ fixation by RuBisCO leads to the formation of 3-phosphoglycerate (3PG), 1263 whereas the reaction with O₂ (photorespiration) produces toxic 2-phosphoglycolate (2PG). The 1264 dashed lines indicate CO₂ leakage from the carboxysome, which can partly be intercepted by the 1265 CO₂ uptake systems.

Fig. 4. Effect of temperature (expressed as 1/(kT), where k is Boltzmann's constant and T is absolute temperature in degrees Kelvin) on the growth rate of the cyanobacterium *Aphanizomenon gracile* (squares) and the green alga *Scenedesmus acuminatus* UTEX415 (circles) as determined in batch cultures (data from Lürling et al. 2013).

Fig. 5. Model prediction of competition between buoyant cyanobacteria and sinking diatoms and green algae, as a function of water-column depth and turbulent diffusion. The boundary line between the region of cyanobacterial dominance and the region where diatoms and green algae win depends on the ratio of the time scale of turbulent mixing and the vertical flotation velocity of the buoyant cyanobacteria. Hence, the exact position of this boundary line will vary among different species of cyanobacteria. For details, see Huisman et al. (2004).

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Fig. 6. (A) Hypothesized patterns of resource limitation, at different atmospheric CO_2 levels and nutrient loads. The arrows indicate that rising atmospheric CO_2 levels will cause a shift from carbon to nutrient limitation in systems with a low nutrient load (black arrow), but from carbon to light limitation in systems with a high nutrient load (white arrow). (B) The extent to which

- 1283 phytoplankton biomass will increase with rising CO_2 levels will depend on the nutrient load.
- 1284 Adjusted from Verspagen et al. (2014a).











water column depth

