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European Hereditary Tumour Grp EHT

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Colorectal cancer incidences in Lynch syndrome: a comparison of results from the prospective lynch syndrome database and the international mismatch repair consortium

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Abstract

Objective: To compare colorectal cancer (CRC) incidences in carriers of pathogenic variants of the MMR genes in the PLSD and IMRC cohorts, of which only the former included mandatory colonoscopy surveillance for all participants.

Methods: CRC incidences were calculated in an intervention group comprising a cohort of confrmed carriers of pathogenic or likely pathogenic variants in mismatch repair genes (path_MMR) followed prospectively by the Prospective Lynch Syndrome Database (PLSD). All had colonoscopy surveillance, with polypectomy when polyps were identified. Comparison was made with a retrospective cohort reported by the International Mismatch Repair Consortium (IMRC). This comprised confrmed and inferred *path_MMR* carriers who were frst- or second-degree relatives of Lynch syndrome probands.

Results: In the PLSD, 8,153 subjects had follow-up colonoscopy surveillance for a total of 67,604 years and 578 carriers had CRC diagnosed. Average cumulative incidences of CRC in *path_MLH1* carriers at 70 years of age were 52% in males and 41% in females; for *path_MSH2* 50% and 39%; for *path_MSH6* 13% and 17% and for *path_PMS2* 11% and 8%. In contrast, in the IMRC cohort, corresponding cumulative incidences were 40% and 27%; 34% and 23%; 16% and 8% and 7% and 6%. Comparing just the European carriers in the two series gave similar fndings. Numbers in the PLSD series did not allow comparisons of carriers from other continents separately. Cumulative incidences at 25 years were < 1% in all retrospective groups.

Conclusions: Prospectively observed CRC incidences (PLSD) in *path_MLH1* and *path_MSH2* carriers undergoing colo‑ noscopy surveillance and polypectomy were higher than in the retrospective (IMRC) series, and were not reduced in *path_MSH6* carriers. These fndings were the opposite to those expected. CRC point incidence before 50 years of age was reduced in *path_PMS2* carriers subjected to colonoscopy, but not signifcantly so.

Keywords: Lynch Syndrome, Epidemiology, Prevention, Penetrance, Colorectal cancer, Segregation analysis, Prospective, Incidence, Over-diagnosis, Colonoscopy

Background

In 1995, a study in Finland found that colonoscopy with polypectomy conducted every three to five years was associated with a reduced CRC incidence in Lynch syndrome (LS) when compared to LS patients who did not have colonoscopy [1]. It was stated that '*The recommended surveillance protocol for HNPCC is based on the hypothesis that the adenoma-carcinoma sequence, which is generally accepted in sporadic colorectal cancer, is also applicable in HNPCC'* [2] and relatives of LS cases were thereafter widely subjected to surveillance with colonoscopy every three years. However, continued occurrence of CRC was noted despite this surveillance and, in response, the recommended interval between colonoscopies was

reduced [3, 4]. Despite this change, continuing occurrence of CRC was still observed and in some centres the interval between colonoscopies was therefore reduced further, to one year [5] or even less. Surprisingly, since the initial Finnish report in 1995 that did not control for lead-time bias and was not randomized, there has been no confrmatory study to show that colonoscopy surveillance with polypectomy signifcantly reduces CRC incidence in LS.

The earliest published reports of LS families $[6]$ suggest that in previous generations, most individuals who developed a frst cancer died from that cancer. By contrast, more recently, LS patients diagnosed with a noncolorectal cancer at a young age usually survive and often develop CRC later in life $[7]$. The extent to which this

time-trend (survivor bias) has infuenced the outcomes of interventions that aim to prevent occurrence of CRC and improve its prognosis through early detection and treatment, is not known.

No single institution nor country had the resources needed to resolve these issues, leading the European Hereditary Tumour Group in 2012 [\(www.ehtg.org](http://www.ehtg.org), that at the time was known as the Mallorca group) to invite pooling of international results of prospective follow-up of LS families in a single shared database, the Prospective Lynch Syndrome Database (PLSD). The goal of PLSD was to describe cancer incidences in all organs in carriers of *path_MMR* variants who were undergoing followup according to the internationally advocated clinical guidelines and to stratify these by age, gene and gender. Once sufficient numbers of carriers and follow-up years were collated, the intention was to use the information obtained to assess whether the results were compatible with current assumptions about carcinogenesis and the expected effects of interventions in LS. This paper reports the results of one such assessment. Because neither randomized trials of colonoscopy versus no-colonoscopy, nor open trials with a non-intervention control arm are likely to be undertaken in LS, a separate goal of PLSD is to produce the information needed to inform development of alternative randomized trials, for example of diferent surveillance intervals, in the future.

Around the same time as the PLSD was developed, an initiative aiming to compile data on as many LS families as possible for a retrospective segregation analysis was established by the International Mismatch Repair Consortium (IMRC) (<https://www.sphinx.org.au/imrc>). Its primary aim was to determine the cumulative CRC incidences in *path_MMR* carriers by retrospective analysis including family members in former generations who were not subject to the same degree of CRC preventive surveillance with colonoscopy as contemporary patients followed in PLSD [5].

There is limited information on survival following CRC detected during surveillance with colonoscopy in *path_ MMR* carriers, other than reports from the PLSD [8] and the recent IMRC report did not include data on survival [5].

Here, we compare prospective CRC incidences in an updated version of PLSD that includes 8,153 *path_MMR* carriers aged from 25 to 70 years and subjected to regular follow-up with colonoscopy for a total of 67,604 years with retrospective CRC incidences calculated from *path_ MMR* carriers from 5,255 families collected by the IMRC.

Methods

The PLSD compiles observed cancers in *path_MMR* carriers from the frst prospectively planned and performed colonoscopy. It considers all cancers that occur before or at the same age as the frst colonoscopy as prior or prevalent cancers, and from that point onwards it counts new primary cancers as events. Data collection was made from age 25 years at earliest, and cumulative incidence of CRC at age 25 years was set to zero. When CRC was counted as the event, all carriers who already had CRC prior to or at inclusion in the study were excluded, and observation time was right-censored at the frst event, last observation or death, whichever came first. These methods have been discussed in detail in a separate report [9]. Lead-time bias was controlled by colonoscopy at inclusion and only scoring CRC after inclusion as an event, but since there is no pre-determined time to rightcensoring and no obligatory colonoscopy at right-censoring is required, length-time bias may occur. Although the median observation time was less than 10 years, as it is longer in some patients, time-trend bias may occur. Except for the efects of ascertainment bias, which will afect any study, the annual incidences of CRC reported by PLSD are not subject to any assumptions underlying the calculations: they reflect observed events divided by observation years in each age group. Observation years and events (i.e. CRCs) in each fve-year age group were calculated by using MySQL80 ©. Incidence rates (AIR) were calculated in fve-year cohorts starting at age 25 as number of events (in this paper CRCs) divided by number of observation years in each age cohort. The corresponding incidence risk (IR) was approximated by IR $=$ AIR \times 5 years. Cumulative incidence risk was set to zero at age 25. In previous PLSD reports cumulative incidence, denoted Q, was computed starting at age 25 using the formula $Q(age) = Q(age-1) + [1 - Q(age-1)] \times AI$ R(age) and the 95% confdence intervals (CIs) were calculated using the Lagrange multiplier test. In this report, we calculated the cumulative incidence risks and the 95% CIs based on Nelson-Aalen estimates with an underlying Poisson distribution as detailed in the supplementary note. As expected, the cumulative incidences calculated by the former and present methods were close to identical, while the Poisson distribution gave slightly diferent CIs (comparison not shown). There were insufficient numbers to calculate results separately for continents other than Europe.

The IMRC used a segregation analysis to study a retrospective family cohort of frst- and second-degree relatives, including 31,944 frst-degree relatives and 47,865 second-degree relatives of *path_MMR* probands in 5,585 families. Most probands were *path_MLH1* or *path_ MSH2* carriers, and the methods were discussed previously. Pedigree data of *path_MMR* families was sought from clinicians and researchers worldwide between July 11, 2014 and December 31, 2018 [5]. Observation time for all individuals included their lifetime risk of

frst colorectal cancer from birth to their age at death or last known age at latest update to the cohort in 2018. In summary, standard methods correcting for ascertainment bias and then calculating cumulative incidences of CRC by age, gene and gender were applied. The results were reported by continent. To allow for direct comparison with the PLSD, the results of IMRC segregation analyses for the current study were not right-censored at polypectomy (as had been done in the previously published IMRC report [5]) and consequently the results presented here may difer slightly from those previously published. The overall (global) averages were calculated as a weighted mean of the results from the diferent continents that were previously reported.

Results

Cumulative incidences at ages 30, 40, 50, 60 and 70 years for male and female carriers by MMR gene are detailed in Table 1. For the PLSD series, numbers of carriers included and follow-up years by country are given in the supplementary Table 1.

Prospective PLSD series under colonoscopy surveillance

In total 8,153 carriers were subject to follow-up with colonoscopy for 67,604 years with mean follow-up time of 8.3 years, including 6,266 carriers followed-up for 53,559 years with mean follow-up time 8.5 years in Europe. Five-hundred and seventy-eight carriers had CRC diagnosed. Average cumulative incidences of CRC (with 95% confdence intervals in parentheses) in *path_ MLH1*, *path_MSH2*, *path_MSH6* and *path_PMS2* carriers at 70 years of age were 52 (45-59)%/ 41 (35-48)%, 50 (42-58)%/ 39 (33-46)%, 13 (7-25)%/ 17 (11-26)% and 11 (3-37)%/ 8 (2-29)% in male/female carriers in the total cohort, respectively. For carriers followed-up in Europe, the corresponding cumulative incidences at 70 years were 49 (42-57)% / 41 (35-48)%, 46 (37-57)%/ 39 (31-47) %, 12 (6-24)%/ 15 (9-24)% and 12 (3-40) %/ 3 (1-22)%, respectively.

Retrospective IMRC series

The corresponding average calculated cumulative incidences up to 70 years of age based on all carriers from the IMRC cohort were 40 (34-47)%/ 27 (22-33)%, 34 (28- 40)%/ 23 (19-29)%, 16 (12-24)%/ 8 (6-13)% and 7 (6-8)%/ 6 (5-6)%, respectively. The cumulative incidences in families from Europe were 36 (27-48)%/ 22 (15-32)%, 28 (21- 38)%/ 17 (11-27)%, 14 (8-24)%/ 6 (3-11)% and 8 (6-10)%/ 5 (4-7)%, respectively. Cumulative incidences at 25 years of ages were < 1.0% in all of the groups considered. Sixty percent of the carriers were right-censored after 1980.

As seen in Table 1 and Fig. 1, the cumulative incidences of CRC in *path_MLH1* and *path_MSH2* carriers of both genders were signifcantly higher in the prospective PLSD cohort in which all were subjected to regular colonoscopy surveillance than in the IMRC cohort (95% confdence intervals do not overlap). No signifcant diferences were observed for *MSH6,* for which fewer patients and events were available in both cohorts (95% confdence intervals of the one series overlap the mean of the other). The point estimates for the mean for *path PMS2* carriers below 50 years of age indicated a lower CRC incidence in the PLSD cohort when compared to the IMRC cohort,

Discussion

Prospectively observed incidences of CRC in *path_ MLH1*, *path_MSH2* and *path_MSH6* carriers of both genders in the PLSD cohort, in which all patients were subject to colonoscopy surveillance, were up to twice as high as in the retrospective IMRC series that included carriers who did not all receive regular surveillance colonoscopy.

but this was not statistically signifcant.

Consideration of the methodologies used and the associated statistical concepts and confounders is indicated to explore the possibility that the results we obtained might refect methodological biases, particularly as they were the opposite of what was expected. The PLSD methods have been described previously [9] and the IMRC results were produced using commonly accepted methods, as previously described [5]. Assuming the PLSD mean observation time (8.3 years) to be applicable to IMRC cases right-censored after 1980 (when surveillance with colonoscopy was introduced) as a maximum estimate of the fraction of IMRC cases subjected to colonoscopy, at least 85% of the IMRC observation years would have been completed without colonoscopy. The main finding of the current study would not, anyway, be confounded if a fraction of cases in the IMRC cohort underwent colonoscopy. We recognize that some individuals will have been included in the PLSD as well as the IMRC cohort, but we cannot identify them and their inclusion in both cohorts will not have contributed to the diferences in observed CRC incidences. It is possible that CRC was under-reported in the pedigrees obtained by clinical teams, the details of which constituted the primary data source for the IMRC analysis. By contrast, underreporting of CRC is unlikely in the PLSD cohort whose subjects were under regular surveillance at the contributing centres. It is unlikely that the lower CRC incidences estimated in the IMRC cohort are due to difering frequencies of lower penetrance *path_MMR* variants or modifying genes in former generations because there have been no known major fuctuations (bottlenecks) in population sizes or structures over the last three generations to cause such changes. As 60% of IMRC cases were

Table 1 Percent cumulative incidences (with 95% confidence intervals in parentheses) of CRC at ages 30, 40, 50, 60 and 70 years by gender and genetic variant for both series for European *path_MMR* carriers separately and for all carriers irrespective of residence, and overall cumulative incidence at 25 years in the IMRC series

right-censored after 1980, possible lower CRC incidence in previous generations (time-trend bias) can not explain the results. However, Lynch syndrome colorectal cancer incidence is associated with lower physical activity [10], and higher body mass index $[11]$. Temporal changes in such factors could explain some of the observed diferences in colorectal cancer incidences between the two cohorts.

In a previous retrospective study, segregation analyses describing cumulative incidences of CRC in French *path_MLH1*, *path_MSH2* and *path_MSH6* carriers [12] were not restricted to frst and second degree relatives. This helped to avoid simply returning the criteria used to identify families for genetic testing as the results of the study, and to minimize the efects of removing young afected carriers when considering a family to have a hypergeometric distribution of events. Observation time was right-censored at the diagnosis of any cancer in order to avoid survival bias when deaths from other cancers were caused by the same genetic variant. Additional family members were tested and demonstrated to carry the genetic variant in question in order to minimize the

confounder of there being additional inherited causes of cancer within the family. Observation time was also right censored if the carrier was subjected to surveillance colonoscopy in order to avoid the confounder of colonoscopy modifying CRC incidence. The point estimates of cumulative CRC incidence at 70 years in that report for both genders combined were 41% for *path_MLH1* carriers, 48% for *path_MSH2* carriers and 12% for *path_ MSH6* carriers, very close to the observed incidences in the PLSD series in the current report. The number of cases included was, however, limited and the confdence intervals correspondingly wide – a reason why the PLSD waited for the IMRC results to be available before making a comparison of its prospective data with results of retrospective segregation analyses. While the French report could suggest that the IMRC segregation analyses have underestimated CRC incidence, comparing the current PLSD results with the point estimates in the French series does not demonstrate any reduction in CRC incidence associated with colonoscopy surveillance in the PLSD cohort.

A European multicentre segregation analysis that estimated CRC incidence in *path PMS2* carriers [13] demonstrated an increased incidence in carriers under 50 years of age, similar to the fndings reported in the IMRC series. In a subsequent report $[14]$ the same group confrmed that the apparent anticipation observed was a statistical artifact caused by birth cohorts. The PLSD design

eliminates such artificial anticipation. The *path_PMS2* carriers in the PLSD cohort had lower incidence of CRC before 50 years of age than those reported by the IMRC, but not significantly so. That is, the assumption that colonoscopy reduces CRC incidence may be true for younger adult *path_PMS2* carriers. If this fnding is confrmed, the recently revised clinical guidelines for *path_PMS2* carriers [15] that advocate postponing surveillance compared to other groups with LS would need to be reconsidered. Observations in larger numbers of *path_PMS2* carriers are needed to clarify this.

A recent overview of current knowledge on carcinogenetic mechanisms in LS CRCs [16] reported that in addition to the traditional adenoma-carcinoma pathway [2], other carcinogenetic mechanisms also need to be considered. Five hypotheses were described. including 1) adenomas that are overlooked during colonoscopy, 2) fast progression of adenomas to carcinomas [2], 3) CRCs developing without a macroscopically visible adenoma phase 4) over-diagnosis / disappearing cancers [17] and 5) colonoscopy inducing cancer in *path_MMR* carriers via damage of the colonic epithelium. Hypothesis 1 and 2 cannot explain the results described in this paper as we found higher rates of CRC incidence in those receiving colonoscopy. Although hypothesis 5 is consistent with our results, we have no method to evaluate this. We are left with hypotheses 3 and 4, that CRC may develop directly from MMR defcient crypts without a

macroscopically visible precursor and that microsatellite instable crypts, or more advanced cancers, may be invaded by immunocompetent cells leading to their eradication. The latter underlies the principle of neoadjuvant checkpoint inhibitor therapy that has shown marked success in recent trials in MMR defcient CRCs [18, 19] and current studies exploring the feasibility of vaccines to prevent or cure LS cancers [20]. An adult *path_MLH1* or *path_MSH2* carrier is thought to have > 1000 microsatellite instable crypts in his/her colon [21–24]. It is known that apparently healthy *path_MMR* carriers have measurable immune responses against frameshift-induced neopeptides, suggesting their immune systems can detect and potentially attack microsatellite instable crypts [25]. The probabilities for such crypts persisting, disappearing or developing into infltrating cancers are not known. The biology of CRC in *path_PMS2* carriers may be different from carriers of the pathogenic variants of the other genes [26, 27].

Although the focus of this paper is on CRC incidence, we consider prevention of death due to CRC to be the ultimate goal of surveillance colonoscopy, and the good prognosis of CRC detected in *path_MMR* carriers who are subjected to colonoscopy every three years or more frequently has been described in previous PLSD reports [8]. This is a strong argument to continue surveillance of *path_MMR* carriers by colonoscopy. The current paper does not call this into question, but its fndings do support a change in the message to be communicated to *path_MMR* carriers, namely that the purpose of surveillance colonoscopy is not to prevent CRC from occurring but to detect it early. The authors of the current study have previously examined the relationship between colonoscopy interval and CRC incidence, stage at diagnosis, and survival [18, 28–30] without fnding evidence of lower CRC incidence, less advanced stage or better survival when the interval between colonoscopies is shortened to less than three years.

Lastly, the very low incidence of CRC before 25 years of age that was found in the IMRC cohort indicates that the PLSD methodology of setting CRC incidence to zero at 25 years of age was justifed. Indeed, without extensive genetic testing, one cannot exclude the possibility that occurrence of CRC before 25 years of age may, in some cases, have been due to inclusion of unrecognized biallelic *path_MMR* carriers (i.e. individuals who were afected by constitutional mismatch repair defciency syndrome) or the presence of pathogenic germline variants in other co-existing CRC predisposition genes [31].

The strength of the current study is that it compares the results of the two largest studies to date on CRC incidences in *path_MMR* carriers. One weakness is the lack of information on colonoscopy in the IMRC series: contributors to IMRC were asked to provide information on colnosocpy sceeening and polypectomy, however this was not provided for the vast majority of submitted individuals. Another weakness is lack of information on the degree to which follow up for cases included in the PLSD complied with recommendations. The effects of noncompliance would be to diminish the diferences between the two series and could not explain the increased CRC incidence in the PLSD series compared to the IMRC series.

Conclusions

We found a higher incidence of CRC in the carriers reported to PLSD, all of whom received colonoscopic surveillance. However, as the details of colonoscopies that will have been undertaken in some of the IMRC cohort are not available, we cannot quantify the magnitude of this efect. Although these fndings could refect diferences in the fdelity of recording of CRC in the retrospective and prospective cohorts, the fndings could also be explained by the occurrence of carcinogenetic mechanisms in LS CRC that override the preventive efect of colonoscopy [16].

Abbreviations

LS: Lynch syndrome; CRC: colorectal cancer; *Path_MMR*: pathogenic or likely pathogenic variant of one of the *MLH1, MSH2, MSH6* or *PMS2* genes; *Path_MLH1*: pathogenic or likely pathogenic variant of one of the *MLH1* gene; *Path_MSH2*: pathogenic or likely pathogenic variant of one of the *MLH1* gene; *Path_MSH6*: pathogenic or likely pathogenic variant of one of the *MLH1* gene; *Path_PMS2*: pathogenic or likely pathogenic variant of one of the *MLH1* gene; PLSD: The Prospective Lynch Syndrome Database; IMRC: The International Mismatch Repair Consortium.

Supplementary Information

The online version contains supplementary material available at [https://doi.](https://doi.org/10.1186/s13053-022-00241-1) [org/10.1186/s13053-022-00241-1](https://doi.org/10.1186/s13053-022-00241-1).

Additional fle 1.

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Authors' contributions

PLSD results were calculated by PM and SH, IMRC results were calculated by JD and MJ. PM and TTS initially drafted the paper, which was commented by all, after which the above and JS drafted a fnal version that was approved by all authors.

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Availability of data and materials

Not applicable.

Declarations

Ethics approval and consent to participate

All contributors to the PLSD and IMRC have declared ethics approval and have obtained consents for participations.

Consent for publication

There is no further need for consent for publication of categorized data.

Competing of interests

L Senter-Jamieson have received speaking/consultant fees from AstraZeneca in the past year. Carmen Guillén-Ponce: registrations for congresses and continuing education courses by Merck, Sanof and Astra Zeneca. Toni T. Seppälä reports CEO and co-owner of Healthfund Finland and an interview honoraria from Boehringer Ingelheim Finland. All other authors declare no confict of interest.

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