Phenotype Harmonization in the GLIDE2 Oral Health Genomics Consortium

Kimon Divaris^{1,2}, Simon Haworth^{3,4}, John R. Shaffer^{5,6}, Vuokko Anttonen^{7,8}, James D. Beck⁹, Yasushi Furuichi¹⁰, Birte Holtfreter¹¹, Daniel Jönsson¹²⁻¹⁴, Thomas Kocher¹¹, Steven M. Levy¹⁵, Patrik K.E. Magnusson¹⁶, Daniel W. McNeil¹⁷⁻¹⁹, Karl Michaëlsson²⁰, Kari E. North^{2,21}, Ulla Palotie,²² Panos N. Papapanou²⁴, Pirkko J. Pussinen^{22,23}, David Porteous²⁵, Kadri Reis²⁶, Aino Salminen,²² Arne S. Schaefer²⁷, Takeaki Sudo²⁸, Yi-Qian Sun^{29,30}, Anna Liisa Suominen^{23,31,32}, Toru Tamahara³³, Seth M. Weinberg^{5,6}, Pernilla Lundberg³⁴, Mary L. Marazita^{5,6}, Ingegerd Johansson³⁵*

- ¹ Division of Pediatric and Public Health, Adams School of Dentistry, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA
- ² Department of Epidemiology, Gillings School of Global Public Health, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA
- ³ Medical Research Council Integrative Epidemiology United, Department of Population Health Sciences, Bristol Medical School, University of Bristol, Bristol, UK
- ⁴ Bristol Dental School, University of Bristol, Bristol, UK
- ⁵ Department of Human Genetics, Graduate School of Public Health, University of Pittsburgh, Pittsburgh, PA, USA
- ⁶ Center for Craniofacial and Dental Genetics, Department of Oral and Craniofacial Sciences, School of Dental Medicine, University of Pittsburgh, Pittsburgh, PA, USA
- ⁷ Research Unit of Oral Health Sciences, Faculty of Medicine, University of Oulu, Oulu, Finland
- ⁸ Medical Research Center, Oulu University Hospital and University of Oulu, Oulu, Finland
- ⁹ Division of Comprehensive Oral Health Periodontology, Adams School of Dentistry, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA
- ¹⁰ Division of Endodontology and Periodontology, Department of Oral Rehabilitation, Graduate School of Dentistry, Health Sciences University of Hokkaido, Hokkaido, Japan
- ¹¹ Department of Restorative Dentistry, Periodontology, Endodontology, and Preventive and Pediatric Dentistry, University Medicine Greifswald, Greifswald, Germany
- ¹² Public Dental Service of Skåne, Lund, Sweden
- ¹³ Hypertension and Cardiovascular Disease, Department of Clinical Sciences in Malmö, Lund University, Malmö, Sweden
- ¹⁴ Faculty of Odontology, Malmö University, Malmö, Sweden
- ¹⁵ Department of Preventive and Community Dentistry, College of Dentistry, University of Iowa, Iowa City, Iowa, USA
- ¹⁶ Department of Medical Epidemiology and Biostatistics, Karolinska Institutet, Stockholm, Sweden
- ¹⁷ Center for Oral Health Research in Appalachia, Appalachia, New York, USA

- ¹⁸ Department of Psychology, West Virginia University, Morgantown, West Virginia, USA
- ¹⁹ Department of Dental Public Health & Professional Practice, West Virginia University, Morgantown, West Virginia, USA
- ²⁰ Department of Surgical Sciences, Unit of Medical Epidemiology, Uppsala University, Uppsala, Sweden
- ²¹ Carolina Population Center, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA
- ²² Oral and Maxillofacial Diseases, University of Helsinki and Helsinki University Hospital, Helsinki, Finland
- ²³ Institute of Dentistry, School on Medicine, University of Eastern Finland, Kuopio, Finland
- ²⁴ Division of Periodontics, Section of Oral, Diagnostic and Rehabilitation Sciences, Columbia University, College of Dental Medicine, New York, NY, USA
- ²⁵ Centre for Genomic and Experimental Medicine, Institute of Genetics and Cancer, University of Edinburgh, Edinburgh, UK
- ²⁶ Institute of Genomics, University of Tartu, Tartu, Estonia
- ²⁷ Department of Periodontology, Oral Medicine and Oral Surgery, Institute for Dental and Craniofacial Sciences, Charité - Universitätsmedizin Berlin
- ²⁸ Institute of Education, Tokyo Medical and Dental University, Tokyo, Japan
- ²⁹ Center for Oral Health Services and Research Mid-Norway (TkMidt), Trondheim, Norway
- ³⁰ Department of Clinical and Molecular Medicine, NTNU, Norwegian University of Science and Technology, Trondheim, Norway
- ³¹ Institute of Dentistry, School on Medicine, University of Eastern Finland, Kuopio, Finland
- ³² Department of Oral and Maxillofacial Diseases, Kuopio University Hospital, Kuopio, Finland
- ³² Public Health Evaluation and Projection Unit, Finnish Institute for Health and Welfare (THL), Helsinki, Finland
- ³³ Department of Community Medical Supports, Tohoku Medical Megabank Organization, Tohoku University, Sendai, Japan
- ³⁴ Department of Odontology, Section of Molecular Periodontology, Umeå University, Umeå, Sweden
- ³⁵ Department of Odontology, Section of Cariology, Umeå University, Umeå, Sweden

Corresponding author

Ingegerd Johansson, Department of Odontology, Section of Cariology, Umeå University, Umeå,

Sweden. Email: ingegerd.johansson@umu.se

Abstract

Genetic risk factors play important roles in the etiology of oral, dental, and craniofacial diseases. Identifying the relevant risk loci and understanding their molecular biology could highlight new prevention and management avenues. Our current understanding of oral health genomics suggests that dental caries and periodontitis are polygenic diseases, and very large sample sizes and informative phenotypic measures are required to discover signals and adequately map associations across the human genome. In this paper, we introduce the second wave of the Gene-Lifestyle Interactions and Dental Endpoints consortium (GLIDE2) and discuss relevant data analytics challenges, opportunities, and applications. In this phase, the consortium comprises a diverse, multi-ethnic sample of over 700,000 participants from 21 studies contributing clinical data on dental caries experience and periodontitis. We outline the methodological challenges of combining data from heterogeneous populations, and the data reduction problem in resolving detailed clinical examination records into tractable phenotypes and describe a strategy that addresses this. Specifically, we propose a 3-tiered phenotyping approach aimed at leveraging both the large sample size in the consortium and the detailed clinical information available in some studies, wherein binary, severity-encompassing, and 'precision', data-driven clinical traits are employed. As an illustration of the use of data-driven traits across multiple cohorts, we present an application of dental caries experience data harmonization in 8 participating studies (N=55,143) using previously developed permanent dentition tooth surface-level dental caries pattern traits. We demonstrate that these clinical patterns are transferable across multiple cohorts, have similar relative contributions within each study, and thus are prime targets for genetic interrogation in the expanded and diverse multi-ethnic sample of GLIDE2. We anticipate that results from GLIDE2 will decisively advance the knowledge base of mechanisms at play in oral, dental, and craniofacial health and disease and further catalyze international collaboration, and data- and resource-sharing in genomics research.

Keywords: genetics, genomics, dental caries, harmonization

Main text: 3,200 words. Figures/Tables: 5. References: 40

Introduction

Oral diseases, mainly dental caries and periodontitis, affect approximately 3.5 billion people and are a major global burden of disease (Watt et al. 2020; Wen et al. 2021). Behavioral risk factors and social determinants of health are arguably the strongest influences on the development of common forms of oral disease (Peres et al. 2019). While upstream action and policy interventions are necessary to address these persistent diseases and associated health inequities, there is also a need to advance our understanding of the fundamental disease biology, which may help identify prime opportunities for intervention. To make headway in better diagnosing, predicting, and managing dental caries and periodontitis, we need to comprehensively characterize their genomic basis. To achieve this, the oral, dental, and craniofacial research community needs to leverage big data for discovery and translational applications. International collaboration and a focus on increasing diversity and inclusion of under-represented populations (Popejoy and Fullerton 2016; Agler and Divaris 2020) are essential to make decisive advances in the genomics evidence base for oral and dental conditions.

The last decade has seen considerable activity in genomic studies of dental caries and periodontitis (Divaris 2019) and several recent reviews provide comprehensive summaries of the genomics evidence-base to date (Nibali et al. 2019; Morelli et al. 2020). Despite these efforts, decisive advances in genomic discovery with practical implications have yet to be made in the oral health domain. Discovered genetic variants to date for dental caries explain less than 2% of the observed variance versus an estimated ~50% possibly explainable by genomics, and there are only a handful of consensus replicable loci for common oral diseases compared to hundreds for other common, complex diseases like type 2 diabetes (Kim et al. 2021). Moreover, the dental genomics literature mainly comprises reports from individual cohorts and participants of European ancestry. The Gene-Lifestyle Interactions in Dental Endpoints (GLIDE) consortium was the first global effort aimed at advancing the field of dental genomics via the formation of a broad international collaboration network (Shungin et al. 2015). The first wave of GLIDE involved approximately half a million adult participants from 12 cohorts, 8 countries, and 3 continents, and led to the discovery of 47 novel loci for dental caries (Shungin et al. 2019).

Successful examples of concerted international collaboration, data, and resource sharing, in other genomics research areas include the Global Lipids Genetics Consortium (GLGC; Graham et al. 2021), Population Architecture using Genomics and Epidemiology (PAGE) Study (Shungin et al. 2015), and Global Biobank (Zhou et al. 2021) among others. These consortia benefit from very large sample sizes numbering in the millions of participants. Naturally, the inclusion of very large numbers of study participants across many different underlying cohorts comes with unavoidable limitations, including logistical issues and scientific challenges (Stingone et al. 2017). The key scientific challenges usually involve harmonization of traits and analyses across studies with differences in population and sample characteristics, phenotype measurement or definition, and other methodological variations across contributing studies (Bennett et al. 2011).

Dental caries and periodontitis have unique properties which require additional careful consideration. Despite a vast diversity in clinical presentations, both diseases are defined at the individual level (ICD codes K02.xx and K05.xx) and can be initially described using binary 'case status' definitions. This is a logical first step in phenotype selection and one that maximizes sample size across participating studies. However, there is considerable and arguably biologically informative variability within each dental caries or periodontitis case which is not captured by dichotomous classifications. Therefore, more refined, clinically, and biologically informed classifications are considered next, creating an unavoidable trade-off between clinical precision, interpretability, and power for genetic discovery (Agler et al. 2019a). For the purposes of a GWAS, a data reduction step is necessary to convert detailed clinical information to analyzable traits-this can be done either by convention (e.g., a DMFS index) or using datadriven approaches. The question then becomes, whether the latter approach is suitable and translatable across diverse populations with different oral disease experience. An equally important source of heterogeneity is tooth loss, which is itself a possible endpoint of both dental caries and periodontitis, with variable contributions across the age spectrum (Haworth et al. 2018) that needs to be thoughtfully accounted for in the measurement of oral disease experience. Consideration of multiple traits, weighing theoretical assumptions and incorporating empirical sensitivity analyses are all part of consortium GWAS. Rigor in these big data analyses is key, with each proposed phenotype, having its own strengths and limitations, serving a different purpose in the quest for genomics discovery. Binary "naïve" case status definitions will allow the maximum inclusion of cohorts and participants, offering gains in power; severity encompassing traits, available in fewer cohorts and participants will leverage the recorded cumulative disease experience in a quantitative manner to identify risk conferring variants; caries patterns, available for a subset of cohorts, will leverage biologically informed disease subtypes to identify genetic signals underlying them.

In this paper, we introduce GLIDE2, the evolution and expansion of the oral/dental genomics GLIDE consortium. First, we outline our strategy and rationale for big data harmonization in the study of dental caries following a 3-tiered phenotyping approach. We discuss challenges, opportunities, methodological considerations, and trade-offs emanating from the variation in available clinical information in the diverse participating cohorts. Then, we present an application of clinical dental caries experience data harmonization in GLIDE2 using previously developed permanent dentition dental caries pattern traits that are replicable and transferable across multiple population-based cohorts.

Methods

The GLIDE consortium is an international collaborative effort investigating oral health genomics. Previous efforts undertaken by GLIDE have been reported in two recent publications that included up to 487,823 adults from 12 contributing studies (Shungin et al. 2019) and 19,003 children from 9 contributing studies (Haworth et al. 2018). One key limitation of these studies is

that the initial GLIDE efforts relied heavily on self-reported and proxy data for caries and periodontitis. For example, only 26,792 participants out of a total 487,823 contributed clinical dental examination data for caries experience (Shungin et al. 2019). The consortium's expansion increases the diversity of participating cohorts. GLIDE2 comprises 21 studies, contributing upwards of 700,000 participants for different dental caries or periodontitis analyses. All participating cohorts received ethics approvals by their local authorities and all participants provided written informed consent. In this paper, we focus our presentation on data harmonization processes and applications related to dental caries (**Table 1**).

Streamlining dental caries experience analyses on such a large scale, while a unique opportunity, can be daunting. First, variation exists in what has been measured and how in terms of caries experience (Appendix Supplemental Cohort summaries and Supplemental Methods). The overarching approach for phenotype harmonization in GLIDE2 is 3-tiered (Figure 1). We begin by considering a broad definition of disease versus health (i.e., 1 or more decayed, missing, filled teeth or surfaces, DMFT/DMFS>0) to allow for the inclusion of the maximum number of participants from all contributing studies. Second, we consider a 'consensus' quantitative measure of disease experience with demonstrated clinical relevance (i.e., DMFT/DMFS indices). Third, like previous genomics studies, we derive and plan to carry forward to GWAS data-driven 'precision' dental traits. The latter are clinically and biologically informative patterns (i.e., clusters) of dental caries experience based on tooth surface-level data, according to the work of Shaffer and colleagues (2013a). These disease subtypes, e.g., pit-and-fissure caries experience versus smooth surface caries experience, likely reflect etiologic and biological differences (Shaffer et al. 2012a; Shaffer et al. 2012b; Agler et al. 2019b) and are promising data-driven endpoints for genetic studies (Haworth et al. 2020; Shaffer et al. 2013b), consistent with subtyping efforts undertaken for other common-complex diseases, including obesity (Field et al. 2013) and Parkinson's disease (van Rooden et al. 2011). With this 3-tiered approach, we seek to leverage the unique features of GLIDE2: the case status analysis will maximize the sample size and statistical power, whereas the DMFS/DMFT quantitative analysis of caries experience will leverage information contained in disease severity, which is available for most cohorts. Finally, we will capitalize on all available tooth surface-level information on caries experience to carry out GWAS of permanent dentition caries clusters, that arguably contain more biological information than crude ones. To allow for the latter, it is imperative to understand whether these data-driven caries clusters generalize across cohorts.

In this study, we first examine demographic (i.e., age and sex) and clinical (caries experience and remaining natural teeth) characteristics of participants from 8 studies that contribute information to caries pattern explorations (**Table 2**). We anticipate that data from the remaining 13 studies will become available in the near future, although not all studies will contribute information on caries patterns—i.e., we expect that ~72,000 participants will be included in this analysis, and thus our current sample is ~76% of the maximum target sample for this caries experience phenotype. These 8 studies are SIMPLER (Titova et al. 2021), STR (Zagai et al. 2019),

MDC/MOS (Brunkwall et al. 2021), VIKING, COHRA1/Dental SCORE (Polk et al. 2008), COHRA2/COHRA Smile (Neiswanger et al. 2015), Periogene North, Iowa Fluoride Study (Wang et al. 2012), and OFC1/OFC2 (Leslie et al. 2016). The ascertainment of caries experience is harmonized at the moderate caries lesion threshold (ICDAS \geq 3 or D₂; Young et al. 2015), which is characterized by visible enable breakdown or signs of dentin demineralization. Teeth missing due to all causes are included in the calculation of the 'M' component of the DMFS index, thereby creating a "tooth morbidity" DM_TFS index in GLIDE2, consistent with previous genomics investigations (Shungin et al. 2019; Morelli et al. 2020). Our previous investigations among twins (Haworth et al. 2020) have showed that relative contributions from genetic and environmental factors are relatively stable over time in adulthood—justifying the combination of standardized estimates emanating from cohorts of different ages in the planned meta-analyses. Detailed information about the participating cohorts, parent studies and populations, methods, and phenotype, and genotype data availability is presented in the appendix (**Appendix Table 1**).

The caries experience clusters employed in this study were first introduced by Shaffer and colleagues (2013a) who used hierarchical clustering of tooth-surface level information from all permanent teeth excluding third molars to identify five clusters of tooth surfaces with distinct patterns of caries experience. The existence of these clusters was verified in the National Health and Nutrition Examination Survey (NHANES, 1999-2000) data (Shaffer et al. 2013a) and in the Swedish GLIDE2 cohorts. In this paper, we do not derive these clusters *de novo*, but rather we use the clusters definitions reported in Shaffer and colleagues (2013a) to "score" each participating study, by adding surface-level caries experience data into five pre-defined groups of tooth surfaces, e.g., pits and fissures on molars (**Appendix Table 2**). We represent these patterns of caries experience using color-coded odontograms, i.e., annotated representations of the permanent dentition and investigate between-cohort differences. Finally, we conduct power analyses, comparing GLIDE2 with the first wave of GLIDE with clinical data. Data management, analyses, and figure creation were done using SAS version 9.4 (SAS Institute Inc., Cary, NC).

Results

Twenty-one studies (**Table 1**) contributed dental caries experience data in GLIDE2, a combined sample size of over 700,000 participants. As expected, the maximum sample size is available for binary case status analyses. Most studies (18/21) have quantitative caries experience information in the form of the DMFT or DMFS index. Eleven studies are expected to contribute tooth surface-specific data on caries experience, allowing for the application of the third level of data-driven caries clusters. Here, we present information for eight of these cohorts that, as of February 2022, have contributed data from 55,143 adults (**Table 2**).

Demographic differences were evident in the analytic sample, both in terms of sample size and age. For example, the mean age was 74 years among 19,052 individuals in SIMPLER versus 23

years among 253 individuals in the IFS. COHRA2 is a female-only sample while the other studies contained both male and female participants. The prevalence of edentulism ranged from under 1% in the youngest samples (i.e., COHRA2 and IFS) to over 5% in COHRA1, and the average number of remaining natural teeth (excluding third molars) ranged between 23 and 26. Across the consortium, most participants had caries experience (DMFT/DMFS>0), however there was an appreciable number of participants who were caries-free based on the study's case definition, i.e., 5.7% (n=3,112 of 55,143) in the 8 studies included here. Differences were also evident in quantitative measures of caries experience, with high mean DMFS indices (above 55) in SIMPLER, MDC/MOS, and VIKING, versus low mean DMFS (under 25) for COHRA2 and IFS.

We found that within-cluster caries experience paralleled the overall caries experience within each study, as well as participants' mean age. The relative contribution (i.e., ordered rank) of each cluster was remarkably consistent across studies, with posterior teeth (two clusters involving molars and premolars) contributing the highest, and lower incisors exhibiting the lowest caries experience (**Table 2**). As expected, overall and within-cluster caries experience was lower among younger compared to older samples (**Figure 2**). Nevertheless, tooth surfaces with the highest susceptibility (i.e., molar pits and fissures) were consistent across cohorts, regardless of background caries rate.

Power estimates (**Figure 3**) demonstrate that GLIDE2 has greater statistical power than GLIDE to detect caries-associated genetic variants with small effect sizes. For caries severity, we estimate GLIDE2 will have 80% power to detect individual variants each explaining 0.008% (i.e., less than one-hundredth of a percent) of variation in caries experience.

Discussion

In this paper, we introduced GLIDE2, the second study proposed by our international oral/dental genomics consortium, with improved clinical phenotypes, larger sample size and greater diversity than previous studies. We discussed the key challenges of interrogating the genomics of dental, oral, and craniofacial diseases in an international consortium and considered options to harmonize phenotypic data. We outlined a three-tiered phenotyping approach, including naive binary disease definitions to maximize sample size, quantitative caries experience indices, and data-driven, precision phenotypes encoding dental caries experience within distinct permanent dentition tooth surface clusters. We demonstrated that despite the unavoidable heterogeneity in population demographics and caries experience, these data-driven patterns are generalizable across the examined study populations and thus can be carried forward to GWAS meta-analyses in a larger group of GLIDE2 participating studies. We posit that this is justifiable even in the common scenario where clinical examination protocols and conditions differ. These unmodeled sources of variation contribute to unavoidable trait heterogeneity between studies and may reduce power to detect true signals. However, as long as clinical data are valid measures of the

oral disease or endpoint under analysis, these differences are unlikely confounders of genetic associations, i.e., they will not generate spurious ones. We demonstrate that, using the approach described above, GLIDE2 will have unprecedented statistical power to discover genetic risk loci with modest effects on oral diseases, an important feature given their polygenic genetic architectures. Even if some of the identified variants may explain small proportions of disease variance, they can have profound impacts on disease biology and offer targets for prevention and therapy, e.g., GWAS-identified in *HMGCR* and *PCSK9* may explain little phenotypic variance (Lu et al., 2017) but are very important targets for cardiovascular disease prevention (Ference et al., 2016).

A key element of GLIDE2 is increased diversity and inclusion of under-represented populations, with the representation of multi-ethnic populations and studies conducted in Africa, Asia, Europe, North and South America. However, clinical examination data from traditionally under-represented areas are still limited. The OFC1/OFC2 studies that include the most diverse representation are based on intraoral photographs and thus indirect assessments of dental health at the tooth-level. Thus, there is still a need to encourage genomics studies of oral health and disease among populations and global regions that are currently under-represented. Inclusion of multi-ethnic population samples should improve our ability to fine-map association signals and enable the development of transferrable polygenic risk scores (Graham et al. 2021), especially due to the enhanced ability to detect even small-in-magnitude signals for dental caries experience, periodontitis, and tooth loss. We will not employ a discovery-replication design and all cohorts will contribute to the discovery of genetic signals—but we will use methods such as MAMBA (McGuire et al., 2021) that examine the distribution of genetic effects to identify variants which are potentially non-replicable and those with high posterior probability for replication.

Despite the variation in dental disease experience inherent in an international consortium, the data presented in this paper show it is feasible to harmonize traits and enable a well-powered GWAS. While this paper has focused on dental caries experience, the challenges and possible solutions are similar for periodontitis. Obviously, the maximum sample size will be only available for relatively naive traits of dental caries and periodontitis, i.e., binary case definitions. Accounting for disease severity will likely offer advantages in statistical power for discovery while maintaining a sizable analytical sample. Leveraging caries clusters, as demonstrated in this paper, is an important addition to available analytic endpoints, especially if genetic variant effects differ across clusters. These data-driven clusters were found to be consistent in terms of relative contribution across cohorts. In a recent study among a large sample of up to 41,678 Swedish twins, a similar but slightly different cluster solution was identified (Haworth et al. 2020). Despite some expected variation that would emerge if each cohort re-derived their own data-driven cluster solution, we have found that the use of a 'consensus' 5-level solution results in appreciable homogeneity, while these clusters have been shown to be clinically, as well as biologically informative.

The inherent heterogeneity in population ancestry in GLIDE2 is likely to influence results. While this could initially be seen as a limitation, we posit that it is a relative strength and an opportunity that can be leveraged analytically. In a multi-ethnic meta-analysis, highest power will be obtained for signals that are homogeneous across ancestral populations, while signals that are heterogeneous would be harder to discover. On the other hand, multi-ethnic samples could allow for better fine mapping of association signals in risk loci and help produce more informative and representative polygenic risk scores. The GWAS results can also form the substrate for a second tier of harmonization to further boost power by adjusting away differences in measurement between traits (Luningham et al. 2019), borrowing information across traits using multi-trait analysis of GWAS summary statistics. Additionally, we expect that GLIDE2 results will inform Mendelian Randomization studies and other explorations of shared biology between oral and systemic health traits. All these advanced post-GWAS strategies will rely on the well-conducted, carefully phenotyped, adequately powered, and informative 'basic' GLIDE2 GWAS. Geared towards transparency, reproducibility, and value creation for the community (Schwendicke et al. 2022), GLIDE2 summary results will be publicly shared, like the publicly deposited first GLIDE study results (https://data.bris.ac.uk/data/).

In conclusion, data-driven approaches are both suitable and necessary for the purposes of harmonization of oral health endpoints in large-scale, consortium-level applications such as GLIDE2. There are unavoidable trade-offs between detailed clinical measures and power for genetic discovery—to overcome those, we propose the utilization of multiple, complementary approaches for trait harmonization. We anticipate that results from GLIDE2 will advance the knowledge base of mechanisms at play in oral, dental, and craniofacial health and disease and further catalyze international collaboration, data- and resource-sharing in genomics research.

Author contributions

K. Divaris, S. Haworth, J.R. Shaffer, P. Lundberg, M.L. Marazita, and I. Johansson contributed to study conception and design, data acquisition, drafted and critically revised the manuscript. V. Anttonen, J.D. Beck, Y. Furuichi, B. Holtfreter, D. Jönsson, T. Kocher, S.M. Levy, P.K.E. Magnusson, D.W. McNeil, K. Michaëlsson, K.E. North, U. Palotie, P.N. Papapanou, P.J. Pussinen, D. Porteus, K. Reis, A. Salminen, A.S. Schaefer, T. Sudo, Y-Q Sun, A.L. Suominen, T. Tamahara, and S.M. Weinberg contributed to data acquisition and critically revised the manuscript. All authors gave their final approval and agreed to be accountable for all aspects of the work.

Acknowledgements

We would like to acknowledge the following investigators for their contributions to individual cohorts: Julie T. Marchesan and Kevin Moss (ARIC); Michiaki Kubo, Yoichiro Kamatani, Koichi Matsuda, Yoshinori Murakami, Takayuki Morisaki, and Akiko Nagai (Biobank Japan, BBJ); Betsy Foxman, Katherine Neiswanger, and Richard Crout (CCDG: COHRA cohorts); FinnGen Consortium contributors; Karin Weber-Gasparoni, Justine L Kolker, John J Warren

(Iowa Fluoride Study, IFS); Jeffrey C. Murray, Lina Moreno Uribe, Brian Howe, Azeez Butali, Consuelo Valencia Ramirez, Claudia Restrepo, Frederic W.B. Deleyiannis, Carmencita Padilla, Ieda Orioli, Fernando Poletta, Carmen Buxó Martinez, Jacqueline T. Hecht, George Wehby, Katherine Neiswanger, Carla Sanchez, Alexandre Rezende Vieira, Ross Long, and Rasha Nesha Alotaibi (CCDG: OFC cohorts); Juha Sinisalo (Parogene); Caroline Hayward, Robin Flaig, and Archie Campbell (Generation Scotland); Ben Brumpton, Hedda Høvik and Astrid Jullumstrø Feuerherm (HUNT4); Alex Teumer, Henry Völzke, and Uwe Völker (SHIP); Taku Obara, Maki Goto, Otsuki Akihito, Junko Kawashima, Yuichi Aoki, Sakae Saito and Ritsuko Shimizu (ToMMo: Tohoku Medical Megabank Organization); Yukinori Okada (TMDUAGP, Osaka University); Paul Franks (VIKING).

Declaration of Conflicting Interests

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

Funding support for participating studies and investigators is also acknowledged: CCDG: COHRA1/Dental SCORE and CCDG: COHRA2/COHRA Smile were supported by US National Institutes of Health (NIH) grants R01-DE014899, U01-DE018903, and X01-HG009878-01. IFS was supported by NIH grants R01-DE09551, U01-DE018903, X01-HG008978, R01-DE014899, and P30-DE10126. CCDG: OFC1 and CCDG: OFC2 were supported by NIH grants R01-DE016148, X01-HG00784, and X01-HG011437. SHIP is funded by the Federal Ministry of Education and Research (grants no. 01ZZ9603, 01ZZ0103, and 01ZZ0403), the Ministry of Cultural Affairs as well as the Social Ministry of the Federal State of Mecklenburg-West Pomerania and Siemens Healthcare, Erlangen, Germany. SIMPLER receives funding through the Swedish Research Council under the grant no 2017-00644 and 2017-06100. The Swedish Twin Registry is managed by Karolinska Institutet and receives funding through the Swedish Research Council under grant number 2017-00641. Periogene North was funded by the County Council of Västerbotten under grant numbers RV-96458 and RV-832371. The Swedish GLIDE receives funding through the Swedish Research Council under grants no. 2020-00930 and 2015-02597. Kimon Divaris acknowledges support by NIH grant U01- DE025046.

References

Agler CS, Divaris K. 2020. Sources of bias in genomics research of oral and dental traits. Community Dent Health. 37(1):102-106.

Agler CS, Moss K, Philips KH, Marchesan JT, Simancas-Pallares M, Beck JD, Divaris K. 2019b. Biologically Defined or Biologically Informed Traits Are More Heritable Than Clinically Defined Ones: The Case of Oral and Dental Phenotypes. Adv Exp Med Biol. 1197:179-189.

Agler CS, Shungin D, Ferreira Zandoná AG, Schmadeke P, Basta PV, Luo J, Cantrell J, Pahel TD Jr, Meyer BD, Shaffer JR, et al. 2019a. Protocols, Methods, and Tools for Genome-Wide Association Studies (GWAS) of Dental Traits. Methods Mol Biol. 1922:493-509.

Bennett SN, Caporaso N, Fitzpatrick AL, Agrawal A, Barnes K, Boyd HA, Cornelis MC, Hansel NN, Heiss G, Heit JA, et al; GENEVA Consortium. 2011. Phenotype harmonization and cross-study collaboration in GWAS consortia: the GENEVA experience. Genet Epidemiol. 35(3):159-173.

Brunkwall L, Jönsson D, Ericson U, Hellstrand S, Kennbäck C, Östling G, Jujic A, Melander O, Engström G, Nilsson J, et al. 2021. The Malmö Offspring Study (MOS): design, methods and first results. Eur J Epidemiol. 36(1):103-116.

Divaris K. The Era of the Genome and Dental Medicine. 2019. J Dent Res. 98(9):949-955.

Ference BA, Robinson JG, Brook RD, Catapano AL, Chapman MJ, Neff DR, Voros S, Giugliano RP, Davey Smith G, Fazio S, et al. 2016. Variation in PCSK9 and HMGCR and Risk of Cardiovascular Disease and Diabetes. N Engl J Med. 375(22):2144-2153.

Field AE, Camargo CA Jr, Ogino S. 2013. The merits of subtyping obesity: one size does not fit all. JAMA. 2013 310(20):2147-2148.

Graham SE, Clarke SL, Wu KH, Kanoni S, Zajac GJM, Ramdas S, Surakka I, Ntalla I, Vedantam S, Winkler TW, et al. 2021. The power of genetic diversity in genome-wide association studies of lipids. Nature. 600(7890):675-679.

Haworth S, Esberg A, Lif Holgerson P, Kuja-Halkola R, Timpson NJ, Magnusson PKE, Franks PW, Johansson I. 2020. Heritability of Caries Scores, Trajectories, and Disease Subtypes. J Dent Res. 99(3):264-270.

Haworth S, Shungin D, Kwak SY, Kim HY, West NX, Thomas SJ, Franks PW, Timpson NJ, Shin MJ, Johansson I. 2018. Tooth loss is a complex measure of oral disease: Determinants and methodological considerations. Community Dent Oral Epidemiol. 46(6):555-562

Haworth S, Shungin D, van der Tas JT, Vucic S, Medina-Gomez C, Yakimov V, Feenstra B, Shaffer JR, Lee MK, Standl M, et al. 2018. Consortium-based genome-wide meta-analysis for childhood dental caries traits. Hum Mol Genet. 27(17):3113-3127.

Kim DS, Gloyn AL, Knowles JW. 2021. Genetics of Type 2 Diabetes: Opportunities for Precision Medicine: JACC Focus Seminar. J Am Coll Cardiol. 78(5):496-512.

Leslie EJ, Carlson JC, Shaffer JR, Feingold E, Wehby G, Laurie CA, Jain D, Laurie CC, Doheny KF, McHenry T, et al. 2016. A multi-ethnic genome-wide association study identifies novel loci for non-syndromic cleft lip with or without cleft palate on 2p24.2, 17q23 and 19q13. Hum Mol Genet. 25(13):2862-2872.

Lu X, Peloso GM, Liu DJ, Wu Y, Zhang H, Zhou W, Li J, Tang CS, Dorajoo R, Li H, et al. 2017. Exome chip meta-analysis identifies novel loci and East Asian-specific coding variants that contribute to lipid levels and coronary artery disease. Nat Genet. 49(12):1722-1730.

Luningham JM, McArtor DB, Hendriks AM, van Beijsterveldt CEM, Lichtenstein P, Lundström S, Larsson H, Bartels M, Boomsma DI, Lubke GH. 2019. Data Integration Methods for Phenotype Harmonization in Multi-Cohort Genome-Wide Association Studies With Behavioral Outcomes. Front Genet. 10:1227.

McGuire D, Jiang Y, Liu M, Weissenkampen JD, Eckert S, Yang L, Chen F; GWAS and Sequencing Consortium of Alcohol and Nicotine Use (GSCAN), Berg A, Vrieze S, et al. 2021. Model-based assessment of replicability for genome-wide association meta-analysis. Nat Commun. 12(1):1964.

Morelli T, Agler CS, Divaris K. 2020. Genomics of periodontal disease and tooth morbidity. Periodontol 2000. 82(1):143-156.

Neiswanger K, McNeil DW, Foxman B, Govil M, Cooper ME, Weyant RJ, Shaffer JR, Crout RJ, Simhan HN, Beach SR, et al. 2015. Oral Health in a Sample of Pregnant Women from Northern Appalachia (2011-2015). Int J Dent. 2015:469376.

Nibali L, Bayliss-Chapman J, Almofareh SA, Zhou Y, Divaris K, Vieira AR. 2019. What Is the Heritability of Periodontitis? A Systematic Review. J Dent Res. 98(6):632-641.

Peres MA, Macpherson LMD, Weyant RJ, Daly B, Venturelli R, Mathur MR, Listl S, Celeste RK, Guarnizo-Herreño CC, Kearns C, et al. 2019. Oral diseases: a global public health challenge. Lancet. 394(10194):249-260.

Polk DE, Weyant RJ, Crout RJ, McNeil DW, Tarter RE, Thomas JG, Marazita ML. 2008. Study protocol of the Center for Oral Health Research in Appalachia (COHRA) etiology study. BMC Oral Health. 8:18.

Popejoy AB, Fullerton SM. 2016. Genomics is failing on diversity. Nature. 538(7624):161-164.

Schwendicke F, Marazita ML, Jakubovics NS, Krois J. 2022. Big Data and Complex Data Analytics: Breaking Peer Review? J Dent Res. doi: 10.1177/00220345211070983.

Shaffer JR, Wang X, Desensi RS, Wendell S, Weyant RJ, Cuenco KT, Crout R, McNeil DW, Marazita ML. 2012a. Genetic susceptibility to dental caries on pit and fissure and smooth surfaces. Caries Res. 46(1):38-46.

Shaffer JR, Feingold E, Wang X, Tcuenco KT, Weeks DE, DeSensi RS, Polk DE, Wendell S, Weyant RJ, Crout R, et al. 2012b. Heritable patterns of tooth decay in the permanent dentition: principal components and factor analyses. BMC Oral Health. 12:7.

Shaffer JR, Feingold E, Wang X, Weeks DE, Weyant RJ, Crout R, McNeil DW, Marazita ML. 2013a. Clustering tooth surfaces into biologically informative caries outcomes. J Dent Res. 92(1):32-37.

Shaffer JR, Feingold E, Wang X, Lee M, Tcuenco K, Weeks DE, Weyant RJ, Crout R, McNeil DW, Marazita ML. 2013b. GWAS of dental caries patterns in the permanent dentition. J Dent Res. 92(1):38-44.

Shungin D, Cornelis MC, Divaris K, Holtfreter B, Shaffer JR, Yu YH, Barros SP, Beck JD, Biffar R, Boerwinkle EA, et al. 2015. Using genetics to test the causal relationship of total adiposity and periodontitis: Mendelian randomization analyses in the Gene-Lifestyle Interactions and Dental Endpoints (GLIDE) Consortium. Int J Epidemiol. 44(2):638-650.

Shungin D, Haworth S, Divaris K, Agler CS, Kamatani Y, Keun Lee M, Grinde K, Hindy G, Alaraudanjoki V, Pesonen P, et al. 2019. Genome-wide analysis of dental caries and periodontitis combining clinical and self-reported data. Nat Commun. 10(1):2773.

Shungin D, Winkler TW, Croteau-Chonka DC, Ferreira T, Locke AE, Mägi R, Strawbridge RJ, Pers TH, Fischer K, Justice AE, et al. 2015. New genetic loci link adipose and insulin biology to body fat distribution. Nature. 518(7538):187-196.

Stingone JA, Mervish N, Kovatch P, McGuinness DL, Gennings C, Teitelbaum SL. 2017. Big and disparate data: considerations for pediatric consortia. Curr Opin Pediatr. 29(2):231-239.

Titova OE, Baron JA, Michaëlsson K, Larsson SC. 2021. Swedish snuff (snus) and risk of cardiovascular disease and mortality: prospective cohort study of middle-aged and older individuals. BMC Med. 19(1):111.

van Rooden SM, Colas F, Martínez-Martín P, Visser M, Verbaan D, Marinus J, Chaudhuri RK, Kok JN, van Hilten JJ. 2011. Clinical subtypes of Parkinson's disease. Mov Disord. 26(1):51-58.

Wang X, Willing MC, Marazita ML, Wendell S, Warren JJ, Broffitt B, Smith B, Busch T, Lidral AC, Levy SM. 2012. Genetic and environmental factors associated with dental caries in children: the Iowa Fluoride Study. Caries Res. 46(3):177-184.

Watt RG, Daly B, Allison P, Macpherson LMD, Venturelli R, Listl S, Weyant RJ, Mathur MR, Guarnizo-Herreño CC, Celeste RK, et al. 2020. *The Lancet* Oral Health Series: Implications for Oral and Dental Research. J Dent Res. 99(1):8-10.

Wen PYF, Chen MX, Zhong YJ, Dong QQ, Wong HM. 2021. Global Burden and Inequality of Dental Caries, 1990 to 2019. J Dent Res. doi: 10.1177/00220345211056247.

Young DA, Nový BB, Zeller GG, Hale R, Hart TC, Truelove EL; American Dental Association Council on Scientific Affairs; American Dental Association Council on Scientific Affairs. 2015. The American Dental Association Caries Classification System for clinical practice: a report of the American Dental Association Council on Scientific Affairs. J Am Dent Assoc. 146(2):79-86.

Zagai U, Lichtenstein P, Pedersen NL, Magnusson PKE. 2019. The Swedish Twin Registry: Content and Management as a Research Infrastructure. Twin Res Hum Genet. 22(6):672-680.

Zhou W, Kanai M, Wu K-HH, Humaira R, Tsuo K, Hirbo JB, Wang Y, Bhattacharya A, Zhao H, Namba S, et al. 2021. Global Biobank Meta-analysis Initiative: powering genetic discovery across human diseases. medRxiv 2021.11.19.21266436; doi: https://doi.org/10.1101/2021.11.19.21266436

TABLES

Table 1. Overview of the 21 cohorts contributing to the 3-tiered phenotyping approach for dental caries experience analysis in GLIDE2.

Cohort	Region	Ν	Caries traits available for GWAS					
			Prevalence	Severity	Patterns			
ARIC	United States	5,527	\checkmark	√*				
CCDG: COHRA1/ Dental SCORE	United States	1,810	\checkmark	\checkmark	\checkmark			
CCDG: COHRA2/COHRA Smile	United States	1,185	\checkmark	\checkmark	\checkmark			
CCDG: OFC1/OFC2	Africa, Asia, Europe, N. America, S. America	4,967	\checkmark	√*†				
EstBB	Estonia	~200,000	\checkmark					
FinnGen	Finland	~390,000	\checkmark	√*				
Generation Scotland	Scotland	~18,000	\checkmark					
Health 2000/2011	Finland	7,831	\checkmark	√*				
HUNT4	Norway	4,933	\checkmark	\checkmark	\checkmark			
IFS	United States	253	\checkmark	\checkmark	\checkmark			
MDC/MOS	Sweden	11,176	\checkmark	\checkmark	\checkmark			
NFBC1966	Finland	1,483	\checkmark	\checkmark				
Parogene	Finland	508	\checkmark	√*				
Periogene North	Sweden	995	\checkmark	\checkmark	\checkmark			
SHIP START	Germany	3,362	√‡	√‡				
SHIP TREND	Germany	944	√‡	√‡	\checkmark			
SIMPLER	Sweden	19,052	\checkmark	\checkmark	\checkmark			
SOL	United States	11,816	\checkmark	\checkmark	\checkmark			
TWINGENE/STR	Sweden	16,849	\checkmark	\checkmark	\checkmark			
ТоММо	Japan	5,360	\checkmark					
VIKING	Sweden	3,823	\checkmark	\checkmark	\checkmark			
Total		706,512	706,512	483,152	72,836			

Table 2. Demographic and clinical characteristics of participants in 8 cohorts contributing to dental caries clusters
harmonization.

		Demographics		Natural teeth		Binary caries case status	Quantitative caries experience		Tooth surface-level caries clusters (Shaffer et al. 2013a)				
Cohort	N	Age	Women	Edentu lous	N teeth	DM _T FS/T>0	DM _T FT	DM _T FS	Cluster 1	Cluster 2	Cluster 3	Cluster 4	Cluster 5
		mean (SD)	%	%	mean (SD)	n (%)	mean (SD)	mean (SD)	mean (SD)	mean (SD)	mean (SD)	mean (SD)	mean (SD)
SIMPLER	19,052	73.6 (8.0)	33.7	1.4	23.2 (6.3)	17,416 (91.4)	14.8 (8.8)	55.0 (35.4)	0.65 (0.35)	0.14 (0.28)	0.58 (0.33)	0.39 (0.38)	0.38 (0.32)
TWINGENE/ STR	16,849	48.7 (19.0)	58.2	0.3	26.0 (3.9)	15,893 (94.3)	12.3 (7.9)	35.7 (31.4)	0.56 (0.35)	0.06 (0.18)	0.40 (0.33)	0.21 (0.30)	0.22 (0.27)
MDC/MOS	11,176	67.9 (17.9)	63.4	0.9	23.6 (5.9)	10,874 (97.3)	17.8 (7.5)	62.9 (34.2)	0.74 (0.31)	0.17 (0.28)	0.65 (0.32)	0.45 (0.38)	0.46 (0.33)
VIKING	3,823	63.8 (8.0)	63.4	0.9	24.7 (4.9)	3,772 (98.7)	17.3 (7.8)	55.9 (31.7)	0.73 (0.31)	0.13 (0.23)	0.60 (0.31)	0.38 (0.34)	0.37 (0.30)
CCDG: COHRA1/ Dental SCORE	1,810	43.8 (15.7)	64.6	5.1	23.1 (7.2)	1,763 (97.4)	13.7 (7.3)	44.1 (34.3)	0.73 (0.28)	0.10 (0.25)	0.46 (0.34)	0.29 (0.37)	0.26 (0.31)
CCDG: COHRA2/ COHRA Smile	1,185	32.4 (6.2)	100	0.7	26.4 (3.7)	1,109 (93.6)	8.7 (6.4)	22.7 (23.7)	0.53 (0.33)	0.03 (0.12)	0.24 (0.46)	0.13 (0.25)	0.11 (0.20)
Periogene North	995	49.0 (13.1)	57.6	0	25.6 (3.8)	951 (95.6)	12.0 (7.5)	34.1 (29.8)	0.58 (0.32)	0.07 (0.18)	0.38 (0.31)	0.20 (0.30)	0.19 (0.26)
IFS	253	22.7 (1.8)	56.5	0	24.7 (2.9)	243 (96.0)	4.0 (3.3)	7.1 (8.9)	0.23 (0.24)	0.01 (0.04)	0.05 (0.09)	0.03 (0.09)	0.05 (0.12)

Mean and standard deviation (SD) of caries experience is presented for each cluster, computed as the cluster-specific DMFS divided by the number of tooth surfaces in the cluster.

The labeling of caries clusters corresponds to the nomenclature of Shaffer et al. (2013a) as follows: Cluster 1, molar pits & fissures; Cluster 2, lower anterior teeth; Cluster 3, molar smooth surfaces, premolar pits and proximal surfaces; Cluster 4, maxillary incisors; Cluster 5, maxillary canines and premolar smooth surfaces. A visual representation of surfaces contributing to these clusters is presented in Figure 2 and the exact derivation is presented in Appendix Table 2.

FIGURE LEGENDS

Figure 1. Illustration of the 3-level phenotyping definition strategy employed in GLIDE2 for dental caries experience analysis. The maximum sample size is achieved for the relatively naïve trait of binary caries case status (i.e., DMFT/DMFS>0). Second, we consider a quantitative measures of caries experience with demonstrated clinical relevance (i.e., DMFT/DMFS indices). Third, we employ data-driven tooth surface-level caries experience clusters that are available for a subset of participating studies.

Figure 2. Caries experience (defined as the mean proportion of caries-affected surfaces within each cluster) differs among the five caries clusters in GLIDE2 with similar patterns across all GLIDE2 cohorts (a). Caries experience in these caries clusters increases with age in the GLIDE2 cohorts (a), mirroring the overall increase in DMFS with age; (b) The size of markers is scaled to the number of participants in the participating studies. Regression lines and standard errors are estimated from inverse standard error-weighted linear meta-regression models. Cluster membership is illustrated on the odontogram (c) and colors in the legend refer to the cluster numbers given in Table 2.

Figure 3. Power (y-axis) to detect genetic association in (A-C) the GLIDE2 consortium and (D-E) the original GLIDE sample with available clinical data, for a range of effect sizes [odds ratio (OR) for caries prevalence, beta coefficient (i.e., per allele difference in units of trait standard deviation) for caries severity and patterns] across a spectrum of minor allele frequencies (x-axis).