

1 **A viewpoint on the use of microalgae as an alternative feedstuff in the context of**  
2 **pig and poultry feeding - a special emphasis on tropical regions**

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4 Andreia A. M. Chaves, Cátia F. Martins, Daniela F.P. Carvalho, David M. Ribeiro,  
5 Madalena Lordelo, João P.B. Freire and André M. de Almeida

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7 LEAF - LEAF, Instituto Superior de Agronomia, Universidade de Lisboa, Tapada da  
8 Ajuda, 1349-017 Lisboa, Portugal.

9 Author for Correspondence: AM Almeida aalmeida@isa.ulisboa.pt

10  
11 **Abstract**

12 With the current increase in meat and animal products consumption, there is a need to  
13 make production systems more sustainable. The use of microalgae in monogastric feeds,  
14 replacing widely used conventional feedstuffs such corn and soybean, can be a solution  
15 to overcome this problem. Several studies have shown promising results in the use of  
16 microalgae in feeding of both pigs and poultry. However, there are several important  
17 constraints associated to the production of microalgae. Such constraints are particularly  
18 limiting in the context of tropical regions. Research and scientific development on  
19 microalgae production systems are thus essential so that may be widely used in  
20 monogastric feeding. Herein, we conduct an overview of the major findings in the use of  
21 microalgae in the context of monogastric feeding and analyse the major constraints  
22 associated to its production and use, particularly in the specific context of tropical regions.

23 **Keywords:** Microalgae, alternative ingredient, monogastric feed, swine, poultry,  
24 challenges.

## 29 **Introduction**

30 The continuous increase of world population, estimated to be over 10 billion people  
31 by 2100 (UN, 2019) presents a huge challenge for worldwide food security (FAO, 2018).  
32 Additionally, the increase in income and standards of living, particularly in developing  
33 countries will consequently generate an increase in the consumption of animal products  
34 in many regions of the world, leading in turn to a higher demand for meat products.  
35 Indeed, the growth of revenues in emerging economies will necessarily lead to higher  
36 purchasing power by local populations and, consequently, consumer choices will tend to  
37 be more selective and less dependent on prices, generating an increase in consumption of  
38 animal products, notably meat, dairy products or eggs (EU, 2019). This increase in  
39 demand for animal products creates a need for greater production, particularly poultry  
40 and pigs as these are the more affordable types of meat (FAO, 2011). Finally, it must be  
41 said that such an increase in both population and demand for animal origin products is,  
42 and will be particularly important in developing countries, located mostly in tropical  
43 regions.

44 The production of monogastric and consequently of their feed, requires obtaining large  
45 quantities of two ingredients: corn and soybeans, as these are the major feedstuffs  
46 provided to these animals being essential sources of energy, lipids, proteins and amino  
47 acids. However, these two ingredients are also very important for human nutrition. This  
48 creates a problem regarding human food security and food-feed competition. Such  
49 competition is especially important in developing countries that often do not have strong  
50 currencies reserves to ensure the purchase of these conventional feedstuffs in  
51 international markets.

52 The production of conventional feedstuffs requires large areas of land. This leads in  
53 turn to habitat destruction, deforestation, and use large amounts of water, with serious  
54 consequences for the environment (Gibbs *et al.*, 2015). As such, and if the current pattern  
55 for the growth of corn and soybean production for animal and human use continues, it  
56 will, in a near future, lead to severe environmental degradation and raise sustainability  
57 issues.

58 In order to mitigate this problem, it is urgent to find novel solutions leading to higher  
59 sustainability and productive efficiency in monogastric feeding and production. The use  
60 of new ingredients that can be more economically and environmentally sustainable

61 alternatives in monogastric diets is therefore the solution to overcome this problem  
62 (Tacon and Metian, 2015).

63 In such a context, microalgae are often suggested as interesting alternative feedstuffs,  
64 more sustainable and interesting from the technological point of view, being able to fulfil  
65 the high protein, amino acid and energy of pigs and poultry in a more sustainable way.

66 The objective of this article is to make a short and critical review on the importance  
67 of microalgae in the context of monogastric feeding, namely what are their main  
68 advantages and limitations in order to determine their importance and future relevance.  
69 This analysis finally provides a perspective related to the importance of microalgae in the  
70 context of monogastric feeding in tropical regions.

71

## 72 **Microalgae as a sustainable feedstuff with high nutritional value**

73 Microalgae are a group of microorganisms, predominantly aquatic and microscopic,  
74 uni- or multicellular, with a wide genetic heterogeneity and with numerous physiological  
75 and biochemical varieties. This group can include almost 100,000 different species  
76 (Madeira *et al.*, 2017), of which only about 30% have been studied so far (Richmond,  
77 2004).

78 These microorganisms are divided into 4 main types, depending on their pigmentation,  
79 life cycle and cell structure. They include Diatoms (*Bacillariophyceae*) which includes  
80 over 10,000 species; Green algae (*Chlorophyceae*) that are found mainly in the marine  
81 environment and make up about 17,000 species. They include also Blue-green algae  
82 (*Cyanophyceae*), which comprise about 2,000 species and are responsible for fixating  
83 oxygen in the atmosphere and, finally, Golden Algae (*Chrysophyceae*) with about 1,000  
84 species that are predominantly found in fresh waters (Schmitz *et al.*, 2012).

85 Their composition can vary significantly, depending on factors such as species,  
86 production methodology, the use of enzymes, among others, but normally microalgae  
87 have interesting levels of proteins, carbohydrates, lipids, vitamins, minerals and  
88 particularly bioactive compounds, such as carotenoids (Valente *et al.*, 2020).  
89 Interestingly, these microorganisms have levels of protein, carbohydrates and lipids  
90 comparable or even higher than those of conventional feedstuffs, with crude protein  
91 content up to 71% of dry matter (Becker, 1994). Indeed and according to Valente *et al.*  
92 (2020), microalgae such as *Spirulina* may have average protein contents of 62-72% in dry  
93 matter content whereas for *Chlorella vulgaris* and *Nannochloropsis oceanica* protein

94 contents may be of respectively 67% and 34%. Regarding the lipid content, in *Spirulina*,  
95 *Chlorella vulgaris* and *Nannochloropsis oceanica*, levels may be of respectively 11, 14  
96 and 10%. Another interesting characteristic is the mineral content that may be as high as  
97 35% for instance in *Nannochloropsis oceanica*. Another interesting characteristic are the  
98 PUFA (Polyunsaturated fatty acids) concentrations that can be as high as 44% of total  
99 fatty acids in *Spirulina* (Valente et al., 2020).

100 Depending on how they obtain the necessary energy to survive, microalgae can be  
101 separated into two groups: autotrophic species that use sunlight and Carbon dioxide as a  
102 source of energy and carbon, respectively, and heterotrophic species that use organic  
103 carbon as an energy source (Madeira et al., 2017; Valente et al., 2020). This capacity and  
104 its ubiquitous presence in ecosystems make them very important for Carbon fixation  
105 reducing Carbon dioxide in the atmosphere. In fact, they are able to produce more oxygen  
106 than all plants existing on the planet, representing at least 60% of Earth's Oxygen  
107 production (Chisti, 2004). Their ability to fixate Carbon present in the atmosphere  
108 consequently lowering the greenhouse gases (GHG) balance emitted by several industries  
109 is one of the interests in these species. By fixating Carbon dioxide in the atmosphere, they  
110 can thus be a very useful tool for industries to reduce their ecological footprints. As such,  
111 industries producing these microorganisms will simultaneously reduce the balances of  
112 GHG emissions into the atmosphere and create a product (the microalgae) that can be  
113 used for instance by the food, feed or cosmetic industries, in addition to other putative  
114 uses. It has been estimated that 30% of global microalgae production is used in feed  
115 manufacture (Guill-Guerrero, 2004).

116 All these characteristics have led the use of microalgae in animal feed to be strongly  
117 encouraged in the last decade. Their uses include for instance the supplementation of  
118 nutrients (Valente et al., 2019; Tibaldi et al., 2015; Cardinaletti et al., 2018; Qiao et al.,  
119 2014 ; Kiron et al., 2016 ; Sørensen et al., 2017; Lum et al., 2013 ; Lamminen et al.,  
120 2017; Lamminen et al., 2019; Hopkins et al., 2014; Wang et al., 2017). However, in  
121 recent years, microalgae's interest as an important source of compounds like fatty acids,  
122 pigments, vitamins and minerals has also been highlighted (Hemaiswarya et al., 2011;  
123 Tibbetts, 2018; Valente et al., 2020).

124 In conclusion, microalgae, in addition to being an interesting nutritional alternative to  
125 corn and soy in monogastric feeding, may also represent an interesting solution to address  
126 sustainability concerns. Indeed, by reducing the production of ingredients such as corn  
127 and soybeans, we will contribute to lower resource consumption in agriculture.

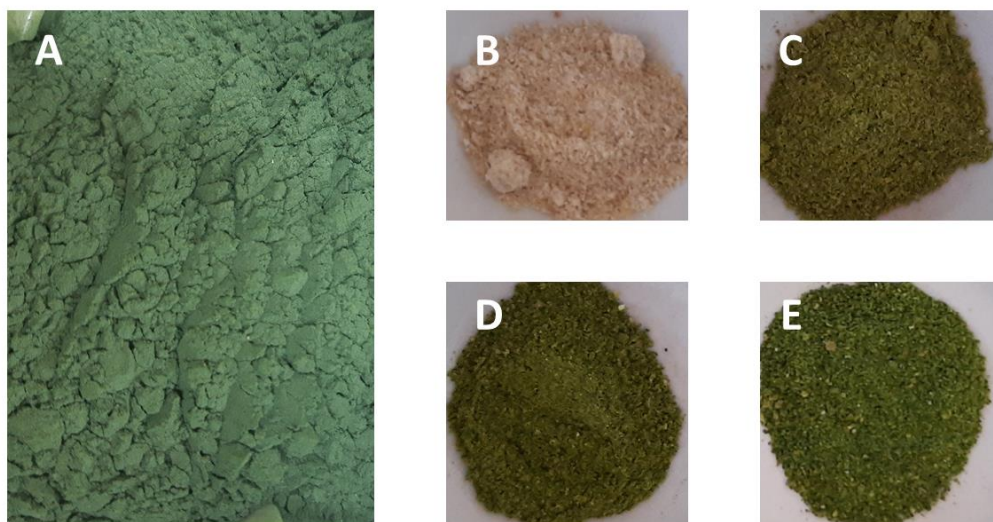
128 Furthermore, by using microalgae to fixate Carbon dioxide originating from human  
129 activities, we will significantly contribute to increase the sustainability of monogastric  
130 production systems.

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### 132 **Microalgae in swine and poultry nutrition**

133 Based on the above, it is of the utmost importance for the animal production sectors to  
134 improve the sustainability associated with the different production systems. The use  
135 microalgae as alternative feedstuffs is thus growing in importance. As a specific practical  
136 example, we show in figure 1, the effect of the inclusion of microalgae *Tetraselmis* sp. in  
137 piglet feeding at 0, 5, 10 and 15% inclusion rates. It is noteworthy to highlight the  
138 differences in pigmentation of the different feeds according to the different levels of  
139 inclusion.

140 As such, and based on worldwide *per capita* meat consumption patterns, their  
141 competitive costs and relatively fast production cycles, the most prominent monogastric  
142 species are undoubtedly pigs and poultry (Ritchie *et al.*, 2017). Recently, this topic has  
143 been thoroughly reviewed by us (Valente *et al.*, 2020). Readers are directed to such  
144 publication for further details.



145

146 Figure 1 – An example of the use of the microalga *Tetraselmis* sp. in a swine feeding  
147 experiment. A – Spray-dried *Tetraselmis* sp.; B – Control diet with 0% level of inclusion  
148 of *Tetraselmis* sp.; C – Diet with 5% level of inclusion of *Tetraselmis* sp.; D - Diet with

149 10% level of inclusion of *Tetraselmis* sp. and E - Diet with 15% level of inclusion of  
150 *Tetraselmis* sp.

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152 Briefly, numerous studies on the incorporation of different dried microalgae in poultry  
153 and swine feeds have been conducted over the years (Lum *et al.*, 2013). In the case of  
154 pigs, this research is often divided according to the stage of the life cycle, clearly  
155 separating three distinct phases: post-weaning piglets, growing/ fattening pigs and  
156 breeders. In table 1, we present an overview of the main effects of the inclusion of  
157 microalgae in the different stages of pig production and in table 2, the same information  
158 for the use of microalgae in the context of poultry feeding.

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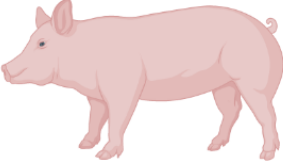
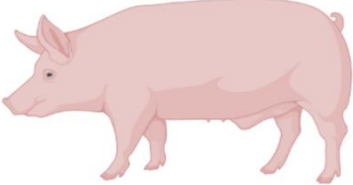
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175 Table 1 – Major effects of microalgae incorporation in swine feeding (adapted from  
 176 Valente et al., 2020).

Physiological phases	Function	Main effects
 Post-weaning piglets	Additive	<ul style="list-style-type: none"> <li>- Improvement of feed conversion ration ratio and weight gain.</li> <li>- Increase of villus height in the jejunum.</li> <li>- Increase of dry matter, N apparent total tract and ileal digestibility.</li> <li>- Variation of effects in feces consistency.</li> <li>- Reduce of feed intake without affecting the performance.</li> </ul>
 Growing/ Fattening pigs	Additive	<ul style="list-style-type: none"> <li>- Increase of EPA, DHA and n-3/n-6 ration in muscle.</li> <li>- Increase of dry matter digestibility.</li> <li>- Improvement of lactobacillus intestinal population.</li> <li>- No negative effects on animal performances.</li> <li>- No effects in carcass characteristics and quality.</li> </ul>
	Ingredient	<ul style="list-style-type: none"> <li>- Increase of EPA concentrations in muscle.</li> <li>- No effects on performances.</li> <li>- Increase of back fat thickness.</li> </ul>
 Breeders	Additive	<ul style="list-style-type: none"> <li>- Increase of ovarian development.</li> <li>- Increase of volume and count in sperm ejaculate.</li> <li>- Increase of sperm mobility.</li> <li>- Improvement of immune system of foetuses</li> </ul>

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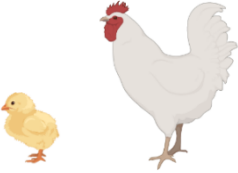
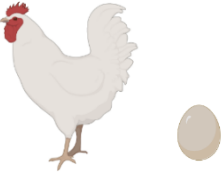

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185 Table 2 – Major effects of microalgae incorporation in poultry, laying hens and duck  
 186 feeding (adapted from Valente et al., 2020).

Physiological phases	Function	Major effects
 <p data-bbox="236 589 347 618">Broilers</p>	Additive	<ul style="list-style-type: none"> <li>- Increase of omega-3 in meat.</li> <li>- Improvement of growth rate, performance and body weight.</li> <li>- Increase of lactobacillus intestinal population.</li> <li>- Improvement of immune characteristics.</li> <li>- Improvement of fatty acids in meat.</li> <li>- Increase of digestible methionine value.</li> <li>- Changes in muscle color (more pigmentation).</li> </ul>
 <p data-bbox="209 925 368 954">Laying hens</p>	Additive	<ul style="list-style-type: none"> <li>- DHA enriched eggs.</li> <li>- Change of color of yolk color (darker, orange-red, intense).</li> <li>- Decrease of cholesterol levels in yolk.</li> <li>- Layer performance and egg quality not affected.</li> <li>- Enrichment of fatty acids (n-3) in eggs.</li> </ul>
 <p data-bbox="252 1182 331 1211">Ducks</p>	Additive	<ul style="list-style-type: none"> <li>- Improvement in body weight.</li> <li>- No differences in performances.</li> <li>- DHA increase in breast meat.</li> </ul>

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189 In weaned piglets, the main concern is to guarantee intestinal health during post-  
 190 weaning stress, by briefly avoiding diarrhoea or any factors that may delay the  
 191 development and weight gain of such young animals. This phase is one of the most critical  
 192 due to the stress and drastic environmental and nutritional changes associated with  
 193 weaning. It is also the stage when the animal will be most susceptible to stress factors.  
 194 Several studies have been conducted using microalgae, mostly with a prebiotic function  
 195 (alone or in combination with enzymes) in order to promote intestinal health and decrease  
 196 antibiotics use or use them as a feedstuff (Heo *et al.*, 2013; Martins, *et al.*, 2020).

197 In growing and fattening pigs, studies on the use of microalgae have a different role  
 198 and researchers frequently target them as an alternative source of proteins and  
 199 carbohydrates (Valente *et al.*, 2020). Indeed and as these are older animals, their



200 susceptibility to infections is lower, for that reason the use of microalgae is more frequent  
201 than in piglets. Several authors have developed works using microalgae as an adequate  
202 protein source (Hintz *et al.*, 1967; Neuman *et al.*, 2018) describing its use as generally  
203 beneficial. Other effects have been reported: increased linoleic acids in subcutaneous fat  
204 (Altman *et al.*, 2019), increased thickness of body fat, without negatively influencing the  
205 animal's performance (Hintz *et al.*, 1967), improved nutritional properties of meat,  
206 namely the fatty acid profile in pork (Marriott *et al.*, 2002; Moran *et al.*, 2018; Sardi *et*  
207 *al.*, 2006; Tonnac *et al.*, 2018). In addition, the use as a prebiotic has been studied at this  
208 stage. Yan *et al.* (2012) for instance reported an improvement in the microbiological  
209 profile of animal faeces with microalgae incorporation.

210 In the case of breeding boars and sows, the use of microalgae is directed mostly to the  
211 latter aspect. However, few studies have been done yet and the results have to be further  
212 explored in order to have more sound data (Valente *et al.*, 2020). However, the  
213 incorporation of microalgae in the feed provided to boars showed significant  
214 improvements both in the mobility and quantity of sperm, as well as in the increase in the  
215 ejaculate volume (Andriola *et al.*, 2018; Murphy *et al.*, 2017). The way the reproductive  
216 cycle of gilts is affected by the incorporation of microalgae is still largely unexplored.  
217 However, some studies show that the incorporation of microalgae in feeds during  
218 pregnancy and lactation leads to improvements in the immune system of foetuses  
219 (Valente *et al.*, 2020).

220 Concerning poultry, the incorporation of several species of microalgae in feeds has  
221 been widely studied. In general, results indicate that when microalgae are incorporated at  
222 levels below 15% have the potential to be used in several species of birds for meat  
223 production, overall improving meat quality, without decreasing animal productive  
224 performance (Valente *et al.*, 2020).

225 Studies carried out in poultry have shown that relatively small incorporations of  
226 microalgae (less than 15%) generally lead to positive effects in several productive aspects.  
227 They include using them as a replacer for conventional protein sources. In such cases,  
228 animal performance parameters, digestibility, gastrointestinal development and  
229 growthtract or traits of meat quality has generally improved (Evans *et al.*, 2015; Kang *et*  
230 *al.*, 2013; Park *et al.*, 2018; Shanmugapriya *et al.*, 2015; Venkataraman *et al.*, 1994; Choi  
231 *et al.*, 2017; Yan and Kim, 2013; Mirzaie *et al.*, 2018).

232 Studies involving the incorporation of microalgae in diets supplied to laying hens have  
233 also shown promising results, mainly in egg quality. The fatty acid profile of both yolk

234 and egg white showed improvements without harming animals and even improving  
235 zootechnical indexes (Manor *et al.*, 2019; Ao *et al.*, 2015; Kralik *et al.*, 2020; Ginzberg  
236 *et al.*, 2000). There was a decrease in the yolk cholesterol contents (Park *et al.*, 2015) and  
237 an intensification of its colour (Anderson *et al.*, 1991). Improvements in eggshell  
238 hardness was also reported, decreasing the number of broken eggs in laying hens (Saeid  
239 *et al.*, 2016).

240 Other species of poultry, such as Japanese quails or ducks, have also been used in  
241 studies involving the improvement of animal performance, changes in skin colour,  
242 improvement of meat quality and fatty acid profiles of meat (Valente *et al.*, 2020).

243 Considering what was stated above, the studies carried out so far on the use of  
244 microalgae as a feedstuff for swine and poultry, are a clear indication of their interest and  
245 usefulness. However, with the increase in microalgae use, a very important drawback  
246 persists. Indeed, the production of microalgae still has a very high cost, both in the  
247 creation of structures and in the technological means necessary for their production,  
248 drying, conservation, storage and transport. All of these factors will condition the interest  
249 of microalgae as a feedstuff of commercial use.

250

### 251 **Major limitations about the use of microalgae in pig and poultry nutrition**

252 Even with the high potential of microalgae use as in carbon fixation, production on a  
253 commercial scale is still incipient (FAO, 2009). This is due several drawbacks associated  
254 with the production systems of these organisms.

255 The first limitation is the high investment required in the installation of structures for  
256 the production of microalgae. The costs of implementing infrastructures such as closed  
257 photobioreactors are extremely high, representing an increased initial investment that not  
258 all industries and countries are currently able to support. Despite this, these reactors are  
259 undoubtedly those with the highest production efficiency and with a lower energy and  
260 water use (Amin *et al.*, 2009; Nogueira, 2010). In order to reduce these costs, more  
261 economical structures such as open reservoirs can be used. They lead however to a  
262 decrease in microalgae production due mainly to the variable environmental conditions  
263 to which they are subjected to. These several contaminations and the loss of water due to  
264 evaporation (Amin *et al.*, 2009; Schenk *et al.*, 2008; Demirbas, 2011).

265 The second limitation are the inputs necessary for the cultivation of these  
266 microorganisms and the high price associated to them. Indeed, the photosynthetic growth

267 of microalgae requires sunlight, carbon dioxide, water and essential elements. These  
268 essential elements include mainly nitrogen, phosphorus, potassium, iron, magnesium and,  
269 in some cases, silicon. Additionally, the water used as a culture medium (usually  
270 seawater) must be enriched by adding, for example, nitrates or fertilizers with phosphate  
271 and other micronutrients. In addition, the optimal growth temperatures should vary  
272 between 20 and 30°C (Molina-grima *et al.*, 1999; Iira *et al.*, 2012) leads to the need for  
273 energy and technology to heat cultures.

274 Thirdly, the biomass produced has a reduced concentration (1 to 5 g / L). Indeed, and  
275 although microalgae have a fast growth rate, a good adaptive capacity to extreme  
276 environmental factors namely poor quality water, the fact of having a reduced biomass  
277 concentration leads to high prices associated to the harvest. As such, the production rate  
278 is often lower than desired (Benemann, 1997; Li, 2008).

279 Finally, the production of microalgae involves large energy costs in drying and  
280 conditioning the biomass produced. However, these are dependent on the efficiency of  
281 the harvest, an aspect that can easily be improved (Matos *et al.*, 2013).

282 All of these factors mean that the large-scale commercial production of microalgae is  
283 still a long way from being available for animal production needs. Interestingly, the  
284 energy and transport sectors may be two of the biggest promoters of microalgae increased  
285 production. Indeed, the increasing need to find alternatives to fossil fuels has led to major  
286 interests in the production of biodiesel using microalgae for that purpose (Barata, 2016).  
287 This may provide a stimulus towards increased production and, consequently, availability  
288 for other uses, namely in animal feed. Nevertheless, it is a double-edged sword, as it will  
289 also lead to competition between the two sectors.

290 As such, it is essential to carry out research envisaging to lowering production costs,  
291 increasing productivity, recycling nutrients and water, using by-products and the reusing  
292 effluents treated as carbon sources for these crops (FAO, 2010). Furthermore and in the  
293 developing countries perspective, efficient and solid translation of research results and  
294 commercial implementation of such solutions will be a dire necessity for the use of  
295 microalgae in animal feeding.

296

## 297 **Conclusions and future prospects**

298 As mentioned above, the difficulties inherent to the use of microalgae in the context  
299 of monogastric feeding are still very significant. To some extent, such technical  
300 difficulties and their commercial price that is still very high may hamper their regular use

301 in the context of animal feeding, particularly in pigs given the fact that higher amounts  
302 are needed to feed this animals by comparison to poultry. Furthermore, these difficulties  
303 are a particularly important challenge in developing countries, mainly located in tropical  
304 regions, due to technical and investment difficulties. Indeed, the technology required for  
305 the production of microalgae on a large scale is relatively complex from a technical point  
306 of view and expensive regarding the costs of construction, maintenance and operation of  
307 such production units. In addition, their operating energy costs and especially the high  
308 microalgae drying and transport costs also raise questions on their viability, in both  
309 developed and developing countries. However, in the latter and given some weaknesses  
310 in terms of infrastructure and its functioning, the problem can be particularly serious.

311 To conclude, it is essential to invest in research so that novel, more economical and  
312 energetically sustainable, techniques can be used in the manufacture, drying and  
313 transportation of microalgae ultimately targeting their use in monogastric feeding.  
314 Concerning tropical developing countries, it is clear that these solutions will have to be  
315 particularly adapted to several local constraints such as the use of simple reactors,  
316 furthermore easy to maintain and operate that should be produced at low costs.  
317 Additionally, the use of equally technically simple, produced at low cost and using  
318 renewable energy sources and not fossil fuels dryers is also a top priority. Ultimately, and  
319 from the point of view of animal feed research, it is also important that the use of  
320 microalgae moves from reduced inclusions (which has been the bulk of the research  
321 carried out so far) to higher inclusion percentages, making microalgae a possible technical  
322 alternative to corn and soybeans in monogastric diet formulations.

323

## 324 **Declarations**

325

326 **Author's contribution:** AMA and JPBF conceptualized this review; AAMC, CFM,  
327 DMR, DFPC and ML conducted the literature search, data analysis and interpretation.  
328 AAMC, JPBF and AMA wrote the manuscript. All authors agreed on the final version of  
329 the manuscript.

330

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337

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340 **Ethics approval:** Not applicable.

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342 **Consent to participate:** Not applicable.

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344 **Consent for publication:** Not applicable.

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346 **Availability of data and material:** Not applicable.

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