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## Smart Interaction - Pedestrians and vehicles in a CAV environment

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## Smart Interaction - Pedestrians and vehicles in a CAV environment

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Yunchang Zhang  
Jon D. Fricker



**CENTER FOR CONNECTED  
AND AUTOMATED  
TRANSPORTATION**

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## **Smart Interaction – Pedestrians and Vehicles in a CAV Environment**

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**CENTER FOR CONNECTED  
AND AUTOMATED  
TRANSPORTATION**

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<b>16. Abstract</b> At "semi-controlled" crosswalks with yield signs and markings, negotiations as to the right-of-way occur frequently between pedestrians and motorists, to determine who should proceed first. This kind of "negotiation" often leads to traffic delay and potential conflicts. To minimize misunderstandings between pedestrian and motorist that can have serious safety consequences, it is essential that we understand the decision-making process as they interact in real street-crossing situations. This project employs a game-theoretic approach to investigate the joint behaviors of pedestrians and motorists from the perspective of safety. Assuming bounded rationality for each player, the quantal response equilibrium is a special kind of game with incomplete information. Explanatory variables such as conflicting risks and time savings can be incorporated into the payoff functions of the "players" via expected utility functions. Finally, model parameters can be estimated using an expectation maximization algorithm. The game-theoretic framework is applied to model pedestrian-motorist interactions at a semi-controlled crosswalk on a university campus. The estimation results indicate that the likelihood of pedestrian-vehicle conflict can be quantified. The results can lead to control measures that facilitate the negotiation between pedestrian and motorist and reduce the conflict risk at semi-controlled crosswalks and can help the design of an intelligent risk assessment system at crosswalks.					
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# 1. INTRODUCTION

## 1.1. Background and Problem Statement

Unsignalized crosswalks also known as “semi-controlled” crosswalks, are a common feature in urban street networks. Instead of signals, the marked crosswalk is “controlled” by “yield to pedestrian” signs.

See

**Figure 1(a).** As a result, there are frequent situations where pedestrians and motorists have to negotiate the right-of-way to determine who should go first. Consequently, a large number of pedestrian-motorist interactions (PMIs) occur at such crosswalks, which may cause conflicts. Faulty communication between pedestrians and motorists often occur. Drivers do not always yield to the pedestrians, and therefore pedestrians often need to stop walking to avoid collision. Failure of a driver to yield to a pedestrian has been documented as one of the most common causes of vehicle-pedestrian crashes at semi-controlled crosswalks (Schneider et al., 2017; Shaon et al., 2018). To address safety issues, it is essential that we understand as much as possible about the behaviors of pedestrians and motorists as they interact in real street-crossing situations.

Consequently, confusion between pedestrians and motorists leads to unnecessary delays for both pedestrians and vehicles and increase risks to pedestrian safety. An investigation of pedestrian crossing behavior and waiting behavior at such locations can be useful in developing policies and control strategies to enhance a pedestrian’s perceived safety and improve the level of service (LOS) at unsignalized intersections.

The model is named zebra-crossing game that is to quantify the probability of confusion and probability of conflict between pedestrian and motorist. The quantified probability of conflict can be used as a real-time risk warning system for CAV deployment at crosswalks.



(a) Sign at Semi-Controlled Crosswalk. (MUTCD, 2009)

(b) One-way Grant Street, 2017 (Jon D. Fricker)

(c) One-way North University Street at Second Street, 2017. (Jon D. Fricker)

Figure 1 Semi-Controlled Crosswalk.

## 1.2. Existing Studies

### 1.2.1. Pedestrian-Motorist Interactions

There is significant literature on pedestrian-motorist interactions (PMIs) at semi-controlled crosswalks. In observational experiments, a PMI can be extracted as an event using the judgment of observer(s) (Fricker and Zhang, 2019).

For pedestrian crossing behavior, discrete choice models are often applied to estimate whether a pedestrian will accept ( $Y = 1$ ) or reject ( $Y = 0$ ) a gap, if a PMI occurs. Generally, older and female pedestrians are more likely to wait in the curb area, hesitate before crossing, and maintain a lower speed while crossing at mid-block crosswalks (Cloutier et al., 2017; Sucha et al., 2017; Alver and Onelcin, 2018). Moreover, researchers (Pawar et al., 2015; Pawar and Patil, 2016) have examined the impact of spatial or temporal gaps on pedestrian decision-making at semi-controlled crosswalks. Many of those studies conclude that vehicle dynamics (i.e., the distance from crosswalk to interacted vehicle and the vehicle approach speed) are variables that significantly influence pedestrian crossing behavior. Furthermore, traffic and environmental factors, such as the number of lanes (Zhang et al., 2019), traffic flow rate (Cassidy et al., 1995; Hamed, 2001; Chen and Wang, 2015), and crossing surface materials (Cloutier et al., 2017) have been proved to affect pedestrian crossing choices.

Driver compliance behavior (willingness to yield) has also been investigated using discrete choice models. Schroeder and Roupail (2010; 2011) conducted field observations related to driver yielding behavior at semi-controlled crosswalks in North Carolina. Descriptive variables, such as pedestrian characteristics, vehicle dynamics, and environmental factors, were collected and used in a choice modeling framework. Fricker and Zhang (2019) further applied a partial proportional odds model in a driver's willingness to yield study, considering different street operations. A detailed review of literature regarding PMIs can be found in Camara et al. (2019 and Amado et al. (2020).

The PMIs at an unsignalized crosswalk constitute a “zebra crossing” game involving two players – pedestrian and motorist. The decision of one player is a response to the decision of the other. Consequently, considering only the decision of one player is not sufficient to describe the communication between two players. The remedy is the game theoretic approach that can assign a utility function to each combination of actions.

### 1.2.2. Game-Theoretic Approach

Only a few studies focus on the game-theoretic approach to modeling PMIs at semi-controlled crosswalks. Elvik (2014) categorized ten classic games in road user behavior studies, but the game between pedestrian and motorist was not among them. Bjørnskau (2017) first developed a zebra crossing game with the perfect rationality assumption to explore the bicyclist-vehicle interaction at unsignalized crosswalks in Norway. Two kinds of Nash Equilibria (Cycle/Yield and Yield/Driver) were found based on ordinal responses. Field observations confirmed that Cycle/Yield was the perfect Nash Equilibrium (NE) and was the most frequent solution in the real world. However, the Walk/Yield solution (the bicyclist gets off the bicycle, negotiates with the driver, and walks over the crosswalk) is not a Nash Equilibrium solution. The Walk/Yield outcome

implies that bicyclists may not know whether or not the interacted driver is aggressive. A recent study of pedestrians and motorists at unsignalized crosswalks (Fricker and Zhang, 2019) frequently observed the Walk/Yield solution. Therefore, some real behaviors of pedestrian and motorist are missing in the NE with complete information.

To capture uncertainties in the decision-making process, evolutionary game theory has been adopted, assuming players are not perfectly rational. Chen et al. (2016) integrated evolutionary game theory with cumulative prospect theory (CPT) in modeling PMIs at unsignalized crosswalks. The proposed evolutionary game framework has the potential to model the phenomenon of behavioral differences among pedestrians in a group. However, the evolutionary game framework is incorporated into a microsimulation platform that introduces a large number of parameters to be calibrated. Therefore, it is difficult to generalize the evolutionary game framework when we consider more sophisticated PMI models (Talebpour et al., 2015). To address these limitations, modified game-theoretic models such as Quantal Response Equilibrium (McKelvey and Palfrey, 1995) have been developed. In Quantal Response Equilibrium (QRE), players are assumed to make decisions with the lowest perceived costs that are subject to errors. A recent study (Arbis and Dixit, 2019) applied the QRE to model the lane-changing “game” between an on-ramp driver and a mainline driver and their results revealed that the QRE can accurately model the expected number and variance of driving strategies when the lane-changing game occurs.

Based on the literature review, the zebra crossing game has not been adequately investigated. There is little research that considers the joint behavior of driver and pedestrian at a semi-controlled crosswalk. In addition, drivers and pedestrians behave stochastically rather than rationally. Pure Nash Equilibrium with complete information may not be adequate to analyze the zebra crossing game. Consequently, the objective of this study is to propose a game-theoretic framework to model the joint behaviors of pedestrians and motorists at semi-controlled crosswalks. Three research questions are addressed in this study:

1. How relax the assumptions of perfect rational behavior and complete information in the game setting?
2. How analyze the game between pedestrian and driver with incentives of time savings and conflict avoidance?
3. How reduce the likelihood of conflict between pedestrians and drivers at unsignalized crosswalks?

## 2. METHODOLOGY

### 2.1. Data Collection

The data for this study were collected at two semi-controlled crossing locations on the Purdue University campus. Video recordings were made in Spring 2017 when Grant Street was a one-way northbound street. The street had two 12-ft. wide lanes with a speed limit of 25 mph. We observed 170 PMIs in a 40-minute

Figure 1(b). Additional video recordings were made in Spring 2017, when University Street was a one-way northbound street. The street had two 10-ft wide lanes (plus a 4-foot bicycle lane) with a speed limit of 25mph. Video recordings were made at four different 40-minute periods (Zhang and Fricker, 2021). We observed a total of 1437 pedestrian-motorist interactions. See Figure 1(c).

### 2.2. Definitions

A *pedestrian-motorist interaction* is defined as the behavior of either party when in the area of influence of the other (Fricker and Zhang, 2019):

- A pedestrian enters the curb area and intends to cross.
- The subject driver is aware of the pedestrian's intention and then responds to the pedestrian (yields or doesn't yield).

An interaction does not occur if:

- A pedestrian arrives at the curb area, but there is no vehicle close enough to the crosswalk to affect the pedestrian's crossing decision.
- A vehicle approaches the crosswalk, but there are no pedestrians in the curb area.

There are two types of pedestrian crossing decisions for each interaction:

- The subject pedestrian crosses immediately ( $Y = 1$ ).
- The subject pedestrian waits and yields to the interacting motorist ( $Y = 0$ ).

There are two types of driver's yielding behavior for each interaction:

- The driver yields by stopping or slowing down ( $Y = 1$ ).
- The driver doesn't yield to the pedestrian ( $Y = 0$ ).

Considering each combination of decisions, there are two special cases of interactions:

- *Confusion*: The driver yields, and pedestrian does not cross.

- *Conflict*: The driver doesn't yield, and pedestrian chooses to cross.

Confusion event involves delay (efficiency issue), and conflict event brings the safety issue. Therefore, confusion and conflict events are of special interests at semi-controlled crosswalks, and it is essential to model the "zebra-crossing game" to quantify the probability of confusion and probability of conflict for operational and safety assessments.

### 3. MODEL FORMULATION

The Quantal Response Equilibrium (QRE) builds on games with *incomplete information*. Such a game is

formulated as:  $G = \left\langle N, \{S_i\}_{i=1}^N, \{A_i\}_{i=1}^N, \{T_i\}_{i=1}^N, P(t_1, \dots, t_T), \{u_i\}_{i=1}^N \right\rangle$ ,

1. The set of players  $N = \{\text{Pedestrian} = 1; \text{Driver} = 2\}$ .
2. The set of states  $S_t$  as described by explanatory variables in Table 1.
3. The set of actions for each player: pedestrian  $A_1 = \{\text{Cross}; \text{Not Cross}\}$  and driver  $A_2 = \{\text{Yield}; \text{Not Yield}\}$ .
4. The set of types for each player:  $T_i = \{\text{Aggressive}; \text{Cautious}\}$  given the state  $S_i$ .
5. A joint probability Distribution:  $P_t = \{P_{\text{aggressive}}; P_{\text{cautious}}\}$  over types,  $P_1 = \{P_{\text{aggressive}} = P_{\text{cross}}; P_{\text{cautious}} = 1 - P_{\text{cross}}\}$  and  $P_2 = \{P_{\text{aggressive}} = 1 - P_{\text{yield}}; P_{\text{cautious}} = P_{\text{yield}}\}$ .
6. The payoff function of each player:  $\mu_i : T_i \times A$ . See Section 4.1 and Table 2.

Table 1 Explanatory Variables

Variable	Description
$V_{\text{ped}}$	The approach speed (ft/s) of pedestrians when a pedestrian enters the curb area. Using Google Maps, the distance covered by a pedestrian every 34 milliseconds (one video frame) is converted into a speed. (mean = 3.34 ft/s; sd = 2.47 ft/s)
$d_{\text{veh}}$	The distance of interacted vehicle to the conflict point when the interaction begins (in feet). If an interaction occurs when the subject pedestrian arrives at the curb, we paused the video and calculated the distance to vehicle using Google Maps. (mean = 72.02 ft; sd = 54.14 ft) $d_{\text{veh}}$ stands for the distance buffer of the vehicle.
$d_{\text{ped}}$	The <u>direct</u> distance of the subject pedestrian to the interacted vehicle when interaction begins (in feet). Let the pedestrian distance to the conflict point as $d_{\text{conflict}}$ . $d_{\text{ped}} = \sqrt{d_{\text{conflict}}^2 + d_{\text{veh}}^2}$ (mean = 76.30 ft; sd = 51.72 ft). $d_{\text{ped}}$ stands for the pedestrian's distance buffer.
$V_{\text{veh}}$	The approach speed (ft/s) of interacted vehicles when a pedestrian enters the curb area. Using Google Maps, the distance covered by a vehicle every 34 milliseconds (one video frame) is converted into a speed. (mean = 12.50 ft/s; sd = 10.29 ft/s)
Sex	A binary variable taking the value 1 if the subject pedestrian is a male (44.0%), 0 for female pedestrian (56.0%).

Group	The number of pedestrians in the subject pedestrian's curb area, including the subject pedestrian. (mean = 2.30; sd = 2.02)
Cross	A binary variable taking the value 1 if the pedestrian crosses; 0 otherwise.
$P_{cross}$	The interacted driver's belief that the pedestrian will cross.
Yield	A binary variable taking the value 1 if the driver yields; 0 otherwise
$P_{yield}$	The subject pedestrian's belief that the interacted driver will yield.
Note: mean = average value; sd = standard deviation	

First, the state of nature of an interaction will determine the type of player that is measured by probability  $P_i$ . Then, the type of player determines the action that the subject player will choose in probability.

- $P_{yield}$  and  $P_{cross}$  are the parameters of the joint probability distribution.
- $P_{yield}$  represents the pedestrian's belief that the interacted driver will yield. In other words,  $P_{yield}$  represents the pedestrian's belief that the interacted driver will be cautious.
- $P_{cross}$  denotes the driver's anticipation that the subject pedestrian will cross. In other words,  $P_{cross}$  denotes the driver's anticipation that the subject pedestrian will be aggressive.

The payoff function of each player is defined as  $u_i : T_i \times A$ . Payoff functions are interrelated by players' actions and beliefs ( $P_{yield}$  and  $P_{cross}$ ), and PMIs can be quantified.

### 3.1. Expectation Utility Functions

#### 3.1.1. Pedestrian Utility

Expectation utility functions for actions that the subject pedestrian may choose can be expressed as Equation 1a and Equation 2a:

$$EU_{Cross} = p_{yield} (b_1 v_{ped}^2 + b_2 sex + b_3 Group) \quad (1a)$$

$$EU_{Cross} = p_{yield} a_1 v_{ped}^2 \quad (1b)$$

$$EU_{DoNotCross} = b_4 d_{ped}^2 + b_5 d_{ped} + b_6 \quad (2a)$$

$$EU_{DoNotCross} = a_2 + a_3 d_{ped} \quad (2b)$$

Equations 1a and 2a become Equations 1b and 2b after eliminating variables that were found to be not statistically significant. If a pedestrian chooses to cross, the pedestrian will be motivated by reduced



travel time, but will undertake the risk of getting hit by a vehicle.  $P_{yield}$  represents the pedestrian's belief that the interacted driver will *yield*.

1. If the driver chooses to yield, then  $P_{yield} = 1$ , and the expected utility of crossing will be equivalent to  $EU_{Cross} = a_1 v_{ped}^2$ , which denotes the benefits of saving time.
2. Otherwise, if the driver does not yield, then  $P_{yield} = 0$ , and the expected utility is equivalent to  $EU_{Cross} = 0$ , which represents the cost of a potential conflict.

### 3.1.1. Driver Utility

Expectation utility functions for the actions that the interacted driver may choose are expressed as Equation 3a and Equation 4a.

$$EU_{Yield} = a_4 d_{veh}^2 + a_5 d_{veh} + a_6 \quad (3a)$$

$$EU_{Yield} = a_4 d_{veh}^2 + a_5 d_{veh} + a_6 \quad (3b)$$

$$EU_{DoNotYield} = (1 - p_{cross})(b_{10} v_{veh}^2 + b_{11} v_{veh}) + b_{12} \quad (4a)$$

$$EU_{DoNotYield} = (1 - p_{cross})a_7 v_{veh}^2 + a_8 \quad (4b)$$

Equations 3a and 4a become Equations 3b and 4b after eliminating variables that were found to be not statistically significant. If a driver chooses not to yield, the driver will be motivated by reducing travel time, but will assume the risk of hitting the pedestrian.

1.  $P_{cross}$  represents the driver's belief that the pedestrian will *cross*. If the pedestrian chooses to cross, then  $P_{cross} = 1$ ,  $1 - P_{cross} = 1 - 1 = 0$ , and the expected utility of not yielding will (by Equation 4b) be equivalent to  $EU_{DoNotYield} = a_8$  which represents the cost of a potential conflict to the driver.
2. If the pedestrian does not cross, then  $P_{cross} = 0$ ,  $1 - P_{cross} = 1 - 0 = 1$ , and the expected utility (by Equation 4b) is equivalent to  $EU_{DoNotYield} = a_7 v_{veh}^2 + a_8$ , which denotes the benefit of saving time.

## 3.2. Logit Quantal Response Equilibrium

A logit quantal response function is a particular class of quantal response function that has been widely used in the study of choice behavior. The logit quantal response function assumes that the players' anticipations are accurate on average, but subject to some errors that follow an extreme value distribution (McKelvey and Palfrey, 1995). Equation 5 and Equation 6 take advantage of the expected utilities  $\overline{EU}_{Cross}$ ,  $\overline{EU}_{DoNotCross}$ ,  $\overline{EU}_{Yield}$ , and  $\overline{EU}_{DoNotYield}$  to address the variability in the costs across a population (Watling, 2006).

$$P_{cross} = \frac{\exp[\overline{EU}_{Cross}(p_{yield})]}{\exp[\overline{EU}_{cross}(p_{yield})] + \exp[\overline{EU}_{DoNotCross}]} \quad (5)$$

$$P_{yield} = \frac{\exp[\overline{EU}_{yield}(1 - P_{cross})]}{\exp[\overline{EU}_{yield}(1 - P_{cross})] + \exp[\overline{EU}_{DoNotYield}]} \quad (6)$$

Additionally, the players' anticipations  $P_{yield}$  and  $P_{cross}$  are determined by the logit quantal response functions in Equations 5 and 6.

- $P_{cross}$  is the probability of the subject pedestrian choosing the strategy {*cross*} with the belief that the interacted driver will yield with the probability  $P_{yield}$ .
- $P_{yield}$  is the probability of the interacted driver choosing the action {*yield*} with the anticipation that the subject pedestrian will cross with probability  $P_{cross}$ .

The existence and uniqueness of logit QRE has been asserted by McKelvey and Palfrey (1995).

Mathematically computing  $P_{yield}$  and  $P_{cross}$  is a fixed-point problem.  $P_{yield}$  and  $P_{cross}$  are fixed points of functions  $P_{yield} = F(P_{cross})$  and  $P_{cross} = H(P_{yield})$  (Brouwer, 1911).

Model parameters are estimated by maximum likelihood estimation. Let  $\Delta EU_{Cross}$  and  $\Delta EU_{Yield}$  be latent indices for pedestrian decisions and motorist decisions:

$$\Delta EU_{Cross} = EU_{Cross} - EU_{DoNoCross} \quad (7)$$

$$\Delta EU_{Yield} = EU_{Yield} - EU_{DoNoYield} \quad (8)$$

The log-likelihood function of pedestrian decisions can be constructed:

$$LL_{ped}(a_1, a_2, a_3; y, X) = \sum_i \{\ln[\varphi(\Delta EU_{Cross})] * I\{y_i = 1\} + \ln[1 - \varphi(\Delta EU_{Cross})] * I\{y_i = 0\}\} \quad (8)$$

where

- $y_i = 1$  represents that the subject pedestrian chooses the action {*cross*}.
- $y_i = 0$  represents that the subject pedestrian chooses the action {*not cross*}.
- $\varphi(\cdot)$  is the cumulative distribution function of the logistic distribution.

Similarly, the log-likelihood function of driver decisions can be constructed:

$$LL_{veh}(a_4, a_5, a_6, a_7, a_8; y, X) = \sum_j \{\ln[\varphi(\Delta EU_{Yield})] * I\{y_j = 1\} + \ln[1 - \varphi(\Delta EU_{Yield})] * I\{y_j = 0\}\} \quad (10)$$

where

- $y_j = 1$  represents that the interacted driver chooses the action  $\{yield\}$ .
- $y_j = 0$  represents that the interacted driver chooses the action  $\{not\ yield\}$ .

Therefore, let  $\mu$  denote the vector of all model parameters, and the log-likelihood can be expressed as:

$$LL(\mu; y, X) = LL_{ped}(a_1, a_2, a_3; y, X) + LL_{veh}(a_4, a_5, a_6, a_7, a_8; y, X) \quad (11)$$

### 3.3. Solution Algorithm - Expectation Maximization

Expectation Maximization (EM) can be applied iteratively to generate solutions of logit QRE.  $P_{yield}$  and  $P_{cross}$  can be considered as latent variables. For a pair of initial probabilities  $\{P_{cross,i}, P_{yield,i}\}$ ,  $\mu_i$  is generated by maximizing the total log-likelihood function (Dixit and Denant-Boemont, 2014):

$$\begin{aligned} \max_{\mu_i} LL(\mu_i; y, X) = & LL_{ped}(a_1, a_2, a_3; y, X, P_{yield,i}) + \\ & LL_{veh}(a_4, a_5, a_6, a_7, a_8; y, X, P_{cross,i}) \end{aligned} \quad (12)$$

For  $\mu_i$ , a new pair of probabilities  $\{P_{cross,i+1}, P_{yield,i+1}\}$  is generated based on Equation 13 and Equation 14:

$$P_{cross,i+1} = \frac{\exp[\overline{EU}_{Cross}(p_{yield,i}, \mu_i)]}{\exp[\overline{EU}_{Cross}(p_{yield,i}, \mu_i)] + \exp[\overline{EU}_{DoNotCross}(\mu_i)]} \quad (13)$$

$$P_{yield,i+1} = \frac{\exp[\overline{EU}_{Yield}(1 - p_{cross,i}, \mu_i)]}{\exp[\overline{EU}_{Yield}(1 - p_{cross,i+1}, \mu_i)] + \exp[\overline{EU}_{DoNotYield}, \mu_i]} \quad (14)$$

Equations 12-14 can be applied iteratively until  $\{P_{cross,i}, P_{yield,i}\}$  converges. The pseudocode of the proposed EM algorithm is shown in Figure 2.

The convergence of the EM algorithm has been shown by Wu (1983). The logit QRE usually converges within 150 iterations. We use traceplots (see Figure 3) of  $P_{cross}$  and  $P_{yield}$  with 150 iterations to show the convergence of the logit QRE.  $P_{cross}$  and  $P_{yield}$  converge to 0.0848 and 0.475, respectively.

---

**Algorithm 1** Expectation Maximization

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- 1: Initialization  $\leftarrow \epsilon, v = 0, P_{0,cross}, P_{0,yield}$ .
  - 2:  $\mu = \{a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8\}$ .
  - 3: **while**  $P_{v,cross} - H(P_{v,yield}) > \epsilon$  Or  $P_{v,yield} - F(P_{v,cross}) > \epsilon$  **do**
  - 4:  $LL(\mu; y, X, P_{v,cross}, P_{v,yield}) = LL_{ped}(a_1, a_2, a_3; y, X, P_{v,yield}) + L_{veh}(a_4, a_5, a_6, a_7, a_8, P_{v,cross})$
  - 5:  $\mu_{v+1} \in \arg \min LL(\mu; y, X, P_{v,cross}, P_{v,yield})$
  - 6:  $P_{v+1,cross} = H(P_{v,yield}; \mu_{v+1})$
  - 7:  $P_{v+1,yield} = F(P_{v,cross}; \mu_{v+1})$
  - 8:  $v = v + 1$
  - 9: **end**
- 

Figure 2 Expectation Maximization Algorithm

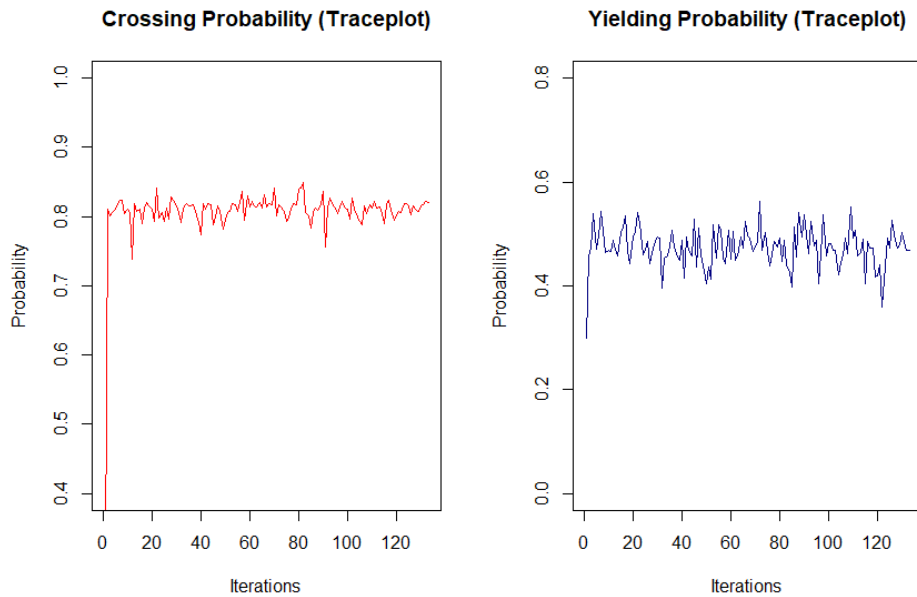


Figure 3 Trace plots of Fixed-Point Iterations

## 4. RESULTS

### 4.1. Estimation Results

The estimation results are shown in **Table 2**. Parameters and variables used in Equations 1b, 2b, 3b and 4b are shown in the first column of **Table 2**. “Bootstrap standard deviation” is a surrogate estimate of standard error (Train, 2009). The z-score is an indication of how far from zero an estimated coefficient is. If the absolute value of a z-score is greater than 1.96, we can conclude that the estimated parameter is “significant” at the 95 percent confidence level. Note that  $a_6$  represents the intercept in the Equation 3b. Including the intercept will make the model more flexible even if the intercept is not significant.

Table 2 Estimation Results for Model Parameters

Parameter and Variable	Coefficient	Bootstrap Standard Deviation	z_score	$P >  z $
$a_1 V_{ped}^2$	0.245	0.043	5.654	0.000
$a_2$	1.920	0.513	3.746	0.000
$a_3 d_{ped}$	-0.024	0.005	-4.682	0.000
$a_4 d_{veh}$	0.054	0.023	2.389	0.017
$a_5 d_{ped}^2$	-0.00030	0.00013	-2.382	0.017
$a_6$	-0.464	0.593	-0.782	0.434
$a_7 V_{veh}^2$	0.057	0.010	5.484	0.000
$a_8$	-1.072	0.506	-2.119	0.034

#### 4.1.1. Pedestrian Dynamics

The estimation of parameter  $a_1$  is associated with the approach speed (ft/s) of a pedestrian when he/she enters the curb area. A positive  $a_1$  represents the increase in the likelihood of a pedestrian crossing if the pedestrian approaches the curb with a higher velocity. The pedestrian approach speed has a strong correlation with the aggressiveness of the subject pedestrian.

#### 4.1.2. Vehicle Dynamics

Similarly, the estimation for parameter  $a_6$  is associated with the approach speed (ft/s) of a driver when a PMI occurs. A positive  $a_6$  represents the increase in the likelihood of a driver not yielding if the vehicle approaches the crosswalk with a higher speed.

#### 4.1.3. Pedestrian Distance to Conflict Point

The estimation parameters  $a_2 = 1.92$  and  $a_3 = -0.024$  are related to the pedestrian’s action – {not cross}. A negative  $a_3$  represents the decrease in the likelihood of a pedestrian not crossing if the direct distance of the subject pedestrian to the interacted vehicle is higher.

#### 4.1.4. Vehicle Remaining Distance

$d_{veh}$  also reveals a non-linear effect on a driver’s decision. If the distance to the conflict point is less than 89.49 ft, the expected utility function for {yield} increases with the increase in distance to the conflict point. Therefore, the probability of {yield} increases.

When  $d_{veh} > 89.49$  ft, the expected utility function for  $\{yield\}$  decreases as  $d_{veh}$  increases. There are two possible explanations.

1. A driver may observe the pedestrian’s behavior first and then respond to it because there’s an adequate buffer for the driver to “think about” the best action.
2. There may be no need for driver to yield because, if the pedestrian leaves the curb area quickly and crosses quickly, the driver will not have to Yield (as defined in **Table 1**).

## 4.2. Payoff Matrix

The payoff matrix can be derived by applying the estimated coefficients in **Table 2**. Each entry in **Table 3** represents the payoff from each action. Each player will choose an action to maximize the payoff.

Table 3 Payoff Matrix

		Driver	
		Yield	Do Not Yield
Pedestrian	Cross	$[0.245v_{ped}^2,$ $0.054d_{veh} - 0.0003d_{veh}^2 - 0.464]$	[0, -1.072]
	Do Not Cross	$[1.92 - 0.024d_{ped},$ $0.054d_{veh} - 0.0003d_{veh}^2 - 0.464]$	$[1.92 - 0.024d_{ped},$ $0.057v_{veh}^2 - 1.072]$
Pedestrian (mean case)	Cross	[2.74, 1.86]	[0, -1.072]
	Do Not Cross	[1.49, 1.86]	[1.49, 7.84]

If the mean values of explanatory variables are used in the payoff functions, the entries in the last two rows of **Table 3**. These entries represent the expected utilities or payoff of each action. In game theory, a *strictly dominant* strategy is defined as one that always provides greater utility than another strategy for one player. When a vehicle approaches the crosswalk with the speed of 5 ft/s, the payoff for  $\{not\ yield\}$  is  $0.057 * 5^2 - 1.072 = 0.353$ , and the payoff for  $\{yield\}$  is no less than 0.353 if  $d_{veh}$  lies in the range [16.7, 163.3] by solving the equation. The dominant strategy will be  $\{yield\}$  for the driver. Dominant strategy is a key point in perfect Nash equilibrium game, assuming perfect rationality for every player. In the Quantal Response Equilibrium, perfect rationality is relaxed to the bounded rationality, and either dominant or dominated strategy can be chosen in a probability.

It is clear in the “mean value” case that there is no strictly dominant strategy for both pedestrian and driver. For example, if the pedestrian chooses the action  $\{cross\}$ , the potential outcome is 2.74 when the interacted motorist chooses to *yield* or is 0 when the interacted motorist chooses *not to yield*. If the pedestrian chooses the action  $\{not\ cross\}$ , the potential outcome is always 1.49. The outcomes for the action  $\{cross\}$  are not always greater than the outcomes for the action  $\{not\ cross\}$ . This demonstrates that the best pedestrian strategy depends on the driver’s behavior (the probability of driver yielding as perceived by the pedestrian). Neither pedestrian behavior is dominant. In the absence of a dominant strategy, a player must decide on an action based on the expected action of the other player. The uncertainties in this zebra crossing

game raise issues of safety and efficiency. Of special interest are the probabilities of the two special cases of interactions:

- *Confusion*: The driver yields, and pedestrian does not cross.
- *Conflict*: The driver does not yield, and pedestrian chooses to cross.

## 5. CONFLICT AND CONFUSION ANALYSIS

We define the conflict probability and the confusion probability as:

$$P_{conflict} = P_{cross} * (1 - P_{yield}) \quad (15)$$

$$P_{confusion} = (1 - P_{Cross}) * P_{yield} \quad (16)$$

$P_{conflict}$  and  $P_{confusion}$  are two important performance measures.  $P_{conflict}$  is the probability that a conflict occurs, representing the conflict risk.  $P_{confusion}$  is the probability that a misunderstanding between pedestrian and motorist happens, which is an efficiency measure at the semi-controlled crosswalk.

### 5.1. Conflict and Confusion Prediction

Sample calculations of the probability of conflict and the probability of confusion are provided for a pedestrian-motorist interaction (PMI) with covariate values  $d_{ped} = 51.80$  ft,  $d_{veh} = 38.97$  ft,  $v_{ped} = 3.69$  ft/s, and  $v_{veh} = 28.24$  ft/s.

1. Set initial parameters for the first step in the algorithm (Figure 2). Here,  $P_{cross} = 0.7$ ,  $P_{yield} = 0.4$ , and  $\varepsilon = 0.001$  are chosen.
2. Calculate the expected utilities. Equations 17-20 below are Equations 1b-4b for the sample calculations:

$$EU_{Cross} = 0.4 * 0.245 * 3.69^2 = 1.334 \quad (17)$$

$$EU_{DoNotCross} = 1.92 - 0.024 * 51.80 = 0.677 \quad (18)$$

$$EU_{Yield} = 0.054 * 38.97 - 0.0003 * 38.97^2 - 0.464 = 1.185 \quad (19)$$

$$EU_{DoNotYield} = (1 - 0.7) * 28.24^2 - 1.072 = 12.565 \quad (20)$$

3. Recalculate the probabilities using Equations 5 and 6:  $P'_{cross} = 0.659$  and  $P'_{yield} = 1.142 * 10^{-5}$ .
4. Stop if the convergence requirement is met, viz.,  $P'_{cross} - P_{cross} < \varepsilon$  and  $P'_{yield} - P_{yield} < \varepsilon$ . Else,  $P_{cross} = P'_{cross}$ ,  $P_{yield} = P'_{yield}$ , and repeat Steps 1-3.
5. At convergence,  $P_{cross} = 0.309$  and  $P_{yield} = 2.224 * 10^{-13}$ .
6. Calculate the probability of conflict as  $P_{conflict} = 0.309 * (1 - 2.224 * 10^{-13}) = 0.309$  and the probability of confusion  $P_{confusion} = (1 - 0.309) * 2.224 * 10^{-13} = 1.538 * 10^{-13}$ .

The high value of  $P_{conflict}$  reflects the low distance (38.97 ft) of the vehicle to the conflict point, a high vehicle approach speed (28.24 ft/s) and a moderate pedestrian approach speed (3.69 ft/s).



## 5.2. Relationship between Explanatory Variables and Conflict

An essential research question will be: How to reduce the likelihood of conflict between pedestrians and drivers at semi-controlled crosswalks? Based on Equations 15 and 16, the relationship between the explanatory variables and the likelihood of conflict  $P_{conflict}$  is indirect. For example, the coefficient  $a_6$  is associated with the approach speed (ft/s) of a driver when a PMI occurs. A change in vehicle approach speed will directly influence the probability of vehicle yielding  $P_{yield}$  as perceived by the pedestrian. A change in  $P_{yield}$  will result in a change in the probability of pedestrian crossing  $P_{cross}$ . The probability of conflict is derived as  $P_{conflict} = P_{cross} * (1 - P_{yield})$ , which is Equation 15. According to Equations 1b and 4b, the change of vehicle approach speed will result in changes in both  $P_{yield}$  and  $P_{cross}$ . Hence, the relationship between the explanatory variables and the likelihood of conflict is not direct.

For this reason, a sensitivity analysis was conducted by predicting changes in conflict probability if there is a 10% change in any one of the variables listed in Table 1, holding constant the values of all other variables. The sensitivity analysis is based on the following five steps:

1. For each pedestrian-motorist interaction (PMI), calculate the likelihood of conflict  $P_{conflict}$  and confusion  $P_{confusion}$  based on the six-step conflict prediction in Section 5.1, given the observed values of  $d_{ped}$ ,  $d_{veh}$ ,  $v_{ped}$  and  $v_{veh}$  for the PMI. The green bars in Figure 4(a) comprise the frequency histogram after  $P_{conflict}$  has been calculated for all PMIs.
- 2.

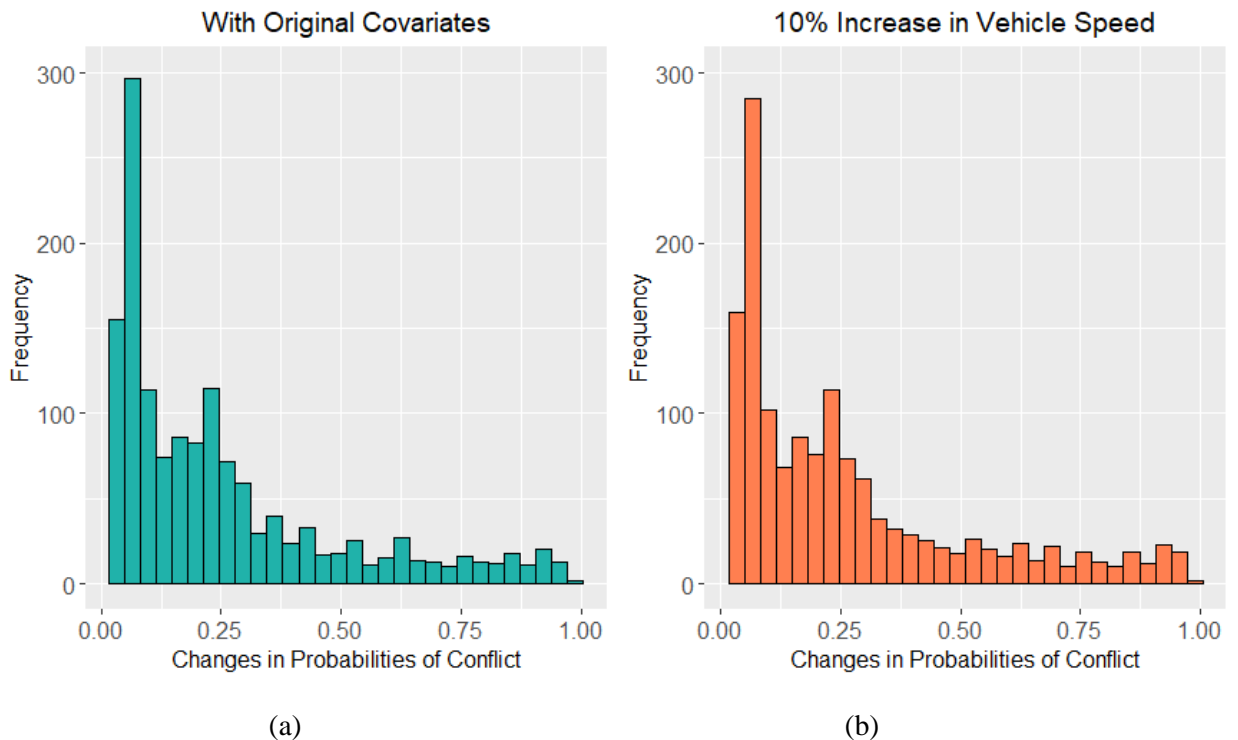


Figure 4 Distributions of Conflict Probability Before-and-After

3. Change by 10% the value of a given predictor variable for each PMI and calculate the new likelihood of conflict  $P'_{conflict}$  and likelihood of confusion  $P'_{confusion}$ , based on Equations 15 and 16. The red bars in Figure 4 Distributions of Conflict Probability Before-and-After(b) represent the frequency distribution of the likelihood of conflict  $P'_{conflict}$  for all PMIs, given a 10% increase in the original value of  $V_{veh}$  for each PMI.
4. Calculate  $P'_{conflict} - P_{conflict}$  for the current PMI and add the result to the frequency distribution of changes in conflict probability for  $V_{veh}$ .
5. After all PMIs have been examined, draw the histogram for the frequency distribution of changes in conflict probability for  $V_{veh}$ . See Figure 5(a).
6. Repeat Steps 1-4 for the other explanatory variables and build histograms, as shown in Figure 5(b), Figure 5(c) and Figure 5(d).

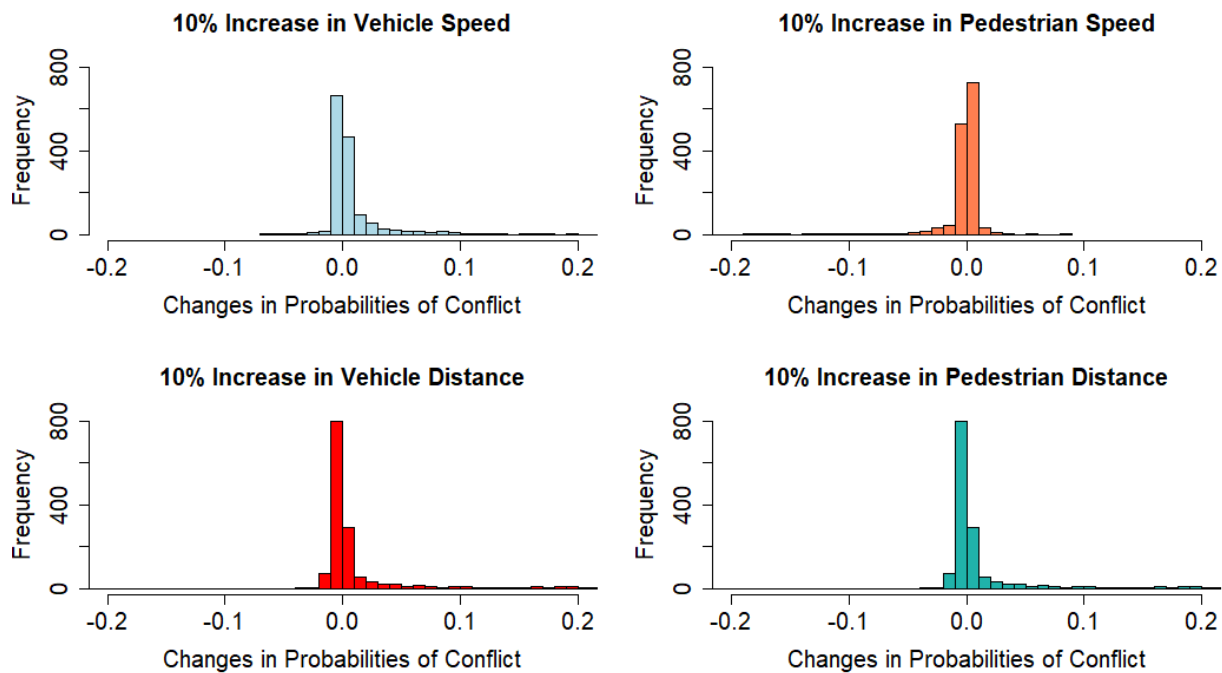


Figure 5 Sensitivity Analysis

The histograms in Figure 5 indicate that an increase in an explanatory variable will not necessarily lead to an expected change in the likelihood of conflict. For example,

- An increase in the vehicle approach speed  $V_{veh}$  will result in a lower probability of yield  $P_{yield}$ , based on Equations 4b and 6.
- A decrease of  $P_{yield}$  will also result in a reduction of  $P_{cross}$ , based on Equations 1b and 5.

- However, an increase in  $(1 - P_{\text{yield}})$  and the decrease of  $P_{\text{cross}}$  will not necessarily lead to a reduction in the probability of conflict, as calculated by Equation 15.

When we compare the top two histograms in Figure 5 to the bottom two histograms, it is apparent that Probabilities of Conflict are more sensitive to changes in distances than to changes in speeds. If the vehicle distance to the conflict point is increased by 10%,  $P_{\text{conflict}}$  is usually reduced, by as much as 0.01 in some cases. Perhaps surprisingly, the  $P_{\text{conflict}}$  response to a 10% increase in vehicle approach speed is very small, usually between -0.1 and +0.2. In response to changes in pedestrian approach speed and pedestrian distance to the conflict point, changes in  $P_{\text{conflict}}$  will be larger for distance, but without positive or negative tendencies in either case.

These results may provide clues to control measures at the crosswalk. Controlling vehicle approach speed is possible, but it will not necessarily reduce conflict probabilities, based on the results of the game theory analysis. The findings with respect to vehicle distance to the conflict point may inform the design of traffic control at the crosswalk, or whether new controls at the semi-controlled crosswalk should be implemented at all. In this section, we mainly discuss the effectiveness of controlling the vehicle distance to the conflict point.

### 5.3. Relationship between Vehicle Distance to the Conflict Point and Conflict

The bottom left histogram in Figure 5 indicates that an increase in vehicle distance to the conflict point ( $d_{\text{veh}}$ ) will result in a lower likelihood of conflict. For a zebra crossing game, the three scenarios identified in a previous paper (Zhang et al., 2020) are used:

1. If a vehicle is too close to yield to the subject pedestrian ( $d_{\text{veh}} \leq 30$  ft), the normal pedestrian choice is to “let the vehicle go first”, and it will cause little delay to the pedestrian.
2. If a vehicle is too far away ( $d_{\text{veh}} > 120$  ft), the normal pedestrian choice is to cross without any hesitation, because the pedestrian will feel safe.
3. If the vehicle is neither too far from the crosswalk nor too close (esp. 40 ft to 50 ft, as demonstrated in (Zhang et al., 2020), the zebra crossing game will be more complicated and more instructive. We set a range for the parameter ( $30 \text{ ft} < d_{\text{veh}} \leq 80 \text{ ft}$ ) in the Scenario 3.
4. The remaining range for the parameter ( $80 \text{ ft} < d_{\text{veh}} \leq 120 \text{ ft}$ ) is set in the Scenario 4.

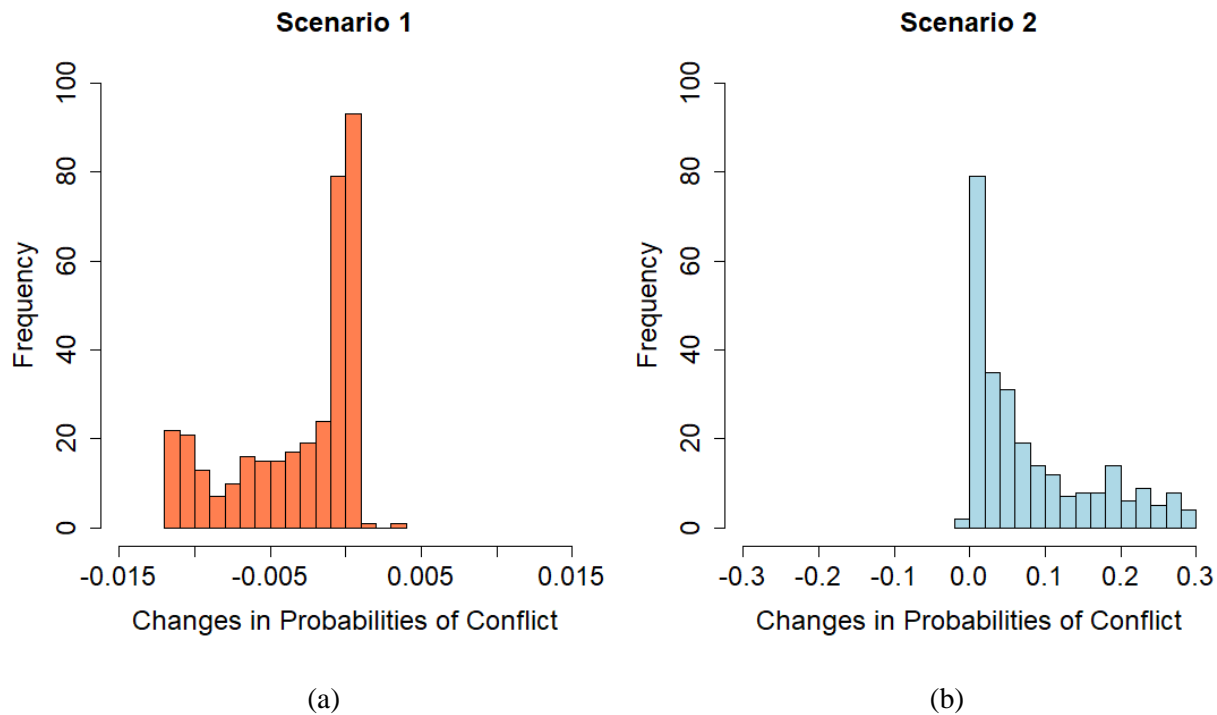


Figure 6 Distributions of Changes in Conflict Probabilities under Scenario 1 and Scenario 2

The first and second scenarios will not involve dangerous situations. If a vehicle is too close to yield to the subject pedestrian, a 10% increase in distance is a small change (e.g., 20 feet versus 22 feet). Sensitivity analysis for only the Scenario 1 observations in the database ( $d_{veh} < 30$  ft) indicates minor changes in the likelihood of conflict (-0.015 to 0.005) that are based on Equation 3b. See Figure 6(a).

If a vehicle is “too far away”, a 10% increase in distance will result in larger changes in  $d_{veh}$  (e.g., 200 feet versus 220 feet). Sensitivity analysis for only the Scenario 2 observations in the database ( $d_{veh} > 120$  ft) indicates major changes in the likelihood of conflict (0 to 0.3). If a vehicle is too far away ( $d_{veh} > 120$  ft), the normal pedestrian choice is to cross without any hesitation, because the pedestrian will feel safe. Therefore, a 10% increase in the vehicle distance to the conflict point will have little effect.

However, for the third scenario ( $30 \text{ ft} < d_{veh} \leq 80 \text{ ft}$ ), a large proportion of pedestrians must quickly consider the costs of being hit and the costs of delay. If at least one player has incorrect expectations concerning the behavior of the others, such inefficient communication can lead to unsafe situations. The results of the sensitivity analysis are shown in Figure 7(a). An increased vehicle distance to the conflict point usually reduces the likelihood of conflict in the Scenario 3.

An increased vehicle distance to the conflict point usually *increases* the likelihood of conflict in the Scenario 4 ( $80 \text{ ft} < d_{veh} \leq 120 \text{ ft}$ ). As we discussed in the Section 4.1.4, when  $d_{veh} > 89.49$  ft, the expected utility function for  $\{yield\}$  decreases as  $d_{veh}$  increases. There are two possible explanations. (1) A driver may observe the pedestrian’s behavior first and then respond to it because there’s an adequate buffer for the driver to “think about” the best action. (2) There may be no need for driver to yield because, if the pedestrian leaves the curb area quickly and crosses quickly, the driver will not have to Yield.

This results for Scenario 3 and Scenario 4 make sense, but how does this finding help inform the design of control measures (if any) at the crosswalk? Because Figure 5 shows us that  $P_{\text{conflict}}$  is sensitive only to  $d_{\text{veh}}$ , increasing  $d_{\text{veh}}$  from the current value for each PMI covered by Scenario 3 will do the most to reduce  $P_{\text{conflict}}$  and improve safety. Two strategies suggest themselves:

1. First determine if a need for a control measure exists. The value of  $P_{\text{conflict}}$  generated by the methods in this project can serve as a guide in that respect. For example, if too many PMIs fall above a certain value of  $P_{\text{conflict}}$  (say, 0.15) in Figure 4(a), control measures may be justified.
2. Although active controls might be considered, it may be sufficient (and even preferable) to install passive controls such as speed humps at a distance from the crosswalk that reduces  $P_{\text{conflict}}$  to an acceptable level. The findings of this study are consistent with a distance of 40 ft to 50 ft that was demonstrated in Zhang et al. (2020).

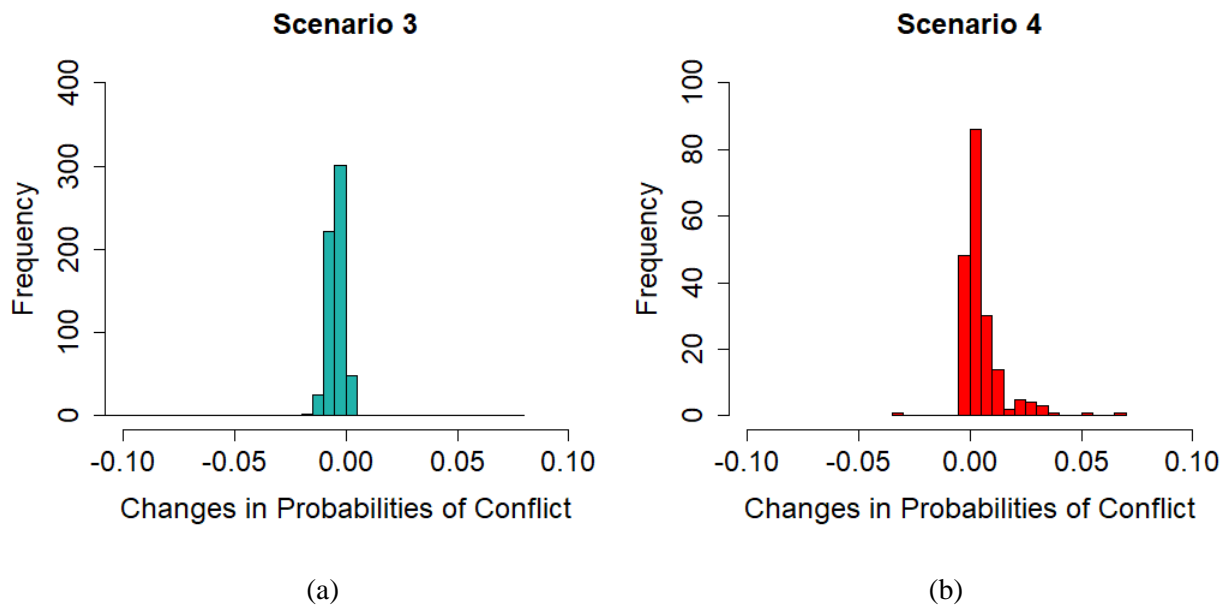


Figure 7 Distributions of Changes in Conflict Probabilities under Scenario 3 and Scenario 4

#### 5.4. Relationship between Vehicle Distance to the Conflict Point and Conflict

We collected data from three different semi-controlled crossing locations in eight different time periods, during which there are temporal variations in traffic volumes and pedestrian flows. These three datasets are separated into eight subsets based on the time period when we collected the data. The validity of probability of conflict is based on the following four steps:

1. Driver yielding rates for the **eight** datasets are calculated as:
  - a. Derive the number of PMIs with Yield = 1 (from **Error! Reference source not found.**) at dataset  $i$  as  $N_{\text{yield}, i}$ .
  - b. Derive the total number of PMIs at dataset  $i$  as  $N_{\text{total}, i}$ .

- c. Derive the driver yielding rate for dataset  $i$  as  $p_{yield} = \frac{N_{yield, i}}{N_{total, i}}$ .
2. Conflict probabilities of conflicts in mean for the **eight** datasets are derived via the proposed six-step method (y-axis in Figure 8).
  3. To delineate the relationship between the observed driver's yielding rates and calculated conflict probabilities, a linear regression ( $R^2 = 0.71$ ) has been developed in Figure 8.
  4. A negative relationship ( $y = 0.499 - 0.431x$ ) between calculated conflict probabilities and observed driver yielding rates has been found, which indicates that an increase of driver yielding rate will result in a lower calculated conflict probability.

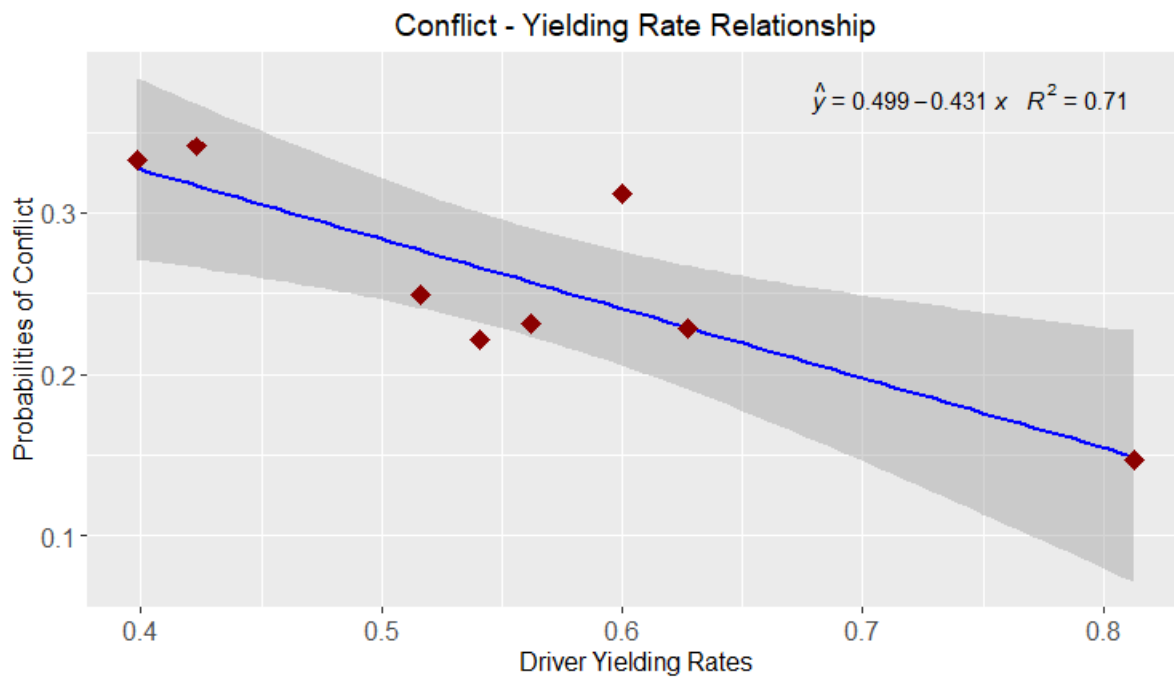


Figure 8 Relationship Between Probability of Conflict and Driver Yielding Rate

## 6. CONCLUSION

This project applies Quantal Response Equilibrium (QRE) to study the joint behavior of pedestrians and motorists from the perspective of risk at semi-controlled crosswalks. The essential research question is: How to reduce the likelihood of conflict between pedestrians and drivers?

Quantal Response Equilibrium involves players who have incomplete information about the other players. In the zebra crossing game, a player must decide on an action based on the *belief* that the other player will be aggressive or cautious. The results of these decisions can put a pedestrian and a motorist in conflict. Our analysis, in which QRE is applied to data for a semi-controlled crosswalk, produced the following results:

1. The probability of conflict  $P_{conflict}$  when a pedestrian-motorist interaction (PMI) occurs can be quantified. This makes it possible to use *probability of conflict* as a pedestrian safety measure.
2. Because the relationship between the explanatory variables in the database and the likelihood of conflict  $P_{conflict}$  is indirect, a sensitivity analysis was conducted to predict changes in  $P_{conflict}$  if there is a 10% change in any one of the variables.
3. It was found that *probabilities of conflict* are not very sensitive to changes in vehicle or pedestrian approach speeds, but are sensitive to changes in vehicle distance to the crosswalk.

These results can provide a basis for deciding whether control measures should be installed at a semi-controlled crosswalk and, if needed, how they should be installed. An example using speed humps is presented. The information acquired from the QRE analysis can also be used to employ more active control measures.

This report is based on a published paper: Zhang, Y., & Fricker, J. D. (2021). Incorporating conflict risks in pedestrian-motorist interactions: A game theoretical approach. Accident Analysis & Prevention, 159, 106254.

## 7. STUDY SCOPE AND LIMITATIONS

This study investigated the “zebra crossing” game involving two players – pedestrian and motorist at semi-controlled crosswalks. 1437 pedestrian-motorist interactions were observed, and the joint behavior of driver and pedestrian as they interact in real street-crossing situations is explored. As more data gathered in our dataset, the model can be further updated, and the model performance is expected to be better. In addition, there are several limitations in the model-based game:

1. Limit information in data: the data used in the model was collected by a single analyst. The event-based framework only includes information of a PMI at one time stamp when the interaction occurs. Currently, we have developed pedestrian detection and tracking framework using deep-learning techniques. The pedestrian and vehicle trajectory data containing information over multiple time steps will be utilized in the future.
2. Restricted model: expected utility functions are fixed in the pre-specified model. Agents are unlikely to behave exactly in the way that the model-based game defines. Advanced computation models and model-free imitation learning techniques will be explored.



## 8. OUTPUTS, OUTCOMES, AND IMPACTS

### 8.1. Research Outputs

#### 8.1.1. Synopsis of Project

The project exceeded expectations, in that the results went far beyond a basic "inventory" and categorization of interactions between pedestrians and motorists. Appropriate statistical analysis has revealed factors and relationships that are described in three papers listed in the following section.

#### 8.1.2. List of Publications

Zhang, Y., Qiao, Y., & Fricker, J. D. (2020). Investigating Pedestrian Waiting Time at Semi-Controlled Crossing Locations: Application of Multi-State Models for Recurrent Events Analysis. *Accident Analysis & Prevention*, 137, 105437.

Zhang, Y., & Fricker, J. D. (2020). Multi-State Semi-Markov Modeling of Recurrent Events: Estimating Driver Waiting Time at Semi-Controlled Crosswalks. *Analytic Methods in Accident Research*, 100131.

Zhang, Y., & Fricker, J. D. (2021). Investigating temporal variations in pedestrian crossing behavior at semi-controlled crosswalks: a Bayesian multilevel modeling approach. *Transportation research part F: traffic psychology and behaviour*, 76, 92-108.

#### 8.1.3. List of Presentations

Yunchang Zhang, Jon, D. Fricker (2020). "Multi-State Semi-Markov Models: An Application to Drivers' Gap Acceptance in front of Approaching Pedestrians at Unsignalized Crosswalks". *Accepted by Transportation Research Board 99th Annual Meeting*, January 2020.

Zhang, Y., & Fricker, J. (2021, June). Investigating Smart Traffic Signal Controllers at Signalized Crosswalks: A Reinforcement Learning Approach. In *2021 7th International Conference on Models and Technologies for Intelligent Transportation Systems (MT-ITS)* (pp. 1-6). IEEE.

#### 8.1.4. List of Outcomes and Highlights

This section will emphasize list of outcomes and highlights:

- "Semi-controlled" crosswalks are unsignalized but marked with "yield to pedestrian" signs.
- Road user trajectory dataset was extracted from video recordings. 1437 pedestrian-motorist interactions were observed.

- Performance measures were developed and tested to evaluate the safety and efficiency of crossing locations under a full range of control measures.
- Simulation software was applied to replicate the base case in the data base and use the associated performance measures as the benchmark.
- At the other extreme, a scenario was created with fully autonomous vehicles and pedestrians who are fully compliant with control measures.

#### 8.1.5. *List of Impacts*

This study improves the operation and safety of semi-controlled crosswalks by developing a database and identifying factors that affect pedestrian and motorist behavior.

1. This information will be used to test the impact of new technologies on crosswalk safety and performance.
2. A coupling project with INDOT is a perfect complement to this study, in that it offers opportunities to apply a variety of designs and control methods to other types of crossing locations.

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**APPENDIX: JOURNAL PAPERS PUBLISHED FROM THIS WORK****CCAT Project Title: Smart Interaction – Pedestrians and Vehicles in a CAV Environment****Paper 1**

Zhang, Y., Qiao, Y., & Fricker, J. D. (2020). Investigating Pedestrian Waiting Time at Semi-Controlled Crossing Locations: Application of Multi-State Models for Recurrent Events Analysis. *Accident Analysis & Prevention*, 137, 105437.

**Abstract:**

“Semi-controlled” crosswalks are unsignalized, but clearly marked with “yield to pedestrian within crosswalk” signs. Ideally, pedestrians can cross the street immediately after they arrive at the curb. However, real world observations show that pedestrians and vehicles are often involved in non-verbal “negotiations” to decide who should proceed first. This kind of “negotiation” often causes delays for both parties and may lead to unsafe situations. The study in this paper was based on video recordings of the waiting behaviors of 2059 pedestrians interacting with 1003 motorists at selected semi-controlled crosswalks. One such location experienced a conversion from one-way operation to two-way operation, which provided a rare opportunity for a before-and-after study at that location. Multi-state Markov models were introduced as a novel approach to correlate the dynamic process between recurrent events. Time-varying covariates related to pedestrian characteristics, traffic condition, and vehicle dynamics (distance and speed) turned out to be significant.

The analytical method developed in this study provides a tool to dynamically model pedestrian waiting decisions with uncertainties. Model results reveal that, after the conversion from one-way to two-way operation, the probability of a pedestrian accepting a lag decreases from 69.7% to just below 60% on the same street. In addition, pedestrians are more hesitant to cross a two-way street than a one-way street. Countermeasures that increase motorist yielding rate or reduce pedestrian confusion will enhance safety such crossing locations.

## Paper 2

Zhang, Y., & Fricker, J. D. (2020). Multi-State Semi-Markov Modeling of Recurrent Events: Estimating Driver Waiting Time at Semi-Controlled Crosswalks. *Analytic Methods in Accident Research*, 100131.

### **Abstract:**

At “semi-controlled” crosswalks, signs and markings are present, but delay to pedestrians and motorists is largely the result of the “negotiation” between the two parties to determine who yields. This paper proposes a novel approach using multi-state semi-Markov models to investigate motorists’ delay and their interactions with pedestrians. Motorist waiting behavior can be divided into a series of gap acceptance decisions as part of a Markov Chain. Each gap acceptance decision is modeled as a specific transition between two states in the Markov Chain.

To demonstrate the reliability of the proposed models, multi-state semi-Markov models are estimated for the waiting behavior of more than 1,000 drivers in the presence of pedestrians at semi-controlled crosswalks. The multi-state semi-Markov models are capable of dealing with specific challenges related to (i) the need to account for recurrent events and (ii) a generalized framework for vehicle delay estimation and simulation at semi-controlled crosswalks. The extent to which motorists behave more aggressively and impatiently as their delay increases is demonstrated. Differences in behavior for operators of buses and trucks were also identified. The semi-Markov method is also able to deal effectively with the “pulsing” arrival patterns of pedestrians at crosswalks as university classes begin and end nearby and handle temporal heterogeneity. Finally, to address aggressive driver behavior, several safety implications are discussed.

### Paper 3

Zhang, Y., & Fricker, J. D. (2021). Investigating temporal variations in pedestrian crossing behavior at semi-controlled crosswalks: a Bayesian multilevel modeling approach. *Transportation Research Part F: Traffic Psychology & Behavior*, 76, 92-108.

**Abstract:**

“Semi-controlled” crosswalks are unsignalized, but have clear pavement markings and “yield to pedestrian” signs. At these locations, pedestrians and motorists frequently interact to determine who should proceed first. When interacting with drivers, pedestrian crossing decisions are complex events that involve a variety of human responses, as well as vehicle dynamics, traffic characteristics, and environmental conditions. In addition, these complexities can be subject to temporal effects. Without considering temporal variations in pedestrian-motorist interaction, statistical methods could lead to biased coefficient estimates and inaccurate conclusions.

The study developed a Bayesian multilevel logistic regression (BMLR) model to capture heterogeneities in pedestrian interaction behavior during four different time periods. The proposed method incorporates time-specific effects that vary randomly between time-periods based on a weakly informative prior. The results indicate significant factors, some of which confirm previous research and some that are new ways to explain pedestrian behavior at the individual level. The identification of variables such as FlowOn and FlowWait sheds light on the interactions between pedestrians – providing more information than the single GroupSize measure.

Some consequent safety implications are discussed from the perspectives of vehicle dynamics, vehicle flow rate and pedestrian volume. The more detailed metrics developed in this paper will provide a valuable starting point. for the design of crosswalk controls that will foster a higher degree of compliance and less delay.