

# Pore translocation of knotted DNA rings

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**We use an accurate coarse-grained model for DNA, oxDNA, and stochastic molecular dynamics simulations to study the pore translocation of 10Kbp-long DNA rings that are knotted. By monitoring various topological and physical observables we find that there is not one, as previously assumed, but rather two qualitatively different modes of knot translocation. For both modes the pore obstruction caused by knot passage has a brief duration and typically occurs at a late translocation stage. Both effects are well in agreement with experiments, and can be rationalised with a transparent model based on the concurrent tensioning and sliding of the translocating knotted chains. We also observed that the duration of the pore obstruction event is more controlled by the knot translocation velocity than the knot size. These features ought to advance the interpretation and design of future experiments aimed at probing the spontaneous knotting of biopolymers.**

DNA knotting | Pore translocation | Molecular dynamics simulations

How filamentous molecules behave when driven through a narrow pore is one of the classic, yet still open questions in polymer physics. The problem has important applications for single-molecule manipulation techniques, including the sequencing of single-stranded DNA filaments (1–8), and is relevant for fundamental research as well, particularly for biological systems where the processing of DNA (9, 10), RNA (11) and protein chains (12) often depends on their active translocation through narrow pores.

Because knots are statistically inevitable in long polymers and biopolymers (13–20), a relevant question is how such forms of entanglement affect pore translocation (21–34).

Very recently, an important advancement in this research field has been made by Plesa *et al.* (34) who succeeded in devising an advanced single-molecule experiment where double-stranded DNA was translocated through a solid-state nanopore in carefully controlled conditions. The DNA filaments were sufficiently long to be spontaneously knotted in a sizeable fraction of the equilibrium population. The pore diameter, 10–20nm, was purposely chose to be smaller than the DNA persistence length,  $l_p = 50\text{nm}$ , and yet wide enough to accommodate several dsDNA strands, and hence let knots through. A surprisingly rich phenomenology was found for the main monitored observables. These were the elapsed time at which the pore was obstructed by the passing knot, and the duration of the obstruction event. The latter had a rapidly decaying distribution, and an elegant, indirect interpretation was offered in terms of the self-tightened knots predicted in refs. (35). The distribution of the timing of the obstruction events remained, however, elusive to explain.

Here, to advance the understanding of the process and its relationship with DNA knotting in equilibrium, we present a detailed study based on molecular dynamics simulations of an accurate mesoscopic DNA model. Specifically, we consider equilibrated knotted DNA rings of 10K base-pairs (bp) in the oxDNA (36–39) representation and use Langevin dynamics

to simulate their driven passage through a 10nm-wide pore. Such theoretical and computational framework allows us to investigate the translocation process and the geometry-topology interplay with unprecedented structural and dynamical detail.

Our main findings are the following. First, we observe that there is another mode of knot translocation besides the one that has been considered so far. Secondly, the passage of the entangled region through the pore is largely controlled by the positioning of the knot on the ring, and its velocity at the time of translocation. As a consequence, pore obstruction events associated to knot passage are brief and mostly occur at late translocation stages. Finally, these properties, which are in good overall accord with single-molecules experiments, are recapitulated with a schematic interpretative model which can also be used for predictive purposes.

## Results and discussion

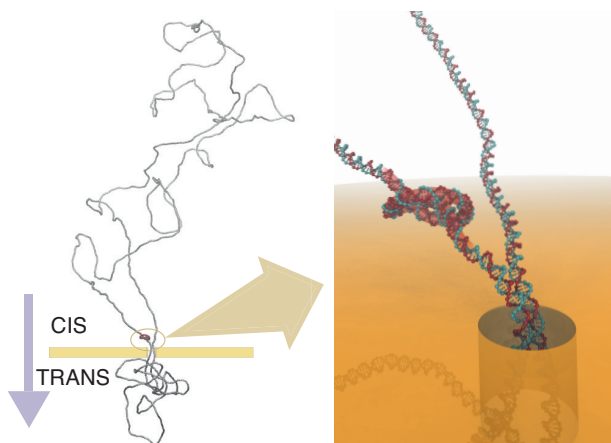
**System setup.** We carried out various Langevin dynamics simulations of knotted DNA rings translocating through a nanopore embedded in a slab, see Fig. 1. The rings, modelled mesoscopically with oxDNA (36–39), were 10Kbp long and were nicked, to allow relaxation of torsional stress, as in typical experiments (34, 40). The translocation is driven by a longitudinal electric field exerting a force of 0.2pN on each nucleotide inside the pore. For simplicity, we neglect the action of the field outside the pore (41, 42) that, in actual realizations, can facilitate the capture and pore insertion of the knotted chains (43–45). The pore is 10nm wide and 10nm long, so that each of the two dsDNA filaments inside it ( $\sim 30\text{bp}$ -long) is pulled with a total force of 12pN.

The translocation dynamics was studied for 50 different equilibrated knotted DNA configurations. These were gener-

### Significance Statement

Pore translocation, the driven passage of molecules through narrow channels, has become an important tool for probing DNA properties. In a recent breakthrough experiment, this technique was used to detect knots that form spontaneously in DNA filaments and can hence impact the *in vivo* functionality. Here, by using an accurate model, we simulate the translocation of knotted DNA, expose its unexpectedly rich phenomenology and clarify the implications for experiments. We show that knot translocation occurs in two possible modes, depending on the knot initial position and size. These properties also account for the typically late occurrence of the knot passage event. Finally, the passage duration is found to depend more on the translocation velocity of the knot than its size.

Reserved for publication notes



**Fig. 1.** Typical configuration of a knotted double-stranded DNA ring translocating through a nanopore. The knot approaching the pore entrance is highlighted in the inset. The ring, which is modelled with oxDNA, is 10Kbp-long and the pore, which is 10nm wide, is embedded in an impenetrable 10nm-thick slab. A translocating force of 0.2pN is applied to each nucleotide inside the pore.

ated with a Monte Carlo scheme applied to a coarse-grained DNA model and were subsequently refined and relaxed with the oxDNA model. All configurations featured a trefoil or  $3_1$  knot, which is by far the dominant topology at the considered DNA length (17, 32, 46). These initial configurations were primed at the pore entrance at a random point lying on their convex hull.

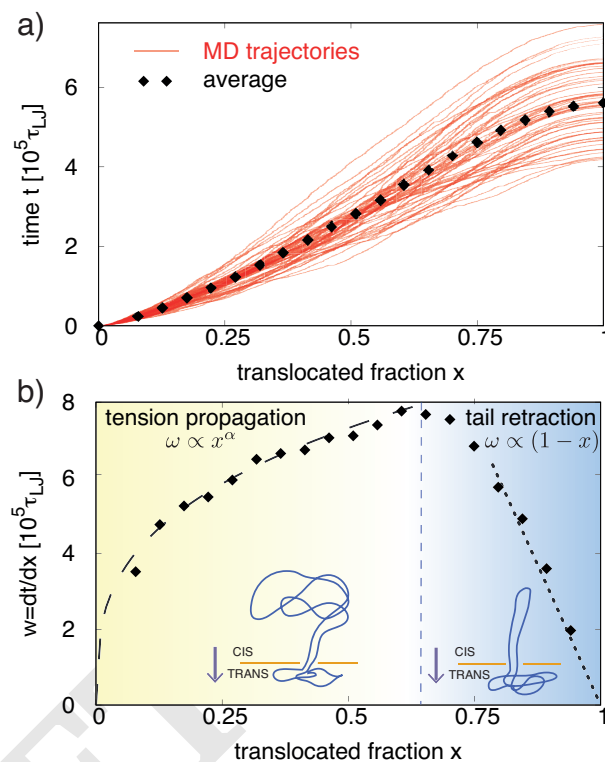
**Translocation dynamics overview.** For a first, general characterization of the process we profiled the translocated fraction of the chain,  $x$ , as a function of the elapsed simulation time,  $t$ . This dependence is shown in Fig.2a where, as customary, it is presented as a  $t$  versus  $x$  plot. The red curves cover the individual trajectories while the filled points represent the average curve.

Fig.2b shows, instead, the so-called waiting time (47),  $w = dt/dx$ , i.e. the inverse of the translocation velocity, whose profile clearly outlines the two known main translocation regimes (45, 47, 48).

The first part of the curve, for  $x \lesssim 0.5$ , corresponds to the tension propagation along the chain, which itself presents an articulate phenomenology (49–51). For chains that are asymptotically long and free of entanglement, theoretical scaling arguments predict a power-law behaviour,  $w \propto x^\nu$ , where  $\nu = 0.586$  is the metric exponent for self-avoiding walks (47, 49, 52–55), while smaller effective exponents are expected for chains of finite length (47, 56). Fig. 2b shows that data points for the 10Kbp chains are indeed well fitted by a power law, and the effective exponent, 0.32, is close to what previously reported at comparable DNA lengths (57).

At  $x \sim 0.6$  the tension propagation regime crosses over to the tail retraction regime. In this stage the still untranslocated remainder of the chain, which is fully rectified, accelerates towards the pore. Because the pore is large enough to let the whole knot pass through, this second stage too follows the behaviour expected theoretically,  $w \propto (1-x)$  (47).

**Statistics of knot translocation events.** Inspired by experiments, we detect the passage of the knot through the pore



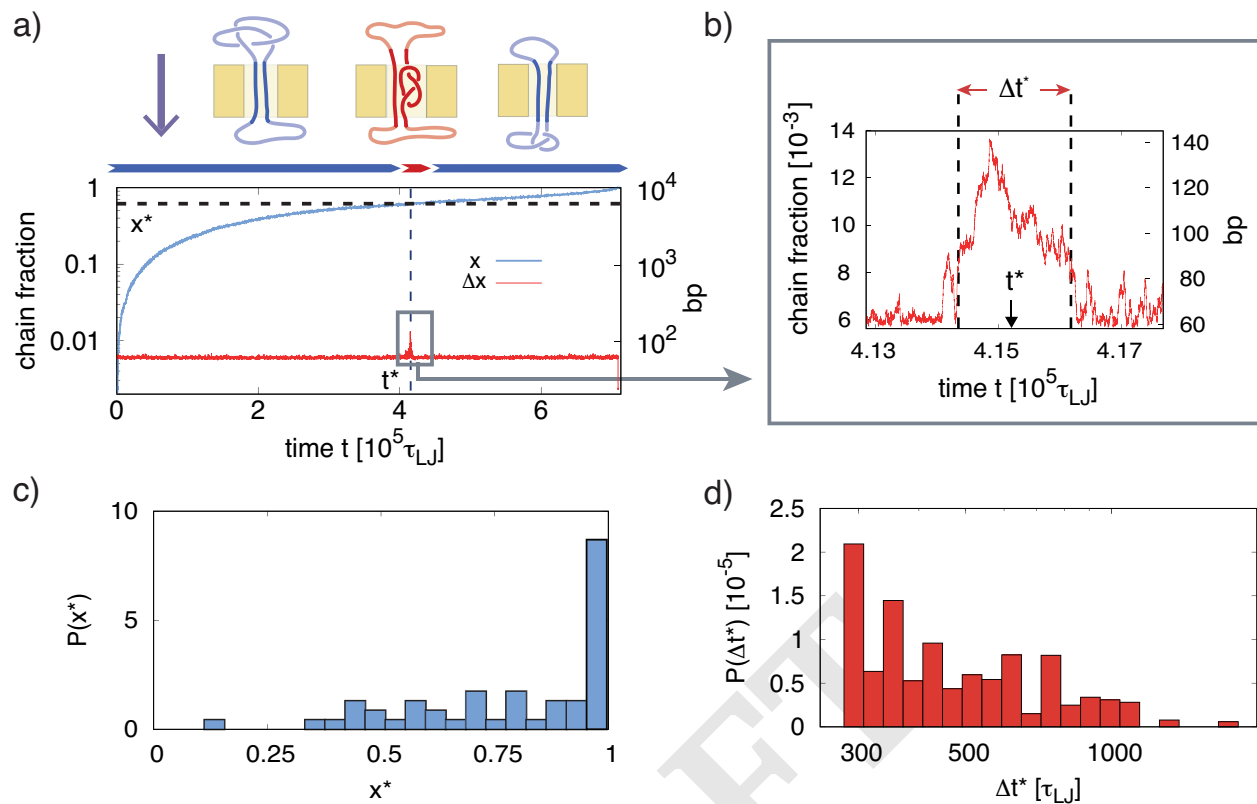
**Fig. 2.** a) The time required to translocate a fraction  $x$  of the knotted DNA rings is shown for 50 independent simulations (red curves). The black points show the average ( $t$ ) versus  $x$  curve. b) The waiting time curve,  $w = \frac{dt}{dx}$  highlights two main regimes corresponding to the tension propagation along the chain ( $x \lesssim 0.5$ ) followed by the translocation of the rectified chain tail ( $x \gtrsim 0.75$ ). The dashed and dotted lines are best fits based, respectively, on  $w \propto x^\alpha$  (yielding  $\alpha \sim 0.32$ ) and  $w \propto (1-x)$ .

by monitoring the degree of obstruction of the latter. During such event, in fact, the pore lumen must accommodate up to four double-stranded filaments, instead of the usual two, see sketches in Fig. 3a.

We accordingly monitored the time evolution of the chain fraction inside the pore,  $\Delta x$ , which is shown in Fig. 3a. The pore obstruction caused by the passing knot is indeed signalled by a bump that stands out against the  $\Delta x$  baseline, see Fig. 3b. Notice that this major pore obstruction event is preceded by a smaller signal burst caused by the knot partially entering the pore and then retracting from it. Such translocation attempts, illustrated in Movies S1-S2, affect about 50% of the trajectories. Their occurrence arguably depends on frictional effects arising from the geometry of the knot and the direction with which it engages the pore.

Various observables of interest, related to those monitored in the experiments of ref. (34), can be derived from the analysis of the  $\Delta x$  profile: the fraction of the translocated chain at which the pore-obstruction event takes place,  $x^*$ , the elapsed time at which it occurs,  $t^*$ , and the temporal duration of the event,  $\Delta t^*$ . Because  $t^*$  and  $x^*$  are monotonically related, we will focus on  $x^*$  and  $\Delta t^*$ , whose probability distributions are shown in Fig. 3c-d.

The key features are two. First, the distribution of  $x^*$  is skewed towards large values of  $x^*$ , see Fig. S1 for the same effect in the companion distribution of  $t^*$ . In fact, passage



**Fig. 3.** a) Time evolution of the chain fraction that is inside the pore,  $\Delta x$  (red), and that has already translocated through it,  $x$  (blue). An absolute scale in base-pairs for  $x$  and  $\Delta x$  is also provided for the semi-log plot. The knot passage event is highlighted in panel b) and its time of occurrence,  $t^*$ , is defined as the midpoint of the time interval  $\Delta t^*$  during which  $\Delta x$  exceeds by more than 30% its baseline value. Panels c) and d) show the probability distributions, computed over the 50 independent runs, of the translocated chain fraction at the passage (pore obstruction) event,  $x^*$ , and of the event duration,  $\Delta t^*$ , respectively.

131 events are virtually absent for  $x^* < 0.3$  and the distribution  
 132 is prominently peaked at  $x^* \sim 1$ . Secondly, the distribution  
 133 of the obstruction duration has an overall decreasing trend,  
 134 with the shortest obstruction events (which have a minimum  
 135 duration of  $300 \tau_{LJ}$ ) being the most probable too. Both these  
 136 features match the ones reported by Plesa *et al.*.

137 This consistency of the experimental and theoretical distri-  
 138 butions for  $x^*$  and  $\Delta t^*$  is noteworthy given the different  
 139 contour lengths considered here (10Kbp) and in the experi-  
 140 ment (20Kbp or longer). This underscores the robustness of  
 141 the effects addressed with either of the two approaches. The  
 142 agreement also gives confidence for using the model to gain  
 143 insight into aspects that cannot be directly accessed with cur-  
 144 rent experiments. These primarily include various properties  
 145 of the knotted region, which we discuss in the following.

146 **Knot translocation modes.** As we discuss, both the position  
 147 of the knot along the chain contour and its size affect the  
 148  $\Delta t^*$  and  $x^*$  distributions in ways that are much richer than  
 149 previously suspected.

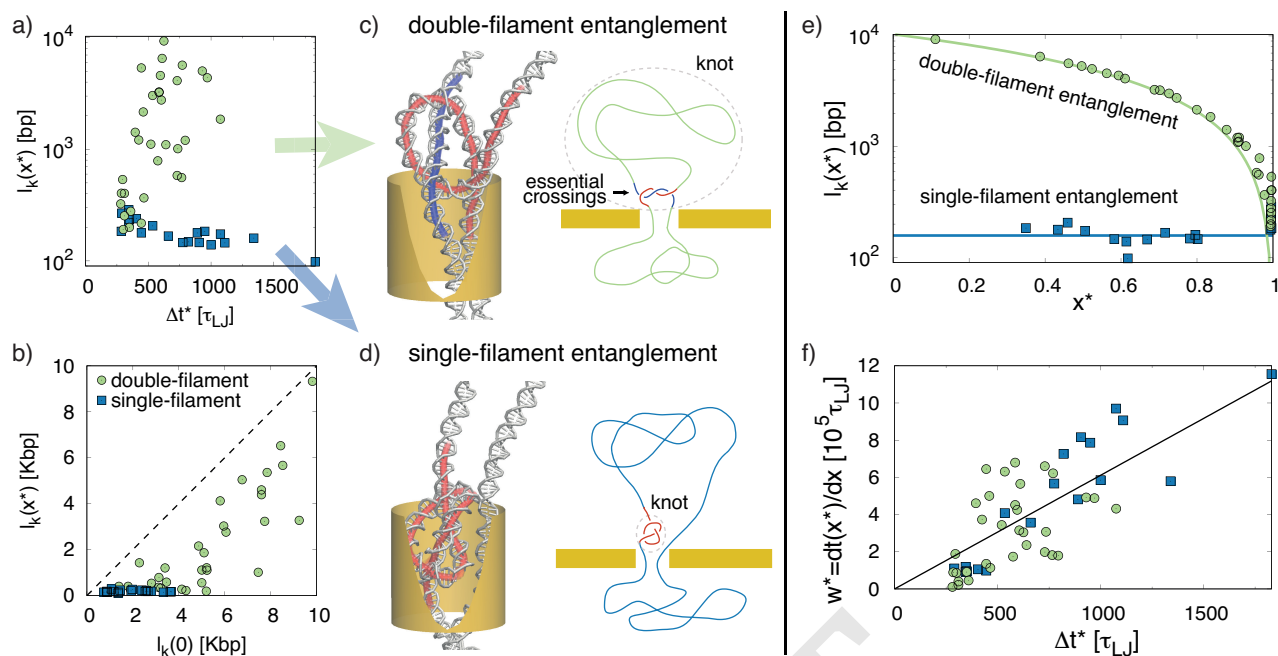
150 A particularly intriguing relationship is found between the  
 151 pore obstruction duration,  $\Delta t^*$ , and the size of the knotted  
 152 region,  $l_k$ , when it arrives at the pore. We recall that, as  
 153 customary, the knotted portion is identified as the shortest  
 154 portion of the chain that, upon closure, has the same topology  
 155 of the entire ring. A scatter plot of the two quantities is  
 156 presented in Fig. 4a, where two relevant features are noted.  
 157 First, the datapoints occupy an L-shaped region. Secondly,

158 for either arm of this region the correlation between  $\Delta t^*$  and  
 159  $l_k$  is rather weak. Both aspects are not intuitive and, in fact,  
 160 had not been previously predicted nor envisaged.

161 The analysis of the trajectories showed that the distinct  
 162 arms in the diagram of Fig. 4a originate from two different  
 163 modes of knot translocation, as described below.

164 In the first mode the knot is tight and localised on one of  
 165 the two translocating filaments, see Fig. 4d. This is the most  
 166 intuitive type of knot passage and, in fact, it was the mode of  
 167 choice used in ref. (34) to interpret the experimental data on  
 168  $\Delta t^*$  and thus obtain a mapping between pore-obstruction time  
 169 and knot length. By using a linear mapping, Plesa *et al.* were  
 170 able to conclude that knots could be rather tight upon translo-  
 171 cation, spanning an arclength of tens of nanometers, hence  
 172 comparable to the DNA persistence length. This result was  
 173 further put in the context of the elegant theory of metastable  
 174 knots, which predicts knot localization based on the fact that,  
 175 in the otherwise broad distribution of knot lengths, the most  
 176 probable one is about constant - rather than growing - with  
 177 chain length.

178 Our results vividly confirm the significant occurrence of  
 179 tight knots. Indeed, one observes that the average knot length  
 180 at the passage event is about 54nm, which is in full accord  
 181 with the estimate of Plesa *et al.*. This knot length is reached  
 182 independently of the initial one thanks to tightening of the  
 183 knot caused by the propagating chain tension, see Fig. 4b. One  
 184 also notes that the  $l_k$  versus  $\Delta t^*$  profile in Fig. 4a is rather



**Fig. 4.** a) Scatter plot of the knot length at the pore obstruction event,  $l_k(x^*)$ , versus the duration of the event itself,  $\Delta t^*$ . b) Knot length at the beginning of the translocation process,  $l_k(0)$ , and at the pore obstruction event,  $l_k(x^*)$ . Data points are divided in two classes based on whether the knot at the *beginning* of the translocation straddled (green) or not straddled (blue) the site antipodal (on the ring contour) to the translocation initiation site, see main text. For the first group, the pore obstruction event practically involves only the essential crossings of the knotted region, which spans both translocating filaments, see panel c. For the second group, the pore obstruction is caused by a single-filament knot, see panel d. e) Knot length  $l_k$  during pore obstruction at  $x^*$ . Data points for double-filament knots follow closely the curve  $l_k = l_{chain}(1 - x^*)$  (solid green line), while for the other points  $l_k$  is about constant and equal to 160bp. f) Scatter plot of  $\Delta t^*$  against the waiting time  $w^*$  at the time of passage.

185 flat for this translocation mode, and hence is different from  
 186 the linear relationship expected intuitively. An explanation of  
 187 this effect will be discussed later.

188 The second, and new mode is associated to the green points  
 189 in Fig. 4. It involves knots that span a significant portion of  
 190 the ring, consistent with the theoretical results of ref. (58) on  
 191 DNA chains of comparable size, which indicated that the most  
 192 probable knot length is about 2200bp. In fact, these knots  
 193 experience significantly less tightening during translocation  
 194 than those discussed above, see Fig. 4b. Intriguingly, these  
 195 knots are large and yet their pore-obstruction times are not  
 196 at all dissimilar from the tight knot case discussed before.

197 This conundrum is solved by considering the actual conforma-  
 198 tion of such rings when the knot is presented at the pore  
 199 entrance. A typical configuration is shown in Fig. 4c. The  
 200 accompanying sketch clarifies that the knotted portion now  
 201 spans the entire *cis* part of the ring. This is quantitatively  
 202 shown in the semi-log plot of Fig. 4e where one observes that  
 203 for this class of knots, the relative chain fraction occupied by  
 204 the knot is  $l_k/l_{chain} \sim (1 - x^*)$ .

205 However, a significant obstruction of the pore occurs only  
 206 when the region accommodating the essential crossings passes  
 207 through it. As seen in the figure, this region is typically small,  
 208 involving 123bp (42nm) on average.

209 It is therefore this short, essentially-entangled portion of  
 210 "double-filament" knots, and not their entire contour lengths,  
 211  $l_k$ , that is captured by  $\Delta t^*$ .

212 To our knowledge, the possible occurrence of a second mode  
 213 of translocation, though rather natural *a posteriori*, has not  
 214 been considered nor foreseen in previous translocation studies,

215 neither for dsDNA rings experiments, nor for simulations of  
 216 linear, open chains where it can also occur if translocation  
 217 starts from inside the knot loop region.

218 Notice that, because the essentially-entangled region is  
 219 comparable in size to the tight, single-filament knots, the two  
 220 modes of translocation cannot be distinguished from the sole  
 221 analysis of  $\Delta t^*$ . This has direct bearings on the interpretation  
 222 of experimental data. In fact, it poses the necessity to devise  
 223 suitable means of discriminating or controlling the incidence of  
 224 the two modes. IN this way one could relate more reliably the  
 225 measured observables to the spontaneous knotting properties  
 226 of DNA. Our results suggest that this could be achieved, for  
 227 instance, by suitably choosing the DNA length. The latter, in  
 228 fact, affects the balance of the two modes, as we discuss later  
 229 in connection with Fig. 5a.

230 We conclude the analysis of the data in Fig. 4a by discussing  
 231 the second notable feature, namely the lack of a noticeable  
 232 correlation between the pore obstruction duration,  $\Delta t^*$ , and  
 233 knot length,  $l_k$ . For the second mode of translocation, it is  
 234 now clear that no obvious relationship between  $l_k$  and  $\Delta t^*$   
 235 can be expected, because the  $l_k$  is not directly informative for  
 236 the pore obstruction caused by the essentially-entangled region.  
 237 The case is different, however, for the first mode, i.e. tight  
 238 single-filament knots, where a proportionality relationship  
 239 between knot size and passage time appears plausible and was  
 240 previously surmised (34).

241 This point is clarified by the plot of Fig. 4f, which shows  
 242 the relationship between  $\Delta t^*$  and  $w^*$ , the inverse translocation  
 243 velocity at the passage event. The two quantities are visibly  
 244 correlated for both knot translocation modes. Together with



245 the plot Fig. 4a the data clarify that of these two properties  
 246 relatable the passage time, knot length and knot translocation  
 247 velocity, the dominant one is the latter. Notice that, because at  
 248 passage time the contour lengths of single-filament knots and of  
 249 double-filament essential crossings spans a limited range, from  
 250 120bp to 160bp, one has that  $\Delta t^*$  and  $w^*$  have an approximate  
 251 linear proportionality.

252 This observation might be harnessed to extract further  
 253 knot-related properties from  $\Delta t^*$ . Because the average transloca-  
 254 tion velocity depends on the translocated chain fraction,  
 255 the observed  $\Delta t^*$  vs  $w^*$  correlation should effectively subsume  
 256 a dependence of  $\Delta t^*$  on the knot position along the chain  
 257 contour,  $x^*$ , which could be recovered with sufficient statistics.

schematic model of the translocation process. In this pur-  
 262 posedly simplified scheme we assume that the rooting point  
 263 where the translocation process initiates is equally likely to lie  
 264 anywhere on the ring contour. We also assume that tension  
 265 propagates in the same way along the two ring arms, so that  
 266 they meet at the antipodal midpoint, that is at the point at  
 267 half ring contour length from the root.  
 268

269 The main discriminator for the two translocation modes  
 270 is whether the knot is entirely located on only one of the  
 271 two arms, or whether it straddles the antipodal midpoint and  
 272 hence spans both arms, see sketches in Fig. 5.

273 In the former case the sliding of the progressively tensioned  
 274 ring arm causes the knotted region to tighten towards the  
 275 distal knot end (i.e. the end that is furthest from the rooting  
 276 point) while it is dragged to the pore, see Movie S3. The  
 277 tightened single-filament knot will then pass through the pore.

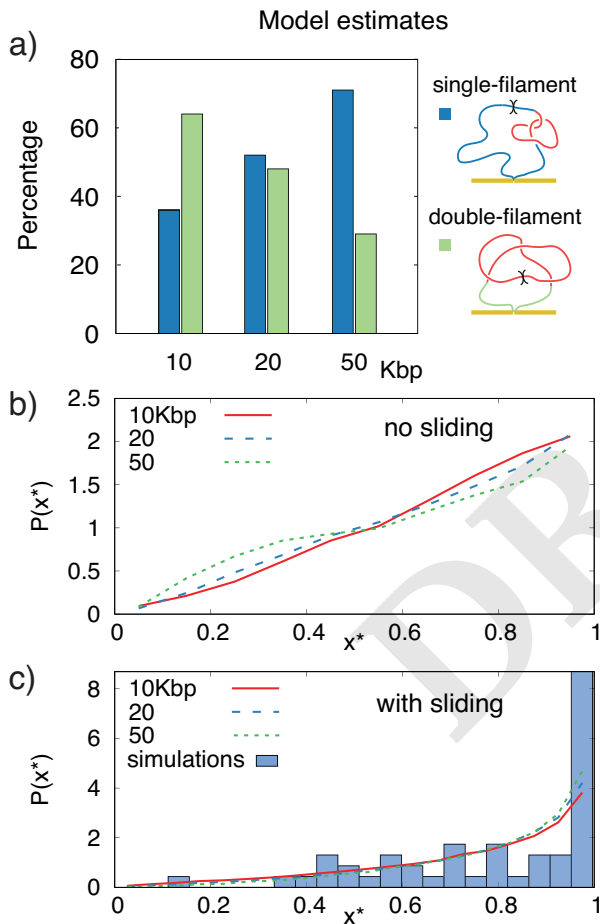
278 Instead, when the knotted region straddles the antipodal  
 279 midpoint, the knot will be pulled from both sides and will  
 280 be dragged towards the pore by both tensioned arms. Such  
 281 double pulling typically causes the essential crossings to be-  
 282 come interlocked, trapping the knot in a moderate degree of  
 283 tightening, see Movie S4. The pore obstruction event is then  
 284 associated to the passage of the essential crossings.

285 These two cases are directly associated to the two different  
 286 translocation modes highlighted in Fig. 4. So much so that the  
 287 two sets in the figure were not assigned from an *a posteriori*  
 288 supervised inspection of the trajectories, but rather *a priori*  
 289 based on the aforementioned distinction. In fact, the two sets  
 290 precisely correspond to knots that span a single or both ring  
 291 arms at the *beginning* of translocation. The neat separation of  
 292 the two sets of points in Figs. 4a,b,e,f supports the viability  
 293 and usefulness of such discriminatory criterion.

294 The same criterion can be also used to estimate how the rela-  
 295 tive incidence of single- versus double-filament entanglement  
 296 varies with ring length. We considered an ensemble of Monte  
 297 Carlo-generated rings of length 10, 20 and 50 Kbp, picked a  
 298 rooting point randomly along their contour and then located  
 299 the knot on the ring, which we considered open in correspon-  
 300 dence of the rooting point. The rings were next assigned to  
 301 one of the two classes based on whether the knotted region  
 302 straddled the antipodal midpoint or not. The results, given  
 303 in Fig. 5a, show that the incidence of single-filament entan-  
 304 glement increases steadily with chain length, and goes from  
 305 35% for 10Kbp to 70% for 50Kbp. Based on this result, which  
 306 reflects the interplay of knot and chain lengths (35, 58–61),  
 307 we speculate that most of the knot passage events detected  
 308 in the experiment of Plesa *et al.* pertained to single-stranded  
 309 entanglements, as implicitly assumed by the authors.

310 The same schematic framework can account for the qualita-  
 311 tive features of the distribution of  $x^*$ , the knot positioning at  
 312 time of translocation. We considered, again, the Monte Carlo  
 313 generated ensemble of rings for which we stochastically-picked  
 314 the rooting points. Next, for double-filament knots (straddling  
 315 the antipodal midpoint) we picked  $x^*$  uniformly between the  
 316 two knot ends. For the other, single-filament knots, we picked  
 317  $x^*$  as the distal end of the knot, the one further from the  
 318 pore. These criteria embody in the simplest possible way the  
 319 phenomenology described in the previous paragraphs.

320 The resulting probability distributions for  $x^*$ , shown in  
 321 Fig. 5b, are in qualitative agreement with simulation and  
 322 experimental data. It is seen that, at all ring lengths, the



**Fig. 5.** a) Model estimate of relative percentage of single- and double-filament knots in DNA rings of different length. The estimate considers the length and positioning of the knotted region (highlighted in red in the sketches) with respect to the point (marked with a cross) antipodal to the root. b) The same model is used to predict the translocated chain fraction at the time of the pore obstruction event,  $x^*$ , see panel (b). Accounting for the sliding of the knot along the chain brings the model distribution in good quantitative agreement with the actual simulation data, see panel (c).

258 **Interpretative model.** We now consider the origin of the two  
 259 different translocation modes and of the skewed distribution  
 260 of the knot position at passage time,  $x^*$ .

261 Both aspects are best illustrated with the following

distributions are skewed towards  $x^* = 1$ . As for the balance of single- and double-filament knots, the skewness too depends on the interplay of knot and ring length. Indeed, the  $x^*$  distribution becomes flatter for longer rings, where the knotted region occupies a smaller fraction of the chain contour.

The above modelling scheme neglects the possibility that tight knots may slide on the filament contour. As was clarified in the theoretical study of ref. (27, 30, 31), such sliding can occur for fully-flexible chains and, in fact, make it possible for individual knotted filaments to fully translocate through very narrow pores, as long as the driving force is not high enough to cause jamming (30, 62, 63). As a matter of fact, we observed the same knot sliding phenomenology for the present dsDNA system too, see Movie S1-S3.

To account for such sliding effect for single-filament knots, we accordingly adjusted the model. Specifically, we assumed that  $x^*$  could fall with equal probability between the distal knot end and the antipodal midpoint. The  $x^*$  probability distribution predicted by such model is shown in Fig. 5c. It presents a noticeably stronger shift forwards  $x^*$  values that follows closely the data from the simulated trajectories. This good level of agreement is somewhat surprising given the simplicity of the model, which does not account for frictional effects related to pore size and force magnitude. Yet, the good accord further corroborates the relevance of sliding effects for dsDNA. We believe, this would be an important avenue to explore further, especially by seeking a quantitative comparison against experimental data. For this, it would probably become essential to take into account the finite resolution of time measurements which could account for the observed effective dependence of the distribution of  $t^*$  (related monotonically, but non-linearly, to  $x^*$ ) on the driving force.

## Conclusions and perspectives

It is only very recently, that innovative single-molecule techniques have made it possible to detect knots in double-stranded DNA chains driven through nanopores (34). On the one hand, this gave a striking demonstration of spontaneous knot formation in linear and circularised DNA. On the other hand it also helped unveil a rich and complex phenomenology that, though expectedly relevant for the *in vivo* processing of DNA filaments, is still largely unexplored.

Here, to advance the understanding and characterization of such phenomenology, we studied theoretically the pore translocation of knotted DNA rings using an accurate coarse-grained model for DNA and stochastic molecular dynamics simulations.

We find good agreement with the experimental data, particularly regarding the remarkably brief duration of pore-obstruction events associated to the passage of the knot. By profiling the dynamical evolution of the knotted DNA rings we expose unexpectedly rich properties of the process that cannot be directly accessed in current experiments.

First, we found that translocation of the knotted region can occur in two qualitatively modes depending on whether the knot is dragged to the pore by only one of the ring arms, or both. In the latter case, knots are typically not tight, and yet we find that the pore obstruction time can be small (as in experiments) because the essential crossings of the knot coalesce in a short region.

Secondly, we found that the sliding and tensioning of the

translocating knot causes the same bias towards late knot passage events found in experiments, and previously unexplained. We finally show that one of the key determinants of the pore obstruction duration is the initial positioning of the knot along the chain, and suggest how this effect might be deconvolved in experimental measurements for a more precise determination of the length of the region accommodating a knot or its essential crossings. In particular, the occurring phenomenon of knot sliding might give an important contribution. This might be exploitable in future experiments, along with chain length and pore size variations, to discriminate the two modes. Further relevant avenues include the impact on pore translocation of complex topologies such as composite knots, which have so far been characterised for flexible chains only (30), as well as the geometry-topology interplay in DNA rings that cannot relax supercoiling and torsional stress (64).

This first theoretical account, provides a detailed and physically-appealing insight into phenomenology of knotted dsDNA pore translocation. It provides a valuable and transparent interpretative framework for available experimental data while pointing out specific directions for new experiments as well as theoretical ones aimed at better understanding the implication of intra-chain entanglement for the *in vivo* processing of DNA, and possibly other biopolymers too.

## Materials and Methods

**System setup and simulation details** For an accurate, mesoscopic description of double-stranded DNA we used oxDNA (36–39). In this model, nucleotides are treated as rigid and described by three-interaction centers. The potential energy includes terms that account for the chain connectivity, bending rigidity, base-pairing, screened electrostatic interactions and stacking effects. These terms are parametrised to reproduce the salient structural and equilibrium properties of nucleic acids filaments at various values of the system temperature and salt concentration, here set to  $T = 300\text{K}$  and  $1\text{M}$  NaCl, respectively.

The initial conformations of the 10Kbp-long DNA rings were obtained by mapping the oxDNA model on top of knotted, coarsened DNA rings sampled with a topologically-unrestricted Monte Carlo scheme (46). These initial configurations, which model those obtained experimentally by circularization of linear DNA with so-called sticky-ends, were then nicked and primed at the entrance of the 10nm-wide pore, which was embedded in a 10nm thick impenetrable slab. The translocation process, simulated with Langevin dynamics using the oxDNA software package, was driven by applying a force of 0.2pN to each nucleotide inside the pore. Because the average number of nucleotides occupying the pore at any given time is 120, the average total driving force is 24pN (12pN on each of the two double-stranded filaments), which is about equal to what used in experiments. The constant-temperature ( $T = 300\text{K}$ ) molecular dynamics was integrated, without hydrodynamic effects, with a time step of  $0.005\tau_{LJ}$ , where  $\tau_{LJ}$  is the standard Lennard-Jones time unit for the simulations. Further details about the system setup are given in Fig. S2. An approximate mapping with real time can be obtained by matching the actual diffusion coefficient of small oxDNA fragments of 4bp with that expected in water for spheres with 1.27nm diameter, yielding  $\tau_{LJ} \sim 0.7\text{ns}$ . Based on this time mapping, the typical translocation time of Fig. 2a is  $400\mu\text{s}$ , which, for longer

443 chains of 50Kbp extrapolates to about 5ms, which compares  
444 well with experimental measurements available for this chain  
445 length (40).

446 **Observables.** The passage of a knot, or of its essential  
447 crossings, through pore was revealed by monitoring the number  
448 of nucleotides inside the pore and detecting increases by more  
449 than 30% from the baseline value, which is about equal to 60bp.  
450 This threshold criteria, which was validated by supervised  
451 visual inspection, was also used to establish the duration of  
452 the time interval associated to the passage of the knot through  
453 the pore.

454 For each instantaneous configuration, the location of the  
455 knot was identified with a bottom-up search. Specifically, we  
456 used the stochastic search scheme of ref. (65) to identify the  
457 shortest portion of the ring that, after suitable closure, has the  
458 same topology of the original ring. The search is limited to  
459 the *trans* or *cis* parts of the rings, respectively, depending on  
460 whether the knot has or has not already translocated through  
461 the pore.

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