

## OPTICS

## Tracking interfacial single-molecule pH and binding dynamics via vibrational spectroscopy

Junyang Huang, David-Benjamin Grys, Jack Griffiths, Bart de Nijs\*, Marlous Kamp, Qianqi Lin, Jeremy J. Baumberg\*

Understanding single-molecule chemical dynamics of surface ligands is of critical importance to reveal their individual pathways and, hence, roles in catalysis, which ensemble measurements cannot see. Here, we use a cascaded nano-optics approach that provides sufficient enhancement to enable direct tracking of chemical trajectories of single surface-bound molecules via vibrational spectroscopy. Atomic protrusions are laser-induced within plasmonic nanojunctions to concentrate light to atomic length scales, optically isolating individual molecules. By stabilizing these atomic sites, we unveil single-molecule deprotonation and binding dynamics under ambient conditions. High-speed field-enhanced spectroscopy allows us to monitor chemical switching of a single carboxylic group between three discrete states. Combining this with theoretical calculation identifies reversible proton transfer dynamics (yielding effective single-molecule pH) and switching between molecule-metal coordination states, where the exact chemical pathway depends on the initial protonation state. These findings open new domains to explore interfacial single-molecule mechanisms and optical manipulation of their reaction pathways.

## INTRODUCTION

Ligand-nanoparticle surface interactions play key roles in catalysis and nanotechnology, from particle stabilization (1) to functionalization (2) for biosensing (3) and drug delivery applications (4). In a number of recent studies, ligands have been engineered to promote the efficiency and selectivity of heterogeneous catalysis on metal nanocrystals (5–9) despite the previous consensus that all the surface agents are detrimental to this process through blocking of catalytically active surface sites. Ligands with termination groups, such as carboxylates, can bind to metal surface atoms via a variety of possible coordination modes (5), enabling steric (6, 7) and electronic (8) modification of the catalytic efficiency of metal nanoparticles and accessing the potential of chemoselectivity (9). In addition, proton activities at the metal interface surface play a central role in ligand tethering (10) as well as catalytic (11–13) and electrochemical processes (14), yet the interfacial pH at molecular length scales markedly varies from the bulk environment and is challenging to track (15).

Typical characterization methods, including electrochemistry (16), nuclear magnetic resonance (17), infrared (18), and x-ray photoelectron spectroscopies (1), measure an ensemble average of ligands in chemical equilibrium. To provide dynamic information at the single ligand level, which is required for the design of next-generation functional nanomaterials (19), single-molecule optical spectroscopy techniques can now be used. While single-molecule fluorescence spectroscopy is able to resolve real-time catalytic (20–22) and tautomerization (23) processes at metal interfaces, the lack of direct structural information obscures the precise chemical transformations taking place. Surface-enhanced Raman spectroscopy (SERS) is an inelastic scattering method that provides structural information on unlabeled molecules near the surface of noble metal nanostructures, without relying on molecules to fluoresce (that bleach over time). It can therefore serve as a powerful

technique for in situ in operando tracking of interfacial chemical reactions (24–27). Recent advances in single-molecule SERS and tip-enhanced Raman spectroscopy (TERS) are thus opening up these new possibilities for single-molecule real-time vibrational characterization (28–32).

Nanoscale gaps between plasmonic metal surfaces confine optical fields far below the free-space diffraction limit to effective mode volumes on the order of  $10^2 \text{ nm}^3$ , enabling access to plasmon-enhanced spectroscopy at the single-molecule level (33). Laser-induced atomic surface protrusions created within these optical nanocavities offer a further level of field localization via an atomic-scale lightning rod effect (34), resulting in an optical “picocavity” of yoctoliter effective volume ( $<1 \text{ nm}^3$ ) centered around a single surface adatom (35). This picocavity spatially isolates a single molecule from the nanocavity hotspot within a region of intense field strength, which provides additional SERS enhancement with respect to the background nanocavity field ( $|E_{\text{pico}}/E_{\text{nano}}|^4 \approx 80$ ). Extreme field gradients within this picocavity can further enhance certain vibrational modes including those that are typically dark, depending on the position and orientation of the molecule with respect to the nearby adatom (36). This spectrally spotlights the molecule from the ensemble while overcoming the typically low single-molecule SERS cross sections and rendering them experimentally resolvable in both cryogenic and ambient conditions (34, 36, 37).

Here, we use long-lived picocavities within a cascaded SERS substrate to enable in situ optical tracking of individual 3-mercaptopropionic acid (MPA) molecules undergoing structural transformations at the surface of a gold nanoparticle (AuNP) under ambient conditions. This reveals distinct three-level chemical oscillations of the carboxylic group. Combining this with theoretical calculations, we identify reversible proton transfer dynamics as well as subsecond switching between single-molecule coordination states, where the chemical pathway observed varies depending on the initial protonation state. Essentially, this quantifies pH at the single-molecule level. Exemplified here is a robust strategy to probe the mechanisms of such single-molecule interfacial reactions.

Copyright © 2021  
The Authors, some  
rights reserved;  
exclusive licensee  
American Association  
for the Advancement  
of Science. No claim to  
original U.S. Government  
Works. Distributed  
under a Creative  
Commons Attribution  
License 4.0 (CC BY).

Downloaded from <https://www.science.org> at Utrecht University Library on October 11, 2022

NanoPhotonics Centre, Cavendish Laboratory, Department of Physics, JJ Thompson Avenue, University of Cambridge, Cambridge CB3 0HE, UK.

\*Corresponding author. Email: [jjb12@cam.ac.uk](mailto:jjb12@cam.ac.uk) (J.J.B.); [bd355@cam.ac.uk](mailto:bd355@cam.ac.uk) (B.d.N.)

## RESULTS AND DISCUSSION

## MPA nanojunction

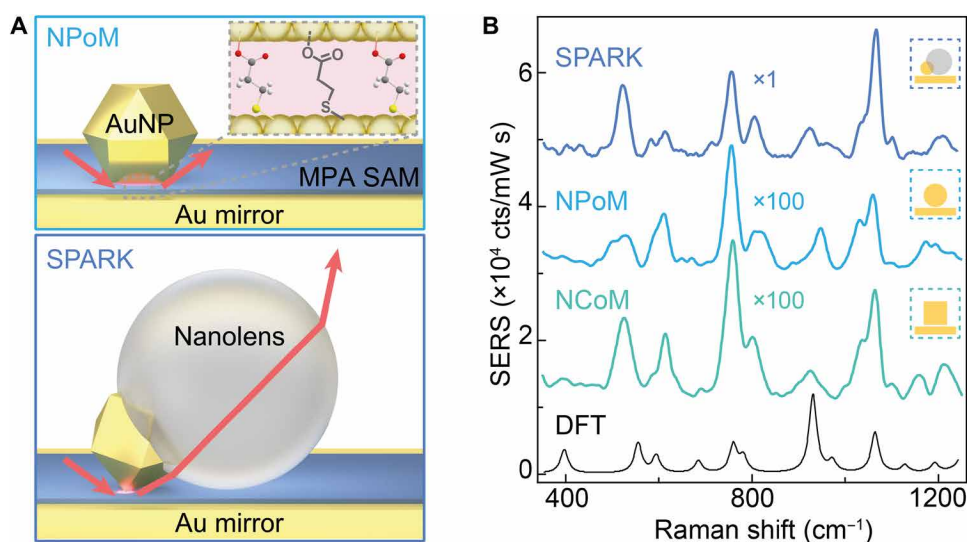
We sandwich a self-assembled monolayer (SAM) of 3-mercaptopropionic acid (MPA) molecules (38, 39) between sparsely deposited 80-nm AuNPs and a template-stripped gold surface (the Au mirror). Upon high-angle excitation of these nanoparticle-on-mirror (NPoM) (33) nanostructures, plasmonic coupling between the nanoparticle and its mirror-image charges results in a highly reproducible SERS hotspot inside the 0.8-nm-thick gap defined by the MPA monolayer (Fig. 1A and fig. S1) (40). Even with the enhancement provided by this nanocavity, the low SERS cross section of MPA limits the speed of observations to tens of seconds (Fig. 1B, light blue). Similar signals are achieved using a nanocube-on-mirror (NCoM) (80 nm) geometry (Fig. 1B, green) (40).

Recent development of Super-efficient Plasmonic nanoArchitectures for Raman Kinetics (SPARKs) (41) substantially improves the SERS efficiency of the NPoM structure, providing enhancement factors exceeding  $10^{11}$  without degrading the molecular layer and thus enabling faster spectral collection. Such metallo-dielectric hybrid nanostructures consist of a AuNP (80 nm) partially embedded in an organosilica nanolens (refractive index  $n = 1.5$ ) (see fig. S2 for TEM and SEM characterization). When deposited on a SAM-coated Au film, the metallo-dielectric particles form this SPARK geometry, enhancing optical in-coupling and out-coupling efficiencies through the combined contribution of near-field nanolensing, symmetry breaking, resonant whispering gallery mode reexcitation of the plasmonic modes, and light concentration through the down-facing sharp contact of the AuNP (41). Experimentally, for an MPA monolayer with 785-nm excitation, SPARK nanocavities yield approximately 100 times higher SERS signals compared to NPoM and NCoM nanocavities (Fig. 1B), despite probing fewer molecules (41). Such increased SERS sensitivity is critical to probe chemical processes at higher time resolutions and uses weak (nonperturbing) optical powers.

Upon SAM formation at pH 4, the MPA carboxylate group is left mostly protonated ( $pK_a = 4.3$ ) (42) while the thiol group anchors the molecule on the gold mirror through Au–S bonding (fig. S3). After AuNP deposition to form either NPoM, NCoM, or SPARK nanocavities, the MPA molecules within the nanogaps can exist in four chemically distinct coordination states: protonated (COOH), deprotonated ( $\text{COO}^-$ ), monodentate ( $\eta^1\text{-COO}^-$ ), and bidentate bridge [ $\mu_2\text{-}(\eta^2\text{-COO}^-)$ ] coordination to the upper AuNP facet (fig. S4B). Consistent time-averaged nanocavity SERS spectra (>300 s) are observed using all nanoconstructs (Fig. 1B). Careful comparison to spectra simulated using density functional theory (DFT) suggests that the population of MPA molecules in the nanocavity is dominated by the monodentate coordination state (Fig. 1B, black; see DFT of other states in fig. S4C) (40).

## Real-time reversible single-proton transfer dynamics

Picocavities can be generated stochastically within these nanocavity constructs via laser irradiation. To monitor the time-resolved spectral evolution of these picocavity events within a SPARK structure, SERS spectra are continuously recorded during laser irradiation at 633 nm (see Materials and Methods). The combined signal amplification from both the SPARK and picocavity allows a reduction in spectrum integration time to 50 ms (with sub-100- $\mu\text{W}$  irradiation) to interrogate the dynamics of single MPA molecules on a much faster time scale. To date, previous picocavities formed at room temperature have not been stable for more than a few seconds under optical irradiation (36, 37). It has been reported that the energy barrier to both generate adatoms and return them to the bulk lattice is strongly dependent on the molecules at the metal surface (37). In marked contrast to previously studied biphenyl molecules, picocavities formed in the presence of MPA are extraordinarily long-lived at room temperature and equivalent laser powers, with lifetimes regularly exceeding minutes. Such stability, attributed to the strong molecule-metal interaction, uniquely enables single-molecule



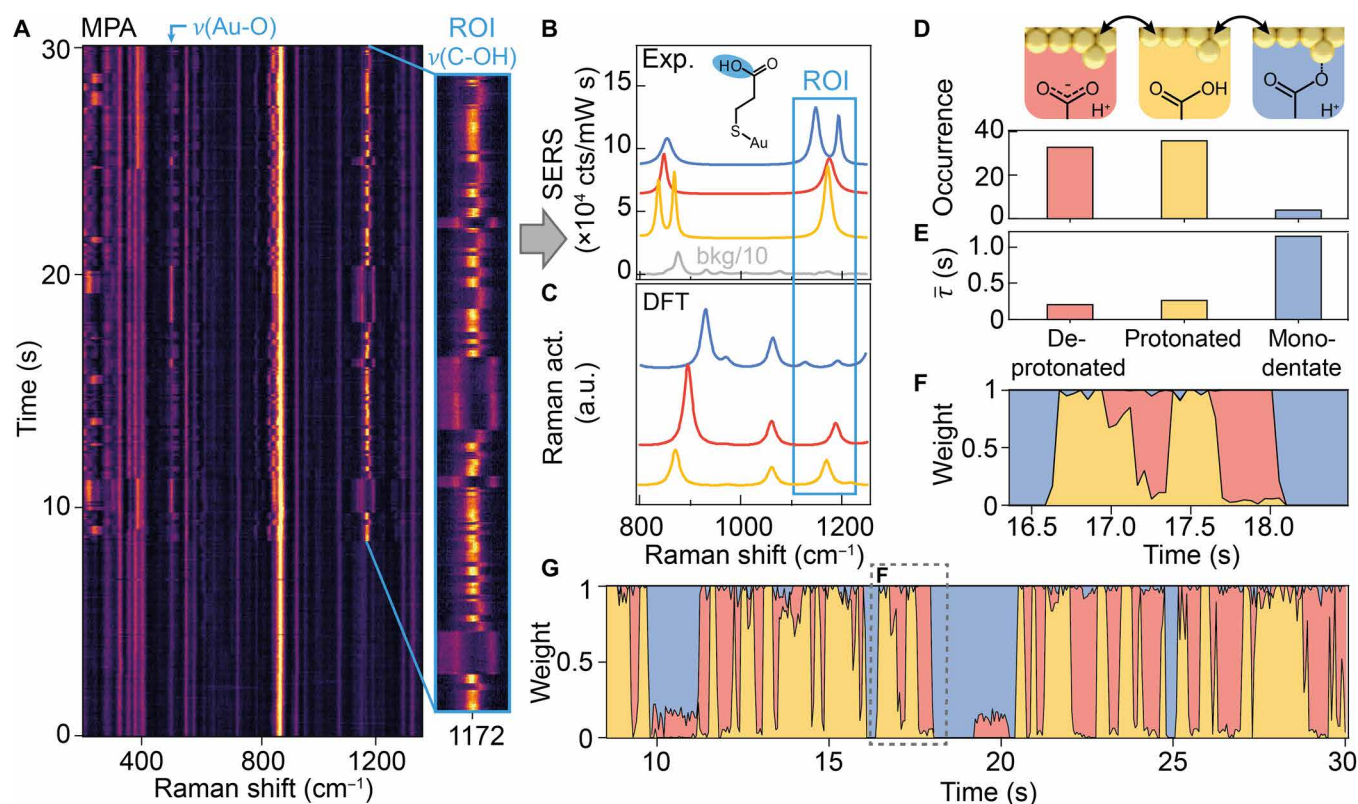
**Fig. 1. Plasmonic cavity for MPA SERS sensing.** (A) Self-assembled MPA monolayer (blue) sandwiched in NPoM (top) and SPARK (bottom) geometries. Red arrows indicate optical in- and out-coupling angles. (B) Time-averaged SERS spectra of the MPA monolayer collected from SPARK, NPoM, and NCoM nanocavities with, respectively, 20- $\mu\text{W}$ , 500- $\mu\text{W}$ , and 1.2-mW optical excitation at 633 nm (300 s integration time), together with simulated Raman spectrum for monodentate MPA using polarized DFT calculations.

tracking for an extended period of time. The lifetime and stability of the picocavity are known to be sensitive to the incident laser power after its formation (fig. S5). To ensure minimal optical disturbance of the picocavities formed, 50- $\mu$ W optical excitation is used, balancing stability against an acceptable signal-to-noise ratio.

During the progress of a picocavity event, MPA SERS lines switch digitally between three discrete spectral states (Fig. 2A). This repetitive digital switching phenomenon contrasts with previous continuous spectral wandering caused by angstrom-level variations in adatom-molecule separation (43). We attribute such spectral features to a single molecule because adatoms can sterically interact with only one molecule and would give separate (split) lines for more molecules, and their light fields reach out only 20 pm (36). As MPA spends time in multiple states within each integration time, we decompose the experimental spectra into linear combinations of the three “basis spectra” and a time-independent background (Fig. 2B and figs. S6 and S7). These states are distinguished within the region of interest (ROI) [1120 to 1220  $\text{cm}^{-1}$  around  $\nu(\text{C-OH})$  stretching region] by peaks at 1171  $\text{cm}^{-1}$ , 1175  $\text{cm}^{-1}$ , or a doublet at 1147/1193  $\text{cm}^{-1}$  (Fig. 2B, red, yellow, or blue, respectively). The relative positions of these modes are well matched with the DFT-calculated modes of protonated MPA, deprotonated MPA, and MPA monodentate coordination to a gold atom, respectively (Fig. 2, C and D).

The spectral shift upon MPA deprotonation results from a redistribution of electron density within the carboxylate group and a subsequent increase in the  $\text{C}_3\text{-C}_4$  vibrational energy (Fig. 2D, top). Coordination bond formation between a carboxylate oxygen atom and a heavy gold adatom breaks the symmetry between the two oxygen atoms, leading to the observed peak splitting in the monodentate state (blue) accompanied by an intensified  $\nu(\text{Au-O})$  line at 500  $\text{cm}^{-1}$ . The relative peak shift of the  $\text{C}_2\text{-C}_3$  stretching mode (around 850  $\text{cm}^{-1}$ ) is also in agreement with the DFT calculation, as well as literature spectroscopic studies on carboxylate groups (44). The exact positions and their relative intensity in each picocavity are not only sensitive to the local lattice environment (fig. S4, E and F) but also strongly affected by both the relative separation and the angular alignment between the molecule and adatom (43, 45). The consistent relative changes in the spectral features allow us to assign the basis spectra to these three distinct chemical states: protonated, deprotonated, and monodentate MPA.

Classification of each spectrum yields further statistics of the chemical dynamics. A significantly lower occurrence is found for the monodentate state, while equally frequent appearances are recorded for the protonated and deprotonated states (Fig. 2D). However, once the monodentate coordination is formed, the molecule spends the longest time on average in this state. On the other hand, the mean dwell time for both protonated and deprotonated states is



**Fig. 2. Single-molecule chemical dynamics of MPA.** (A) SPARK SERS time series showing single-molecule dynamics of MPA, with 50-ms integration time per spectrum. (B) Pure state experimental spectra (blue, red, and yellow) and background (gray) extracted from SERS time scan. (C) DFT-calculated spectra for Au-S anchored MPA molecule in a protonated (yellow), deprotonated (red), and monodentately coordinated (blue) configuration. Region of interest (ROI) highlights vibrations corresponding to a mixture of  $\nu(\text{C-OH})$ ,  $\nu(\text{C-O})\text{-Au}$  stretching, and  $\omega(\text{CH}_2)$  wagging modes in different chemical states of (D). (D) Schematics and occurrence of the three MPA picocavity states (top) during the 22-s highlighted time window in (A), with (E) average dwell time of the three states. (F and G) Time evolution of the extracted fractional weights for the three pure states, showing dynamic switching behavior, with (F) showing a magnified time window from (G). a.u., arbitrary units.

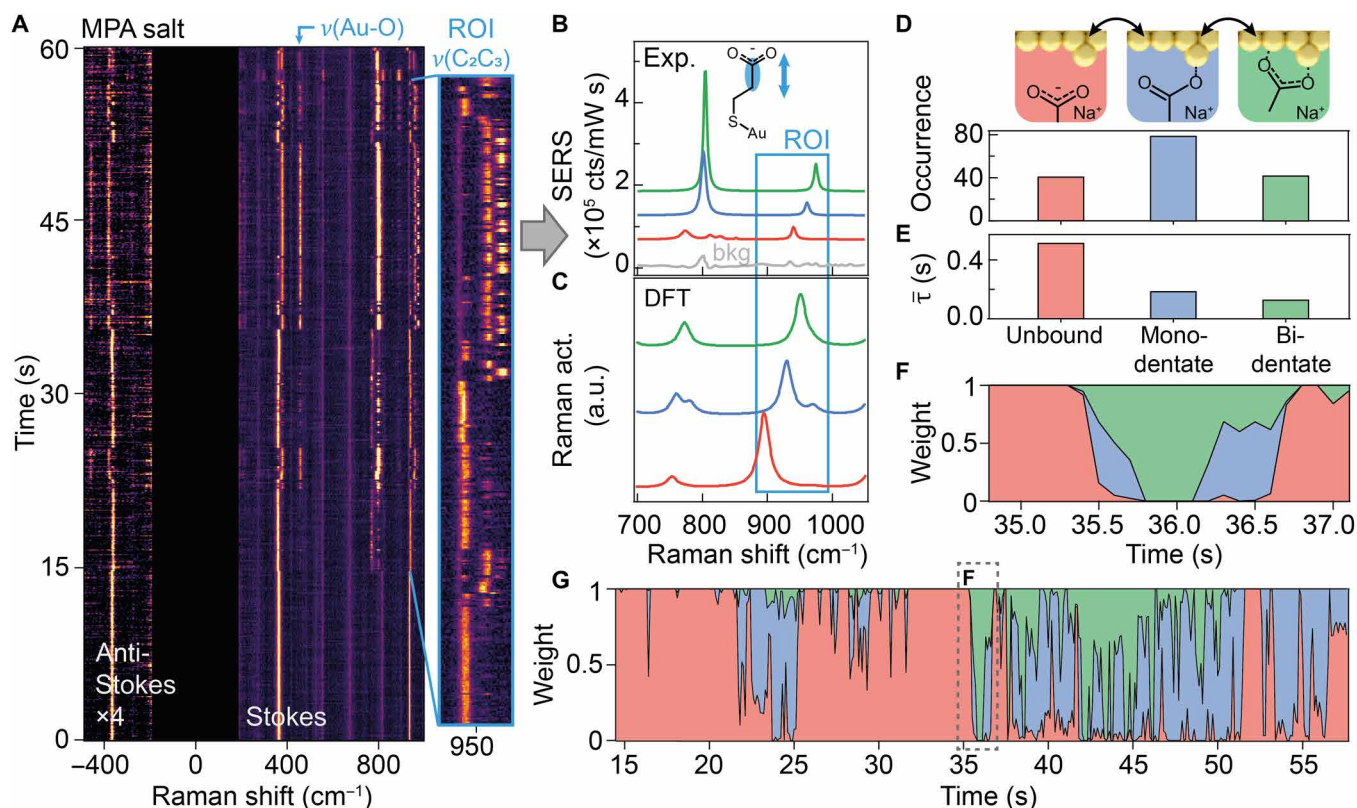
short ( $<0.3$  s, Fig. 2E). This difference in the dwell times implies that the energy barrier between protonated and deprotonated states is small compared with the energy needed for the molecule to unbind from the gold surface, reflecting that O—H binding is weaker than the O—Au coordination bond. The time-evolving normalized weights of the three basis states reveal the digital chemical hopping behavior of a single molecule on a subsecond time scale (Fig. 2G). Within the highlighted time window (Fig. 2F), the MPA molecule first retracts the Au-carboxylate coordination by recapping the carboxylate group with a proton. The molecule then fluctuates between the protonated and deprotonated states before it returns to the monodentate coordination with Au. Fractional weights between two states suggest that the chemical switching under a thermally driven dynamic equilibrium is faster than the spectral integration time (limited by the photon yield), with their relative energy barriers dictating the fractional weight observed.

### Tracking chemical switching among molecule-metal coordination states

Producing the SAM using a sodium salt form of MPA (pH 7), instead of MPA at pH 4, alters the local chemical environment in the nanogap by drastically reducing the number of available protons and associating heavier sodium ions instead. In this system, picocavity SERS spectra retain digital switching behavior among three

chemically distinctive states (Fig. 3A). As expected, the protonated state is no longer observed (Fig. 3B). Pairing the basis states with DFT-calculated reference spectra (Fig. 3C) shows the closest match for deprotonated, monodentate, and, now, also a bidentate binding, indicating the formation of a Au—O coordination bond on both carboxylic oxygen atoms. The formation of Au—O bonds under both monodentate and bidentate coordination is evidenced by the appearance of a SERS line at  $460\text{ cm}^{-1}$  together with a simultaneous blue shift of the  $\nu(\text{Au-S})$  from  $360$  to  $380\text{ cm}^{-1}$ . The monodentate coordination state is found to be the most frequently visited state, occurring twice as often as the unbound state and the bidentate state (Fig. 3D), while a significantly longer dwell time is recorded for the unbound state. An exemplar 2-s time window (Fig. 3F) shows the stepwise transition from the unbound state to monodentate then to bidentate and vice versa. This is consistent throughout: For the molecule to form bidentate coordination, the monodentate state is always visited as an intermediate step, evidencing how the two coordination bonds on the carboxylate group form and break in a stepwise fashion.

During irradiation, changes in the average occupation time of the three states can be observed (Fig. 3G). In this example, during the first period (15 to 35 s), the molecule resides mainly in the unbound state, while the bidentate state is barely registered. By contrast, from 35 s onward, the molecule spends most of its time in one



**Fig. 3. Single-molecule coordination dynamics of MPA salt.** (A) SPARK SERS time series showing single molecular dynamics of MPA salt, with 100-ms integration time. (B) Pure state spectra (green, blue, and red) and background (gray) extracted from the SERS time scan. (C) DFT-calculated spectra for an MPA molecule unbound (green), monodentately coordinated (blue), and bidentately coordinated (red) to Au. ROI highlights vibrations corresponding to  $\nu(\text{C}_2\text{C}_3)$  in different chemical states. (D) Schematics and occurrence of the three coordination states of MPA (top) in a picocavity during the 43-s highlighted time period in (A). (E) Average dwell time of the three coordination modes. (F) Short segment of the time evolution of the extracted fractional weights for the three pure coordination states, capturing sequential hopping among them. (G) Full time evolution of a single MPA molecule hopping between three coordination states, with (F) showing a magnified time window from (G).

of the two coordination modes and significantly less time in the unbound state. As we will show, such changes evidence evolution of the local chemical landscape.

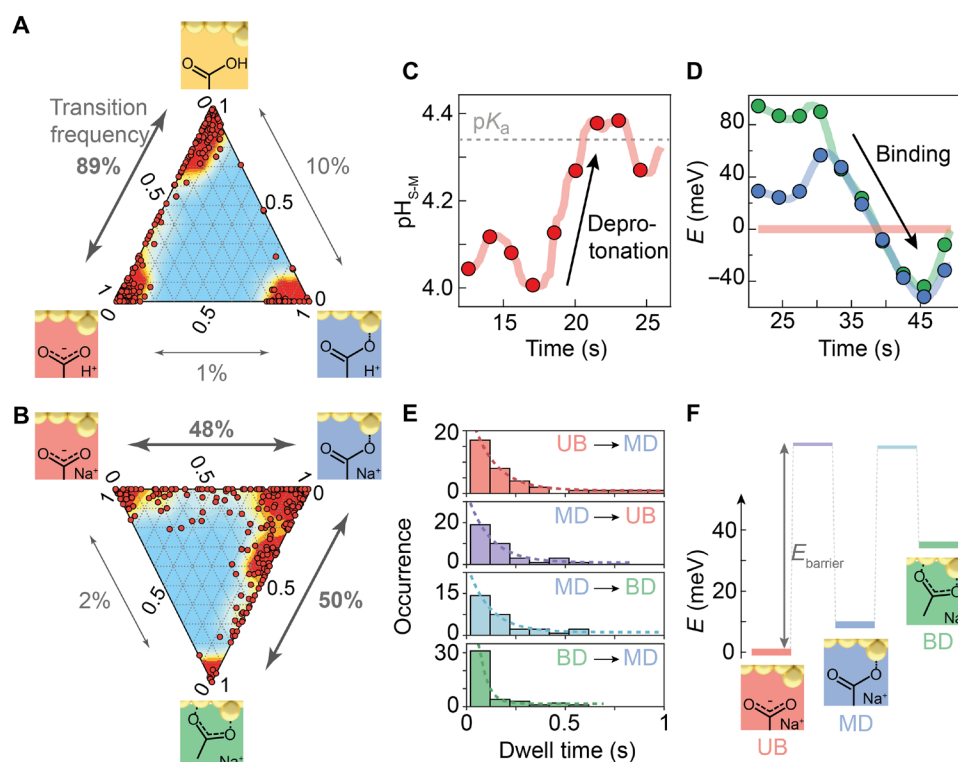
### Statistical analyses

With the molecular dynamics characterized for both the MPA and MPA-salt SAMs, we further analyze and compare their difference in chemical kinetics to provide powerful insights into the atomic-scale behavior of the molecule. We note that similar features are seen in all scans (fig. S8). With the acidic MPA SAM, the transition between protonated and deprotonated states dominates the chemical switching kinetics with 89% of registered switching events (Fig. 4A). Switching to the monodentate state is significantly rarer and predominantly occurs from the deprotonated state, accounting for 10% of transitions. Switching from the protonated state directly to the monodentate state accounts for only 1% of transitions, suggesting that the presence of the capping proton plays an important role in the formation and dissociation of the metal coordination bond. The overall rarity of the monodentate state and the observed longer lifetime for this state suggest that it is energetically less favorable and has a higher activation barrier.

While MPA is a weak acid that partially dissociates in solution during SAM preparation, MPA salt fully dissociates all the sodium ions in solution, exposing carboxylate groups to make them more

likely to bind to the metal. The dynamics observed indeed shows that for the MPA-salt SAM, the transition from deprotonated to monodentate now accounts for 48% of observed switches (Fig. 4B). The transition from monodentate to bidentate now accounts for 50% of the state changes, with the transition from deprotonated to bidentate accounting for a small fraction (2%). The distribution of picocavities (Fig. 4B) for the MPA-salt SAM is more widely scattered and attributed to the longer integration times used, which increases the probability of capturing more than one state in a single spectrum.

Although the stochastic reservoirs of the system are not characterized, sufficient switching events are registered in a time scan to support the assumption that the molecule is thermalized during the total spectral acquisition time. The picocavity vibrational frequencies for both the protonated and deprotonated states are consistent throughout the scan, indicating that the electronic environment of the MPA molecule is stable and therefore supporting the assumption that the  $pK_a$  of the molecule is not significantly perturbed within the picocavity. From the time-averaged occupation probability of the protonation states, we then evaluate an effective single-molecule pH value for a single MPA molecule,  $pH_{S-M}$ , using a modified version of the Henderson-Hasselbalch equation  $pH_{S-M} = pK_a + \log_{10}(P_{A^-}/P_{HA})$ , where  $P_{A^-}$  and  $P_{HA}$  are the occupation probabilities of the deprotonated and protonated states, respectively. The real-time pH fluctuation shows that the molecule is more stable in the protonated



**Fig. 4. Evolving chemical landscape for a single molecule.** (A and B) Distribution and contour map of picocavities from (A) MPA and (B) MPA-sodium-salt, across the chemical space, in ternary representations, where each data point represents the distribution in states for a picocavity spectrum. The frequency of hopping between states is marked in gray along each axis. (C) Extracted effective single-molecule pH fluctuation showing deprotonation is increasingly favored in time ( $pK_a = 4.3$ ). (D) Variation of equilibrium energies of the monodentate (blue) and bidentate (green) states versus the unbound state extracted from the transition kinetics of the MPA salt. (E) Distributions of dwell times in the unbound (UB), monodentate (MD), and bidentate (BD) states of an MPA salt picocavity before hopping to a neighbor state, with fitted exponential decay constants of  $\tau_{UB \rightarrow MD} = 118 \pm 2$  ms,  $\tau_{MD \rightarrow UB} = 120 \pm 20$  ms,  $\tau_{MD \rightarrow BD} = 110 \pm 20$  ms, and  $\tau_{BD \rightarrow MD} = 41 \pm 7$  ms. (F) Relative energy landscape of a deprotonated MPA molecule in a picocavity, extracted using the average occupancy and dwell time decay rates, where transition states are marked with purple and light blue (the barrier height remains undetermined).

state in the first 20 s (Fig. 4C), while occupation of the deprotonated state can be thermally driven (by coupling to the reservoir of phonons in the metal and molecule). A shift in this chemical equilibrium is observed around 20 s, whence the pH increases toward the  $pK_a$  value. However, comparing different SERS time scans, this fluctuation of single-molecule pH does not happen always the same way (fig. S8). The relative energy between chemical states can also be retrieved from the relation  $E_{\Delta AB} = -k_B T \ln(P_A/P_B)$ , where  $P$  is the probability of occupying a state,  $k_B$  is the Boltzmann constant, and  $T$  is the molecular temperature, which is estimated to be 305 K, using the ratio between anti-Stokes and Stokes scattering intensities (46). Extracting the energies from the chemical kinetics of MPA salt (Fig. 4D) reveals a tilt in chemical equilibrium during continuous laser irradiation. The energies of the three chemical states are stable and well separated in the first 30 s. Later, the bidentate state becomes equal energetically to the monodentate state, while both binding configurations evolve to be more energetically favorable compared with the unbound state. This suggests that the observed modification of single-molecule chemical equilibria is light-driven. Without clear evidence of optical heating seen, we thus suggest that optical forces at the atomic scale can also play a role, which may thus be critical for photochemistry. This direction is of considerable interest for future work.

The dwell times of the molecule in the three states obtained from the MPA-salt picocavity follow an exponential distribution (Fig. 4E), where the rate constants ( $1/\tau$ ) are associated with the energy barrier ( $E_B$ ) for the corresponding chemical transitions through  $1/\tau = \nu \exp(-E_B/k_B T)$ . Without assuming a value for the molecular attempt frequency ( $\nu$ ), the ratio between the rate constants directly yields a small energy difference between the unbound-monodentate (purple) and bidentate-monodentate (light blue) transition states:  $E_{MD \rightarrow UB} - E_{MD \rightarrow BD} = \ln(\tau_{MD \rightarrow UB}/\tau_{MD \rightarrow BD})k_B T = 1 \pm 6$  meV. This concurs with the fact that a similar number of hopping events are observed from the monodentate state to the unbound and bidentate states. Combining the relative barrier heights and energy levels from the average probability of occupation of the three states allows us to reconstruct a limited chemical energy landscape at the single-molecule level (Fig. 4F).

Although forming bidentate coordination is expected to lower the energy of the MPA molecule under standard conditions, it appears here to be the most energetic state. We suggest that the higher energies of the binding states arise from chloride anions initially bound on the gold surface (47). Surface chlorides have been shown previously to prevent citrate carboxylate from bidentate binding on AuNP surfaces (44). Furthermore, the limited degrees of freedom in the tightly confined geometry of the picocavity influence the energies of the binding states, depending on the relative distance and orientation angle between the adatom and the carboxylate group. In addition, we confirm that similar chemical switching dynamics is also observed using longer integration time measurements on the weaker SERS NPoM geometry with MPA molecules (fig. S9), as well as for two other carboxylic molecules: mercaptoacetic acid and 4-mercaptobenzoic acid (fig. S10). These observations confirm that the observed switching phenomenon between chemical states on Au is a general property of the carboxylate group and independent of the specific plasmonic structure.

In summary, we demonstrate how optically generated long-lived picocavities can reveal the dynamic structural transformation of reversible deprotonation and binding reactions at the single-molecule

level under ambient conditions. The reliably high-efficiency SERS provided by the SPARK architectures now allows vibrational transformations to be resolved with 50-ms integration times for individual single MPA molecules. From the resulting three-level switching observed in the SERS kinetics, we identify proton transitions and fast oscillations between carboxylate surface coordination states. This explores real-time single proton dissociation dynamics for single molecules bound to metals. Stochastically fluctuating temporal trajectories reveal reaction pathways of the chemical states. Our data show that the chemical equilibrium and the effective pH of the MPA molecule can be shifted as a result of optical irradiation, which demonstrates the potential for optical tuning of the energy barriers between chemical states of the surface-bound molecules. This platform offers promising perspectives to explore interfacial single-molecule dynamics as well as light-matter interactions at many molecule-metal junctions. It thus affects the understanding and design of surface functionalization in a wide range of nanotechnology and photochemistry applications.

## MATERIALS AND METHODS

### SPARK sample preparation

The gold-organosilica heterodimers are prepared by nucleation and growth of (3-mercaptopropyl)trimethoxysilane (MPTMS) (Sigma-Aldrich) using AuNPs (Sigma-Aldrich) as seeds. The particle sizes are controlled as a function of MPTMS concentration, and the MPTMS wetting angle relative to the AuNP surface is obtained to be around 50°. Detailed synthetic processes are reported by Kamp *et al.* (41) Characterization using TEM micrographs shows that the gold-organosilica heterodimers used in this study have a AuNP diameter of 80 nm and a SiO<sub>2</sub> diameter of 200 nm. To form the SPARK geometry for SERS measurement, the gold-organosilica heterodimers are deposited onto template-stripped gold coated with a SAM of MPA forming the SPARK geometry.

To form the SAM, 1 mM MPA or MPA sodium salt solutions are prepared and their pH values are determined using a pH probe (SciQuip-8008). Template-stripped gold (TSG) substrates are immersed in MPA solution for 20 hours to allow the SAM to saturate. The samples are then rinsed with deionized water and dried with nitrogen. For NPoM samples, AuNPs are deposited by dropcasting 80  $\mu$ l of AuNP dispersion (BBI Solutions, 80 nm diameter, OD1, citrate-buffered) onto an MPA-coated TSG mirror. After 30 s of deposition, excess AuNPs are rinsed off with deionized water and the sample is dried with nitrogen. To deposit SPARKs, a 60- to 100- $\mu$ l ethanolic suspension of gold-organosilica heterodimers is placed onto the MPA decorated TSG substrate for 10 min to form the SPARK geometry, before rinsing with ethanol and drying with nitrogen.

### SERS measurements

SERS measurements are performed using a modified Olympus BX51 microscope in reflective dark-field geometry. SPARK and NPoM samples are excited with either a 633- or a 785-nm single-frequency continuous-wave diode laser (Integrated Optics). The scattered light is collected using a  $\times 100$  Zeiss objective (0.9 numerical aperture) and filtered with two notch filters before the signals are coupled through an Andor Shamrock 303i spectrograph and recorded on an Andor Newton EMCCD. On each SPARK geometry, multiple sets of 600 SERS spectra are taken in quick succession using an integration time of 50 or 100 ms.

## DFT calculations

DFT calculations are carried out using the Gaussian 09 (Rev. E.01) ab initio software suite (48). For the geometry optimization and frequency calculation, the hybrid functional PBE0 (PBE1PBE) in conjunction with the 6-311++G(d,p) and Los Alamos ECP double- $\zeta$  (LANL2DZ) basis sets are used. Empirical dispersion correction according to the model by Grimme with Becke–Johnson damping (GD3BJ) is included (49). Calculations are performed for both the gas phase and also including solvation effects using the polarizable continuum model. The orientation-averaged Raman activities were recalculated to account for polarization effects in the nanogap. This is achieved by extracting the polarizability derivatives from the Gaussian output (50).

## SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/7/23/eabg1790/DC1>

## REFERENCES AND NOTES

- J.-W. Park, J. S. Shumaker-Parry, Structural study of citrate layers on gold nanoparticles: Role of intermolecular interactions in stabilizing nanoparticles. *J. Am. Chem. Soc.* **136**, 1907–1921 (2014).
- S. Jiang, K. Yin Win, S. Liu, C. Peng Teng, Y. Zheng, M.-Y. Han, Surface-functionalized nanoparticles for biosensing and imaging-guided therapeutics. *Nanoscale* **5**, 3127–3148 (2013).
- P. D. Howes, R. Chandrawati, M. M. Stevens, Colloidal nanoparticles as advanced biological sensors. *Science* **346**, 1247390 (2014).
- M. Srinivasarao, P. S. Low, Ligand-targeted drug delivery. *Chem. Rev.* **117**, 12133–12164 (2017).
- M.-A. Neouze, U. Schubert, Surface modification and functionalization of metal and metal oxide nanoparticles by organic ligands. *Monatsh Chem.* **139**, 183–195 (2008).
- S. H. Pang, C. A. Schoenbaum, D. K. Schwartz, J. W. Medlin, Directing reaction pathways by catalyst active-site selection using self-assembled monolayers. *Nat. Commun.* **4**, 2448 (2013).
- K. R. Kahsar, D. K. Schwartz, J. W. Medlin, Control of metal catalyst selectivity through specific noncovalent molecular interactions. *J. Am. Chem. Soc.* **136**, 520–526 (2014).
- S. T. Marshall, M. O'Brien, B. Oetter, A. Corpuz, R. M. Richards, D. K. Schwartz, J. W. Medlin, Controlled selectivity for palladium catalysts using self-assembled monolayers. *Nat. Mater.* **9**, 853–858 (2010).
- A. Fedorov, H.-J. Liu, H.-K. Lo, C. Copéret, Silica-supported Cu nanoparticle catalysts for alkyne semihydrogenation: Effect of ligands on rates and selectivity. *J. Am. Chem. Soc.* **138**, 16502–16507 (2016).
- A. Vincent, S. Babu, E. Heckert, J. Dowding, S. M. Hirst, T. M. Inerbaev, W. T. Self, C. M. Reilly, A. E. Masunov, T. S. Rahman, S. Seal, Protonated nanoparticle surface governing ligand tethering and cellular targeting. *ACS Nano* **3**, 1203–1211 (2009).
- A. Wuttig, M. Yaguchi, K. Motobayashi, M. Osawa, Y. Surendranath, Inhibited proton transfer enhances Au-catalyzed CO<sub>2</sub>-to-fuels selectivity. *Proc. Natl. Acad. Sci. U.S.A.* **113**, E4585–E4593 (2016).
- A. S. Varela, M. Kroschel, N. D. Leonard, W. Ju, J. Steinberg, A. Bagger, J. Rossmeisl, P. Strasser, pH effects on the selectivity of the electrocatalytic CO<sub>2</sub> reduction on graphene-embedded Fe–N–C Motifs: Bridging concepts between molecular homogeneous and solid-state heterogeneous catalysis. *ACS Energy Lett.* **3**, 812–817 (2018).
- S. Rojas-Carbonell, K. Artyushkova, A. Serov, C. Santoro, I. Matanovic, P. Atanassov, Effect of pH on the activity of platinum group metal-free catalysts in oxygen reduction reaction. *ACS Catal.* **8**, 3041–3053 (2018).
- A. T. Kuhn, C. Y. Chan, pH changes at near-electrode surfaces. *J. Appl. Electrochem.* **13**, 189–207 (1983).
- J. Ryu, A. Wuttig, Y. Surendranath, Quantification of Interfacial pH variation at molecular length scales using a concurrent non-faradaic reaction. *Angew. Chem. Int. Ed.* **57**, 9300–9304 (2018).
- J. Kunze, I. Burgess, R. Nichols, C. Buess-Herman, J. Lipkowski, Electrochemical evaluation of citrate adsorption on Au(111) and the stability of citrate-reduced gold colloids. *J. Electroanal. Chem.* **599**, 147–159 (2007).
- J. Cure, Y. Coppel, T. Dammak, P. F. Fazzini, A. Mlayah, B. Chaudret, P. Fau, Monitoring the coordination of amine ligands on silver nanoparticles using NMR and SERS. *Langmuir* **31**, 1362–1367 (2015).
- J. D. Kubicki, L. M. Schroeter, M. J. Itoh, B. N. Nguyen, S. E. Apitz, Attenuated total reflectance Fourier-transform infrared spectroscopy of carboxylic acids adsorbed onto mineral surfaces. *Geochim. Cosmochim. Acta* **63**, 2709–2725 (1999).
- J. Owen, The coordination chemistry of nanocrystal surfaces. *Science* **347**, 615–616 (2015).
- M. B. J. Roelfsaers, B. F. Sels, H. Uji-i, F. C. De Schryver, P. A. Jacobs, D. E. De Vos, J. Hofkens, Spatially resolved observation of crystal-face-dependent catalysis by single turnover counting. *Nature* **439**, 572–575 (2006).
- M. R. Decan, S. Impellizzeri, M. L. Marin, J. C. Scaiano, Copper nanoparticle heterogeneous catalytic 'click' cycloaddition confirmed by single-molecule spectroscopy. *Nat. Commun.* **5**, 4612 (2014).
- T. Cordes, S. A. Blum, Opportunities and challenges in single-molecule and single-particle fluorescence microscopy for mechanistic studies of chemical reactions. *Nat. Chem.* **5**, 993–999 (2013).
- B. Doppagne, T. Neuman, R. Soria-Martinez, L. E. P. López, H. Bulou, M. Romeo, S. Berciaud, F. Scheurer, J. Aizpurua, G. Schull, Single-molecule tautomerization tracking through space- and time-resolved fluorescence spectroscopy. *Nat. Nanotechnol.* **15**, 207–211 (2020).
- E. A. Sprague-Klein, B. Negru, L. R. Madison, S. C. Coste, B. K. Rugg, A. M. Felts, M. O. McAnally, M. Banik, V. A. Apkarian, M. R. Wasielewski, M. A. Ratner, T. Seideman, G. C. Schatz, R. P. Van Duyne, Photoinduced plasmon-driven chemistry in *trans*-1,2-bis(4-pyridyl)ethylene gold nanosphere oligomers. *J. Am. Chem. Soc.* **140**, 10583–10592 (2018).
- J.-C. Dong, X.-G. Zhang, V. Briega-Martos, X. Jin, J. Yang, S. Chen, Z.-L. Yang, D.-Y. Wu, J. M. Feliu, C. T. Williams, Z.-Q. Tian, J.-F. Li, In situ Raman spectroscopic evidence for oxygen reduction reaction intermediates at platinum single-crystal surfaces. *Nat. Energy* **4**, 60–67 (2019).
- B. de Nijs, F. Benz, S. J. Barrow, D. O. Sigle, R. Chikkaraddy, A. Palma, C. Carnegie, M. Kamp, R. Sundararaman, P. Narang, O. A. Scherman, J. J. Baumberg, Plasmonic tunnel junctions for single-molecule redox chemistry. *Nat. Commun.* **8**, 994 (2017).
- J. Huang, B. de Nijs, S. Cormier, K. Sokolowski, D.-B. Gryc, C. A. Readman, S. J. Barrow, O. A. Scherman, J. J. Baumberg, Plasmon-induced optical control over dithionite-mediated chemical redox reactions. *Faraday Discuss.* **214**, 455–463 (2019).
- C.-Y. Li, S. Duan, J. Yi, C. Wang, P. M. Radjenovic, Z.-Q. Tian, J.-F. Li, Real-time detection of single-molecule reaction by plasmon-enhanced spectroscopy. *Sci. Adv.* **6**, eaba6012 (2020).
- R. Zhang, Y. Zhang, Z. C. Dong, S. Jiang, C. Zhang, L. G. Chen, L. Zhang, Y. Liao, J. Aizpurua, Y. Luo, J. L. Yang, J. G. Hou, Chemical mapping of a single molecule by plasmon-enhanced Raman scattering. *Nature* **498**, 82–86 (2013).
- A. B. Zrimsek, N. Chiang, M. Mattei, S. Zaleski, M. O. McAnally, C. T. Chapman, A.-I. Henry, G. C. Schatz, R. P. Van Duyne, Single-molecule chemistry with surface- and tip-enhanced Raman spectroscopy. *Chem. Rev.* **117**, 7583–7613 (2017).
- P. G. Etchegoin, E. C. Le Ru, A perspective on single molecule SERS: Current status and future challenges. *Phys. Chem. Phys.* **10**, 6079–6089 (2008).
- H.-K. Choi, W.-H. Park, C.-G. Park, H.-H. Shin, K. S. Lee, Z. H. Kim, Metal-catalyzed chemical reaction of single molecules directly probed by vibrational spectroscopy. *J. Am. Chem. Soc.* **138**, 4673–4684 (2016).
- J. J. Baumberg, J. Aizpurua, M. H. Mikkelsen, D. R. Smith, Extreme nanophotonics from ultrathin metallic gaps. *Nat. Mater.* **18**, 668–678 (2019).
- M. Urbiet, M. Barbry, Y. Zhang, P. Koval, D. Sánchez-Portal, N. Zabala, J. Aizpurua, Atomic-scale lightning rod effect in plasmonic picocavities: A classical view to a quantum effect. *ACS Nano* **12**, 585–595 (2018).
- C. Sauvan, J. P. Hugonin, I. S. Maksymov, P. Lalanne, Theory of the spontaneous optical emission of nanosize photonic and plasmon resonators. *Phys. Rev. Lett.* **110**, 237401 (2013).
- F. Benz, M. K. Schmidt, A. Dreismann, R. Chikkaraddy, Y. Zhang, A. Demetriadou, C. Carnegie, H. Ohadi, B. de Nijs, R. Esteban, J. Aizpurua, J. J. Baumberg, Single-molecule optomechanics in "picocavities". *Science* **354**, 726–729 (2016).
- C. Carnegie, J. Griffiths, B. de Nijs, C. Readman, R. Chikkaraddy, W. M. Deacon, Y. Zhang, I. Szabó, E. Rosta, J. Aizpurua, J. J. Baumberg, Room-temperature optical picocavities below 1 nm<sup>3</sup> accessing single-atom geometries. *J. Phys. Chem. Lett.* **9**, 7146–7151 (2018).
- M. Petri, D. M. Kolb, U. Memmert, H. Meyer, Adsorption of mercaptopropionic acid onto Au(1 1 1): Part I. Adlayer formation, structure and electrochemistry. *Electrochim. Acta* **49**, 175–182 (2003).
- T. Sawaguchi, Y. Sato, F. Mizutani, In situ scanning tunneling microscopy observation of self-assembled monolayers of 3-mercaptopropionic acid on Au(111) in perchloric acid solution. *J. Electroanal. Chem.* **507**, 256–262 (2001).
- A. Xomalis, R. Chikkaraddy, E. Oksenberg, I. Shlesinger, J. Huang, E. C. Garnett, A. F. Koenderink, J. J. Baumberg, Controlling optically driven atomic migration using crystal-facet control in plasmonic nanocavities. *ACS Nano* **14**, 10562–10568 (2020).
- M. Kamp, B. de Nijs, N. Kongsuwan, M. Saba, R. Chikkaraddy, C. A. Readman, W. M. Deacon, J. Griffiths, S. J. Barrow, O. S. Ojambati, D. Wright, J. Huang, O. Hess, O. A. Scherman, J. J. Baumberg, Cascaded nanooptics to probe microsecond atomic-scale phenomena. *Proc. Natl. Acad. Sci. U.S.A.* **117**, 14819–14826 (2020).

42. N. Shambetova, Y. Chen, H. Xu, L. Li, J. Solandt, Y. Zhou, J. Wang, H. Su, H. Brismar, Y. Fu, Acid dissociation of 3-mercaptopropionic acid coated CdSe–CdS/Cd<sub>0.5</sub>Zn<sub>0.5</sub>S/ZnS core–multishell quantum dot and strong ionic interaction with Ca<sup>2+</sup> ion. *J. Phys. Chem. C* **120**, 3519–3529 (2016).
43. J. Griffiths, T. Foldes, B. de Nijs, R. Chikkaraddy, D. Wright, W. M. Deacon, D. Berta, C. Readman, D.-B. Gryns, E. Rosta, J. J. Baumberg, Resolving sub-angstrom ambient motion through vibrational reconstruction spectroscopy. *Under Review* (2020).
44. D.-B. Gryns, B. de Nijs, A. R. Salmon, J. Huang, W. Wang, W.-H. Chen, O. A. Scherman, J. J. Baumberg, Citrate coordination and bridging of gold nanoparticles: The role of gold adatoms in AuNP ageing. *ACS Nano* **14**, 8689–8696 (2020).
45. M. Richard-Lacroix, V. Deckert, Direct molecular-level near-field plasmon and temperature assessment in a single plasmonic hotspot. *Light Sci. Appl.* **9**, 35 (2020).
46. D. Tuschel, Raman spectroscopy and imaging of low-energy phonons. *Spectroscopy* **30**, 18–31 (2015).
47. W. Gao, T. A. Baker, L. Zhou, D. S. Pinnaduwa, E. Kaxiras, C. M. Friend, Chlorine adsorption on Au(111): Chlorine overlayer or surface chloride? *J. Am. Chem. Soc.* **130**, 3560–3565 (2008).
48. M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, G. Scalmani, V. Barone, B. Mennucci, G. A. Petersson, H. Nakatsuji, M. Caricato, X. Li, H. P. Hratchian, A. F. Izmaylov, J. Bloino, G. Zheng, J. L. Sonnenberg, M. Hada, M. Ehara, K. Toyota, *Gaussian 09* (Gaussian Inc., 2013).
49. S. Grimme, S. Ehrlich, L. Goerigk, Effect of the damping function in dispersion corrected density functional theory. *J. Comput. Chem.* **32**, 1456–1465 (2011).
50. E. C. Le Ru, P. G. Etchegoin, in *Principles of Surface-Enhanced Raman Spectroscopy* (Elsevier, 2009), pp. 465–490.

#### Acknowledgments

**Funding:** We acknowledge funding from the EPSRC (Cambridge NanoDTC; EP/L015978/1, EP/L027151/1, EP/S022953/1, EP/P029426/1, and EP/R020965/1) and support from the European Research Council (ERC) under Horizon 2020 Research and Innovation Programme THOR (grant agreement no. 829067) and POSEIDON (grant agreement no. 861950). D.-B.G. acknowledges support from EPSRC Sensor CDT (EP/L015889/1). B.d.N. acknowledges support from the Leverhulme Trust and the Isaac Newton Trust in the form of an ECF. B.d.N. and M.K. acknowledge support from the Winton Programme for the Physics of Sustainability. **Author contributions:** J.H. and J.J.B. devised and performed the experiments. Fabrication was aided by D.-B.G., J.G., B.d.N., and Q.L., and SPARK geometry construction was aided by M.K. DFT simulations were undertaken by D.-B.G., and SERS analysis was performed by J.H., J.G., and B.d.N. All authors contributed to analyzing the data and writing the paper. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials.

Submitted 30 December 2020

Accepted 21 April 2021

Published 4 June 2021

10.1126/sciadv.abg1790

**Citation:** J. Huang, D.-B. Gryns, J. Griffiths, B. de Nijs, M. Kamp, Q. Lin, J. J. Baumberg, Tracking interfacial single-molecule pH and binding dynamics via vibrational spectroscopy. *Sci. Adv.* **7**, eabg1790 (2021).



## Tracking interfacial single-molecule pH and binding dynamics via vibrational spectroscopy

Junyang HuangDavid-Benjamin GrysJack GriffithsBart de NijsMarlous KampQianqi LinJeremy J. Baumberg

*Sci. Adv.*, 7 (23), eabg1790. • DOI: 10.1126/sciadv.abg1790

### View the article online

<https://www.science.org/doi/10.1126/sciadv.abg1790>

### Permissions

<https://www.science.org/help/reprints-and-permissions>

Use of this article is subject to the [Terms of service](#)

---

*Science Advances* (ISSN 2375-2548) is published by the American Association for the Advancement of Science, 1200 New York Avenue NW, Washington, DC 20005. The title *Science Advances* is a registered trademark of AAAS.

Copyright © 2021 The Authors, some rights reserved; exclusive licensee American Association for the Advancement of Science. No claim to original U.S. Government Works. Distributed under a Creative Commons Attribution License 4.0 (CC BY).