

**DEVELOPMENT OF LOW-OVERHEAD SOFT  
ERROR MITIGATION TECHNIQUE FOR  
SAFETY CRITICAL NEURAL NETWORKS  
APPLICATIONS**

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I hereby declare that the work in this thesis is based on my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at Universiti Malaysia Pahang or any other institutions.

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Thesis submitted in fulfillment of the requirements  
for the award of the degree of  
**Doctor of Philosophy**

College of Engineering  
**UNIVERSITI MALAYSIA PAHANG**

**MAY 2021**

## **ACKNOWLEDGEMENTS**

I am grateful and I would like to express my deepest gratitude to God Almighty and whoever supported me to complete this thesis, including my supervisor, attached university, friends, and family. First and foremost, I am thankful to my supervisor Dr. Izzeldin Ibrahim Mohamed Abdelaziz Abdelaziz for his germinal ideas, invaluable guidance, continuous encouragement and unwavering support in making this research possible. He has always impressed me with his outstanding professional conduct, his strong conviction for science, and his belief that PhD. program is only a start of a life-long learning experience. I appreciate his consistent support from the first day I applied to graduate program to these concluding moments.

I am greatly indebted to my brother, Mr. Younis Mohammed Younis for his assistance, encouragement and the sacrifices this made during this research. I really appreciate for his standing by me at all times, may Allah reward you abundantly.

Last but not least, I acknowledge my sincere indebtedness and gratitude to my family for their love, dream and sacrifice throughout my life. I am also grateful to my parent for their sacrifice, patience, and understanding that were inevitable to make this work possible. I cannot find the appropriate words that could properly describe my appreciation for their devotion, support and faith in my ability to attain my goals.

Finally, I thanks Allah for giving me good health, I am grateful to Allah for making me alive to complete this study, I look forward to him to continue to direct me in whatever step I take in life. Praise be to Allah the Lord of the world!!!

## **ABSTRAK**

Deep Neural Networks (DNNs) telah banyak digunakan dalam aplikasi di sektor kesihatan. Aplikasi kesihatan berasaskan DNN adalah sistem keselamatan-kritis yang memerlukan pelaksanaan kebolehpercayaan yang tinggi kerana risiko kematian atau kecederaan manusia yang tinggi sekiranya berlaku kerosakan. Beberapa pemecut DNN digunakan untuk melaksanakan model DNN ini, dan GPU saat ini adalah pemecut DNN yang paling menonjol dan didominasi. Walau bagaimanapun, GPU terdedah kepada kesilapan lembut yang secara dramatik mempengaruhi tingkah laku GPU; Kesalahan tersebut boleh merosakkan nilai data atau operasi logik, yang mengakibatkan Silent Data Corruption (SDC). Penyebaran SDC berlaku dari tahap fizikal ke peringkat aplikasi (SDC yang berlaku pada komponen perkakasan GPU) mengakibatkan salah klasifikasi objek dalam model DNN, yang membawa kepada akibat yang buruk. Pentadbiran Makanan dan Ubat-ubatan (FDA) melaporkan bahawa 1078 kejadian buruk (10.1%) adalah kesilapan yang berlaku secara tidak sengaja (iaitu, kesalahan kecil) yang melibatkan 52 kecederaan dan dua kematian. Beberapa teknik tradisional telah diusulkan untuk melindungi peranti elektronik dari kesalahan lembut dengan replikasi model DNN. Walau bagaimanapun, teknik ini menyebabkan overhead luas, prestasi, dan tenaga, menjadikannya sukar untuk dilaksanakan dalam sistem penjagaan kesihatan yang mempunyai batas waktu yang ketat. Untuk mengatasi masalah ini, kajian ini mengembangkan Teknik Mitigasi Selektif berdasarkan Redundansi Triple Modular standard (S-MTTM-R) untuk menentukan bahagian yang rentan model, membezakan kesalahan Malfunction dan Light-Malfunction. Analisis kerentanan komprehensif dilakukan dengan menggunakan penyuntik kesalahan SASSIFI pada model CNN AlexNet dan DenseNet201: lapisan, kernel, dan arahan untuk menunjukkan ketahanan kedua-dua model dan mengenal pasti bahagian yang paling rentan dan mengeraskannya dengan menyuntikkannya apabila dilaksanakan pada GPU NVIDIA. Hasil eksperimen menunjukkan bahawa S-MTTM-R mencapai peningkatan yang ketara dalam penyamaran ralat. No-Malfunction telah dinaiktaraf untuk AlexNet dari 54.90%, 67.85%, dan 59.36% menjadi 62.80%, 82.10%, dan 80.76% dalam tiga mod RF, IOA, dan IOV. Untuk DenseNet, No-Malfunction telah dipertingkatkan dari 43.70%, 67.70%, dan 54.68% menjadi 59.90%, 84.75%, dan 83.07% dalam tiga mod RF, IOA, dan IOV. S-MTTM-R memainkan peranan penting dengan menurunkan peratusan ralat dalam kes salah klasifikasi (Malfunction) dari 3.70% menjadi 0.38% dan 5.23% menjadi 0.23% masing-masing untuk AlexNet dan DenseNet. Hasil analisis prestasi menunjukkan bahawa S-MTTM-R mencapai overhead yang lebih rendah berbanding dengan teknik perlindungan yang terkenal: Algorithm-Based Fault Tolerance (ABFT), Double Modular Redundancy (DMR), and Triple Modular Redundancy (TMR). Berdasarkan hasil ini, kajian ini menunjukkan bukti kuat bahawa S-MTTM-R yang dikembangkan berhasil mengurangkan kesalahan lembut bagi model DNN pada GPU dengan overhead rendah dalam tenaga, prestasi, dan kawasan yang menunjukkan peningkatan yang luar biasa dengan kebolehpercayaan model dalam domain rawatan kesihatan.

## ABSTRACT

Deep Neural Networks (DNNs) have been widely applied in healthcare applications. DNN-based healthcare applications are safety-critical systems that require high-reliability implementation due to a high risk of human death or injury in case of malfunction. Several DNN accelerators are used to execute these DNN models, and GPUs are currently the most prominent and the dominated DNN accelerators. However, GPUs are prone to soft errors that dramatically impact the GPU behaviors; such error may corrupt data values or logic operations, which result in Silent Data Corruption (SDC). The SDC propagates from the physical level to the application level (SDC that occurs in hardware GPUs' components) results in misclassification of objects in DNN models, leading to disastrous consequences. Food and Drug Administration (FDA) reported that 1078 of the adverse events (10.1%) were unintended errors (i.e., soft errors) encountered, including 52 injuries and two deaths. Several traditional techniques have been proposed to protect electronic devices from soft errors by replicating the DNN models. However, these techniques cause significant overheads of area, performance, and energy, making them challenging to implement in healthcare systems that have strict deadlines. To address this issue, this study developed a Selective Mitigation Technique based on the standard Triple Modular Redundancy (S-MTTM-R) to determine the model's vulnerable parts, distinguishing Malfunction and Light-Malfunction errors. A comprehensive vulnerability analysis was performed using a SASSIFI fault injector at the CNN AlexNet and DenseNet201 models: layers, kernels, and instructions to show both models' resilience and identify the most vulnerable portions and harden them by injecting them while implemented on NVIDIA's GPUs. The experimental results showed that S-MTTM-R achieved a significant improvement in error masking. No-Malfunction have been improved from 54.90%, 67.85%, and 59.36% to 62.80%, 82.10%, and 80.76% in the three modes RF, IOA, and IOV, respectively for AlexNet. For DenseNet, No-Malfunction have been improved from 43.70%, 67.70%, and 54.68% to 59.90%, 84.75%, and 83.07% in the three modes RF, IOA, and IOV, respectively. Importantly, S-MTTM-R decreased the percentage of errors that case misclassification (Malfunction) from 3.70% to 0.38% and 5.23% to 0.23%, for AlexNet and DenseNet, respectively. The performance analysis results showed that the S-MTTM-R achieved lower overhead compared to the well-known protection techniques: Algorithm-Based Fault Tolerance (ABFT), Double Modular Redundancy (DMR), and Triple Modular Redundancy (TMR). In light of these results, the study revealed strong evidence that the developed S-MTTM-R was successfully mitigated the soft errors for the DNNs model on GPUs with low-overheads in energy, performance, and area indicated a remarkable improvement in the healthcare domains' model reliability.

## **TABLE OF CONTENT**

### **DECLARATION**

### **TITLE PAGE**

<b>ACKNOWLEDGEMENTS</b>	ii
-------------------------	----

<b>ABSTRAK</b>	iii
----------------	-----

<b>ABSTRACT</b>	iv
-----------------	----

<b>TABLE OF CONTENT</b>	v
-------------------------	---

<b>LIST OF TABLES</b>	x
-----------------------	---

<b>LIST OF FIGURES</b>	xi
------------------------	----

<b>LIST OF ABBREVIATIONS</b>	xv
------------------------------	----

<b>LIST OF APPENDICES</b>	xvi
---------------------------	-----

<b>CHAPTER 1 INTRODUCTION</b>	<b>17</b>
-------------------------------	-----------

1.1 Introduction	17
------------------	----

1.2 Research Background	19
-------------------------	----

1.3 Problem Statement	20
-----------------------	----

1.4 Research Objectives	22
-------------------------	----

1.5 Research Questions	23
------------------------	----

1.6 Research Scope	23
--------------------	----

1.7 Significance of the Research	23
----------------------------------	----

1.8 Thesis Organization	24
-------------------------	----

<b>CHAPTER 2 LITERATURE REVIEW</b>	<b>26</b>
------------------------------------	-----------

2.1 Introduction	26
------------------	----

2.2 Background of Deep Learning	29
---------------------------------	----

2.2.1	Learning Mechanism for the Deep Learning	30
2.3	DNNs Implementation Platforms	31
2.3.1	TensorFlow	31
2.3.2	TORCH	32
2.3.3	Convolutional Architecture for Fast Feature Embedding	32
2.3.4	Keras	32
2.3.5	Darknet	33
2.3.6	Platforms Comparison	33
2.4	Convolutional Neural Networks (CNNs)	34
2.4.1	Convolution Layer	37
2.4.2	Pooling Layer	38
2.4.3	Non-linear Layers	39
2.4.4	Fully-Connected Layer	39
2.4.5	Softmax Function	41
2.5	Deep Neural Networks Architectures for Prediction in Healthcare Applications	41
2.5.1	AlexNet	42
2.5.2	Visual Geometry Group Network (VGGNET)	43
2.5.3	GoogLeNet	43
2.5.4	Residual Network (ResNet)	44
2.5.5	Dense Convolutional Network (DenseNet201)	45
2.6	DNN Accelerators	48
2.6.1	Application-Specific Integrated Circuit	50
2.6.2	Field Programmable Gate Arrays	50
2.6.3	Digital Signal Processing	51

2.6.4	System on a Chip	52
2.6.5	Graphics Processing Units	53
2.7	Safety-Critical Applications	59
2.7.1	Reliability of Healthcare Applications	59
2.8	Hard Errors and Soft Errors	65
2.8.1	Hard Errors	66
2.8.2	Soft Errors	68
2.9	Soft Errors Effects in GPUs and Propagate in DNN Applications	71
2.10	Fault Injection Methods	73
2.10.1	Hardware Fault Injection	74
2.10.2	Software Fault Injection	75
2.11	Soft Error Mitigation Techniques (SEMT)	82
2.11.1	Dual Modular Redundancy	82
2.11.2	Triple-Modular Redundancy	83
2.11.3	Algorithm-Based Fault Tolerant (ABFT)	86
2.12	Reliability Evaluation of the DNN Applications	87
2.13	Related Work	88
2.14	Chapter Summary	99

<b>CHAPTER 3 METHODOLOGY</b>	<b>100</b>	
3.1	Introduction	100
3.2	Literature Review and Preliminary Phase	102
3.2.3	Determine the Objectives	103
3.3	Design and Implementation Phase	103
3.3.1	The Selection of the GPU	104

3.3.2 Deep Neural Networks (DNN)	105
3.3.3 Selection of Fault Injection Method	107
3.3.4 SASSIFI GPU Fault Injector	107
3.3.5 Error Model	109
3.3.6 Development of Selective Mitigation Technique Based on Triple Modular Redundancy (S-MTTM-R)	110
3.4 Evaluation Phase	111
3.5 Chapter Summary	112
<b>CHAPTER 4 ERROR PROPAGATION IN DNN ACCELERATORS AND SAFETY-CRITICAL APPLICATIONS</b>	<b>113</b>
4.1 Introduction	113
4.2 Fault Injection Results	113
4.2.1 Kernel Vulnerability Analysis	114
4.2.2 Instruction Group's Vulnerability Analysis	120
4.2.3 Layer Vulnerability Analysis	132
4.3 Chapter Summary	152
<b>CHAPTER 5 SELECTIVE MITIGATION TECHNIQUE BASED ON TRIPLE MODULAR REDUNDANCY</b>	<b>153</b>
5.1 Introduction	153
5.2 Proposed A Selective Mitigation Technique Based on Triple Modular Redundancy (S-MTTM-R)	154
5.2.1 Fault Injection Process	154
5.2.2 Vulnerability Parts Identification	156
5.2.3 S-MTTM-R	158

5.3	Implementation	161
5.4	Result of S-MTTM-R	161
5.4.1	Mitigating Kernel's Vulnerability	161
5.4.2	Mitigating Instruction Group's Vulnerability	166
5.4.3	Mitigating Layer's Vulnerability	174
5.5	Proposed S-MTTM-R Technique Versus N-Modular Redundancy	188
5.5.1	Overhead	192
5.6	Chapter Summary	195
<b>CHAPTER 6 CONCLUSIONS AND FUTURE WORKS</b>		<b>196</b>
6.1	Introduction	196
6.2	Contributions	197
6.3	Summary of Thesis	198
6.4	Future Work	201
<b>REFERENCES</b>		<b>203</b>
<b>APPENDICES</b>		<b>220</b>

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