



Hair Testing: Opportunities & Challenges

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19 -22 September 2022, London

Beauty for a New Age

Session 4: Major Advances in Hair Science and Technology

Hair Testing: Opportunities & Challenges

A - very - Personal View

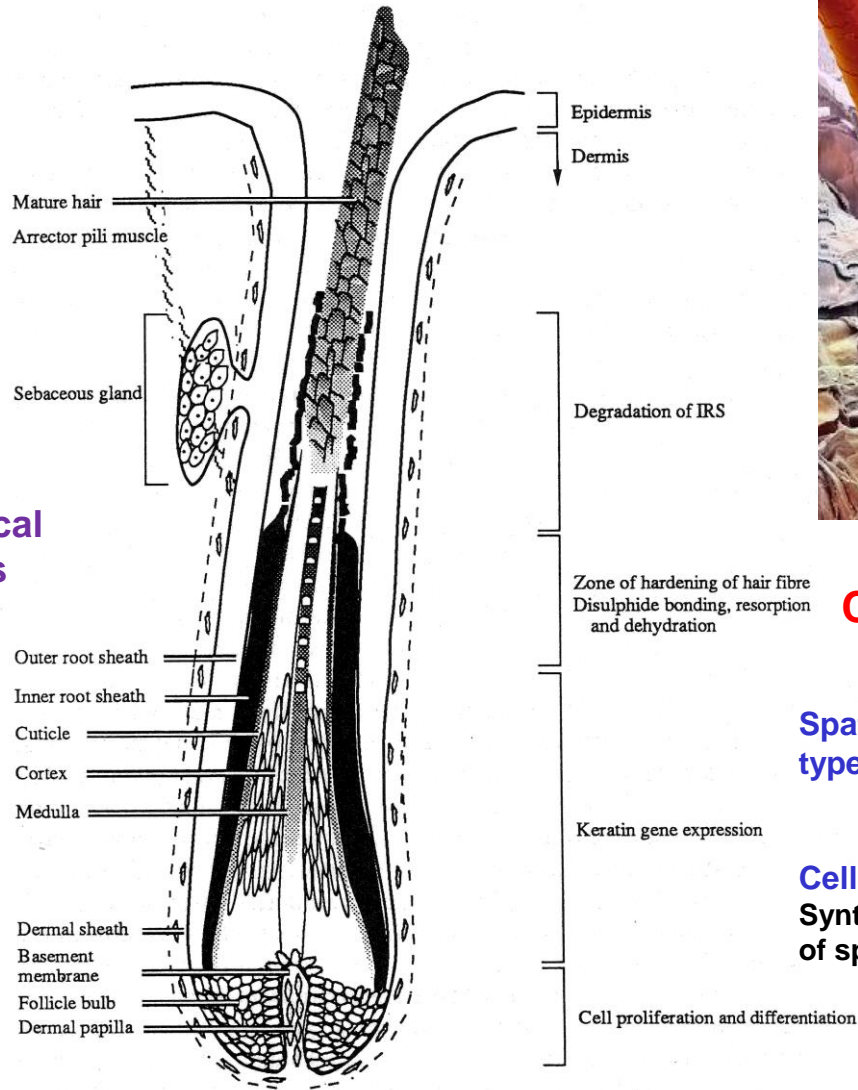
Franz J. Wortmann

School of Natural Sciences, Department of Materials

The University of Manchester, UK

F & GW – Consultants, Aachen, GER

Material I: The Two States of Hair



Physics & Engineering

Biomaterial

Cell death

Spatial separation of cell types.

Cell Differentiation
Synthesis & self-assembly
of specific proteins



Tissue

Life Sciences

BC Powell & GE Rogers in 'Formation and Structure of Human Hair', P Jollès, H Zahn, H Hoecker (eds), Birkhaeuser 1997, p.59-148

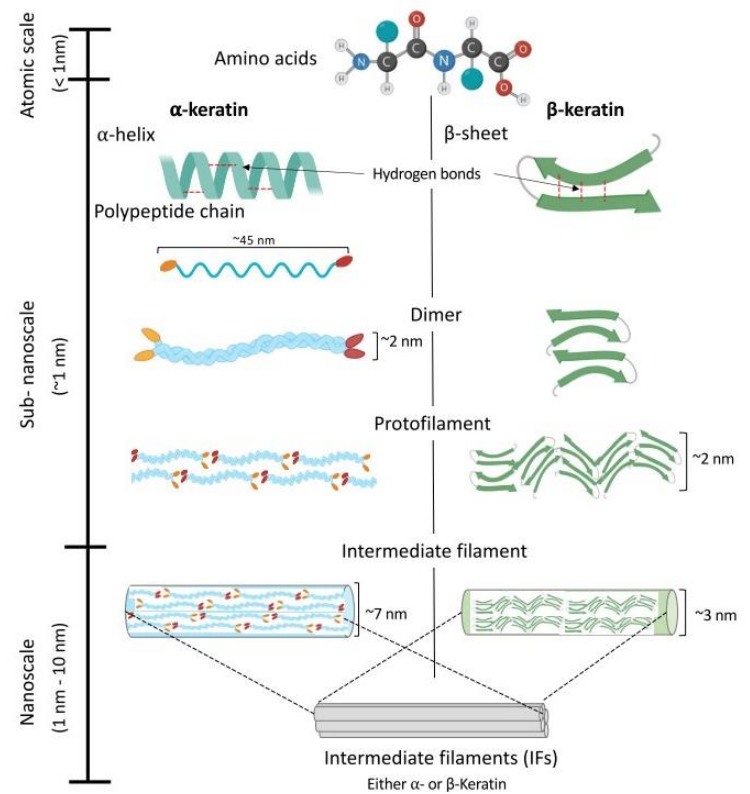
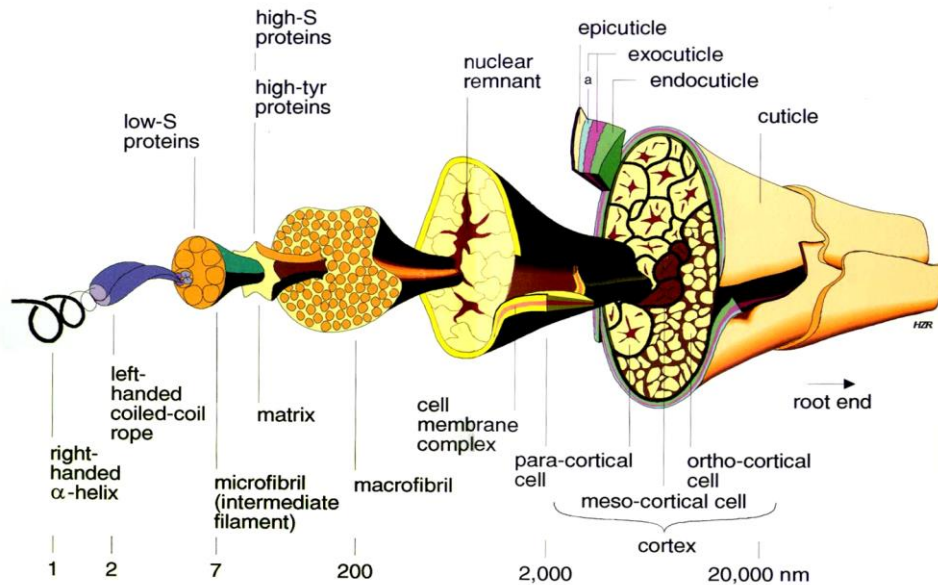
<http://naturalnigerian.com/2012/03/hair-101-the-scalp-get-this-right-and-your-hair-will-grow/>

Material II: Fibre Structure

Hair cosmetics deals with the mature, 'dead' hair shaft.

The hair shaft is a very complex biological composite material.

The general structural features are consistent across animal hairs.

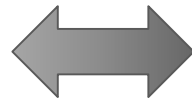
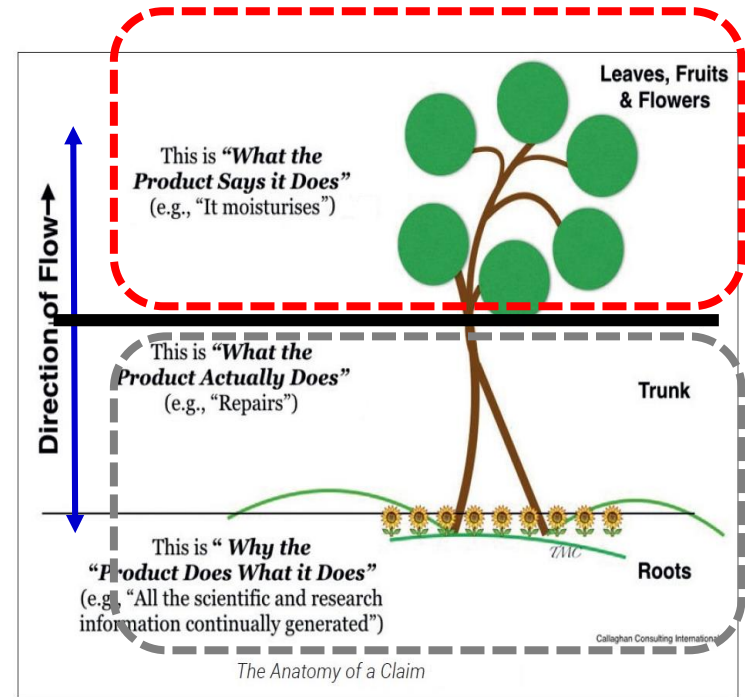


Lazarus, B. S. et al, *Science*, 24(8), 102798 (2021).

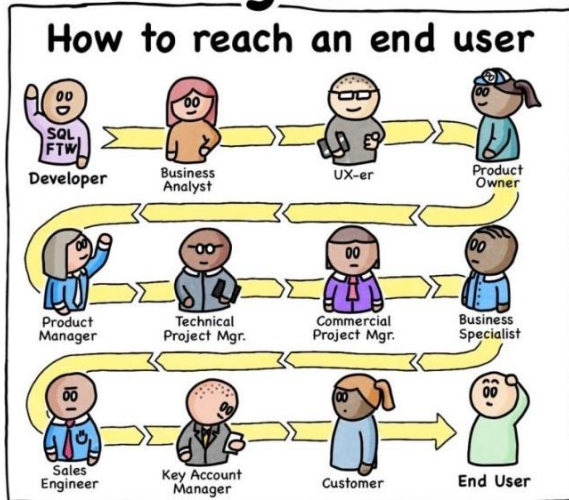
Feughelman: Merino Wool: Feughelman 1997 adapted from Fraser 1981



Anatomy of a claim

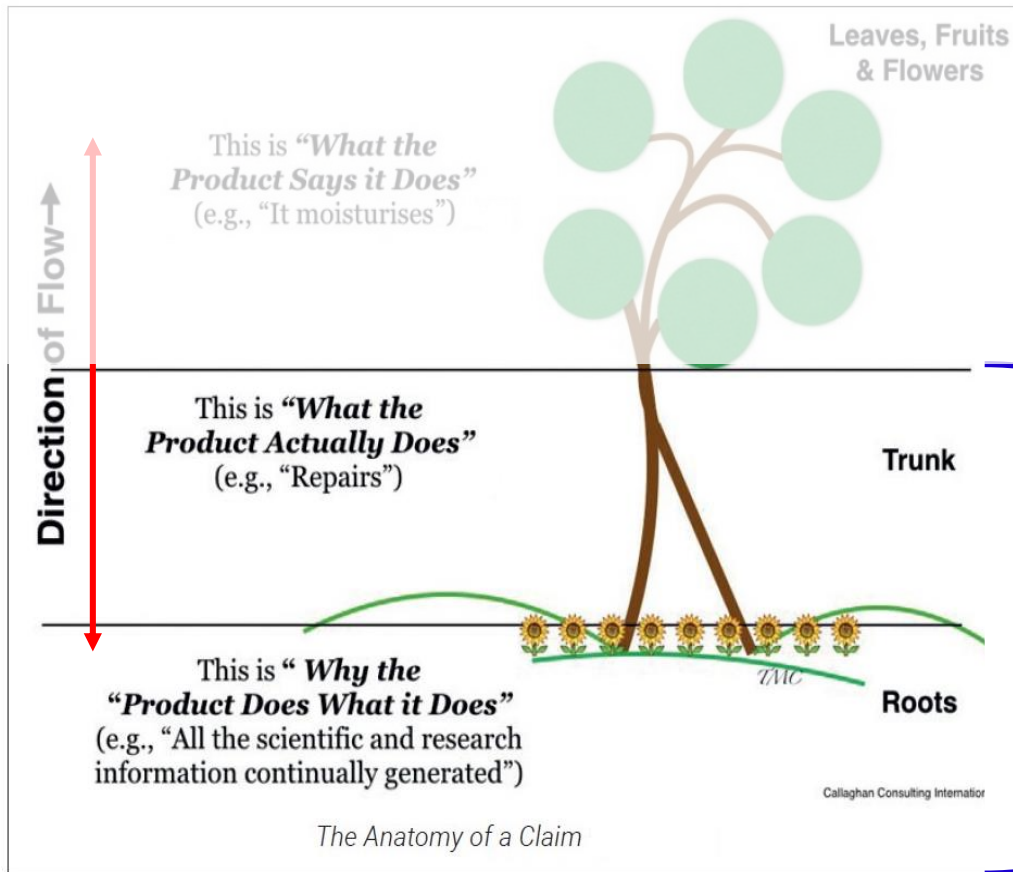


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Created by Luxshan Ratnaravi & Mikkel Noe-Nygaard

Adapted from: T M Callaghan, HPC Today 17(3), 11 (2022)

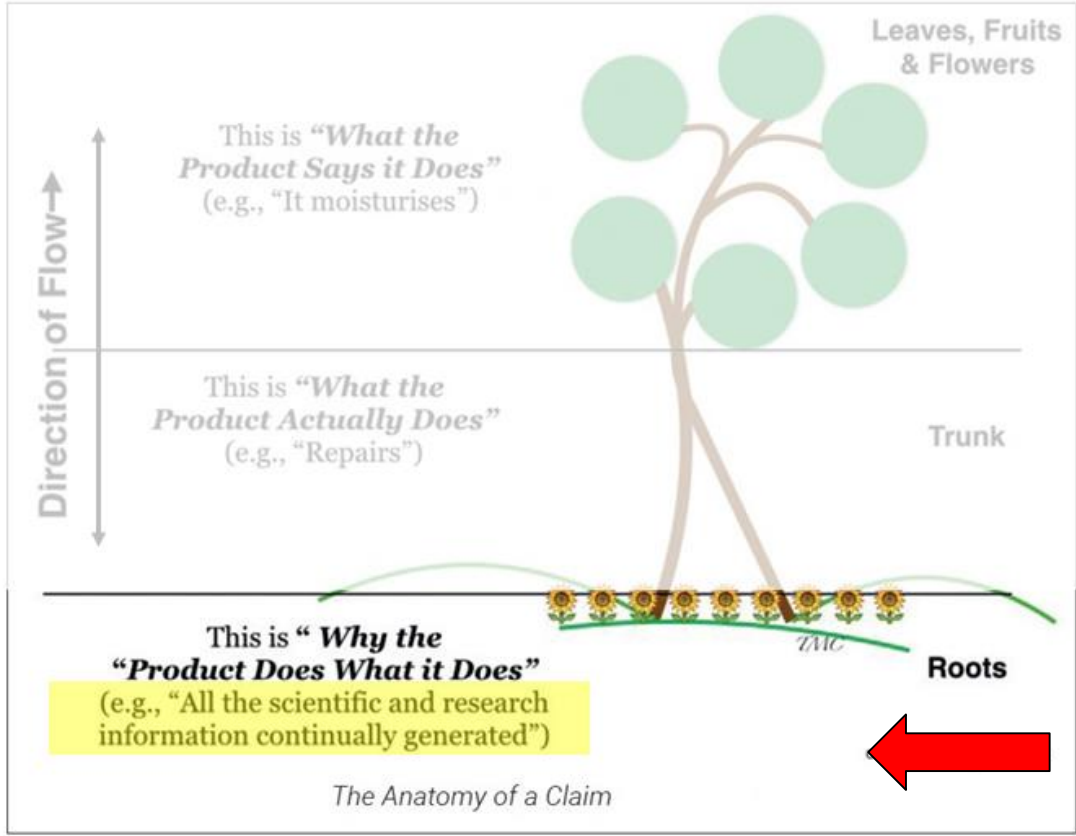


Adapted from: T M Callaghan, HPC Today 17(3), 11 (2022)

Laboratory Tests for Single Hairs & Tresses: An incomplete list

- Microscopy: Light, SEM, TEM
- Optical Testing
- Atomic Force Microscopy
- Spectroscopy: FTIR, Raman, NMR
- X-ray: Diffraction, Tomography
- Chemical & Protein Analysis
- Mechanical & Thermal testing
- Surface Analysis & Wettability
- Sorption, Diffusion & Penetration Studies
- Molecular & Statistical modelling
- etc, etc, etc**

#1 Fundamental Investigations

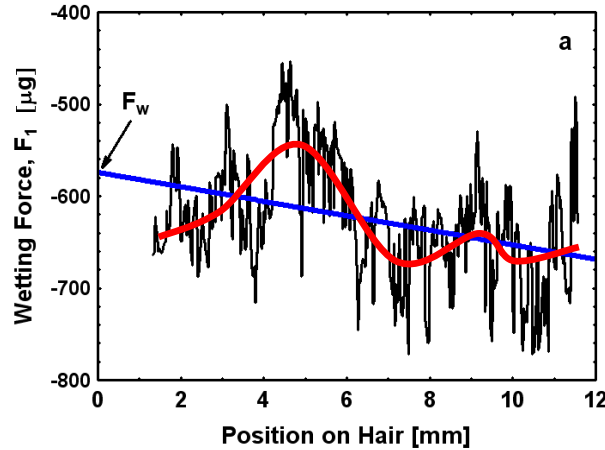
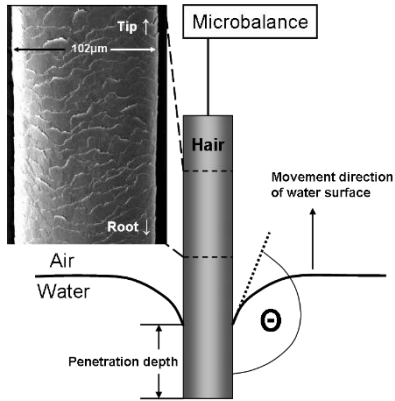


Adapted from: T M Callaghan, HPC Today 17(3), 11 (2022)

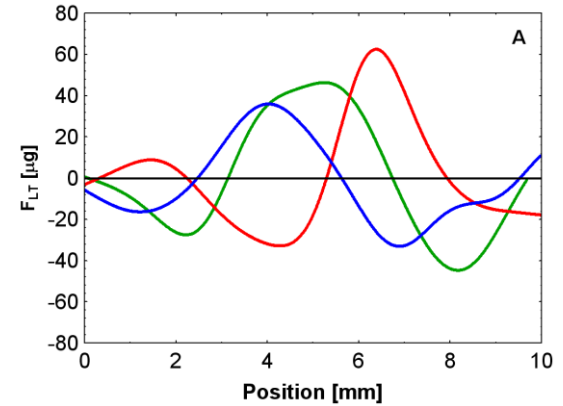
- ### 2 case studies
- #1 Wettability of the hair surface, using the Wilhelmy method
 - #2 Why is hair curly?

#1.1 Wettability of the Hair Surface

Wortmann, F. J., Wortmann, G., & Schulze zur Wiesche, E. (2010). *Langmuir*, 26(10), 7365-7369.

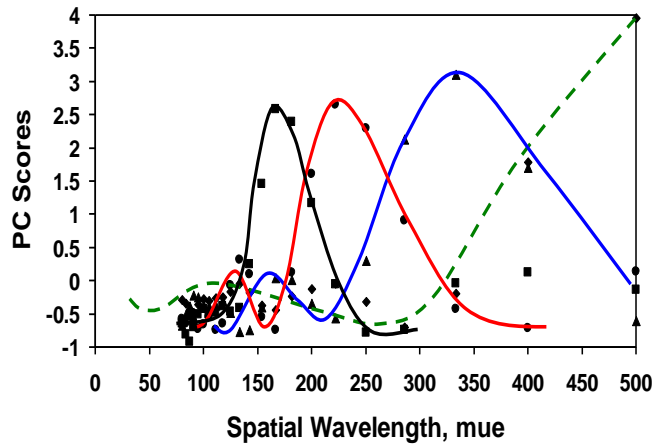


Primary data & baselines

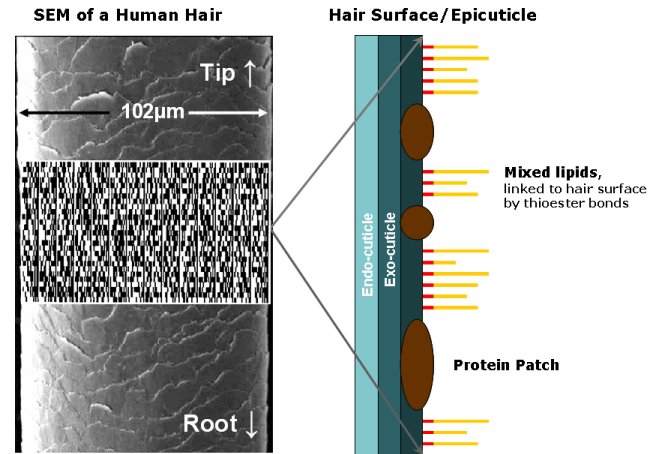


Characteristic baselines for young women

The principle of the Wilhelmy method

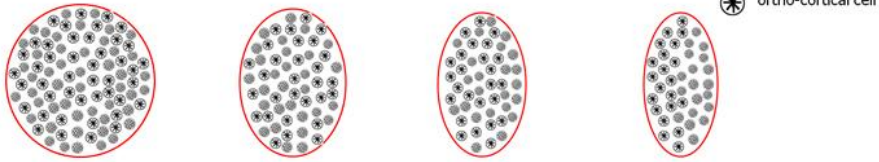


Fourier & Principal Components Analysis: Sleep & wake contributions to the daily changes of surface energy



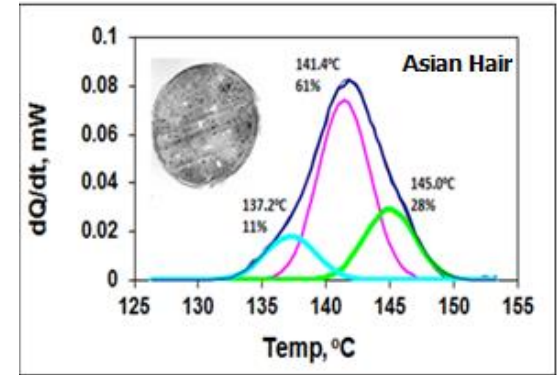
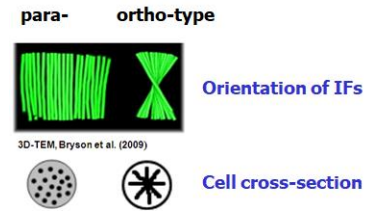
Concept for the dynamics of the surface composition of hair

#1.2 Why is hair curly?

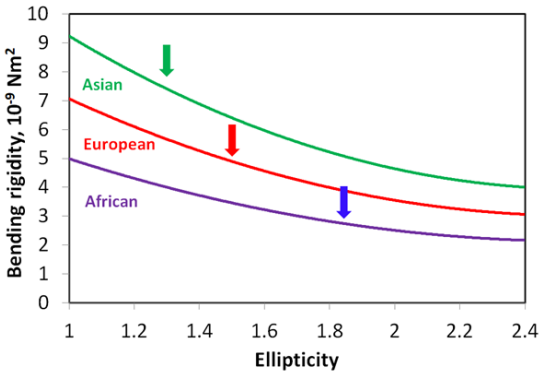


No or Low ellipticity & random distribution of cell types: **Straight Hair**
 Medium ellipticity & biased distribution: **Slight/medium curl**
 High ellipticity & bilateral distribution: **Strong curl**

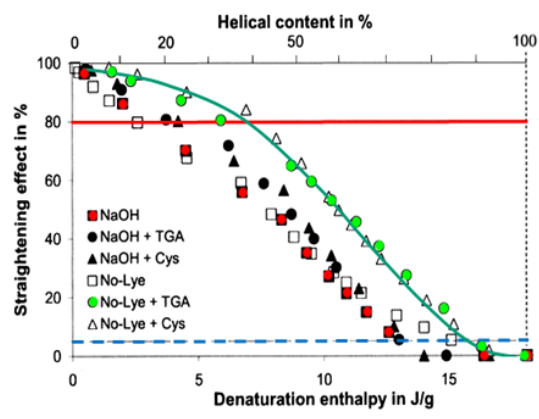
- 1 Curl formation depends, primarily, on the lateral segregation of ortho & para-cortical cells.
- 2 There is no role of follicle shape or mechanical processes.



o/p-cell fractions are essentially equal across hair ethnicities



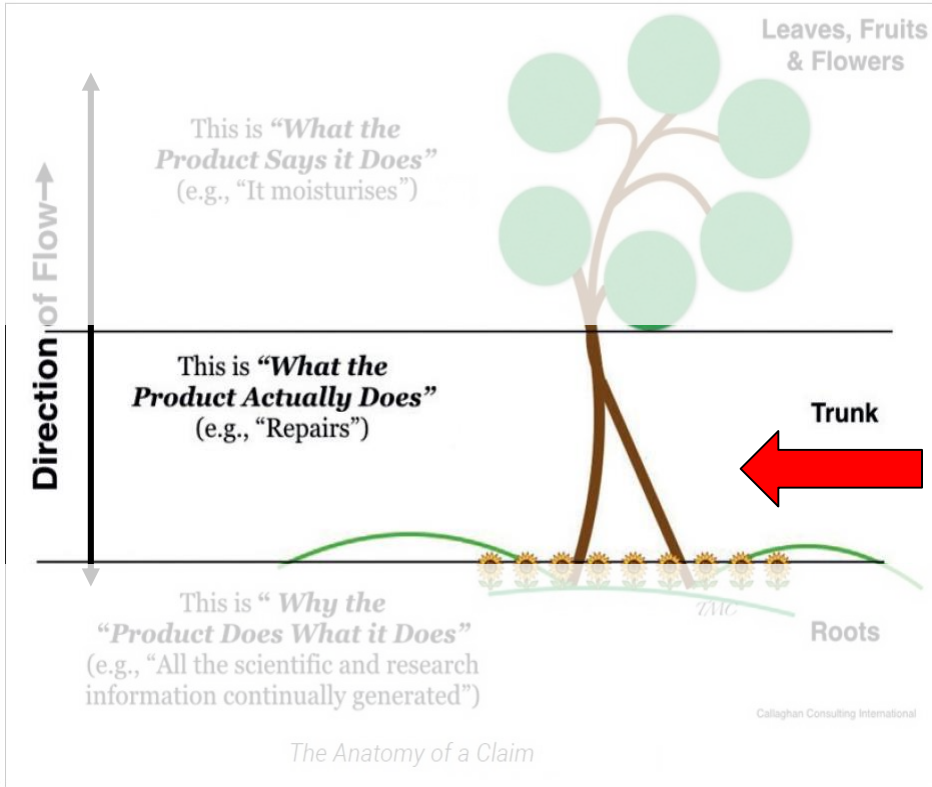
Ellipticity plays a strong synergistic role for curl formation



IFs form the scaffold for a natural curl

Wortmann, F & G (2018). *EXD* 27(3), 292-294.
 Wortmann, F & G, Sripho, T. (2020). *EXD* 29(3), 366-372.
 Wortmann, F; Quadflieg, J; Wortmann, G (2022). *EXD* 31(2), 257-258.

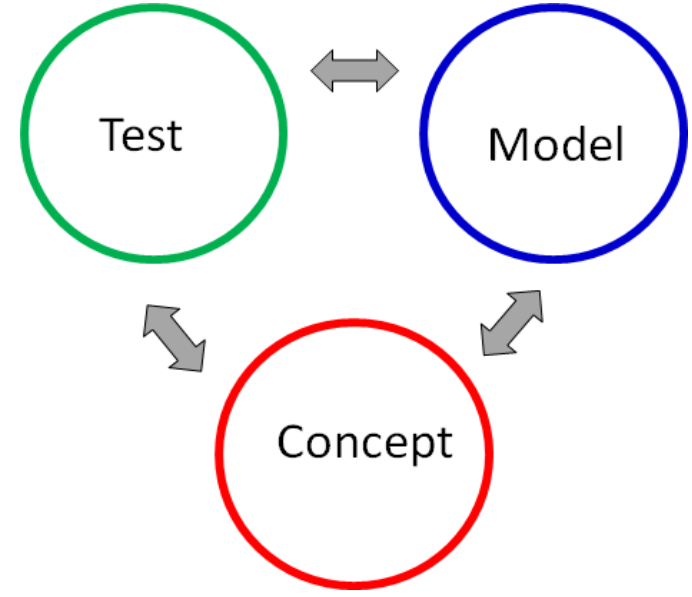
#2 The Focus of Usual Testing



The successful implementation of the scheme requires the synergistic interaction of three fundamental aspects of hair testing.

This may be (usually) a top-down or (sometimes) a bottoms-up approach

Formalization



However – what comes first? Claim or Test?

Chicken or Egg?



Testing Hair & its `Environment`



Test

General requirement for a test:

Accuracy & Precision:

Without precision data no comparative statement, eg between Sample A vs B, can validly be made.

Case: Fatigue-failure Testing.



Model

Lack of data precision – if unavoidable - is not necessarily a disadvantage, if you got a good structural / physical / statistical model.

Case: Hair Torsion



Concept

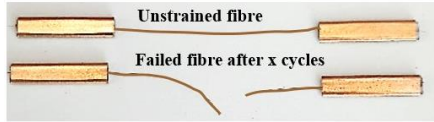
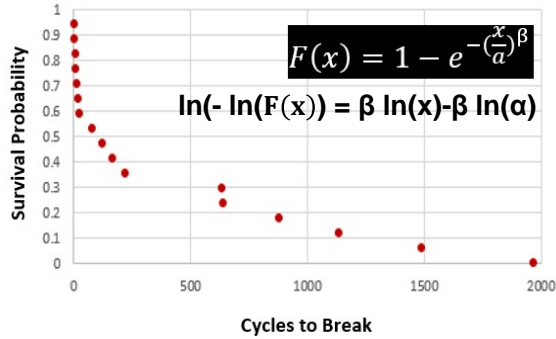
Established academic and commercial concepts usually provide the context for testing. However, testing and data analysis may also lead to the need to reconsider such concepts. This provides challenges as well opportunities.

Case: pH-adjustment of Hair

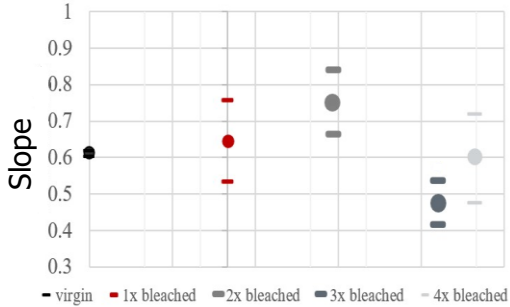
#2.1 Constant Strain Fatigue-Failure testing



Survival Plot



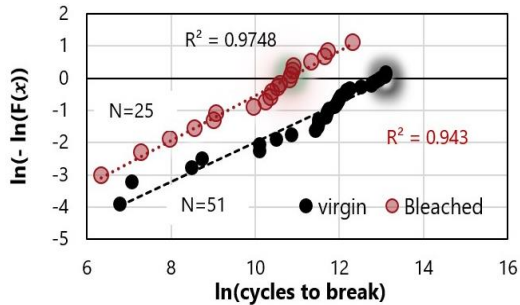
Repeated Bleaches



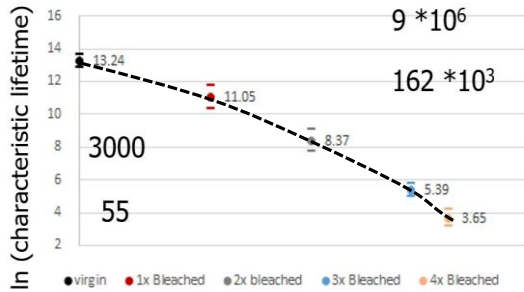
Weibull model: Survival probability follows a simple exponential decay

Failure kinetics/mechanism essentially does not change with repeated oxidations.

Weibull cumulative survival plot for virgin and oxidized hair fibers at 5% strain



The properties of a linear regression enable the determination of the confidence limits of the parameters & thus the statistical comparison of sample performances.



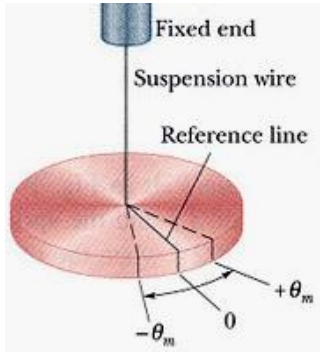
Cycles 4E+2 3E+3 2.2E+4 1.6E+5 1.2E+6 8E+6

ln(characteristic lifetime) follows simple (1st order?) kinetics. Precision constant.

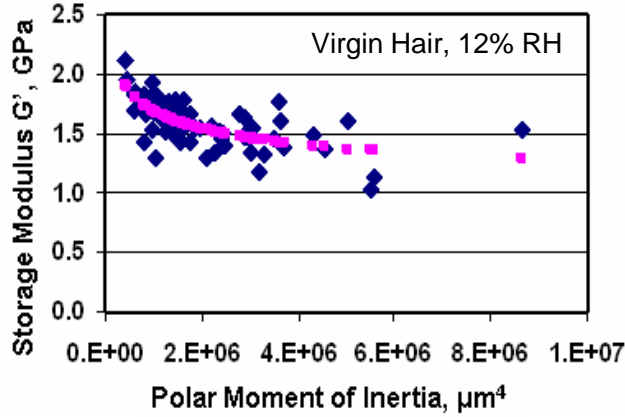
Model approach enables

- dealing with incomplete data
- reduced test times & sample sizes
- enables valid comparison of samples
- ❖ enables experimental design

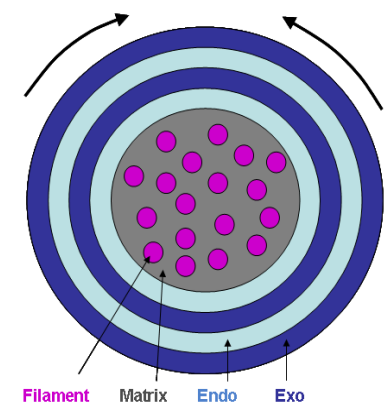
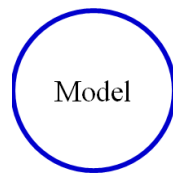
#2.2 Torsional Testing of Human Hair



The torsional pendulum

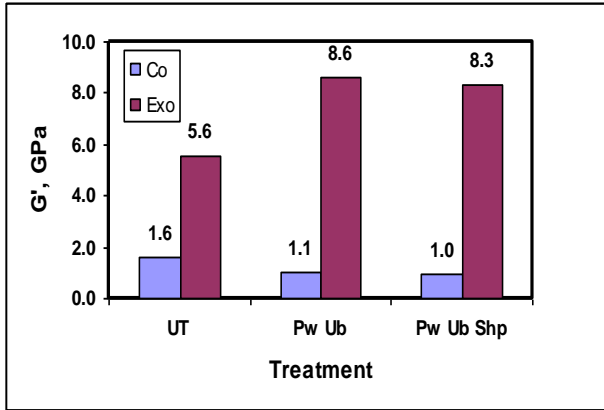


Torsional modulus changes with PMI. G' is not a material constant.

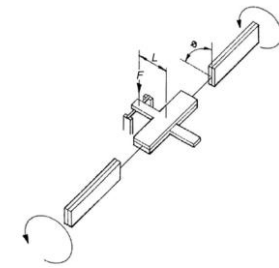
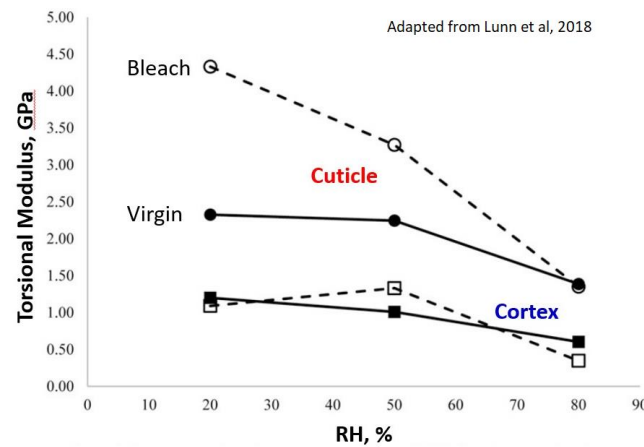


$$G^* = (G^*_{co} I_{co} + G^*_{cu} I_{cu})/I$$

co = cortex
cu = cuticle



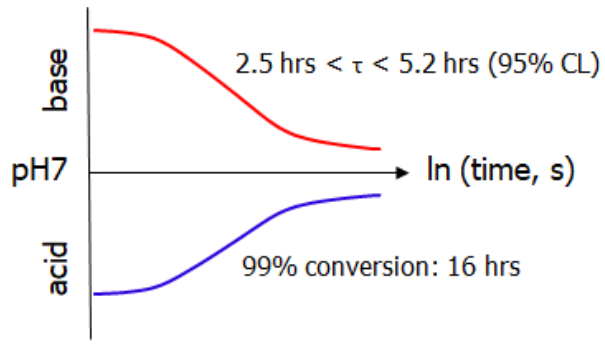
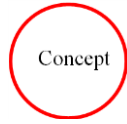
Torsional modulus contributions of cortex and cuticle can be separated.



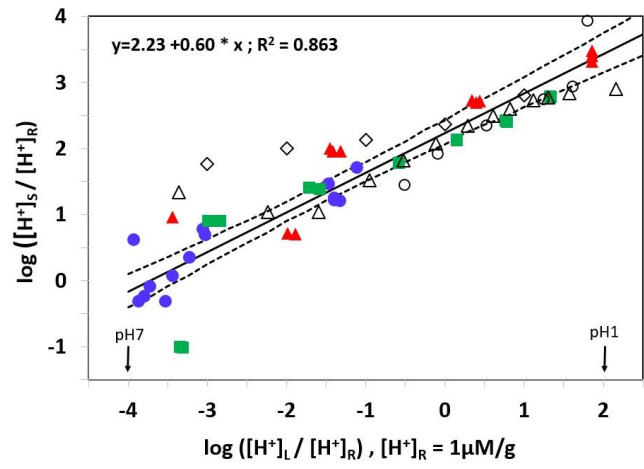
Torsional moduli of cortex and cuticle change with humidity with a strong effect of chemical pre-treatment.

Wortmann, F & G et al (2010) TRI Conf; Wortmann, F & G. et al (2014). *J Cosmet Sci*, 65(2), 59-68
Lunn et al (2018). *J Cosmet Sci*, 69(5), 383-396.

#2.3 pH-Adjustment of Human Hair (HCl & NaOH)

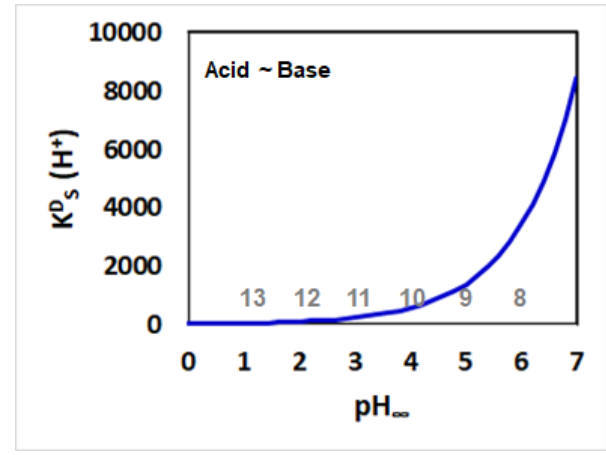
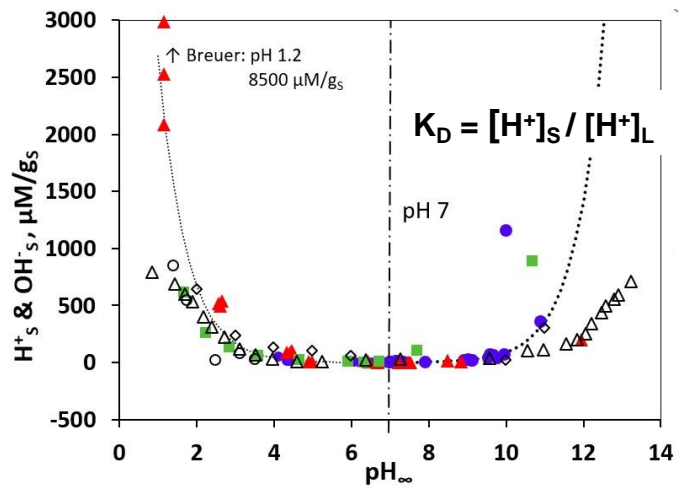


Acid : $pH(t) = pH_{\infty} - (pH_0 - pH_{\infty}) \exp(-t/\tau)$
 Alkali : $pH(t) = pH_{\infty} + (pH_0 - pH_{\infty}) \exp(-t/\tau)$



pH-adjustment follows essentially equal 1st-order kinetics for acid and base.

H⁺ and OH⁻ -absorption (for the specific conditions) show the same two-parameter physi-sorption isotherm (Freundlich)



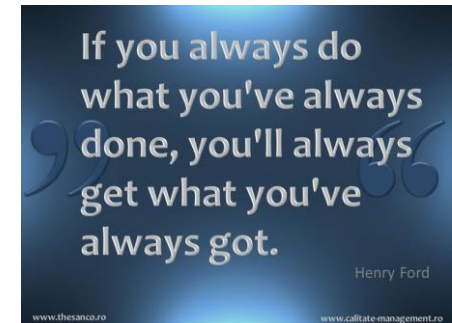
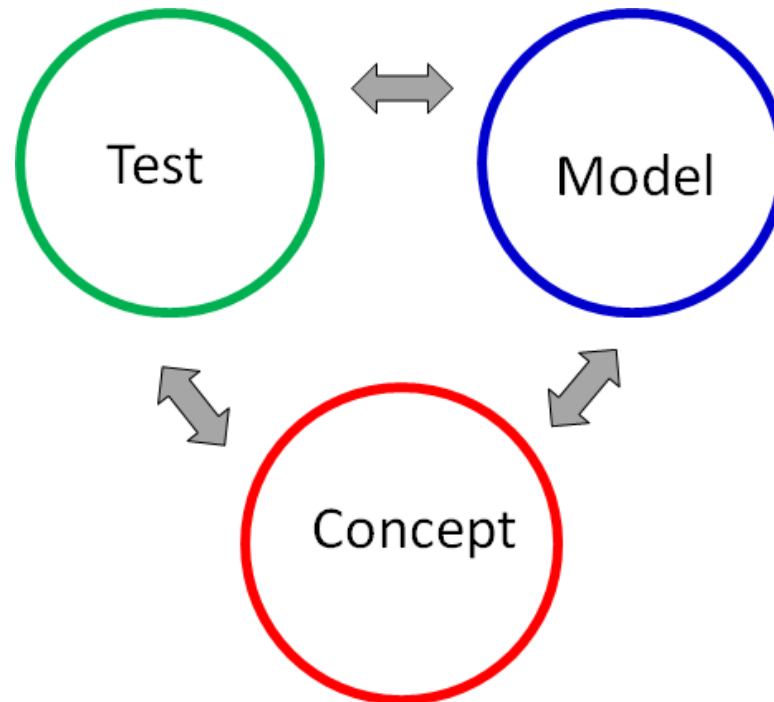
Experimental & literature data (hair & wool) follow the expected U- shaped curve. Equilibrium uptake in experimental pH-range is questionable.

The absorption for H⁺ and OH⁻ is especially biased towards hair in the mid pH-range. No special pHs or roles of specific amino acids. No diffusion barrier.

Conclusions

Test: Accuracy & Precision
Experimental Design
Minimal & high-throughput testing

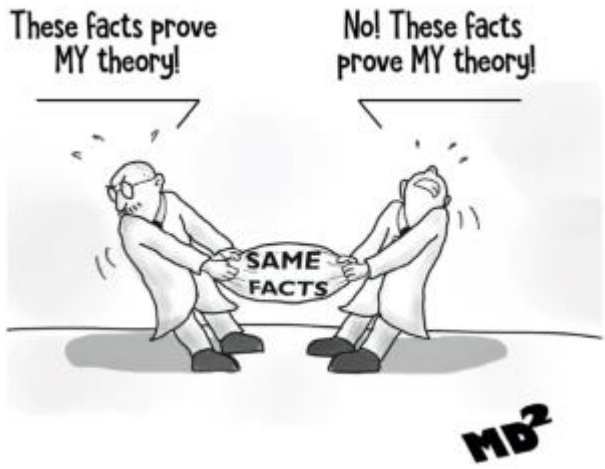
Structural & physical / mathematical
models as basis for optimum yield of
information from testing.



Which fundamental or strategically important **concepts** are supported or challenged by the data?

Epilogue: Conflict of Goals

Over- and under-interpretation of tests/models/concepts: Challenges & Opportunities



CORE PRINCIPLES IN RESEARCH



OCCAM'S RAZOR

"WHEN FACED WITH TWO POSSIBLE EXPLANATIONS, THE SIMPLER OF THE TWO IS THE ONE MOST LIKELY TO BE TRUE."

Researcher



OCCAM'S PROFESSOR

"WHEN FACED WITH TWO POSSIBLE WAYS OF DOING SOMETHING, THE MORE COMPLICATED ONE IS THE ONE YOUR PROFESSOR WILL MOST LIKELY ASK YOU TO DO."

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Acknowledgements

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Celina Jones

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Jutta Quadflieg (DWI, 2003)

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Natalja Focht (UoM/Henkel, 2016)
Katie Hardie (UoM, 2017)
Thomas Davies (UoM, 2019)
Therakanya Sripheo (UoM, 2019)

Leila Berriche (Uni Hamburg/Henkel)

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Thank you for your attention!

