

CAD-LT score effectively predicts risk of significant coronary artery disease in liver transplant candidates

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ABSTRACT

Background and Aims

Patients with cirrhosis and significant coronary artery disease (CAD) are at risk for peri-liver transplantation (LT) cardiac events. The Coronary Artery Disease in Liver Transplantation (CAD-LT) score and algorithm aim to predict the risk of significant CAD in LT candidates and guide pre-LT cardiac evaluation.

Methods

Patients who underwent pre-LT evaluation at Indiana University (2010-2019) were studied retrospectively. Stress echocardiography (SE) and cardiac catheterization (CATH) reports were reviewed. CATH was performed for predefined CAD risk factors, irrespective of normal SE. Significant CAD was defined as CAD requiring percutaneous or surgical intervention. A multivariate regression model was constructed to assess risk factors. Receiver Operating Curve analysis was used to compute a point-based risk score and a stratified testing algorithm.

Results

A total of 1771 pre-LT patients underwent cardiac evaluation, including results from 1634 SE and 1266 CATH. Risk-adjusted predictors of significant CAD at CATH were older age (adjusted odds ratio 1.05 [95% confidence interval 1.03-1.08]), male gender (1.69 [1.16-2.50]), diabetes (1.57 [1.12-2.22]), hypertension (1.61 [1.14-2.28]), tobacco use (pack years) (1.01 [1.00-1.02]), family history of CAD (1.63 [1.16-2.28]), and personal history of CAD (6.55 [4.33-9.90]). The CAD-LT score stratified significant CAD risk as low ($\leq 2\%$), intermediate (3% to 9%), and high ($\geq 10\%$). Among patients who underwent CATH, a risk-based testing algorithm (Low: no testing;

Intermediate: non-invasive testing vs. CATH; High: CATH) would have identified 97% of all significant CAD and potentially avoided unnecessary testing (669 SE [57%] and 561 CATH [44%]).

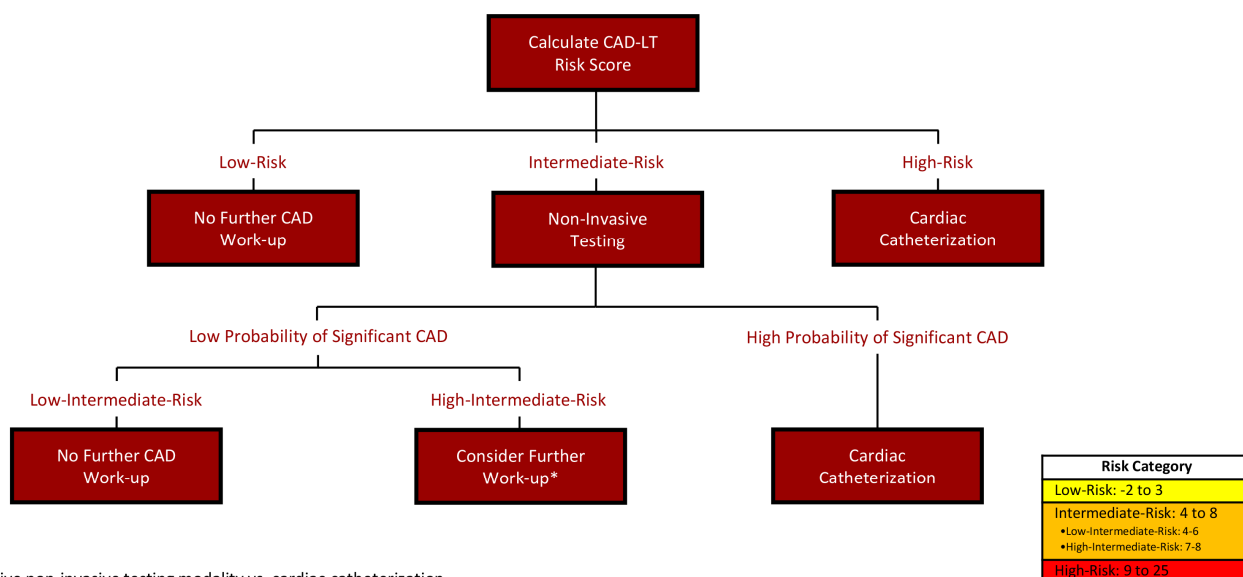
Conclusions

The CAD-LT score and algorithm effectively stratify pre-LT risk for significant CAD. This may inform more targeted testing of candidates with fewer tests and faster time to waitlist.

Lay Summary:

The Coronary Artery Disease in Liver Transplantation (CAD-LT) score and algorithm effectively stratify the risk of significant CAD in LT candidates and guide a more targeted pre-LT cardiac evaluation.

Graphical Abstract:



*Alternative non-invasive testing modality vs. cardiac catheterization

Algorithm for the use of the CAD-LT risk score.

1 BACKGROUND

2

3 Preoperative cardiac evaluation in liver transplantation (LT) is conducted to risk-stratify LT
4 candidates, to optimize patients for surgery, and to exclude from transplant those deemed high-
5 risk for postsurgical complications.[1, 2] Patients who have significant coronary artery disease
6 (CAD) are more likely to experience post-LT cardiac events.[3, 4] Currently, there are no
7 concrete guidelines for preoperative cardiac evaluation in LT patients, and clinical practice is
8 mostly dictated by center-specific protocols.[5-8]

9

10 Previous studies from Indiana University demonstrated that the sensitivity of stress
11 echocardiography (SE) as a non-invasive modality for detecting significant CAD was low (37%),
12 and that using risk factor-based cardiac catheterization (CATH) regardless of SE results was
13 associated with a lower rate of post-LT myocardial infarction and mortality.[9, 10] Moreover,
14 similar overall mortality was observed between patients with revascularized CAD and those with
15 non-obstructive CAD, indicating that revascularized patients had a non-prohibitive risk for
16 surgery.[9]

17

18 There is currently no risk assessment tool to estimate the probability of significant CAD in LT
19 candidates. The present study was designed to develop an algorithm for pre-LT cardiac
20 evaluation. Available data included clinical, stress testing, and angiographic characteristics for
21 all patients undergoing LT evaluation at a high-volume center. These data were then analyzed to
22 derive independent predictors of abnormal SE results and the presence of significant CAD on
23 CATH. Lastly, the identified predictors were employed in a model to develop the Coronary

24 Artery Disease in Liver Transplantation (CAD-LT) score, a clinical tool to guide the pre-LT
25 evaluation process, on which the algorithm is based.

26

27 **METHODS**

28

29 The study population consisted of all patients who underwent LT preoperative evaluation by a
30 single cardiologist at Indiana University from 2010 through 2019. Patients referred for
31 multiorgan transplant and liver re-transplantation were excluded. Data were collected
32 retrospectively with a detailed individual chart review. Extracted data included patient clinical
33 demographics, etiology of cirrhosis, cardiac risk factors, Model For End-Stage Liver Disease
34 (MELD) score, SE results, and CATH results. A certain percentage of patients did not proceed to
35 LT during the study period (non-LT group). The status of these patients was documented and is
36 presented in the results.

37

38 The risk factor-based protocol for use of CATH at this center has been described previously.[9,
39 10] Briefly, CATH was performed at the discretion of a single interventional cardiologist and
40 was based on the presence of a combination of predefined CAD risk factors (age >60 years,
41 tobacco use >10 pack years, diabetes, hypertension requiring medications, personal history of
42 CAD, family history of CAD, and obesity [body mass index >30 kg/m²]). Personal history of
43 CAD was defined as previous percutaneous coronary intervention, coronary artery bypass
44 grafting, or myocardial infarction. Similarly, a family history of CAD was defined as the
45 occurrence of the aforementioned CAD in any first-degree family member.

46

47 The primary outcomes for this study were (1) abnormal SE, (2) any CAD, and (3) clinically
48 significant CAD. A clinically significant (positive) SE was defined as the presence of chest pain,
49 S-T segment depression (horizontal or down-sloping, ≥ 1 mm at least 60-80 ms after J point), or
50 presence of new or worsening regional wall motion abnormality during SE. All patients were
51 instructed to stop beta-blockers before stress testing. SE was considered diagnostic only if the
52 patient achieved at least 85% of age-predicted maximal heart rate. "Any CAD" on preoperative
53 CATH was defined as having luminal irregularities, non-obstructive CAD, and obstructive (i.e.
54 significant) CAD. Significant CAD was defined as 50% or higher stenosis in a major vessel or
55 70% or higher stenosis in at least a moderate-sized branch vessel warranting percutaneous or
56 surgical intervention.

57

58 *Statistical analysis*

59

60 Overall patient demographics and clinical characteristics were assessed and reported, as well as
61 results of invasive and non-invasive testing. Bivariate comparison of these characteristics and
62 results was then conducted to better understand the patients in this cohort that did or did not
63 undergo LT. Though this comparison was not a primary endpoint for the study, this subgroup
64 analysis provides clinical context for those patients that did progress to LT, and allows the reader
65 to review factors that potentially impeded progression to transplant.

66

67 The median (interquartile range) for continuous variables and frequency and percentages for
68 categorical variables were used to describe the patient cohort. The chi-square test was used for
69 categorical variables, with Fisher's exact test being used for those categorical variables with

70 expected cell count less than five. Shapiro-Wilk normality test was used to examine the
71 normality assumption of continuous variables and the Wilcoxon rank-sum test was used for the
72 analysis of continuous variables that deviated from normality. Three subsequent multivariable
73 logistic regression models were constructed to estimate the adjusted odds ratio [aOR; 95%
74 Confidence Interval] of abnormal SE result, any CAD, and significant CAD. The variables used
75 in the multivariable model were selected based on published literature regarding risk factors of
76 significant CAD, clinical experience, and a threshold of $p\text{-value} < 0.10$ from bivariate analysis of
77 significant CAD and potential factors. Multicollinearity of the factors used in the multivariable
78 models was evaluated using variance inflation factor. The predictive ability of each multivariable
79 model was evaluated using Receiver Operating Characteristics (ROC) analysis. Area Under the
80 Curve (AUC) was computed to quantify the model performance demonstrating optimal
81 sensitivity and specificity for predicting the outcome variables. A 10-fold internal cross-
82 validation was performed for each model to examine the cross-validated AUC after predictive
83 modeling. The dataset was randomly divided into 10 subsets, with each subset serving as the
84 testing set for the remaining 9 subsets pooled together (training set).[11-13] A point-based risk
85 stratification approach was used to quantify the impact of the risk factors in the multivariable
86 model by generating the CAD-LT risk score in order to estimate the risk of significant CAD in
87 LT candidates.[14] Multivariate analyses to identify objective risk factors of significant CAD
88 were only performed in patients who underwent CATH. In this method, (1) we first estimated the
89 parameters (β_i) for each variable (i) in the multivariable model, (2) then we organized the risk
90 factors in the model to determine the reference values (W_{ij}) for each category (j) of the variable
91 and (3) indicated a referent risk factor profile (W_{iREF}) as the base category that receives a point
92 of 0 (least risk category), (4) then we computed the distance of each remaining category from the

93 base category in terms of regression units $A = (\beta_i * (W_{ij} - W_{iREF}))$, (5) next, we set a constant
94 such that it reflects an increase in risk associated with 5-year increase in age by using $B = 5 *$
95 β_{age} , (6) finally, points for each category of the risk factor were computed using A/B and
96 rounding-up to 0 decimal places.[14] Based on empirical evidence and clinical experience,
97 groups were then defined by a probability of 0.10 or higher (high-risk group, 10% risk or greater)
98 and 0.02 or lower (low-risk group, 2% risk or less), while those patients between these values
99 were considered intermediate-risk. Finally, a pre-LT cardiac testing algorithm was constructed,
100 as informed by risk stratification using the CAD-LT score.

101
102 Data for this study were collected and maintained using strict data security protocols to protect
103 patient health information. Retrospective use of previously collected clinical data from transplant
104 patients has been approved by the Indiana University institutional review board and informed
105 consent was waived due to the retrospective nature of the study. Data analysis was performed
106 using Stata/MP 16.1 (StataCorp LLC, College Station, TX, USA). Patients and donors in this
107 study were strictly managed in accordance with the Declaration of Istanbul.

108

109 **RESULTS**

110

111 *Clinical characteristics of the study population*

112

113 A total of 1771 patients underwent pre-LT cardiac evaluation during the study period (2010-
114 2019). Of these, 924 proceeded to LT (52%) while 847 did not (48%). Patients' demographic and
115 clinical characteristics are summarized in **Table 1**. The mean age was 56 ± 10 years, the median

116 interquartile range body mass index was 28.4 (24.6-32.9) kg/m², 64% were men and 89% were
117 identified as white. Regarding cardiac risk factors, 33% were diabetic, 38% were hypertensive,
118 56% were current or former smokers, 9% had a personal history of CAD and 37% had an
119 immediate family history of CAD. The most common etiologies for cirrhosis were hepatitis C
120 (37%), followed by alcoholic liver disease (28%) and non-alcoholic liver disease (23%). The
121 median interquartile range MELD score was 14 (10-19).

122 When compared to the non-LT group, LT patients were slightly younger (55 vs. 57 years,
123 p<0.001), more likely to be men (68% vs. 60%, p<0.001), less likely to be diabetic (30% vs.
124 37%, p=0.002), or to have any history of smoking (50% vs. 63%, p<0.001) or personal history of
125 CAD (6% vs. 12%, p<0.001). A larger proportion of patients with body mass index ≥ 35 kg/m²
126 was observed in the non-LT group (13% vs. 20%, p<0.001). Non-LT patients were also more
127 likely to have alcoholic liver disease as an etiology for their cirrhosis (25% vs. 32%, p<0.001).
128 There was no significant difference in the MELD score or in the prevalence of hypertension or
129 family history of CAD between both groups.

130 A summary of patients who did not progress to transplant (non-LT group) during the study
131 period is presented as **Supplementary Table 1**. A total of 189 patients (22%) died during the
132 evaluation period prior to receiving LT, and 182 (21%) were lost to follow-up. There were 117
133 patients (14%) who were either on the waitlist for LT or were still undergoing LT evaluation
134 during the study period. The most common reasons for which patients were not listed for LT
135 were low MELD score (13%), cardiopulmonary comorbidities (6%), ongoing substance abuse
136 (5%), and hepatocellular carcinoma not meeting Milan criteria (4%).

137

138 *SE and CATH results*

139
140 SE and CATH results are summarized in **Table 2**. A total of 1634 patients (92%) underwent
141 stress testing with SE being the non-invasive modality of choice. There was no difference in the
142 proportion of LT and non-LT patients who had SE (93% vs. 91%, $p=0.13$). Of these 1634
143 patients, 74% had a normal SE, 10% had non-diagnostic SE and 8% had a positive SE. Non-
144 invasive stress testing results were significantly associated with LT status ($p=0.003$). In a post
145 hoc comparison, non-LT patients were more likely to have abnormal SE results when compared
146 to LT patients (9% vs.7%, $p=0.11$). Compared to LT, the non-LT patients also had a higher
147 proportion of non-diagnostic SE (12% vs 8%) and the post hoc comparison showed that there
148 was a significant difference in normal vs. non-diagnostic or equivocal SE result between LT and
149 non-LT groups ($p=0.004$).

150 A total of 1266 patients (71%) underwent CATH. A significantly larger proportion was observed
151 in the non-LT group (74% vs.69%, $p=0.02$). Of these 1266 patients, 56% were found to have no
152 disease, 28% had non-obstructive CAD, and 16% had significant CAD. CATH results were
153 significantly associated with LT status ($p<0.001$). More specifically, in a post hoc comparison,
154 patients who underwent LT were more likely to have normal results on CATH (59% vs. 53%,
155 $p=0.23$), while those who did not undergo LT were significantly more likely to have significant
156 CAD (9% vs.19%, $p<0.001$). Characteristics of LT and non-LT patients stratified based on the
157 presence of significant and non-significant CAD are shown in **Supplementary Table 2**.

158 As previously mentioned, the decision to proceed with CATH was at the discretion of a single
159 interventional cardiologist and was based on the presence of a combination of risk factors upon
160 evaluation. The retrospective analysis of data effectively showed that the major risk factors were
161 age>60, personal history of CAD, and diabetes and the minor risk factors were body mass

162 index >30 kg/m², family history of CAD, hypertension, and tobacco use >10 pack years. This was
163 based on the percent of patients who had CATH with presence of a sole risk factor as follows:
164 personal history of CAD (100%), age >60 (86%), diabetes (83%), tobacco use >10 pack years
165 (33%), hypertension (27%), body mass index >30 kg/m² (20%), and family history of CAD
166 (18%). Overall, patients who underwent CATH had an average of 2.8 risk factors while those
167 who did not had an average of 1.4 risk factors.

168 The sensitivity and specificity of SE in detecting significant CAD were similar in both the
169 overall and the intermediate-risk populations (29% and 89%, respectively). These results show a
170 similar specificity (89%) to that previously reported in a cohort consisting solely of patients who
171 underwent LT.[9] The sensitivity, on the other hand, is lower in the present entire cohort as
172 compared to the LT cohort (29% vs. 37%).

173

174 *Predictors of abnormal SE and CATH results*

175

176 The predictors of abnormal SE results, any CAD on CATH, and significant CAD on CATH on
177 multivariable analysis are presented in **Tables 3, 4, and 5**. Only patients with diabetes ($p<0.01$)
178 and those with a personal history of CAD ($p<0.001$) had higher odds of an abnormal SE. [**Table**
179 **3**] Significant predictors for both any CAD and significant CAD were similar and included older
180 age, male gender, diabetes, hypertension, tobacco use (pack years), family history of CAD, and
181 personal history of CAD. [**Tables 4 and 5**] More specifically, for each 1-year increase in age of
182 the patient, the odds of having any CAD or odds of having significant CAD increases by 1.07 or
183 1.06 times, respectively. However, to put this into perspective, if age is increased by 10 years, for

184 example, the odds of having any CAD, or of having significant CAD, doubles ($p < 0.001$).
185 Females in this cohort had lower odds of any CAD or of significant CAD compared to males.

186

187 *The CAD-LT score*

188

189 The CAD-LT score is presented in **Table 6**. The odds for each predictor from the regression
190 model were equated to a number of points. The points for each factor were then added (or
191 subtracted) to achieve an overall CAD-LT score. The scored risk categories were divided into
192 low (-2 to 3), intermediate (4-8), and high (9-25). The low-risk group had a 2% or less chance of
193 having significant CAD, the intermediate-risk group had a risk between 3% and 9%, while the
194 high-risk group had 10% or greater risk of significant CAD. The low-risk group was purposely
195 placed at a very low threshold (2%) to minimize the risk of a missed diagnosis of significant
196 CAD in a patient going for LT. The mean cross-validation AUC [95% Confidence Interval] was
197 0.76 [0.72-0.80]. Using the final model obtained, the computed optimal sensitivity and
198 specificity for predicting the outcome variables were 21% and 96%, respectively.

199

200 *Algorithm for the use of the CAD-LT score*

201

202 An algorithm for the use of the CAD-LT score in clinical practice is presented in **Figure 1**. In
203 this algorithm, all patients with liver disease presenting for cardiac evaluation will undergo a
204 medical review to calculate their CAD-LT score. Patients with a score ≥ 9 (high-risk category)
205 proceed directly to CATH. Using the cutoff of ≥ 9 indicated that 90% of the subjects with
206 significant CAD fall in the high-risk group. Patients with a score ≤ 3 (low-risk category) need no

207 further CAD evaluation prior to listing for LT (no subjects in this group were found to have
208 significant CAD). Patients in the intermediate-risk category (score 4 to 8) undergo non-invasive
209 testing. If the test for the intermediate-risk patient shows high probability for significant CAD,
210 they proceed to CATH for definitive diagnosis. Intermediate-risk patients with a low probability
211 of significant CAD on non-invasive testing are further stratified into low-intermediate (4-6) and
212 high-intermediate-risk (7-8). Those in the low-intermediate-risk group require no additional
213 workup for CAD (miss rate for significant CAD of <1%). On the other hand, in patients with
214 high-intermediate-risk, further work-up (i.e. alternative non-invasive testing modality vs. CATH)
215 can be considered depending on the evaluating physician's clinical discretion and risk tolerance
216 (miss rate for significant CAD of 4%). Applying this testing algorithm retrospectively to patients
217 who underwent CATH (n=1266) would have detected 97% of the patients with significant CAD
218 and would have potentially decreased the number of CATH by 561 (44%; non-high-risk patients
219 who would not be recommended for CATH as an initial test) and the number of SE in this subset
220 (n=1174) by 669 (57%; 665 in the high-risk group and 13 in the low-risk group). This result
221 translates into marked cost savings.

222

223 **DISCUSSION**

224

225 The present paper presents a landmark study for the thousands of LT candidates who undergo
226 cardiac testing annually. Clinicians, guided by the CAD-LT algorithm generated from this study,
227 will provide a more precise assessment of cardiac risk while potentially saving the health system
228 the costs and risks of unnecessary stress testing and CATH.

229

230 The principal findings of this study are:

231 1) Predictors of significant CAD in LT candidates included older age, male gender, diabetes,
232 hypertension, tobacco use (pack years), family history of CAD, and personal history of CAD.

233 2) The CAD-LT score is an easy-to-use clinical tool that may be employed in an office-based
234 setting to predict the risk of significant CAD in LT candidates based on easily-defined clinical
235 risk factors.

236 3) The CAD-LT algorithm based on the CAD-LT score guides cardiac evaluation, and detects
237 significant CAD with high sensitivity (97%), thus markedly decreasing the number of
238 unnecessary stress testing and CATH.

239

240 The CAD-LT algorithm provides a cost-effective approach to preoperative cardiac evaluation for
241 LT, while retaining a high sensitivity for significant CAD. The use of the CAD-LT algorithm is
242 predicted to markedly decrease the number of stress tests and CATH required for this population,
243 while improving patient care. End-stage liver disease is a terminal condition, with the only
244 definitive treatment being LT. This algorithm streamlines the cardiac evaluation, enabling these
245 critically ill patients to proceed more quickly to the transplant list. Exclusion of unnecessary tests
246 provides not only systemic cost savings but also minimizes the individual risk of complications
247 and of false-positive and false-negative test results. With a significant percentage of these liver
248 failure patients no longer requiring stress testing and CATH, the wait time to obtain these
249 procedures will be lessened for all. The two groups benefiting the most from the CAD-LT
250 algorithm are those in the high- and low-risk groups. The high-risk patients now proceed directly
251 to CATH. This shortens the time needed to obtain a test that will ultimately be required prior to
252 listing for transplant. Similarly, low-risk patients can move directly to LT listing without any

253 further testing, also saving time and money. Patients in the intermediate-risk group would require
254 non-invasive testing vs. CATH to further stratify their risk according to the proposed algorithm.
255 In our experience, SE as the non-invasive modality of choice had low sensitivity and high
256 specificity for detecting significant CAD. In the present study, the sensitivity and specificity of
257 SE in detecting significant CAD were 29% and 89%, respectively. A previous study of LT
258 recipients from our center has reported the sensitivity of SE to be 37% with a specificity of
259 89%.[9] Hence, a positive SE would lead to CATH, but a negative test would not necessarily
260 exclude significant CAD in this LT population and further work-up with another non-invasive
261 modality vs. CATH might still be needed. Similarly, the assessment of single photon emission
262 computed tomography to detect myocardial ischemia had poor sensitivity, while coronary
263 computed tomography angiography had poor specificity and positive predictive value for the
264 detection of CAD.[15-17] However, coronary computed tomography angiography and calcium
265 scoring have very high sensitivity and negative predictive values that can be potentially useful in
266 low-intermediate risk patients to rule out CAD. These tests also require certain patient physical
267 and clinical characteristics to obtain interpretable images. Since SE was the non-invasive
268 diagnostic modality of choice used in our center during the study period, we were unable to
269 provide data on other testing modalities. However, we acknowledge the role that other non-
270 invasive modalities can have in evaluating intermediate-risk patients, particularly if care is
271 individualized. Therefore, depending on the risk tolerance for missing significant CAD, the
272 availability, and the center's experience with a particular non-invasive testing modality, the
273 choice of the diagnostic test for intermediate-risk patients is left to the clinician's discretion, if a
274 non-invasive strategy is chosen.

275

276 The prevalence of significant CAD in LT candidates is variable and its diagnosis is dependent on
277 the modality used for its detection, as well as on the population studied.[6, 7] The prevalence of
278 significant CAD in this large cohort of LT candidates who underwent CATH according to a risk
279 factor-based protocol was 16%. The routine incorporation of CATH as part of pre-LT workup is
280 controversial, with an appropriate-use score of 5 out of 9 per the American College of
281 Cardiology guidelines.[18] However, CATH is commonly obtained as part of the pre-transplant
282 evaluation of end-stage liver disease patients at many transplant centers in order to definitively
283 assess for significant CAD prior to undertaking a high-risk and costly LT.[9, 10, 19] If the
284 treating physician has high clinical suspicion for CAD, it certainly remains in their prerogative to
285 order any test that they deem necessary and appropriate, while keeping in mind possible
286 complications. While a previous study conducted at this center in a similar cohort of exclusively
287 transplanted patients showed a low rate of acute kidney injury (4%), and low rate of major and
288 minor bleed (0% and 3%, respectively) following CATH, patients with end-stage liver disease
289 are still at a theoretically higher-risk for complications given increased risk for kidney
290 dysfunction and coagulopathy.[9, 10, 19, 20]

291
292 The CAD-LT algorithm limits the use of non-invasive testing to the intermediate CAD-LT risk
293 category. As previously mentioned, our experience with SE as the non-invasive modality is that
294 it has high specificity and low sensitivity in the LT population. Current guidelines from
295 American College of Cardiology/American Heart Association recommend obtaining non-
296 invasive stress testing in patients with 3 or more cardiac risk factors, while those from the
297 American Association for the Study of Liver Disease recommend SE for all LT candidates.[21-
298 23] In another study, where 25% of patients had significant CAD (defined as luminal stenosis

299 >70%) on angiography, only 14% had positive SE.[24] While a higher specificity (98%) for SE
300 in detecting significant CAD has been previously reported in a study of 389 LT patients, only
301 278 (70%) were able to reach target heart rate.[25] This sheds light on the barriers of using SE in
302 the LT population due to the concurrent use of beta-blockers, and the presence of peripheral
303 vasodilation and chronotropic incompetence in the LT population.[6, 26]

304
305 The CAD-LT score and algorithm are dedicated to the LT population, while commonly used
306 risk-stratification tools for non-cardiac surgeries such as Revised Cardiac Risk Index exclude
307 transplant patients.[27] A major goal of preoperative transplant evaluation is to reduce cardiac
308 morbidity and mortality.[1] Previous studies have demonstrated that aggressive risk factor-based
309 CATH screening is associated with a low rate of myocardial infarction and cardiac mortality.[9,
310 10] The CAD-LT algorithm directs high-risk patients to CATH, while at the same time limits its
311 use in low- and intermediate-risk patients with an overall sensitivity of 97% in detecting
312 significant CAD in LT candidates.

313
314 Approximately half (48%) of the patients evaluated for transplant in this study did not progress
315 to transplant with the most common reasons being low MELD score, cardiopulmonary
316 comorbidities, and substance use (**Supplementary Table 1**). These findings were similar to a
317 study of 337 patients evaluated for LT where almost half (49%) were deemed ineligible for LT.
318 Of these, 49% had a low MELD score, 26% had medical comorbidities and/or needed medical
319 optimization, and 17% were declined LT due to substance use.[28] It is imperative to start the
320 evaluation process for the aforementioned medical and psychosocial comorbidities early on to
321 enhance the opportunity for LT eligibility as soon as it is clinically appropriate. However, given

322 this large number of patients referred for LT who ultimately do not proceed to transplant, it is
323 incumbent on the field to minimize unnecessary cardiac testing to lessen the burden on the
324 system and for cost savings as well.

325

326 *Limitations*

327

328 The study has several important limitations that should be considered before adopting the CAD-
329 LT algorithm. First, the study is retrospective and is subject to the limitations of the study design
330 and population. Second, we acknowledge that there was over-testing in this cohort. The aim of
331 the protocol that was used for pre-LT evaluation in our center was to improve transplant
332 outcomes. Having now studied the cohort retrospectively, we share the experience of our center
333 in order to construct a robust algorithm that balances good transplant outcomes, while limiting
334 the number of tests and maintaining cost-effectiveness. The value of this manuscript is in the
335 large percentage of patients who underwent both SE and CATH as this helps establish the true
336 incidence of significant CAD in this patient population. Lastly, the risk score was validated using
337 an internal cross-validation cohort from a single academic center. Therefore, a second cohort in
338 another center or a prospective cohort is required for external validation.

339

340 **CONCLUSION**

341

342 The CAD-LT score is an easy-to-use, cost-effective, and sensitive clinical tool that predicts the
343 risk of significant CAD in LT candidates. The use of the CAD-LT score with the associated

344 cardiac evaluation algorithm may result in improved outcomes, while reducing the overall
345 number of non-invasive or invasive procedures performed during the evaluation process.

346

347 Abbreviations:

348 LT Liver transplantation

349 CAD Coronary artery disease

350 SE Stress echocardiography

351 CATH Cardiac catheterization

352 CAD-LT Coronary artery disease in liver transplantation

353 MELD Model for end-stage liver disease

354 aOR Adjusted odds ratio

355 ROC Receiver operating characteristic

356 AUC Area Under the Curve

357

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360

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Table 1: Univariate and bivariate analysis of 1771 liver transplant candidates, with a comparison of patients who did or did not undergo liver transplantation.

Table 2: Summary of pre-liver transplant cardiac testing, with a comparison of patients who did or did not undergo liver transplantation.

Table 3: Multivariable analysis to estimate the odds of abnormal stress echocardiography result.

Table 4: Multivariable analysis to estimate the odds of any coronary artery disease.

Table 5: Multivariable analysis to estimate the odds of significant coronary artery disease.

Table 6: The CAD-LT risk score to predict significant coronary artery disease in liver transplant candidates.

Supplementary Table 1: Reasons for non-candidacy for liver transplantation.

Supplementary Table 2: Summary of cardiac catheterization results comparing patients who did or did not undergo liver transplantation (n=1266).

Figure 1: Algorithm for the use of the CAD-LT risk score.

Table 1: Univariate and bivariate analysis of 1771 liver transplant candidates, with a comparison of patients who did or did not undergo liver transplantation.

Clinical Characteristics	Overall (%)	Liver Transplantation	No Liver Transplantation	p-value^Δ
Number	1771 (100%)	924 (52%)	847 (48%)	
Age (years)	56 (9.9)	55.1(10.3)	57.1 (9.4)	0.0004**
Less than 30	49 (3%)	36 (4%)	13 (2%)	
30 to 39	80 (4%)	50 (5%)	30 (3%)	
40 to 49	249 (14%)	136 (15%)	113 (13%)	<0.001
50 to 59	762 (43%)	407 (44%)	355 (42%)	
60 and older	631 (36%)	295 (32%)	336 (40%)	
Gender				<0.001
Male	1128 (64%)	625 (68%)	503 (60%)	
Female	643 (36%)	299 (32%)	344 (40%)	
Race				0.02
White	1576 (89%)	829 (90%)	747 (88%)	
Black	139 (8%)	58 (6%)	80 (9%)	
Other	56 (3%)	37 (4%)	20 (3%)	
Body mass index*	28.4 (24.6-32.9)	28.4 (24.8-32.5)	28.5 (24.5-33.6)	0.370**
Less than 25.0	480 (28%)	249 (27%)	231 (29%)	
25.0 to 29.9	541 (32%)	296 (33%)	245 (30%)	<0.001
30.0 to 34.9	414 (24%)	245 (27%)	169 (21%)	
35.0 and higher	280 (16%)	115 (13%)	165 (20%)	
Etiology of liver disease***				
Hepatitis C	653 (37%)	317 (34%)	336 (40%)	0.02
Alcoholic liver disease	501 (28%)	227 (25%)	274 (32%)	<0.001
Non-alcoholic fatty liver disease	405 (23%)	203 (22%)	202 (24%)	0.35
Primary sclerosing cholangitis	132 (7%)	90 (10%)	42 (5%)	<0.001
Autoimmune	59 (3%)	39 (4%)	20 (2%)	0.03
Primary biliary cirrhosis	57 (3%)	37 (4%)	20 (2%)	0.05
Cryptogenic	50 (3%)	24 (3%)	26 (3%)	0.55
Other	130 (7%)	81 (9%)	49 (6%)	0.02
MELD Score^{†*}	14 (10-19)	14 (10-18)	14 (11-19)	>0.999
Cardiac risk factors				
Diabetes mellitus				0.004
No	1179 (67%)	644 (70%)	535 (63%)	
Yes	592 (33%)	280 (30%)	312 (37%)	
Hypertension				0.77
No	1104 (62%)	573 (62%)	531 (63%)	
Yes	667 (38%)	351 (38%)	316 (37%)	

Tobacco				<0.001
Never	775 (44%)	466 (50%)	309 (36%)	
Current (at evaluation)	426 (24%)	146 (16%)	280 (33%)	
Former	570 (32%)	312 (34%)	258 (30%)	
Tobacco pack years				<0.001
0 to 20	1262 (71%)	720 (78%)	542 (64%)	
21 to 40	311 (18%)	144 (16%)	167 (20%)	
>40	198 (11%)	60 (6%)	138 (16%)	
Patient history of coronary artery disease				<0.001
No	1616 (91%)	870 (94%)	746 (88%)	
Yes	155 (9%)	54 (6%)	101 (12%)	
Family history of coronary artery disease				0.33
None	1108 (63%)	588 (64%)	520 (61%)	
Immediate family (any)	663 (37%)	336 (36%)	327 (39%)	

^Δ Calculated using chi-square and Fisher's exact tests for categorical variables and Shapiro-Wilk normality and Wilcoxon rank-sum tests for continuous variables.

* Median (interquartile range)

** Wilcoxon rank-sum tests/test of difference between Medians

*** Many patients had more than one disease process simultaneously.

‡ MELD Score, Model For End-Stage Liver Disease Score

Table 2: Summary of pre-liver transplant cardiac testing, with a comparison of patients who did or did not undergo liver transplantation.

Pre-liver transplant cardiac testing	Number (Overall percent of total)	Liver Transplantation	No Liver Transplantation	p-value^Δ
Number (%)	1771 (100%)	924 (52%)	847 (48%)	
<i>Stress echocardiography</i>	1634/1771 (92%)	861/924 (93%)	773/847 (91%)	0.13
Normal	1315 (74%)	717 (83%)	598 (77%)	0.003
Wall motion abnormalities	98 (5%)	39 (4%)	59 (8%)	
EKG changes without wall motion abnormalities	49 (3%)	31 (4%)	18 (2%)	
Non-diagnostic or equivocal	172 (10%)	74 (9%)	98 (13%)	
<i>Cardiac catheterization</i>	1266/1771 (71%)	639/924 (69%)	627/847 (74%)	0.023
No CAD* (normal coronary arteries)	708 (56%)	377 (59%)	331 (53%)	<0.001
Non-obstructive CAD	355 (28%)	205 (32%)	150 (24%)	
Obstructive CAD requiring intervention	176 (14%)	57 (9%)	119 (19%)	
Significant CAD not amenable for revascularization	19 (1%)	0 (0%)	19 (3%)	
Significant CAD not revascularized due to loss to follow-up for staged intervention or per interventionalist's discretion	8 (1%)	0 (0%)	8 (1%)	

^Δ Calculated using chi-square and Fisher's exact tests.

*CAD, coronary artery disease

Table 3: Multivariable analysis to estimate the odds of abnormal stress echocardiography result.

Variables	Odds Ratio [95% CI]	p-value^Δ
Age (per year)	1.01 [0.99, 1.02]	0.400
Male	1.11 [0.85, 1.44]	0.454
Diabetes	1.42 [1.09, 1.86]	0.010
Hypertension	1.05 [0.80, 1.37]	0.698
Tobacco use (pack years)	0.99 [0.98, 1.00]	0.122
Family history of coronary artery disease	1.04 [0.81, 1.35]	0.572
Personal history of coronary artery disease	2.65 [1.79, 3.93]	<0.001

^Δ Calculated using multivariable logistic regression

Table 4: Multivariable analysis to estimate the odds of any coronary artery disease.

Variables	Odds Ratio [95% CI]	p-value^Δ
Age (per year)	1.07 [1.05, 1.09]	<0.001
Male	1.79 [1.39, 2.38]	<0.001
Diabetes	1.48 [1.14, 1.91]	0.002
Hypertension	1.40 [1.08, 1.81]	0.009
Tobacco use (pack years)	1.01 [1.00, 1.02]	0.028
Family history of coronary artery disease	1.56 [1.21, 2.00]	0.001
Personal history of coronary artery disease	8.56 [5.12, 14.30]	<0.001

^Δ Calculated using multivariable logistic regression

Table 5: Multivariable analysis to estimate the odds of significant coronary artery disease.

Variables	Odds Ratio [95% CI]	p-value^Δ
Age (per year)	1.05 [1.03, 1.08]	<0.001
Male	1.69 [1.16, 2.50]	<0.001
Diabetes	1.57 [1.12, 2.22]	0.009
Hypertension	1.61 [1.14, 2.28]	0.007
Tobacco use (pack years)	1.01 [1.00, 1.02]	0.012
Family history of coronary artery disease	1.63 [1.16, 2.28]	0.001
Personal history of coronary artery disease	6.55 [4.33, 9.90]	<0.001

^Δ Calculated using multivariable logistic regression

Table 6: The CAD-LT risk score to predict significant coronary artery disease in liver transplant candidates.

Points associated with each category of the predictors			Risk score associated with points total		
Factors	Categories	Points	Points Total	Estimate of Risk	Risk Category
Age	<30	0	-2	0.006	Low-Risk
	30-39	2	-1	0.007	
	40-49	4	0	0.010	
	50-59	6	1	0.013	
	60-70	8	2	0.016	
	>70	10	3	0.021	
Gender	Male	0	4	0.028	Intermediate-Risk
	Female	-2	5	0.036	
Diabetes	Yes	2	6	0.046	High-Risk
	No	0	7	0.060	
Hypertension	Yes	2	8	0.077	High-Risk
	No	0	9	0.098	
Tobacco Pack Years	0-20	0	10	0.124	High-Risk
	21-40	1	11	0.156	
	>40	2	12	0.195	
Family History of CAD^{*†}	Yes	2	13	0.240	High-Risk
	No	0	14	0.292	
Personal History of CAD[‡]	Yes	7	15	0.350	High-Risk
	No	0	16	0.413	
			17	0.479	High-Risk
			18	0.546	
			19	0.611	High-Risk
			20	0.672	
			21	0.728	High-Risk
			22	0.778	
			23	0.820	High-Risk
			24	0.856	
			25	0.886	High-Risk

*CAD, coronary artery disease

†Defined as history of CAD in a first-degree family member.

‡Defined as history of percutaneous coronary intervention, coronary artery bypass grafting and/or myocardial infarction.

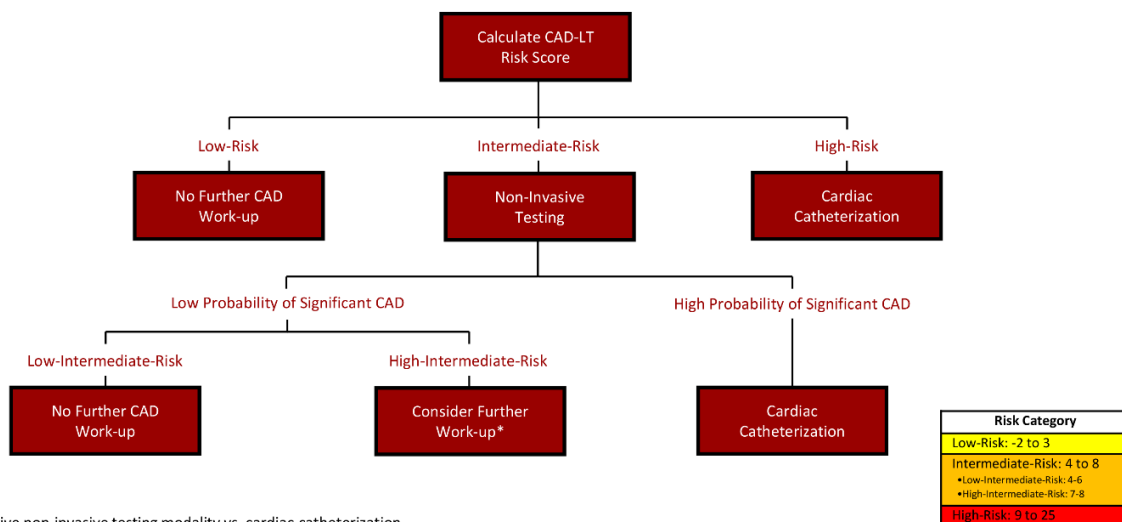
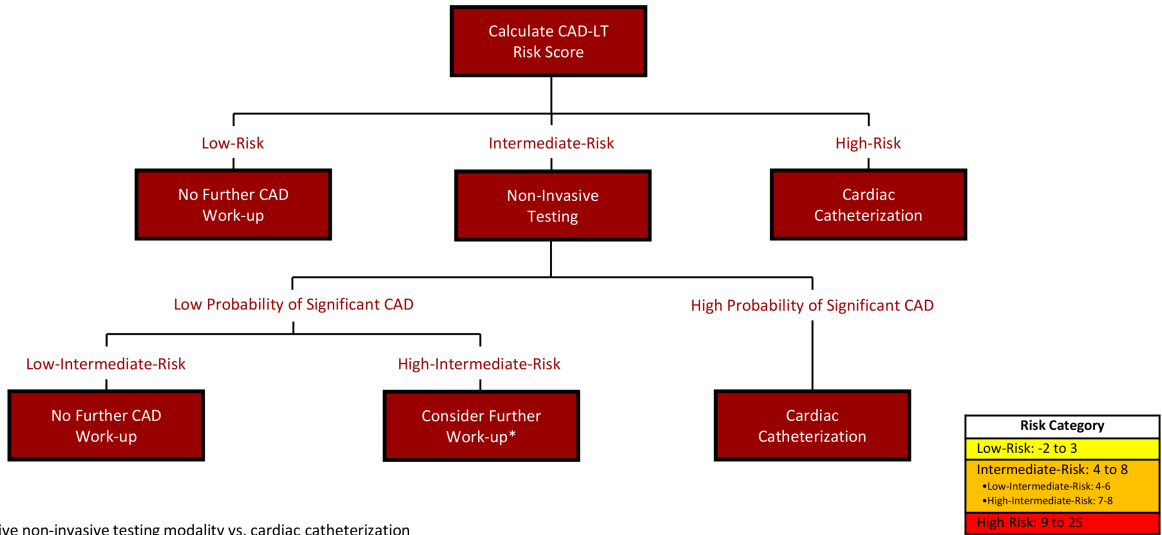


Figure 1: Algorithm for the use of the CAD-LT risk score.



*Alternative non-invasive testing modality vs. cardiac catheterization

Highlights:

- CAD-LT score guides preoperative evaluation process for liver transplantation.
- Score predicts risk of significant coronary artery disease in transplant candidates.
- Score is an easy-to-use clinical tool; can be employed in an office-based setting.
- Algorithm detects significant coronary artery disease with high sensitivity (97%).
- Algorithm provides a cost-effective approach to preoperative cardiac evaluation.