

1 Virtual facial simulation of prosthetic outcome for static computer-aided implant surgery and
2 CAD-CAM prostheses

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4 **Short title:** Virtual facial simulation for implant surgery and CAD-CAM prostheses

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28 **INTRODUCTION:**

29 Although some common features determine human beauty, the perception of attractiveness is
30 often influenced by the self-perception in the beholder's mind and the continually changing
31 norms of a society.^{1,2} This is important in dental treatment. To achieve ideal dental treatment
32 outcomes for patients, clinicians should seek harmony among classical definitions of beauty,
33 current cultural trends, and the patient's personal preferences.³⁻⁵ Studies have shown that the
34 esthetics outcome is the decisive factor for the patient's emotional acceptance of tooth loss and
35 dental prostheses.^{6,7}

36 The developments in 3-dimensional (3D) imaging technology allow clinicians to generate
37 a volumetric virtual patient consisting of the surface texture of the face, craniofacial skeletal
38 structure, and intraoral soft tissue, dentition, and its occlusion.⁸ Different developed and
39 emerging 3D surface acquisition technologies, including laser and optical-based surface imaging,
40 that can be used to capture realistic 3D surface textures and colors of extraoral facial soft
41 tissue.^{9,10} Although cone beam computed tomography (CBCT) allows the 3D imaging of the
42 craniofacial hard tissue, it only has a limited field of view and contrast resolution for facial soft
43 tissue. The 3D craniofacial hard tissue reconstructed from CBCT volumetric data can then be
44 superimposed with the extraoral facial soft tissue texture and color data to create a 3D virtual
45 patient with a photorealistic appearance.⁹⁻¹² The virtual patient can be used to assist the clinical
46 diagnosis and treatment planning process^{11,13} and simulate the patient's post-operative facial

47 appearance after orthognathic surgery.¹⁴⁻¹⁶ Notably, studies have suggested limited accuracy in
48 the prediction outcomes, and these computer simulation programs should be used with caution to
49 prevent unrealistic patient expectations and dissatisfaction.¹⁵⁻¹⁷

50 Static computer-aided implant surgery (s-CAIS) and computer-aided design and
51 computer-aided manufacturing (CAD-CAM) complete fixed dental prosthesis have become a
52 predictable treatment modality for patients.¹⁸⁻²⁰ This clinical report describes a digital workflow
53 using a 3D virtual patient for s-CAIS and CAD-CAM prostheses. In addition, the facial
54 simulation of post-treatment prosthetic outcomes was used to facilitate the communication and
55 treatment planning process among the patient and clinical treatment team members.

56

57 **CLINICAL REPORT:**

58 A signed, written informed consent for the use of digital images, photographs, and radiographs
59 was obtained from the patient for publication of this clinical report. A 62-year-old woman with a
60 partially edentulous maxilla and mandible was referred to the Prosthodontics Clinic. The clinical
61 and radiographic examination showed recurrent dental caries associated with direct and indirect
62 dental restorations, periapical pathology, generalized mild horizontal alveolar bone loss, retained
63 residual roots, and root canal therapy on the remaining dentition. Two existing dental implants
64 were present at the right maxillary canine and the right mandibular central incisor sites, and
65 significant bone loss was noted for both implants (Fig. 1A). After the esthetic and functional
66 assessment, it was also revealed that the patient lost an occlusal vertical dimension (OVD) by
67 approximately 3 mm. A removable mandibular occlusal splint was provided to the patient to
68 evaluate the possibility of increasing OVD. During eight weeks of wearing the occlusal splint, no
69 post-insertion complication associated with the increased OVD of 3 mm was observed (Fig. 1B).

70 After the treatment plan discussion, the patient accepted the option of a maxillary removable
71 complete denture (RCD) and mandibular implant-supported fixed complete denture (IFCD) with
72 five dental implants.

73 The mandibular occlusal splint was used to maintain the patient in the centric occlusion
74 (CO) position while collecting all diagnostic data, including digital extraoral photographs,
75 intraoral scanning, and CBCT imaging. Intraoral scans were taken with an intraoral scanner
76 (iTero; Align Technology) (Fig. 2A). A series of digital extraoral photographs were made at an
77 exaggerated smile view from the mid-facial, right and left 45-degree views, and right and left 90-
78 degree views (Fig. 2B). Two sets of CBCT imaging were taken for the patient. The patient was
79 first scanned at a retracted view using cotton rolls and a plastic retractor (Free Access II cheek
80 and lip retractor - small; J Morita USA) to obtain clear visualization of the alveolar ridge profile
81 and remaining dentition.⁸ The first scan was completed with an effective dose of 378 μ Sv and a
82 field of view (FOV) of 170 x 120 mm (3D Accuitomo170; J. Morita USA). The second scan was
83 completed under an exaggerated smile view, with a low effective dose of 74 μ Sv and large FOV
84 of 230 x 172 mm (i-CAT Next Generation; Imaging Sciences Intl).²¹

85 All digital diagnostic data were forwarded to a dental laboratory (NDX nSequence; Reno,
86 Nevada) to compose a complete 3D virtual patient with realistic facial soft tissue at an
87 exaggerated smile view (Fig. 3A). In the CAD software program (Maven Pro; NDX nSequence),
88 the tooth arrangement was completed using the remaining dentitions and digital photographs as
89 references, providing the patient a smile design that harmonized with facial esthetics (Fig. 3B).¹¹
90 In a 3D simulation software (Dolphin 3D Surgery; Dolphin Imaging & Management Solutions),
91 the patient's post-operative facial profile was simulated based on the diagnostic tooth
92 arrangement (Fig. 4A and 4B). The 3D virtual patient with a simulated post-operative facial

93 profile was then used as a communication medium to obtain the patient's approval for the
94 proposed prostheses designs. Upon receiving the patient's approval, a prosthetically-driven s-
95 CAIS plan and CAD-CAM surgical templates, a maxillary interim RCD, and a mandibular
96 interim IFCD were designed and manufactured (Guided Prosthetics; NDX nSequence) (Fig. 5A,
97 5B, and 5C).

98 The existing maxillary and mandibular dental implants and dentition were removed under
99 local anesthesia. The CAD-CAM surgical templates were used in the mandibular s-CAIS to
100 guide the bone reduction and the implant placements (Straumann Tissue Level, Regular Neck,
101 Standard Plus, SLActive, guided 4.1 mm × 8 mm, 4.1 mm × 10 mm and 4.1 mm × 12 mm;
102 Institut Straumann AG) (Fig.6A and 6B). The distal implant at the left mandibular first molar
103 region did not reach pre-determined insertion torque of 35 Ncm and was excluded from the
104 immediate loading procedure. The auto-polymerizing acrylic resin (Jet Tooth Shade Acrylic;
105 Lang Dental) was used to connect the interim abutments (Regular Neck, Temporary post, bridge;
106 Institut Straumann AG) and mandibular interim IFCD. The mandibular interim ICFDP was
107 finished and polished in the laboratory and secured to the dental implants under the torque of 15
108 Ncm. A maxillary interim RCD was relined with soft reliner (Coe-Soft; GC America) in the
109 centric occlusion position (Fig.6C).

110 After 12 weeks of post-operative observation (Fig.6D), the patient was scheduled for the
111 subsequent appointments for the fabrication of a definitive maxillary RCD and a mandibular
112 IFCD. After obtaining the definitive polyvinyl siloxane impressions (Virtual XD Heavy Body
113 and Extra Light Body; Ivoclar Vivadent Inc), the facebow and maxillomandibular relation record
114 were used to articulate the maxillary and mandibular definitive casts on an articulator (Stratos
115 300; Ivoclar Vivadent Inc). A trial insertion was completed to confirm the desired esthetic and

116 functional outcomes, and the diagnostic tooth arrangements were sent to a dental laboratory (Roy
117 Dental Laboratory) for the mandibular CAD-CAM titanium framework (DWOS; Dental Wings
118 Inc). The autopolymerizing injection-molded acrylic resin (Ivobase High Impact; Ivoclar
119 Vivadent Inc) was used to process the maxillary and mandibular definitive prostheses. The
120 complete finished and polished definitive prostheses were returned to the clinicians for the
121 insertion. The patient was instructed to follow a home care regimen and scheduled for periodic
122 maintenance appointments at six-month intervals for two years (Fig.7A, 7B, and 7C).

123

124 **DISCUSSION:**

125 A pre-operative prediction of a post-operative facial profile and prosthetic treatment outcome
126 could be challenging to verify and achieve in a dental laboratory, especially under the
127 circumstances in which the remaining dentition cannot be used as references for the diagnostic
128 tooth arrangement. A digital process of using a 3D software program (Dolphin 3D Surgery) to
129 simulate a patient's post-operative prosthetic treatment outcome was described in this clinical
130 report. The integrated surgical and prosthetic treatment plan and prediction of the post-operative
131 prosthetic treatment outcome visualization in a virtual 3D patient serve as a powerful diagnostic,
132 treatment planning, communication, and education tool among dental clinicians, technicians, and
133 patients. The use of CAD-CAM surgical templates and dental prostheses can facilitate accurate
134 translation of a virtual treatment plan into clinical procedures. Although post-operative outcome
135 predictions have been commonly utilized for orthognathic surgery,¹⁴⁻¹⁶ the 3D prediction of a
136 post-operative facial profile from the dental prostheses proposed in this clinical report can further
137 expand the usage of 3D simulation software programs in a prosthodontics application.

138 The first limitation with the proposed digital process was that two sets of CBCT imaging
139 were needed to compose a virtual 3D patient with realistic facial soft tissue at an exaggerated
140 smile view. In the first set of CBCT imaging, a cotton roll and a plastic retractor were used to
141 create air space separation around the remaining dentition and surrounding intraoral soft tissue
142 for clear visualization of regions of interest in the s-CAIS planning process. The second set of
143 low effective dosage, large FOV CBCT imaging was used to create an extraoral facial soft tissue
144 profile at an exaggerated smile view.^{11,21} Although two different CBCT imaging units were used
145 in this report, clinicians may utilize available equipment in their practices. The important
146 selection criteria are that the first scan should provide clear and sufficient 3D volumetric data for
147 prosthetically-driven s-CAIS planning. The second scan should provide a large FOV to capture
148 an adequate amount of the patient's facial profile for the esthetic assessment and facial
149 simulation. Also, the second scan should only possess a low effective dose to decrease the
150 radiation exposure for the patient. Nevertheless, patients should understand the risks of
151 additional radiation exposure (74 μ Sv for the second CBCT imaging, which was equivalent to
152 nine days of natural background exposure)²¹ and should be provided with proper protection
153 during all CBCT imaging procedures.

154 Although different laser and optical-based surface imaging technologies could capture
155 surface texture and color of extraoral facial soft tissue, these scanners may be resource-
156 prohibitive for dental clinicians. In addition, during the data registration process, the constant
157 anatomic landmarks are essential to formulate an accurate 3D virtual patient. If a surface scanner
158 was used to capture the patient's facial profile, only the facial soft tissue landmarks and
159 remaining dentition could be used to register CBCT volumetric and surface scan datasets. These
160 anatomic landmarks may not be adequate to register all datasets correctly, especially in a clinical

161 condition in which the patient has a low smile line with minimal remaining dentition exposure at
162 an exaggerated smile view. The second set of CBCT imaging was used in this report to capture
163 the patient's soft tissue profile, and the underlying craniofacial hard tissue was used as constant
164 anatomic landmarks to register two sets of CBCT volumetric data.

165 During the diagnostic data acquisitions, such as CBCT imaging and clinical photographs,
166 the patient's head position, facial expression, and maxillomandibular relationship should be
167 consistent to ensure the accuracy of subsequent data registration procedures. Particularly, the
168 second set of CBCT imaging was used to obtain the patient's facial profile, and great caution
169 should be paid to ensure the patient smiled fully. Repeated radiographic exposure is not
170 warranted if the required patient profile at an exaggerated smile view could not be obtained
171 during the second set of CBCT imaging. Various commercial 3D simulation software programs
172 are commonly used in maxillofacial surgery to provide a pre-operative prediction of post-
173 operative facial profiles for the patient and clinician. Although some studies have shown that
174 various 3D simulation software programs have topological errors of less than 2 mm,^{14,16} the
175 clinical application has not been validated for usage in the prosthetic outcome simulation. Future
176 studies should be developed and focus on the 3D simulation software program's application in
177 prosthodontics.

178

179 **SUMMARY**

180 This clinical report describes a digital process of using a 3D virtual patient and simulation
181 software to estimate the patient's post-operative prosthetic treatment esthetic outcome.

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184 **LIST of ABBREVIATIONS**

185 3D: 3-dimensional

186 s-CAIS: static computer-aided implant surgery

187 CAD-CAM: computer-aided design and computer-aided manufacturing

188 CBCT: cone beam computed tomography

189 OVD: occlusal vertical dimension

190 RCD: removable complete denture

191 IFCD: implant-supported fixed complete denture

192 CO: centric occlusion

193 FOV: field of view

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275 **Captions to figures**

276 Figure 1. A, Pre-treatment panoramic radiograph. B, Occlusal splint was used to assess desired
277 centric occlusion (CO) and occlusal vertical dimension (OVD) increase.

278 Figure 2. Digital diagnostic data collection at pre-treatment condition. A, Intraoral scans at increased
279 occlusal vertical dimension (OVD). B, Extraoral digital photographs demonstrating patient's
280 exaggerated smile and facial profile.

281 Figure 3. A, Static 3-dimensional (3D) virtual patient with realistic facial soft tissue at exaggerated
282 smile. B, Virtual diagnostic tooth arrangement.

283 Figure 4. Lateral views of virtual patient demonstrating facial profiles. A, Pre-treatment facial profile.
284 B, Virtual simulation of prosthetic outcome based on the diagnostic tooth arrangement.

285 Figure 5. A, Prosthetically-driven plan for static computer-aided implant surgery (s-CAIS). B,
286 Computer-aided design and computer-aided manufacturing (CAD-CAM) surgical templates. C,
287 CAD-CAM maxillary interim removable complete denture (RCD) and mandibular interim implant-
288 supported fixed complete denture (IFCD).

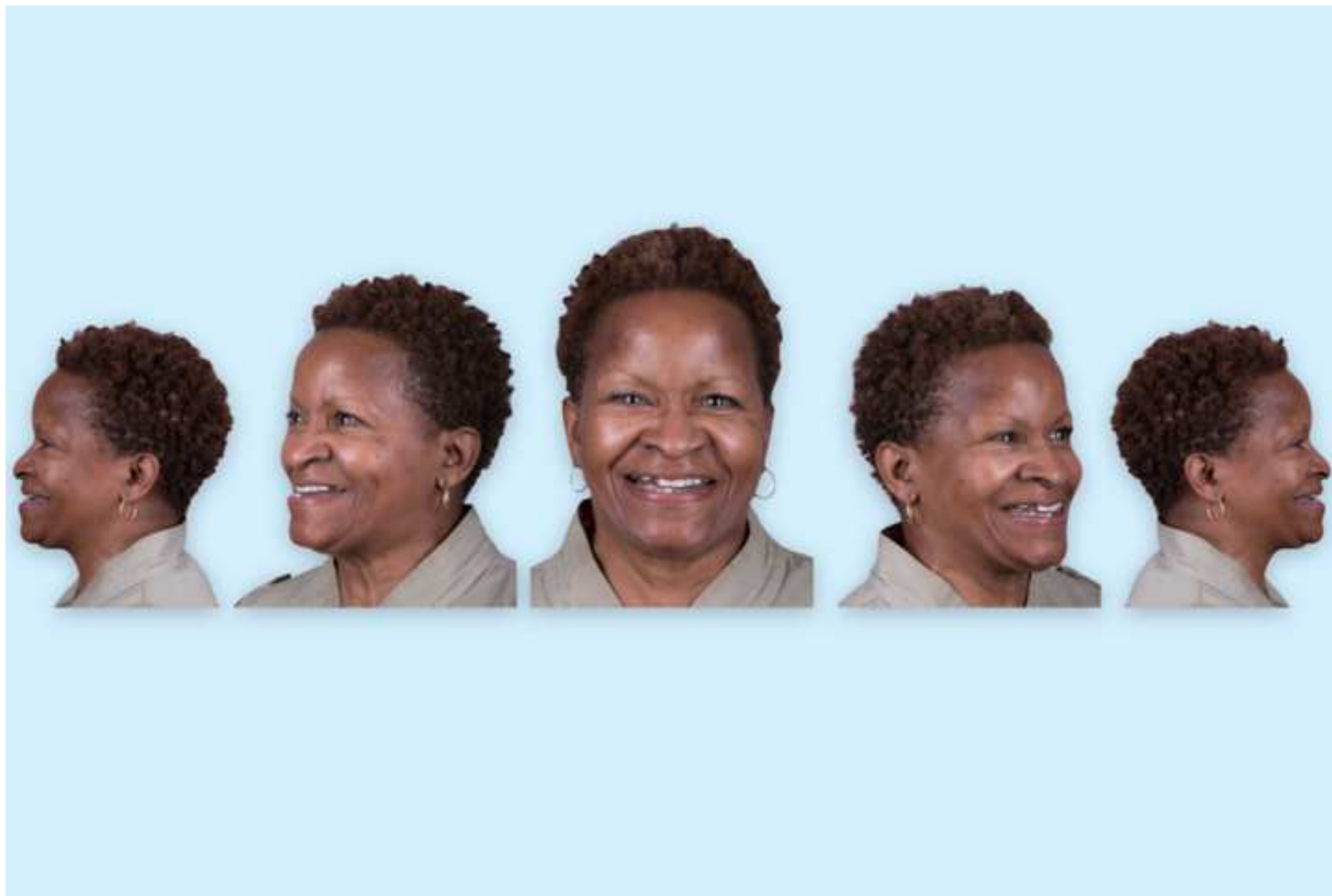
289 Figure 6. A, Surgical template fitted over alveolar ridge to complete planned osseous recontouring.
290 B, Implants placed under the guidance of surgical template. C, Maxillary and mandibular interim
291 prostheses. Distal implant at left side was excluded from interim prosthesis due to insufficient
292 primary stability. D, Extraoral digital photographs demonstrating facial prosthetic outcome from
293 interim prostheses.

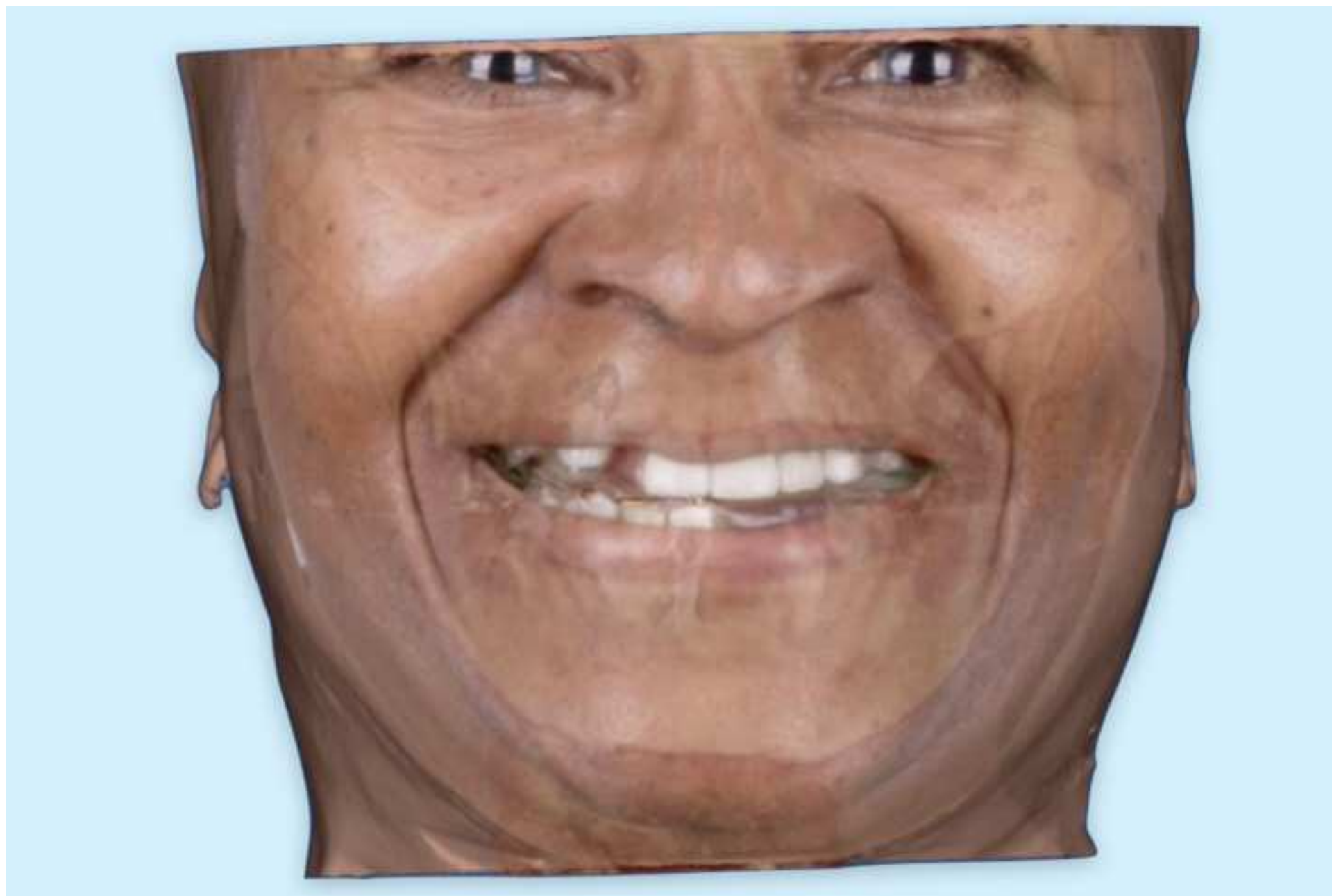
294 Figure 7. Two-years post-treatment outcomes. A, Frontal view of definitive prostheses. B, Post-
295 treatment panoramic radiograph. C, Extraoral digital photographs demonstrating facial prosthetic
296 outcome from definitive prostheses.

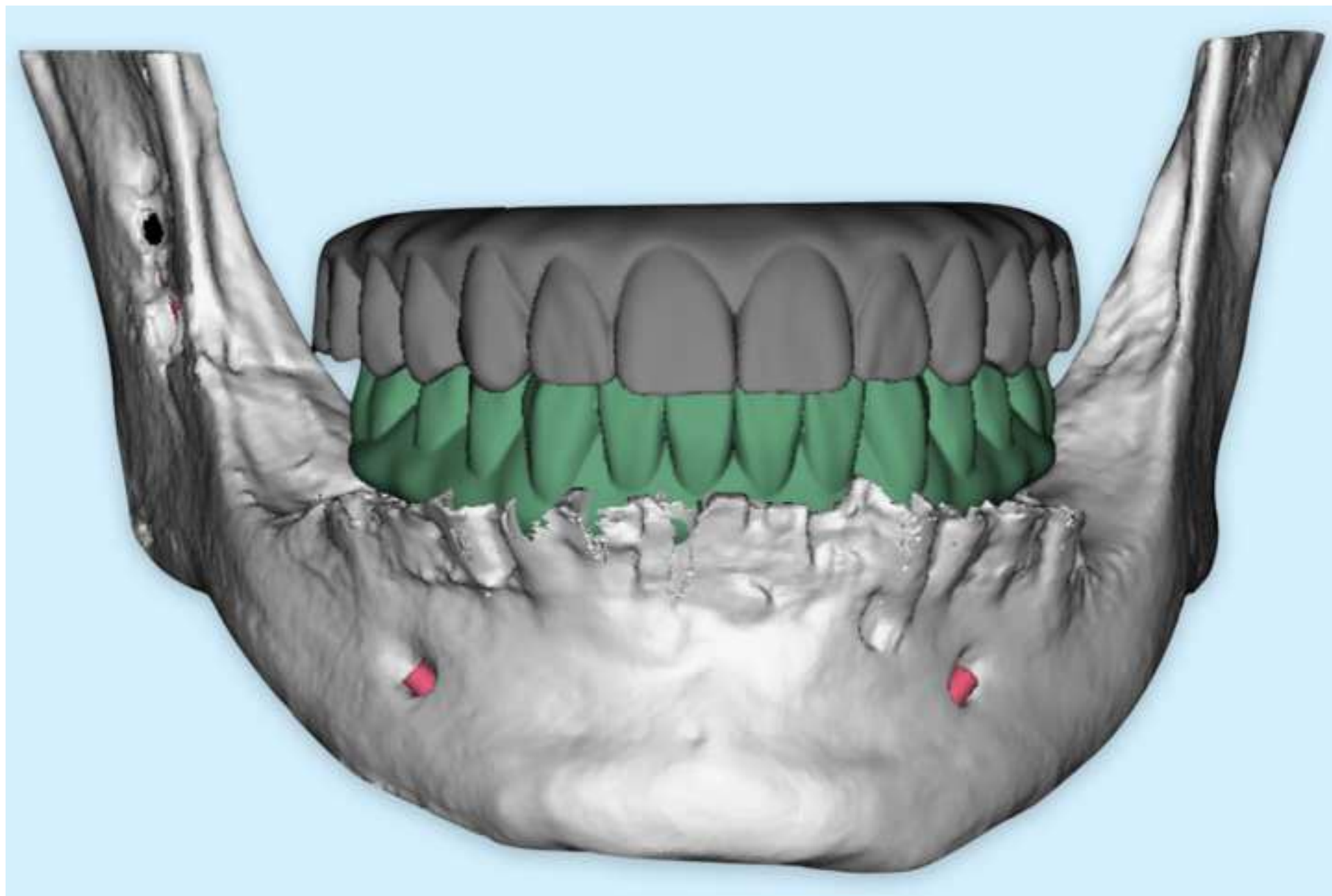


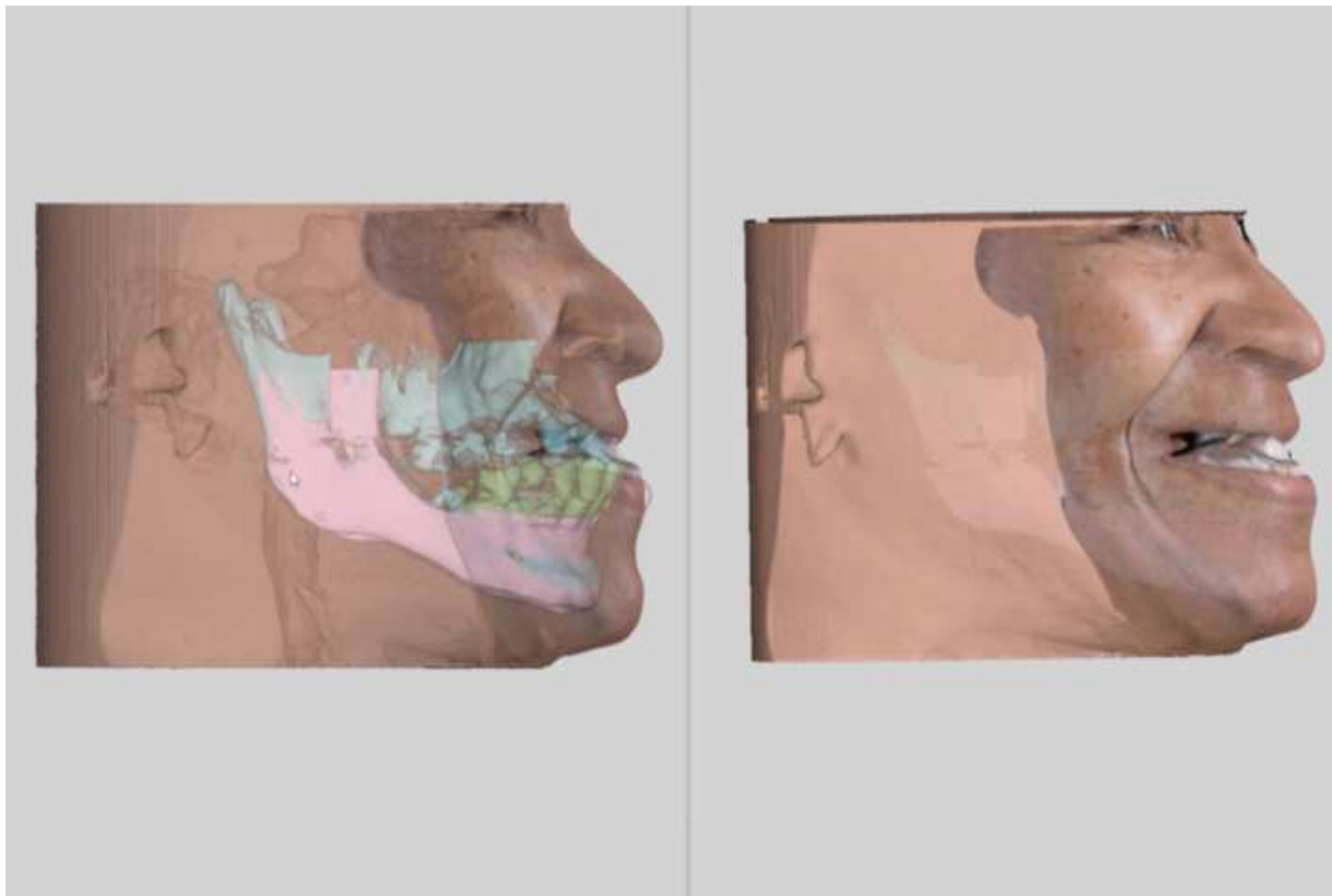












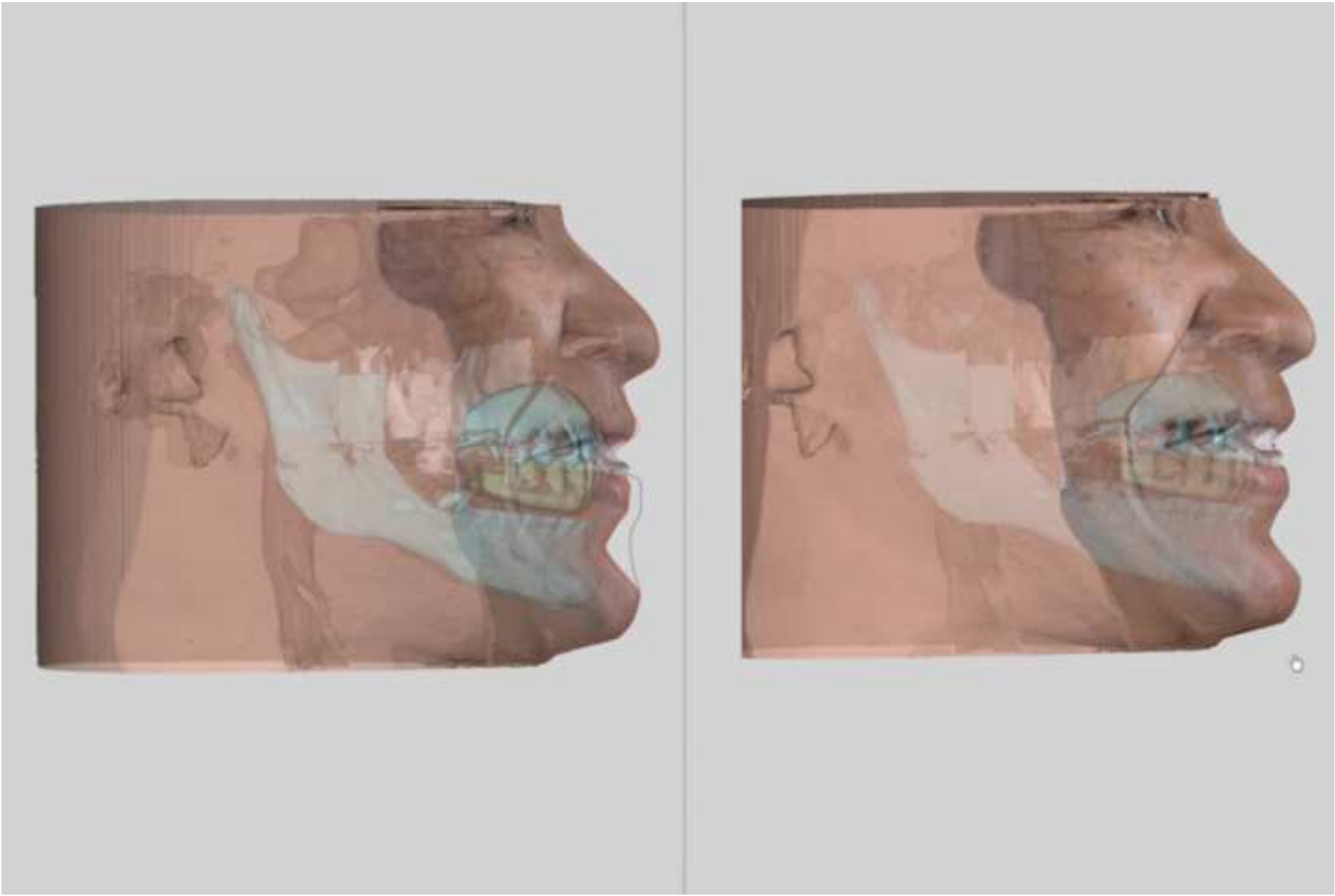
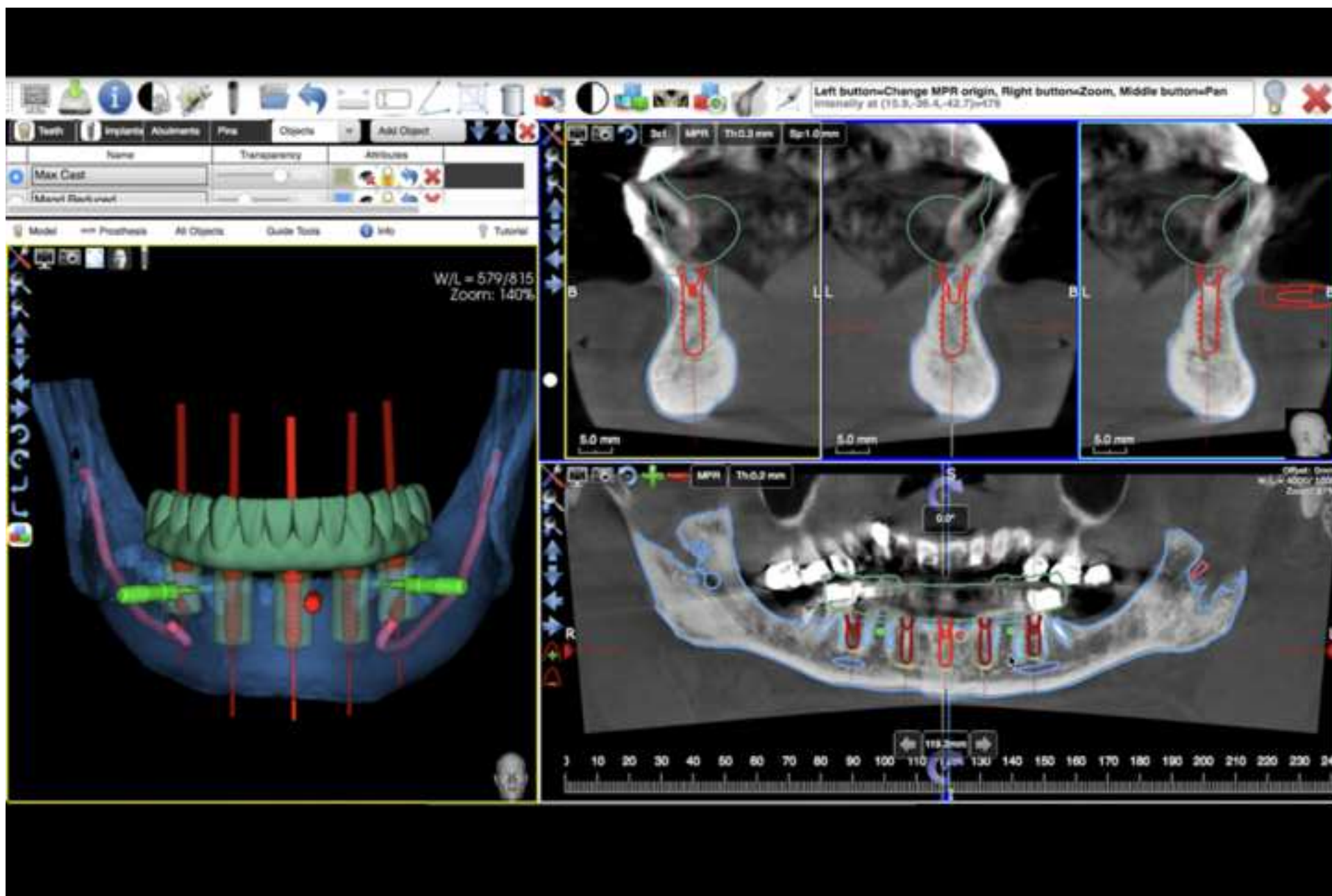


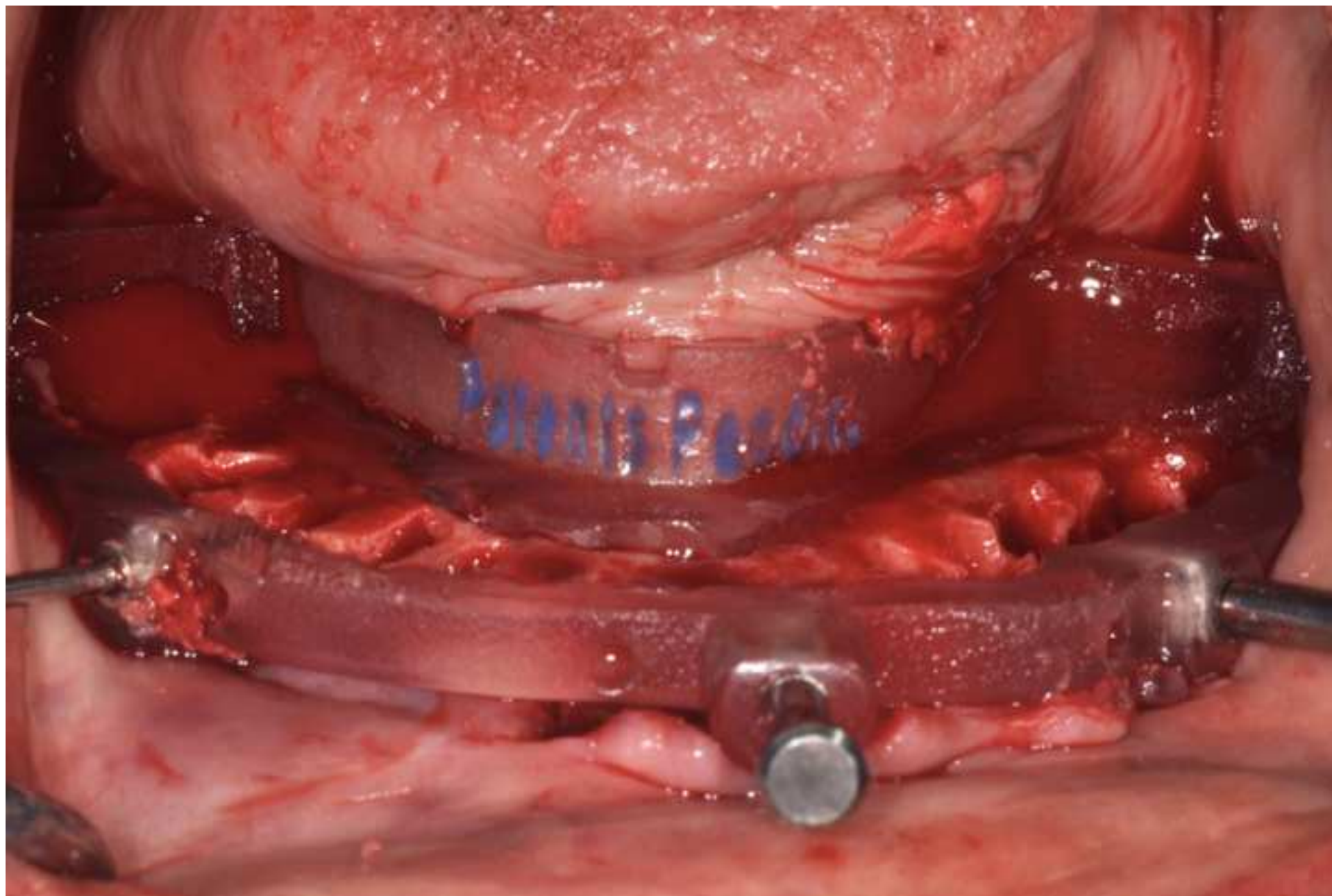
Figure 5A

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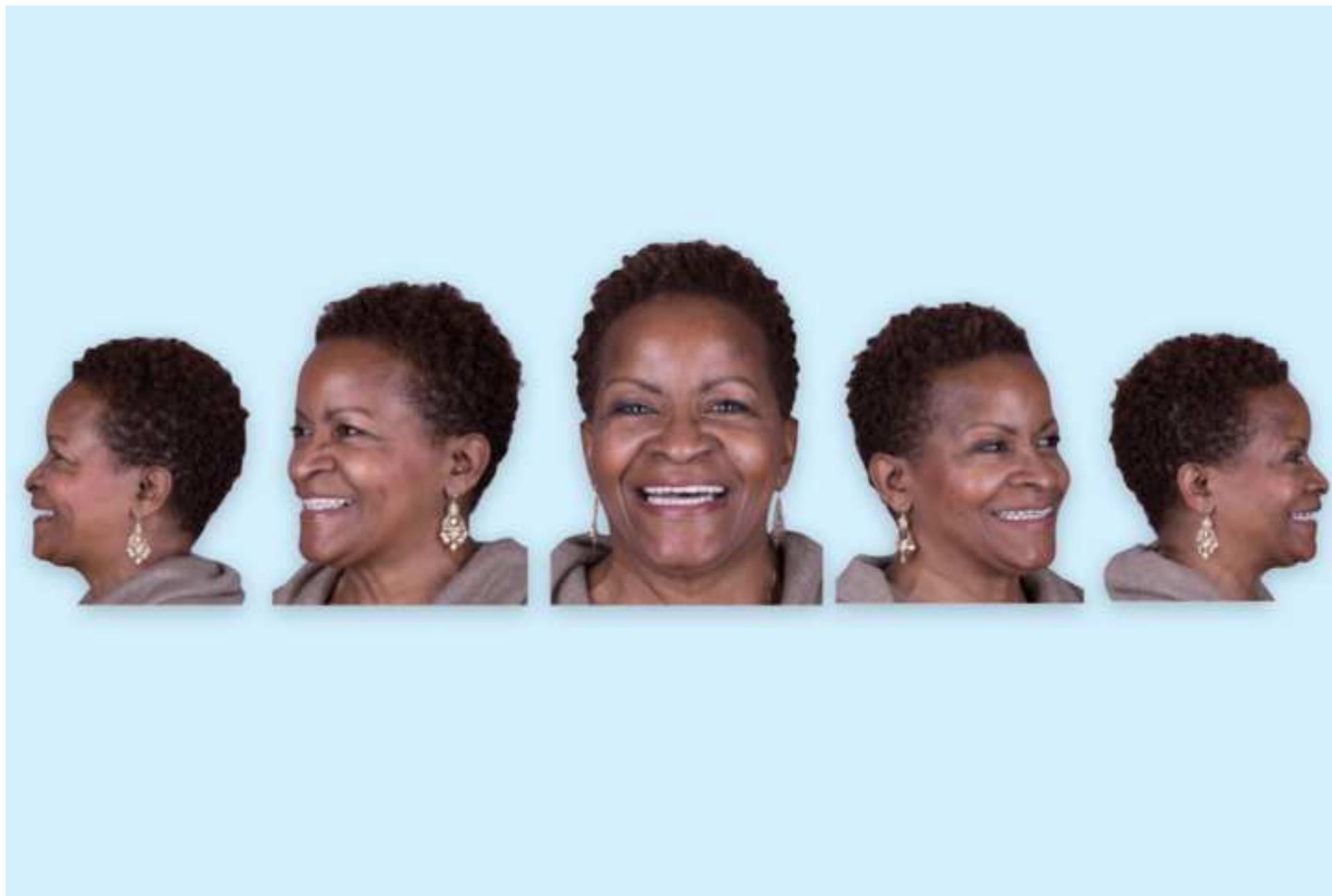


Figure 7A

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