

A note on Nordhaus-Gaddum-type inequalities
for the automorphic \mathcal{H} -chromatic index of graphs *

Beatrice Ruini

Università di Modena e Reggio Emilia

Dipartimento di Scienze Fisiche, Informatiche e Matematiche

Via Campi 213/A

41125 Modena (Italy)

E-mail: beatrice.ruini@unimore.it

Abstract

The automorphic \mathcal{H} -chromatic index of a graph G is the minimum integer m for which G has a proper edge-coloring with m colors which is preserved by a given automorphism group \mathcal{H} of G . We consider the sum and the product of the automorphic \mathcal{H} -chromatic index of a graph and its complement. We prove upper and lower bounds in terms of the order of the graph when \mathcal{H} is chosen to be either a cyclic group of prime order or a group of order four.

Keywords Nordhaus-Gaddum-type inequalities, automorphism, automorphic chromatic index

MR (2010) Subject Classification 05C15, 05C25, 05E18

Abbreviated title: Nordhaus-Gaddum-type inequalities

*Research performed within the activity of INdAM-GNSAGA with the financial support of the Italian Ministry MIUR, project “Combinatorial Designs, Graphs and their Applications”

1 Introduction

All graphs under consideration are simple. For graph terminology and notation we refer to [6]. Let $G = (V, E)$ be a graph of order n with vertex set V and edge set E . The complement \bar{G} of a graph G is the graph whose vertex set is that of G and in which two vertices are adjacent if and only if they are not adjacent in G . Let $k \geq 2$ be an integer. Following [7] we define a k -decomposition of a graph G_0 as a family (G_1, G_2, \dots, G_k) of spanning subgraphs of G_0 such that each edge of G_0 is contained in exactly one member of (G_1, G_2, \dots, G_k) , see also [3]. We shall occasionally refer to the subgraphs G_1, G_2, \dots, G_k as being the “blocks” of the k -decomposition.

The following two problems can be formulated for an arbitrary graph parameter P :

- (1) finding upper and lower bounds of the set

$$\{P(G_1) + \dots + P(G_k) : (G_1, G_2, \dots, G_k) \text{ is a } k\text{-decomposition of } G_0\};$$

- (2) finding upper and lower bounds of the set

$$\{P(G_1) \cdot P(G_2) \cdots P(G_k) : (G_1, G_2, \dots, G_k) \text{ is a } k\text{-decomposition of } G_0\}.$$

The study of the above problems started in 1956 with the paper by Nordhaus and Gaddum [10] in the particular case $k = 2$, G_0 the complete graph K_n of order n and $P = \chi$ the chromatic number. Nordhaus and Gaddum gave answers to problems (1) and (2) in terms of the order n of $G_0 = K_n$. Only 10 years later Vizing in [11] solved the same problems for another graph parameter, namely the chromatic index $P = \chi'$.

Theorem 1.1. [11] *For an arbitrary graph G of order n the following inequalities hold*

$$2 \left\lfloor \frac{n+1}{2} \right\rfloor - 1 \leq \chi'(G) + \chi'(\bar{G}) \leq n + 2 \left\lfloor \frac{n-2}{2} \right\rfloor$$

$$0 \leq \chi'(G)\chi'(\bar{G}) \leq (p-1) \left(2 \left\lfloor \frac{n}{2} \right\rfloor - 1 \right)$$

Theorem 1.1 was independently proved by Alavi and Behzard in [1] and by Capobianco and Molluzzo in [5]. This type of result, called Nordhaus-Gaddum-type inequalities, have been studied for several different graph parameters. We

refer to [2] for a recent survey on Nordhaus-Gaddum-type inequalities. In particular in [2, Sec.3.6] Nordhaus-Gaddum-type inequalities can be found for several chromatic graph parameters, for example, the total chromatic number. In this paper we consider Nordhaus-Gaddum-type inequalities for a particular chromatic graph parameter: the automorphic chromatic index. Let $\phi : E \rightarrow \mathcal{C}$ be an edge-coloring of a graph G with color set \mathcal{C} . An automorphism σ of G preserves ϕ if there exists a permutation a of the color-set \mathcal{C} such that the relation $\phi\sigma(e) = a\phi(e)$ holds for each $e \in E$. Denote by $Aut(G)$ the full automorphism group of a graph G and by \mathcal{H} a given subgroup of $Aut(G)$. The *automorphic \mathcal{H} -chromatic index* of G , as defined in [8] and denoted by $\chi'_{\mathcal{H}}(G)$, is the minimum integer m for which G has a proper edge-coloring with m colors preserved by each automorphism of the subgroup \mathcal{H} .

Upper bounds for $\chi'_{\mathcal{H}}(G)$ in terms of the chromatic index $\chi'(G)$ are established in [8] and [9] when \mathcal{H} is either a cyclic group of prime order or a group of order four. We recall these results which shall be used in Section 3.

Proposition 1.2. [8] *Let G be a graph with chromatic index $\chi'(G)$ and assume that \mathcal{H} is cyclic of order 2. The inequality holds*

$$\chi'_{\mathcal{H}}(G) \leq \chi'(G) + 2 \left\lceil \frac{\chi'(G)}{2} \right\rceil.$$

Let σ be an automorphism of G of odd prime order p . A σ -cycle is a cycle of G of length p which is preserved by σ while none of its vertices is fixed by σ .

Proposition 1.3. [8] *Let G be a graph with chromatic index $\chi'(G)$ and assume that \mathcal{H} is cyclic of odd prime order p and generated by σ . Then the inequality holds*

$$\chi'_{\mathcal{H}}(G) \leq \chi'(G) + p \left\lceil \frac{\chi'(G)}{p} \right\rceil$$

provided that G has either no σ -cycles or maximum degree not divisible by p .

Proposition 1.4. [9] *Let G be a graph with chromatic index $\chi'(G)$ and assume that \mathcal{H} is the Klein group. Then the inequality holds*

$$\chi'_{\mathcal{H}}(G) \leq \chi'(G) + 6 \left\lceil \frac{\chi'(G)}{2} \right\rceil + 4 \left\lceil \frac{\chi'(G)}{4} \right\rceil.$$

Proposition 1.5. [9] *Let G be a graph with chromatic index $\chi'(G)$ and assume that \mathcal{H} is cyclic of order four. Then the inequality holds*

$$\chi'_{\mathcal{H}}(G) \leq \chi'(G) + 2 \left\lceil \frac{\chi'(G)}{2} \right\rceil + 4 \left\lceil \frac{\chi'(G)}{2} \right\rceil.$$

All the above described bounds, with the exception of one, are best possible (see [8] and [9]). In this note the main purpose is to find Nordhaus-Gaddum-type inequalities for the \mathcal{H} -automorphic chromatic index of a graph G with \mathcal{H} either a cyclic group of prime order or a cyclic group of order four.

2 Some general bounds

In this section a result is shown in analogy to [3, Theorem 4.9 p. 27].

Definition 2.1. Let (G_1, G_2, \dots, G_k) be a k -decomposition of a graph G_0 and \mathcal{H} a subgroup of $\text{Aut}(G_0)$. The k -decomposition (G_1, G_2, \dots, G_k) is said to be blockwise fixed by \mathcal{H} if $G_i^h = G_i$ holds for $h \in \mathcal{H}$ and $i = 1, 2, \dots, k$.

In what follows we shall omit the word blockwise and we denote by \mathcal{H}_i the automorphism group of G_i induced by \mathcal{H} on G_i for $i = 1, 2, \dots, k$.

Lemma 2.2. Let (G_1, G_2, \dots, G_k) be a k -decomposition of G_0 which is fixed by \mathcal{H} , $\mathcal{H} \leq \text{Aut}(G_0)$. Then,

$$\chi'_{\mathcal{H}}(G_0) \leq \chi'_{\mathcal{H}_1}(G_1) + \chi'_{\mathcal{H}_2}(G_2) + \dots + \chi'_{\mathcal{H}_k}(G_k).$$

Proof. For $i = 1, 2, \dots, k$, let $m_i = \chi'_{\mathcal{H}_i}(G_i)$ be and let ϕ_i be an edge-coloring of G_i with colors \mathcal{C}_i preserved by \mathcal{H}_i such that $|\mathcal{C}_i| = m_i$ and $\mathcal{C}_i \cap \mathcal{C}_j = \emptyset$ for $i \neq j$. Each edge of G_0 is contained in exactly one member of (G_1, G_2, \dots, G_k) . If an edge e of G_0 belongs to G_i then we color it with $\phi_i(e)$. Therefore an edge-coloring of G with $(m_1 + m_2 + \dots + m_k)$ colors is obtained which is preserved by \mathcal{H} by construction. Obviously we have the following inequality

$$\chi'_{\mathcal{H}}(G_0) \leq m_1 + m_2 + \dots + m_k = \chi'_{\mathcal{H}_1}(G_1) + \chi'_{\mathcal{H}_2}(G_2) + \dots + \chi'_{\mathcal{H}_k}(G_k),$$

and the statement follows. \square

Proposition 2.3. Let n and k be positive integers and (G_1, G_2, \dots, G_k) be a k -decomposition of K_n which is fixed by \mathcal{H} , $\mathcal{H} \leq \text{Aut}(K_n)$. Then,

$$2 \left\lfloor \frac{n+1}{2} \right\rfloor - 1 \leq \chi'_{\mathcal{H}_1}(G_1) + \chi'_{\mathcal{H}_2}(G_2) + \dots + \chi'_{\mathcal{H}_k}(G_k).$$

Proof. Since $\chi'(K_n) = 2 \lfloor \frac{n+1}{2} \rfloor - 1$ and $\chi'(K_n) \leq \chi'_{\mathcal{H}}(K_n)$, Lemma 2.2 implies the statement. This lower bound is the best possible: if \mathcal{H} is the identity group and $G_1 = K_n$, then $\chi'_{\mathcal{H}}(G_i)$ coincides with $\chi'(G_i)$. Hence, we get $\chi'_{\mathcal{H}}(G_1) = 2 \lfloor \frac{n+1}{2} \rfloor - 1$ and $\chi'_{\mathcal{H}}(G_i) = 0$ for $i \neq 1$. \square

3 Some Nordhaus-Gaddum-type inequalities for the \mathcal{H} -automorphic chromatic index

In this section G_0 will be the complete graph K_n of order n and a 2-decomposition of K_n will be denoted by (G, \bar{G}) where \bar{G} is the complement of G . The automorphism group of a graph G coincides with the automorphism group of the complement of G , [4, Theorem 1.1 p. 139], therefore, if $\mathcal{H} \leq \text{Aut}(G)$ both $\chi'_{\mathcal{H}}(G)$ and $\chi'_{\mathcal{H}}(\bar{G})$ can be studied simultaneously. Proposition 2.3 in the particular case $k = 2$ implies the following:

Lemma 3.1. *For an arbitrary graph G of order n with $\mathcal{H} \leq \text{Aut}(G)$ the following inequality holds*

$$2 \left\lfloor \frac{n+1}{2} \right\rfloor - 1 \leq \chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}).$$

The above bound is best possible as shown in Proposition 2.3.

Lemma 3.2. *Let G be a graph of order n . Then,*

$$\left\lceil \frac{\chi'(G)}{r} \right\rceil + \left\lceil \frac{\chi'(\bar{G})}{r} \right\rceil \leq \frac{1}{r} \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 2$$

where r is an integer greater than or equal to 1.

Proof. The statement follows from the following inequalities

$$\left\lceil \frac{\chi'(G)}{r} \right\rceil + \left\lceil \frac{\chi'(\bar{G})}{r} \right\rceil \leq \frac{1}{r} (\chi'(G) + \chi'(\bar{G})) + 2 \leq \frac{1}{r} \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 2$$

where the last one is obtained from Theorem 1.1. \square

Proposition 3.3. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$ and assume that \mathcal{H} is cyclic of order 2. Then the following inequality holds*

$$\chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}) \leq 2 \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 4$$

Proof. We get

$$\begin{aligned} \chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}) &\leq \chi'(G) + \chi'(\bar{G}) + 2 \left\lceil \frac{\chi'(G)}{2} \right\rceil + 2 \left\lceil \frac{\chi'(\bar{G})}{2} \right\rceil \\ &\leq n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor + 2 \left(\frac{1}{2} \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 2 \right) \leq 2 \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 4 \end{aligned}$$

where the first of the above relations is obtained from Proposition 1.2, while the second one from Theorem 1.1 and Lemma 3.2. \square

Proposition 3.4. *Let G be a graph of order n , with $\mathcal{H} \leq \text{Aut}(G)$. Assume that \mathcal{H} is cyclic of odd prime order p and generated by σ . The following inequalities hold*

$$p \leq \chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}) \leq 2 \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 2p$$

provided that G does not contain σ -cycles and \bar{G} has maximum degree not divisible by p .

Proof. From Proposition 1.3, Theorem 1.1 and Lemma 3.2 we have

$$\begin{aligned} \chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}) &\leq \chi'(G) + \chi'(\bar{G}) + p \left\lceil \frac{\chi'(G)}{p} \right\rceil + p \left\lceil \frac{\chi'(\bar{G})}{p} \right\rceil \\ &\leq n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor + p \left(\frac{1}{p} \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 2 \right) \\ &\leq 2 \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 2p. \end{aligned}$$

Hence, the upper bound is proved.

In G there exist p vertices v_0, v_1, \dots, v_{p-1} such that $\sigma(v_i) = v_{i+1}$, where the indices are taken modulo p . Since G has no σ -cycles, then $C = v_0 v_1 \dots v_{p-1} v_0$ is a cycle in \bar{G} . In order to have an edge-coloring of \bar{G} preserved by σ , the edges of the cycle C must be colored with p different colors. Therefore $\chi'_{\mathcal{H}}(\bar{G}) \geq p$ and the lower bound is shown.

We now prove that the lower bound is best possible. Using the cyclic group \mathbb{Z}_p of rotations of the p -gon, let $\bar{G} = K_p$ be with $V(\bar{G}) = \mathbb{Z}_p = \{0, 1, \dots, p-1\}$, $\sigma(i) = i+1$, (the indices are taken modulo p) and G the null graph of order p . The ‘‘standard’’ edge-coloring of K_p with p colors and with all the edges of the near 1-factor $F_i = \{\{i+j, i-j\} : j \in \mathbb{Z}_p \setminus \{0\}\}$ colored by the color i ($i = 0, 1, \dots, p-1$), is obviously preserved by σ . Hence, $p = \chi'(\bar{G}) \leq \chi'_{\mathcal{H}}(\bar{G}) \leq p$. Therefore, $\chi'_{\mathcal{H}}(\bar{G}) = p$ and the lower bound is attained. \square

In analogy to the above propositions, Proposition 1.4 and Proposition 1.5 imply the following, respectively:

Proposition 3.5. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$. Assume that \mathcal{H} is the Klein group, then the following inequality holds*

$$\chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}) \leq 5 \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 20$$

Proposition 3.6. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$. Assume that \mathcal{H} is cyclic of order 4, then the following inequality holds*

$$\chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G}) \leq 4 \left(n + 2 \left\lfloor \frac{n-2}{2} \right\rfloor \right) + 12$$

Note that if $G = K_n$ then \bar{G} is the null graph with $\chi'_{\mathcal{H}}(\bar{G}) = 0$, hence $0 \leq \chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G})$. Since

$$\chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G}) = \left(\sqrt{\chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G})} \right)^2 \leq \left(\frac{\chi'_{\mathcal{H}}(G) + \chi'_{\mathcal{H}}(\bar{G})}{2} \right)^2$$

then by Propositions 3.3, 3.4, 3.5 and 3.6 we obtain the following:

Proposition 3.7. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$. Assume \mathcal{H} cyclic of order 2, then the following inequalities hold*

$$0 \leq \chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G}) \leq \left(n + 2 \left\lfloor \frac{n-2}{2} \right\rfloor + 2 \right)^2$$

Proposition 3.8. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$. Assume that \mathcal{H} is cyclic of odd prime order p and generated by σ . Then the following inequalities hold*

$$0 \leq \chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G}) \leq \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor + p \right)^2$$

provided that G does not contain σ -cycles and the maximum degree of \bar{G} is not divisible by p .

Proposition 3.9. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$. Assume that \mathcal{H} is the Klein group, then the following inequalities hold*

$$0 \leq \chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G}) \leq \left(\frac{5}{2} \left(n + 2 \left\lfloor \frac{(n-2)}{2} \right\rfloor \right) + 10 \right)^2$$

Proposition 3.10. *Let G be a graph of order n with $\mathcal{H} \leq \text{Aut}(G)$. Assume that \mathcal{H} is cyclic of order 4, then the following inequalities hold*

$$0 \leq \chi'_{\mathcal{H}}(G)\chi'_{\mathcal{H}}(\bar{G}) \leq \left(2n + 4 \left\lfloor \frac{(n-2)}{2} \right\rfloor + 6 \right)^2$$

It remains an open problem to verify if some of the above bounds are sharp.

References

- [1] Alavi Y., Behzad M.: Complementary graphs and edge chromatic numbers, *SIAM J. Appl. Math.* **20** 161–163 (1971)
- [2] Aouchiche, M., Hansen P.: A survey of Nordhaus-Gaddum type relations, *Discrete Appl. Math.* **161** 466–546 (2013)
- [3] Bosák J., Decompositions of graphs, Mathematics and its Applications, 47 Kluwer Academic Publishers Group, Dordrecht, 1990.
- [4] Cameron P.J., Automorphisms of graphs, in “Topics in Algebraic Graph Theory”, Encyclopedia of Mathematics and its Applications, 102, 137-155, Beineke and Wilson eds., Cambridge University Press, Cambridge, 2004.
- [5] Capobianco M., Molluzzo J.C., Examples and Counterexamples in Graph Theory, North-Holland, New York, 1978
- [6] Diestel R., Graph theory. Fourth edition. Graduate Texts in Mathematics, 173 Springer, Heidelberg, 2010.
- [7] Li D., Wu B., Yuang X., An X.: Nordhaus-Gaddum-type theorem for Wiener index of graphs when decomposing into three parts, *Discrete Appl. Math.* **159** 1594–1600 (2011).
- [8] Fiori C., Mazzuoccolo G., Ruini B.: On the automorphic chromatic index of a graph, *Graphs Combin.*, **26** 685–694 (2010)
- [9] Mazzuoccolo G., Ruini B.: Upper bounds for the automorphic chromatic index of a graph, *Graphs Combin.*, (2013) DOI: 10.1007/s00373-013-1321-0
- [10] Nordhaus E.A., Gaddum J.W.: On complementary graphs, *Amer. Math. Monthly*, **63** 175–177 (1956)
- [11] Vizing V.G.: The chromatic class of a multigraph, *Kibernetika* **3**, 29–39 (1965) (in Russian), *Cybernetics* **3** 32–41 (1965) (English trans.)

Corresponding author:

Beatrice Ruini

Università di Modena e Reggio Emilia

Dipartimento di Scienze Fisiche, Informatiche e Matematiche

Via Campi 213/A

41125 Modena

Italy

office number: +390592055190

fax number: +390592055216

email: beatrice.ruini@unimore.it