



Humans and Water in Desert “Refugium” Areas: Palynological Evidence of Climate Oscillations and Cultural Developments in Early and Mid-Holocene Saharan Edges

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ABSTRACT

Saharan anthropic deposits from archaeological sites, located along wadis or close to lakes, and sedimentary sequences from permanent and dried basins demonstrate that water has always been an attractive environmental feature, especially during periods of drought. This paper reports on two very different examples of Holocene sites where “humans and water” coexisted during dry periods, as observed by stratigraphic, archaeological and palynological evidence. Independent research was carried out on the Jefara Plain (Libya, 32°N) and the Gobero area (Niger, 17°N), at the extreme northern and southern limits of the Sahara, respectively.

The histories of the Jefara and Gobero areas, as revealed by the archaeological and palaeoenvironmental reconstructions, suggest that these areas were likely to have been visited and exploited for a long time, acting as anthropic refugia, and therefore they have been profoundly transformed. Human presence and actions have conditioned the local growing of plants and selected a more or less synanthropic flora. Today, modern conservation strategies should take into consideration that water reservoirs, which are crucial for the long-term conservation of biodiversity, have provided refugia in the past just as they presently do under global warming conditions.

1. Introduction

The concept of a *refugium* is frequently combined with that of mountains in desert ecosystems (Quézel 1997; Anthelme *et al.* 2008; Migliore *et al.* 2013). *Evolutionary* and *ecological* refuges have different chronological and spatial scales (Quézel, Martinez 1960; Maley 1981, 2010; Bennet, Provan 2008; Watrin *et al.* 2009; Davis *et al.* 2013), but both may be recognised in the history of currently hyper-arid regions. Although there are different approaches to this matter, in general, refugia are regarded as places where the local microclimate was different from the regional climate, and therefore organisms may have retreated, *persisted in* or *expanded from*, under changing environmental conditions (according to the habitat-based definition of refugia by Keppel *et al.* 2012). Under dry or cold climate oscillations,

for example, organisms have a higher chance of survival in these places.

With regard to deserts, including current ethnographic evidence (Mandaville 2011; di Lernia *et al.* 2012), it is especially evident that, little by little, water has triggered the movements of plants, animals and humans. Across the history of desert regions, organisms have followed water, and when climate conditions became drier and water basins and rivers reduced their distribution or flow, then humans have reached the same refuges where water and plants have already concentrated (Kuper, Kröppelin 2006; Mercuri 2008a; Florenzano *et al.* 2016).

This leaves open the question as to whether the plant cover near places of water (or wetlands) is natural or anthropogenic.

Saharan anthropic deposits from archaeological sites, located along wadis or close to lakes, and sedimentary sequences from permanent and dried basins, have demonstrated that water has been an attractive environmental feature especially during periods of drought (*e.g.*, Smith *et al.* 2005; Garcea 2013a).

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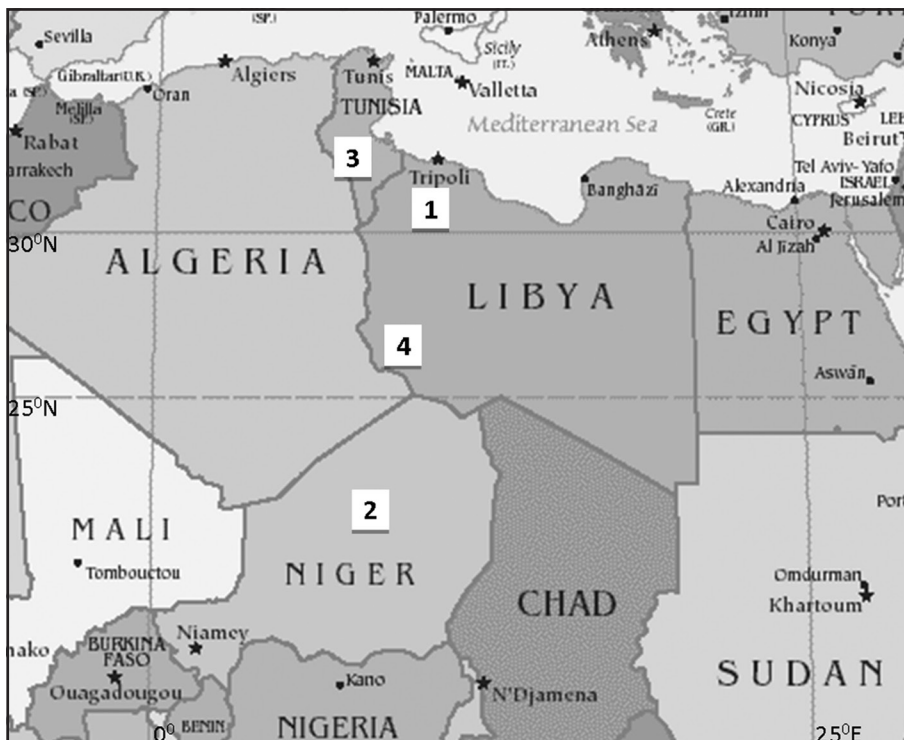


Figure 1. Location map of the sites discussed in the text: 1 – Jefara Plain; 2 – Gobero area; 3 – Chott Rharsa basin; 4 – Tadrart Acacus Mountains.

This paper reports on two, very different, examples of evidence concerning “humans and water” as observed in the stratigraphic, archaeological and palynological studies carried out on Holocene deposits at the extreme limits of northern and southern Sahara.

2. The case studies

The two case studies reported in this paper come from the Jefara Plain (Libya, 32°N) to the north and the Gobero region (Niger, 17°N) to the south of the present Sahara desert (Figure 1). They currently lie at the margins of the widest desert of the world, both regions that are known to receive more rainfall and have greater vegetation cover than the central regions. Central Sahara lies between 18° and 30° N, its annual rainfall is below 25 mm, and its underground aquifers sometimes penetrate through to the surface resulting in oases (White 1983).

The areas of the Jefara and Gobero have been studied using an interdisciplinary approach that involved environmental investigations in the general archaeological research. Palaeoenvironmental reconstructions resulted from an integration of archaeological, geological, sedimentological and palynological studies in the two areas. In fact, they are both multipoint sites characterised by a complex set of sedimentary and cultural contexts (Giraudi 1995; 2005; Barich *et al.* 2006; Garcea, Giraudi 2006; Sereno *et al.* 2008; Barich 2013; Garcea 2013a).

Pollen analyses resulted in a very laborious and time-consuming procedure. Many sandy and organic-poor types of sediment were treated for pollen extraction through sieving and floating procedures (van der Kaars *et al.* 2001;

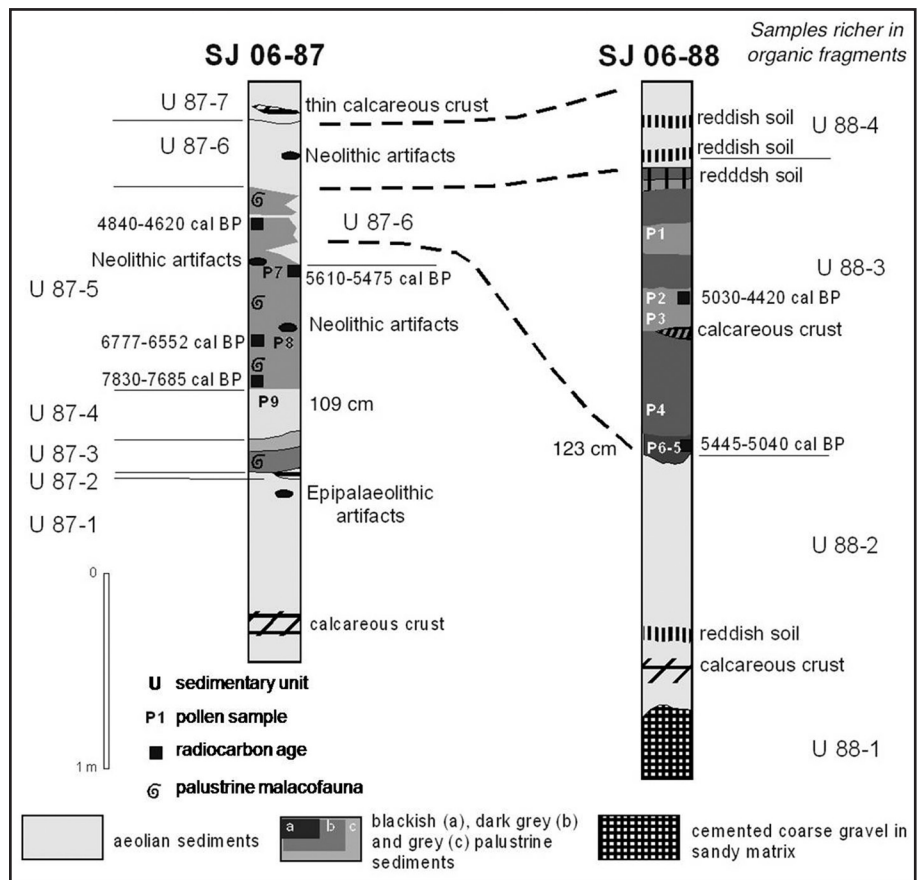
Florenzano *et al.* 2012). Although several grams of sediment were processed, quite a lot of samples were sterile and others showed very low pollen concentration (expressed as pollen grains per gram = p/g). Nevertheless, pollen in a good state of preservation was found in several samples. The high interest of these contexts, commonly associated with archaeological deposits and radiocarbon dating, encouraged the accomplishment of these pollen analyses and gave the possibility to compare the pollen data with the other results obtained from different analyses.

2.1 Case study 1: the Jefara Plain (Libya; 32°00' N, 11°41' E; c. 230 m asl; c. 7800–4600 cal BP)

At the northern fringes of the Sahara desert, Quaternary sedimentary sequences were identified in the Jefara Plain in front of the northern scarp of the Jebel Gharbi, a mountain range in northwestern Libya. These sequences were mostly formed of dark-grey palustrine sediments interbedded with aeolian deposits; these were studied in order to establish the timing of marsh formation and the periods of increased groundwater flow (Giraudi *et al.* 2013). Moreover, a number of Neolithic archaeological features, especially hearths, suggested that human frequentation had occurred on a seasonal basis for those transiting between the Mediterranean coast and the mountain range and the pasture of livestock (Lucarini 2013).

As the geomorphologic and stratigraphic features of the Jebel Gharbi and the Jefara Plain showed that Holocene sediments were rather discontinuous in this area, since they had been subjected to strong wind deflation and erosion by ephemeral streams, two sites about 300 m apart, SJ-06-87 and SJ-06-88, where the aeolian sediments were interbedded with dark palustrine sediments, were selected

Figure 2. Sedimentary sequence and pollen sampling from the sites SJ-06-87 and SJ-06-88 in the Jefara Plain (from Giraudi *et al.* 2013, modified).



for pollen analyses (Giraudi *et al.* 2013). Six radiocarbon dates made on organic dark sediments demonstrated that these sediments accumulated during a period of about three thousand years. Pollen samples were taken from the same sediments (Figure 2). About 200 pollen grains were counted on average in nine samples. Counts of Non Pollen Palynomorphs (especially algae; van Geel 2001), and microscopical particles of charcoal, helped to complete the palaeoecological information.

The palynofacies from the two sites looked different. The mean pollen concentration was very low (800 p/g in SJ 06-88, and <100 p/g in SJ 06-87) but a high number of taxa (more than 100 taxa, from 14 to 47 per sample) was

observed. The pollen diagram shows a dominance of pollen from herb plants (Figure 3). Trees were insignificant with a mean percentage sum of 6%, and prevalence of *Tamarix* and *Ficus*, followed by *Salvadora persica* and *Capparis*. Pollen of anemophilous trees included the deciduous *Quercus* and *Betula*, and conifers, such as *Pinus* and *Cedrus*, that derived from long-distance transport from the Mediterranean area. These types of records may be interpreted as indicators of wind fluxes that, generally, are more intense during dry climate phases (Lézine *et al.* 2011; Mercuri 2015). In these spectra, Poaceae and Cyperaceae were ubiquitous together with different taxa of Chenopodiaceae, Asteraceae and *Plantago*. Altogether, the prevalent vegetation of the Jefara

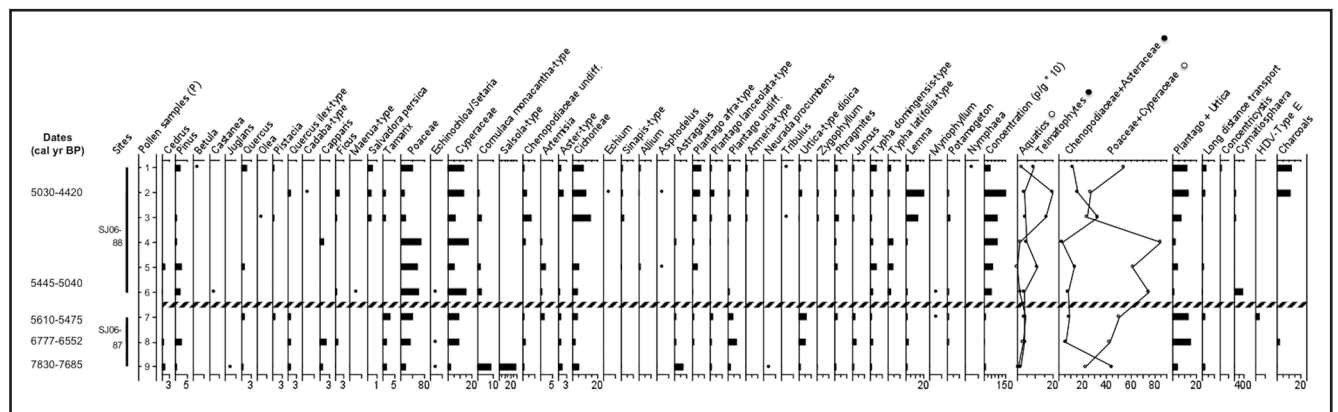


Figure 3. Pollen diagram of the samples from the Jefara Plain.

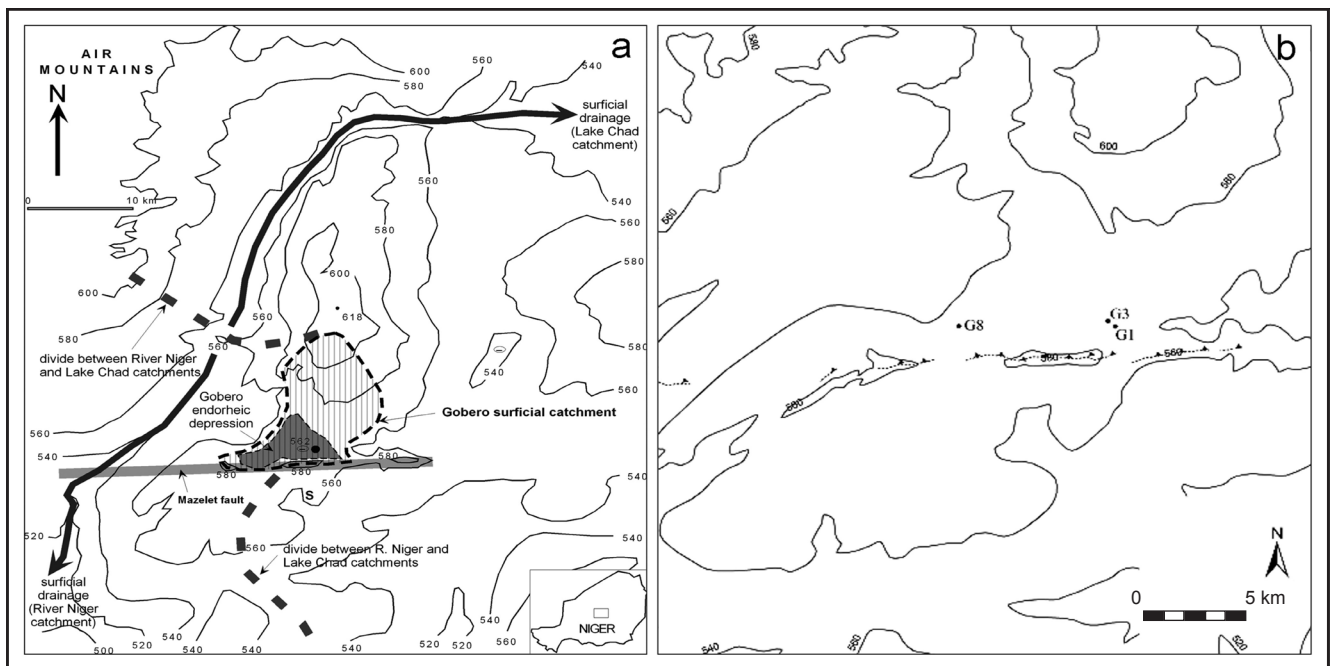


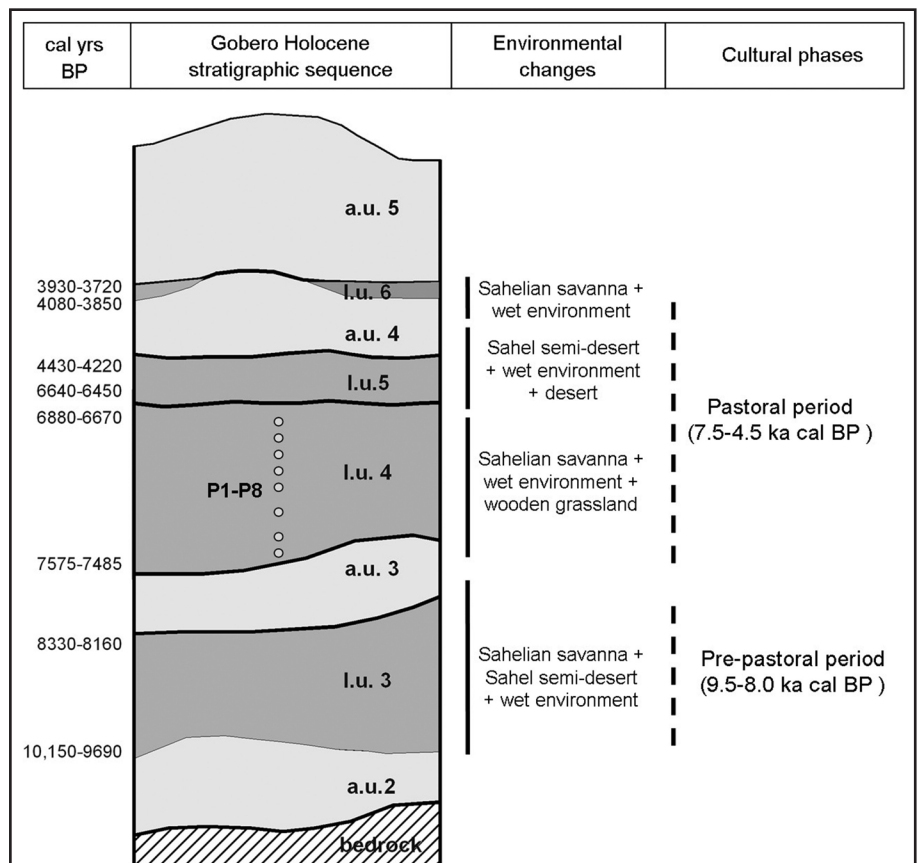
Figure 5. The Gobero area: a – Gobero basin and catchment area; b – Map of the Gobero area with the sites (modified from Garcea 2013a).

2.2 Case study 2: the Gobero Lake (Niger; 16°55' N, 9°30'E; c. 560 m asl; c. 10,000–3800 cal BP)

During the Holocene humid periods, a lake formed in the Gobero basin and a spillway connected the Gobero area with

Lake Chad. During the Early and Mid-Holocene periods, human settlements were established on the shores of the ancient lake and over 200 burial sites within the settlements were found and assigned to the Pre-Pastoral (c. 9.5–8.2 ka BP)

Figure 6. Stratigraphy of the Gobero Lake showing subsequent lacustrine units (l.u.) and aeolian units (a.u.), main vegetation cover, and cultural periods (modified from Giraudi 2013).



and Pastoral (c. 7.1–4.5 ka BP) cultural phases. Besides the archaeological contexts, the chronological framework of the site is based on OSL and radiocarbon dating (Sereni *et al.* 2008).

Pollen analyses were carried out on samples taken from the burial sites (close to the skeletons; G1 and G3) and stratigraphic test excavations in the dried lake (GO1; Figure 5). The pollen record from the lake provided pollen that was less disturbed by human influence than that collected from the burial sites. On the other hand, pollen analyses from the burial sites revealed some human plant uses (Mercuri *et al.* 2013). The combined evidence of pollen spectra from both the burial sites and dried lake allowed us to infer vegetational and environmental changes. Stratigraphic correlations showed that the lake record belongs to the Mid-Holocene phase, dating from about 7575–7485 to 6880–6670 cal BP (Lacustrine Unit 4 in Giraudi 2013; Giraudi, Mercuri 2013, 118). Its stratigraphy was made by subsequent lacustrine and aeolian units corresponding to wet and dry climatic phases, and different cultural phases (Figure 6).

The pollen samples were collected from several lakebeds and burial deposits associated with wet phases. Among the 31 pollen samples taken from eleven lakebeds, pollen spectra could only be obtained from site GO1. A very low pollen concentration, even <100 p/g, and only a few number of pollen taxa (<10 taxa) were found in the samples from the other exposures of lake sediments, showing that selective corrosion had affected the pollen. Thinned exines, probably resulting from hydration / dehydration cycles in the lakes, were usually observed.

Eight pollen samples were taken from the lacustrine unit (l.u. 4 in Figure 6), in about 53 cm of sandy and silty sediments of GO1. About 300 pollen grains per sample were counted on average. Mean pollen concentration was low (440 p/g), but quite a high number of taxa (90, from 14 to 36 per sample) was observed. The pollen diagram shows a dominance of Poaceae and Cyperaceae suggesting the presence of open grassland, and a few shrubs possibly surrounding the margins

of the lake (Figure 7). Trees were insignificant with a mean percentage sum of 9%. The hygrophilous tree *Ficus* prevails testifying to a continuous presence of water – at least in the upper surface layer water table. *Capparis* has an isolated peak, and Chenopodiaceae includes the small psammophilous shrub *Cornulaca monacantha*-type. Pollen of anemophilous trees from the Mediterranean basin, especially *Quercus ilex*-type, deciduous *Quercus* and *Pinus*, comes from long-distance transport by wind. In the herb-dominated spectra, Urticaceae (*Laportea*-type) and *Plantago afra*-type are common with Asteraceae and *Zygophyllum*. Herb plants of wet environments, including limno-telmatophytes, such as *Phragmites* and *Typha*, characterise the spectra.

Two main pollen zones, represented here in the diagram (Figure 7), were distinguished:

- at the bottom (zone GO1-a, 53–22 cm, around 7.5 ka BP), pollen concentration is very low; *Ficus* is present together with *Capparis* and *Salvadora*, which grow in wadi communities. Freshwater communities are well-represented especially by *Typha*, growing along the lake shores, while Chenopodiaceae reflect salt and dry environments in the area.
- at the top (zone GO1-b, 21–5 cm, around 6.8 ka BP) there is an increase in pollen concentration, together with pollen of *Typha*, and floating aquatics needing permanent water, such as *Nymphaea* and *Potamogeton*, whereas xerophytes decrease. Algal elements and microscopic charcoal particles were observed in one (20 cm-deep) sample.

These zones reflect two subsequent phases in the life of the lake, a locally drier phase in the bottom part, and seasonal oscillations of water levels. As different plant communities and habitats are represented in the same pollen spectrum, they suggest a mosaic of wet environments, grassland and sandy dunes matching different habitats and seasons around the lake and in the region. Cattails and other plants provided food, woody plants gave fuel, and, in general, plants were collected for multipurpose uses (Mercuri 2008b).

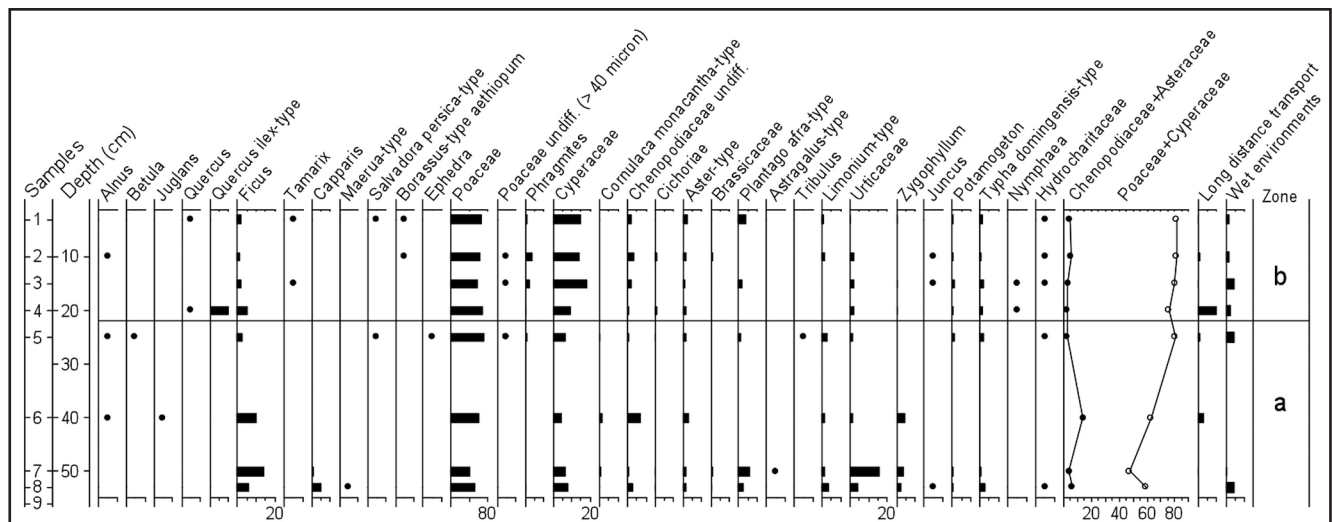
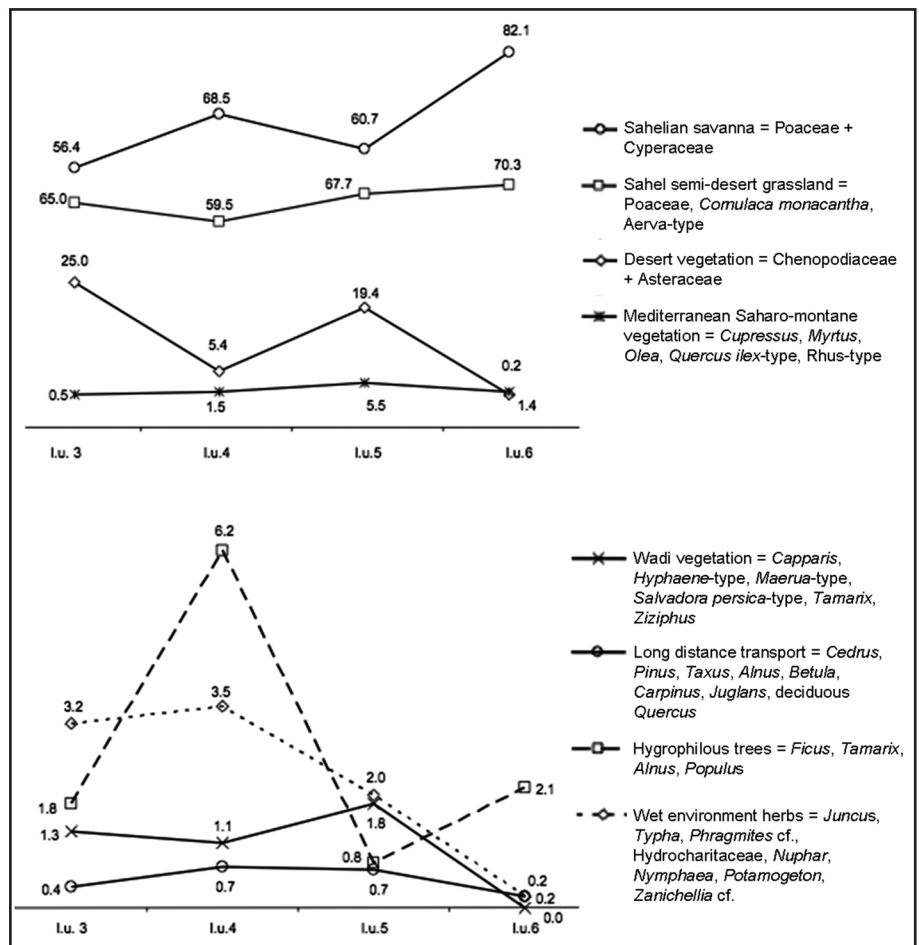


Figure 7. Pollen diagram of GO1 lakebed showing two main pollen zones.

Figure 8. Selected plant associations from pollen analysis of the Gobero area (burial sites and lakebed), and their trends in lacustrine units (L.u.) (modified from Giraudi, Mercuri 2013).



Combined with the record from the burial sites, the pollen from Gobero shows major vegetational changes occurring in the area during the Early and the Middle Holocene (Figure 8). Sedimentological and pollen data suggest that, during the Early Holocene, the environment was more humid and became progressively drier with a climatic warming in the Middle Holocene. At this time, some humid episodes still occurred, but seasonality was enhanced. The landscape was covered by grassland of a Sahelian-type in the rainy seasons, although xerophilous plants spread in the dry seasons. Towards the final phase of human occupation, around 5.0–4.0 ka BP, psammophilous shrubs spread in sandy places with the encroaching of the Sahara, leading to the gradual abandonment of the site. As the local conditions did not seem as dry as in other northwestern African areas, a persistence of significant local wet conditions still occurred at Gobero for a short period of time (around 4.1–3.7 ka BP) within a generally dry climatic phase.

The archaeological reconstruction shows that, during the Pre-Pastoral period, hunter-gatherers settled only in some restricted locations that offered certain crucial resources, such as water bodies (Garcea 2013a; Garcea *et al.* 2013). Once people had begun to choose the richest available patch of land and water body, they were forced into sedentism. In order to cope with decreasing resources, they based their subsistence on the consumption of a wide range of plants that

offered a constant available resource. During the Pastoral period, when the climate became more instable, the Gobero palaeolake offered a very favourable and exceptional location for a base camp. In fact, when water supplies are limited, as was the case in southern Sahara, lakes play a determining role in reducing the mobility of herders. Furthermore, when water availability continued to decrease, settling at Gobero was probably not only an efficient logistical choice, but an economic necessity to exploit the resources that were still available on the spot.

3. Discussion

Research in the Saharan and Mediterranean regions has often suggested that cultural developments sometimes show trends that can correspond to climatic changes (Brooks *et al.* 2005; Cremaschi 2002; Kuper, Kröpelin 2006; Mercuri 2008a; Cremaschi, Zerboni 2009; Roberts *et al.* 2011; Maley, Vernet 2013). The main events of profound change in the environmental and cultural systems occurred at around 8.2, 6.0 and 4.2 ka BP (Mercuri *et al.* 2011). During these periods not all the responses were the same, but it is possible that such dry and cool events triggered human adaptation to new environmental conditions. Many palaeoenvironmental archives, for example, have suggested that the Mediterranean

natural vegetation was transformed into a cultural landscape in a fairly gradual way (Mercuri 2014). The Saharan environments underwent dramatic changes that considerably affected their ecosystems, and human settlements or their movements, during the Holocene. Archaeological sites are, therefore, reliable archives of both climate and human influences and their impact (Mercuri 2008a; Cremaschi *et al.* 2014).

The interpolation of palaeoenvironmental and archaeological data suggests that around the Gobero Lake there was an ecosystem dynamic similar to that observed around the marshlands of the Jefara Plain. At the northern fringes of the Sahara desert, human frequentation of the Jefara Plain relatively increased at around 6.7–5.4 ka BP. This probably depended on the local availability of water and this encouraged humans and animals to move and live in the area even during drought periods. A similar ecosystem dynamic was observed at the Gobero Lake area (Giraudi *et al.* 2013).

In the central Sahara highlands and mountains (such as, for example, the Tadrart Acacus), although psammophilous vegetation began its expansion after approximately 6.2 ka BP, a change in grassland composition with an increase of more drought-resistant grass species took place at around 5.4 ka BP, and a spread of xerophilous plants followed the increasing aridity in places like the wadi Teshuinat area (Mercuri 2008a). South of it, in the wadi Takarkori area, an almost continuous human occupation of rock shelters occurred since the beginning of the Holocene. The pollen content of the Takarkori rockshelters highlighted a reduction of water availability in the region at c. 8.2 ka BP. However, the persistence of water resources inside the central Saharan massifs allowed a continuous human frequentation, even during the dry period. This confirms that these mountains acted as a refuge for human groups, which in the same period modified their subsistence strategies, including the exploitation of domesticated animals in their subsistence base (Cremaschi *et al.* 2014).

At Gobero, people could benefit from the advantage of living in ecological and social conditions that are typical of edge zones (see Garcea 2013b). These areas are able to offer high biodiversity and social interactions with different groups, providing opportunities to incorporate a wide suite of adaptive responses. In the case of Gobero – sedentism, as a settlement strategy developed by the local pastoralists – probably caused some contrary effects of over-exploitation, which may have become fatal in the long-run. This has been demonstrated, for example, by the pollen research carried out in the Tadrart Acacus. During phases of decrease of water availability all over the desert, over-grazing during the Middle and Late Pastoral cultural phases contributed to accelerating the desertification of such an ecologically-sensitive area (Mercuri 2008a; 2008b).

4. Conclusion

A strong link exists between humans and water – and this is deeply rooted in the biological nature of living organisms and is evident in the general development of human cultures;

but in deserts, where “water” is the major limiting factor for life, this is even more stringent. In fact, a small change in water distribution, such as might occur under recurrent climate oscillations, can cause non-negligible changes in both vegetation distribution and cultural adaptations to the environment.

Before the desertification of modern times, both the Gobero Lake and the Jefara marshlands seem to have acted as microrefugia – as they were restricted areas of favourable environmental conditions within regions of largely unfavourable climate; they acted as ecological refuges and were able to provide shelter under the existing highly variable climatic conditions of the Early and Middle Holocene.

The presence of water in these Saharan basins was particularly attractive for humans, and the “sites with water” had, in the past just as it is today, the invaluable role of being nourishing places for living beings, and refugia for plants, animals and humans. Such places offered more favourable conditions for life during critical phases, such as dry oscillations, due, for example, to a decrease in precipitation and a lowering of the water table and small superficial lakes. In this sense they have always acted as biodiversity hotspots that provided the sole source of water for living organisms.

The history of the Jefara, and especially that of Gobero, as revealed by the archaeological and palaeoenvironmental reconstructions, suggests that such sites at the edges of the desert – at its most northern and southern limits – have been visited and exploited for a long time, and therefore have been profoundly transformed, especially when they acted as anthropic refugia. The presence of humans and their action have conditioned the local growing of plants and have selected a more or less synanthropic flora. Modern conservation strategies should take into consideration the fact that water reservoirs, which are crucial for the long-term conservation of the Sahara-Sahel biodiversity today (Vale *et al.* 2015), have provided refugia in the past – as they presently do under global warming conditions.

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