

## **Neurosteroids: current perspective in therapy of neuropsychiatric disorders**

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### **ABSTRACT**

Neurosteroids are natural or synthetic steroid derivatives which act locally in brain by modulating neuronal excitability. The objective of this study is to analyze available literature on classification, biosynthesis and mechanism of action, and therapeutic potential of neurosteroids. A review of literature pertaining to neurosteroids published from inception to 2018 was carried on data bases like PUBMED, Google Scholar and Science Direct. The search terms used were neurosteroids, neuro-active steroids, ganaxolone and GABA-A receptor modulators. Review of literature suggests neurosteroids are powerful neuro-modulators, involving rapid non-genomic and non-hormone receptor mechanisms. They are classified based on structure as pregnane, androstane and sulphated neurosteroids, and based on function as excitatory or inhibitory neurosteroids. They act via GABA<sub>A</sub> receptor (primarily), rho- GABA (ρGABA), NMDA-glutamate and sigma receptor modulation. The inhibitory neurosteroids demonstrate sedative, anxiolytic and anticonvulsant actions, whereas the excitatory agents produce memory enhancing and anxiogenic effects. They show efficacy in various CNS and psychiatric conditions like epilepsy, anxiety, depression, learning and memory disorders and substance abuse. Endogenous neurosteroids have limited clinical use due to low bioavailability, lack of specificity and unwanted effects. Hence, synthetic agents like alphaxalone, ganaxolone, sepranolone and brexanolone which have better bioavailability and specificity, are being investigated in various phases of clinical trials. Neurosteroids are novel endogenous compounds with neuro-modulatory function and show promising effects in therapy of various neurological and psychiatric conditions. Further studies that prove their long term efficacy and safety may revolutionize the clinical approach to therapy of these conditions.

**Keywords:** Alphaxalone, GABA-A receptor, Ganaxolone, Neurosteroids, Neuromodulators

### **INTRODUCTION**

Neurosteroids are natural or synthetic steroid derivatives that act locally in the brain as neuroactive compounds modulating neuronal excitability.<sup>1-3</sup> The term neurosteroid was coined by Etienne-Emile Baulieu in 1981 to describe the steroids that accumulate in the brain, either through de novo synthesis from steroid precursors, or from endocrine glands.<sup>1</sup> They are thought to act by rapid non-genomic mechanisms not involving any hormone receptors.<sup>2</sup>

### *Classification*

Neurosteroids can be classified in several ways based on structure as well as function as depicted in Figure 1.<sup>2-4</sup>

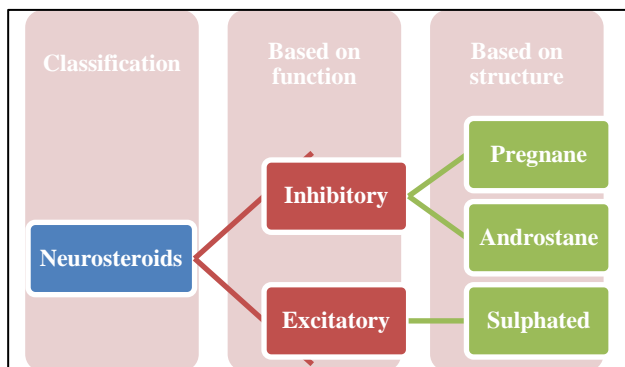
*Based on structure, they are divided into three groups*

- Pregnane derivatives: Allopregnanolone and Allotetrahydrocorticosterone
- Androstane derivatives: Androstenediol and Eticolanone

- Sulfated neurosteroids: Pregnenolone Sulfate (PS) and Dehydroepiandrosterone Sulfate (DHEAS)

Based on function, they are classified into two categories

- Excitatory neurosteroids which are the sulphated compounds
- Inhibitory neurosteroids which are the pregnane and androstane neurosteroids.



**Figure 1: Classification of neurosteroids.**

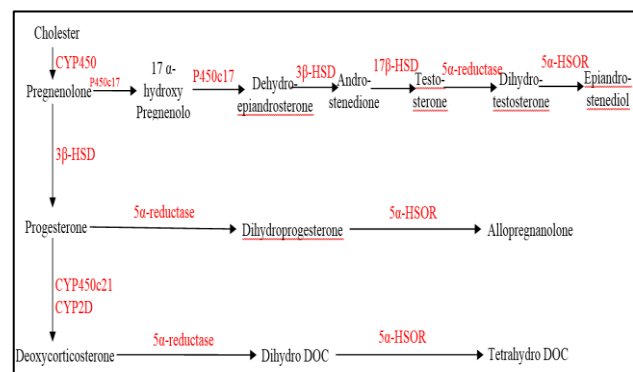
### Neurosteroidogenesis and its regulation

The biosynthesis of neurosteroids occurs not only locally in the brain but also from peripheral sources which are later transported into the brain. The enzymes required for neurosteroidogenesis are found in neurons and glial cells in various regions of the brain.<sup>5-8</sup> Most of the neurosteroids are synthesized by A-ring reduction of steroid precursors by action of enzymes 5 $\alpha$ -reductase and 3 $\alpha$ -hydroxysteroid oxidoreductase (3 $\alpha$ -HSOR) in a sequential manner. In the periphery these steps occur in tissues like reproductive endocrine tissues, liver, and skin.<sup>5</sup> In the brain they mainly occur in the neocortex and hippocampal tissues.<sup>5,9</sup> The precursors for this process being highly lipophilic readily enter the brain from peripheral tissues, as do the peripherally synthesized neurosteroids.<sup>10-12</sup>

The initial steps of neurosteroidogenesis involve the conversion of cholesterol to pregnenolone by the enzyme cytochrome P450 cholesterol side-chain cleavage enzyme (CYP450sc) which is expressed in neuronal cells and astrocytes.<sup>13</sup> Pregnenolone is further converted to progesterone by 3 $\beta$ -hydroxysteroid dehydrogenase. Progesterone is then converted to dihydroprogesterone by 5 $\alpha$ -reductase which is then converted to allopregnanolone by 3 $\alpha$ -HSOR. Progesterone is also converted to deoxycorticosterone (DOC) by CYP2D enzyme. DOC is further reduced to dihydroDOC by 5 $\alpha$ -reductase which then forms tetrahydroDOC (THDOC) by action of 3 $\alpha$ -HSOR. Androstane neurosteroids are also synthesized by similar A-ring reduction of androstenedione.<sup>2</sup>

Neurosteroidogenesis control is under the influence of a translocator protein (18KDa) which is located in the outer mitochondrial membrane. This protein which was

previously known as peripheral or mitochondrial benzodiazepine receptor, is now known to favor the transport of cholesterol from outer to inner mitochondrial membrane, thus promoting neurosteroidogenesis.<sup>14-16</sup> The steps involved are given in the Figure 2.<sup>4</sup>



**Figure 2: Biosynthesis of neurosteroids.**

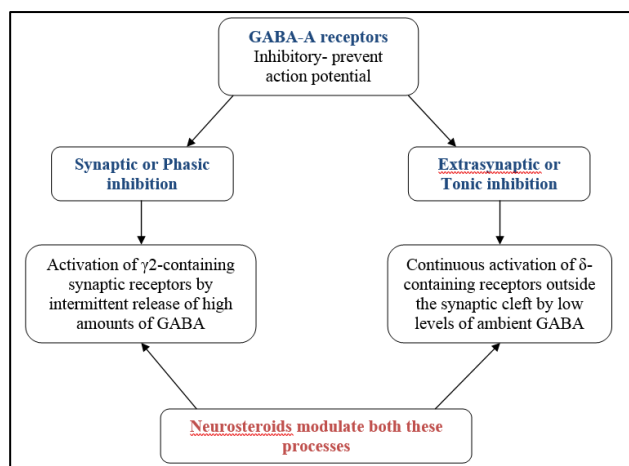
### Mechanisms of neurosteroid action

The major difference in mechanism of action of neurosteroids from steroids is that neurosteroids do not act primarily through classical intracellular steroid receptor based genomic pathways, but mainly through ionic channel and membrane receptor based non genomic mechanisms. This non-genomic action is principally via regulation of the GABA-A chloride channel receptor action. The minor activity on steroid receptors is due to conversion of neurosteroids to classical steroids.<sup>17-20</sup>

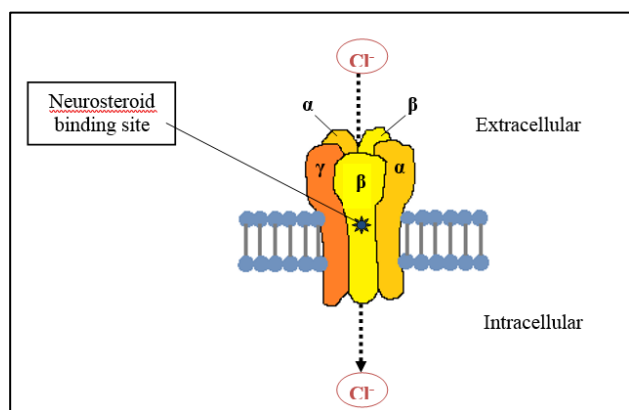
### GABA-A receptor modulation

As mentioned earlier, GABA-A receptor is a major site of action for neurosteroids which regulate its function by both positive and negative modulation. GABA-A receptors are ligand-gated chloride ion channel which are mainly inhibitory on synaptic transmission. GABA-A receptors are pentameric in structure with two  $\alpha$ , two  $\beta$  and one  $\gamma$  or  $\delta$  subunits which form the chloride ion channel.<sup>21</sup> GABA interacts with a specific binding site and mediates the opening of the chloride channel which allows the influx of chloride ions and hyper-polarization of cellular membrane. Phasic inhibition occurs as a result of activation of  $\gamma$ 2-containing GABA-A receptors by synaptic release of large amounts of GABA whereas, tonic inhibition results from continuous interaction of ambient GABA released elsewhere on the  $\delta$ -subunit containing GABA-A receptors.

The GABA-A receptors present in the hippocampal dentate gyrus granule cells contain the  $\delta$ -subunit. These receptors have unique role in controlling the hippocampal excitability by setting a baseline excitability.<sup>2</sup> Both processes i.e. phasic and tonic inhibition are affected by neurosteroids by binding to a distinct site depending on the molecular structure of the GABA-A receptor involved, which is depicted in Figure 3 and 4 below.<sup>2,17,22</sup>



**Figure 3: Neurosteroid modulation of phasic and tonic inhibition mediated through GABA-A receptors.**



**Figure 4: Neurosteroids mechanism of GABA-A receptor modulation.**

The positive neurosteroid modulators of GABA-A receptors are allopregnanolone, THDOC and androstanediol.<sup>23,24</sup> The negative neurosteroid modulators are sulfated compounds like PS and DHEAS act by non-competitive mechanisms by binding to a site different from that of allopregnanolone and THDOC. Thus, at least three binding sites on GABA-A receptors are recognized for neurosteroids.

- Allosteric enhancement of GABA-evoked currents by allopregnanolone
- Direct activation by allopregnanolone
- Antagonist action of sulfated neurosteroids such as PS

#### **Modulation of other GABA receptors**

The rho-GABA receptors also called as GABA-C or GABA-A<sub>p</sub> receptors are also inhibitory specifically in the retina and other parts of nervous system. They modulate a variety of visual, sleep and cognitive disorders, and are implicated in apoptosis of hippocampal neuronal cells and pituitary hormonal regulation. Neurosteroids like allopregnanolone, 5 $\alpha$ -THDOC and alphaxalone potentiate

the  $\rho$ -GABA evoked currents whereas pregnanolone, 5 $\beta$ -dihydroprogesterone and 5 $\beta$ -THDOC inhibit their currents. Selective antagonists may delay or prevent the development of myopia and may enhance learning and memory.<sup>25</sup>

#### **Glutamate receptor modulation**

Pregnenolone sulphate (PS) and other sulphated neurosteroids like DHEAS have shown potent allosteric agonistic activity at N-methyl-D-aspartate (NMDA) glutamate channels. PS acts as a positive modulator at NMDA receptors by 1) selectively potentiating NMDA receptor mediated glutamate-induced depolarization, 2) Inhibiting the GABA, glycine and non-NMDA responses and 3) Augmenting the frequency and duration of opening of the NMDA-activated calcium channels.<sup>2,26-28</sup>

#### **Sigma-1 receptor modulation**

First described as a subset of opioid receptors, Sigma receptors exist as two types- sigma-1 and sigma-2 receptors.<sup>29</sup> Among these sigma-1 receptors were evaluated in various neurological conditions such as anxiety and depression, learning and memory, schizophrenia and drug abuse. Neurosteroids are considered as the endogenous ligands of these receptors with pregnenolone, DHEAS and their sulphates acting as agonists and progesterone acting as antagonist.<sup>30</sup>

#### **Therapeutic role in Neuro-psychiatric disorders**

Depending on their structure, neurosteroids either have neuro-inhibitory or neuro-excitatory effects. Accordingly, agents such as pregnenolone, allopregnanolone and THDOC are useful as sedative, anxiolytic agents and anti-epileptic agents whereas others like pregnenolone sulphate and DHEAS are useful as memory enhancing agents with excitatory and anxiogenic properties.

#### **Neurological disorders**

##### **Epilepsy**

The inhibitory neurosteroids which act as positive allosteric modulators of GABA-A receptors can be utilized therapeutically as anti-epileptic/anti-convulsant agents. They show a broad spectrum of activity being effective against various types of epilepsies including kindled seizures, pentylenetetrazol (PTZ) model of epilepsy, seizures due to drug-withdrawal, pilocarpine-induced seizures and at very high doses suppression of maximal electro-shock convulsion in animal models.<sup>31</sup> Though these agents differed in their relative potencies, synthetic pregnenolone derivatives showed similar anti-epileptic activity with a protective index that is comparable to conventional anti-epileptic agents that are clinically used.<sup>2,32</sup> Neurosteroids do not appear to be mired by limitations such as development of tolerance, which is a common problem with long term benzodiazepine

treatment. They also appear to be efficacious on many types of GABA-A receptors especially those containing the  $\gamma 2$  subunit. This makes them an ideal candidate for chronic treatment of epilepsies. Even though neurosteroids show promise as potent anti-epileptic agents, limitations with their bioavailability and metabolism leading to increased steroid receptor activity do not make them suitable agents to be used clinically.<sup>2,33</sup> In contrast, the sulfated neurosteroids act as proconvulsant agents at higher doses resulting in seizures and status epilepticus which can be counteracted by coadministration of allopregnanolone. Neurosteroids thus appear to have a regulatory role in epileptogenesis and seizure control.<sup>4</sup>

#### *Anxiety and stress*

As it is evident from the mechanism of action, excitatory neurosteroids are anxiogenic and inhibitory neurosteroids are anxiolytic in action.<sup>34</sup> Profound decrease in pregnenolone levels in the brain were observed in patients with induced anxiety. Hence increasing the synthesis of neurosteroids or external administration of the same may facilitate to abort anxiety and panic attacks.<sup>35</sup> In various animal model of anxiety such as lick suppression test and elevated plus maze, administration of  $3\alpha$ -reduced neurosteroids showed potent anxiolytic effects. It was also suggested that these neurosteroids may cause stress-induced activation of hypothalamo-pituitary-adrenal axis. Other genomic-mediated mechanisms such as inhibition of stress-induced CRH release and inhibition of CRH- gene expression was also suggested to be involved in their anxiolytic effects.<sup>36</sup> It is well known that corticosteroids have a significant role in stress management. Stress-induced release of hypothalamic corticotrophin releasing hormone (CRH) leads to stimulation and release of pituitary adrenocortico-tropic hormone (ACTH) which in turn stimulates the release of adrenal corticosteroids. Similarly, neurosteroids are also released during states of acute physiological stress, especially THDOC and allopregnanolone whose levels rise rapidly in the plasma and brain.<sup>17,30</sup>

#### *Depression*

Deregulation of neurosteroid levels in the brain is thought to be involved in the pathophysiology of depressive illnesses. It was observed that there is reduced concentration of endogenous neurosteroids in the CSF of patients suffering from clinical depression. Treatment of these patients with selective serotonin reuptake inhibitors (SSRIs) resulted in an elevation of neurosteroids and exogenous administration of neurosteroids improved symptoms of depression. These findings consolidate the above theory. Both genomic and non-genomic mechanisms play a role in the anti-depressant effects of neurosteroids. These effects may likely be mediated through modulation of sigma-1 receptors which play an important role in pathophysiology of depression.<sup>37</sup> Neurosteroids such as pregnenolone, DHEA and their sulfated esters are agonistic whereas progesterone is

antagonistic at these sigma-1 receptors.<sup>30</sup> The effects of DHEAS are mediated only through non-genomic mechanisms. DHEA and DHEAS which act as potent agonists at sigma-1 receptors, result in inhibition of noradrenaline reuptake and increase noradrenaline through NMDA evoked noradrenaline release which is mediated through sigma-1 receptors. On the other hand, allopregnanolone decreases noradrenaline induced corticotrophin releasing hormone (CRH) release and arginine-vasopressin (AVP) release which are both thought to have causal role in depression.<sup>37</sup> In addition to these, a disturbance in regulation of  $3\alpha$ -reduced neurosteroid synthesis was suggested to have a role in the physiochemical changes observed in certain mouse models of depression.<sup>38</sup>

#### *Learning and memory*

Neurosteroids are observed to modulate various learning and memory processes in animal models such as conditioned learning, special learning and simple associative learning. The excitatory neurosteroids act as enhancers of memory whereas the inhibitory ones depress learning and memory. In experimental animal models, PS enhanced memory when infused into the basal magnocellular nucleus while allopregnanolone inhibited memory functions.<sup>39</sup> Thus pregnenolone, DHEA and their sulphated compounds DHEAS and PS can be utilized as memory enhancers.<sup>2</sup> Various central receptors such as GABA-A, NMDA, cholinergic and sigma-1 receptors maybe involved in mechanism of memory enhancement of these agents.<sup>39</sup> These are also implicated in pathophysiology of diseases related to cognitive impairment and dementia.<sup>40</sup> The effects of the excitatory neurosteroids appear to be dose-dependent with extremes of doses (very low and very high) not significantly affecting learning and memory. Also, the actions of neurosteroids may be time-dependent, showing different actions on different phases of learning and memory. In various models of learning and memory, neurosteroids appeared to enhance memory consolidation more than acquisition and retrieval.<sup>39</sup>

#### *Alcohol and other substance abuse*

Neurosteroids are also implicated in drug acquisition, tolerance and withdrawal phases of drug abuse and dependence. Alterations in the levels of neurosteroids mediated by modulation of the hypothalamo-pituitary axis (HPA) may thus be involved in various phases of drug abuse. Exogenous allopregnanolone was shown to substitute for drugs due to reinforcing potential.<sup>41,42</sup> Involvement of neurosteroids in alcohol abuse, tolerance and withdrawal were correlated. There appears to be a time relationship between the behavioural effects of alcohol and the levels of alcohol-induced allopregnanolone in the brain. Moreover, the effects of alcohol could be blocked completely by blocking the endogenous neurosteroidogenesis. These effects may be mediated

through changes in GABA-A receptor sensitivity to neurosteroids during alcohol withdrawal.<sup>2</sup>

### **Limitations hindering the clinical use of neurosteroids**

Clinical use of neurosteroids for treatment of the above mentioned conditions is precluded by certain limitations such as low oral bioavailability, lack of specificity and activity at the steroid receptors in the periphery leading to unwanted effects. To overcome these limitations synthetic derivatives of endogenous neurosteroids are being developed which are expected to possess improved bioavailability, specificity and reduced unwanted effects.

### **Synthetic neurosteroids and current perspective in therapy**

Several neurosteroids with applications in important neuro-psychiatric disorders such as epilepsy, anxiety, anesthesia and Alzheimer's disease, have reached various stages of clinical development. Some of these compounds in relatively advanced stages have been discussed below (Table 1).

**Table 1: Novel Synthetic Neurosteroids under development.**

Drug name	Structure	Therapeutic use
Alphaxalone	Allopregnanolone	Anesthesia and sedation
Ganaxalone	3 $\beta$ methyl pregnane	Postpartum depression and infantile epilepsy
Sepranolone	Allopregnanolone	Premenstrual dysphoric disorder
Brexanolone	Allopregnanolone	Postpartum depression

#### *Alphaxalone*

It is a synthetic derivative of allopregnanolone. Alphaxalone is a known veterinary anesthetic agent and is now under evaluation for human use in a Phase 1c clinical study to evaluate the safety, efficacy and quality of recovery from anesthesia and sedation. The results of this study showed that Alphaxalone had "fast-onset, short-duration anesthesia with fast cognitive recovery similar to propofol, but with less cardiovascular depression, or airway obstruction and no pain on injection".<sup>43</sup>

#### *Ganaxalone*

This is a 3 $\beta$  methyl derivative of pregnane neurosteroid being developed as an antidepressant and anti-epileptic agent. A phase 2 double-blind placebo controlled study is underway evaluating the safety, tolerability and efficacy of ganaxalone in women with postpartum depression. Ganaxalone also has an FDA orphan drug designation (not FDA orphan drug approval) for the treatment of cyclin-

dependent kinase-like 5 (CDKL5) gene-related early-onset infantile epileptic encephalopathy.<sup>44,45</sup>

#### *Sepranolone*

Also called as UC1010, it is a GABA-A receptor modulating steroid antagonist to allopregnanolone which is a metabolite from progesterone and a positive modulator of the GABA-A receptor. Allopregnanolone as an endogenous steroid may induce negative mood in sensitive women in premenstrual phase. Sepranolone as an antagonist of allopregnanolone is currently under evaluation for treatment of women with premenstrual dysphoric disorder (PMDD) in a randomized, double-blind, placebo controlled study. The results showed promising effect of sepranolone in treatment of PMDD.<sup>46</sup>

#### *Brexanolone*

It is also a synthetic derivative of allopregnanolone with positive allosteric modulatory effects on GABA-A receptors. Results of two randomized double-blind, placebo-controlled phase 2 and phase 3 clinical trials evaluating the efficacy of brexanolone in treatment of women with moderate to severe postpartum depression showed that brexanolone could be a novel therapeutic option for women with post-partum depression.<sup>47,48</sup>

## **CONCLUSION**

Neurosteroids are endogenous steroidal compounds with multifarious neuro-modulatory function. They act through distinct non-genomic mechanisms involving various receptors in the CNS without involving the intracellular steroid receptors. They can be either excitatory or inhibitory in function which is mediated primarily through GABA-A receptor modulation by binding to a distinct site on this receptor. In addition to this neurosteroids also mediate their actions through other GABA receptors (GABA-C), NMDA-glutamate receptors and sigma-1 receptors. The pathways of biosynthesis, mechanism of action and clinical utility of neurosteroids are being elucidated in detail through animal and clinical studies. These agents show promise in therapy of various neurological and psychiatric conditions including epilepsy, disorders of learning and memory, anxiety, stress, depression and substance abuse. Development of synthetic analogues of neurosteroids with improved efficacy and safety is underway which if successful will revolutionize the clinical approach to the treatment of these complex neuropsychiatric conditions.

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