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**Research Article** 

# Learning from error: identification and analysis of causative factors leading to medication error in an inpatient hospital setting

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#### **ABSTRACT**

**Background:** Medication errors are preventable causes of medical error. These errors may happen at various steps of medication process. This study tries to find common errors during four stages i.e. prescribing, transcribing, dispensing and administration.

**Methods:** It was a cross sectional study in a tertiary care teaching hospital in north India. Five hundred patients were randomly selected for the study. Medication error was checked at different level by a medication audit tool. Direct observation, chart reviews and personal communication with patients, nurses and pharmacist were done to complete the details of tool. Root cause analysis was done after discussing with concerned professionals. Severity of the medication errors were assessed using national coordinating council for medication error reporting and prevention (NCCMERP) proposed medication error index.

**Results:** Medication error rate was found to be around 50%. i.e. every one of two patients received some form of error. Prescribing error were most common cause of error followed by administration error and transcription error. Dispensing error was least common. Root cause analysis indicated casual attitude, inadequate knowledge and training as the main causes.

**Conclusions:** This study was an initial step in recognising error prone areas of medication management. It can be used to develop standard procedures and formulating guidelines for prevention of such errors.

**Keywords:** Medication error, Prescribing error, Administration error, Transcription error, Dispensing error

#### INTRODUCTION

Human error in medical practice can occur at various levels such as diagnosis, medication, clerical and treatment procedures. Medication errors comprise common causes of these errors. National coordinating council for medication error reporting and prevention (NCCMERP) defines medication error as 'any preventable event that may cause or lead to inappropriate medication use or patient's harm while the medication is in the control of the health care professional, patient, or consumer.<sup>2</sup> These errors may result in therapeutic failure

and adverse drug reactions as well as wasting of resources. A landmark report by institute of medicine (IOM) in 2000, To Err Is human: building a safer health care system, found that medication errors were important cause of preventable deaths amounting to around 44,000 to 98,000 preventable deaths each year, with an associated cost of \$17 to \$29 billion in US hospitals.<sup>3</sup> In one study published in JAMA in the inpatients of two teaching hospitals, it was reported that 30% of patient injuries occurring in a teaching hospital resulted from preventable adverse drug effects. Estimated excess hospital costs attributable per adverse drug event were

\$4700 in a year. In India there is no system of reporting of medication errors. Few private set ups routinely does medication error detection and reporting as part of internal assessment or as requirement for various accreditation process. But generally such a system is lacking in private and government institutions. Most of the medication error remains unreported in health care settings. It is noticed only when there is occurrence of some sentinel event which raises eyebrows. There is also general tendency of covering up of such incidents fearing penalization. This is due to unawareness and lack of regulatory policies among health care professionals. Hence there is lack of data on medication error in India.

A questionnaire based survey to assess the knowledge regarding medication errors among health care professionals done across India has concluded that 27.5% of the respondents had poor knowledge.<sup>5</sup> Various methods for detection of medication errors have been described. These include chart review, incident reporting, direct observation and from administrative database.<sup>68</sup> Research generally combines one or more methods. The study aims to promote safety in medication use and ensure quality in the healthcare service by effective utilization of a clinical pharmacologist. The study objective was to detect, categorize and analyse medication errors to ensure rational drug use in the hospital.

#### **METHODS**

It was a descriptive cross sectional study on five hundred hospitalized patients in a tertiary care teaching hospital in north India. The study was conducted from March to June 2015. Opportunities of error at various level of medication process were identified and classified (Figure 1). Medication errors were detected by direct observation and chart review method. In order to prevent medication errors and reduce the risks of harm. organizations need tools to detect them. A 'medication error audit tool' to detect medication error was developed to evaluate types and incidence of medication errors in four stages including prescribing, transcribing, dispensing administering using prevalent studies and guidelines.<sup>9-14</sup> The components of the tool is given in (Table 1). It was validated in ten patients. The tool was subsequently modified and used for detection of medication error. Complete details of medication error audit tool was filled by reviewing prescriptions, medication charts, nursing records, medicine order sheet, dispensed medicine and personal interviews with physicians, nurses, pharmacist and patients. The available information was noted and cross checked. Collected details were then evaluated to detect the prescribing, transcription, administration and dispensing error. The institutional ethics committee (IEC-SRMSIMS) approval was taken prior to the study. Permission to access patient related data were obtained from medical superintendent. Confidentiality of patients was maintained according to ethical principles of world medical association declaration of Helsinki.

Table 1: Component of medication errors in medical audit tool.

Prescribing error	Transcription error	Dispensing error	Administration error
Missed dose/dosage	Missed dose/dosage	Wrong drug	Overdose/under dose
form/unit/frequency	form/unit	Wrong dose	Wrong route
Route/dilution	Transcription not legible	Wrong dosage form	Wrong frequency
Prescription not capital/legible	Wrong dose		Wrong dilution
Unstandard abbreviation	Wrong dosage form		Wrong time/missed drug
Wrong dose	Wrong unit		Wrong drug
Wrong dosage form			Mixing two or more drugs
Wrong unit			
Wrong frequency			
Wrong route			
Wrong dilution			
Therapeutic duplication			
Drug interaction			

As given in (Table 1) the components of prescribing error included errors in dose, dosage form, unit, frequency, route, dilution, therapeutic duplication, drug interaction and illegible prescription. It was checked by analysing prescriptions. Drug interaction was checked by drug

interaction checker available online. 15 Only moderate and severe interactions were taken for consideration.

Transcription error was defined as error in copying of prescription. It may be during copying to another paper,

computer, facsimile or medication administration record. 16 In our setting, once the order is written, the nurse records the medications ordered onto a medication list (medication sheet). One of the nurses then transcribes the medication orders from the medication sheet onto a request list, which is sent to the pharmacy department. Transcription errors were checked in medication order and request sheet by comparing drug list written by staff nurse to prescription order. It included drug name, dose, dosage form, units and other general information such as patient name, registration number, date and signature. Dispensing error was checked by comparing drugs dispensed by pharmacist to the medicine sheet. Administration error was defined as deviation from the prescriber's medication order as written on the patient's chart, manufacturers' instructions or relevant institutional policies. Administration error was observed while nurses prepared and administered drugs to the patients. It included error in giving drug, doses (under or over dose), route frequency, dilution, mixing drugs in same syringe and time of administering the drug. Medication errors were also assessed for its severity level by using the national coordination council for medication error reporting prevention proposed medication error index.

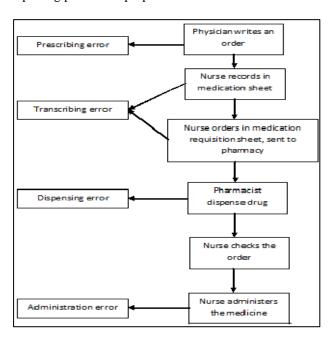


Figure 1: Medication process and level of detection of errors.

Analysis of what may have led to error was done after discussing with involved professionals. It is called root cause analysis (RCA).<sup>17</sup> It basically requires answering three questions 1) What was the error? 2) Why the error? 3) What could be done to prevent it? The joint commission on accreditation of healthcare organizations requires root cause analyses and action plan for all sentinel events. A sentinel event is any unexpected occurrence involving death or serious physical or psychological injury, or the risk thereof. Serious injuries specifically include a loss of limb or function. It has

given a root cause analyses and action plan framework template. 18

# Categorization of error as per NCC MERP Index

National coordinating council for medication error reporting and prevention (NCCMERP) proposed medication error index was used to assess the severity of medication error.<sup>19</sup> It classifies an error according to the severity of the outcome. The index considers factors such as whether the error reached the patient and, if the patient was harmed, to what degree the harm was.

There was no previous similar study in our institute which could have been used for calculation of sample size. We evaluated total 10 wards with approximately 50 prescription from each ward making it 500. Further, Similar works in past has been done with mentioned sample size. <sup>20,21</sup>

#### **RESULTS**

Among 500 cases of the patients followed during the study period 357 (71.4%) were male and 143 (28.6%) were females. A total of 293 cases of medication errors were detected. Several prescriptions and transcriptions were having more than one error. As shown in (Table 2). Prescribing errors form the majority of the error followed by administration, transcription and dispensing error. If we count all errors in a prescription or transcription, the total number of medication error was 252 as given in (Table 3).

Table 2: Frequency and percentage of errors.

Medication error	No. of errors	Percentage <sup>*</sup>
Prescribing error	110	37.54
Transcription error	68	23.21
Dispensing error	13	4.44
Administration error	102	34.81

<sup>\*</sup>Percentage has been calculated from total error which was 293

Table 3: Frequency and percentage of medication error for all the errors in a prescription or transcription has been counted as one.

Medication error	No. of error	*Percentage
Prescribing error	83	16.6
Transcription error	54	10.8
Dispensing error	13	2.6
Administration error	102	20.4

<sup>\*</sup>percentage has been calculated from total number of cases observed for each parameter (500).

#### Prescribing error

Out of five hundred prescriptions analysed, prescribing errors were observed in 83 prescriptions. A total of 110 errors were observed in those eighty three prescriptions. Thus 83.4% prescriptions were complete orders consisting of all parameters and without any prescribing error. The detailed distribution of different components of prescribing error is given in (Table 4). Fifty two prescriptions did not have complete general information i.e. patient name, date, registration number and signature of prescriber.

Table 4: Frequency and percentage of components of medication errors.

Medication error	No. of error	*Percentage
Prescribing error	110	
Missed dose/dosage form/ unit/frequency/route/dilution	36	32.73
Prescription not capital/legi	ible 28	25.45
Prescription with unorthodo abbreviation	x 4	3.64
Wrong dose	8	7.27
Wrong dosage form	4	3.64
Wrong unit	9	8.18
Wrong frequency	6	5.45
Wrong route	0	0.00
Wrong dilution	4	3.64
Therapeutic duplication	8	7.27
Drug interaction	3	2.73
Transcription error	68	
Missed dose/dosage form/ur	nit 31	45.59
Transcription not legible	24	35.29
Wrong dose	3	4.41
Wrong dosage form	4	5.88
Wrong unit	6	8.82
Dispensing error	13	
Wrong drug	2	15.38
Wrong dose	8	61.54
Wrong dosage form	3	23.08
Administration error	102.00	0
Overdose/under dose	4	3.92
Wrong route	0	0.00
Wrong frequency/rate	16	15.69
Wrong dilution	6	5.88
Wrong time/missed drug	8	7.84
Wrong drug	0	0.00
Mixing two or more drugs	68	66.67

<sup>\*</sup>Percentage denotes contribution of individual component of error in prescribing, transcription, and dispensing and administration error.

# Transcription error

Out of five hundred prescriptions analysed, transcription errors were observed in 54 transcriptions. A total of 68 errors were observed in those 54 transcriptions. Thus 89.2% transcriptions were complete orders consisting of all parameters and without any transcribing error. The detailed distribution of different components of transcription errors is given in (Table 4). Forty six

transcriptions did not have general information i.e. patient name, date, registration number and signature of prescriber.

# Dispensing error

Out of the 500 dispensed events analysed, dispensing errors were observed in 13 (2.6%) of the cases. The detailed distribution of different components of dispensing errors is given in (Table 4).

#### Administration error

Out of the 500 administration events analysed, administration errors were observed in 102 (20.4%) of the cases. The detailed distribution of different components of administration errors is given in (Table 4).

#### Severity of medication error

The level of severity of medication error as proposed by NCC MERP has been given in (Table 5).

Table 5: Categorization of errors as per NCC MERP severity index.

Level of severity	Category	percentage of medication errors
No error	Category A	48.2
Error, no harm	Category B	33.5
	Category C	12.3
	Category D	2.7
Error, harm	Category E	2.3
	Category F	1
	Category G	0
	Category H	0
Error, death	Category I	0

# **DISCUSSION**

Unfortunately there is no standard method for calculation of medication error. Most commonly it is calculated as number of error divided by opportunities of error.<sup>22</sup> Opportunities of medication error may be during prescribing, transcribing, administering and dispensing. Further there can be many opportunities of error in each of them. Arguably number of cases observed can be a valuable denominator in these circumstances. In these study five hundred cases of prescription and their subsequent transcription, dispensing and administration were observed and hence a denominator of five hundred has been chosen for calculating medication error rate. Out of five hundred cases, medication errors were identified in 293 cases. These included more than one error in the prescriptions and transcriptions. The total number of medication errors was 252 (50.4%) if all error in a

prescription or transcription were counted as one error. Since all forms of medication error has been taken from same patients we can say that on average 50% of patients received some form of medication error. These exclude errors committed in writing general information i.e. patient name, date, registration number and signature of prescriber. Classification of errors helps understand how it can be prevented.

#### Prescribing error

A prescribing error can result due to error in selecting drug, dose and duration appropriate to the patient indication or due to error in the act of writing prescription termed as prescription error.23 In this study prescribing error was the most common cause of medication error (37.54%). Appropriateness of the drug selection for indication was not checked as there is no institutional guidelines and lack of resources. Similarly though new MCI recommendations mandate writing of prescription in capital, the institute is still in the process of adopting these recommendations and it is not being followed uniformly.24 So we checked for either capital or legible prescription whichever was feasible. 25.45% of the prescribing errors were due to illegible prescriptions. Interestingly, frequency of error of omission (32.73%) i.e. missed dose, dosage form, unit, frequency, route, dilution was almost similar to commission error of writing wrong dose, dosage form, unit, frequency, route, dilution and using unorthodox abbreviations (30.82%). Eight cases of therapeutic duplications were found all of which were due to different physicians writing same class of drugs. General information such as date, patient initial, registration number and signature of the prescriber were missing in 52 prescriptions.

There is paucity of empirical studies pertaining to prescribing errors in India and they cannot be compared as the parameters assessed were different in different studies. A study done at rural tertiary care teaching hospital in Maharashtra, India on 499 prescriptions found general information about patient (name, age sex) were missing in 24% of prescriptions and signature of prescribers were missing in 12% of cases. Strength, duration and route of administration were missing from approximately 75% of prescriptions.<sup>25</sup> Missing general information does not have direct impact on inpatient as they were written in files specific to the patient. Missing strength, duration and route are potentially dangerous as it leaves the matter to understanding of the nurses. Though it was found that in majority of the cases, nurses administered the drug correctly either with the help of senior nurses or by asking the prescribing physician. But it resulted into waste of time and can be source of potential harm. Illegible prescription is a potential error which can be easily avoided. We found two prescriptions which were unreadable due to many crosses, ticks and poor handwriting. In our study, around 25% of prescriptions were not legible which is very close (17.6%) to above mentioned study. There are scores of examples

of how illegible prescriptions led to use of wrong medicines and to disaster. <sup>26</sup> Much has since been written about this problem but the problem still exists. Perhaps there are few things in medical culture which forces them to be legible. A notification by MCI to write the prescription in capital is a welcome step in this direction. But the notification still needs government approval. <sup>27</sup>

#### Root cause analysis of prescribing error

Inability to write (omission error) in most of the cases physicians thought that nurses knew the information since they are using these drugs regularly. Commission error was due to lack of knowledge, communication failure and errors in calculation of doses. As expected illegibility of prescription were said to be due to lack of time. Therapeutic duplication and drug interactions were due to inability of the physician to note the previous medications.

# Transcribing error

Transcription errors consisted 23.21% of medication errors. A total of 68 transcription errors were observed in 54 transcriptions. Missed dose, dosage form, unit were most common causes of transcription error. Legibility of the transcription was also a problem as 24 transcripts were found illegible as compared to 28 prescriptions written by physicians. This is interesting as this aspect of the problem has never been highlighted. Wrong dose, dosage form and units were observed in 13 prescriptions (19%). A similar study in teaching hospital in Tehran has found high rate of transcription error (29.9%). 28 Use of computerized provider order entry (CPOE) has reduced the error rate due to transcription compared to manual entry. In a study in USA, transcription related errors were 72.4 per month using a manual entry system of the orders, which was then reduced to 2.2 per month after implementing CPOE.<sup>29</sup> In the hospitals using CPOE the prescription is directly presented to the pharmacy. But in most of the hospital prescription is copied to computer by a transcriptionist usually a pharmacist.

### Root cause analysis of transcription error

Omission errors were due to failure to communicate with prescribing physician and commonness of the drug. A common practice was to copy the erroneous prescription as it is without understanding it. Lack of knowledge and inadequate training in junior staff were also found to be the causative factors.

# Dispensing error

Dispensing error was checked by matching dispensed medicine against medication order sheet and prescription. Dispensing of different brand of same medicine was not considered as dispensing error. A total of 13 errors in dispensing were observed which was attributed to

illegibility of medication order and communication failure.

#### Administration error

Administration errors were 2<sup>nd</sup> most common cause of medication error observed in 102 cases (34.81%). Administration error was checked by directly observing the drug preparation and administration process and checking nursing sheets. Use of wrong diluents or mixing incompatible drugs is preparation error. An error which has reached patient due to error in prescription or transcription has not been counted as administration error. Majority of the error were due to mixing of parenteral drug in same syringe. Mixing solutions of parenteral drugs is generally not recommended because of the potential for incompatibility and consequent loss of activity of one or both drugs. Decision to mix drugs was made without knowledge of their compatibility. Since there were no compelling reasons for giving two or more drugs in single syringe it has been considered irrational and an error. There were 68 instances when nurses mixed two or more drugs in a single syringe. A prospective observational study of 107 nurses preparing and administering 568 intravenous medications on six wards across two teaching hospitals in UK has found an administration error rate of 69.7%. Four error types (wrong intravenous rate, mixture, volume, and drug incompatibility) accounted for majority (91.7%) of these errors.<sup>30</sup> other studies utilizing direct observation for detection of administration error has reported an administration rate of around 18-27%. 31,32

# Root cause analysis of administration error

Mixing of two drugs is common practice in this hospital and the numbers might be higher if it is not being observed. It was found that most of the nurses were aware about this despite they did it as it was routine practice and convenient for them. Incompatibility problems are more likely to arise when small concentrated volumes are mixed in a syringe rather than in the larger volume of an infusion bag. This is because of higher mutual drug concentrations and potentially greater pH changes in the more concentrated solution. The absence of any visible change to a solution upon mixing does not automatically exclude degradation of either or both components.

Our study has several limitations. Due to small sample size the results can't be generalized. Further the results cannot be extrapolated to non-teaching hospitals. Despite our best efforts we might have failed to detect some errors particularly administration error as it has to be directly observed. Also, inappropriateness of the drug for the clinical indication has not been checked, which is detected most reliably using explicit criteria based on evidence rather than implicit criteria based on clinical judgment. Because nurses and physicians on the study

wards were aware of the study, it may have affected both the occurrence and detection of errors. In addition, the incidence of errors could have been reduced as the study progressed because we were obliged to inform and correct serious practice problems.

#### **CONCLUSION**

This study addresses medication error involving physicians, nurses and pharmacist, to analyse their causes in tertiary care teaching hospital. It will help in taking decisions and formulating policies regarding preventive steps to avoid these medication errors intended to inpatient hospital setting.

From error to error one discovers the entire truth.

Sigmund Freud.

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Institutional Ethics Committee

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