

# A Coalition Formation Game for Cooperative Spectrum Sensing in Cognitive Radio Network under the Constraint of Overhead

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**Abstract:** Cooperative spectrum sensing improves sensing performance of secondary users by exploiting spatial diversity in cognitive radio networks. However, cooperation of secondary users introduces some overhead also that may degrade the overall performance of cooperative spectrum sensing. The trade-off between cooperation gain and overhead plays a vital role in modeling cooperative spectrum sensing. This paper considers overhead in terms of reporting energy and reporting time. We propose a cooperative spectrum sensing based coalitional formation game model where the utility of the game is formulated as a function of throughput gain and overhead. To achieve a rational average throughput of secondary users, the overhead is to be optimized. This work emphasizes in optimization of overhead incurred. In cooperative spectrum sensing, participation of large number of cooperating users improve detection performance, on the contrary, it increases overhead too. So, to limit the maximum coalition size, we propose a formulation under the constraint of probability of false alarm. To reduce reporting overhead, an efficient fusion center selection scheme and an algorithm to select eligible secondary users for reporting are proposed. We also outline a distributed cooperative spectrum sensing algorithm using the properties of coalition formation game and prove that the utility of the proposed game has non-transferable properties. The simulation results show that the proposed schemes reduce the overhead of reporting without compromising the overall detection performance of cooperative spectrum sensing.

**Keywords:** Cognitive Radio Network, Cooperative Spectrum Sensing, Overhead, Reporting energy, Reporting time, Fusion Center.

## 1. Introduction

In the last couple of years, there has been an exponential growth of wireless users and mobile data traffic worldwide [1]. This demands high data rates that need an adequate amount of free spectrum. But the available radio spectrum is limited and not sufficient to fulfill such requirements. Spectrum scarcity is there, on the contrary, most of the licensed spectrum remains considerably underutilized. The concept of Cognitive Radio (CR) was first introduced by Joseph Mitola [2] as a solution to the conflict between spectrum scarcity and unutilized spectrum. A Cognitive Radio Network (CRN) allows licensed users (also known as primary users) and unlicensed users (also known as secondary users) to coexist in the same spectrum to share frequency bands without any harmful interference to the licensed users [3]. To avail unutilized spectrum, the Secondary Users (SU) sense the Primary User (PU) spectrum using a suitable spectrum sensing technique. But local spectrum sensing faces challenges like multipath fading, shadowing and receiver uncertainty

problems [4], etc. that may degrade their sensing performance. To compromise such issues, the concept of Cooperative Spectrum Sensing (CSS) was developed. CSS has been proved as a significant scheme to improve the detection performance of spatially located SUs [4] by forming cooperation among them. The concept of CSS can be implemented through a parallel fusion model and game theoretic model, but in the state-of-the-art papers [5-10], the game theoretic model is regarded as a more appropriate approach for developing self-organized cooperation among SUs. Some of the research works that use game theoretic model as an efficient tool to access the spectrum dynamically are illustrated in [11]. Though CSS overcomes the issues that arise in non-cooperative sensing, however, cooperation of SUs incurs some overhead also. The overhead refers to any extra cost that arises due to the cooperation of secondary users and it limits cooperation gain. Several research papers [5-10] have formulated utility of the game as a trade-off between detection performance and probability of false alarm, some papers define utility as a function of throughput and total energy consumption during CSS. In literature, CSS-based game theoretic models normally concentrate on improvement of detection performance without considering the cooperation overhead counterpart. In CSS, cooperation of large number of SUs improves detection performance; on the contrary, it increases overhead too. So, to achieve a rational average throughput, the overhead incurred is to be compromised. Developing an efficient model for CSS under the constraint of cooperation overhead is not an easy task. Motivated by these facts, we propose a CSS-based coalition formation game model that considers the overhead of reporting energy and reporting time. In this work, we assume that the PU and the SUs coexist in an interweave CR environment where the SUs opportunistically utilize the spectrum hole with the least interference to the PU [3, 12]. The main contribution of this work is to design schemes to optimize overhead. The utility of the proposed game is formulated as a trade-off between throughput gain and overhead in terms of reporting energy and reporting time. As mentioned earlier, cooperation overhead increases along with the increase of coalition size, so we propose a formulation to compute the maximum feasible coalition size under a given false alarm constraint. To optimize the reporting energy overhead, an efficient Fusion Center (FC) selection algorithm is proposed. To reduce reporting overhead, we outline another framework that allows only the eligible SUs to report sensing information to the FC. We also design a distributed

cooperative spectrum sensing algorithm under the framework of coalition formation game and prove that the utility of the proposed game has non-transferable properties. The performance of the proposed schemes is studied using MATLAB R2017a.

The rest of the paper is organized as follows: In Section 2, some of the related research works are mentioned. In section 3, we introduce the system model and some assumptions that are considered in the following sections. In Section 4, we set up the proposed model and present some schemes to optimize overhead parameters. A distributed cooperative spectrum sensing algorithm is also described in Section 4. The simulations and observations are discussed in Section 5. Finally, we conclude the paper with few plans in Section 6 and acknowledgment in Section 7.

## 2. Related work

In this section, we discuss some of the research works from the literature which are related to our current work. In CSS, reporting stage consumes considerable amount of energy and time which we consider as reporting overhead. The state-of-the-art research discusses many approaches that aim to optimize reporting overhead. We consider mainly two approaches: a) optimization of number of participating  $SUs$  and b) coalition head selection schemes with an objective to minimize reporting overhead. In [13,14], energy consumption is optimized by approximating optimal numbers of participating  $SUs$  under the constraints of detection probability and false alarm probability. In CSS, censoring is a significant approach for minimizing reporting overhead where  $SUs$  that satisfy some predefined censoring thresholds are only permitted to report sensing observations to the  $FC$ . The others are refrained from reporting and hence the number of reporting  $SUs$  are reduced. In [15], censoring thresholds are optimized to minimize reporting energy by satisfying some given detection probability constraint. [16] proposes a censoring scheme that decreases the number of sensing bits reported to the  $FC$  and thereby minimize the energy consumption during reporting. A confidence voting method has been suggested by [17] where the  $SUs$  whose confidence level is above a given threshold can only report sensing information to the  $FC$ . Each  $SU$  achieves a confidence level when it's sensing decision is identical to the cooperative decision taken by the  $FC$ . The  $SUs$ , whose confidence level is below the given threshold, keep on sensing the spectrum and comparing its decision with the cooperative decision until it gains a confidence level. This strategy minimizes reporting overhead by reducing number of reporting  $SUs$  in each round. Clustering is another approach that reduces reporting overhead significantly. In clustering, the  $SUs$  that fulfill some norms constitute clusters and one of the cluster  $SU$  is selected as the cluster head based on given criteria. The cluster head reports sensing observations to the  $FC$  on behalf of its cluster members. [18] considers a CRN with multiple clusters and proposes three different threshold based strategies to improve detection performance as well as to reduce reporting overhead between the cluster heads and the  $FC$ . In [19], concept of parallel reporting based on frequency division is adopted that reduces reporting time significantly. In [20], a multi level cluster based CSS scheme is proposed where some cluster heads are assumed to be located far away from the  $FC$  and in such scenario, they report their cluster decisions to the nearest cluster head instead of the  $FC$  and hence, the reporting

overhead decreases. Coalition head or  $FC$  selection mechanisms play a vital role in minimizing reporting energy overhead since reporting energy mainly depends on the distance between the  $SUs$  and the  $FC$ . In [7], the coalition head selection algorithm formulates a function that considers mutual influence of mobility of each node and its energy on each other. The node that scores the highest function value is selected as the coalition head. In [21], the cluster head selection algorithm calculates net distance of each cluster member from the  $FC$  and the cluster member that scores the minimum net distance is considered as the cluster head and thus the reporting energy is minimized. They compute the net distance of each  $SU$  as the sum of its fitness and the distance from the  $FC$ . In [22], an iterative cluster head selection scheme is proposed. The  $FC$  initiates the process and selects the cluster node which is closest to the  $FC$  as the cluster head. In the second round, the cluster head recursively executes the process and selects a new cluster head which is closest to the earlier cluster head and generates its members. This process executes recursively until the final cluster head is selected. The related research in [23, 24] considers the distance between the  $FC$  and cluster nodes as one of the influencing parameter in selecting cluster head.

## 3. Assumptions and system model

The current work considers a CR network consisting of  $N$  numbers of  $SUs$  and one  $PU$  where  $PU$  and  $SUs$  are assumed to be synchronized in a time frame  $T$ . We presume that the  $SUs$  sense the  $PU$  spectrum using the energy detection method due to its low computational complexities [4]. In the energy detection problem, let  $\mathcal{H}_1$  and  $\mathcal{H}_0$  be the two binary hypotheses that represent the presence of  $PU$  and spectrum hole in the frequency band respectively and it can be expressed as [4]:

$$y(t) = \begin{cases} x(t) + n(t), & \mathcal{H}_1: \text{when } PU \text{ is present} \\ n(t), & \mathcal{H}_0: \text{when } PU \text{ is absent} \end{cases} \quad (1)$$

Where,  $y(t)$ ,  $n(t)$  and  $x(t)$  represent the received signal by  $SU_i$ , zero-mean additive white Gaussian noise(AWGN) with variance  $\sigma_n^2$  and  $PU$  signal received with variance  $\sigma_x^2$ . In any spectrum sensing technique,  $P_d$  and  $P_f$  are two parameters that are used to evaluate sensing performance.  $P_d$  is the probability of correct detection of  $PU$  under  $\mathcal{H}_1$  and  $P_f$  is the probability of false detection of  $PU$  under  $\mathcal{H}_0$  in the channel and they are expressed as [4]:

$$P_d = \text{Prob}(Y > \lambda / \mathcal{H}_1) \quad (2)$$

$$P_f = \text{Prob}(Y > \lambda / \mathcal{H}_0) \quad (3)$$

Where  $Y$  and  $\lambda$  are the energy of the received signal and decision threshold. Spectrum sensing schemes target high  $P_d$  and low  $P_f$ . We consider that to perform CSS, the  $SUs$  in a cooperation footprint area [4], cooperate to constitute coalitions in such a way that their utility improves. We presume, all cooperating  $SUs$  of a coalition sense a channel at the same time and takes a local decision which is reported to the  $FC$  through a common control channel using the Time Division Multiple Access (TDMA) approaches. We consider, the time frame  $T$  is fixed and finite [25] and  $T$  consists of sensing time  $T_S$ , reporting time  $T_R$  and transmission time  $T_T$ . So,  $T$  can be expressed as  $T = T_S + T_R + T_T$ . In literature, some papers consider variable sensing, reporting, and transmission time [25], others regard transmission time as

fixed and variable sensing and reporting time to achieve a fixed throughput [26]. To study the tradeoff between throughput gain and overhead of reporting energy and time, we consider variable reporting and transmission time keeping the sensing time fixed. If  $T_{r,i}$  be the reporting time slot of  $SU_i$  of coalition  $S$ , then the total reporting time  $T_R$  can be given by:

$$T_R = |S| * T_{r,i} \quad (4)$$

Where  $|S|$  is the size of coalition  $S$ . The transmission time  $T_T$  can be expressed as:

$$T_T = T - T_S - T_R \quad (5)$$

We consider that during CSS, the  $SUs$  spend mainly sensing energy and reporting energy. Let  $E_i = E_{s,i} + E_{r,i}$  be the energy spend by  $SU_i$  in time slot  $T$ , where  $E_{s,i}$  and  $E_{r,i}$  are the sensing energy and reporting energy of  $i^{\text{th}}$   $SU$ . Inspired by [27], we express  $E_{s,i}$  and  $E_{r,i}$  as follows:

$$E_{s,i} = T_{s,i} * \delta_s \quad (6)$$

$$E_{r,i} = d_{su_i-FC}^\alpha * (\delta_r * T_{r,i}) \quad (7)$$

Where  $\delta_s, \delta_r$  are the sensing energy and reporting energy spend by  $SU_i$  per unit time,  $T_{s,i}, T_{r,i}$  are the sensing and reporting time slot of  $SU_i$ ,  $d_{su_i-FC}$  is the distance between  $SU_i$  and the  $FC$ ,  $\alpha$  is the path loss exponent. Generally,  $\alpha$  ranges between 1.6 and 6.5, and in free space propagation  $\alpha$  equal to 2 [28]. Accordingly, the total sensing energy and reporting energy spend by the coalition are given by:

$$E_S = \sum_{i=1}^{|S|} E_{s,i} \quad (8)$$

$$E_R = \sum_{i=1}^{|S|} E_{r,i} \quad (9)$$

The individual probability of detection  $P_{d,i}$  under  $\mathcal{H}_1$  and the probability of false alarm  $P_{f,i}$  under  $\mathcal{H}_0$  of  $SU_i$  within a coalition  $S$  is given by [10]:

$$P_{d,i} = Q \left( \left( \frac{\epsilon}{\sigma_n^2} - snr - 1 \right) \sqrt{\frac{m}{2snr + 1}} \right) \quad (10)$$

$$P_{f,i} = Q \left( \left( \frac{\epsilon}{\sigma_n^2} - 1 \right) \sqrt{m} \right) \quad (11)$$

Where  $Q$  is the complementary distribution function,  $\epsilon$  denotes detection threshold for  $SUs$ ,  $\sigma_n^2$  is Gaussian noise variance,  $m$  is the time-bandwidth product, and  $snr$  stands for the signal-to-noise ratio. We consider that the  $FC$  uses OR hard decision fusion rule to result in a cooperative decision about the presence or absence of the  $PU$  in the frequency band and then forwards the decision to all the  $SUs$  of  $S$ . If the cooperative decision is in favor of  $\mathcal{H}_0$  i.e., the channel is not occupied by the  $PU$ , then  $SUs$  are allowed to transmit data through the channel. The cooperative probability of detection  $P_D$  and the probability of false alarm  $P_F$  of coalition  $S$  is given by [10]:

$$P_D = 1 - \prod_{i=1}^{|S|} (1 - P_{d,i}) \quad (12)$$

$$P_F = 1 - \prod_{i=1}^{|S|} (1 - P_{f,i}) \quad (13)$$

It can be observed from (12) and (13) that as the coalition size increases, the  $P_D$  and  $P_F$  also increases.

Let  $P_{H_1}$  be the probability that  $PU$  is present under  $\mathcal{H}_1$  and  $P_{H_0}$  be the probability that  $PU$  is absent under  $\mathcal{H}_0$  in the frequency band at any time slot  $T$ , then  $P_{H_0} + P_{H_1} = 1$  [29]. The  $SUs$  try to transmit through the  $PU$  frequency band when 1) it is not occupied by the  $PU$  and no false alarm is generated by the  $SUs$  and 2) the  $PU$  is present but the  $SUs$  can't detect it [29]. The probability of the first scenario is  $P_{H_0}(1 - P_F)$  and the probability of the second scenario is  $P_{H_1}(1 - P_D)$ .

#### 4. Proposed Model

Let  $(N_C, \mathcal{V})$  be the proposed coalition formation game, where  $N_C (N_C \leq N)$  is the number of cooperating  $SUs$  in coalition  $S$  and  $\mathcal{V}$  is the utility function of the game. We define the utility  $\mathcal{V}(S)$  of  $S (S \subseteq N, S \neq \varphi)$  as a transaction between throughput gain and cost and is devised as follows:

$$\mathcal{V}(S) = Th\_Gain(S) - Cost(S) \quad (14)$$

Where  $Th\_Gain(S)$  is the cooperation gain in terms of throughput and  $Cost(S)$  is the overhead incur in terms of reporting energy and reporting time. We formulate  $Th\_Gain(S)$  [27] and  $Cost(S)$  as follows:

$$Th\_Gain(S) = P_{H_0}(1 - P_F) * T_T * r_t \quad (15)$$

$$Cost(S) = P_{H_1}(1 - P_D) * T_T * E_R \quad (16)$$

Here,  $r_t$  represents data transmission rate in the frequency band when the  $PU$  is absent and we define  $r_t$  using Shannon's formula as  $r_t = B \log_2(1 + snr)$ , where  $B$  is the bandwidth of the channel. In CSS, throughput can be achieved successfully when the sensing channel is not occupied by the  $PU$  and is correctly identified as free. We define the achievable average throughput of  $SU_i$  as:

$$Th_i = \frac{P_{H_0}(1 - P_F) * T_T * r_t}{|S|} \quad (17)$$

**Property 1:** The utility of the proposed coalition formation game is non-transferable.

**Proof:** The utility function as shown in (14) is a trade-off between throughput gain and overhead. The cooperative decision taken by the  $FC$  is a probability of the presence or absence of the  $PU$  in the frequency band. So, it can not be apportioned among the cooperating  $SUs$ . The  $FC$  forwards the final cooperative decision to all the  $SUs$  of  $S$ . As a result, the  $P_{d,i}$  and  $P_{f,i}$  of  $SU_i$  becomes identical to the  $P_D$  and  $P_F$  of coalition  $S$  and hence, the utility of  $SU_i$  is identical to the utility of coalition  $S$ . Since the utility of coalition  $S$  is not distributed among the cooperating  $SUs$ , it proves that the utility of the proposed coalition formation game is non-transferable.

##### 4.1 Optimization of overhead parameters

In the proposed model, the improvement of average throughput depends on the optimization of overhead

parameters  $T_R$  and  $E_R$ . However, the throughput of the game is also influenced by  $P_F$  and the coalition size  $|S|$ . Conversely, as mentioned in (4),(9), and (13), the values of  $T_R$ ,  $E_R$  and  $P_F$  increases along with the increase of  $|S|$ . The increase of false alarms reduces the spectral efficiency [4]. So, to avail optimal performance, we consider a false alarm constraint  $P_{F,max}$  such that no coalition exceeds it i.e.  $P_F \leq P_{F,max}$ . If  $|S|$  keep on increasing even after reaching the  $P_{F,max}$ , the values of  $T_R$  and  $E_R$  increases further causing overhead. So, to optimize the values of  $T_R$  and  $E_R$ , it is essential to limit the maximum coalition size that eventually depends on the false alarm constraint. Inspired by [5] and [6], we propose a formulation that approximates the maximum feasible size of a coalition under a given false alarm constraint through *Theorem1*.

**Theorem1:** For a given false alarm constraint  $P_{F,max}$ , of any coalition  $S$ , the maximum feasible coalition size  $|S_{max}|$  can be approximated as :

$$|S_{max}| \approx \frac{\log(1 - P_{F,max})}{\log(1 - P_{f,avg})} \quad (18)$$

Where  $P_{f,avg}$  is the average probability of false alarm of cooperating  $SUs$  in  $S$ .

**Proof:** Let us assume that in the CRN, the  $SUs$  are arranged very close to each other in such a way that coalition  $S$  can accommodate all  $N$  numbers of  $SUs$  in it i.e.,  $|S| = N$ . As  $SUs$  are closely grouped in  $S$ , we presume that they experience the same probability of false alarm i.e.,  $P_{f,i} = P_{f,j}, \forall i, j \in S, i \neq j$ . In such an ideal situation, the probability of false alarm as defined in (13) can be expressed as:

$$P_{F_N} = 1 - (1 - P_{f,i})^N \quad (19)$$

And the average probability of false alarm  $P_{f,avg}$  is computed as:

$$P_{f,avg} = \frac{N * P_{f,i}}{N} \text{ or } P_{f,avg} = P_{f,i} \quad (20)$$

Let  $P_{f,max}$  be the false alarm constraint for  $S$ . Replacing  $P_{f,i}$  by  $P_{f,avg}$  and  $P_{F_N}$  by  $P_{f,max}$  in (19) we get,

$$P_{f,max} = 1 - (1 - P_{f,avg})^N$$

$$\text{Or } 1 - P_{f,max} = (1 - P_{f,avg})^N \quad (21)$$

Taking log on both sides of (21) we get,

$$N \approx \frac{\log(1 - P_{f,max})}{\log(1 - P_{f,avg})} \quad (22)$$

Equation (22) approximates the maximum size of coalition  $S$  in the perfect scenario that we have considered.

Now, let us consider that coalition  $S$  can accommodate maximum  $|S_{max}| \leq N$  numbers of  $SUs$  and all cooperating  $SUs$  experience different  $P_{f,i}$  i.e.,  $P_{f,i} \neq P_{f,j}, \forall i, j \in S, i \neq j$  based on their  $snr$  values. In this scenario we compute  $P_{avg}$  as:

$$P_{f,avg} = \frac{\sum_{i=1}^{|S_{max}|} P_{f,i}}{|S_{max}|} \quad (23)$$

Now, considering (23) in (22) we get,

$$|S_{max}| \approx \frac{\log(1 - P_{F,max})}{\log(1 - P_{f,avg})} \quad (24)$$

Equation (24) depicts that in any coalition  $S$ , for a given false alarm constraint  $P_{F,max}$ , the maximum feasible coalition size  $|S_{max}|$  can be approximated as a function  $P_{F,max}$  and  $P_{f,avg}$  where all  $SUs$  practice different  $P_{f,i}$ .

#### 4.1.1 Optimization of energy spent by SUs during reporting.

As defined in (7), the energy spent by  $SU_i$  to report its sensing decision to the  $FC$  is directly proportional to the distance between  $SU_i$  and the  $FC$ . Therefore, the longer the  $d_{su_i-FC}$ , the more  $E_{r,i}$  will be spent. If the  $FC$  is positioned at an optimum distance from all other  $SUs$  of  $S$  then  $d_{su_i-FC}$  will be reduced and accordingly  $E_{r,i}$  will be optimized. Using the concept of closeness centrality measure, we propose a  $FC$  selection algorithm.

##### a) Algorithm1: Fusion Center Selection Algorithm [FCSA]

Let coalition  $S$  consists of  $N_C (N_C \leq N)$  numbers of cooperating  $SUs$  represented by  $\{SU_1, SU_2, \dots, SU_{N_C}\}$ . Let  $d_i$  be the distance between  $SU_i$  and the  $PU$  and  $d_{i,j}$  be the distance of  $SU_i$  from  $SU_j, \forall i, j \in S$  and  $i \neq j$ . We presume that  $d_{i,j} \ll d_i$  and express  $d_{i,j}$  as follows:

$$d_{i,j} = |d_i - d_j| \quad (25)$$

It is considered that during coalition formation, the  $SUs$  organize themselves in a topological structure based on the way they merge or split. The closeness centrality( $C$ ) of  $SU_i$  defines how short the shortest paths are from  $SU_i$  to all other  $SUs$ . It can be expressed as the normalized inverse of the sum of the distances from  $SU_i$  to all other  $SUs$  of  $S$ . and is given by:

$$C(SU_i) = \frac{|S| - 1}{\sum_{j \in S} d_{i,j}} \quad (26)$$

Where  $|S| = N_C$  and  $\sum_{j \in S} d_{i,j}$  is the sum of the distances from  $SU_i$  to all other  $SUs$  of  $S$ .

Let  $C = \{C(SU_1), C(SU_2), \dots, C(SU_{N_C})\}$  be a vector consisting of closeness centrality values of each  $SU$  of  $S$ . The maximum closeness centrality score  $CC_{max}$  is expressed as:

$$CC_{max} = \max\{CC\} \quad (27)$$

Equation (27) defines that any  $SU_i$  having  $C(SU_i) = CC_{max}$  is selected as the  $FC$  of  $S$  as it is the most central and connected  $SU$  in  $S$ . If more than one  $SU$  score  $CC_{max}$ , then any one of them can be declared as the  $FC$  of  $S$ .

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Algorithm1: FC selection for Coalition S

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Input :  $|S| = N_C, d_i$ .

Output :  $FC$  of  $S$ , vector  $d_{su-FC}^*$ .

Begin

Step 1 : Set  $d_{sum} = 0$  and  $CC_{max} = -1$ .

Step 2 : For  $i = 1$  to  $|S| - 1$

For  $j = 1$  to  $|S| - 1$

Compute  $d_{i,j}, \forall i, j \in S, i \neq j$  using(25)

Store  $d_{i,j}$  in vector  $d_i^*$

Compute  $d_{sum} = d_{sum} + d_{i,j}$   
 End for  
 Vector  $d_i^* = [d_{i,j}, d_{i,k}, d_{i,l}, \dots]$   
 Set  $f_i = d_{sum}$   
 Compute  $C(SU_i) = \frac{|S|-1}{f_i}$   
 If  $C(SU_i) > CC_{max}$  then  
   Set  $CC_{max} = C(SU_i)$   
 Else  
   Continue  
 End if

End for

Step 3 : The  $SU_i$ , scoring the maximum closeness centrality value  $CC_{max}$  is considered as the  $FC$  of coalition  $S$ .

Step 4 : Select the distance between  $SU_i$  and the  $FC$  from  $d_i^*$  and store it in the vector  $d_{su-FC}^* = [d_{su_i-FC}, d_{su_j-FC}, \dots]$  where  $d_{su_i-FC} \forall i \in S$  is the distance between  $SU_i$  and the  $FC$

End

Algorithm1 optimizes the reporting energy  $E_{r,i}$  of  $SU_i$  by selecting the most centrally located  $SU$  as the  $FC$  of  $S$ . Accordingly, the total reporting energy  $E_R$  which is the sum of  $E_{r,i}$  of all  $SUs$  of  $S$  also become minimum. Thus, the overhead of reporting energy is optimized.

#### 4.1.2 Selection of qualified SUs for reporting.

Equation (17) defines that the improvement of the average throughput of each  $SU$  depends on the maximization of transmission time. On the other hand, as mentioned in (5), for a time frame  $T$ , the transmission time  $T_T$  can be increased by reducing the reporting time  $T_R$ , since we consider sensing time  $T_S$  as fixed. Again, according to (4), in the TDMA approach, for a reporting time slot  $T_{r,i}$ , the  $T_R$  can be reduced by decreasing the number of reporting  $SUs$  in  $S$ . Eventually, maximization of average throughput depends on the optimization of reporting  $SUs$  in  $S$ . Here, we propose an algorithm that allows only the qualified  $SUs$  to report sensing decisions to the  $FC$  and thus the number of reporting  $SUs$  can be reduced.

##### a) Algorithm2: Qualified SU Selection Algorithm (QSA)

This algorithm allows only qualified  $SUs$  to report its sensing decision to the  $FC$  by applying a qualifying criterion. We assume that before reporting, every cooperating  $SU$  has to forward its  $d_{su_i-FC} \forall i \in S$  to the  $FC$  and the  $FC$  calculates the average,  $f_{avg}$  as:

$$f_{avg} = \frac{\sum_{i=1}^{N_C-1} d_{su_i-FC}}{(N_C - 1)} \quad (28)$$

The  $FC$  permits only those  $SUs$  to report whose  $d_{su_i-FC} \leq f_{avg}$ . In other words, the  $SUs$  whose  $d_{su_i-FC} > f_{avg}$  are refrained from reporting their sensing decision to the  $FC$ . Accordingly, the number of reporting  $SUs$  in  $S$  reduces. Let  $N_R (N_R < N_C)$  be the numbers of  $SUs$  in  $S$  that are qualified for reporting. Now, the  $T_R$  and  $E_R$  as defined in (4) and (9) can

be redefined as  $T_R = N_R * T_{r,i}$  and  $E_R = \sum_{i=1}^{N_R} E_{r,i}$ . Since  $N_R < N_C$ , the  $T_R$  and  $E_R$  reduces further.

Algorithm 2: Qualified reporting  $SU$  selection algorithm of coalition  $S$  of size  $|S| = N_C$ .

Input :  $N_C, d_{su-FC}^*$

Output :  $N_R$  : number of  $SUs$  qualified for reporting,  $Q_{su}$ : list of qualified  $SUs$ .

Begin

Step1 :  $SU_i$  of  $S$  reports its  $d_{su_i-FC}$  to the  $FC$

Step2 :  $FC$  computes  $f_{avg}$  using (28) and forwards it back to the  $SUs$  of  $S$

Step3 : For  $i = 1: N_C$

If  $d_{su_i-FC} \leq f_{avg}$  then

$SU_i$  reports its sensing decision to the  $FC$

Send  $SU_i$  to vector  $Q_{su}$

Else

Continue

End if

$Q_{su} = [Q_{su_1}, Q_{su_2}, \dots]$

End for

Step4 : Calculate  $N_R = count(Q_{su})$

End

#### 4.1.3 Distributed cooperative spectrum sensing.

We propose a distributed CSS algorithm using the properties of the coalition formation game. The algorithm consists of five phases: a) local spectrum sensing performed by the  $SUs$  of CRN, b) adaptive coalition formation using merge and split rule, c) selection of the  $FC$ , d) selection of qualified  $SUs$  that report sensing decision to the  $FC$  e) the  $FC$  makes a cooperative decision and forwards it to the  $SUs$  of the coalition.

At the beginning of time frame  $T$ , we consider all  $SUs$  in the CRN as singleton coalitions. To carry out CSS, multiple singleton coalitions merge to structure larger coalitions if their utility improves and a coalition splits into smaller coalitions if splitting results in coalitions with improved utilities[7]. Let us assume that in the cooperation footprint area,  $k$  numbers of coalitions, represented by  $\{S_1, S_2, \dots, S_k\}$ , are formed using simple merge and split rules. The merge and split operations using Pareto order as defined in [7] are as follows:

Definition 1: Merge any set of mutually disjoint coalitions  $\{S_1, \dots, S_k\}$  where  $\{U_{i=1}^k S_i\} \triangleright \{S_1, \dots, S_k\}$ , so  $\{S_1, \dots, S_k\} \rightarrow \{U_{i=1}^k S_i\}$

Definition 2: Split any coalition  $\{U_{i=1}^k S_i\}$  into smaller coalitions where  $\{S_1, \dots, S_k\} \triangleright \{U_{i=1}^k S_i\}$ , so  $\{U_{i=1}^k S_i\} \rightarrow \{S_1, \dots, S_k\}$

##### a) Algorithm3: Distributed Cooperative Spectrum Sensing Algorithm (DCSSA)

Algorithm 3: Distributed Cooperative Spectrum Sensing Algorithm

Input :  $P_{F,max}$  : Given false alarm constraint,  $N$ : number of  $SUs$  in the CRN

- Output : Cooperative spectrum sensing decision
- Begin
- Step 1 : Each singleton coalition senses the *PU* channel using the energy detection method
- Step 2 : Begin coalition formation using merge and split rule through Pareto order
- Merge coalitions using definition 1
  - Split a coalition into multiple coalitions using definition 2
- Repeat a. and b. until the merge-and-split process terminates with stable coalitions
- Step 3 : Select the *FC* using algorithm1
- Step 4 : Select qualified *SUs* using algorithm2 and report sensing decision to the *FC*.
- Step 5 :
- The *FC* fuses reported decisions using OR hard decision fusion rule and take a cooperative decision about the presence or absence of the *PU* in the channel.
  - The *FC* reports the cooperative decision to all *SUs* of *S* and accordingly *SU* decides whether to transmit through the channel or not.

End

### (b) Stability of coalition

During the process of coalition formation, the coalitions attain a stable state if they maintain the following conditions.

- The probability of false alarm of each coalition should not exceed the given false alarm constraint i.e.,  $P_F \leq P_{F,max}$ .
- The maximum numbers of cooperating *SUs* that can be accommodated in a coalition *S* under a given false alarm constraint  $P_{F,max}$  should not go beyond  $|S_{max}|$ .

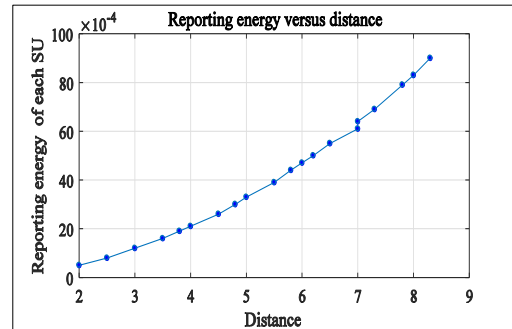
## 5. Simulation

We study the performance of the proposed model using MATLAB R2017a. For simulation, we set up a CRN of 200 x 200 m<sup>2</sup> area where the *PU* is located at the center and *SUs* are scattered randomly in the area. Some of the parameters that we consider for simulation are listed in table1. Figure1 plots the scenario of energy required to report sensing information versus the distance between  $SU_i$  and the *FC*. It can be observed in the figure that as the distance between  $SU_i$  and the *FC* increases, the reporting energy of each *SU* also increases. So, to reduce the reporting energy, it is essential to select the *FC* in such a way that it is positioned at the optimum distance from all other *SUs* of the coalition.

**Table 1:** Parameters and their values used in simulation

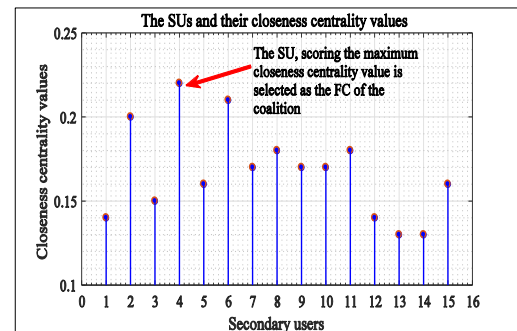
Parameters	Value
$P_{H_0}$	0.20
$P_{H_1}$	0.80
<b>T</b>	100 milliseconds
$T_s$	10 milliseconds
$T_r$	0.1 millisecond
<b>snr</b>	-20dB to 1dB
<b>B</b>	1MHz

$r_t$	80 bits per second
$\delta_r$	0.0013m] per bit per second [30]



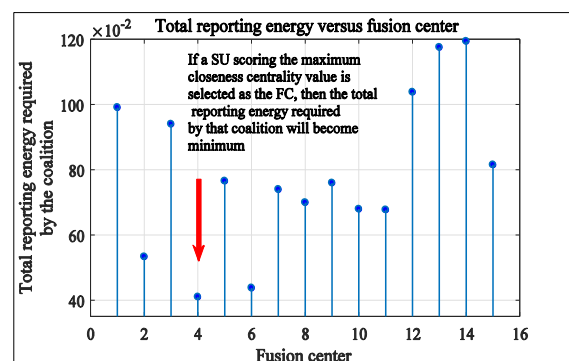
**Figure 1:** Reporting energy versus distance to the *FC*

The fusion center selection scenario using algorithm1 is presented in figure 2. Here, we consider 15 numbers of *SUs* and assume that their distances from the *PU* are generated randomly. The closeness centrality value of each *SU* is calculated using (26) and are plotted. It is noted in the figure that  $SU_4$  scores the maximum closeness centrality value, so it is considered as the *FC* of the coalition. Since the *FC* is the most central and connected *SU* in the coalition, the distance between  $SU_i$  and the *FC* decreases and hence, the reporting energy of each *SU* reduces. The total reporting energy, which is the sum of individual reporting energies, also decreases, and as a result, the overhead of reporting energy optimizes.



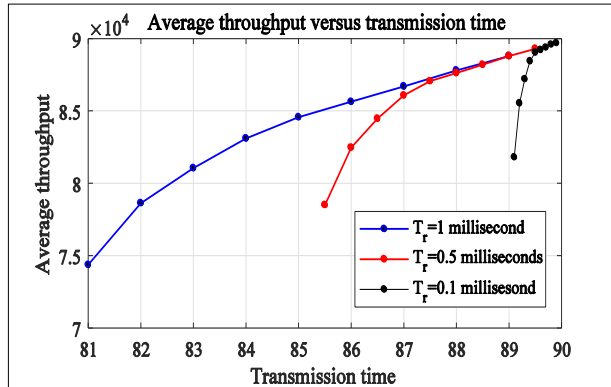
**Figure 2:** The *SUs* and their closeness centrality values

Figure 3 presents the scenario of total reporting energy versus fusion center. In the figure, it is observed that if  $SU_4$  having the maximum closeness centrality value is considered as the *FC*, then the total reporting energy spent by that coalition will become minimum and the overhead of reporting energy is optimized.

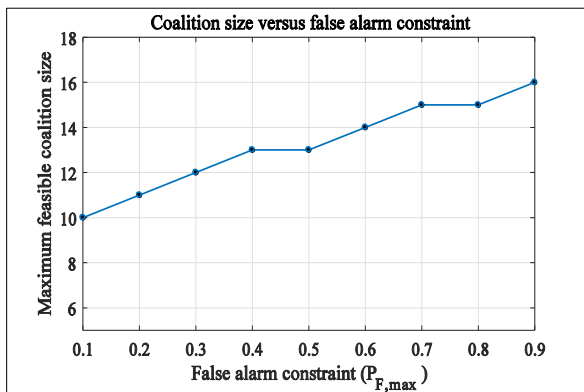


**Figure 3:** The *FCs* and total reporting energy spent by the corresponding coalitions.

The trade-off between throughput and transmission time is presented in figure 4. It plots the average throughput versus transmission time for three different reporting time slots. In the figure, it is observed that as transmission time increases, the average throughput improves. It is also noted in the figure that for a given coalition size, the smaller the reporting time slot, the longer the transmission period, and hence



**Figure 4:** Average throughput versus transmission time throughput improves. Figure 5 presents the scenario of false alarm constraint and the respective maximum feasible coalition size. The figure portrays that as the value of  $P_{F,max}$  increases, the  $|S_{max}|$  of coalition  $S$  also rises and as a result, the probability of occurring overhead of  $E_R$  and  $T_R$  increases too. From the figure, we can conclude that to reduce the overhead in terms of reporting energy and reporting time in the proposed model, it is preferable to consider a lower value of false alarm constraint.



**Figure 5:** Maximum feasible coalition size for different false alarm constraints

## 6. Conclusion and future work

In this paper, we have devised a coalition formation game for cooperative spectrum sensing in interweave cognitive radio networks under the constraint of cooperation overhead in terms of reporting energy and reporting time. The objective of this work was to design schemes to reduce overhead to improve throughput gain. To limit overhead we propose theorem1 that approximates the maximum number of cooperating SUs that can be accommodated in a coalition under a given false alarm constraint. Theorem1 describes that the lower the false alarm constraint, the lesser the overhead incurred. For better performance, IEEE 802.22 recommends false alarm constraint to be less than 0.1 [31]. Algorithm1 is a better choice of solution for optimization of reporting energy

overhead and similar type of overhead that depends on the distance between SUs and the FC. On the other hand, algorithm2 is another scheme that permits only eligible SUs to report sensing decisions to the FC and thereby minimizes reporting time overhead. The outline of algorithm2 can be a choice for similar kinds of problems where it is required to reduce the overhead of reporting time. In conclusion, we can say that the proposed model can be referred by any CSS-based problems that consider reporting overhead. In future work, we would like to explore other cooperation overhead constraints and design efficient schemes to optimize them for better performance of cooperative spectrum sensing in cognitive radio networks.

## 7. Acknowledgement

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