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COVID-19

Weight of risk factors for mortality and short-term mortality displacement during the COVID-19 pandemic

MATTEO ASTENGO¹, FEDERICO TASSINARI¹, CHIARA PAGANINO¹, SIMONA SIMONETTI², DOMENICO GALLO¹, DANIELA AMICIZIA^{1.3}, MARIA FRANCESCA PIAZZA¹, ANDREA ORSI^{2.4}, GIANCARLO ICARDI^{2.4}, FILIPPO ANSALDI^{1.3} ¹ A.Li.Sa. Liguria Health Authority, Genoa, Italy; ² Department of Health Sciences, University of Genoa, Genoa, Italy; ³ Interuniversity Research Center on Influenza and Other Transmissible Infections (CIRI-IT), Genoa, Italy; ⁴ IRCCS San Martino Hospital, Genoa, Italy

Keywords

COVID-19 • SARS-CoV-2 • Harvesting effect • Mortality displacement • Comorbidities • Risk factor

Summary

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Background. We conducted a population-based cohort study to estimate mortality before, during and after the COVID-19 peak and to compare mortality in 2020 with rates reported in previous years, with a view to helping decision makers to apply containment measures for high-risk groups.

Methods. All deaths were collected between 2015 and 2020 from municipal registry database. In 2020, weeks 1-26 were stratified in three periods: before, during and after the COVID mortality peak. The Poisson Generalized Linear regression Model showed the "harvesting effect". Three logistic regressions for 8 dependent variables (age and comorbidities) and a t-test of differences described all-cause mortality risk factors in 2019 and 2020 and differences between COVID and non-COVID patients.

Results. A total of 47,876 deaths were collected. All-cause deaths increased by 38.5% during the COVID peak and

Introduction

The harvesting effect is the compensatory reduction in mortality following a temporary increase in the number of deaths of older individuals, frail subjects and patients with comorbidities. This effect is usually observed after environmental phenomena, such as heat waves or cold spells, but is sometimes seen after epidemics and pandemics, such as unusually virulent seasonal influenza pandemics [1-3]. Mortality displacement was first described as a short-term effect within days or weeks after heat waves [4]. Subsequent studies reported major long-term mortality displacement, showing, for example, that the winter mortality rate significantly modified the estimated effect of temperature on mortality in the following summer [5, 8].

Mortality displacement due to the COVID-19 pandemic, and the weight of factors potentially related to death, i.e. underlying health conditions, have been scantly investigated, despite their importance in delineating the epidemiological picture and in guiding public health measures to reduce the impact of the disease.

From the start of the pandemic to 5th July 2020, almost 35,000 deaths due to SARS-CoV-2 were recorded in Italy, the third highest mortality rate, behind Belgium

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decreased by 18% during the post-peak period in comparison with the average registered during the control period (2015-19), with significant mortality displacement in 2020. Except for chronic renal injuries in subjects aged 45-64 years, diabetes and chronic cardiovascular diseases in those aged 65-84 years, and neuropathies in those aged > 84 years, the weight of comorbidities in deaths was similar or lower in COVID subjects than in non-COVID subjects.

Discussions. Surprisingly, the weight of comorbidities in death, compared to weight in non-COVID subjects allows you to highlight some surprising results such as COPD, IBD and Cancer. The excess mortality that we observed in the entire period were modest in comparison with initial estimates during the peak, owing to the mild influenza season and the harvesting effect starting from the second half of May.

and Spain. The estimated case-fatality rate was 14%, which peaked at 20% among people aged 80 years and older [9].

In Liguria, a region of North-Western Italy with 1,550,640 inhabitants, the impact of COVID-19 was heavy, with cumulative incidences above 6 cases and 1 death per 1,000 residents. SARS-CoV-2 circulated intensely between weeks 11 and 18 and the epidemic curve reached its peak in week 14 [10]. The demographic structure of the region affected COVID-19-related mortality, as Liguria is the Italian region with the oldest population, with 28.5% (442,279) of residents aged ≥ 64 years and 5.1% (80,229) aged \ge 84 years [11].

In order to estimate mortality before, during and after the COVID-19 peak, and to compare mortality in 2020 with the rates reported in previous years, we carried out a population-based cohort study of the Ligurian population. Our objective was to provide relevant parameters, such as COVID-19-related excess mortality and risks according to underlying health conditions at different ages [12]. These parameters constitute valuable aids to understanding the real burden of disease and assisting decision-makers in applying and prioritizing the most appropriate physical distancing and other strategies focused on high-risk groups [13].

Materials and methods

Raw data on deaths and demographic information, such as age and municipality of residence, were obtained from municipal registry databases. These data were subsequently linked to and integrated with the central platform of electronic health records of the Ligurian Health System, which includes data on primary care and hospital care; comorbidities were estimated through the chronic condition data warehouse by using validated and standardized definitions of several hundred underlying conditions [14-16].

Confirmed cases were diagnosed on nasopharyngeal swabs, by means of real-time polymerase chain reaction testing and applying the World Health Organization (WHO) case definition.

The study population consisted of a dynamic cohort of about 940,000 > 44-year-old residents in the Liguria region, from 2015 to 2020. The analysis considered the total number of deaths during weeks 1-26 each year from 2015 to 2020 among residents in cities with more than 10,000 inhabitants; this was done in order to avoid the possibility of delayed notification by the regional registry office. The study population included patients who had received a diagnosis of COVID-19 from February 26th (first case in Liguria) to June 14th and who had died up to July 5th 2020. Excess mortality was calculated as the difference between the mortality rates observed and the all-cause mortality rates registered in the last five years. We considered three different periods according to the pattern of SARS-CoV-2 circulation: weeks 1-10 (Pre-COVID), weeks 11-18 (COVID peak), weeks 19-26 (Post-peak). Poisson time-series Generalized Linear regression Model (GLM) analysis was used to assess the difference between years, and a value of p < 0.05 was taken to indicate statistical significance[17-19]. To estimate the weight of underlying health conditions, multiple logistic regressions were used to assess associations between death and comorbidities, i.e. chronic cardiovascular disease, chronic obstructive pulmonary disease (COPD), chronic renal injuries (CRI), diabetes, neoplasia, chronic inflammatory bowel disease (IBD) and neuropathies, in 3 age-groups (45-64, 65-84, > 84 years) and in COVID-19 patients and non-COVID-19 patients in 2019 and 2020. Odds ratios (ORs) were used to assess the magnitude of associations, and 95% confidence intervals (95% CI) are reported. To compare the impact that risk factors had on death in COVID-19 patients and in non-COVID-19 patients in 2019 and 2020, the ratio between Odds Ratios was used.

Statistical significance in this case was obtained from the standard normal distribution of z-value, for the ratio $z = \frac{\delta}{SE(\delta)}$ with $\delta = logOR_1 - logOR_2$ with the standard error pooled *SE* (δ) resulting from *SE* (δ)= $\sqrt{SE_1^2 + SE_2^2}$ [18]. Statistical analyses were conducted by means of JMP v.15 software.

Results

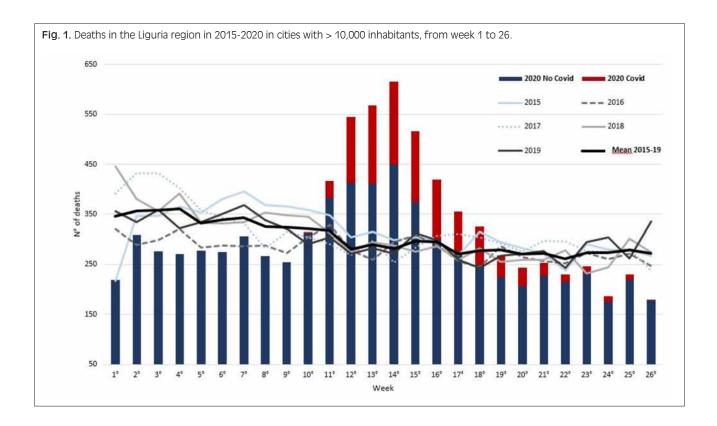
A total of 47,876 deaths were registered from 2015 to 2020 during weeks 1-26 in cities of Liguria with 10,000 inhabitants or more, accounting for more than 70% of all deaths in the Region. In the first 26 weeks of each year from 2015 to 2019, the number of deaths ranged between 7,330 and 8,146, while during the same period in 2020, 8,371 deaths were recorded; 1,070 (12.8%) were in individuals positive for SARS-Cov2. In this last group, the M:F ratio was 1.42:1 and the median age was 82 years (IQR 76-88; min 35; max 102).

In the first 26 weeks of 2020, cumulative mortality due to COVID-19 increased with age from 1.9 deaths/10,000 in the 45-64-year age-group to 21.2/10,000 and 80.3/10,000 in the 65-84-year and > 84-year classes, respectively. Cumulative non-COVID-19-related mortality increased with age from 14.2 deaths/10,000 in the 45-64-year age-group to 113.7/10,000 and 675.1/10,000 in the 65-84-year and > 84-year age-classes, respectively.

In the 2015-19 period, the M:F ratio was 0.86:1 and the median age was 85 years (IQR 77-90). Yearly cumulative mortality increased with age from 17.7 deaths/10,000 in the 45-64-year age-group to 125.9/10,000 and 727.7/10,000 in the 65-84-year and > 84-year age-classes, respectively.

Figure 1 illustrates the number of deaths in each week of the first semester from 2015 to 2020. During the 2015-19 period, the number of weekly deaths ranged between 217 and 446 (mean 338.6, median 338, IQR 309-359) in weeks 1-10, between 243 and 348 (mean 288.9, median 290, IQR 271.8-305) in weeks 11-18, and between 232 and 335 (mean 272.8, median 274, IQR 259-283) in weeks 19-26. In 2020, the number of weakly deaths ranged between 219 and 315 (mean 277.2, median 276, IQR 268-299.7) in the pre-COVID period, between 325 and 615 (mean 470.1, median 468, IQR 401.5-550.8) during the COVID-19 peak and between 179 and 268 (mean 229.8, median 237, IQR 219.3-248.5) in the postpeak period. In comparison with the average number of all-cause deaths registered during the control period (2015-19), an increase of 63.3% (+ 1449.6, 95% CI 55.5-72.5%) during the COVID peak and a decrease of 14% (- 314.6, 95% CI 8.5-19.2) during the post-peak period were observed. Deaths in SARS-CoV-2-positive patients accounted for 31% (n. 908) and 13% (n. 160) of all deaths in the peak and post-peak periods, respectively. Table I shows the mean number of weekly deaths, the regression coefficient and intercept estimates over the 6 years considered, as calculated by means of Poisson distributed time-series regression GLM.

During the 2015-19 period, weeks 1-10 showed a high variation of regression coefficients, ranging between -34.9 and 31.7, while during weeks 11-18 and weeks 19-26 the coefficients displayed lower ranges (between -38.4 and -29.3 and between -2.5 and 16.3). In 2020, 151 (95% CI 137.8-164.4) excess deaths per week were registered during the COVID-19 peak, and a significant mortality displacement occurred in the following weeks (-35.9, 95% CI -45.5 -26.0).



Age, COPD, CRI, diabetes, chronic cardiovascular disease, neoplasia (except in those aged > 84 years), and neurological syndromes were significantly related to increased mortality in both COVID-19 patients and non-COVID-19 patients who died in 2019 and 2020; IBD was a significant risk factor in non-COVID-19 patients who died in 2019 and 2020.

The probability of dying of COVID-19 per year of age (Y.O.A.) in people aged > 44 yrs increased by 8.2% (OR 1.082, 95%CI 1.077-1.088), with values not statistically different from the OR in non-COVID patients in the same period and in 2019 (1.11, 95% CI 1.107-1.113 and 1.106, 95% CI 1.102-1.108, respectively).

Multivariate logistic regressions of comorbidities associated to all-cause deaths in 2019, and COVID-19 and non-COVID-19 deaths in 2020, in the 3 age-classes, are shown in Table II.

The ORs for underlying conditions according to age-group were not significantly different between COVID-19 patients and non-COVID-19 patients who died in 2019 and 2020, with some exceptions. Patients with CRI in the 45-64-year age-group $(OR_1/OR_2 \text{ of }$ 2.32 in 2020 and 3.02 in 2019), and patients aged 65-84 years with diabetes $(OR_1/OR_2 \text{ of } 1.11 \text{ in } 2020 \text{ and } 1.11$ in 2019) and chronic cardiovascular disease (OR₁/OR₂ of 1.22 in 2020 and 1.28 in 2019) showed a higher risk of dying of COVID-19 than of dying of other causes in 2019 and 2020). Neurological syndromes showed higher OR in patients who died with COVID-19 in 45-64 years age group (OR₁/OR₂ of 2.04) compared with patients died in 2019 and in > 84 year adults compared with non-COVID-19 patient died in 2019 and 2020 (OR₁/OR₂ of 1.18 in 2020 and 1.37 in 2019).

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Tab. I. Analysis of yearly variation of mean number of weekly deaths, by Poisson distributed time-series regression of generalized linear model (GLM).

	N° weekly deaths	95% CI	Regression coefficients	95% CI	P value	
WEEKS	1-10 (mild seasons	in 2016 and 2	2020)			
Intercept	330.15	325.6-334.8	-	-	<.0001*	
2020	277.2	266.9-287.5	-52.9	-62.5; -43.3	<.0001*	
2019	337.3	325.9-348.7	7.15	-3.13-17.6	0.1741	
2018	361.8	350-373.6	31.7	21.1-42.2	<.0001*	
2017	359.5	347.8-371.3	29.4	18.8-40.1	<.0001*	
2016	295.3	284.7-305.9	-34.9	-44.6; -24.9	<.0001*	
2015	349.8	338.2-361.4	-	-	-	
WEEKS	11-18 (excess morta	ality in 2020)				
Intercept	319.12	314.1-324.2	-	-	<.0001*	
2020	470.1	455.1-485.2	151.0	137.8-164.4	<.0001*	
2019	280.8	269.1-292.4	-38.4	-49.01; -27.5	<.0001*	
2018	284.6	272.9-296.3	-34.5	-45.2; -23.6	<.0001*	
2017	289.9	278.1-301.7	-29.3	-40.0; -18.3	<.0001*	
2016	282.8	271.1-294.4	-36.4	-47.1; -25.5	<.0001*	
2015	306.6	294.7-319	-	-	-	
WEEKS	19-26 (mortality dis	placement in	2020)			
Intercept	265.60	261-270.2	-	-	<.0001*	
2020	229.8	219.3-240.3	-35.9	-45.5; -26.0	<.0001*	
2019	281.9	270.2-293.5	16.3	5.8-26.9	0.0021*	
2018	263.1	251.9-274.4	-2.5	-12.6-7.9	0.637	
2017	279.1	267.6-290.7	13.5	3.1-24.1	0.0107*	
2016	264.4	253.1-275.6	-1.2	-11.4-9.2	0.8151	
2015	275.4	263.9-286.9	-	-	-	

	Age class	COVID-19 deaths			non-COVID-19 deaths 2020					All-cause deaths in 2019						
		OR 2020	р	IC95%	OR 2020	Ŗ	95% CI	COVID / non-COVID	Z value	P value	OR 2019	p.	95% CI	COVID / 2019	Z value	P valu
Each Y.O.A.	>44	1.082		1.077-1.088	1.110		1.107-1.113	0.998	-0.56	0.575	1.106		1.102-1.108	1.002	0.693	0.48
COPD	45-64	2.52	**	1.34-4.75	1.90		1.34-2.67	1.33	1.49	0.138	2.95	•••	2.23-3.91	0.85	-0.89	0.37
	65-84	1.92		1.59-2.32	1.84		1.65-2.06	1.04	0.71	0.478	2.07	•••	1.87-2.29	0.93	-1.35	0.17
	>84	1.42	**	1.13-1.79	1.32	•••	1.19-1.48	1.07	1.10	0.271	1.55	•••	1.4-1.72	0.92	-1.37	0.17
CRI	45-64	5.47	•••	2.72-10.99	2.35		1.56-3.55	2.32	3.90		1.81		1.17-2.8	3.02	5.22	
	65-84	2.08	***	1.67-2.6	2.23	•••	1.96-2.53	0.93	-1.02	0.307	1.96	•••	1.74-2.22	1.06	0.91	0.36
	>84	1.65	***	1.31-2.08	1.48	•••	1.33-1.66	1.11	1.59	0.111	1.54	•••	1.38-1.71	1.07	1.08	0.28
Diabetes	45-64	2.62	**	1.53-4.48	2.49		1.88-3.3	1.05	0.31	0.753	2.14		1.65-2.79	1.22	1.30	0.16
	65-84	1.77	***	1.5-2.08	1.59	•••	1.45-1.76	1.11	2.10	•	1.59	•••	1.48-1.74	1.11	2.18	•
	>84	1.33	**	1.08-1.64	1.31		1.19-1.45	1.01	0.18	0.856	1.20		1.09-1.33	1.10	1.65	0.0
Inflammatory Bowel Diseases	45-64	0.83	0.73	0.29-2.38	2.70		1.93-3.78	0.31	-4.18		2.06	•••	1.45-2.92	0.40	-3.24	
	65-84	1.34	0.15	0.9-1.97	1.92		1.6-2.29	0.70	-3.19		1.47		1.2-1.8	0.91	-0.83	0.4
	>84	1.17	0.61	0.64-2.14	1.50		1.16-1.94	0.78	-1.49	0.137	1.36	•	1.05-1.78	0.86	-0.92	0.3
Cbronic Cardiovascular Diseases	45-64	2.20	•••	1.87-2.58	2.66	•••	2.04-3.47	0.83	-1.25	0.213	2.58	•••	2.03-3.27	0.85	-1.08	0.2
	65-84	2.44	**	1.42-4.2	2.01		1.83-2.2	1.22	4.19		1.91		1.75-2.07	1.28	5.39	
	>84	1.57		1.32-1.87	1.59		1.47-1.72	0.99	-0.18	0.854	1.56		1.45-1.69	1.01	0.14	0.8
Neoplasia	45-64	3.13	***	1.94-5.06	8.22		6.7-10.08	0.38	-7.24		8.31	•••	6.89-10.04	0.38	-7.43	
	65-84	1.61	•••	1.37-1.89	2.65		2.44-2.88	0.61	-10.73		2.82		2.6-3.05	0.57	-12.20	
	>84	1.04	0.69	0.85-1.29	1.24		1.13-1.36	0.84	-2.91		1.43		1.31-1.58	0.73	-5.39	
Neurological sindromes	45-64	6.44		3.37-12.29	4.62		3.27-6.53	1.39	1.71	0.087	3.15		2.16-4.6	2.04	3.73	
	65-84	2.71	•••	2.22-3.31	4.30		3.87-4.77	0.63	-7.99		2.88		2.58-3.2	0.94	-1.04	0.2
	>84	2.45		2.03-2.95	2.07		1.89-2.27	1.18	3.15		1.78		1.62-1.96	1.37	5.96	

IBD displayed a lower OR in COVID-19 patients aged 45-64 years who died than in non-COVID-19 patients who died in 2019 and 2020 (OR_1/OR_2 of 0.31 in 2020 and 0.40 in 2019); neoplasia showed a lower OR in all agegroups (OR_1/OR_2 ranged from 0.84 to 0.38 in 2020, and from 0.73 to 0.38, in 2019, see table 2 for further details).

Discussion and conclusion

Estimating mortality attributable to the COVID-19 epidemic and the role played by risk factors for mortality are fundamental to understanding the real burden of the disease and to identifying risk-based preventive strategies. The present population-based study provided an estimate of excess COVID-19-related mortality in Liguria during the epidemic peak; it also estimated the short-term mortality displacement and calculated the weight of risk factors for mortality in COVID-19 patients and non-COVID-19 patients in 2019 and 2020 in different age-groups.

During the epidemic peak, a 63.3% increase in all-cause deaths was observed in comparison with the average registered during the control period (2015-19); however, the decrease in mortality observed in the following 8 weeks softened this impact by 22%. Indeed, Poisson GNL indicated a short-term mortality displacement equal to 24% of the amount of excess mortality observed during the peak. The harvesting effect can reasonably be entirely ascribed to the extra mortality due to COVID-19, as the 2019-20 influenza season was extraordinarily mild, being globally similar in terms of mortality to the 2015-2016 seasons (WHO, EUROMOMO, Italian Ministry of Health) [20-23].

The global effect of the mild influenza season in January and February, the vigorous circulation of SARS-CoV-2 in March and April and the harvesting effect starting from the second half of May determined a mild/reduced increase in mortality in the first 26 weeks of 2020, amounting to 7% of expected deaths.

Since the start of the pandemic, age has been indicated as the key determinant of prognosis in COVID-19 patients. In our study, age was identified as an independent predictor of mortality in patients with COVID-19, and the magnitude of the odds ratio per year of increase was similar in SARS-CoV-2-positive patients and non-COVID-19 patients who died in 2019 and 2020. We observed an increased risk with an OR of 1.08 per year of age in COVID-19 patients; this largely overlaps with the ORs registered in other populations with different characteristics during the pandemic [24].

Our study confirmed that COVID-19 patients with various comorbidities, including chronic lung disease, CRI, neoplasia, chronic cardiovascular disease, neurological syndromes and diabetes, have a higher likelihood of complications and death [25-30]. The weight of these underlying conditions in COVID-19 patients in comparison with non-COVID patients, both during and before the spread of SARS-CoV-2, showed different patterns; for the majority of comorbidities, however, the risk proved comparable in COVID-19 and non-COVID-19 patients.

In the literature, the reported effect of comorbidities on the outcomes of patients with COVID-19 are conflicting. In our study, CRI in COVID-19 patients aged 44-64 years, diabetes and chronic cardiovascular disease in those aged 65-84 years and neurological syndromes in those aged > 84 years were found to exert more influence than in non- COVID individuals.

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Several studies have found that CRI, diabetes, chronic cardiovascular disease and neurological syndromes are associated with severe outcomes. However, the present study is the first to compare these independent predictors of death in SARS-CoV-2-positive and -negative patients during the pandemic and in previous years. Various immunological and molecular mechanisms, including chronic inflammation, proinflammatory cytokine storms, increased coagulation activity, impaired immune response, elevated expression of ACE-2 and potential direct pancreatic damage by SARS-CoV-2, may explain these associations. These effects are counterbalanced or increased by the asymmetric distribution of some underlying diseases, of social mixing behaviour, of compliance with social isolation or of living in highrisk settings (e.g. nursing homes), etc. The role played by each single immunological, molecular or behavioural factor is difficult to estimate.

Surprisingly, the weight of cancer in influencing death in COVID subjects proved minimal in those aged > 84years; moreover, it was much lower than in non-COVID patients in 2020 and 2019 in all age-groups. The results of a recent meta-analysis by Vassilis G. et al. showed that all-cause mortality in the elderly (aged 64 years) was comparable between individuals with cancer and those without (RR, 1.06; 95%CI, 0.79 to 1.41; P = .71), as found in our study in individuals aged > 84 years. The absence of an increased mortality risk in older individuals implies that the presence of cancer does not further affect the already burdened prognosis among older individuals [31]. In cancer patients, immunosuppression may dampen the so-called "cytokine storm", the most dangerous and potentially life-threatening event related to COVID-19, because of their down-regulated immune response. Furthermore, cancer patients are more likely to implement self-isolation, social and physical distancing and personal health measures, which may explain the lower incidence of COVID-19 [32-34]. The reduced weight of neoplasia in COVID-19 patients during the first wave is only the short-term effect of the pandemic, but substantial increases in the number of avoidable cancer deaths are to be expected in the near future as a result of diagnostic delays due to the COVID-19 pandemic [35]

IBD seems not to influence the likelihood of death in COVID-19 patients; the ORs were significantly lower in this group than in non-COVID patients in 2020 and 2019. These results could suggest a protective role of immunosuppressive drugs; indeed, some therapies that are frequently administered in patients with IBD, such as anti-TNF α , anti-IL-6 and JAK inhibitors, may have a beneficial role in attenuating severe COVID-19 disease, although more evidence is needed [36, 37]. In our population, about 10% of patients with IBD were on immunosuppressive drugs.

This study has some limitations. The analysis considered the total number of deaths in residents in cities with more than 10,000 inhabitants (above 70% of the total population) to avoid the possibility of delayed notification by the regional registry office. This sample was therefore not exactly representative of the total population; this may

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have introduced the bias of the possible different access to treatment in small towns or the different spread of the virus in less populated areas. However, as we compared different outcomes and risk factors in infected and noninfected residents in cities with > 10,000 inhabitants in different periods of time, this bias does not affect, or only minimally affects, the results.

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To compare the impact of risk factors on death in COVID-19 patients and non-COVID-19 patients in 2019 and 2020, we used the ratio between Odds Ratios, evaluated by the difference between logOR and pooled SE, by means of Student's T test. Some epidemiologists argue that, in order to compare two odds ratios, it is enough that the OR confidence intervals overlap. However, we needed a finer method in order to obtain an indicator of results that was comparable between the various categories.

In conclusion, the evaluation of the weight of comorbidities in COVID-19 patients yielded some surprising results, such as the low/minimal weight of cancer in influencing death in older COVID-19 patients and the comparable weight of COPD and chronic cardiovascular disease (except in the 65-84-year age-group) in COVID-19 and non-COVID subjects. The excess mortality that we observed in the first semester of 2020 was modest, in comparison with the initial estimate during the peak, owing to the mild influenza season and the harvesting effect starting from the second half of May.

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Conflict of interest statement

The authors declare no conflict of interest.

Authors' contributions

FA, GI and MA conceived the study. MA, FT, CP and FA verified the analytical methods. SS and DG provided informatics data. All authors contributed to data acquisition and data quality control. MA, FT, CP, DA, MFA, AO, GI, DP, IA and MP contributed to the interpretation of the results. MA, FA and FT wrote the manuscript, with input from all authors. All authors have read and agreed to the published version of the manuscript.

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Correspondence: Matteo Astengo, Planning, Epidemiology and Prevention Unit, A.Li.Sa. Liguria Health Authority, Genoa, Italy - E-Mail: Matteo.astengo@alisa.liguria.it

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