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1           **UNRAVELLING THE RESPONSES OF DIFFERENT APPLE VARIETIES TO**  
2           **WATER CONSTRAINTS BY CONTINUOUS FIELD THERMAL MONITORING**

3  
4   For: *Scientia Horticulturae* (Elsevier)

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13   **Abstract    (308 words)**

14   This research aimed at analyzing the response of apple tree varieties subjected to soil water deficit and atmospheric  
15   drought in a field phenotyping platform located in the Mediterranean area. The main assumption of the study was  
16   that seasonal and daily stomatal behavior can be monitored by continuous measurement of canopy surface  
17   temperature (Ts) as a proxy of stomatal closure. To achieve the study objectives, thermal monitoring of 6 pre-  
18   commercial apple varieties was simultaneously carried out throughout one season by nadir-oriented thermo-  
19   radiometers placed 1.50m over the tree top canopy. Two water regimes were applied to each variety during a 4-  
20   week summer period: normal irrigation (WW) vs progressive water deficit (WS). The maximum difference in Ts  
21   between water regimes was recorded daily between 11:00 and 14:20 GMT, with an earlier closure of stomata in  
22   WS trees. During the day, a more negative stem water potential ( $\Psi_{\text{stem}}$ ) and a higher diurnal Ts (+1° to +2°C)  
23   were observed on WS trees, resulting in a significant limitation of fruit growth. Tree water stress was caused by  
24   both edaphic and atmospheric droughts, in the medium and short terms respectively, with inter-varietal and inter-  
25   regime differences highlighting distinct stomatal closure behaviors. Results suggest that some of the varieties  
26   studied are well adapted to stressful summer conditions, as long as irrigation needs are met, while other varieties  
27   show a particular sensitivity to the mid-day evaporative demand, which may limit their extension. Although these  
28   results are not comprehensive enough to predict the optimal performance of varieties under different stress  
29   scenarios, the proposed methodology allows to assess the dynamics of tree response to water constraints using  
30   non-invasive thermal sensors. It opens up new perspectives for the phenotyping of apple cultivars under abiotic  
31   stress, achievable through the quantified study of their transpiration flux in response to stress scenarios. These  
32   prospects will require further in planta measurements to dissect varietal differences.

33  
34   Keywords : *Malus x domestica*, non-invasive Phenotyping, Stem water potential, Stomatal regulation, Canopy  
35   surface temperature, Fruit growth

## 36 **1. Introduction**

37 Due to climate change, a general increase in temperature is expected, as well as more frequent and intense extreme  
38 weather events (IPCC, 2014). As a consequence, long periods of drought are likely to occur more frequently,  
39 especially in the Mediterranean region (Giorgi and Lionello, 2008). Climate change will have a negative impact  
40 on agricultural production in general, jeopardizing food security, both in terms of quantity and quality (Tripathi et  
41 al., 2016). In particular, climate change will threaten fruit production in temperate zones, especially where  
42 irrigation is limited (Maracchi et al., 2005), and evapotranspiration will continue to increase in response to higher  
43 climate demand. Adaptation of fruit trees to abiotic stresses such as water stress is therefore becoming an  
44 increasingly important challenge for fruit crops (Basset, 2013; Rahmati et al., 2018). In the short term, climate  
45 change is conducive to the adoption of new cropping techniques (del Pozo et al., 2019; Parajuli et al., 2019),  
46 including irrigation (Robinson et al., 2017). In the long term, the need to grow varieties that are more tolerant to  
47 climatic constraints is also expected to drive the selection of new cultivars offering greater resilience to abiotic  
48 stress and/or improved water-use efficiency (Lotfi et al., 2010; Liu et al., 2011; Lopez et al., 2017; Coupel-Ledru  
49 et al., 2019).

50 One of the first physiological responses of plants to water deficit is stomatal closure. This leaf response (i) induces  
51 a decrease in photosynthetic activity since the access of carbon dioxide to the mesophyll is reduced, and (ii) it  
52 limits transpiration and the dissipation of the associated latent heat of vaporization that causes (iii) an increase in  
53 the average leaf surface temperature ( $T_f$ ). An indirect measure of plant response to water deficit is therefore based  
54 on an inverse relationship between  $T_f$  and stomatal opening (Fuchs, 1990). Among other authors, Maes and Steppe  
55 (2012) recalled that  $T_f$  is most often estimated by measuring the brightness temperature ( $T_{br}$ ) at canopy scale.  
56 Non-invasive monitoring of stomatal conductance can therefore be carried out using thermal sensors installed  
57 above canopy, which provides an early indicator of tree response to drought, since the increase in foliage  
58 temperature may occur before any other changes in plant water status (Jones, 2004). Such an approach has been  
59 successfully used for crops such as barley and black poplar to assess the stomatal sensitivity to different water  
60 regimes in a panel of varieties (Rischbeck et al., 2017; Ludovisi et al., 2017). Proximal measurement of the  
61 vegetation surface temperature requires the selection of an appropriate thermal infrared sensor (White et al., 2012).  
62 It is also important to consider the influence of the soil underlying the crop (Hackl et al., 2012; Costa et al., 2018)  
63 in order to avoid noise in the thermal signal.

64 Water stress at the individual tree level can be monitored by remote sensing of canopy surface temperature (Ts).  
65 For example, Gómez-Candón et al. (2016) identified higher canopy temperatures in water-stressed versus well-  
66 irrigated apple trees using thermal IR data. These authors also showed contrasting phenotypic responses to water  
67 limitation for different apple tree genotypes. Incidentally, leaf temperature varies within the entire tree canopy and  
68 spatial variability in surface temperature can be increased by water stress (Ngao et al., 2017). Selecting a  
69 representative area of interest within the canopy is therefore the first step for studying a tree's response to drought  
70 constraints over time. Issues of resolution and scale, from the leaf to individual plant and to plant cover, must be  
71 carefully resolved since the thermal signal is detected remotely, at a certain distance (Cohen et al., 2016). Thermal  
72 proxidetection can be used in a complementary manner to thermal remote sensing for cross-validation purposes,  
73 but it has also been used alone for field phenotyping of plant adaptation to drought; Thompson et al. (2018), for  
74 example, successfully used a cart equipped with a thermal camera to proximally characterize different cotton  
75 genotypes subjected to drought.

76 Cultivated apple (*Malus x domestica*) is mostly considered rather isohydric (Lauri et al., 2011), exhibiting fairly  
77 rapid stomatal closure in response to soil moisture deficit, which allows trees to limit transpiration and overcome  
78 short periods of drought. However, during the annual cycle, there is also an increasing tendency toward anisohydry,  
79 i.e. maintenance of stomatal opening to satisfy fruit's demand for photoassimilates, which stimulates the  
80 persistence of some photosynthetic activity until harvest (Pretorius and Wand, 2003). Lauri et al. (2016) found  
81 variable stomatal behavior in apple depending on the period of water deprivation (spring or summer), and they  
82 also showed that this species presents remarkable phenotypic plasticity under moderate stress. In addition, apple  
83 trees also have the ability to adapt to moderate drought by osmotic adjustment (Širčelj et al., 2007). Overall,  
84 however, the literature concludes that orchard irrigation deficit has a negative impact on fruit growth and resulting  
85 yield (Steduto et al., 2012).

86 The influence of decreasing atmospheric humidity on apple trees has been less studied. It has been shown that the  
87 resulting increase in vapor pressure deficit (VPD) promotes stomatal closure above a certain threshold value  
88 (Regnard et al., 2008; Dragoni and Lakso, 2011). Intraspecific variability in response to drought has also been  
89 recognized in *Malus x domestica*, with some commercial varieties being more or less responsive to soil moisture  
90 deficit (Massonnet et al., 2007). This also being observed in a bi-parental apple progeny (i.e., a cross between two  
91 commercial cvs : 'Starkrimson' × 'Granny Smith'), where 120 genotypes were compared (Virlet et al., 2015). It  
92 should be noted that, in these studies, genotypic variability in response to water stress has been observed in the  
93 Mediterranean area, where summer conditions that do not allow a clear differentiation between constraints

94 resulting from (i) the increasing soil water deficit (seasonal deficit irrigation) and (ii) the sharp drop in atmospheric  
95 humidity that occurs on a diurnal basis, repeating daily over the entire period.

96 The objective of this study was to evaluate the capacity of IR thermal sensors, installed on a field phenotyping  
97 platform, to monitor by continuous proximal measurement the differential behavior of a series of apple varieties  
98 subjected simultaneously to a progressive soil water deficit in summer and to the diurnal peak in atmospheric  
99 evaporative demand. It was expected that the responses of different pre-commercial apple cultivars to these abiotic  
100 stressors will help to identify the most appropriate varietal behaviors.

## 101 **2. Materials and methods**

102 In this study, carried out during summer 2015, we compared diurnal variation and seasonal evolution of thermal  
103 IR signal on 6 apple tree varieties submitted to two different irrigation regimes, a full irrigation (WW for well-  
104 watered) and a progressive water deficit (WS, for water-stressed). In parallel to the monitoring of tree canopy  
105 surface temperature ( $T_s$ ), we studied two biophysical indicators during the experiment: the soil water potential  
106 ( $\Psi_{\text{soil}}$ ), which was measured twice a week, and the tree water potential ( $\Psi_{\text{stem}}$ ) which was monitored periodically  
107 in its daily evolution. In addition, the effect of temporary irrigation limitation on production was addressed by  
108 seasonal monitoring of fruit growth and characterization of yield components at harvest. The irrigation supplied  
109 to each tree row, i.e., for each variety and each water regime, was monitored by water meters to ensure that the  
110 overall amounts supplied were identical. Since the study plot was located on a flat land and the trees were irrigated  
111 with micro sprinklers, runoff and drainage were considered negligible.

### 112 **2.1 Study site and data acquisition**

113 The study was carried out in the orchards of Ctifl (Interprofessional Technical Center for Fruits and Vegetables)  
114 at the Balandran station, near Bellegarde, France (N43°45'09.6", E04°27'23.0"). The soil was a sandy clay loam,  
115 fairly homogeneous in the trial as shown by the spring resistivity maps provided by Corhize®, offering a water  
116 holding capacity of about 80mm over the 60 cm depth explored by the roots. The experimental plot, dedicated to  
117 the agronomic assessment of varieties (i.e., flowering phenology, fruit bearing habit, yield and regularity, fruit  
118 quality), was equipped in 2015 for the specific needs of the trial. The field set-up consisted of 6 rows, one row per  
119 variety, where trees were planted at a distance of 4m \* 1.25 to 1.30m. The inter-rows were grassed over a width  
120 of 2 meters and regularly mowed, while the tree row was chemically weeded. In the entire experimental plot, trees  
121 were pruned, thinned and sprayed according to professional practices and the integrated fruit production  
122 guidelines. All varieties studied were grafted onto M9 rootstock and were planted as follows: Cripps Pink (2008),

123 Dalinette (2008), Gradiyel (2007), Inolov (2007), UEB32642 (2008) and Inored (2004). In 2015, the trees could  
124 be considered mature, in regards to vegetative development and fruit production potential, and no significant  
125 differences in canopy size were observed (data not shown).

126 For each apple variety, within the same row, two adjacent subplots were created: WW and WS. The trees were  
127 irrigated by a micro-sprinkler system, one emitter per tree. The individual flow rate of the micro-sprinklers was  
128 initially 46 l/h for the entire trial, with plot irrigation carefully scheduled since May 1 according to seasonal  
129 potential evapotranspiration and periodic measurement of soil water status. Starting July 2, the irrigation regime  
130 for subplots was differentiated. In the WS subplot, trees were subjected to a gradual summer soil water deficit,  
131 while irrigation of the WW subplots was maintained according to evapotranspiration (ETc) requirements twice per  
132 week. The change in irrigation regime in the WS subplot was achieved by replacing the initial 46 l/h with 24 l/h  
133 emitters (i.e. irrigation at half-rate). The trees located at the boundaries of the subplots were not considered for  
134 subsequent measurements and analyses, in order to avoid lateral water transfers between the WW and WS  
135 treatments. The differentiation between water regimes was stopped on July 30, after 4 weeks, by replacing the 24  
136 l/h emitters in WS subplots with the original 46 l/h.

## 137 **2.2 Canopy temperature measurement**

138 The IR-120 thermo-radiometers measured canopy brightness temperature ( $T_{br}$ ), which was used as a proxy for  
139 canopy surface temperature ( $T_s$ ). Regardless of variety, temporal variations in  $T_s$  are the result of leaf energy  
140 balance, reflecting one of  $T_s$  components, the evaporative term, which varies inversely with the tree stomatal  
141 closure in response to stressful conditions.

142  $T_s$  was measured continuously on one representative tree (similar growing conditions to its neighbors) per variety  
143 and per irrigation treatment. For this purpose, IR-120 thermo-radiometers (Campbell Scientific®) were installed  
144 above the tree tops in a zenithal position. The distance between the tree tops and the thermo-radiometers was  
145 adjusted to 1.5m in order to ensure the measurement of a central canopy area with a radius of 0.50m, as shown in  
146 Figure 1. This distance was calculated using the following formula:

$$147 \quad r = h * \tan(\alpha) \quad (1)$$

148 where  $h$  is the distance from the sensor to the top of the canopy,  $\alpha$  is the sensor semi-angle of view (20° for the  
149 IR120) and  $r$  is the radius of the circular area spotted by the sensor. As the actual canopy radius of each of the

150 trees studied was between 0.76 to 0.94 m, which is wider than the area of interest (radius 0.50 m) measured by the  
151 IR-120 sensor, the target area can be considered representative of the upper canopy.

152 The IR120 collected the average thermal signal  $T_s$  emitted by the target surface. This temperature was scanned  
153 every 10 seconds, and  $T_s$  mean values were calculated and recorded every 10 minutes by a CR3000 data logger  
154 (Campbell Scientific®) throughout the day. Before and after the experiment, the IR-120 thermo-radiometers were  
155 carefully calibrated and verified using a blackbody device (Fluke® 9133 portable infrared calibrator). In this study,  
156 canopy temperatures were only considered for full sunny days and with wind speeds below  $10 \text{ m}\cdot\text{s}^{-1}$ .

### 157 **2.3 Tree water status**

158 The stem water potential ( $\Psi_{\text{stem}}$ ) is a good indicator of plant water status (Choné et al., 2001; Naor, 2006; Doltra  
159 et al., 2007). Its value can vary depending on a balance between incoming and outgoing water fluxes (Simonin et  
160 al., 2014). Typically, a negative minimum value of  $\Psi_{\text{stem}}$  is reached in the middle of the day when the climatic  
161 demand for transpiration is maximum. Stem water potential depends on the transpiratory behavior of the variety  
162 in relation to the instantaneous evaporative demand, but in WS trees, it is furthermore affected by the stress  
163 experienced by the plant due to increasing soil water deficit.

164 On July 2, 16, 22 and 29,  $\Psi_{\text{stem}}$  was measured on individual leaves after 2 hours of enclosure in an aluminized  
165 plastic bag designed to stop transpiration and eliminate the water potential gradient between leaf, stem, and  
166 branches (Goldhamer and Fereres, 2001). Measurements were performed using a pressure chamber (model 3000,  
167 Soil Moisture®) beginning at 12:00 noon solar time. Each series of measurements for the whole trial was  
168 performed in the shortest time possible, approximately 45 minutes. Two trees per genotype and per treatment  
169 selected for homogeneity were measured, including the individual monitored by the IR 120 sensors, at a rate of  
170 three leaves per tree each time.

### 171 **2.4 Other data acquired**

172 In order to retrieve additional information on plant development under water irrigation treatments, environmental  
173 conditions were monitored using sensors for global radiation ( $R_g$ ), air temperature ( $T_a$ ), air relative humidity (RH),  
174 wind speed ( $u$ ) and precipitation. Climatic variables were scanned every 30 s, averaged over one-hour intervals,  
175 and stored using a Campbell Scientific CR10X data logger. They were used to calculate the air vapor pressure  
176 deficit (VPD) among other indices. Water supply was monitored during the irrigation campaign, with water meters  
177 placed at the end of each tree row, to check the homogeneity of irrigation supplies. Watermark® Soil moisture  
178 probes were used to collect soil water potential (soil WP) at 0.3 m depth for Cripps Pink, Dalinette, Inored and

179 UEB32642 varieties (3 probes per tree). Finally, fruit growth was monitored on UEB 32642, Cripps Pink and  
180 Inored varieties. For each variety and at each date, 30 fruits were measured on two WS trees and 30 fruits on two  
181 WW trees, these trees being the same as those chosen for  $\Psi_{\text{stem}}$  measurements, and the fruits being equally  
182 distributed on the east and west sides of the row. Measurements were made once a week using a digital caliper.

### 183 **2.5 Statistical analysis**

184 One way ANOVA and Fisher's LSD *post hoc* tests were used in order to determine the effect of water regimes for  
185 all the analyzed variables. Linear regression equations and Pearson correlation coefficients were calculated to  
186 analyze the relationship between variables. Analyses were conducted using the JMP 14.2 statistical package of  
187 SAS.

## 188 **3. Results**

189 As shown in Figure 2a, the WW and WS irrigation regimes applied in July corresponded to 74% and 37% of ETo  
190 (reference evapotranspiration) respectively. The irrigation provided on the WW subplots, monitored according to  
191 the soil probes values, was mostly sufficient for tree vegetation and for satisfactory fruit growth. On WS trees, soil  
192 water deficit began on July 7 and gradually increased thereafter; cumulative WS water inputs were less than 70mm  
193 during the July restriction period. Although the trees of the studied varieties had similar vegetation characteristics  
194 at maturity (crown dimensions, same rootstock with limited root depth, and similar fruit loads), it appeared difficult  
195 to subject them to perfectly similar watering conditions, especially for the WS deficit irrigation regime.

### 196 **3.1 Seasonal evolution of soil water potential and tree water status**

197 As shown in Figure 2b, during the contrasting irrigation period, soil WP was maintained for WW trees in the range  
198 of -0.02 to -0.12 MPa for most varieties, with the exception of UEB 32642, a variety for which the WW water  
199 inputs seemed to be slightly insufficient to prevent a drop in soil WP after July 14. In WS trees, the median values  
200 recorded by the probes showed a fairly rapid decrease in soil WP, reaching the physical limit of Watermark®  
201 probes (-0.20 MPa) between July 12 to 22, depending on the variety.

202 The assessment of the water status of the apple trees during the experiment was mainly based on the value of  
203  $\Psi_{\text{stem}}$  particularly that measured at solar noon, when it is typically at its lowest point. It can be observed in Figure  
204 3 that after July 16 the varieties Inored, UEB32642 and Dalinette presented significantly more negative  $\Psi_{\text{stem}}$   
205 values for WS trees than for WW trees, indicating an onset of water stress for the WS treatment with a progression  
206 until the end of the month resulting in final differences of -0.40 to -0.80 MPa between watering regimes. For these  
207 varieties, the midday  $\Psi_{\text{stem}}$  value at the end of July was below -1.8 MPa. For two other varieties, Cripps Pink and



208 Gradiyel, less differences were observed between WW and WS treatments, and in Cripps Pink, slight water stress  
209 was observed late with a midday  $\Psi_{\text{stem}}$  value below -1.6 MPa for both water regimes. No water stress was  
210 recorded in Inolov trees until July 22, while on July 29, first signs of moderate stress were detected on WS trees,  
211 with an average difference of -0.4 MPa between WW and WS irrigation regimes (Figure 3).

212 During the night,  $\Psi_{\text{stem}}$  and soil WP values tend to balance each other as leaf transpiration ceases and the  $\Psi_{\text{stem}}$   
213 values retrieved at predawn ( $\Psi_{\text{predawn}}$ , Figure S1) provides a complementary indication of the trees' water status.  
214 It was observed that  $\Psi_{\text{predawn}}$  values corroborated the trend of developing moderate but significant water stress,  
215 consistent with the diurnal period observations, for WS trees on the three varieties Inored, UEB32642 and  
216 Dalinette, starting on July 16. For Cripps Pink variety, no significant difference was recorded between WW and  
217 WS trees (and no  $\Psi_{\text{predawn}}$  value below -0.4 MPa), and no significant difference between WS and WW treatments  
218 were observed before July 29 for Inolov and Gradiyel varieties (Figure S1).

### 219 **3.2 Apple tree canopy temperature**

#### 220 **Seasonal evolution**

221 It is noteworthy that the increase in  $T_s$  at midday (Table 1) occurred in accordance with the  $\Psi_{\text{stem}}$  variations  
222 previously shown (Figure 3), with the variations being inverse (the more negative the  $\Psi_{\text{stem}}$ , the higher the  $T_s$   
223 value). Accordingly, the differences in  $T_s$  between the WS and WW treatments were appreciable from July 16  
224 onwards. Considering all six apple varieties, there was no significant effect of water treatment WS on  $T_s$  increase.  
225 However, limiting the comparison to the three varieties that experienced moderate stress characterized by the  
226 decrease in  $\Psi_{\text{stem}}$  (Inored, UEB32642 and Dalinette), a significant difference ( $P < 0.01$ ) was obtained for the  $T_s$   
227 between the WS and WW treatments. For each of the varieties studied,  $T_s$  at midday was always higher than the  
228 air temperature ( $T_a$ ). Moreover, the average  $T_s$  at midday showed an increase comprised between +0.8° to +1.4°C  
229 for WS trees of UEB 32642 variety compared to WW trees, while this increase in  $T_s$  between the two treatments  
230 varied between +1.7° to +2.0°C for Inored, and from +1.3° to +2.0° for Dalinette (Table 1).

231 The variety Cripps Pink showed the lowest  $T_s$  values at solar midday, irrespective of the irrigation regime; on July  
232 29, a difference of only +0.3 °C was found between WS and WW trees. No clear seasonal evolution of  $T_s - T_a$  or  
233  $T_{sWS} - T_{sWW}$  was observed for this variety, even at the end of July, when stress conditions were starting as  
234 indicated by the slight decrease in  $\Psi_{\text{stem}}$  values previously shown for WS and WS trees (Figure 3).

#### 235 **Daily evolution**

236 Regardless of the irrigation regime, nighttime Ts was lower than nighttime Ta, while the opposite was observed  
237 most of the time during the day, particularly in the afternoon. During the night, each variety presented very similar  
238 Ts values for both irrigation treatments (Figures 4.a and 4.b).

239 On July 4, shortly after the introduction of water restriction (WS), the diurnal evolution of Ts fitted a bell curve,  
240 regardless of the variety or the watering treatment. This evolution was in accordance with that of Ta and VPD at  
241 this date. A temporal shift was observed for thermal peaks, typically on July 20: on this date, the TsWS peak  
242 preceded the TsWW peak for UEB32642 and Inored varieties, while the time differences between Ts peaks in WS  
243 and WW trees were less consistent for Inolov and Gradiyel. On July 28, under conditions of slightly lower air  
244 temperature and lower VPD than on July 20, the same trends were observed between treatments for all varieties  
245 (Figure 4). A plateau in Ts evolution was also noticed between 12:00 and 13:00 GMT, regardless of the variety  
246 and/or the treatment.

247 An example representative of the daily evolution for TsWW and TsWS is shown in Figure 5 for the variety  
248 UEB32642 (July 24). A delay of 45 minutes between the maximum temperature peaks for the WS and WW  
249 treatments was noted: a TsWW maximum of 35°C was observed at 13:30, while the TsWS maximum was observed  
250 earlier, at 12:45, with higher values (36.2°C), in agreement with the values previously shown on July 20 (Figure  
251 4a) for this variety, from the early morning. The VPD values evolved in parallel with those of Ta, with the highest  
252 VPD observed at 15:00. It should be noted that for this variety, the TsWS-TsWW difference was variable, and  
253 overall moderate; it was at its highest between 10:00 and 11:30, before the Ta and VPD peaks were reached.

254 The times of day at which Ts peaked for the two watering treatments and the differences in Ts max ( $\Delta T_{\max}$ ) between  
255 the highest TsWS and TsWW are presented in Table 2 (only full sunny days have been considered). WS trees  
256 reached maximum daytime Ts value before that of WW trees in most cases, with the exception of Gradiyel variety,  
257 which did not show any sign of water stress until the end of July. The more genotypes were affected by water  
258 stress, the more pronounced the difference between TsWS and TsWW. Prior to the establishment of the irrigation  
259 restriction, the maximum Ts of WW trees was generally similar to or slightly higher than that recorded in trees  
260 intended for WS treatment (data not shown). After July 16 (i.e., 14 days after the establishment of water deficit in  
261 WS treatment) this trend reversed with increasing water stress. However, the differences between the maximum  
262 TsWS and TsWW after one month remained limited to 1.5°C (Dalinette variety).

263 **Respective effects of water restrictions and VPD**

264 Under the conditions of Balandran experimental platform, a strong correlation between VPD and  $T_a$  was observed  
265 at the diurnal scale, with  $R^2$  values higher than 0.9 in the morning (from 6:00 to 13:00 GMT), and diurnal variations  
266 in VPD mainly resulting from that of  $T_a$  (data not shown). Consequently, the choice was made to plot the variations  
267 in  $T_s$  as a function of those in VPD (Figure 6a and b). The results presented for two consecutive two-day periods,  
268 chosen for comparable weather conditions, are consistent. Overall, we found that  $T_s$  differences between WS and  
269 WW trees increased linearly with more severe water constraints. Accordingly, a good correlation was also found  
270 between  $T_s$  and  $T_a$  (Figures S3.a, S3.b and S3.c), with similar differences between watering treatments (data not  
271 shown). Noticeable differences between the slopes of the regression lines (WS vs WW) were found for Inored  
272 (+0.75 to 0.81), for UEB32642 (+0.50 to 0.78) and Dalinette (+0.23 to 0.50), which were also the varieties most  
273 affected by WS irrigation regime, as shown in the previous results (soil WP and midday  $\Psi_{\text{stem}}$  and maximum  
274 daily  $T_s$  differences, Figure 3 and Table 2). For the other varieties, only slight differences were observed due to  
275 the water regime in the Cripps Pink and Inolov trees, while Gradiyel variety behaved opposite to expected, with a  
276 higher slope for the WW treatment. The slope values observed for the WW trees (Table S1) showed that the variety  
277 with the highest increase in  $T_s$  in response to the morning progression of VPD was Gradiyel, while UEB32642  
278 was the least sensitive variety to increasing VPDs.

### 279 **3.3 Fruit growth response and fruit size at harvest**

280 During the experiment, cumulative fruit growth was monitored for the apple varieties Cripps Pink, UEB32642 and  
281 Inored (Figure 7). On July 30, at the end of the period of contrasting watering regimes, a significant limitation in  
282 fruit equatorial growth occurred on WS trees for UEB32642 and Inored varieties, compared to WW trees. For  
283 UEB32642, from 22 to 29 July, the daily growth rate under WS condition decreased to 31% (60  $\mu\text{m}$  per day) of  
284 its equivalent under WW condition (190  $\mu\text{m}$  per day). A similar decrease was observed in Inored, with 84  $\mu\text{m}$  per  
285 day for WS against 200  $\mu\text{m}$  per day for WW. No appreciable difference was found for Cripps Pink. After August  
286 1, once water restrictions were released for the WS regime, fruit growth rates returned to similar levels in WW  
287 and WS treatments. At harvest, residual differences in fruit diameter were 2.2 mm for UEB32642 variety and 2.3  
288 mm for Inored (Figure 7).

289 Table 3 shows the average fruit load and yield components at harvest. The number of fruits per tree at harvest was  
290 close to the number after thinning. The variety Cripps Pink showed a small but significant difference in individual  
291 mean fruit weight in WS trees at harvest, 9.80% (15g) higher than that of WW trees. As this difference occurred  
292 during late fruit growth, it likely resulted from initial differences in tree fruit load between treatments. For the  
293 variety UEB32642, after the fruit growth rate was reduced by water deficit in WS trees, some compensation

294 occurred upon return to normal irrigation conditions, since the individual WS fruit weight was reduced by only 7g  
295 (5.02%) at harvest, compared to WW, the difference in fruit yield also reflecting this residual difference. Similar  
296 results were observed for the variety Dalinette with a difference of 11g (6.32%) at harvest. For the Inored variety,  
297 the size difference noted in July for fruits on WS trees compared to WW trees was maintained to some extent until  
298 harvest, with the average fruit weight on WS trees significantly reduced by 15g (12.26%). The same trend was  
299 observed on this variety for the fruit yield in WS trees, which was reduced by 3.9 kg per tree compared to the yield  
300 of WW trees. For the Inolov variety, individual fruit weights were identical in WW and WS trees at harvest, and  
301 the difference in yield per tree (-3.4kg in WS compared to WW, not significant) probably resulted from the initial  
302 fruit load per tree. Similarly, for the variety Gradiyel, individual fruit weight at harvest was similar in WW and  
303 WS trees, while fruit yields only slightly differed, due to fruit load per tree, consistent with the lack of stress  
304 experienced by WS trees in this case.

## 305 **4. Discussion**

### 306 **4.1. The phenotyping platform**

307 The perspective of developing sustainable orchards with reduced input levels depends on the possibility to assess  
308 the behavior of commercial varieties under limiting conditions. In a study platform originally dedicated to assess  
309 the agronomic performance of apple tree varieties under standard conditions, we tested the relevance of introducing  
310 subplots with a temporarily reduced irrigation. Although the WS irrigation regime was similarly reduced (by -  
311 50%) for the different varieties compared to the WW regime (Figure 2a), we showed that water stress was achieved  
312 within 15 days for 3 out of 6 varieties (Inored, UEB32642 and Dalinette, Figure 3), or initiated after 1 month for  
313 one variety (Inolov), or difficult to reach for the Cripps Pink and Gradiyel varieties. These discrepancies can result  
314 from different transpiration rates specific to varieties, as stated by Beikircher et al. (2013), and/or from variable  
315 responses to drought (Virlet et al., 2015). Indeed, carrying out this study under field conditions close to those of a  
316 commercial orchard, without adjusting the irrigation regimes for each variety individually, did not allow the  
317 phenotyping to be carried out as rigorously as would have been possible with a procedure driven on phenotyping  
318 platforms with controlled-conditions. It should be noted, however, that it is not possible to compare mature fruiting  
319 trees on such platforms due to the adult tree size. The challenges posed by field phenotyping technologies have  
320 been addressed in many previous research studies such as White et al. (2012), Araus and Cairns (2014) and Deery  
321 et al. (2014).

322 Equipping the field phenotyping platform with a device for continuous collection of canopy temperature data has  
323 enabled high-precision thermal monitoring of plants facing hydric constraints. Simultaneous acquisitions on a  
324 series of varieties relied on the use of non-invasive sensors capable of performing automatic measurements, as  
325 highlighted by Costa et al. (2019). We demonstrated here the interest of using thermoradiometers carefully  
326 calibrated before and after the experiment, and connected to data acquisition systems (two CR3000 data loggers).  
327 Monitoring of a larger number of varieties than in this study would require the use of a multiplexed acquisition  
328 system.

#### 329 **4.2. Soil and atmospheric effects**

330 Canopy surface temperature  $T_s$  that was monitored on apple trees was related to the water stress they experienced,  
331 and regulated by stomatal closure in response to this constraint (Jones et al., 2002). Stomatal regulation was  
332 affected in this experiment by both edaphic and atmospheric components, i.e. soil water deficit and air vapor  
333 pressure deficit. Taking these two factors into account, two-time scales were distinguished: the tree seasonal  
334 response to the increasing soil water deficits throughout July, and the daily and morning response of the tree to  
335 increase in air temperature ( $T_a$ ) and decrease in relative humidity (RH) reflected by an increase in VPD. Thus, the  
336 two main causes of  $T_s$  variations were the irrigation shortage (medium term effect) and the high evaporative  
337 atmospheric demand during sunny days (short term effect).

338 As concerns seasonal evolution, both availability of soil water and atmospheric demand have influenced the plant  
339 foliar functions. Considering that the atmospheric evaporative demand at each moment affected equally all the  
340 plants studied in the same way, whatever the irrigation treatment, the most important differentiating factor in the  
341 trial was the amount of water available in the soil. Differences in  $T_s$  responses to WS vs WW water regimes were  
342 typically traduced by steeper slopes in the  $T_s$ /VPD graphs (Figure 6) for the three varieties where water stress was  
343 reached, i.e., Inored, UEB32642 and Dalinette (Fig 6b vs 6a).

344 On a daily scale, the amount of soil water available can be considered only slightly variable, so that variation in  
345 atmospheric evaporative demand is the most important factor determining stomatal regulation in the short term. In  
346 our study, it was observed that WW and WS trees presented similar  $T_s$  patterns at the beginning of the day (Figure  
347 4a and 4b) and at the end of the afternoon, showing that differences in behavior between varieties are the result of  
348 the effect of the central hours of the day, as stated in previous studies such as Costa et al. (2018). A rapid rise in  
349  $T_s$  first occurred in the morning, resulting from rapid stomatal closure, so that in the middle of the day a maximum

350 Ts was observed. Interestingly, the canopy temperature peaks were reached at different times, depending on the  
351 water regime. The Ts peak was followed by a plateau period, typically after 1:00pm. As the difference between  
352 the daytime Ts and Ta gradually decreased during the second half of afternoon (Figure 4), regardless of the  
353 irrigation regime, it can be assumed that some stomatal conductance was maintained until dusk, before an almost  
354 complete stomatal closure at night time. The fluctuations in Ts in response to diurnal variations in VPD and Ta  
355 constitute a proxy for stomatal response, and illustrate the phenotypic plasticity of the apple species (Lauri et al.,  
356 2016). Since Ts elevation (or stomatal closure) in response to increasing VPDs was particularly noticeable in the  
357 morning, we considered that the differences in varietal sensitivity to VPD could be analyzed and inferred from the  
358 differences in Ts/VPD slopes shown in Figure 6. In this respect, based on what was observed on the WW trees,  
359 the most sensitive variety to the increase in VPD were Gradiyel and Inolov, while UEB32642 showed the lowest  
360 increase in Ts in response to increasing VPD (Figures 6a and b, Table S1). The morning increase in Ts<sub>WW</sub>,  
361 correlated with that of VPD (Figure 6a and b), occurred at a rate revealing a greater decrease in transpiration in  
362 response to greater air dryness, depending on the variety, while differences in slope of the regression lines between  
363 the WS and WW treatments traduced the additional effect specifically attributable to soil desiccation. The greater  
364 the difference in slope, the greater the reduction in transpiration rate resulting from the soil water deficit. To our  
365 knowledge, no scientific study has yet been published on continuous thermal monitoring of the canopy to assess  
366 the diurnal stomatal behavior of water-stressed apple varieties.

367 The characteristic Ts peak that was observed earlier in WS trees than in WW trees at midday time can be interpreted  
368 in terms of earlier stomatal regulation in the former (Table 2 and Figure 5). This probably results from stressful  
369 conditions that add up earlier in the WS treatment: higher leaf temperature, lower leaf water potential, all of which  
370 contribute to reduced stomatal conductance (Jarvis, 1976). During the afternoon, differences between hydric  
371 conditions become progressively less marked with a parallel decrease in Ta and VPD. Accordingly, smaller  
372 differences in Ts were observed at this moment between the two irrigation regimes. Overall, the midday Ts values  
373 observed in WS treatments evolved during the experiment in accordance with those of  $\Psi_{stem}$  (Figure 3, Figure  
374 S2) where an increment of Ts is associated to a decrease in  $\Psi_{stem}$  (Wang and Gartung, 2010, González-Dugo et  
375 al., 2012).

376 As proxy-detection performed for canopy temperature sensing was relative to the top of the tree, further research  
377 could be carried out more in depth, considering the whole apple canopy microclimate for different varieties. Ngao  
378 et al. (2017) through a modeling approach on apple leaf temperature showed that the temperature differences at

379 the top of canopy between stressed and unstressed trees are smaller than the equivalent differences averaged over  
380 the whole crown. Moreover, other authors like González-Dugo et al. (2012) found that intra-crown temperature  
381 variability in almond trees can be a useful marker of the onset of water stress, being increased for medium water  
382 stress conditions. Variations in Ts depend on (i) the amount in water available in the soil and (ii) microclimatic  
383 variations in evaporative demand (Kullaj and Thomaj, 2019). The atmospheric evaporative demand within the  
384 WW tree crowns could be lower since the humidity of inner canopy is itself dependent on the transpiration rate,  
385 which can be locally higher, and soil evaporation in WW can also contribute to the local increase in air humidity.  
386 Thus, WS trees where soil evaporation is lowered are probably subject to more stressful conditions. However,  
387 although different soil water loss effects were observed between varieties and treatments, atmospheric demand  
388 (high VPD values) often appeared as the prevailing effect. The specific VPD effect is appreciable in Figures 6 a  
389 and b and Table S1, where a strong linear relationship between Ts and VPD was shown, the differences between  
390 watering treatments being more evident in the first hours of the day. Soil drought resulted in a greater rate of  
391 increase in Ts in WS trees, compared to WW, which was likely the result of an earlier stomatal closure.

#### 392 **4.3. Varietal responses to water stress**

393 Although continuous monitoring of transpiration flux per tree, leaf stomatal conductance and net photosynthesis  
394 were not carried out directly in this field trial, and a similar level of water stress was not achieved for all varieties,  
395 it is tempting to use the variables acquired to draw a first set of conclusions. Regarding the response of apple  
396 varieties to seasonal conditions of progressive water deficit, we can differentiate some behaviors. The analysis of  
397 varietal responses to soil water deficit and high VPD conditions was made possible by examining Figure 6 a and  
398 b: a particular sensitivity to soil desiccation was shown in UEB32642 and Inored and to a lesser extent in Dalinette,  
399 while Gradiyel and Inolov revealed a notable sensitivity to diurnal increase in VPD. UEB32642 and Cripps Pink,  
400 on the other hand, showed a limited response to increasing VPD. Moreover, as the maximum Ts was observed  
401 earlier for trees submitted to WS regime compared to WW ones in most cases (Figures 4 and 5, Table 2), it can be  
402 deduced that soil water deficit and VPD have exerted additional effects (starting in mid-July) most likely causing  
403 earlier stomatal regulation in WS trees, consistent with observations in previous studies (Kullaj and Thomaj, 2019).  
404 This was particularly noticeable in the Inored variety, and to a lesser extent in UEB32642.

405 Plants have developed various soil drought adaptation processes in order to limit a dramatic decrease in leaf water  
406 potential under stressful conditions. As a result, contrasting controls of leaf water potential have been observed  
407 across species when submitted to similar soil water deficit conditions (Tardieu and Simonneau, 1998). The so-

408 called isohydric species efficiently maintain high leaf water potential when the soil dries, whereas anisohydric  
409 species cannot prevent leaf water potential from dropping. Contrasts also exist between varieties within the same  
410 species, as shown in grapevine (Schultz, 2003) and apple tree (Massonnet et al., 2007). It has been proposed that  
411 the variation between plant stomatal behaviors, and subsequent carbon gain vs water loss, can mainly result from  
412 how stomatal pores at the leaf surface close under water deficit and control plant transpiration (Buckley, 2005). A  
413 series of recent studies have also highlighted the underlying complexity of stomatal movements, which responds  
414 to various environmental and physiological components at different spatial and temporal scales. As a result, under  
415 given conditions, there could be a continuum between rather isohydric or rather anisohydric species and varieties  
416 (Klein, 2014). Furthermore, Franks et al. (2007) reported that isohydric or anisohydric behavior could vary  
417 throughout the season, depending on plant hydraulic features of the whole plant, producing the so-called  
418 isohydrodynamic response, while the sink activity of developing fruits increases the demand for carbon assimilates  
419 and stimulates stomatal opening and thus temporary anisohydry until the harvest.

420 In general, it is recognized that a rather anisohydric behavior is favorable to carbon assimilation, allowing for  
421 better plant performance and yield in the case of short-term water deficits (or irrigation failure), whereas rather  
422 isohydric behavior is favorable in the case of longer term water rationing, since it leads to water saving and plant  
423 resilience if carbon starvation is not excessive (McDowell, 2011). In the perspective of this work, it could be  
424 interesting to conclude more definitely on the varietal behaviors by performing more thorough ecophysiological  
425 characterization of the trees, at different periods of their cycle, and to introduce the particular sensitivity to air  
426 drought as an additional environmental stress factor (Šircelj et al., 2007), limiting the cultivation possibilities for  
427 the most sensitive varieties (Gradiyel in particular).

428 Regarding tree production, the moderate effect of water deficit on yield and fruit size at harvest (Table 3) can be  
429 explained by the short duration of water deficit period compared to the complete cycle from flower to fruit. The  
430 limiting effect of water deficit on fruit growth was observed in the short term on Inored and UEB32642 (Figure  
431 7), but it was partly compensated in the long term, a phenomenon also observed by Lopez et al. (2018). The  
432 osmoprotective capacity of the apple trees (Li et al. 2012) may have enabled these varieties to overcome moderate  
433 temporary stress conditions and to recover after the limiting conditions were removed.

#### 434 **4.4. Practical consequence for tree phenotyping and future investigation**



435 The acquisition of thermal data using non-invasive proxidetection sensors shows promise for the assessment of  
436 plant water stress, even where differences between growing conditions are small (Sánchez-Virosta et al., 2020). It  
437 has been shown that canopy temperature is a reliable proxy for stomatal conductance in vineyards (Leinonen et  
438 al., 2006) and that continuous thermal monitoring is useful to assess the dynamics of canopy response to non-  
439 optimal growing conditions. Thus, plant canopy temperature responds specifically to changes in stem water  
440 potential. This effect has already been studied since the 1970s (Ehrler et al., 1978). This relationship is not direct  
441 and depends on more factors, such as air temperature. This study also demonstrates the importance of choosing  
442 the best time for the acquisition of  $T_s$  (as proxy of canopy stomatal conductance) in order to effectively detect the  
443 intensity of its variations in response to water constraints. In this study, the maximum  $T_s$  difference between  
444 irrigation treatments was recorded between 11:00 to 14:20 GMT. After solar midday,  $T_s$  tended to reach similar  
445 values for WW and WS trees, which is an agreement with the measured  $\Psi_{\text{stem}}$  values.

446 This experiment was limited to the comparison of six cultivars, but it could be extended to a wider range of  
447 varieties, considering pre-commercial varietal assays. In this perspective, a very interesting approach to develop  
448 over this type of multi-varietal trial could be carried out by a drone equipped with a thermal sensor that would  
449 allow remote thermal acquisitions over an entire experimental field. But this will rely on a careful choice of flight  
450 times for the aerial vehicle, as well as the determination of flight frequency and revisit time.

451 In this study, the trees'  $T_s$  were acquired at the top of the canopy. But it should be noted that the microclimatic  
452 conditions within the tree canopy, more humid, can influence the local evaporative demand. As a consequence,  
453 obtaining a more complete picture of canopy thermal responses could involve, in parallel to the aerial detection,  
454 the joint use of multiple air temperature and relative humidity sensors, and/or the use of structural-functional plant  
455 models satisfactorily parameterized (Ngao et al., 2017).

456 Future research could include more extensive measures in planta, including sap flow (Do et al., 2011), even not  
457 easy to perform in multi-varietal assays, and/or the dynamic measurement of tree water potential with innovative  
458 sensors, such as the leaf mini-probes used by Martínez-Gimeno et al. (2017). Continuous monitoring of the xylem  
459 potential of individual trees could finally be based on the use of micro-tensiometers recently released and tested  
460 in vineyards (Pagay, 2021).

## 461 **5. Conclusions**

462 High-throughput field phenotyping techniques help breeders to choose the varieties best adapted to local climatic  
463 conditions. In water stressed plants, stomatal closure is induced, which reduces plant transpiration and carbon  
464 uptake and may lead to yield losses. In this study, temporary soil water deficit was applied to a series of apple  
465 varieties, and their behavior was compared, making use of continuous canopy thermal assessment as a proxy for  
466 stomatal closure. Comparison between water regimes in the field suggested differences in time-course stomatal  
467 closure related to air diurnal evaporative demand and to seasonal edaphic conditions. The most affected genotypes  
468 by medium-term irrigation restriction were Inored, UEB32642 and Dalinette and Inored, while Cripps Pink and  
469 Gradiyel were much less affected, and Inolov showed an intermediate behavior. The variety Gradiyel showed a  
470 particular sensitivity to high evaporative demand, which does not allow it to be recommended for cultivation in  
471 the Mediterranean area.

472 In future studies on plant water stress response, it will be important to choose the right day time for acquisition of  
473 biophysical proxies (either  $\Psi_{stem}$ ,  $T_s$ , etc.) relative to stress. In this work, we concluded that most marked  
474 differences among irrigation treatments were recorded each day between 11:00 and 14:20 GMT, with an earlier  
475 closure of stomata in trees submitted to water restriction.

476 The methodology proposed makes it possible a dynamic estimation of tree response to water constraints using  
477 non-invasive thermal sensors. Moreover, as genotypic differences were found between the water regimes studied,  
478 it seems promising for high-throughput field phenotyping. In future studies, it will be interesting to carry out more  
479 extensive in planta measurements to finely dissect varietal differences and infer their adaptive capacities to  
480 different climate scenarios.

## 481 **Author contributions**

482 David Gómez-Candón and Jean-Luc Regnard both designed the study methodology, being helped by the proposals  
483 of Sylvain Labbé. D.G.-C. and J.-L.R. carried out part of the field work, which was hosted and facilitated by  
484 Vincent Mathieu and his colleagues, at the Balandran Ctifl Center. Sébastien Martinez and Magalie Delalande  
485 were heavily involved in the equipment of the experimental plot and participated in the experimental work. D.G.-  
486 C. performed the data extraction and analysis and wrote the original draft of the paper, which was revised and  
487 edited by J.-L.R.

488

## 489 Acknowledgements

490 Financial support from the French Ministry of Agriculture. Casdar C-2014-10 (Aliage-fruits project, 2014-17) is  
491 gratefully acknowledged. Laurent Albrech, Audrey Jolivot, Fanny Deboisvilliers and Arnaud Pintault are  
492 acknowledged for scientific and technical support.

493

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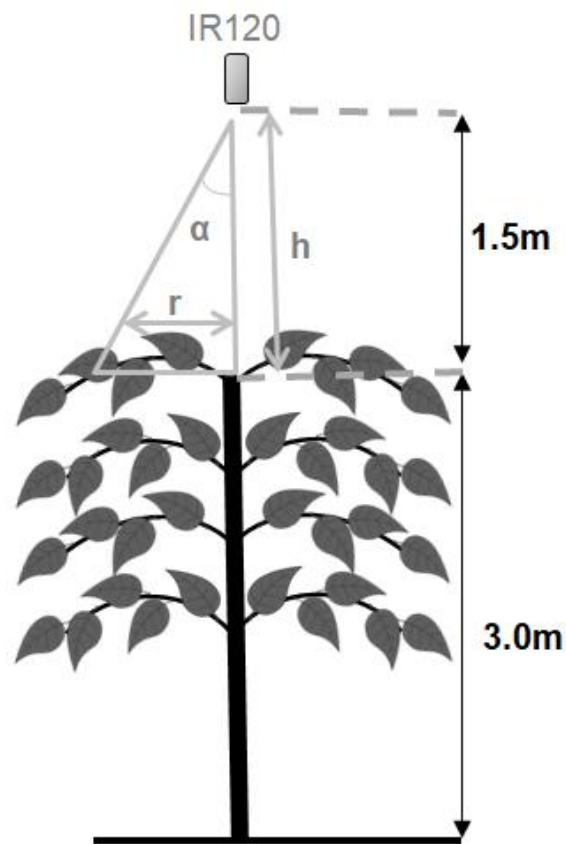
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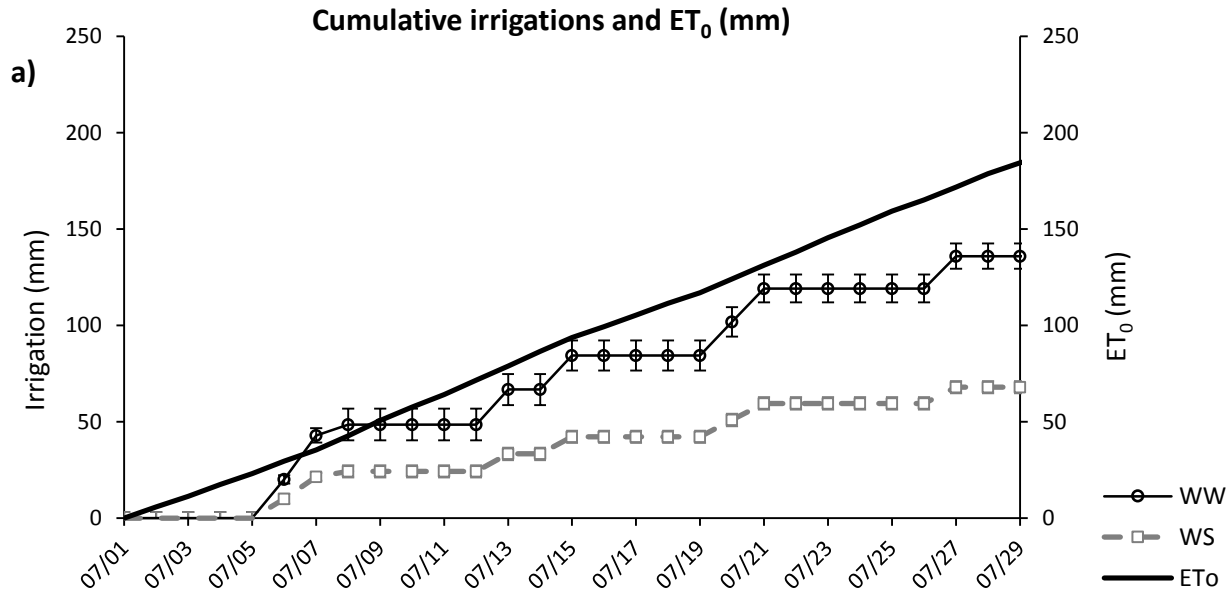
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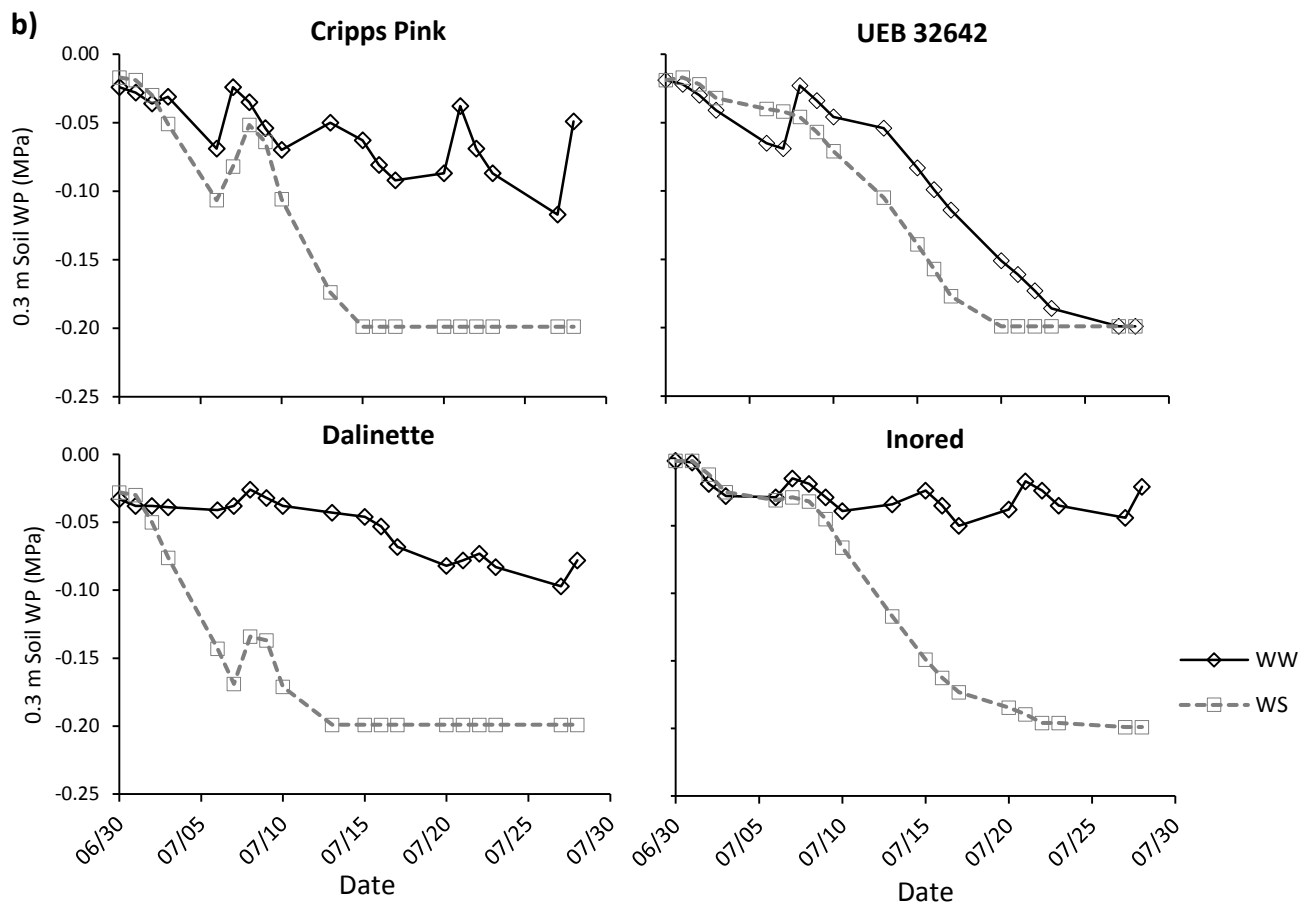
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652 **Fig. 1.** Schematic representation of the IR120 thermo-radiometer position placed above the apple tree  
653 canopy. The IR120 sensor is measuring the canopy temperature ( $T_s \approx T_{br}$ ) continuously from a zenithal  
654 view (half angle  $\alpha = 20^\circ$ , see text).



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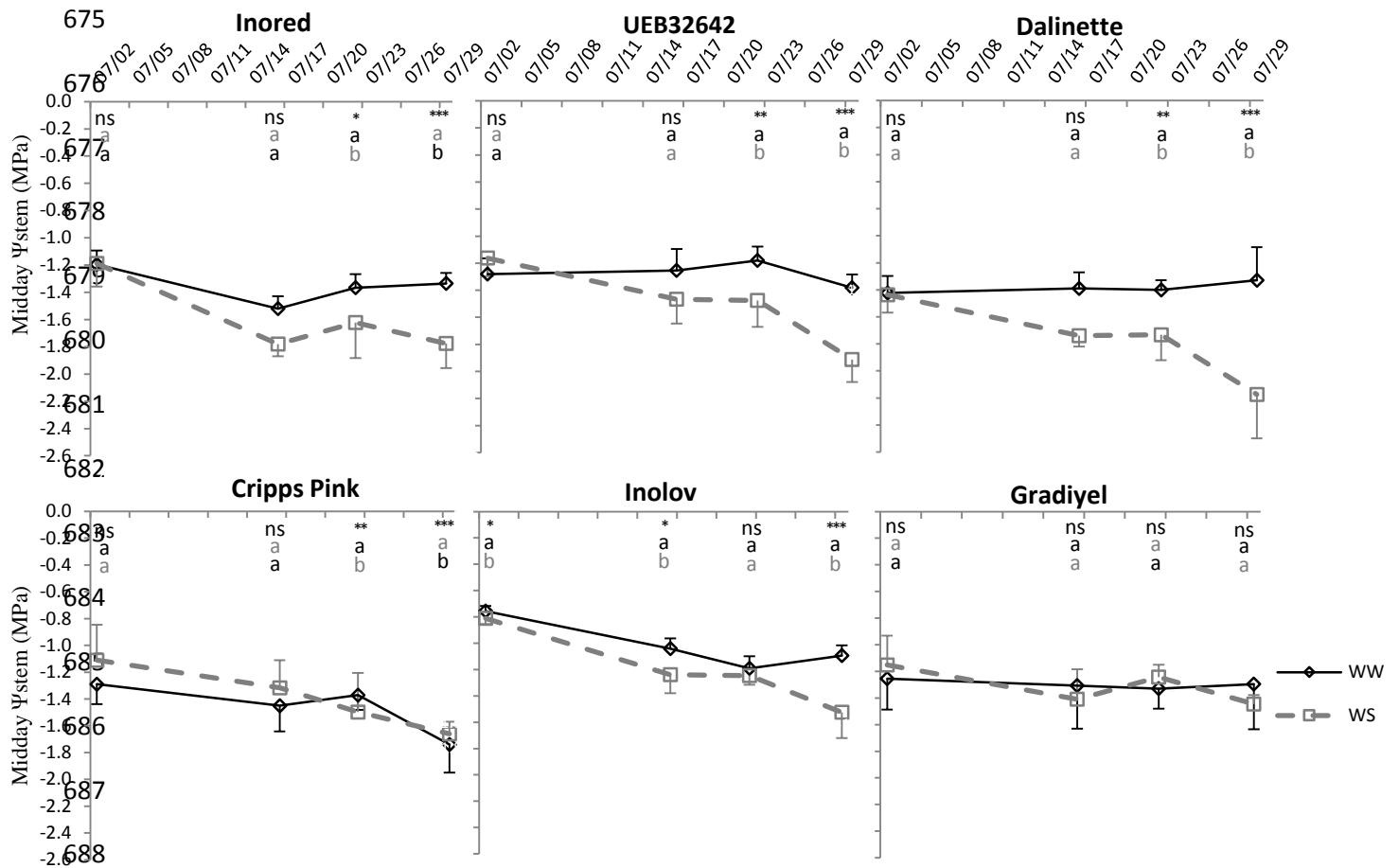
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670 **Fig. 2.** Seasonal changes resulting from the two irrigation treatments, control irrigation (WW) and  
 671 increasing water deficit (WS). **a)** Cumulative evapotranspiration ( $ET_0$ ) and irrigation (mean and  
 672 standard deviation, for 6 apple varieties). **b)** Soil water potential (Soil WP) measured at -0.3 m  
 673 (median values of 3 probes, for 4 apple varieties). The solid black lines represent the evolution of Soil  
 674 WP on WW trees, and the dashed grey lines the evolution on WS trees.

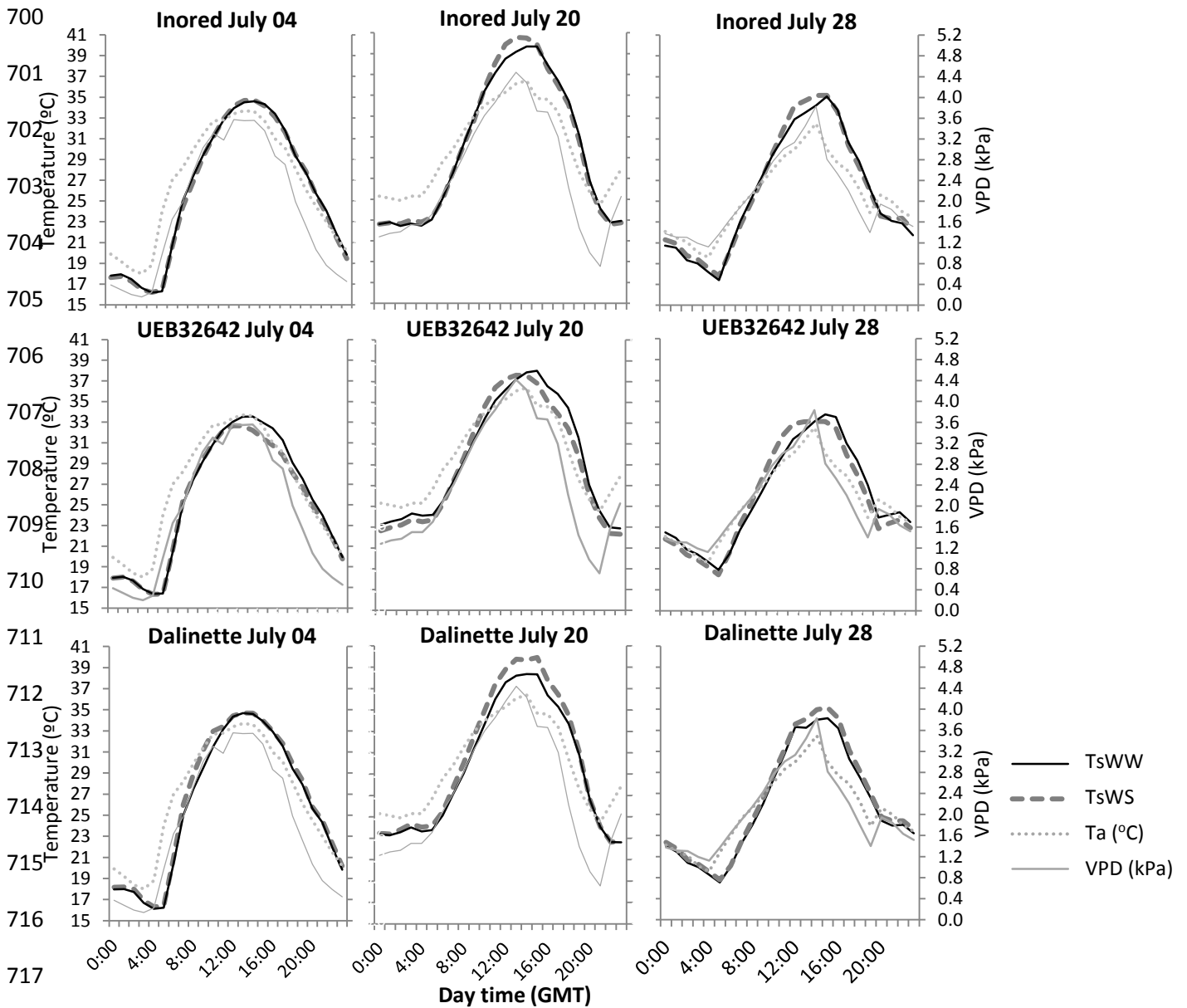




689 **Fig. 3.** Stem water potential ( $\Psi_{stem}$ , MPa) measured at solar midday (12:00 GMT) in July 2015 on 6  
 690 apple tree varieties (mean values and standard deviations, 3 leaves x 2 trees per variety). The solid black  
 691 lines represent the  $\Psi_{stem}$  evolution on control trees (WW) not water rationed, and the dashed grey lines  
 692 its evolution on trees under increasing water deficit (WS) trees. The significant effect of watering  
 693 treatment is described by symbols: "ns" ( $P > 0.05$ ), "\*" ( $P < 0.05$ ), "\*\*" ( $P < 0.01$ ), "\*\*\*" ( $P < 0.001$ ),  
 694 and differences are indicated by different letters.

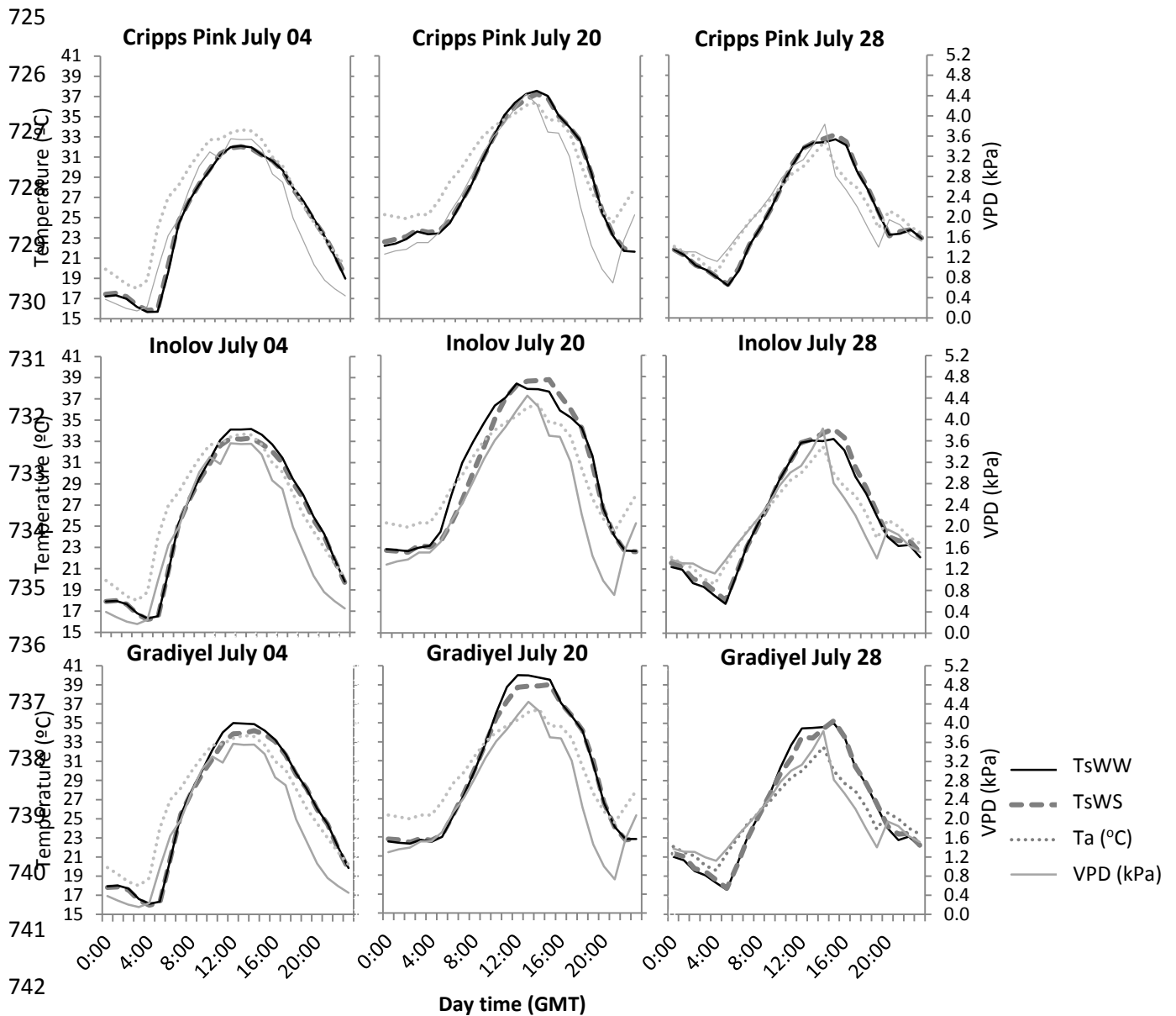
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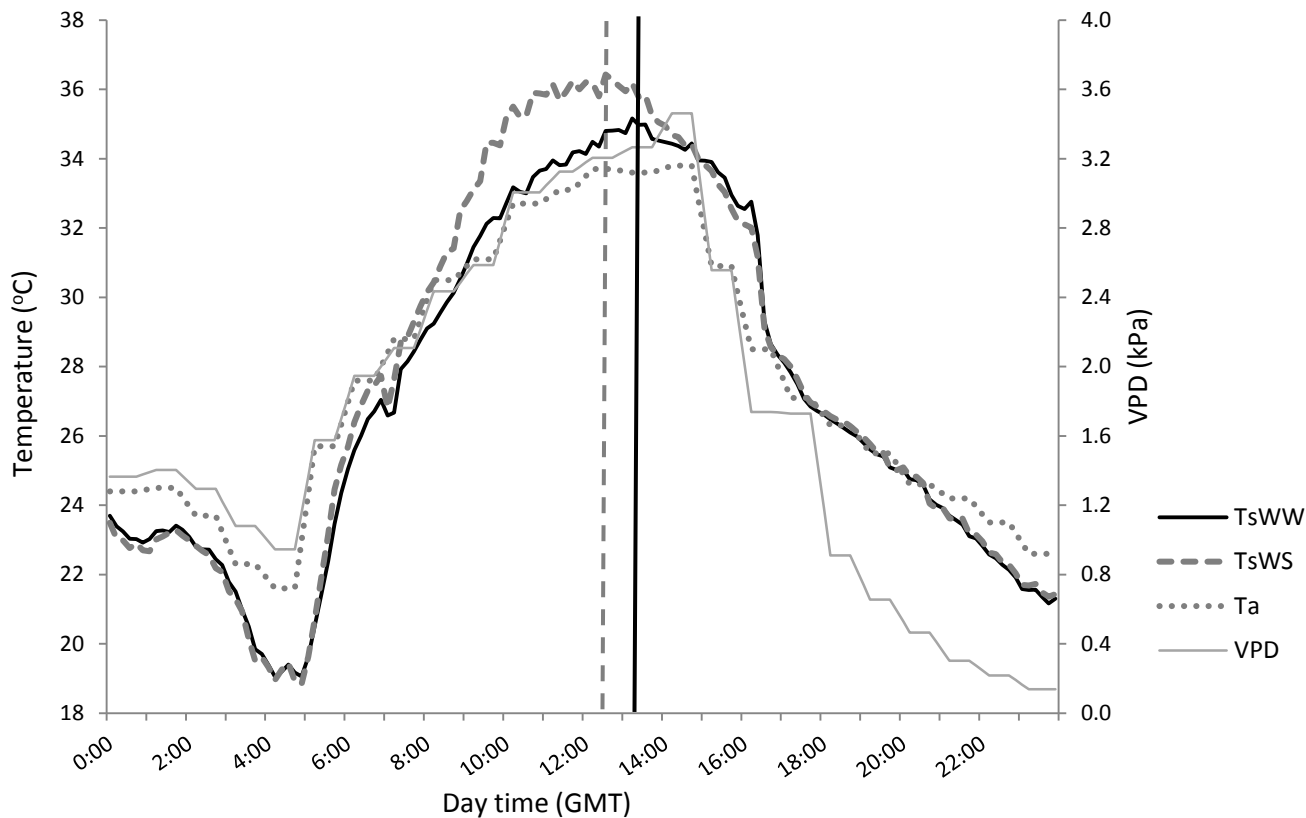


718 **Fig. 4.a.** Thermal response of apple canopy (Inored, UEB32642 and Dalinette varieties) to contrasting  
719 irrigation regimes. The thin solid black lines represent the canopy surface temperature (Ts) evolution  
720 for control irrigation (WW) trees, and the thick dashed grey lines represent the Ts evolution for  
721 increasing water deficits (WS) trees. The three dates shown correspond to progressive soil water deficits  
722 on WS trees in cloudless days. The thin solid grey line is relative to air Vapor Pressure Deficit (VPD)  
723 and the thin dashed grey line is relative to the air temperature (Ta).

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743 **Fig. 4.b.** Thermal response of apple canopy (Cripps Pink, Inolov and Gradiyel varieties) to contrasting  
 744 irrigation regimes. The thin solid black lines represent the canopy surface temperature ( $T_s$ ) evolution  
 745 for control irrigation (WW) trees, and the thick dashed grey lines the  $T_s$  evolution for increasing water  
 746 deficits (WS) trees. The three dates shown correspond to progressive soil water deficits on WS trees in  
 747 cloudless days. The thin solid grey line is relative to air Vapor Pressure Deficit (VPD) and the thin  
 748 dashed grey line to the air temperature ( $T_a$ ).

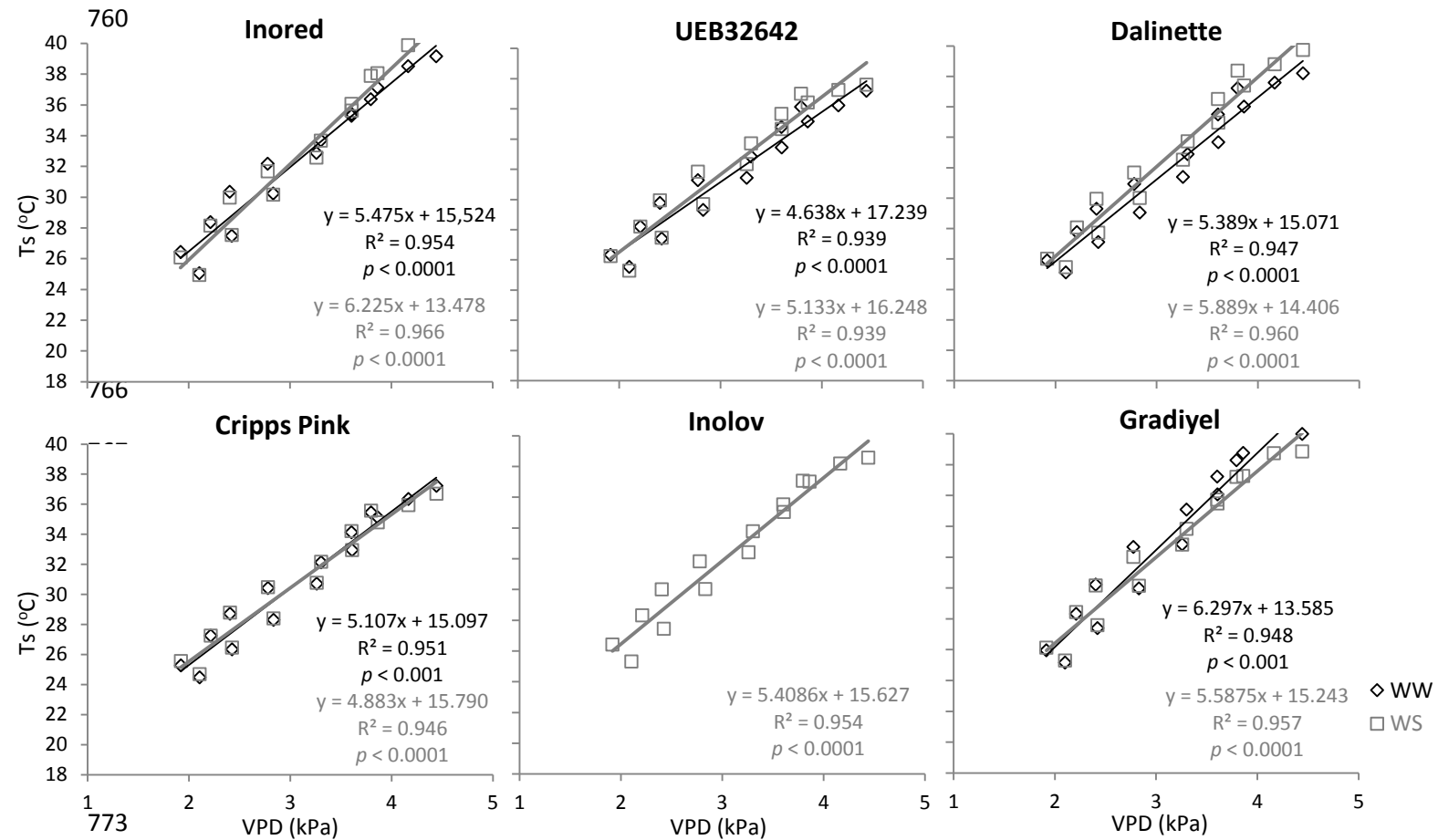


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750 **Fig. 5.** Daily evolution of tree canopy surface temperature ( $T_s$ ) for UEB32642 apple variety in response  
 751 to WW and WS irrigation regimes (example shown, July 24). The thick black line represents the  $T_s$   
 752 evolution on control irrigation (WW) trees, and the thick dashed grey line shows the  $T_s$  evolution on  
 753 soil water deficit (WS) trees.  $T_s$ WW and  $T_s$ WS are average values at a 10 min time step. The dotted  
 754 grey line is relative to the air temperature ( $T_a$ ) and the thin solid grey line is relative to the air Vapor  
 755 Pressure Deficit (VPD), both obtained by the local weather station at a 1h time step. VPD is computed  
 756 according to Buck (1981). The time corresponding to the maximum  $T_s$  observed for the WW and WS  
 757 trees is indicated by a vertical line (solid black line and dashed grey line, respectively).

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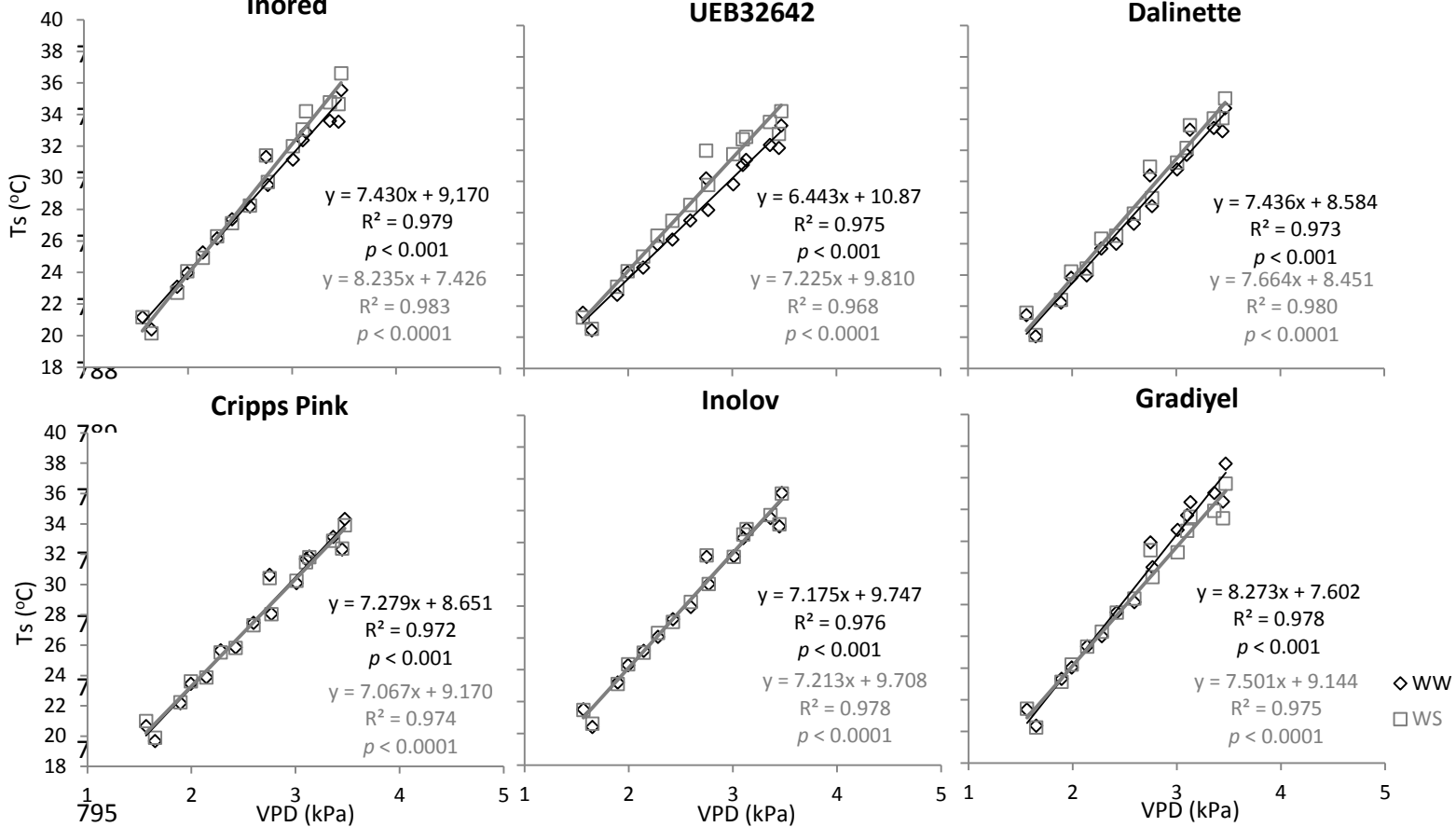


774 **Fig. 6.a.** Linear relationships between air Vapor Pressure Deficit (VPD) and canopy surface temperature  
 775 (Ts) for 6 apple varieties during the diurnal period from 06:00 to 13:00 (data for July 20-21). Black  
 776 markers and solid lines are relative to control irrigation (WW) trees, and grey markers and thick solid  
 777 lines are relative to soil water deficit (WS) trees. The regression line equations for the WW tree response  
 778 to VPD are written in black, while those for the WS trees are in grey. Ts outliers observed WW on the  
 779 Inolov variety at these dates are not presented here (only in Table S1).

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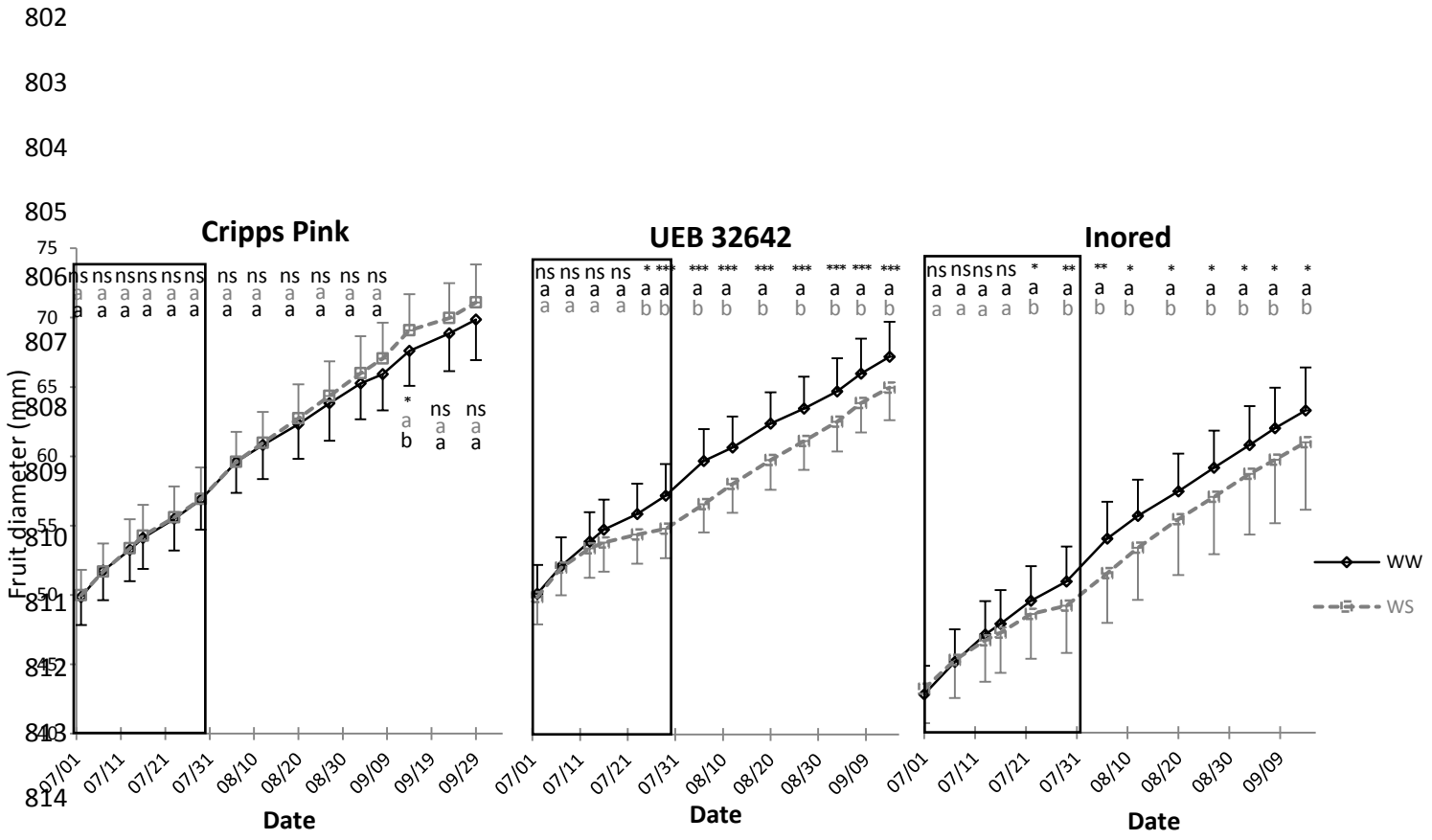
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796 **Fig. 6.b.** Linear relationships between air Vapor Pressure Deficit (VPD) and canopy surface temperature  
797 ( $T_s$ ) for 6 apple varieties during the diurnal period from 06:00 to 13:00 (data for July 27-28). Black  
798 markers and solid lines are relative to control irrigation (WW) trees, and grey markers and thick solid  
799 lines are relative to soil water deficit (WS) trees. The regression line equations for the WW tree response  
800 to VPD are written in black, while those for the WS trees are in grey.

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815 **Fig. 7.** Cumulative fruit growth for three apple varieties. Solid black lines are relative to the equatorial  
 816 fruit diameter (mean and standard deviation, n=30) of control irrigation (WW) trees, and thick dashed  
 817 grey lines are relative to equatorial fruit diameter of trees subjected to increasing soil water deficits (WS)  
 818 in July. Rectangular areas correspond to the water restriction period. The significant effect of irrigation  
 819 regime is described by symbols: “ns” (P > 0.05), “\*” (P < 0.05), “\*\*” (P < 0.01), “\*\*\*” (P < 0.001), and  
 820 differences are indicated by different letters.

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822 **TABLES**  
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824 **Table 1.** Mean canopy surface temperature (Ts) around solar midday (11:50-12:00 GMT) measured by  
825 IR120 sensors on control irrigation (WW) and increasing water deficit (WS) trees for 6 apple varieties.  
826 The three dates presented refer to full sunny days, 14, 20 and 27 days after the establishment of  
827 contrasting irrigation regimes, days for which the air temperature at midday was 29.9, 33.6 and 30.8 °C  
828 respectively.

Variety	Treatment	Mean canopy surface temperature (Ts ± s.d., °C)		
		July 16	July 22	July 29
Cripps Pink	WW	32.2±0.21	34.2±0.32	31.9±0.74
	WS	32.1±0.19	34.5±0.19	32.2±0.97
UEB32642	WW	32.1±0.23	34.5±0.32	32.5±0.58
	WS	32.9±0.15	35.8±0.38	33.9±1.04
Dalinette	WW	33.2±0.30	35.4±0.51	33.6±0.83
	WS	35.2±0.36	36.7±0.52	35.0±1.04
Inored	WW	32.2±0.17	35.3±0.19	32.7±0.42
	WS	34.2±0.24	37.0±0.19	34.4±0.68
Inolov	WW	32.5±0.28	34.8±0.32	32.3±0.66
	WS	33.3±0.33	36.0±0.04	33.6±0.79
Gradiyel	WW	32.6±0.27	35.0±0.17	32.9±0.76
	WS	33.5±0.28	35.7±0.13	33.0±0.65
Effect of water regime		ns	ns	ns

829 The significance of irrigation regime effect by One Way ANOVA test ( $\alpha=0.05$ ) is described by symbols:  
830 “ns” (P > 0.05), “\*” (P < 0.05), “\*\*” (P < 0.01), “\*\*\*\*” (P < 0.001)

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832 **Table 2.** Time of maximum canopy surface temperature (GMT time) for 6 apple tree varieties under  
833 control irrigation (WW) and increasing water deficit (WS) irrigation regimes. The thermal difference  
834 ( $\Delta T_{max}$ ) between the maximum reached (TsWS-TsWW) regardless of time is also indicated. The three  
835 dates presented refer to full sunny days, 14, 20 and 27 days after the establishment of the contrasting  
836 irrigation regimes.

Variety	Irrigation regime	July 16		July 22		July 29	
		Time <sub>max</sub> (h)	$\Delta T_{max}$ (°C)	Time <sub>max</sub> (h)	$\Delta T_{max}$ (°C)	Time <sub>max</sub> (h)	$\Delta T_{max}$ (°C)
Cripps Pink	WW	12:00		11:20		13:40	
	WS	12:00	0.00	11:00	0.20	13:40	0.88
UEB32642	WW	13:20		11:10		13:40	
	WS	11:10	0.83	11:10	1.25	11:40	1.28
Dalinette	WW	12:10		11:10		13:40	
	WS	12:10	1.33	11:00	1.00	13:40	1.49
Inored	WW	13:30		14:20		13:30	
	WS	12:40	1.19	11:00	1.33	11:40	1.05
Inolov	WW	11:00		11:00		13:30	
	WS	11:00	1.31	11:00	0.53	13:30	1.42
Gradiyel	WW	12:10		11:00		13:40	
	WS	12:30	-0.72	11:00	-0.73	13:40	0.04

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838 **Table 3.** Average tree fruit load and yield components at harvest for 6 apple varieties submitted in July  
 839 to two contrasting control irrigation (WW) and water deficit (WS) irrigation regimes (means  $\pm$  s.d., for  
 840 3 trees).

Variety (Harvest date)	Irrigation regime	Fruit load	Individual fruit weight (g)	Yield per tree (kg)
Cripps Pink (Oct. 10 <sup>th</sup> )	WW	198 $\pm$ 28 <sup>a</sup>	153.5 $\pm$ 8.6 <sup>b</sup>	30.3 $\pm$ 3.6 <sup>a</sup>
	WS	182 $\pm$ 33 <sup>a</sup>	168.5 $\pm$ 3.4 <sup>a</sup>	30.6 $\pm$ 5.8 <sup>a</sup>
UEB32642 (Sept. 15 <sup>th</sup> )	WW	285 $\pm$ 52 <sup>a</sup>	141.3 $\pm$ 7.7 <sup>a</sup>	40.2 $\pm$ 7.0 <sup>a</sup>
	WS	283 $\pm$ 79 <sup>a</sup>	134.2 $\pm$ 6.8 <sup>a</sup>	37.5 $\pm$ 8.0 <sup>a</sup>
Dalinette (Oct. 21 <sup>st</sup> )	WW	235 $\pm$ 44 <sup>a</sup>	177.1 $\pm$ 2.9 <sup>a</sup>	40.9 $\pm$ 3.2 <sup>a</sup>
	WS	233 $\pm$ 47 <sup>a</sup>	165.9 $\pm$ 22.8 <sup>a</sup>	38.0 $\pm$ 4.1 <sup>a</sup>
Inored (Sept. 22 <sup>nd</sup> )	WW	261 $\pm$ 70 <sup>a</sup>	122.3 $\pm$ 5.8 <sup>a</sup>	31.9 $\pm$ 1.1 <sup>a</sup>
	WS	262 $\pm$ 73 <sup>a</sup>	107.3 $\pm$ 3.4 <sup>b</sup>	28.0 $\pm$ 7.2 <sup>a</sup>
Inolov (Sept. 15 <sup>th</sup> )	WW	174 $\pm$ 16 <sup>a</sup>	129.5 $\pm$ 2.6 <sup>a</sup>	22.6 $\pm$ 3.5 <sup>a</sup>
	WS	151 $\pm$ 31 <sup>a</sup>	127.7 $\pm$ 5.7 <sup>a</sup>	19.2 $\pm$ 3.1 <sup>a</sup>
Gradiyel (Oct. 13 <sup>rd</sup> )	WW	269 $\pm$ 63 <sup>a</sup>	149.4 $\pm$ 3.0 <sup>a</sup>	40.1 $\pm$ 8.9 <sup>a</sup>
	WS	253 $\pm$ 110 <sup>a</sup>	150.6 $\pm$ 5.3 <sup>a</sup>	38.4 $\pm$ 18.0 <sup>a</sup>

841 Significant differences at harvest between treatments for each variety are indicated by different letters  
 842 according to the One Way ANOVA test ( $\alpha=0.05$ ).

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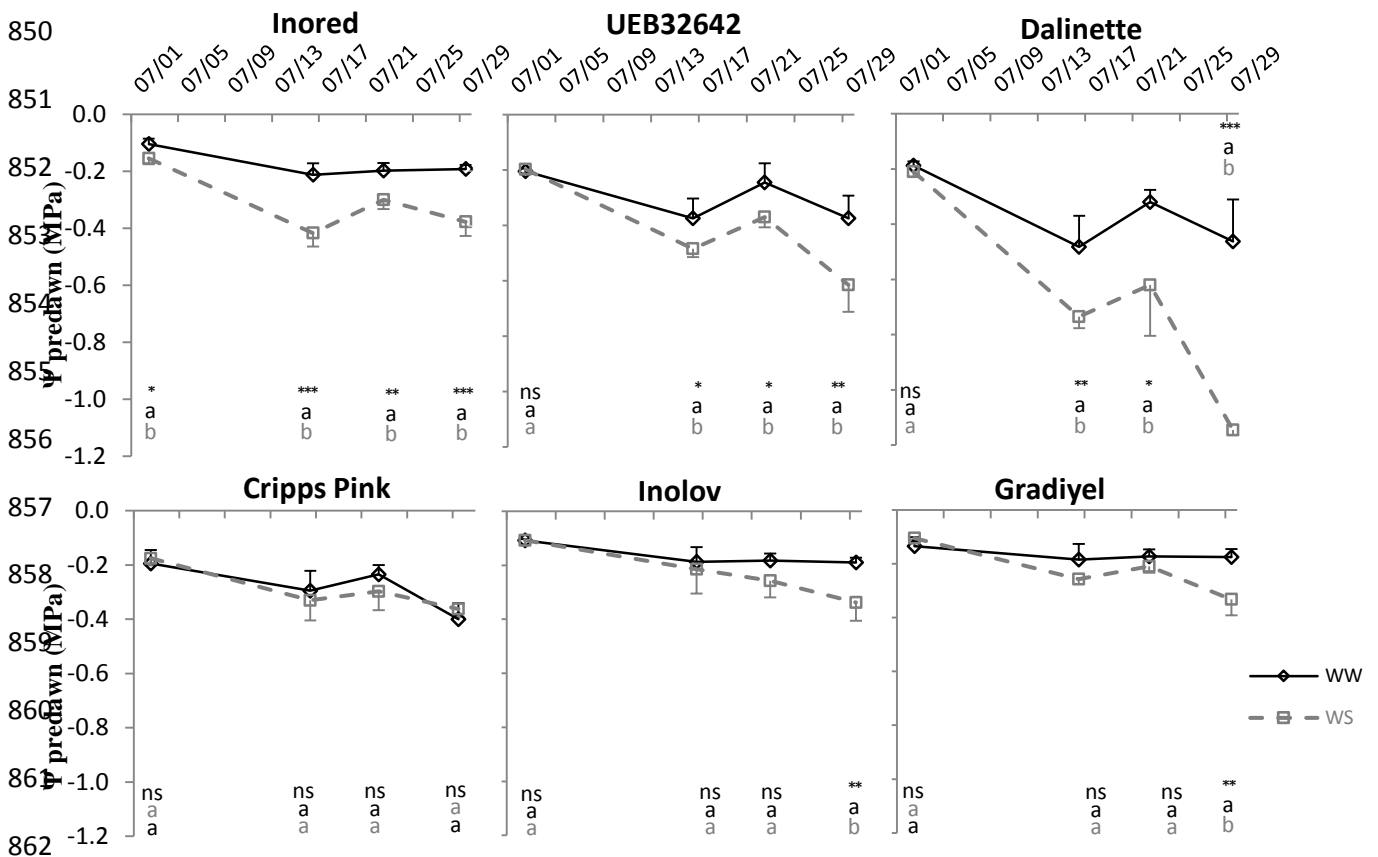
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863 **Fig. S1.** Seasonal evolution of tree predawn water potential ( $\Psi_{\text{predawn}}$ ) for 6 apple varieties;  
 864 measurements in July 2015 at 3:00 GMT (means and standard deviations, 2 trees x 2 leaves). Black solid  
 865 lines represent the evolution of  $\Psi_{\text{predawn}}$  on control irrigation (WW) trees, and thick grey dashed lines  
 866 represent the evolution of  $\Psi_{\text{predawn}}$  on increasing water deficits (WS) trees. The significant effect of  
 867 irrigation regime is described by symbols: “ns” ( $P > 0.05$ ), “\*” ( $P < 0.05$ ), “\*\*” ( $P < 0.01$ ), “\*\*\*” ( $P <$   
 868 0.001), and differences indicated by different letters.

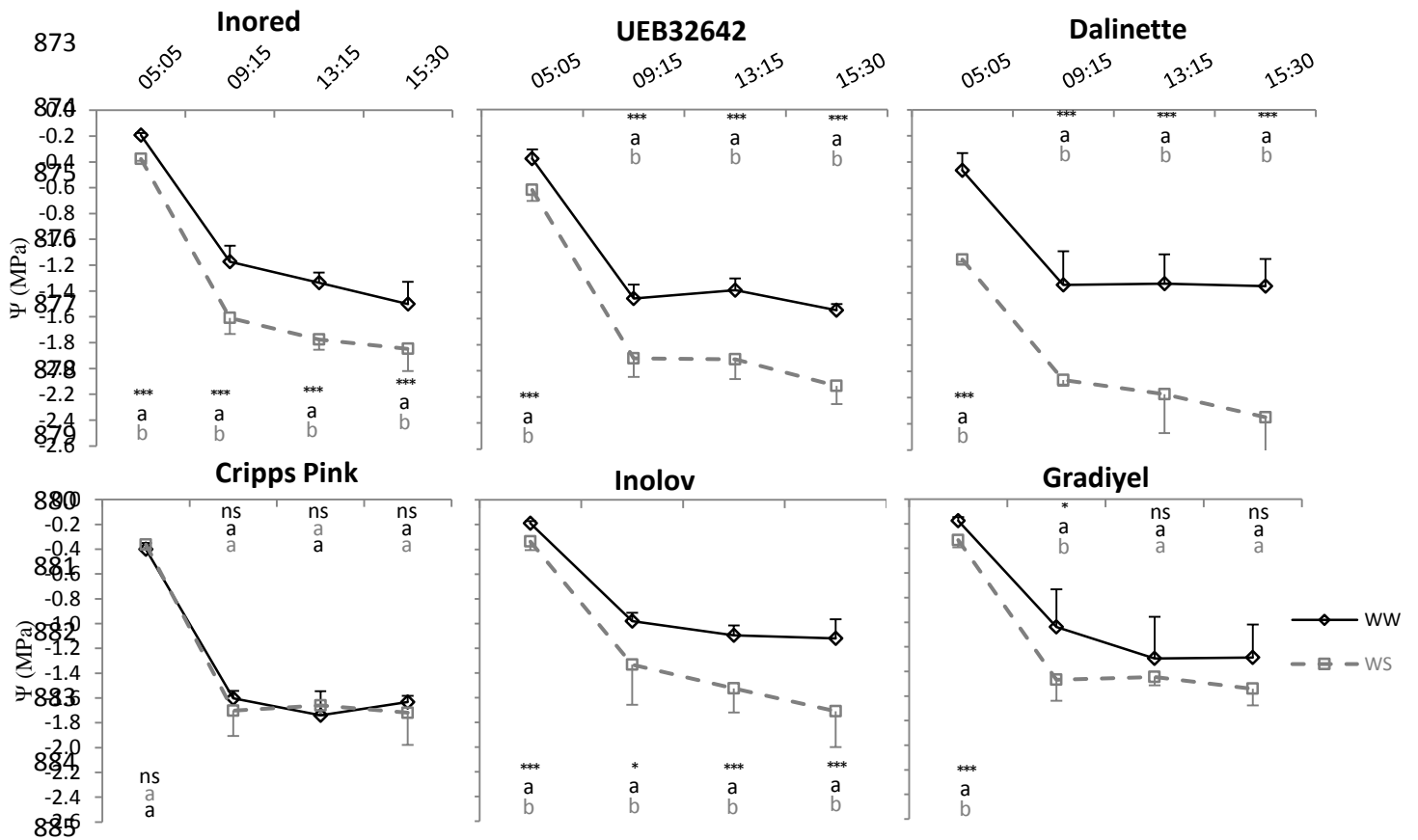
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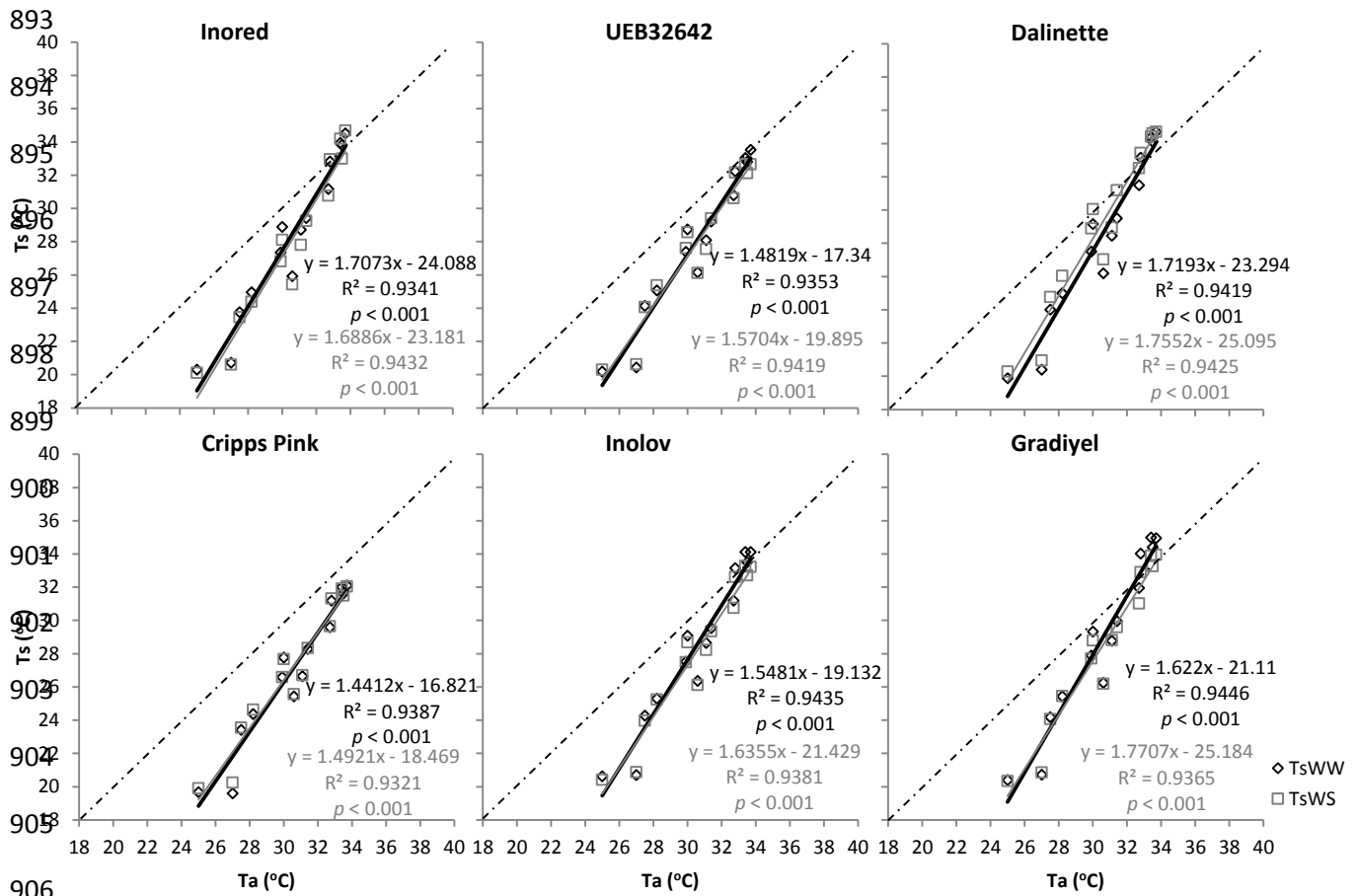
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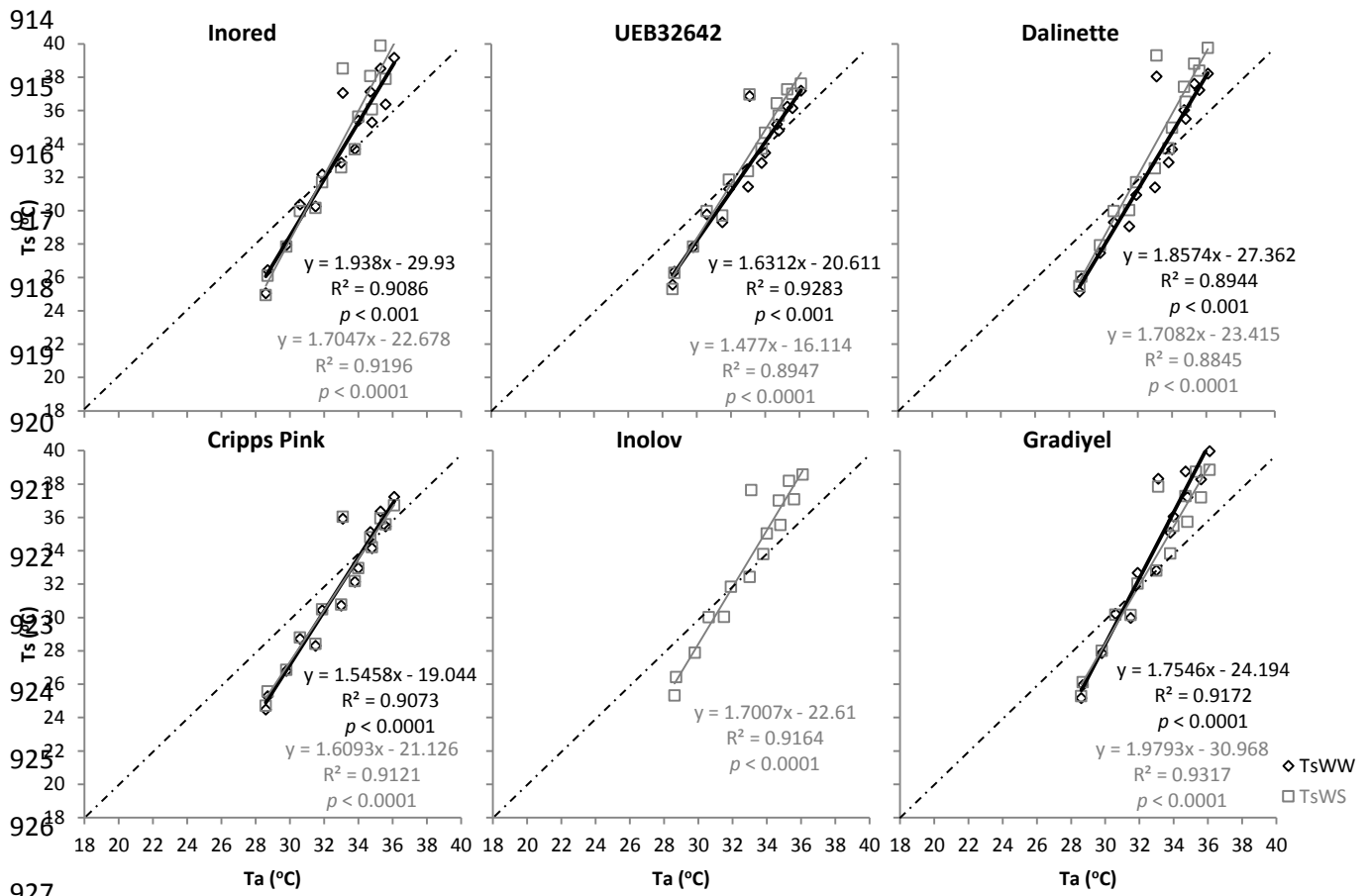
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**Fig. S2.** Daily evolution of water potential ( $\Psi$ ) on apple trees (July 29) for 6 varieties (means and standard deviations, 2 trees x 3 leaves). The first hour of measurement corresponds to  $\Psi$  predawn whereas subsequent measurements correspond to diurnal  $\Psi$  stem. The solid black lines are relative to  $\Psi$  evolution on control irrigation (WW) trees, and the thick grey dashed lines to  $\Psi$  evolution on water deficit (WS) trees. The significant effect of irrigation regime is described by symbols: “ns” ( $P > 0.05$ ), “\*” ( $P < 0.05$ ), “\*\*” ( $P < 0.01$ ), “\*\*\*” ( $P < 0.001$ ), and differences are indicated by different letters.



907 **Fig. S3.a** Linear relationships between air temperature ( $T_a$ ) and canopy surface temperature ( $T_s$ ) for 6  
 908 apple varieties during the diurnal period from 06:00 to 13:00 (data for July 3-4). Black markers and solid  
 909 lines are relative to control irrigation (WW) trees, and grey markers and thick solid lines are relative to  
 910 soil water deficit (WS) trees. Theoretical  $T_s:T_a$  1:1 lines are drawn as dash-dotted. The regression line  
 911 equations for the WW tree response to Vapor Pressure Deficit are written in black, while those for the  
 912 WS trees are in grey.

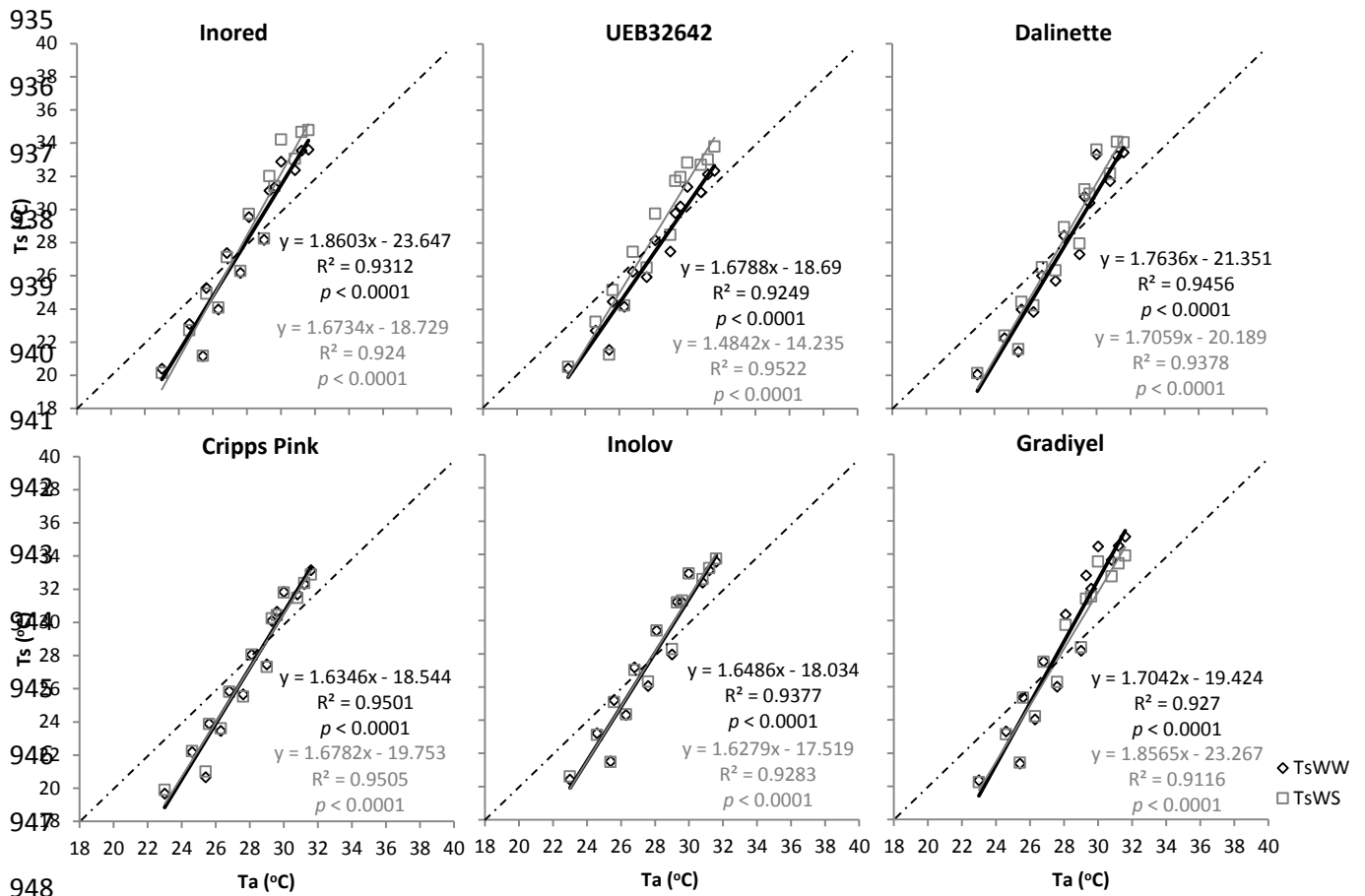
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928 **Fig. S3.b** Linear relationships between air temperature ( $T_a$ ) and canopy surface temperature ( $T_s$ ) for 6  
 929 apple varieties during the diurnal period from 06:00 to 13:00 (data for July 20-21). Black markers and  
 930 solid lines are relative to control irrigation (WW) trees, and grey markers and thick solid lines are relative  
 931 to soil water deficit (WS) trees. Theoretical  $T_s:T_a$  1:1 lines are drawn as dash-dotted. The regression  
 932 line equations for the WW tree response to Vapor Pressure Deficit are written in black, while those for  
 933 the WS trees are in grey.

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949 **Fig. S3.c** Linear relationships between air temperature (Ta) and canopy surface temperature (Ts) for 6  
 950 apple varieties during the diurnal period from 06:00 to 13:00 (data for July 27-28). Black markers and  
 951 solid lines are relative to control irrigation (WW) trees, and grey markers and thick solid lines are relative  
 952 to soil water deficit (WS) trees. Theoretical Ts:Ta 1:1 lines are drawn as dash-dotted. The regression  
 953 line equations for the WW tree response to Vapor Pressure Deficit are written in black, while those for  
 954 the WS trees are in grey.

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958 **Table S1:** Linear equations and corresponding R<sup>2</sup> coefficients of Canopy Temperature as a function of  
 959 Vapor Pressure Deficit values during morning (06:00 to 13:00 GMT) and afternoon (13:00 to 19:00  
 960 GMT) periods on control irrigation (WW) and water deficit (WS) irrigation regimes. Dates correspond  
 961 to representative sunny days of (1) no stress (July 3, 4), (2) medium-term WS water restrictions (July  
 962 20, 21) and (3) final dates of WS water restrictions (July 27, 28).

Genotype	Date	Treatment	Morning		Afternoon	
			Linear Equation	R <sup>2</sup>	Linear Equation	R <sup>2</sup>
Inored	1	WW	5.901x + 12.832	0.945	3.439x + 22.660	0.961
		WS	5.939x + 12.359	0.944	3.363x + 22.231	0.924
	2	WW	5.475x + 15.524	0.954	2.879x + 27.819	0.870
		WS	6.225x + 13.478	0.966	3.258x + 27.053	0.834
	3	WW	7.430x + 9.170	0.979	4.064x + 21.254	0.760
		WS	8.235x + 7.426	0.983	4.537x + 19.928	0.834
UEB 32642	1	WW	5.450x + 13.687	0.939	2.936x + 23.151	0.965
		WS	5.133x + 14.371	0.936	2.844x + 22.059	0.974
	2	WW	4.638x + 17.239	0.939	2.103x + 29.487	0.753
		WS	5.133x + 16.248	0.939	2.472x + 27.457	0.747
	3	WW	6.443x + 10.871	0.975	2.699x + 24.236	0.678
		WS	7.225x + 9.814	0.968	3.508x + 21.272	0.786
Dalinette	1	WW	6.124x + 12.369	0.943	3.447x + 22.399	0.980
		WS	6.030x + 13.338	0.948	3.253x + 23.195	0.987
	2	WW	5.389x + 15.071	0.947	2.516x + 28.315	0.752
		WS	5.889x + 14.406	0.960	2.789x + 28.744	0.743
	3	WW	7.436x + 8.584	0.973	3.529x + 22.011	0.801
		WS	7.6641x + 8.450	0.977	3.627x + 22.421	0.784
Cripps Pink	1	WW	5.186x + 13.416	0.934	2.819x + 21.963	0.973
		WS	5.009x + 13.975	0.941	2.917x + 21.658	0.966
	2	WW	5.107x + 15.097	0.951	2.630x + 26.624	0.829
		WS	4.883x + 15.790	0.945	2.458x + 27.141	0.769
	3	WW	7.279x + 8.651	0.972	3.517x + 21.101	0.794
		WS	7.067x + 8.651	0.974	3.403x + 21.404	0.762
Inolov	1	WW	5.679x + 13.532	0.938	3.107x + 23.112	0.974
		WS	5.366x + 13.981	0.943	2.827x + 23.279	0.970
	2	WW	3.925x + 21.774	0.930	2.283x + 28.615	0.778
		WS	5.409x + 15.627	0.954	2.737x + 27.856	0.817
	3	WW	7.175x + 9.747	0.976	3.739x + 21.084	0.813
		WS	7.213x + 9.708	0.978	3.607x + 21.963	0.782
Gradiyel	1	WW	6.181x + 12.595	0.941	3.402x + 22.841	0.980
		WS	5.626x + 13.570	0.945	2.955x + 23.702	0.957
	2	WW	6.297x + 13.585	0.948	3.014x + 27.489	0.818
		WS	5.587x + 15.243	0.957	2.660x + 28.297	0.797
	3	WW	8.273x + 7.602	0.978	4.548x + 20.150	0.801
		WS	7.501x + 9.144	0.975	3.990x + 21.516	0.775