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Mixed Graph of Terms: beyond the *bags of words* representation of a text

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Abstract

The main purpose of text mining techniques is to identify common patterns through the observation of vectors of features and then to use such patterns to make predictions. Vectors of features are usually made up of weighted words, as well as those used in the text retrieval field, which are obtained thanks to the assumption that considers a document as a "bag of words". However, in this paper we demonstrate that, to obtain more accuracy in the analysis and revelation of common patterns, we could employ (observe) more complex features than simple weighted words. The proposed vector of features considers a hierarchical structure, named a mixed Graph of Terms, composed of a directed and an undirected sub-graph of words, that can be automatically constructed from a small set of documents through the probabilistic Topic Model. The graph has demonstrated its efficiency in a classic "ad-hoc" text retrieval problem. Here we consider expanding the initial query with this new structured vector of features.

1. Introduction

The widespread use of digital technologies in all aspects of daily life has improved knowledge about the behavior of the individual entities involved in a complex system. This has increased both conscious and unconscious collaborative modes of information/knowledge sharing/exchange: consider information systems like Amazon, e-bay, Twitter, Facebook, Wikis, e-marketplaces, Myspace, blogs and so on.

As a consequence, Intelligent Systems have been introduced to assist and augment this natural social process and so help people sift through available books, articles, web pages, movies, music, restaurants, jokes, grocery products, etc, to find the most interesting and valuable information for them. All the existing intelligent systems are based on data mining methods that also include collaborative filtering and text mining techniques. These methods are memory-based, model-based, content-based or hybrids.

While the memory and model-based methods make use of the records contained in structured data (User X is quite interested in product Y) to make predictions, the content-based methods analyze the content of textual information to match and find patterns. Leaving aside the memory and model-based methods, we focus only on the content-based ones that, thanks to the widespread user participation in product reviews, are becoming of great interest.

Content analysis is possible thanks to the findings obtained in the fields of text mining, text classification and text categorization as well as in sentiment analysis and detection, thus exploiting all the text retrieval theories. In the field of text retrieval the main problem is: “How can a computer tell which documents are relevant to the query, and more importantly, which results are more relevant than others? ”

There is of course no definitive answer, and all the existing approaches to solve this problem consider a different Information Retrieval model to represent a document in the document collection. We can divide all the existing methods into several categories: set-theoretic (including boolean) models, algebraic models and probabilistic models [1][2]. Although each method has its own properties, there is a common denominator: the *term frequency-inverse document frequency* (*tf-idf*) model to create term weights.

The *tf-idf* is a *bag of words* weighting model used to give weights to the terms in a document collection by measuring how often a term is found within a document (*term frequency*), offset by how often the term is found within the entire collection (*inverse document frequency*). The “bags of words” assumption claims that a document can be considered as a feature vector where each element in the vector

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indicates the presence (or absence) of a word, so that the information on the position of that word within the document is completely lost [1]. A query is considered as a document and so it is represented as a vector of weighted words.

In this paper we argue that a vector of weighted words, due to the inherent ambiguity of language (polysemy etc.), is not capable of discriminating between documents in the case of ad-hoc text retrieval tasks. Here the aim is to find the documents that best match the performed query (that is a topic).

The ambiguity, in fact, can be reduced if we give more importance to words that convey concepts and that contribute to specify a topic, and if we assign less importance to those words that contribute to specify concepts and that, due to the fact that they can be more plausibly shared between concepts, can increase the ambiguity.

This leads to a hierarchical structure that we call a mixed *Graph of Terms* and that can be automatically extracted from a set of documents $\mathcal{D}$ using a global method for term extraction based on a supervised Term Clustering technique weighted by the Latent Dirichlet Allocation implemented as the Probabilistic Topic Model.

We have employed the mixed *Graph of Terms* in a query expansion method based on explicit relevance feedback that expands the initial query with this new structured query representation. The evaluation of the method has been conducted on a web repository collected by crawling a huge number of web pages from the website ThomasNet.com. We have considered several topics and performed a comparison with two less complex structures: one represented as a set of pairs of words and another which is a simple list of words. The results obtained, independently of the context, show that a more complex representation is capable of retrieving a greater number of relevant documents achieving a mean average precision of about 50%.

2. Query expansion techniques

It is well documented that the query length in typical information retrieval systems is rather short (usually two or three words [3], [4]), which may not be long enough to avoid the inherent ambiguity of language (polysemy etc.). This makes text retrieval systems that rely on a term-frequency based index suffer generally from low precision, or a low quality of document retrieval.

Therefore, the idea of taking advantage of additional knowledge, by expanding the original query with other topic-related terms, to retrieve relevant documents has been largely discussed in the literature, where manual, interactive and automatic techniques have been proposed [5][1][2].

The idea behind these techniques is that, in order to avoid ambiguity, it may be sufficient to better specify "the meaning" of what the user has in mind when performing a search, or in other words "the main concept" (or a set of concepts) of the preferred topic in which the user is interested. A better specialization of the query can be obtained with additional knowledge, which can be extracted from *exogenous* (e.g. ontology, WordNet, data mining) or *endogenous* knowledge (i.e. extracted only from the documents contained in the repository) [6, 7, 1].

In this paper we focus on those techniques that make use of the "Relevance Feedback" (in the case of endogenous knowledge) that takes into account the results that are initially returned from a given query and so uses the information about the relevance of each result to perform a new expanded query. In the literature we can distinguish between three types of procedures for the assignment of the relevance: explicit feedback, implicit feedback, and pseudo feedback [2].

The feedback is obtained from assessors (or other users of a system) indicating the relevance of a document retrieved for a query. If the assessors know that the feedback provided is interpreted as relevance judgments then the feedback is considered as explicit; otherwise it is implicit. On the contrary, the pseudo relevance feedback automates the manual part of the relevance labeling by assuming that the top "n" ranked documents, after the initial query, are relevant and then performing relevance feedback as before based on this assumption.

Most existing methods, due to the fact that the human labeling task is enormously annoying and time consuming [8], [9], make use of the pseudo relevance feedback. Nevertheless, fully automatic methods suffer from obvious errors when the initial query is intrinsically ambiguous.

As a consequence, in recent years, some hybrid techniques have been developed which take into account minimal explicit human feedback [10], [11] and use it to automatically identify other topic related documents. The performance achieved by these methods is usually of medium quality with a mean average precision of about 30% [10].

However, whatever the technique that selects the set of documents representing the feedback, the expanded terms are usually computed by making use of well known approaches for term selection as Rocchio, Robertson, CHI-Square, Kullback-Leibler etc [12][13].

In such cases the reformulated query consists in a simple (sometimes weighted) list of words. However, although such term selection methods have proven their effectiveness in terms of accuracy and computational cost, several more complex alternative methods have been proposed. These usually consider the extraction of a structured set of words so that the related expanded query is no longer a list of words, but a weighted set of clauses combined with suitable operators [14], [15], [16].

3. The proposed approach

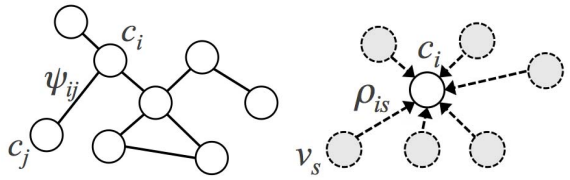
The *vector of features* needed to expand the query is obtained as a result of an interactive process between the user and system. The user initially performs a retrieval by inputting a query into the system and later identifying a small set $\mathcal{D}$ of relevant documents from the hit list of documents returned by the system, which is considered as the training set (the relevance feedback).

Existing query expansion techniques principally use the relevance feedback of both relevant and irrelevant documents. Usually they obtain the term selection through the scoring function proposed in [17], [13] which assigns a weight to each term depending on its occurrence in both relevant and irrelevant documents. In contrast, in this paper we do not consider irrelevant documents and the *vector of features* extraction is performed through a method based on a supervised *Term Clustering* technique.

Precisely, the *vector of features*, that we call mixed *Graph of Terms*, can be automatically extracted from a set of documents $\mathcal{D}$ using a method for *term extraction* based on a supervised *Term Clustering* technique [18] weighted by the *Latent Dirichlet Allocation* [19] implemented as the *Probabilistic Topic Model* [20].

The graph is composed of a directed and an undirected sub-graph (or level). We have the lowest level, namely the *word level*, that is obtained by grouping terms with a high degree of pairwise semantic relatedness; so there are several groups (clusters), each of them represented as a cloud of *words* connected to their respective centroids (directed edges), alternatively called *concepts* (see fig. 1(b)). In addition, we have the second level, namely the *conceptual level*, obtained by inferring semantic relatedness between centroids, and so between *concepts* (undirected edges, see fig. 1(a)).

The general idea of this technique is supported by previous works [21] that have confirmed the potential of supervised clustering methods for term extraction, even in the case of query expansion [22], [23].



(a) (b)

Figure 1. Theoretical representation of the Graph of Terms levels. 1(a) The conceptual level. 1(b) The word level.

3.1. Extracting a mixed Graph of Terms

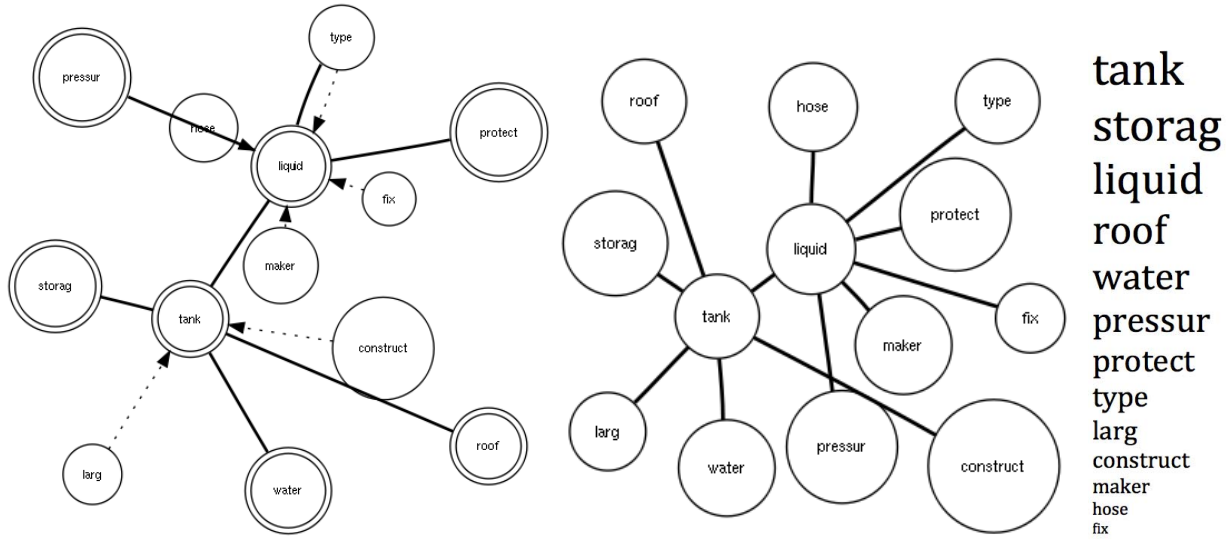
A mixed *Graph of Terms* ($m\mathcal{GT}$) is a hierarchical structure composed of two levels of information represented through a directed and an undirected sub-graph: the *conceptual* and *word* levels.

We consider extracting it from a corpus $\mathcal{D}=\{\mathbf{w}_1,\mathbf{w}_2,\cdots,\mathbf{w}_M\}$ of M documents (that we call the *training set*), where each document is, following the *Vector Space Model* [1], a vector of *feature weights* $\mathbf{w}_j=(w_{1j},\ldots,w_{|\mathcal{T}|j})$, where $\mathcal{T}=\{t_1,\cdots,t_{|\mathcal{T}|}\}$ is the set of *features* that occur at least once in at least one document of $\mathcal{D}$, and $0\le w_{kj}\le 1$ represents how much the feature t_k contributes to the semantics of document $\mathbf{w}_j$. We choose to identify features with words, that is the *bags of words* assumption, and in this case $t_k=v_k$, where v_k is one of the words of a vocabulary $\mathcal{T}$.

The *word* level is composed of a set of words v_s that specify through a directed weighted edge the concept c_i (see fig. 1(b), tab. 1 and fig. 2(a)), or better the centroid of such a set (group or cluster), which is, therefore, still lexically denoted as a word. The weight ρ_{is} can measure how far a word is related to a concept, or how much we need such a word to specify that concept, and it can be considered as a probability: $\rho_{is}=P(c_i\mid v_s)$. The resulting structure is a sub-graph rooted on c_i.

Alternatively, the *conceptual* level is composed of a set of interconnected, through undirected weighted edges, concepts c_i (see fig. 1(a), tab. 1 and fig. 2(a)), so forming a sub-graph of pairs of centroids. The weight ψ_{ij} can be considered as the degree of semantic correlation between the two concepts and it can be considered as a probability: $\psi_{ij}=P(c_i,c_j)$.

3.1.1. Graph drawing A $m\mathcal{GT}$ is well determined through the learning of the weights, the *Relation Learning*, and through the learning of the three



(a) (b) (c)

Figure 2: *Vector of features* for the topic *Storage Tanks*. 2(a) A mixed *Graph of Terms*. 2(b) A *Graph of Terms*. 2(c) A *List of Terms*.

parameters, the *Parameter Learning*, that is $\Lambda = (H, \tau, \mu)$ which specifies the shape of the graph. In more details, we have:

1. H: the number of concepts (namely the number of clusters) of the corpus $\mathcal{D}$;
2. μ_i: the threshold that establishes for each concept the number of edges of the directed sub-graph, and so the number of *concept/word* pairs of the corpus $\mathcal{D}$. An edge between the word s and the concept i can be saved if $\rho_{is} \geq \mu_i$. We consider, to simplify the formulation, $\mu_i = \mu$, $\forall i$;
3. τ: the threshold that establishes the number of edges of the undirected sub-graph, and so the number of *concept/concept* pairs of the corpus $\mathcal{D}$. An edge between the concept *i* and concept *j* can be saved if $\psi_{ij} \geq \tau$.

3.1.2. Relations Learning Due to the fact that each concept is lexically represented by a word of the vocabulary, then we have that $\rho_{is} = P(c_i \mid v_s) = P(v_i \mid v_s)$, and $\psi_{ij} = P(c_i, c_j) = P(v_i, v_j)$. As a result, we can obtain each possible relation by computing the joint probability $P(v_i, v_j)$ $\forall i, j \in \mathcal{T}$, which can be considered as a *word association problem* and so can be solved through a smoothed version of the generative model introduced in [19] called Latent Dirichlet allocation, which makes use of Gibbs sampling [20][1].

[1] The authors reported the mathematical formulation that leads from the Latent Dirichlet Allocation to $P(v_i, v_j)$ in [24]

3.1.3. Parameters Learning Given a corpus $\mathcal{D}$, once each ψ_{ij} and ρ_{is} is known $\forall i, j, s$, letting the parameters assume a different set of values Λ_t, we can observe a different graph $m\mathcal{GT}_t$, where t is representative of different parameter values.
A way of proving that a $m\mathcal{GT}$ is the best possible for that set of documents is to demonstrate that it produces the maximum score attainable for each of the documents when the same graph is used as a knowledge base for querying in a set containing just those documents which have fed the $m\mathcal{GT}$ builder.

Each graph $m\mathcal{GT}_t$ can be represented, following again the *Vector Space Model* [1], as a vector of feature weights, that we call $\mathbf{q}_t$ and is defined as $\mathbf{q}_t = (w'_{1t}, \ldots, w'_{|\mathcal{T}_p|t})$, where $|\mathcal{T}_p|_t$ represents the total number of pairs. We have that each feature $t_k = (v_i, v_j)$, which is not the simple bags of words assumption, and w'_{kj} being the weight calculated thanks to the *tf-idf* model applied to the pairs represented through t_k, and with the addition of the *boost* b_k which is the semantic relatedness between the words of each pair, at both the conceptual and the word level, namely ψ_{ij} and ρ_{is}.

You will recall that both ψ_{ij} and ρ_{is} are real values (probabilities) of the interval [0,1], and so to distinguish the relevance between the three cases, the traditional case ($b_k = 1$), the concept/word pair and the concept/concept pair, we have distributed such values with a wider interval. Specifically:

Table 1. An example of a *mGT* for the topic *Storage Tank*.

Conceptual Level		
Concept *i*	**Concept *j***	**Relation Factor (ψ_{ij})**
tank	roof	4,0
tank	water	3,37246
tank	liquid	3,13853
...	...	...
liquid	type	3,43828
liquid	pressur	3,07028
...	...	...
Word Level		
Concept *i*	**Word *s***	**Relation Factor (ρ_{is})**
tank	larg	2,0
tank	construct	1,6
...	...	...
liquid	type	1,21123
liquid	maker	1,11673
liquid	hose	1,06024
liquid	fix	1
...	...	...

1. $b_k = 1$ being the lowest level of relatedness;
2. $b_k \in [\rho_{min}, \rho_{max}]$ with $\rho_{min} \geq 1$ and $(\rho_{max} - \rho_{min}) = 1$;
3. $b_k \in [\psi_{min}, \psi_{max}]$ with $\psi_{min} > \rho_{max}$ and $(\psi_{max} - \psi_{min}) = 1$.

In the experiments we have chosen $\rho_{min} = 1$ and $\psi_{min} = 3$ (see table 1).

At this point, a document $\mathbf{w}_j$ can be viewed as a vector of weights in the space $|\mathcal{T}_p|_t$, and so the general formula of each weight is:

$$w'_{kj} = \frac{\text{tf-idf}(t_k, \mathbf{w}_j) \cdot b_k}{\sqrt{\sum_{s=1}^{|\mathcal{T}_p|_t} (\text{tf-idf}(t_s, \mathbf{w}_j) \cdot b_k)^2}} \quad (1)$$

The score for each graph at time *t*, namely $\mathbf{S}_t$, can be computed following the cosine similarity model in the space $|\mathcal{T}_p|_t$, and so we have

$$\mathbf{S}_t(\mathbf{q}_t, \mathbf{w}_j) = \frac{\sum_{k=1}^{|\mathcal{T}_p|_t} w'_{kj} w'_{kt}}{\sqrt{\sum_{k=1}^{|\mathcal{T}_p|_t} w'^2_{kj}} \sqrt{\sum_{k=1}^{|\mathcal{T}_p|_t} w'^2_{kt}}} \quad (2)$$

Finally for the graph at time *t* we have a score for each document, $\mathbf{S}_t = \{\mathcal{S}(\mathbf{q}_t, \mathbf{w}_1), \cdots, \mathcal{S}(\mathbf{q}_t, \mathbf{w}_M)\}_t$.

As a result, to compute the optimum set of parameters Λ_t we can maximize the *Fitness* ($\mathcal{F}$),

$$\Lambda^* = \underset{\Lambda_t}{\text{argmax}} \{\mathcal{F}(\Lambda_t)\},$$

where $\mathcal{F}(\Lambda_t) = E_m\left[\mathcal{S}(\mathbf{q}_t, \mathbf{w}_m)\right] - \sigma_m\left[\mathcal{S}(\mathbf{q}_t, \mathbf{w}_m)\right]$. E_m is the mean value of all elements of $\mathbf{S}_t$ and σ_m is the standard deviation.

Since the space of possible solutions could grow exponentially, we have limited the space to $|\mathcal{T}_p|_t < 150$, $\forall t$. Furthermore, we have reduced the remaining space of possible solutions by applying a clustering method, that is the *K-means* algorithm, to all ψ_{ij} and ρ_{is} values, so that the optimum solution can be exactly obtained after the exploration of the entire space. This reduction allows us to compute a *mGT* from a repository composed of a few documents in a reasonable time (e.g. for 3 documents it takes about 3 seconds with a Mac OS X based computer and a 2.66 GHz Intel Core i7 CPU and a 8GB RAM).

It is important to make clear that the mixed *Graph of Terms* can not be considered as a co-occurrence matrix. In fact, the core of the graph is the probability $P(v_i, v_j)$, which we regard as a word association problem, which in the topic model is considered as a problem of prediction: given that a cue is presented, which new words might occur next in that context? It means that the model does not take into account the fact that two words occur in the same document, but that they occur in the same document when a specific topic (and so a context) is assigned to that document [20].

Furthermore, in the field of statistical learning, a similar structure has been introduced, with the name Hierarchical Mixture of Experts [25]. Such a structure is employed as a method for supervised learning and it is considered as a variant of the well known tree-based methods. The similarity between such a structure and the proposed graph can be obtained by considering the "experts" as "concepts". Nevertheless, the mixed Graph of terms is not a tree structure, and more importantly is not rigid but is dynamically built depending on the optimization stage. Moreover, the Hierarchical Mixture of Experts does not consider relations between experts which is, on the other hand, largely employed in the mixed Graph of Terms. Notwithstanding this, we will explore further connections between these two structures in future works.

4. Extracting a simpler representation from a *mGT*

From the mixed *Graph of Terms* we can select different subsets of features and so obtain simpler representations (see figs. 2(b) and 2(c)). Before

discussing this in detail, we recall that $\rho_{is} = P(v_i \mid v_s)$ and $\psi_{ij} = P(v_i, v_j)$ are computed through the Topic Model which also computes the probability for each word $\eta_s = P(v_s)$.

4.1. Graph of Terms

We can obtain a simpler representation by firstly selecting all the distinct possible pairs from the $m\mathcal{GT}$ (see table 1 for an illustrative example) and secondly by uniforming all their weights. Note that even if both ψ_{ij} and ρ_{is} are real values of the interval [0,1], they are not comparable because the former is a joint probability and the latter is a conditional. Therefore, in order to make them comparable we consider the product $\rho_{is} \cdot \eta_s$ instead of each ρ_{is}.

Finally, to uniform all weights we do not shift each ψ_{ij} and $\rho_{is} \cdot \eta_s$ value from [0,1] to $[\psi_{min}, \psi_{max}]$ and $[\rho_{min}, \rho_{max}]$ respectively, which means that we compress the conceptual over the word level. Following this procedure we obtain a single level representation named the *Graph of Terms* ($\mathcal{GT}$), composed of weighted pairs of words as in fig. 2(b).

4.2. List of Terms

We can obtain the simplest representation by selecting from the $m\mathcal{GT}$ all the distinct terms and associating them with their respective weight $\eta_s = P(v_s)$ computed through the Topic Model. We name this representation the *List of Terms* ($\mathcal{LT}$), see fig. 2(c).

5. Experiments

We have compared 3 different query expansion methodologies based on different *vectors of features*: the mixed *Graph of Terms* ($m\mathcal{GT}$), the *Graph of Terms* ($\mathcal{GT}$) and the *List of Terms* ($\mathcal{LT}$). We have embedded all the techniques in an open source text-based search engine, Lucene from the Apache project.

The score function $\mathcal{S}(\mathbf{q}, \mathbf{w})$ is based on the standard vector cosine similarity discussed in Eq. 2, used in a Vector Space Model combined with the Boolean Model [1] which takes into account the boost factor b_k whose default value is 1, and this is assigned to the words that compose the original query[2].

Such a score function permits the assignment of a rank to the documents **w** that match a query **q** and permits the transformation of each *vector of features*, that is the $m\mathcal{GT}$, $\mathcal{GT}$ and $\mathcal{LT}$, into a set of Boolean clauses.

For instance, in the case of the $m\mathcal{GT}$, since it is represented as pairs of related words (see Table 1), where the relationship strength is described by a real value (namely ψ_{ij} and ρ_{is}, the *Relation factors*), the expanded query is:

((*tank* AND *roof*)$^{4.0}$) OR ((*tank* AND *larg*)$^{2.0}$)...

As a consequence we search the pair of words *tank* AND *roof* with a boost factor of 4.0 OR the pair of words *tank* AND *larg* with a boost factor of 2.0 and so on. For all the experiments we have considered $\rho_{min} = 1$ and $\psi_{min} = 3$ (table 1).

As we have discussed in depth in section 3, using the mixed Graph of Terms as a vector of features could represent each document. Unfortunately, it would require a high computational cost to compute the entire index considering feature $t_k = (v_i, v_j)$ instead of $t_k = v_k$ (that is the "bags of words" assumption).

However, using the Boolean Model, the mixed graph of terms can be converted into a structured query, which is easily supported by a classic information retrieval system based on the *tf-idf* index. In this way we do not need to compute the index and we can still consider each document as a "bag of words", that clearly reduces the computational cost.

5.1. Data Preparation

The evaluation of the method has been conducted on a web repository collected at University of Salerno by crawling 154,243 web pages for a total of about 3.0 GB using the website ThomasNet (http://www.thomasnet.com) as an index of URLs, the reference language being English[3]. ThomasNet, known as the "big green books" and "Thomas Registry", is a multi-volume directory of industrial product information covering 650,000 distributors, manufacturers and service companies within 67,000-plus industrial categories. We have downloaded webpages from the company websites relating to 150

[2] We have used the Lucene version 2.4 and you can find further details on the similarity at http://lucene.apache.org

[3] The repository will be public on our website to allow further investigations from other researchers.

Table 2. Number of terms and pairs for each *mGT*.

Topic	Query	of terms	of pairs
1	Lubricant	54	69
2	Pump	63	70
3	Adhesive	45	67
4	Generator	58	68
5	Transformers	67	82
6	Inverter	62	84
7	Valve	47	66
8	LAN Cable	69	85
9	Storage Tank	51	66
10	Extractor	53	71
Average Size		**55**	**72**

categories of products (considered as topics), randomly chosen from the ThomasNet directory. Note that even if the presence or absence of categories in the repository depends on the random choices made during the crawling stage, it could happen that webpages from some business companies cover categories that are different from those randomly chosen. This means that the repository is not to be considered as representative of a low number of categories (that is 150) but as a reasonable collection of hundreds of categories. In this work we have considered 50 test questions (queries) extracted from 50 out of the initial 150 categories (topics). Each original query corresponds to the name of the topic; for instance if we search for information about the topic "generator" the query will therefore be precisely "generator".

Obviously, all the initial queries have been expanded through the methodologies explored in section 4. Here we show the summary results obtained on all the 50 topics and the results obtained on the first 10 examples, that are: 1. *Lubricant*, 2. *Pump*, 3. *Adhesive*, 4. *Generator*, 5. *Transformers*, 6. *Inverter*, 7. *Valve*, 8. *LAN Cable*, 9. *Storage Tank*, 10. *Extractor*.

Before indexing, we have performed the removal of function words (i.e. topic-neutral words such as articles, prepositions, conjunctions, etc.) and we have performed the stemming procedure (i.e. grouping words that share the same morphological root). Although stemming has sometimes been reported to damage effectiveness, the recent tendency is to adopt it, as it reduces both the dimensionality of the term space and the stochastic dependence between terms. For this reason in 2(c), 2(b) and 2(a) we can find some labels of words in the form of their morphological roots.

5.2. Evaluation measures

For each example the procedure that obtains the reformulation of the query is explained as follows. A person, who is interested in the topic "generator", performs the initial query "generator", so interactively choosing 3 relevant documents for that topic, which represents the minimal positive feedback. From those documents the system automatically extracts the three *vectors of features*. In table 2 we show the average size of the list of terms and the list of pairs, that is 55 and 72 respectively for each topic. The user has interactively assigned the relevance of the documents by following an *xml* based schema coding his intentions and represented in Fig. 3.

The expanded queries have been again performed and for each context we have asked different humans to assign graded judgments of relevance to the first 100 pages returned by the system. Due to the fact that the number of evaluations for each topic, and so the number of topics itself, is small, the humans have judged, in contrast to the Minimum Test Collection method [26], all the results obtained.

The assessment is based on three levels of relevance, *high relevant*, *relevant* and *not relevant*, assigned, to avoid cases of ambiguity, by following the *xml* based schema coding the user intentions, as introduced before. The accuracy has been measured through standard indicators provided by [1] and based on *Precision* and *Recall*,

$$eAP = \frac{1}{ER}\sum_{i=1}^{k}\frac{x_i}{i} + \sum_{j>i}\frac{x_i x_j}{j} \quad (3)$$

$$ePrec@k = eP@k = \frac{1}{k}\sum_{i=1}^{k} x_i \quad (4)$$

$$ERprec = \frac{1}{ER}\sum_{i=1}^{ER} x_i \quad (5)$$

$$ER = \sum_{i=1}^{n} x_i \quad (6)$$

where *eAP* indicates the average precision on a topic, x_i and x_j are Boolean indicators of relevance, k is the cardinality of the considered result set (k=100*)* and ER is a subset of relevant documents[4].

The factor *ERprec* is the precision at the level *ER*, while the measure *eMAP* is the average of all *eAPs* over topics. The measure *eP@k* is the precision at level k (for instance *eP5* is the precision calculated by taking the top 5 results). Further we have considered other standard measures of performance,

[4] Note that, $ER = |\, R_{mGT} \cup R_{GT} \cup R_{LT} - R_{mGT} \cap R_{GT} \cap R_{LT} \,|$, where R_{vf} is the set of relevant and high relevant documents obtained for a given topic and *vf=vector of features*.

```
<topic number="9" type="faceted" >
  <query> storage tanks</query>
    <description>
        I am looking for information on storage tanks.
    </description>
        <subtopic number="1" type="inf" >
          I am looking for web pages containing
          datasheets of several storage tank types
        </subtopic>
        <subtopic number="2" type="inf" >
          ...
        </subtopic>
        <subtopic number="10" type="inf" >
          I am looking for descriptions of storage tanks
          as products
        </subtopic>
</topic>
```

Figure 3: The *xml* based schema used for the evaluation phase.

which take into account the quality of the results related to the position in which they are presented. We have considered the *Cumulative Gain* (*CG*), the *Discounted Cumulative Gain* (*DCG*), the *normalized Discontinued Cumulative Gain* (*nDCG*), and the *Ideal DCG*, that is $nDCG_x = \frac{DCG_x}{IDCG_x}$. Specifically:

$$CG_x = \sum_{i=1}^{k} rel_i \tag{7}$$

$$DCG_x = \sum_{i=1}^{k} \frac{2^{rel_i} - 1}{\log_2(1+i)}. \tag{8}$$

where we have considered *rel=2*, *rel=1* and *rel=0* in the cases of *High Relevant*, *Relevant* and *Not Relevant* documents respectively.

5.3. Discussion

In table 3 we present all the measures for each topic while in table 4 we present the summary results across topics; both tables report results for each *vector of features*. The overall behavior of the $m\mathcal{GT}$ method is better than both the $\mathcal{GT}$ and $\mathcal{LT}$, especially in the case of the topics 2, 3 and 7. In fact, in these cases the proposed method has listed 62, 67 and 76 relevant or high relevant documents in the top 100, that is about 68% (see also the column Rel of table 5). However, in the case of topics 4, 6 and 8 the number of relevant documents is comparable between the systems, with the percentage of relevant documents retrieved being about 30%, which is less than half of the worst value obtained for the topic 2. This suggests that the systems are comparable only if the total number of relevant documents returned by both systems is less than 50%. This probably happens due to the fact that the documents feeding the vector of features builder have not covered, in terms of subtopics, all the examples present in the repository. Notwithstanding this, the most important fact is that, when the graph is added to the initial query, the search engine shows a better performances than in the case of both a graph of word pairs and a simple word list. As we can see in Table 5, the results on topics 4, 6 and 8 are the worst cases, while topics 2, 3, 5, 7, 9 and 10 are the best, as confirmed by previous discussions on table 3.

6. Conclusions

In this work we have demonstrated that a mixed *Graph of Terms* based on a hierarchical representation is capable of retrieving a greater number of relevant documents than representations less complex based on either a simple interconnected pairs of words or a list of words, even if the size of the training set is small and composed of only relevant documents.

These results suggest that our approach can be employed in all those text mining tasks that consider matching between patterns represented as textual information, in text categorization and classification tasks as well as in sentiment analysis and detection tasks. The proposed approach computes the expanded queries considering only endogenous knowledge. It is well known that the use of external knowledge, for instance WordNet, could definitely improve the accuracy of information retrieval systems; we consider this to be a future work.

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Table 3. Indices of performance on different topics.

Topic		eR	eAP	eR pr	eP5	eP10	eP20	eP30	eP100
1	$m\mathcal{GT}$	64	0.594	0.703	1.000	0.778	0.737	0.586	0.546
	$\mathcal{GT}$	64	0.517	0.625	1.000	0.778	0.684	0.552	0.495
	$\mathcal{LT}$	64	0.330	0.406	0.750	0.667	0.737	0.655	0.354
2	$m\mathcal{GT}$	76	0.561	0.592	1.000	1.000	0.737	0.690	0.626
	$\mathcal{GT}$	76	0.481	0.500	1.000	1.000	0.684	0.690	0.566
	$\mathcal{LT}$	76	0.254	0.395	0.750	0.667	0.632	0.552	0.374
3	$m\mathcal{GT}$	75	0.740	0.720	1.000	1.000	1.000	0.793	0.667
	$\mathcal{GT}$	75	0.626	0.693	1.000	1.000	1.000	0.759	0.576
	$\mathcal{LT}$	75	0.366	0.440	0.500	0.778	0.895	0.621	0.444
4	$m\mathcal{GT}$	73	0.501	0.589	1.000	0.667	0.842	0.862	0.485
	$\mathcal{GT}$	73	0.534	0.603	1.000	0.667	0.842	0.862	0.525
	$\mathcal{LT}$	73	0.683	0.658	0.750	0.889	0.947	0.828	0.616
5	$m\mathcal{GT}$	49	0.484	0.469	1.000	0.889	0.842	0.552	0.364
	$\mathcal{GT}$	49	0.439	0.429	1.000	0.889	0.790	0.517	0.333
	$\mathcal{LT}$	49	0.299	0.429	1.000	0.556	0.368	0.379	0.313
6	$m\mathcal{GT}$	39	0.575	0.590	0.750	0.778	0.842	0.724	0.333
	$\mathcal{GT}$	39	0.580	0.590	0.750	0.778	0.842	0.690	0.343
	$\mathcal{LT}$	39	0.657	0.667	0.750	0.889	0.895	0.724	0.354
7	$m\mathcal{GT}$	100	0.615	0.760	1.000	0.889	0.842	0.828	0.758
	$\mathcal{GT}$	100	0.633	0.780	1.000	0.778	0.790	0.828	0.788
	$\mathcal{LT}$	100	0.392	0.570	1.000	0.667	0.632	0.621	0.566
8	$m\mathcal{GT}$	28	0.318	0.321	0.500	0.556	0.316	0.345	0.242
	$\mathcal{GT}$	28	0.327	0.357	0.500	0.556	0.316	0.345	0.242
	$\mathcal{LT}$	28	0.465	0.393	1.000	0.556	0.474	0.379	0.273
9	$m\mathcal{GT}$	45	0.735	0.667	1.000	1.000	0.895	0.793	0.434
	$\mathcal{GT}$	45	0.679	0.600	1.000	1.000	0.947	0.759	0.404
	$\mathcal{LT}$	45	0.146	0.156	0.750	0.556	0.368	0.241	0.162
10	$m\mathcal{GT}$	63	0.584	0.693	0.999	0.768	0.727	0.576	0.536
	$\mathcal{GT}$	63	0.507	0.615	0.999	0.768	0.674	0.542	0.485
	$\mathcal{LT}$	63	0.320	0.396	0.740	0.657	0.727	0.645	0.344

Table 4. Average values of performance.

run	eMAP	eRprec	eP5	eP10	eP20	eP30	eP100
$m\mathcal{GT}$	0.569	0.601	0.917	0.840	0.784	0.686	0.495
$\mathcal{GT}$	0.535	0.575	0.917	0.827	0.766	0.667	0.475
$\mathcal{LT}$	0.399	0.457	0.806	0.691	0.661	0.556	0.384

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Table 5. Cumulative Gain (CG), Discounted Cumulative Gain (DCG), Normalized Discounted Cumulative Gain (nDCG).

Topic		Rel	CG	DCG	IDCG	nDCG
1	$m\mathcal{GT}$	55	80	25.536	30.030	0.850
	$\mathcal{GT}$	49	74	24.528	28.985	0.846
	$\mathcal{LT}$	35	42	15.502	17.421	0.890
2	$m\mathcal{GT}$	62	81	24.257	28.577	0.849
	$\mathcal{GT}$	57	75	22.654	27.271	0.831
	$\mathcal{LT}$	37	55	17.053	23.690	0.720
3	$m\mathcal{GT}$	67	76	18.568	24.288	0.764
	$\mathcal{GT}$	57	64	16.320	21.385	0.763
	$\mathcal{LT}$	44	50	12.048	18.435	0.654
4	$m\mathcal{GT}$	48	63	19.330	24.267	0.797
	$\mathcal{GT}$	52	67	20.361	24.970	0.815
	$\mathcal{LT}$	61	74	21.352	25.495	0.837
5	$m\mathcal{GT}$	36	60	23.714	26.175	0.906
	$\mathcal{GT}$	33	55	22.325	24.728	0.903
	$\mathcal{LT}$	31	44	16.330	20.072	0.814
6	$m\mathcal{GT}$	33	39	10.698	16.366	0.654
	$\mathcal{GT}$	34	40	10.823	16.561	0.654
	$\mathcal{LT}$	35	41	11.069	16.754	0.661
7	$m\mathcal{GT}$	76	98	25.405	32.205	0.789
	$\mathcal{GT}$	78	100	25.696	32.522	0.790
	$\mathcal{LT}$	57	85	23.748	31.621	0.751
8	$m\mathcal{GT}$	24	32	11.817	15.826	0.747
	$\mathcal{GT}$	24	32	11.943	15.826	0.755
	$\mathcal{LT}$	27	35	12.369	16.457	0.752
9	$m\mathcal{GT}$	43	60	20.977	24.336	0.862
	$\mathcal{GT}$	40	55	19.763	22.814	0.866
	$\mathcal{LT}$	16	20	8.775	11.229	0.781
10	$m\mathcal{GT}$	54	79	24.436	29.920	0.818
	$\mathcal{GT}$	48	73	23.428	27.885	0.840
	$\mathcal{LT}$	34	41	14.402	16.321	0.882

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