

Red Flags for Multiple System Atrophy

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Red Flags for Multiple System Atrophy

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3 Abstract: The clinical diagnosis of multiple system atrophy (MSA) is fraught with
4 difficulty and there are no pathognomonic features to discriminate the parkinsonian
5 variant (MSA-P) from Parkinson's disease (PD). Besides the poor response to L-
6 dopa, and the additional presence of pyramidal or cerebellar signs (ataxia) or
7 autonomic failure as major diagnostic criteria, certain other clinical features known as
8 "red flags" or warning signs may raise the clinical suspicion of MSA. To study the
9 diagnostic role of these features in MSA-P versus PD patients, a standardized red
10 flag check list (RFCL) developed by the European MSA Study Group (EMSA-SG)
11 was administered to 57 patients with probable MSA-P and 116 patients with probable
12 PD diagnosed according to established criteria. Those red flags with a specificity over
13 95% were selected for further analysis. Factor analysis was applied to reduce the
14 number of red flags. The resulting set was then applied to 17 patients with possible
15 MSA-P who on follow-up fulfilled criteria of probable MSA-P.

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17 Red flags were grouped into related categories. With two or more of six red flag
18 categories present specificity was 98.3% and sensitivity was 84.2 % in our cohort.
19 When applying these criteria to patients with possible MSA-P, 76.5% of them would
20 have been correctly diagnosed as probable MSA-P 15.9 (± 7.0) months earlier than
21 with the Consensus criteria alone. We propose a combination of two out of six red
22 flag categories as additional diagnostic criteria for probable MSA-P.
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3 Multiple system atrophy (MSA) is a sporadic neurodegenerative disorder,
4 histopathologically characterised by α -synuclein positive glial cytoplasmic inclusions
5 (GCIs). Clinical presentation is variable, featuring parkinsonian, cerebellar, and
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According to the Consensus criteria, MSA patients are clinically classified according to the predominant motor presentation into cerebellar (MSA-C) and parkinsonian (MSA-P) subtype.¹ However, there are few pathognomonic features to discriminate the parkinsonian variant from Parkinson's disease (PD), resulting in considerable underrecognition of MSA in early disease states. There is certainly a need for improved screening instruments, as an accurate diagnosis of MSA is important for several reasons including prognostic and therapeutical implications.

Additional tools, such as cardiovascular autonomic function tests, anal sphincter electromyography, MIBG scintigraphy of cardiac sympathetic terminals, SPECT scans of dopamine transporters, diffusion weighted magnetic resonance tomography (DWI), have been reported to be useful in this differential diagnosis. However, most studies are limited to advanced disease stages, providing no information on diagnostic value for early diagnosis. In addition, many patients are being referred to movement disorder specialists only after the first atypical signs occur, such as rapid progression or poor response to levodopa.

Besides the poor response to levodopa, and the additional presence of pyramidal or cerebellar signs or autonomic failure as major diagnostic clues, other features (red flags) such as orofacial dystonia or stridor may raise suspicion of MSA.²⁻⁵

In the present multicentre study a standardized red flag check list (RFCL) developed by the European MSA Study Group (EMSA-SG) was administered to patients with MSA-P and PD diagnosed according to established criteria. The primary objective was to determine the frequencies and the diagnostic role of potential red flags for MSA in these patient cohorts.

PATIENTS AND METHODS

Patients

57 patients with probable MSA-P and 116 patients with probable PD matched for age, sex and disease duration were included in the study at 15 EMSA-SG centres. In addition, the RFCL was also administered to 17 patients with a diagnosis of possible MSA-P at baseline who fulfilled the Consensus criteria for probable MSA-P¹ at follow-

up in order to determine the diagnostic role of red flags in early disease stages. Follow-up visits were performed every 6 months for up to 2 years. Written informed consent was obtained from each patient. RFCL administration was approved by the investigational review boards.

Methods

Based on a detailed clinical history and examination, diagnosis was made according to established criteria. MSA-P was diagnosed according to the Consensus criteria,¹ PD according to the United Kingdom Parkinson's Disease Society Brain Bank criteria.⁶ All patients were rated on the Hoehn and Yahr (H&Y) Parkinson's disease staging system. A comprehensive EMSA-SG red flag check list was drawn up based on literature review and expert opinion (Table 1), was completed by the examiners according to standardized instructions. Most of the features were operationally defined (e.g. rapid progression) while some were based on clinical judgement alone (e.g. orofacial dystonia or severe dysphonia).

Statistical Analysis

Data collected at the participating centres were entered in a central ACCESS database held at the Innsbruck site and analyzed using SPSS 14.0 for Windows (SPSS Inc, USA). The significance level was set at $p \leq 0.05$ and corrected for multiple testing.

Data are reported as mean (\pm standard deviation) or median (and the interquartile range) depending on data distribution. Student's t-test was used for comparison of age and disease duration whereas H&Y stages were compared with the Mann-Whitney-U Test.

Red flags with a specificity for MSA-P $> 95\%$ and a significantly higher frequency than in PD were selected for factor analysis to reduce the number of red flags required for diagnosis. Principal component analysis with Varimax rotation was applied to extract factors; the number of factors was chosen based on the scree plot. Sensitivity, specificity, positive (PPV) and negative (NPV) predictive value for MSA-P was calculated using presence of the resulting red flag categories in probable MSA-P and PD patients. Predictive diagnostic sensitivity of the EMSA Red Flags for "probable MSA-P" was then analyzed in the possible MSA-P group.

RESULTS

Patient Characteristics

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3 Demographic and clinical data of MSA-P and PD patients are given in Table 2. There
4 was no significant difference of age at examination ($p = 0.46$) and disease duration (p
5 = 0.85) between groups. Hoehn and Yahr “off” stages were significantly higher in the
6 MSA-P than in the PD group ($p < 0.001$).
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9 10 **Red Flags**

11 The frequencies of potential red flags in MSA-P as compared to PD patients are
12 listed in Table 3. Those features with a specificity of $> 95\%$ and a significantly higher
13 frequency than in PD patients were entered into the factor analysis.
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16 Factor analysis was performed to reduce the number of red flags required for a
17 diagnosis of probable MSA. Five factors emerged from this analysis explaining 73.3%
18 of the variance. Early instability with recurrent falls within three years of onset loaded
19 on the same factor as severe dysarthria, dysphonia and dysphagia. Since these
20 symptoms are not clinically related we decided to regard early instability as
21 independent red flag category.
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24 The resulting set of six red flag categories (Table 4) yielded 98.3% specificity and
25 84.2% sensitivity with a PPV of 96% and a NPV of 92.7% if red flags from two or
26 more categories were present.
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29 By applying these criteria (at least one item in two out of six red flag categories) to
30 the 17 patients with possible MSA-P who could be classified as having probable
31 MSA-P on one of the follow-up visits, a diagnosis of probable MSA-P would have
32 been possible in 13 (76.5%) already at baseline, on average 15.9 (± 7.0) months
33 earlier than with the Consensus criteria alone.
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36 37 **DISCUSSION**

38 Existing published diagnostic criteria¹ for MSA perform better in terms of specificity
39 than clinicians' diagnosis at first, but not last, visit. However, sensitivity is
40 suboptimal.⁷ Most clinicians therefore also consider the presence of additional
41 warning signs, or red flags, when making a diagnosis of MSA in clinical practice.
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43 However, consensus criteria for these red flags are lacking and their frequency and
44 diagnostic validity in predicting MSA in its early stages are unknown. EMSA-SG has
45 therefore operationalized the use of potential red flags for MSA (RFCL), excluding
46 cardinal “core” diagnostic features (such as orthostatic hypotension, urinary
47 incontinence/retention, levodopa unresponsive parkinsonism, cerebellar ataxia and
48 pyramidal signs) which form part of the diagnostic criteria.⁸ We now report results of
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3 a cross-sectional multicentre study on the diagnostic value of red flags in a cohort of
4 European MSA patients matched with PD patients using the EMSA-SG RFCL.

5 All but one red flag (Raynaud's phenomenon) were significantly more common in
6 MSA compared to PD patients. Thirteen were highly specific for MSA (>95%
7 specificity) suggesting potential diagnostic utility. These were grouped into six
8 separate categories using factor analysis. The presence of red flags out of at least
9 two of these six red flag categories gave a specificity of 98.3% and sensitivity of
10 82.4% compared to patients with PD. In addition to these results in established cases
11 of probable MSA, these criteria also predicted the development of probable MSA-P in
12 cases of possible MSA-P during further follow-up with a sensitivity of 76.5%.

13 Early instability with recurrent falls during the first three years from disease onset was
14 the most frequent feature in our cohort, present in 68% of the patients. In a clinical
15 series of 100 patients with possible and probable MSA postural instability was
16 present in 93% of patients.⁹ Early instability with recurrent falls is also common in
17 progressive supranuclear palsy (PSP).¹⁰⁻¹² Among the different causes of
18 parkinsonism, latencies to onset of falls are the shortest in PSP patients, intermediate
19 in MSA, dementia with Lewy bodies (DLB), and corticobasal degeneration (CBD),
20 and longest in PD.¹² Taken alone, early instability does not allow a clinical diagnosis
21 of MSA in a parkinsonian patient. However, its presence suggests an atypical
22 parkinsonian disorder rather than PD.

23 Rapid progression was found in 2/3 of our MSA-P patients, whereas only 2.6% of PD
24 patients showed this feature. Rapid progression was defined as wheelchair
25 dependency within 10 years of disease onset. This latency may appear long at first
26 sight, however, symptoms suggestive of autonomic failure including urinary
27 incontinence or orthostatic hypotension both of which often precede motor signs by
28 many years were also allowed to define disease onset. Further, previous natural
29 history studies suggest that most PD patients do not reach a wheelchair-bound state
30 within 10 years from disease onset.¹³ Finally, redefining rapid progression by
31 reaching a wheelchair-bound state within 5 years from motor onset did not change
32 the diagnostic validity of this feature (data not shown).

33 In a large Japanese study of patients with MSA median intervals from onset to aid-
34 requiring walking, confinement to a wheelchair, bedridden state and death were 3, 5,
35 8 and 9 years, respectively.¹⁴ In that study, patients manifesting combined motor and
36 autonomic involvement within 3 years of onset had a significantly increased risk of
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3 reaching advanced disease stages earlier and of poor survival. Rapid clinical
4 deterioration (H&Y V within 5 years of disease onset) or the “wheelchair sign” despite
5 dopaminergic treatment, are recognized features of atypical parkinsonian disorders
6 including MSA. H&Y progression rates are significantly faster in atypical parkinsonian
7 disorders (APDs) than in PD.¹¹ UPDRS progression rates in 38 patients with MSA-P
8 were tenfold increased compared to published rates in PD.¹⁵ In summary, rapid
9 disease progression is a characteristic feature of MSA, but it fails to discriminate
10 among the atypical parkinsonian disorders.

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12 The red flag category “abnormal postures” includes Pisa syndrome, disproportionate
13 antecollis and/or contractures of hand or feet (excluding Dupuytren’s disease or
14 contracture due to other known cause). Camptocormia was present in 6% of PD
15 patients and excluded from factor analysis. Pisa syndrome was observed in 42% of
16 our patients. This syndrome was originally described as a rare subacute dystonic
17 reaction appearing 3-10 days after initiation of neuroleptic treatment that is
18 characterized by an abnormal tonic lateral flexion of the trunk associated with some
19 backward rotation; the head and neck may also be involved.¹⁶ A similar picture may
20 also occur as a subtype of tardive dystonia.¹⁷ The term Pisa syndrome is also used to
21 describe prolonged episodes of lateral flexion without exposure to neuroleptics. In
22 MSA progressive striatal degeneration may be the underlying pathologic substrate of
23 this unusual dystonic picture.¹⁸ Pisa syndrome may also emerge in patients with
24 otherwise classical PD, however, in contrast to other reports¹⁹ it was very rare in our
25 PD cohort.

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27 Antecollis has been described in MSA, DLB and PD.²⁰⁻²² Whilst a disproportionate
28 antecollis may appear rarely in PD, it is relatively frequent in MSA.^{4 23} A
29 disproportionate antecollis was observed in 37% of our patients.

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31 Whereas more frequent in CBD²⁴ and MSA,^{4 23} contractures of hands or feet are not
32 exclusively limited to APDs but may also complicate otherwise typical PD.²⁵ In the
33 present study, 15.8% of MSA-P patients and 3.4% of PD patients were affected.

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35 Bulbar syndromes, including severe dysarthria, dysphonia, and/or dysphagia were
36 found in one third to one half of MSA patients but in less than 5% of PD patients.
37 However, bulbar features are also prominent in other APDs including PSP,¹⁰ DLB²⁶
38 and less commonly CBD.²⁷

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40 Speech is more severely affected in MSA than in PD.^{2 28} Latency to onset of
41 dysarthria was found to be significantly longer in PD than in the APDs, but does not

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3 help distinguish between different APDs. Median dysarthria latencies were reported
4 to be short in PSP and MSA (24 months each), intermediate in CBD and DLB (40
5 and 42 months), and long in PD (84 months).³ Dysarthria as a presenting symptom
6 has also been described in clinical series of PSP²⁹ and CBD.³⁰ Speech impairment in
7 MSA patients is probably multi-factorial, related to laryngeal dysfunction,
8 akinesia/rigidity of lips/tongue and palate, and respiratory dysfunction. As well as the
9 low volume monotony of parkinsonism, a croaky, quivering, irregular, severely
10 hypophonic or slurring dysarthria is “often so characteristic that the diagnosis can be
11 suggested by listening to the patient on the telephone”.³¹ The dysarthria profile of
12 MSA differs from that of PSP by the greater prominence of ataxic components and
13 the lesser degrees of spasticity.³²

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15 In a previous series of 35 pathologically confirmed cases of patients with MSA
16 dysphagia had been recorded in 51% of cases.³³ In another study,³ Latency to onset
17 of dysphagia was significantly longer in PD than in APDs, but did not help distinguish
18 between different APDs. Median dysphagia latencies were intermediate in PSP (42
19 months), DLB (43 months), CBD (64 months), and MSA (67 months), and long in PD
20 (130 months). Dysphagia (or dysarthria) within one year of disease onset was a
21 distinguishing feature for APDs (specificity, 100%) but failed to further distinguish
22 among the APDs.

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24 Respiratory dysfunction presenting with deep involuntary sighs or inspiratory stridor,
25 both diurnal and nocturnal were frequent in MSA and rare in PD patients. However,
26 other respiratory problems, such as excessive snoring or sleep apnoea, were not
27 specific for MSA. Although the literature is scanty regarding deep involuntary
28 sighs/gasps, it appears to be a common feature in probable MSA cases. Inspiratory
29 stridor, especially at night, is commonly attributed to vocal cord paralysis,³⁴ but might
30 instead reflect dystonia of the vocal cords.³⁵ Inspiratory stridor has been documented
31 in 9-34% of MSA patients and could develop at any time point in the disease
32 process.^{23 33 36} In contrast, stridor is very uncommon in PD, although it has rarely
33 been described as a dyskinetic side effect of levodopa treatment in apparent PD.³⁷
34 The incidence of nocturnal stridor is significantly higher in MSA than in PD.³⁸
35 Emotional incontinence was present in 30% of patients; pseudobulbar crying spells
36 were more frequent (28%) than inappropriate laughing (13%) They are rarely seen in
37 PD.² However, pseudobulbar crying is even more common in PSP.³⁹
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3 To investigate sensitivity of red flag categories in patients with questionable MSA we
4 applied these criteria in a group of 17 patients, who at the time of administration of
5 the RFCL fulfilled criteria for possible MSA-P but not for probable MSA-P. All these
6 patients were diagnosed as probable MSA-P at one of the follow-up visits.
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10 Thirteen (76.5%) of these 17 patients could have been correctly diagnosed much
11 earlier (mean 16 months) as probable MSA-P if the present red flag categories had
12 been applied. Red flag categories would enhance sensitivity of the Consensus
13 criteria further without compromising specificity.
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17 However, we acknowledge potential limitations. The study lacks a post-mortem
18 confirmation. The clinical diagnosis of MSA served as gold-standard for assessing
19 the validity of red flags. The definition of some red flags was based on clinical
20 judgement alone without exact definition. Since we did not administer the RFCL to
21 other APDs we could not determine the frequency and diagnostic validity of the
22 potential red flags in distinguishing between MSA and these disorders and PD.
23 Several of the selected red flag categories are recognized hallmark features of other
24 APDs. Further comparative studies are therefore required to determine those red
25 flags that may discriminate MSA from other APDs. Due to the limited numbers of
26 possible MSA-P patients with follow-up data, both sensitivity and specificity of the red
27 flag categories in early disease stages, including in patients not yet fulfilling criteria
28 for possible MSA, have to be investigated prospectively in larger patient cohorts.
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30 We conclude that there are distinct motor and non motor clinical features of MSA that
31 are not formally part of already published clinical diagnostic criteria. These warning
32 signs or red flags are present with a varying frequencies ranging from 10 to almost
33 70%. The present study suggests that a combination of two out of the six proposed
34 red flag categories is highly specific with a good sensitivity when comparing MSA-P
35 and PD patients. 76.5% of the patients diagnosed as possible MSA-P at baseline
36 who fulfilled diagnostic criteria for probable MSA-P at one of the follow-up visits could
37 have been diagnosed correctly already at baseline visit by applying these criteria. As
38 a consequence, these red flag categories might be suitable to act as supportive
39 criteria for the diagnosis of probable MSA.
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TABLE 1. *EMSA-SG red flag check list*

| Red flag | Definition |
|---|---|
| Early instability with recurrent falls | within 3 years of disease onset |
| Rapid progression | “wheelchair sign”: dependent < 10 years from disease onset |
| Orofacial dystonia | based on clinical judgement |
| Camptocormia | prolonged episodes of forward trunk flexion |
| Pisa syndrome | prolonged episodes of lateral trunk flexion |
| Disproportionate antecollis | severe neck flexion, minor flexion elsewhere |
| Contractures of hands or feet | excluding Dupuytren's or contracture due to other known cause |
| Jerky tremor | irregular postural or action tremor of the hands and/or fingers with definite myoclonus |
| Diurnal inspiratory stridor | based on clinical judgement |
| Nocturnal inspiratory stridor | based on clinical judgement |
| Inspiratory sighs | involuntary deep inspiratory sighs/gasps |
| Severe dysphonia | based on clinical judgement |
| Severe dysarthria | based on clinical judgement |
| Severe dysphagia | based on clinical judgement |
| REM sleep behaviour disorder | intermittent loss of muscle atonia and appearance of elaborate motor activity (striking out with arms in sleep often with talking/shouting) associated with dream mentation |
| Sleep apnoea | prolonged arrests of breathing |
| Excessive snoring | increase from premorbid level, or newly arising |
| Cold hands / feet | new development of coldness and colour change – purple/blue – of extremities, with blanching on pressure and poor circulatory return |
| Raynaud's phenomenon | new emergence of painful „white fingers“ |
| Emotional incontinence – crying | Inappropriate crying without sadness |
| Emotional incontinence – laughing | Inappropriate laughing without mirth |
| Past history of documented hypertension | based on clinical judgement |

Red flags were recorded as follows: present, absent, unknown

TABLE 2. *Patient characteristics*

| | MSA-P | PD | P-value | possible MSA-P |
|-------------------------|---------------|---------------|----------------|---------------------------|
| Age | 62.4 ± 7.8 | 64.2 ± 8.2 | n.s. | 63.3 ± 10.5 |
| Disease duration | 4.9 ± 3.8 | 5.2 ± 3.7 | n.s. | 3.9 ± 1.8 |
| m:f ratio | 1.4 : 1 | 1.5 : 1 | n.s. | 1.1 : 1 |
| Hoehn & Yahr | 3.8 (2.9-4.6) | 2.2 (1.5-2.9) | P < 0.001 | 3.7 (2.9-4.5) |

For Peer Review

TABLE 3. *Frequencies of red flags*

| Red flag | MSA-P (%) | PD (%) | p-value | Specificity (%) |
|---|--------------|-----------|---------|--------------------|
| *Early instability | 67.9 | 2.6 | < 0.001 | 97.4 |
| *Rapid progression | 66.7 | 0.9 | < 0.001 | 99.1 |
| Orofacial dystonia | 25.0 | 6.8 | 0.001 | 93.2 |
| Camptocormia | 32.1 | 5.9 | < 0.001 | 94.1 |
| *Pisa syndrome | 42.1 | 2.5 | < 0.001 | 97.5 |
| *Disproportionate antecollis | 36.8 | 0.8 | < 0.001 | 99.2 |
| *Contractures of hands or feet | 15.8 | 3.4 | 0.010 | 96.6 |
| Jerky tremor | 47.4 | 5.9 | < 0.001 | 94.1 |
| *Diurnal inspiratory stridor | 22.8 | 0.9 | < 0.001 | 99.1 |
| *Nocturnal inspiratory stridor | 37.7 | 2.6 | < 0.001 | 97.4 |
| *Inspiratory sighs | 43.6 | 3.4 | < 0.001 | 96.6 |
| *Severe dysphonia | 50.9 | 4.2 | < 0.001 | 95.8 |
| *Severe dysarthria | 49.1 | 4.3 | < 0.001 | 95.7 |
| *Severe dysphagia | 33.3 | 0.9 | < 0.001 | 99.1 |
| REM sleep behaviour disorder | 43.1 | 27.4 | 0.047 | 72.6 |
| Sleep apnoea | 19.2 | 5.7 | 0.012 | 94.3 |
| Excessive snoring | 37.0 | 13.9 | 0.010 | 86.1 |
| Cold hands / feet | 39.3 | 12.8 | < 0.001 | 87.2 |
| Raynaud phenomenon | 11.1 | 3.4 | 0.075 | 96.6 |
| *Emotional incontinence - crying without sadness | 26.3 | 2.6 | < 0.001 | 97.4 |
| *Emotional incontinence - laughing without mirth | 14.0 | 1.7 | 0.003 | 98.3 |
| Past history of documented hypertension | 34.5 | 19.1 | 0.037 | 80.9 |

* Red flags selected for further analysis

TABLE 4. Red flag categories

| |
|--------------------------------------|
| Early instability |
| Rapid progression |
| Abnormal postures ¹ |
| Bulbar dysfunction ² |
| Respiratory dysfunction ³ |
| Emotional incontinence ⁴ |

¹ includes Pisa syndrome, disproportionate antecollis and/or contractures of hand or feet

² includes severe dysphonia, dysarthria and/or dysphagia

³ includes diurnal or nocturnal inspiratory stridor and/or inspiratory sighs

⁴ includes inappropriate crying and/or laughing

Category is positive if one or more symptoms present.