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# On Unconditionally Secure Distributed Oblivious Transfer\*

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**Abstract.** This paper is about the oblivious transfer in the distributed model proposed by Naor and Pinkas. In this setting a Sender has n secrets and a Receiver is interested in one of them. During a set-up phase, the Sender gives information about the secrets to m Servers. Afterwards, in a recovering phase, the Receiver can compute the secret she wishes by interacting with any k of them. More precisely, from the answers received she computes the secret in which she is interested but she gets no information on the others and, at the same time, any coalition of k-1 Servers can neither compute any secret nor figure out which one the Receiver has recovered. We present an analysis and new results holding for this model: lower bounds on the resources required to implement such a scheme (i.e., randomness, memory storage, communication complexity); some impossibility results for one-round distributed oblivious transfer protocols; two polynomial-based constructions implementing 1-out-of-n distributed oblivious transfer, which generalize and strengthen the two constructions for 1-out-of-2 given by Naor and Pinkas; as well as new one-round and two-round distributed oblivious transfer protocols, both for threshold and general access structures

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on the set of Servers, which are optimal with respect to some of the given bounds. Most of these constructions are basically combinatorial in nature.

**Key words.** Oblivious transfer, Secret sharing, Distributed cryptography.

# 1. Introduction

Introduced by Rabin in [41], and subsequently defined in different forms [24], [9], the *oblivious transfer* has found many applications in cryptographic studies and protocol design. Basically, such a protocol enables one party to transfer knowledge to another in an "oblivious" way. Rabin's definition, for example, enables a Sender to transmit a message to a Receiver in such a way that the Receiver with probability  $\frac{1}{2}$  gets the message while, with the same probability, she does not, and the Sender does not know which event has occurred. Rabin showed how this transfer can be used in order to exchange secrets, and subsequently several other researchers have shown some useful applications of this concept. The protocol proposed by Rabin was later strengthened in [25].

The second oblivious transfer definition was given in [24]. In this form the Sender has two secrets and the Receiver is interested in one of them. After execution of the protocol, the Receiver gets the secret she wishes to recover, obtaining at the same time no information on the other, while the Sender does not know which secret the Receiver has recovered. Even et al. [24] showed a first application to signing contracts.

The last and more general form of oblivious transfer was introduced in [9], under the name of *all-or-nothing Disclosure of Secrets*, even if the same concept was born in an artificial intelligence context [47], under the name of *multiplexing*. Here the Sender has *n* secrets and the Receiver is interested in one of them. After execution of the protocol, the Receiver gets the secret she wishes to recover, obtaining at the same time no information on the others, while the Sender does not know which secret the Receiver has recovered.

All these forms were shown to be equivalent [10], [8], [15], and Kilian in [32] showed that the oblivious transfer is a complete primitive, in the sense that it can be used as a building block for any secure function evaluation (multi-party computation).

A variety of slightly different definitions and implementations can be found in the literature as well as papers addressing issues such as the relation of the oblivious transfer with other cryptographic primitives, the assumptions required to implement such a concept, reductions among "more complex" forms of oblivious transfer to "simpler ones", and applicative environments (e.g., [5], [17], [36], [38], [15], [10], [23], [19], [3], [21], [22], [14], [30], [39], and [28], just to name a few examples).

Our Contribution. In this paper we study unconditionally secure distributed oblivious transfer protocols, introduced in [37] in order to strengthen the security of protocols designed for electronic auctions [39]. We present an analysis and some new results: lower bounds on the resources required by an implementation such as randomness, memory storage, and communication complexity; some impossibility results for one-round protocols; two polynomial-based constructions implementing 1-out-of-n distributed oblivious transfer which generalize and strengthen the two constructions for 1-out-of-2 schemes given by Naor and Pinkas; as well as new one-round and two-round distributed oblivious

transfer protocols, both for threshold and general access structures on the set of Servers, which are optimal with respect to some of the given bounds. Most of these constructions are basically combinatorial in nature.

# Related Work

In the literature there are many papers that address problems related to 1-out-of-n distributed oblivious transfer. In [1], for example, the authors show how to distribute a function between several Servers, in such a way that a user can compute the function by interacting with the Servers; the Servers cannot find out which value of the function the user computes, but the user can compute the function in more than one point. Another very close area is represented by PIR (Private Information Retrieval) schemes, introduced in [12]. A PIR scheme enables a user to retrieve an item of information from a public accessible database in such a way that the database manager cannot figure out from the query which item the user is interested in. However, the user can get information about more than one item. On the other hand, in SPIR (Symmetric Private Information Retrieval) schemes [26], the user can get information about one and only one item, i.e., even the privacy of the database is considered. In PIR and SPIR schemes the emphasis is placed on the *communication complexity* of the interaction of user and Servers. Notice that an SPIR scheme can be seen as a *communication-efficient* 1-out-of-*n* oblivious transfer scheme and the protocols given in [26] represent the first one-round distributed implementation of 1-out-of-n oblivious transfer. However, the main differences between the model we consider and (information-theoretic) SPIR schemes are that in SPIR schemes the Receiver communicates with k out of k Servers in order to retrieve an item while in our setting the Receiver can choose k out of m Servers, where k < m. Moreover, in SPIR schemes the security of the Sender against *coalitions* of Receiver and Servers is not of concern. Other PIR papers of interest, for the distributed oblivious transfer scenario we consider, are [2], [27], and [17].

Rivest's model in [42], where a trusted initializer participates *only* during the set-up phase of the system (see also [7]), provides a very close setting to the one described in [37] and considered in this paper. A paper which deals with distributed oblivious transfer implementations, close to the setting introduced in [37] (but not unconditionally secure), is [46]. Finally, unconditionally secure distributed oblivious transfer schemes for general access structures have also been studied in [40].

In our constructions we use secret sharing schemes. Secret sharing schemes were introduced in 1979 by Blakley [4] and Shamir [43], and have been extensively studied during the past years. The reader can find an introduction in [44] and references to the literature in [45].

# 2. The Distributed Model

Let us define the model we are going to consider. We assume that the Sender holds n secrets and the Receiver is interested in one of them. Hence, we are concerned with a 1-out-of-n distributed oblivious transfer.

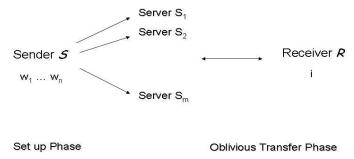


Fig. 1. Distributed oblivious transfer.

# 2.1. An Informal Description

In the distributed setting, the Sender S does not directly interact with the Receiver R in order to carry out the oblivious transfer. Rather, he *delegates m* Servers to accomplish this task for him. More precisely, we consider the following scenario (see Fig. 1):

**Set-up Phase.** Let m and k be two integers such that  $1 < k \le m$ . Let  $S_1, \ldots, S_m$  be m Servers holding programs  $P_1, \ldots, P_m$ , respectively. The Sender S generates m data  $D_1, \ldots, D_m$ , and, for  $i = 1, \ldots, m$ , sends, in a secure way, the data  $D_i$  to Server  $S_i$ .

**Oblivious Transfer Phase.** The Receiver  $\mathcal{R}$  holds a program R which enables her to interact with a subset  $\{S_{i_1}, \ldots, S_{i_k}\}$  of the Servers at her choice. Using the knowledge acquired by exchanging messages with the Servers,  $\mathcal{R}$  recovers the secret in which she is interested, but receives no information on the other secrets. At the same time, no subset of k-1 Servers, gains any information about the secret she has recovered. More precisely, a distributed (k, m)-DOT- $\binom{n}{1}$  must guarantee:

- 1. Correctness. If the Receiver gets information from *k* out of the *m* Servers, she can compute the secret.
- 2. Receiver's privacy. No coalition of less than *k* Servers gains information about which secret the Receiver has recovered.
- 3. Sender's privacy with respect to k-1 Servers and the Receiver. A coalition of the Receiver with k-1 dishonest Servers does not get any information about the n secrets.
- 4. Sender's privacy with respect to a "greedy" Receiver. Given the transcript of the interaction with k Servers, the Receiver should gain information about at most a single secret, and no information about the others. This property should be satisfied even if the Receiver, once she has computed a secret, colludes with k-1 dishonest Servers.

 $<sup>^{1}</sup>$  Along the same line as [37], we assume the existence of an external mechanism which guarantees that the Receiver can contact no more than k Servers. This issue is independent of the distributed oblivious transfer scheme and, hence, it is not considered in this paper. The reader is referred to [37] for some techniques to solve the problem.

Notice that, in [37], properties 3 and 4 are only guaranteed with respect to a threshold t and a threshold  $\ell$ , respectively, which should be as close to k as possible.

#### 2.2. A Formal Model

*Notation.* Let  $W = W_1 \times \cdots \times W_n$  be the set of all possible sequences of n secrets, and let  $T = \{1, \dots, n\}$  be a set of n indices.

The Sender S holds a program S(w, r), which takes in input a sequence  $w \in W$  and a random string r, and outputs m data,  $D_1, \ldots, D_m$ . These data will be sent by S securely to the Servers  $S_1, \ldots, S_m$ , respectively.

The Servers  $S_1, \ldots, S_m$  hold programs  $P_1, \ldots, P_m$ , for interacting with the Receiver, which are run on  $D_1, \ldots, D_m$  and possibly random strings  $r_1, \ldots, r_m$ . However, to simplify the description, we assume that, for any  $i = 1, \ldots, m$ , the data  $D_i$  comprises also the random bits used by  $S_i$  in an execution of the program  $P_i$ .

The Receiver  $\mathcal{R}$  also holds a program,  $R(i, D_R)$ , for interacting with the Servers, which receives in input an index of a secret  $i \in T$  and a sequence  $D_R$  of random bits.

The m+1 programs  $P_1, \ldots, P_m$  and R, with the associated input data  $D_1, \ldots, D_m$ ,  $i, D_R$ , specify the computations to be performed to achieve (k, m)-DOT- $\binom{n}{1}$ .

In order to represent dishonest behaviors, where a coalition of at most k-1 Servers tries to figure out which secret  $\mathcal{R}$  has recovered from the transfer, we assume that dishonest Servers  $S_{j_1}, \ldots, S_{j_{k-1}}$  execute modified versions of the programs  $P_{j_1}, \ldots, P_{j_{k-1}}$ , denoted by  $\overline{P}_{j_1}, \ldots, \overline{P}_{j_{k-1}}$ . Similarly, a dishonest  $\mathcal{R}$ , who tries to gain some information about more than one secret, executes a modified version of the program R, denoted by  $\overline{R}$ .

We require our schemes to be secure against all possible *probabilistic* and *deterministic* adversarial programs. However, since we are analyzing *unconditionally secure* schemes, without loss of generality, we can assume that the modified programs  $\overline{P}_1, \ldots, \overline{P}_m$  and  $\overline{R}$  are *deterministic*. Indeed, let  $\overline{P}_j$  be a probabilistic program which uses  $\ell$  random bits. If a scheme is secure against  $2^\ell$  deterministic programs  $\overline{P}_j^1, \ldots, \overline{P}_j^{2^\ell}$ , where each of them is equal to  $\overline{P}_j$ , run by using one of the  $2^\ell$  possible random strings of  $\ell$  bits, then it is clearly secure against  $\overline{P}_j$ . Any execution of  $\overline{P}_j$  corresponds to an execution of one of the programs  $\overline{P}_j^1, \ldots, \overline{P}_j^{2^\ell}$ .

The programs held by the parties are publicly known. The data  $w, i, D_1, \ldots, D_m$ , and  $D_R$ , used by the programs, are private to the parties. They will be described by means of random variables, represented in bold face type, i.e.,  $\mathbf{W}, \mathbf{T}, \mathbf{D}_1, \ldots, \mathbf{D}_m$ , and  $\mathbf{D}_R$ . Moreover, for  $j=1,\ldots,m$ , we will use random variables  $\mathbf{C}_j$  to denote the transcript of the communication between  $\mathcal{R}$  and Server  $S_j$ , where both are honest,  $\overline{\mathbf{C}}_j$  to denote the transcript of the communication between  $\mathcal{R}$  and Server  $S_j$ , where one of them is running a modified program (but over the same data  $D_j, D_R$ , and i), and  $\mathbf{W}_i$  for the ith secret of the sequence held by the Sender. Finally, to simplify the discussion, if, for  $i=1,\ldots,n$ ,  $\mathbf{A}_i$  is a random variable, and  $X=\{j_1,\ldots,j_m\}\subseteq\{1,\ldots,n\}$  is a subset of indices such that  $j_1<\cdots< j_m$ , then  $\mathbf{A}_X$  will denote the sequence of random variables  $\mathbf{A}_{j_1},\ldots,\mathbf{A}_{j_m}$ .

*Receiver's Data.* In our model the Receiver holds a program  $R(i, D_R)$  where i represents the index of the secret she chooses, and  $D_R$  are truly random bits. No interaction is allowed between the Sender and the Receiver. However, we might generalize the model

and assume that, during the set-up phase, the Sender also sends data to the Receiver. Hence,  $D_R$  might represent data received by the Sender and truly random bits. The formal definitions we give in the following, model this more general setting, and all the properties and bounds we prove in Section 3 hold for this setting. On the other hand, the results we present in Section 4, by analyzing one-round schemes, do assume that  $D_R$  are truly random bits.

Independence of the Receiver's Choice and Communication in the Model. Notice that the choice of the Receiver is independent of the sequence of secrets w, the data  $D_1, \ldots, D_m$  and  $D_R$ . Moreover, we assume that, for  $i = 1, \ldots, n$ ,  $Pr(\mathbf{T} = i) > 0$ , i.e., any choice in  $\{1, \ldots, n\}$  is possible. Since we focus our attention on unconditionally secure distributed oblivious transfer protocols, we use the entropy function, which leads to a compact and concise description. The reader is referred to the Appendix for a short introduction to the entropy function and information theory. In terms of information theory the above assumption means that, for  $X = \{1, \ldots, m\}$ , it holds that

$$H(\mathbf{T} \mid \mathbf{W}, \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{T}). \tag{1}$$

Then, *once the Receiver has chosen* an index of a secret, the program of the Receiver and the programs of the Servers, the private data, and the random bits they use during the current execution of the programs, *completely determine* the transcript of the interaction Receiver–Servers. In other words, for any subset of indices  $X \subseteq \{1, \ldots, m\}$ , and for any  $i \in \{1, \ldots, n\}$ , it holds that

$$H(\mathbf{C}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = i) = 0. \tag{2}$$

Notice that the above condition is equivalent to

$$H(\mathbf{C}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = 0 \tag{3}$$

since  $H(\mathbf{C}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = \sum_{i=1}^n H(\mathbf{C}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = i) \cdot Pr(\mathbf{T} = i)$ , and  $Pr(\mathbf{T} = i) > 0$  for any i = 1, ..., n.

*Definitions: Correctness and Privacy.* By using the above notation, we define the conditions that a (k, m)-DOT- $\binom{n}{1}$  oblivious transfer protocol must satisfy.

**Definition 2.1.** The sequence of programs  $[S, P_1, ..., P_m, R]$  is *correct* for (k, m)-DOT- $\binom{n}{1}$  if, for any subset of k indices  $X \subseteq \{1, ..., m\}$ , and for any  $i \in \{1, ..., n\}$ , it holds that

$$H(\mathbf{W}_i \mid \mathbf{C}_X, \mathbf{D}_R, \mathbf{T} = i) = 0. \tag{4}$$

Notice that the above definition means that, after interacting with any k Servers, an honest Receiver always recovers the secret in which she is interested.

**Definition 2.2.** The sequence of programs  $[S, P_1, ..., P_m, R]$  is *private* for (k, m)-DOT- $\binom{n}{1}$  if

- Receiver's privacy: for any subset of k-1 indices  $X \subset \{1, ..., m\}$ , and for any sequence  $\overline{P}_X$ , it holds that

$$H(\mathbf{T} \mid \mathbf{D}_X, \overline{\mathbf{C}}_X) = H(\mathbf{T}). \tag{5}$$

- Sender's privacy with respect to k-1 Servers and the Receiver: for any subset of k-1 indices  $X \subset \{1, \ldots, m\}$ , it holds that

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W}). \tag{6}$$

- Sender's privacy with respect to a "greedy" Receiver: for any subset of k indices  $X \subseteq \{1, \ldots, m\}$ , for any  $i = 1, \ldots, n$ , for any possible  $D_R$ , and for any  $\overline{R}$ , there exists an index  $\tilde{i} = f(i, D_R, \overline{R})$  such that

(i) 
$$H(\mathbf{W} \mid \mathbf{T} = i, \mathbf{D}_R = D_R, \overline{\mathbf{C}}_X, \mathbf{W}_{\tilde{i}}) = H(\mathbf{W} \mid \mathbf{W}_{\tilde{i}}), \quad \text{if} \quad \tilde{i} \in \{1, \dots, n\},$$

(ii) 
$$H(\mathbf{W} \mid \mathbf{T} = i, \mathbf{D}_R = D_R, \overline{\mathbf{C}}_X) = H(\mathbf{W}),$$
 otherwise. (7)

We briefly describe the ideas the above definition captures.

Receiver's Privacy. Condition (5) of Definition 2.2 states that the index of the secret  $\mathcal{R}$  chooses is independent of  $D_X$  and  $\overline{C}_X$ , for any subset X of size k-1. Therefore, it ensures that a coalition of k-1 dishonest Servers, by using their own private data  $D_X$ , and the transcript  $\overline{C}_X$  of the communication with the Receiver, obtained by running a sequence of malicious programs  $\overline{P}_X$ , does not gain any information about  $\mathcal{R}$ 's choice.

Sender's Privacy with respect to k-1 Servers and the Receiver. Condition (6) of Definition 2.2 states that the sequence of secrets held by S is independent of  $D_X$ , for any subset X of size k-1 and  $D_R$ . Hence, it guarantees that a coalition of k-1 dishonest Servers, with the cooperation of a dishonest Receiver R, by using their own private data  $D_X$  and  $D_R$ , does not get any information about the sequence of secrets held by S. Notice that, in stating our property, we could have also considered the transcript  $C_X$  and the index i of an interaction between  $S_X$  and R. Indeed, they are parts of the "view" held by the coalition. Hence we could have required that

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{C}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{W}). \tag{8}$$

However, the above one is equivalent to condition (6). Indeed, due to the independence of **T** from **W**,  $\mathbf{D}_X$ , and  $\mathbf{D}_R$ , stated by (1), it follows that

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}). \tag{9}$$

The above equality holds because condition (1) and property (39) of the Appendix, imply

$$H(\mathbf{T}) = H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{W}) \le H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R) \le H(\mathbf{T}).$$

Therefore, from (38) of the Appendix, the mutual information  $I(\mathbf{W}, \mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R)$  is equal to

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) - H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R) - H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{W}) = 0.$$

Hence,  $H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T})$ . Moreover, as stated by condition (3), the transcript  $C_X$  is function of  $D_X$ ,  $D_R$  and T. Due to property (40), it holds that

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{W} \mid \mathbf{C}_X, \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}). \tag{10}$$

Therefore, we have preferred condition (6) to condition (8), since they are equivalent, and condition (6) is simpler than the latter.

Sender's Privacy with respect to a "Greedy" Receiver. Condition (7) of Definition 2.2 states that the amount of information about the sequence of secrets w, given the choice of the Receiver, her data set  $D_R$ , the transcript  $\overline{C}_X$  of the communication with a subset X of k Servers, and possibly one of the secrets  $W_{\tilde{i}}$ , is exactly  $H(\mathbf{W} \mid \mathbf{W}_{\tilde{i}})$ , i.e., the amount of information on  $\mathbf{W}$ , once the Receiver has obtained  $W_{\tilde{i}}$ . Such a condition guarantees that a dishonest  $\mathcal{R}$ , by interacting with a subset X of k Servers, infers at most one secret among the ones held by the Sender  $\mathcal{S}$ . From a technical point of view, we have used an index  $\tilde{i} = f(i, D_R, \overline{R})$  to represent the possibility that  $\mathcal{R}$  gets a different secret from the one she should get through the correct use of her program R. Indeed, any program R, attempting to get information about more than one secret, might get a certain secret  $W_{\tilde{i}}$ , different from  $W_i$ , the one she should get once i is fixed and R is executed. Notice that condition (7) is stated in two parts because it might also happen that the Receiver's program R does not try to get a whole secret by interacting with Servers  $S_X$ , but just partial information she might use in order to get partial knowledge about more secrets. We model this attack by an index  $\tilde{i}$  which does not belong to  $\{1, \ldots, n\}$ .

Condition (7) of Definition 2.2 can be strengthened by considering an attack performed by the Receiver, once she has already recovered a secret, with the cooperation of other k-1 Servers. In other words, she might try to get more information about the secrets, helped by k-1 dishonest Servers.

We will say that the sequence of programs  $[S, P_1, ..., P_m, R]$  defines a *strong* (k, m)-DOT- $\binom{n}{1}$  if a further security condition is satisfied. More precisely,

**Definition 2.3.** The sequence of programs  $[S, P_1, \ldots, P_m, R]$  defines a strong (k, m)-DOT- $\binom{n}{1}$  if it is correct and private and it holds that:

- Sender's privacy with respect to a "greedy" Receiver and k-1 Servers: for any subset of k-1 indices  $X \subset \{1, \ldots, m\}$ , for any subset of k indices  $Y \subseteq \{1, \ldots, m\}$ , for any  $i=1,\ldots,n$ , for any possible  $D_R$ , and for any  $\overline{R}$ , there exists an index  $\widetilde{i}=f(i,D_R,\overline{R})$  such that

(i) 
$$H(\mathbf{W} \mid \mathbf{T} = i, \mathbf{D}_R = D_R, \mathbf{D}_X, \overline{\mathbf{C}}_Y, \mathbf{W}_{\tilde{i}}) = H(\mathbf{W} \mid \mathbf{W}_{\tilde{i}}), \text{ if } \tilde{i} \in \{1, \dots, n\},$$

(ii) 
$$H(\mathbf{W} \mid \mathbf{T} = i, \mathbf{D}_R = D_R, \mathbf{D}_X, \overline{\mathbf{C}}_Y) = H(\mathbf{W}),$$
 otherwise. (11)

Condition (11) of Definition 2.3 states that the amount of information about the sequence of secrets w, given the choice of the Receiver, her data set  $D_R$ , the data  $D_X$  of k-1 dishonest Servers, the transcript  $\overline{C}_Y$  of the communication with a subset Y of k Servers, and possibly one of the secrets  $W_{\overline{i}}$ , is exactly  $H(\mathbf{W} \mid \mathbf{W}_{\overline{i}})$ , i.e., the amount of information on  $\mathbf{W}$ , once the Receiver has obtained  $W_{\overline{i}}$ . Hence, a dishonest  $\mathcal{R}$ , interacting with a subset Y of k Servers, can recover a secret. Then, even if she colludes with a subset X of k-1 dishonest Servers, by putting together the information they possess and the transcript of the previous interaction, the coalition does not get any information about other secrets.

Notice that in our model condition (11) implies condition (7). Later we will show that condition (11) cannot be achieved with *only one round of interaction*. In other words, a strong (k, m)-DOT- $\binom{n}{1}$  cannot be realized by means of a one-round protocol. On the other hand, with two rounds of interaction, this level of privacy can be obtained.

*Remark.* It is straightforward to see that conditions (5)–(7) and (11) hold even if the coalition of dishonest Servers has size less than k-1. Formally, such a property can be derived by applying conditions (5)–(7), (11) and property (39) of the Appendix.

#### 3. Lower Bounds

Using some information-theory tools, we prove bounds on the memory storage, on the communication complexity, and on the randomness needed by a correct and private DOT scheme.

The following simple lemma shows that given four random variables **A**, **B**, **C**, and **D**, if **B** is a function of **C** and **D**, then **B** and **D** give less information on **A** than **C** and **D**.

**Lemma 3.1.** Let  $\mathbf{A}$ ,  $\mathbf{B}$ ,  $\mathbf{C}$ , and  $\mathbf{D}$  be four random variables such that  $H(\mathbf{B} \mid \mathbf{C}, \mathbf{D}) = 0$ . Then  $H(\mathbf{A} \mid \mathbf{B}, \mathbf{D}) \geq H(\mathbf{A} \mid \mathbf{C}, \mathbf{D})$ .

**Proof.** We prove the lemma showing that

$$H(\mathbf{A} \mid \mathbf{B}, \mathbf{D}) \ge H(\mathbf{A} \mid \mathbf{B}, \mathbf{C}, \mathbf{D}) = H(\mathbf{A} \mid \mathbf{C}, \mathbf{D}).$$

Indeed, the left inequality follows from (39) of the Appendix. The equality on the right holds because, from (32) and (39) of the Appendix and the hypothesis,

$$0 \le H(\mathbf{B} \mid \mathbf{A}, \mathbf{C}, \mathbf{D}) \le H(\mathbf{B} \mid \mathbf{C}, \mathbf{D}) = 0.$$

Therefore, the mutual information  $I(\mathbf{A}; \mathbf{B} \mid \mathbf{C}, \mathbf{D})$ , from (38) of the Appendix, is equal to

$$H(\mathbf{A} \mid \mathbf{C}, \mathbf{D}) - H(\mathbf{A} \mid \mathbf{B}, \mathbf{C}, \mathbf{D}) = H(\mathbf{B} \mid \mathbf{C}, \mathbf{D}) - H(\mathbf{B} \mid \mathbf{A}, \mathbf{C}, \mathbf{D}) = 0.$$
 Hence,  $H(\mathbf{A} \mid \mathbf{B}, \mathbf{C}, \mathbf{D}) = H(\mathbf{A} \mid \mathbf{C}, \mathbf{D})$ .

Notice that condition (6) of Definition 2.2 implies that a coalition of k-1 Servers and the Receiver get no information about *any* single secret. We state the following:

**Lemma 3.2.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any set of k-1 indices  $X \subset \{1, \ldots, m\}$ , for any  $j \in \{1, \ldots, n\}$ , it holds that

$$H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W}_i). \tag{12}$$

**Proof.** Indeed, denoting by  $\mathbf{W} \setminus \mathbf{W}_j$  a random variable representing the sequence of all secrets in w but  $w_j$ , using condition (6), and properties (35) and (34) of the Appendix, it results that

$$H(\mathbf{W}) = H(\mathbf{W}_j, \mathbf{W} \backslash \mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R)$$

$$= H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R) + H(\mathbf{W} \backslash \mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{W}_j)$$

$$\leq H(\mathbf{W}_j) + H(\mathbf{W} \backslash \mathbf{W}_j \mid \mathbf{W}_i) = H(\mathbf{W}).$$

Hence, it must be  $H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W}_i)$ .

Due to the equivalence of condition (6) with condition (8), for any j = 1, ..., n, it also holds that

$$H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{C}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{W}_i). \tag{13}$$

The following lemma states that *any secret* of the sequence held by the Sender is independent from the index *i*. More precisely, we prove that, for any j = 1, ..., n,  $Pr(w_i | D_X, D_R) = Pr(w_i | D_X, D_R, i)$ , for any i = 1, ..., n.

**Lemma 3.3.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any subset  $X \subseteq \{1, \ldots, m\}$ , and for any  $j = 1, \ldots, n$ , it holds that

$$H(\mathbf{W}_{i} \mid \mathbf{D}_{X}, \mathbf{D}_{R}) = H(\mathbf{W}_{i} \mid \mathbf{D}_{X}, \mathbf{D}_{R}, \mathbf{T}). \tag{14}$$

**Proof.** Since  $\mathbf{W} = (\mathbf{W}_1, \dots, \mathbf{W}_n)$ , property (1) and properties (39) and (32) of the Appendix, imply that, for any  $j = 1, \dots, n$ ,

$$H(\mathbf{T}) = H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{W}) \le H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{W}_i) \le H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R) \le H(\mathbf{T}).$$

Therefore, from (38) of the Appendix, the mutual information  $I(\mathbf{W}_j, \mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R)$  is equal to

$$H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R) - H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R) - H(\mathbf{T} \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{W}_j) = 0.$$

Hence, 
$$H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}).$$

Using the above lemma we prove that the data held by any subset of k Servers and the information held by the Receiver are enough to recover all the secrets.

**Lemma 3.4.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any subset of k indices  $X \subseteq \{1, \ldots, m\}$ , it holds that

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) = 0.$$

**Proof.** From (35) and (39) of the Appendix, it holds that

$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) \leq \sum_{j=1}^n H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R).$$

Due to Lemma 3.3, for any j = 1, ..., n, it holds that

$$H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{D}_R) = H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = j).$$

It follows that

$$\sum_{i=1}^{n} H(\mathbf{W}_{j} \mid \mathbf{D}_{X}, \mathbf{D}_{R}) = \sum_{i=1}^{n} H(\mathbf{W}_{j} \mid \mathbf{D}_{X}, \mathbf{D}_{R}, \mathbf{T} = j).$$

Since condition (2) states that  $H(\mathbf{C}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = j) = 0$ , setting  $\mathbf{A} = \mathbf{W}_j$ ,  $\mathbf{B} = \mathbf{C}_X$ ,  $\mathbf{C} = \mathbf{D}_X$ , and  $\mathbf{D} = (\mathbf{D}_R, \mathbf{T} = j)$ , and applying Lemma 3.1, it holds that

$$H(\mathbf{W}_i \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = j) \le H(\mathbf{W}_i \mid \mathbf{C}_X, \mathbf{D}_R, \mathbf{T} = j).$$

The above inequality and Definition 2.1 imply that

$$\sum_{j=1}^{n} H(\mathbf{W}_j \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = j) \le \sum_{j=1}^{n} H(\mathbf{W}_j \mid \mathbf{C}_X, \mathbf{D}_R, \mathbf{T} = j) = 0.$$

Hence, 
$$H(\mathbf{W} \mid \mathbf{D}_X, \mathbf{D}_R) = 0$$
.

We prove that the amount of information  $D_j$ , held by Server  $S_j$ , given the information held by any other k-1 Servers and the information held by the Receiver, is greater than or equal to the amount of information contained in the whole sequence of the n secrets. This property is formally stated by the following:

**Lemma 3.5.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any subset of indices  $X \subset \{1, \ldots, m\}$ , where  $1 \le |X| \le k - 1$ , and for any index  $j \notin X$ , it holds that

$$H(\mathbf{D}_i \mid \mathbf{D}_X, \mathbf{D}_R) > H(\mathbf{W}).$$

**Proof.** Let  $Y \subset \{1, ..., m\}$ , such that |Y| = k - |X| - 1,  $j \notin Y$ , and  $X \cap Y = \emptyset$ . According to the Appendix, the mutual information  $I(\mathbf{W}; \mathbf{D}_j \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R)$  can be written as

$$H(\mathbf{W} \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_{R}) - H(\mathbf{W} \mid \mathbf{D}_{X \cup Y \cup \{j\}}, \mathbf{D}_{R})$$

$$= H(\mathbf{D}_{j} \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_{R}) - H(\mathbf{D}_{j} \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_{R}, \mathbf{W}).$$

From condition (6) of Definition 2.2, it follows that  $H(\mathbf{W} \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R) = H(\mathbf{W})$ . Then, from (32) of the Appendix, we get  $H(\mathbf{D}_j \mid \mathbf{D}_{X \cup Y}, \mathbf{W}, \mathbf{D}_R) \ge 0$ , and, from Lemma 3.4, we get  $H(\mathbf{W} \mid \mathbf{D}_{X \cup Y \cup \{j\}}, \mathbf{D}_R) = 0$ . Therefore,

$$H(\mathbf{D}_i \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R) > H(\mathbf{W}).$$

Applying property (39) of the Appendix, it holds that

$$H(\mathbf{D}_j \mid \mathbf{D}_X, \mathbf{D}_R) \ge H(\mathbf{D}_j \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R) \ge H(\mathbf{W}).$$

Using the above results, we establish a lower bound on the size of the data that each Server has to store to set up a correct and private (k, m)-DOT- $\binom{n}{1}$  scheme. More precisely, we show the following result:

**Theorem 3.6.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any subset  $X \subseteq \{1, \ldots, m\}$ , where  $1 \le |X| \le k$ , it holds that

$$H(\mathbf{D}_X) \geq |X| \cdot H(\mathbf{W}).$$

**Proof.** Applying (35) and (39) of the Appendix, and Lemma 3.5, it holds that

$$H(\mathbf{D}_X) \geq \sum_{\ell \in X} H(\mathbf{D}_{\ell} \mid \mathbf{D}_{X \setminus \{\ell\}}, \mathbf{D}_R)$$
  
 
$$\geq |X| \cdot H(\mathbf{W}).$$

The above theorem implies the following result:

• Server Memory Storage. Each Server  $S_j$  has to store at least  $H(\mathbf{W})$  bits, since  $H(\mathbf{D}_i) \geq H(\mathbf{W})$ .

When we want to set up a cryptographic protocol we need random bits. This resource is usually referred to as the *randomness*. A detailed analysis of the randomness in distribution protocols can be found in [6]. The randomness of a scheme can be measured in different ways. Knuth and Yao [33] proposed the following approach: Let Alg be an algorithm that generates the probability distribution  $P = \{p_1, \ldots, p_n\}$ , using only independent and unbiased random bits. Denote by T(Alg) the average number of random bits used by Alg and let  $T(\mathbf{P}) = \min_{Alg} T(Alg)$ . The value  $T(\mathbf{P})$  is a measure of the average number of random bits needed to simulate the random source described by the probability distribution P. In [33] the following result was shown:

**Theorem 3.7.** 
$$H(P) \le T(P) < H(P) + 2$$
.

Thus, the entropy of a random source is very close to the average number of unbiased random bits necessary to simulate the source. Hence, it is a natural measure of the randomness of a scheme. It is easy to see that the randomness needed to set up the m Servers can be lower bounded by  $H(\mathbf{D}_1, \ldots, \mathbf{D}_m)$ .

Theorem 3.6 also implies a lower bound on the randomness needed to set up a (k, m)-DOT- $\binom{n}{1}$  scheme. More precisely:

• **Randomness.** In order to set up the scheme, the Sender needs at least  $kH(\mathbf{W})$  random bits, since if |X| = k, then  $H(\mathbf{D}_1, \dots, \mathbf{D}_m) \ge H(\mathbf{D}_X) \ge kH(\mathbf{W})$ .

Notice that Theorem 3.6 holds only for subsets X such that  $1 \le |X| \le k$ . For any X of size  $|X| \ge k$ , the bound stays the same (i.e.,  $H(\mathbf{D}_X) \ge kH(\mathbf{W})$ ).

The following lemma enables us to establish a lower bound on the complexity of each interaction Receiver–Servers.

**Lemma 3.8.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any subset of indices  $X \subset \{1, \ldots, m\}$ , where  $1 \leq |X| \leq k-1$ , for any index  $j \notin X$ , and for any  $i = 1, \ldots, n$ , it holds that

$$H(\mathbf{C}_i \mid \mathbf{C}_X, \mathbf{D}_R, \mathbf{T} = i) \geq H(\mathbf{W}_i).$$

**Proof.** Let  $Y \subset \{1, ..., m\}$ , such that |Y| = k - |X| - 1,  $j \notin Y$ , and  $X \cap Y = \emptyset$ . The mutual information  $I(\mathbf{W}_i; \mathbf{C}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i)$  can be written either as

$$H(\mathbf{W}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) - H(\mathbf{W}_i \mid \mathbf{C}_{X \cup Y \cup \{i\}}, \mathbf{D}_R, \mathbf{T} = i)$$

or as

$$H(\mathbf{C}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) - H(\mathbf{C}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i, \mathbf{W}_i).$$

Since from (32) of the Appendix, we get that  $H(\mathbf{C}_j \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i, \mathbf{W}_i) \geq 0$ , it holds that

$$H(\mathbf{C}_{j} \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_{R}, \mathbf{T} = i) \geq H(\mathbf{W}_{i} \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_{R}, \mathbf{T} = i)$$
$$-H(\mathbf{W}_{i} \mid \mathbf{C}_{X \cup Y \cup \{i\}}, \mathbf{D}_{R}, \mathbf{T} = i). \tag{15}$$

Setting  $\mathbf{A} = \mathbf{W}_i$ ,  $\mathbf{B} = \mathbf{C}_{X \cup Y}$ ,  $\mathbf{C} = \mathbf{D}_{X \cup Y}$ , and  $\mathbf{D} = (\mathbf{D}_R, \mathbf{T} = i)$ , due to condition (2) and Lemma 3.1, it follows that

$$H(\mathbf{W}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) \ge H(\mathbf{W}_i \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i).$$

Moreover, due to Lemmas 3.3 and 3.2,

$$H(\mathbf{W}_i \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) = H(\mathbf{W}_i \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{W}_i \mid \mathbf{D}_{X \cup Y}, \mathbf{D}_R) = H(\mathbf{W}_i).$$

Hence,  $H(\mathbf{W}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) \ge H(\mathbf{W}_i)$ . Then, from Definition 2.1, we get that  $H(\mathbf{W}_i \mid \mathbf{C}_{X \cup Y \cup \{j\}}, \mathbf{D}_R, \mathbf{T} = i) = 0$ . Therefore, substituting the above inequality and equality in (15), it holds that

$$H(\mathbf{C}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) \ge H(\mathbf{W}_i).$$

The result follows observing that (39) of the Appendix implies

$$H(\mathbf{C}_i \mid \mathbf{C}_X, \mathbf{D}_R, \mathbf{T} = i) \ge H(\mathbf{C}_i \mid \mathbf{C}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i).$$

Notice that, as stated by condition (2), for any index  $i \in \{1, ..., n\}$  and for any  $X \subseteq \{1, ..., n\}$ , it holds that  $H(\mathbf{C}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T} = i) = 0$ . Hence, the transcript is uniquely determined, i.e., there exists a function f such that  $C_X = f(D_X, D_R, i)$ . We could have stressed such a dependence by using a notation for the transcript like  $C_{(X,D_R,i)}$ . However, for any subset X of size at most k-1, it holds that  $H(\mathbf{C}_X \mid \mathbf{T}) = H(\mathbf{C}_X)$ . Indeed, due to property (39) of the Appendix and condition (5) of Definition 2.2, used in the special

case in which  $\overline{P}_X = P_X$ , it holds that  $H(\mathbf{T}) \ge H(\mathbf{T} \mid \mathbf{C}_X) \ge H(\mathbf{T} \mid \mathbf{C}_X, \mathbf{D}_X) = H(\mathbf{T})$ . Hence, from property (33) of the Appendix, we get that

$$I(\mathbf{C}_X; \mathbf{T}) = H(\mathbf{C}_X) - H(\mathbf{C}_X \mid \mathbf{T}) = H(\mathbf{T}) - H(\mathbf{T} \mid \mathbf{C}_X) = 0.$$

Therefore,

$$H(\mathbf{C}_X \mid \mathbf{T}) = H(\mathbf{C}_X),\tag{16}$$

which means that any interaction  $C_X$  of the Receiver with any k-1 Servers could have been generated by any choice of a value  $i \in T$ .

Using the above lemma, we state the following theorem.

**Theorem 3.9.** In any correct and private (k, m)-DOT- $\binom{n}{1}$  scheme, for any  $X \subseteq \{1, \ldots, m\}$ , where  $1 \le |X| \le k$ , and for any  $i = 1, \ldots, n$ , it holds that

$$H(\mathbf{C}_X \mid \mathbf{T} = i) \ge |X| \cdot H(\mathbf{W}_i).$$

**Proof.** From (39) and (35) of the Appendix, and Lemma 3.8, it holds that

$$H(\mathbf{C}_X \mid \mathbf{T} = i) \geq H(\mathbf{C}_X \mid \mathbf{D}_R, \mathbf{T} = i)$$

$$\geq \sum_{\ell \in X} H(\mathbf{C}_\ell \mid \mathbf{C}_{X \setminus \{\ell\}}, \mathbf{D}_R, \mathbf{T} = i)$$

$$\geq |X| \cdot H(\mathbf{W}_i).$$

Since condition (16) states that the transcript  $C_X$ , as long as  $1 \le |X| \le k - 1$ , is independent of i, the above bound, can be strengthened. Indeed, for any X such that  $1 \le |X| \le k - 1$ , it results that  $H(\mathbf{C}_X) \ge |X| \cdot \max_i \{H(\mathbf{W}_i)\}$ .

The above theorem implies the following results:

- Interaction Receiver–Server. The Receiver and a Server need to exchange at least  $H(\mathbf{W}_i)$  bits, since  $H(\mathbf{C}_i) \ge H(\mathbf{W}_i)$ .
- Interaction Receiver–Servers. The Receiver and Servers  $S_X$ , where |X| = k, need to exchange at least  $k \cdot H(\mathbf{W}_i)$  bits, since  $H(\mathbf{C}_X \mid \mathbf{T} = i) \ge k \cdot H(\mathbf{W}_i)$ .

Tightness of the Bounds. The lower bound on the randomness needed to set up a (k, m)-DOT- $\binom{n}{1}$ , derived from Theorem 3.6, that is  $H(\mathbf{D}_1, \ldots, \mathbf{D}_m) \ge kH(\mathbf{W})$ , is tight since the protocol we give in Fig. 4 meets the bound by equality.

Distributed Oblivious Transfer (k, m)-DOT- $\binom{n}{r}$ , an extended version of (k, m)-DOT- $\binom{n}{1}$ , which we denote by (k, m)-DOT- $\binom{n}{r}$ , enables the Receiver to recover, by interacting with a subset of k Servers at his own choosing, r secrets instead of a single one. Such a protocol can be defined by means of Definitions 2.1–2.3 as well, by introducing a minor modification: Instead of a single index, the Receiver holds an r-tuple of indices, say  $i = (i_1, \ldots, i_r)$ . Therefore,  $\mathbf{T}$  is a random variable taking values over  $T^r$ , and  $\mathbf{W}_i$  is an r-tuple of random variables, representing an r-tuple of secrets the Receiver can recover. Therefore, the analysis we have done also holds for such an extension of the model, i.e., Theorems 3.6 and 3.9 apply.

# 4. Properties and Bounds for One-Round DOT

As we were claiming before, we show that with a one-round protocol a strong (k, m)-DOT- $\binom{n}{1}$  cannot be realized. First, notice that if the protocol is one-round, then the interaction between the Receiver and Server  $S_j$  is given by a query  $Q_j$ , sent by the Receiver, and an answer  $A_j$ , sent by  $S_j$ . Hence, for any  $X \subseteq \{1, \ldots, m\}$ , the transcript  $C_X = (Q_X, A_X)$ . Therefore, condition (3) becomes: for any subset  $X \subseteq \{1, \ldots, m\}$ , and for any  $i = 1, \ldots, n$ , it holds that

$$H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T} = i) = 0$$
 and  $H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_X) = 0.$  (17)

Moreover, Definition 2.1 can be restated as follows:

**Definition 4.1.** The sequence of programs  $[S, P_1, \ldots, P_m, R]$  is *correct* for one-round (k, m)-DOT- $\binom{n}{1}$  if, for any subset of k indices  $X \subseteq \{1, \ldots, m\}$ , and for any  $i = 1, \ldots, n$ , it holds that

$$H(\mathbf{W}_i \mid \mathbf{Q}_X, \mathbf{A}_X, \mathbf{D}_R, \mathbf{T} = i) = 0.$$
(18)

Definition 2.2 can be restated along the same lines. For one-round schemes we prove that a single Server can help the Receiver to recover all the secrets, once the Receiver has legally retrieved the secret of her choice. The idea underlying the proof is the following: Because of condition (13), a set of k-1 query—answer pairs, and the information held by the Receiver, do not give any information about the secret the Receiver is trying to recover. This property, along with Definition 4.1, implies that, given a sequence of k-1 pairs, the kth pair query—answer enables the recovery of any secret (otherwise, the sequence of k-1 query—answer pairs would leak partial information, i.e., that some secret cannot be reconstructed). Therefore, if the Receiver, after having legally recovered one secret, colludes with a single Server, using a subset of k-1 query-answer pairs from the transcript of the previous interaction, and a kth pair, opportunely constructed with the help of the dishonest Server, she can recover any other secret.

We assume that  $D_R$ , the bits used by the Receiver in an execution of her own program R, are truly random bits. However, the properties and results we prove hold even if a weaker assumption is satisfied: it is sufficient that the data  $D_X$  held by a set of servers  $S_X$  and  $D_R$  are statistically independent.

# 4.1. Properties of One-Round Schemes

We show some properties which are used in our proofs. We start by proving that the data  $D_X$ , held by a set of Servers  $S_X$ , are independent from  $D_R$ , i, and the corresponding queries  $Q_X$  generated by the Receiver.

**Lemma 4.2.** In any one-round (k, m)-DOT- $\binom{n}{1}$ , for any  $X \subseteq \{1, \ldots, m\}$ , it holds that

$$H(\mathbf{D}_X) = H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}). \tag{19}$$

**Proof.** Due to property (38) of the Appendix, the mutual information  $I(\mathbf{Q}_X; \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T})$  is equal to

$$H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}) - H(\mathbf{Q}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}) - H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}).$$

Condition (17) and property (39) of the Appendix imply

$$0 = H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}) \ge H(\mathbf{Q}_X \mid \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}) \ge 0.$$

Hence, it follows that  $H(\mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}) = H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T})$ . Moreover,  $D_R$  are truly random bits, and the index i, chosen by the Receiver, due to condition (1), is independent of  $D_X$  and  $D_R$ . Therefore,  $H(\mathbf{D}_X) = H(\mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T})$ .

Notice that if  $D_R$  are not truly random bits, the above lemma may not be true: the data  $D_X$  the Sender sends to Servers  $S_X$  and the data  $D_R$ , sent to the Receiver, might be related. Hence, in general  $H(\mathbf{D}_X) \ge H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T})$ . The protocol presented in Section 7 is an example of such a case.

Using techniques similar to the ones employed in proving the above lemma, we show that the answers generated by Servers  $S_X$  depend only on  $Q_X$  but not on  $D_R$  and i. More precisely:

**Lemma 4.3.** In any one-round (k, m)-DOT- $\binom{n}{1}$ , for any  $X \subseteq \{1, \ldots, m\}$ , it holds that

$$H(\mathbf{A}_X \mid \mathbf{Q}_X) = H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}). \tag{20}$$

**Proof.** Due to property (38) of the Appendix, the mutual information  $I(\mathbf{A}_X \mathbf{D}_X \mid \mathbf{Q}_X)$  is equal to

$$H(\mathbf{A}_X \mid \mathbf{Q}_X) - H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_X) = H(\mathbf{D}_X \mid \mathbf{Q}_X) - H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{A}_X).$$

Since, from condition (17), it holds that  $H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_X) = 0$ , and Lemma 4.2 implies that  $H(\mathbf{D}_X \mid \mathbf{Q}_X) = H(\mathbf{D}_X)$ , it follows that

$$H(\mathbf{A}_X \mid \mathbf{Q}_X) = H(\mathbf{D}_X) - H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{A}_X). \tag{21}$$

On the other hand, due to property (38) of the Appendix, the mutual information  $I(\mathbf{A}_X; \mathbf{Q}_X \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T})$  is equal to

$$H(\mathbf{A}_X \mid \mathbf{D}_R, \mathbf{T}) - H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_X, \mathbf{D}_R, \mathbf{T})$$

$$= H(\mathbf{Q}_X, \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}) - H(\mathbf{Q}_X, \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X).$$

Hence, it follows that  $H(\mathbf{A}_X \mid \mathbf{D}_R, \mathbf{T})$  is equal to

$$H(\mathbf{Q}_X, \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}) - H(\mathbf{Q}_X, \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X) + H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_X, \mathbf{D}_R, \mathbf{T}).$$
 (22)

Then, property (35) of the Appendix implies that

$$H(\mathbf{Q}_X, \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}) = H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}) + H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T})$$

and

$$H(\mathbf{Q}_X, \mathbf{D}_X \mid \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X) = H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X) + H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X).$$

Therefore, using condition (17) and Lemma 4.2, from (22) it follows that

$$H(\mathbf{A}_X \mid \mathbf{D}_R, \mathbf{T}) = H(\mathbf{D}_X) - H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X).$$

Moreover, since  $H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}) = 0$ , due to property (40) of the Appendix, it follows that  $H(\mathbf{A}_X \mid \mathbf{D}_R, \mathbf{T}) = H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T})$ . Hence,

$$H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}) = H(\mathbf{D}_X) - H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X). \tag{23}$$

At this point notice that property (39) of the Appendix implies  $H(\mathbf{A}_X \mid \mathbf{Q}_X) \ge H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T})$ . Hence, from (21) and (23), it must be

$$H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{A}_X) \leq H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X).$$

Therefore, from property (39), we get that  $H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{A}_X) = H(\mathbf{D}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}, \mathbf{A}_X)$ , and it follows that

$$H(\mathbf{A}_X \mid \mathbf{Q}_X) = H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T}).$$

Hence, let  $X \subset \{1, ..., m\}$  be a subset of size k - 1. Lemma 4.3 implies that the answers  $A_X$  depend only on  $Q_X$ . Moreover, equality (16) implies that

$$H(\mathbf{Q}_X \mid \mathbf{T}) = H(\mathbf{Q}_X). \tag{24}$$

Therefore, because of Lemma 4.3 and equality (24), any sequence  $(A_X, Q_X)$ , obtained by interacting with the Servers in X holding data  $D_X$ , can be generated *for any* index i, by choosing a corresponding appropriate string  $D_R$ .

Using the above results, we show the following:

**Theorem 4.4.** In any one-round protocol for (k, m)-DOT- $\binom{n}{1}$ , for any subset of k-1 indices  $X \subset \{1, \ldots, m\}$ , for any sequence of possible queries  $Q_X$  and corresponding answers  $A_X$ , and for any  $j \notin X$ , an adversary, given only  $D_j$  and  $(Q_X, A_X)$ , can compute all the secrets.

**Proof.** For any  $\ell = 1, ..., n$ , an adversary can retrieve secret  $w_{\ell}$  in three steps as follows:

- Computation of the queries. He computes a string  $D_R$  and a query  $Q'_j$  such that the k queries  $Q'_{X \cup \{j\}}$ , which could be generated by the Receiver by using  $D_R$  and  $\ell$  as inputs to her program R, satisfy the condition  $Q'_X = Q_X$ . Due to property (24) a string  $D_R$  for which the above condition holds can always be found.
- Computation of the answers. Then he computes the answers  $A'_{X \cup \{j\}}$  to  $Q'_{X \cup \{j\}}$ . He does not need the data  $D_X$ , held by Servers  $S_X$ , to compute the answers to  $Q'_X = Q_X$  since  $A'_X = A_X$ . Indeed, due to Lemma 4.3, the answers do not depend directly on  $D_R$  and  $\ell$  but only on  $Q'_X$ . Moreover, due to condition (17), using  $D_j$ , he computes an answer  $A'_i$  to the kth query  $Q'_i$ .

• Computation of the secret  $w_{\ell}$ . Finally, using  $A'_{X \cup \{j\}}$ ,  $Q'_{X \cup \{j\}}$ ,  $D_R$  and  $\ell$ , he computes the secret  $w_{\ell}$ . Indeed, from Definition 4.1 it follows that

$$H(\mathbf{W}_{\ell} \mid \mathbf{A}_{X \cup \{j\}} = A'_{X \cup \{j\}}, \mathbf{Q}_{X \cup \{j\}} = Q'_{X \cup \{j\}}, \mathbf{D}_{R} = D_{R}, \mathbf{T} = \ell) = 0.$$

A consequence of this impossibility result for one-round protocols is that the highest privacy level sought in [39] with this approach cannot be achieved.

*Remark.* It is possible to show that if conditions (5), (6), and (11) of Definitions 2.2 and 2.3 are weakened and we require that they must hold, in the threshold case, only against a coalition of Servers  $S_X$  such that  $|X| \le t$ , for t < k, then an adversary, for any subset of k - t Servers  $S_Y$  such that  $Y \cap X = \emptyset$ , given  $D_Y$  and  $(Q_X, A_X)$ , can compute all the secrets. This extension of Theorem 4.4 is quite straightforward.

In our model we have made no assumption on the probability distribution on the sequence of secrets w. Usually the secrets held by the Sender are independent. However, the results we have shown hold even if dependencies are present. With the following lemma, we show that as long as, for any i, j, where  $i \neq j$ ,  $H(\mathbf{W}_i \mid \mathbf{W}_j) > 0$ , a sequence of k queries determines  $\mathbf{T}$  uniquely. On the other hand, notice that if  $H(\mathbf{W}_i \mid \mathbf{W}_j) = 0$ , then  $w_i$  is a function of  $w_j$ . Hence, once  $w_j$  is known,  $w_i$  can be computed. In such a case the value of the index i is not uniquely determined by k queries. Actually, we can say more. If some secrets imply other secrets, then the Receiver, in order to retrieve secrets, can consider a smaller subset of indices, by taking into account all implications. This case has no interest in the traditional oblivious transfer context, since there is no way to avoid that a Receiver, once she has recovered  $w_j$ , using the a priori knowledge about the relations among the secrets, computes  $w_i$  also. Hence, we will not go further in our analysis along this line. In what follows we assume that for any i, j, where  $i \neq j$ , the entropy  $H(\mathbf{W}_i \mid \mathbf{W}_j) > 0$ , i.e., secrets might be related but implications are not present, and we say that the sequence of secrets is *implication-free*.

The idea behind the proof that as long as secrets are implication-free then a sequence of k queries determines **T** uniquely, is the following: if two indices, along with suitably chosen random strings, determine the same k-tuple of queries, since the answers depend only on the queries, the Receiver computes two different secrets. However, such a possibility is excluded by condition (7) of Definition 2.2.

**Lemma 4.5.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then, for any subset  $X \subseteq \{1, ..., m\}$  of k indices, it holds that

$$H(\mathbf{T} \mid \mathbf{Q}_X) = 0. \tag{25}$$

**Proof.** For any i = 1, ..., n, condition (17) states that  $H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T} = i) = 0$ . Hence, the sequence of queries  $Q_X$  is function only of  $D_R$  and i. Assume that there exist two possible pairs  $(D_{R_1}, i_1)$  and  $(D_{R_2}, i_2)$ , where  $i_1 \neq i_2$ , for which the Receiver's program produces as output queries  $Q_X$ . Then Lemma 4.3 and Definition 4.1 imply that

$$H(\mathbf{W}_{i_1} | \mathbf{A}_X, \mathbf{Q}_X = Q_X, \mathbf{D}_R = D_{R_1}, \mathbf{T} = i_1) = 0$$

and

$$H(\mathbf{W}_{i_2} \mid \mathbf{A}_X, \mathbf{Q}_X = Q_X, \mathbf{D}_R = D_{R_2}, \mathbf{T} = i_2) = 0.$$

Hence, due to Lemma 3.1, setting  $\mathbf{A} = \mathbf{W}$ ,  $\mathbf{B} = \mathbf{W}_{i_2}$ ,  $\mathbf{C} = (\mathbf{A}_X, \mathbf{Q}_X = Q_X, \mathbf{D}_R = D_R, \mathbf{T} = i_2)$ , and  $\mathbf{D} = \emptyset$ , it holds that

$$H(\mathbf{W} \mid \mathbf{T} = i_2, \mathbf{D}_R = D_{R_2}, \mathbf{A}_X, \mathbf{Q}_X = Q_X, \mathbf{W}_{i_1}) \le H(\mathbf{W} \mid \mathbf{W}_{i_2}, \mathbf{W}_{i_1}).$$
 (26)

Due to condition (7) of Definition 2.2, it holds that

$$H(\mathbf{W} \mid \mathbf{T} = i_2, \mathbf{D}_R = D_{R_2}, \mathbf{A}_X, \mathbf{Q}_X = Q_X, \mathbf{W}_{i_1}) = H(\mathbf{W} \mid \mathbf{W}_{i_1}).$$
 (27)

Indeed, the equality follows by considering an adversary who uses a program  $\overline{R}$  defined as follows: on input  $i_2$  and  $D_{R_2}$ , the program  $\overline{R}$  ignores  $i_2$  and behaves honestly in order to retrieve  $w_{i_1}$ , using  $D_{R_2}$  as a random string. Hence,  $\tilde{i} = f(i_2, D_{R_2}, \overline{R}) = i_1$ . Therefore, from inequality (26) and equality (27), it follows that

$$H(\mathbf{W} \mid \mathbf{W}_{i_1}) \le H(\mathbf{W} \mid \mathbf{W}_{i_1}, \mathbf{W}_{i_2}). \tag{28}$$

Moreover, property (34) of the Appendix implies that

$$H(\mathbf{W} \mid \mathbf{W}_{i_1}) = H(\mathbf{W}_{i_2} \mid \mathbf{W}_{i_1}) + H(\mathbf{W} \setminus \mathbf{W}_{i_2} \mid \mathbf{W}_{i_1}, \mathbf{W}_{i_2})$$
  
=  $H(\mathbf{W}_{i_2} \mid \mathbf{W}_{i_1}) + H(\mathbf{W} \mid \mathbf{W}_{i_1}, \mathbf{W}_{i_2}).$ 

Hence, inequality (28) holds only if  $H(\mathbf{W}_{i_2} \mid \mathbf{W}_{i_1}) = 0$ . However, since the sequence of secrets is implication-free, this is clearly a contradiction. Therefore, a sequence of k queries uniquely determines the index of the secret. Hence,  $H(\mathbf{T} \mid \mathbf{Q}_X) = 0$ .

# 4.2. Bounds for One-Round Schemes

We show some bounds on the size of the queries, on the size of the answers, and on the randomness the Receiver needs to construct the queries for the Servers.

The first lemma shows that a query, given any sequence of at most k-1 other queries, can still determine any index.

**Lemma 4.6.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then for any subset of indices  $X \subset \{1, \ldots, m\}$ , where  $1 \le |X| \le k - 1$ , and for any  $j \notin X$ , it holds that

$$H(\mathbf{Q}_i \mid \mathbf{Q}_X) \geq H(\mathbf{T}).$$

**Proof.** Let  $Y \subset \{1, ..., m\}$ , such that |Y| = k - |X| - 1,  $j \notin Y$ , and  $X \cap Y = \emptyset$ . Notice that, due to property (38) of the Appendix,  $I(\mathbf{Q}_j; \mathbf{T} \mid \mathbf{Q}_{X \cup Y})$  is equal to

$$H(\mathbf{Q}_i \mid \mathbf{Q}_{X \cup Y}) - H(\mathbf{Q}_i \mid \mathbf{Q}_{X \cup Y}, \mathbf{T}) = H(\mathbf{T} \mid \mathbf{Q}_{X \cup Y}) - H(\mathbf{T} \mid \mathbf{Q}_{X \cup Y}, \mathbf{Q}_i).$$

Hence,

$$H(\mathbf{Q}_i \mid \mathbf{Q}_{X \cup Y}) = H(\mathbf{T} \mid \mathbf{Q}_{X \cup Y}) - H(\mathbf{T} \mid \mathbf{Q}_{X \cup Y}, \mathbf{Q}_i) + H(\mathbf{Q}_i \mid \mathbf{Q}_{X \cup Y}, \mathbf{T}).$$

Since condition (24) states that  $H(\mathbf{T} \mid \mathbf{Q}_{X \cup Y}) = H(\mathbf{T})$ , Lemma 4.5 proves that  $H(\mathbf{T} \mid \mathbf{Q}_{X \cup Y}, \mathbf{Q}_j) = 0$ , and property (32) establishes that  $H(\mathbf{Q}_j \mid \mathbf{Q}_{X \cup Y}, \mathbf{T}) \geq 0$ , applying property (39), it follows that

$$H(\mathbf{Q}_i \mid \mathbf{Q}_X) \ge H(\mathbf{Q}_i \mid \mathbf{Q}_{X \cup Y}) \ge H(\mathbf{T}).$$

Using the above lemma, we show a lower bound on the size of k queries in terms of the uncertainty about T.

**Theorem 4.7.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then for any subset  $X \subseteq \{1, \ldots, m\}$ , where  $1 \le |X| \le k$ , it results that

$$H(\mathbf{Q}_X) \geq |X| \cdot H(\mathbf{T}).$$

**Proof.** Notice that

$$H(\mathbf{Q}_X) \ge \sum_{j \in X} H(\mathbf{Q}_j \mid \mathbf{Q}_{X \setminus \{j\}})$$
 (due to properties (35) and (34))  
  $\ge |X| \cdot H(\mathbf{T})$  (due to Lemma 4.6).

The following lemma shows that the amount of information provided by any answer sent by a Server, given any other k-1 answers, queries, random bits used by the Receiver and the chosen index, is greater than  $H(\mathbf{W}_i)$ .

**Lemma 4.8.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then for any subset  $X \subset \{1, ..., m\}$ , where  $1 \le |X| \le k - 1$ , for any  $j \notin X$ , and for any i = 1, ..., n, it holds that

$$H(\mathbf{A}_i \mid \mathbf{A}_X, \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T} = i) \geq H(\mathbf{W}_i).$$

**Proof.** Let  $Y \subset \{1, ..., m\}$ , such that |Y| = k - |X| - 1,  $j \notin Y$ , and  $X \cap Y = \emptyset$ . We denote  $\mathbf{V} = (\mathbf{Q}_{X \cup Y \cup \{j\}}, \mathbf{D}_R, \mathbf{T} = i)$ . From property (38) of the Appendix, the mutual information  $I(\mathbf{A}_j; \mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{V})$  can be written as

$$H(\mathbf{A}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{V}) - H(\mathbf{A}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{W}_i, \mathbf{V})$$

or as

$$H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{V}) - H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{A}_i, \mathbf{V}).$$

Hence,  $H(\mathbf{A}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{V})$  is equal to

$$H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{V}) - H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{A}_i, \mathbf{V}) + H(\mathbf{A}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{W}_i, \mathbf{V}).$$

Due to Definition 4.1,  $H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{A}_j, \mathbf{V}) = 0$  and, because of property (32),  $H(\mathbf{A}_j \mid \mathbf{A}_{X \cup Y}, \mathbf{W}_i, \mathbf{V}) \ge 0$ . Moreover, since  $H(\mathbf{Q}_j \mid \mathbf{D}_R, \mathbf{T} = i) = 0$ , applying condition (13), it follows that

$$H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{V}) = H(\mathbf{W}_i \mid \mathbf{A}_{X \cup Y}, \mathbf{Q}_{X \cup Y}, \mathbf{D}_R, \mathbf{T} = i) > H(\mathbf{W}_i).$$

Therefore, applying property (39), we get

$$H(\mathbf{A}_j \mid \mathbf{A}_X, \mathbf{Q}_X, \mathbf{D}_R, \mathbf{T} = i) \ge H(\mathbf{A}_j \mid \mathbf{A}_{X \cup Y}, \mathbf{V}) \ge H(\mathbf{W}_i).$$

Using the above lemma we show a lower bound on the size of the answers sent by a subset  $S_X$  of Servers.

**Theorem 4.9.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then for any subset  $X \subseteq \{1, \ldots, m\}$ , where  $1 \le |X| \le k$ , and for any  $i = 1, \ldots, n$ , it holds that

$$H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{T} = i) \ge |X| \cdot H(\mathbf{W}_i).$$

**Proof.** Notice that

$$H(\mathbf{A}_{X} \mid \mathbf{Q}_{X}, \mathbf{T} = i) \geq \sum_{j \in X} H(\mathbf{A}_{j} \mid \mathbf{Q}_{X}, \mathbf{A}_{X \setminus \{j\}}, \mathbf{T} = i)$$

$$(\text{due to properties (35) and (34)})$$

$$\geq \sum_{j \in X} H(\mathbf{A}_{j} \mid \mathbf{Q}_{X}, \mathbf{A}_{X \setminus \{j\}}, \mathbf{D}_{R} = D_{R}, \mathbf{T} = i)$$

$$(\text{due to property (34)})$$

$$= \sum_{j \in X} H(\mathbf{A}_{j} \mid \mathbf{Q}_{X \setminus \{j\}}, \mathbf{A}_{X \setminus \{j\}}, \mathbf{D}_{R} = D_{R}, \mathbf{T} = i)$$

$$(\text{since } H(\mathbf{Q}_{j} \mid \mathbf{D}_{R} = D_{R}, \mathbf{T} = i) = 0)$$

$$\geq |X| \cdot H(\mathbf{W}_{i}) \quad \text{(due to Lemma 4.8)}.$$

Using the above results, we show a lower bound on the size of both answers and queries. More precisely, we prove that:

**Theorem 4.10.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then for any subset  $X \subseteq \{1, \ldots, m\}$ , where  $1 \le |X| \le k - 1$ , and for any  $i = 1, \ldots, n$ , it holds that

$$H(\mathbf{A}_X, \mathbf{Q}_X \mid \mathbf{T} = i) \ge |X| \cdot (H(\mathbf{T}) + H(\mathbf{W}_i)).$$

Proof. Notice that,

$$H(\mathbf{A}_X, \mathbf{Q}_X \mid \mathbf{T} = i)$$

$$= H(\mathbf{Q}_X \mid \mathbf{T} = i) + H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{T} = i) \qquad \text{(due to property (34))}$$

$$= H(\mathbf{Q}_X) + H(\mathbf{A}_X \mid \mathbf{Q}_X, \mathbf{T} = i) \qquad \text{(due to condition (24))}$$

$$\geq |X| \cdot H(\mathbf{T}) + |X| \cdot H(\mathbf{W}_i) \qquad \text{(due to Theorem 4.7}$$

$$= |X| \cdot (H(\mathbf{T}) + H(\mathbf{W}_i)).$$

Notice that, as we have already argued before, condition (16) implies that, as long as  $1 \le |X| \le k - 1$ , any pair  $(A_X, Q_X)$  is independent of i. Hence, the above bound can be strengthened. More precisely, for any X such that  $1 \le |X| \le k - 1$ , it follows that

$$H(\mathbf{A}_X, \mathbf{Q}_X) \ge |X| \cdot (H(\mathbf{T}) + \max_i \{H(\mathbf{W}_i)\}).$$

Moreover, if |X| = k, along the same lines of the previous proof, it is easy to show that, for any i = 1, ..., n,

$$H(\mathbf{A}_X, \mathbf{Q}_X \mid \mathbf{T} = i) \ge kH(\mathbf{W}_i) + (k-1) \cdot H(\mathbf{T}).$$

Notice that Theorem 4.10 improves the lower bound given by Theorem 3.9 for general DOT schemes.

Finally, we show a lower bound on the randomness the Receiver needs to generate the queries in order to retrieve a certain secret.

**Theorem 4.11.** In any correct and private one-round (k, m)-DOT- $\binom{n}{1}$  scheme, if the sequence of secrets is implication-free, then it results that

$$H(\mathbf{D}_R) \ge (k-1)H(\mathbf{T}).$$

**Proof.** Let  $X = \{j_1, \dots, j_k\} \subseteq \{1, \dots, m\}$  be a subset of k indices. First notice that, from (38) of the Appendix,

$$I(\mathbf{D}_R; \mathbf{Q}_X \mid \mathbf{T}) = H(\mathbf{D}_R \mid \mathbf{T}) - H(\mathbf{D}_R \mid \mathbf{Q}_X, \mathbf{T})$$
  
=  $H(\mathbf{Q}_X \mid \mathbf{T}) - H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}).$ 

From condition (17), we get that  $H(\mathbf{Q}_X \mid \mathbf{D}_R, \mathbf{T}) = 0$ , and, from (32) of the Appendix, we get  $H(\mathbf{D}_R \mid \mathbf{Q}_X, \mathbf{T}) \geq 0$ . It follows that

$$H(\mathbf{D}_R \mid \mathbf{T}) \ge H(\mathbf{Q}_X \mid \mathbf{T}). \tag{29}$$

Moreover, from (39) and (35) of the Appendix, we get that

$$H(\mathbf{Q}_X \mid \mathbf{T}) \ge H(\mathbf{Q}_{X \setminus \{j_k\}} \mid \mathbf{T}) = \sum_{\ell=1}^{k-1} H(\mathbf{Q}_{j_\ell} \mid \mathbf{Q}_{j_1}, \dots, \mathbf{Q}_{j_{\ell-1}}, \mathbf{T}).$$
 (30)

On the other hand, from (38) of the Appendix, denoting by  $Y_{\ell} = \{j_1, \dots, j_{\ell-1}\}$ , we get

$$I(\mathbf{T}; \mathbf{Q}_{j_{t}} \mid \mathbf{Q}_{Y_{t}}) = H(\mathbf{Q}_{j_{t}} \mid \mathbf{Q}_{Y_{t}}) - H(\mathbf{Q}_{j_{t}} \mid \mathbf{Q}_{Y_{t}}, \mathbf{T})$$
$$= H(\mathbf{T} \mid \mathbf{Q}_{Y_{t}}) - H(\mathbf{T} \mid \mathbf{Q}_{Y_{t+1}}),$$

from which it follows that

$$H(\mathbf{Q}_{i_{\ell}} \mid \mathbf{Q}_{Y_{\ell}}, \mathbf{T}) = H(\mathbf{Q}_{i_{\ell}} \mid \mathbf{Q}_{Y_{\ell}}) - H(\mathbf{T} \mid \mathbf{Q}_{Y_{\ell}}) + H(\mathbf{T} \mid \mathbf{Q}_{Y_{\ell+1}}).$$

Moreover, in any one-round (k, m)-DOT- $\binom{n}{1}$ , from condition (24) and property (33), for  $\ell = 1, \ldots, k$ , it follows that  $H(\mathbf{T} \mid \mathbf{Q}_{Y_{\ell}}) = H(\mathbf{T})$ . Therefore, for  $\ell = 1, \ldots, k-1$ ,  $H(\mathbf{T} \mid \mathbf{Q}_{Y_{\ell}}) = H(\mathbf{T} \mid \mathbf{Q}_{Y_{\ell+1}}) = H(\mathbf{T})$ . Hence, for  $\ell = 1, \ldots, k-1$ ,

$$H(\mathbf{Q}_{i_{\ell}} \mid \mathbf{Q}_{Y_{\ell}}, \mathbf{T}) = H(\mathbf{Q}_{i_{\ell}} \mid \mathbf{Q}_{Y_{\ell}}). \tag{31}$$

Moreover, due to Lemma 4.6, for  $\ell = 1, ..., k-1$ ,  $H(\mathbf{Q}_{j_{\ell}} \mid \mathbf{Q}_{Y_{\ell}}) \ge H(\mathbf{T})$ . Therefore, from (29)–(31), it results that

$$H(\mathbf{D}_R \mid \mathbf{T}) \geq \sum_{\ell=1}^{k-1} H(\mathbf{Q}_{j_\ell} \mid \mathbf{Q}_{Y_\ell}, \mathbf{T}) \geq \sum_{\ell=1}^{k-1} H(\mathbf{Q}_{j_\ell} \mid \mathbf{Q}_{Y_\ell}) \geq (k-1)H(\mathbf{T}).$$

Hence, applying (34) of the Appendix, we get

$$H(\mathbf{D}_R) > H(\mathbf{D}_R \mid \mathbf{T}) > (k-1)H(\mathbf{T}).$$

*Tightness of the Bound.* Notice that the above lower bound is tight. Indeed, the (one-round) combinatorial constructions we present in Section 6, meet the bound by equality.

# 5. One-Round Protocols Based on Polynomial Interpolation

Two one-round protocols for (k, m)-DOT- $\binom{2}{1}$  have been proposed<sup>2</sup> in [37]. The first one uses *sparse* bivariate polynomials. The second one uses *fully* bivariate polynomials. Both constructions of (k, m)-DOT- $\binom{2}{1}$  use, as a building block, a sub-protocol from which a dishonest Receiver can infer at most a linear combination of the secrets held by the Sender. The (k, m)-DOT- $\binom{2}{1}$  protocol is then obtained by composing in a certain way multiple instances of the sub-protocol. The general structure of the sub-protocol is given in Fig. 2.

In this section we describe one-round (k, m)-DOT- $\binom{n}{1}$  oblivious transfer protocols, which generalize and strengthen the one-round (k, m)-DOT- $\binom{n}{1}$  protocols proposed in [37]. We assume that  $1 < k \le m$ , and implement our protocols over the finite field  $F_p$ , where  $p > \max\{m, n\}$  is prime. In Fig. 3 we describe the sub-protocol used in the design of the one-round (k, m)-DOT- $\binom{n}{1}$  oblivious transfer protocol, which generalizes and strengthens the first one-round (k, m)-DOT- $\binom{n}{1}$  protocol. Then we show how to compose such a sub-protocol in order to set up a (k, m)-DOT- $\binom{n}{1}$ , and we exhibit a proof of correctness and privacy of the overall construction. Along the same lines, in Fig. 5, we describe the sub-protocol used in the design of a *t*-private one-round oblivious transfer protocol (a notion we will define later), which generalizes the second distributed oblivious transfer protocol. Due to the similarity of the strategy to construct such a scheme with the strategy to set up the first one, we will only sketch the description of the full scheme, which results by composing multiple instances of the sub-protocol.

We start by analyzing the sub-protocol to set up a (k, m)-DOT- $\binom{n}{i}$  (see Fig. 3).

From the description given in Fig. 3, it is easy to see that condition (17) is satisfied. Indeed, every query  $Q_j$  is uniquely determined by the index of the secret chosen by the Receiver and her random values, as well as every answer  $A_j$  is uniquely determined by the query  $Q_j$  and the data  $D_j$  held by  $S_j$ .

<sup>&</sup>lt;sup>2</sup> Notice that a (k, m)-DOT- $\binom{2}{1}$  can be used as a black box to set up "more complex" oblivious transfer protocols in the same distributed model (see [23], [19], and [10] for unconditionally secure reductions). In this case, any improvement in the design of the available (k, m)-DOT- $\binom{2}{1}$  implies an improvement in the performance of the more complex protocols.

Structure of the sub-protocols used in the design of one-round (k, m)-DOT- $\binom{2}{1}$  in [37]

Let  $w_0, w_1 \in F_p$  be S's secrets, and let  $i \in \{0, 1\}$  be R's choice.

# **Set-up Phase**

- The Sender S generates a bivariate polynomial Q(x, y) with values in  $F_p$  such that  $Q(0, 0) = w_0$ , and  $Q(0, 1) = w_1$ .
- Then, for j = 1, ..., m, S sends the univariate polynomial  $Q(j, \cdot)$  to Server  $S_j$ .

# **Oblivious Transfer Phase**

- The Receiver  $\mathcal{R}$  chooses a random polynomial Z such that Z(0) = i, and defines a univariate polynomial V to be V(x) = Q(x, Z(x)) such that the degree of V is k-1.
- Then the Receiver  $\mathcal{R}$  chooses a subset  $X \subseteq \{1, \dots, m\}$  of k indices and, for every  $j \in X$ , sends to Server  $\mathcal{S}_j$  the value Z(j), and receives from  $\mathcal{S}_j$  the value V(j) = Q(j, Z(j)).
- After having received the k values V(j), for  $j \in X$ , the Receiver  $\mathcal{R}$  interpolates V and computes V(0).

Fig. 2. The structure of the sub-protocol.

*Correctness.* We show that the sub-protocol given in Fig. 3 satisfies Definition 4.1. For  $\ell=1,\ldots,n-1$ , let  $Z_{\ell}(x)=\sum_{j=0}^{k-1}z_{\ell}^{j}x^{j}$  be the polynomial generated by  $\mathcal{R}$ , where  $z_{\ell}^{j}$ , for  $j=1,\ldots,k-1$ , are random values. The polynomial V(x) interpolated by  $\mathcal{R}$ ,

$$V(x) = Q(x, Z_1(x), \dots, Z_{n-1}(x)),$$

can be written in explicit form as

$$\sum_{i=1}^{k-1} a_j x^j + a_0 + b_1 \left( z_1^0 + \sum_{i=1}^{k-1} z_1^j x^j \right) + \dots + b_{n-1} \left( z_{n-1}^0 + \sum_{i=1}^{k-1} z_{n-1}^j x^j \right)$$

which can be rearranged as

$$\sum_{i=1}^{k-1} (a_j + b_1 z_1^j + \dots + b_{n-1} z_{n-1}^j) x^j + a_0 + b_1 z_1^0 + \dots + b_{n-1} z_{n-1}^0.$$

For x=0, the polynomial becomes  $V(0)=a_0+b_1z_1^0+\cdots+b_{n-1}z_{n-1}^0$ . If  $(z_1^0,\ldots,z_{n-1}^0)=(0,\ldots,0)$ , then  $V(0)=a_0=w_0$ . On the other hand, if  $(z_1^0,\ldots,z_{n-1}^0)=(0,\ldots,1,\ldots,0)$  where 1 is in position i, for a certain  $i\in\{1,\ldots,n-1\}$ , then  $V(0)=b_i-a_0=r_iw_i$ . From the k shares  $r_i^j$ , where  $j\in X$ , she reconstructs  $r_i$ . Therefore,  $V(0)/r_i$  is exactly the desired secret, that is,  $w_i$ .

*Privacy*. About the privacy property, stated by Definition 2.2, notice that:

• Condition (5) is satisfied due to the degree of the polynomials chosen by the Receiver. Indeed, a coalition of k-1 Servers, say  $S_X$ , where  $X = \{1, ..., k-1\}$ ,

# A Sub-Protocol for one-round (k, m)-DOT- $\binom{n}{1}$

Let  $w_0, w_1, \ldots, w_{n-1} \in F_p$  be S's secrets, and let  $i \in \{0, \ldots, n-1\}$  be R's choice.

#### **Set-up Phase**

• The Sender S generates independently and uniformly at random values  $a_1,\ldots,a_{k-1},r_1,\ldots,r_{n-1}\in F_p$ . Then he sets up an univariate polynomial  $a(x)=\sum_{j=0}^{k-1}a_jx^j$ , where  $a_0=w_0$ , and an n-variate polynomial

$$Q(x, y_1, ..., y_{n-1}) = a(x) + b_1 y_1 + ... + b_{n-1} y_{n-1},$$

where  $b_i = r_i w_i - w_0$ , for i = 1, ..., n - 1. It follows that  $Q(0, 0, ..., 0) = w_0, Q(0, 1, 0, ..., 0) = r_1 w_1, ..., Q(0, 0, ..., 1) = r_{n-1} w_{n-1}$ .

• Then, for  $\ell=1,\ldots,n-1$ , he shares independently  $r_\ell$ , according to Shamir's (k,m) threshold secret sharing scheme. Let  $r_\ell^j$ , for  $j=1,\ldots,m$ , be the corresponding shares. For  $j=1,\ldots,m$ , the Sender  $\mathcal{S}$  sends the (n-1)-variate polynomial  $Q(j,y_1,\ldots,y_{n-1})$  and the shares  $r_1^j,\ldots,r_{n-1}^j$  to Server  $\mathcal{S}_j$ .

#### **Oblivious Transfer Phase**

- The Receiver  $\mathcal{R}$  constructs n-1 polynomials,  $Z_1(x),\ldots,Z_{n-1}(x)$ , of degree k-1, in such a way that  $(Z_1(0),\ldots,Z_{n-1}(0))$  is an (n-1)-tuple of zeros if the Receiver  $\mathcal{R}$  is interested in  $w_0$ , i.e., i=0, or an (n-1)-tuple of zeros and a single one in position i, if the Receiver  $\mathcal{R}$  is interested in  $w_i$ , i.e.,  $i\in\{1,\ldots,n-1\}$ . The remaining coefficients of  $Z_1(x),\ldots,Z_{n-1}(x)$  are chosen independently and uniformly at random in  $F_p$ .
- Then the Receiver  $\mathcal{R}$  chooses a subset  $X \subseteq \{1, \ldots, m\}$  of k indices and, for every  $j \in X$ , sends to Server  $\mathcal{S}_j$  the values  $Z_1(j), \ldots, Z_{n-1}(j)$  and receives the value  $V(j) = Q(j, Z_1(j), \ldots, Z_{n-1}(j))$ , and all the shares  $r_1^j, \ldots, r_{n-1}^j$ .
- After having received the k values V(j), for  $j \in X$ , the Receiver  $\mathcal{R}$  interpolates a univariate polynomial  $V(x) = Q(x, Z_1(x), \dots, Z_{n-1}(x))$  of degree k-1, and computes V(0) if i = 0, or  $V(0)/r_i$ , if  $i \in \{1, \dots, n-1\}$ , where  $r_i$  is reconstructed through the shares  $r_i^j$  s.

**Fig. 3.** A sub-protocol for one-round (k, m)-DOT- $\binom{n}{1}$ .

contacted by  $\mathcal{R}$ , gets, for each  $j=1,\ldots,n-1$ , only k-1 points of the polynomial  $Z_j(x)$ . Therefore, for any possible choice of  $Z_j(0) \in \{0,1\}$ , the coalition interpolates a different and *unique* polynomial  $Z_j(x)$  of degree k, which agrees with the k-1 received values. Since, for  $j=1,\ldots,n-1$ , all coefficients of  $Z_j(x)$  but  $Z_j(0)$  are chosen independently and uniformly at random, for any (k-1)-tuple of values  $Z_j(1),\ldots,Z_j(k-1)$  and for any index  $i\in\{0,\ldots n-1\}$  chosen by the Receiver, it holds that,

$$Prob(Z_j(1), ..., Z_j(k-1) | i) = \frac{1}{p^{k-1}}.$$

Denote with z the n-1 sequences of k-1 values  $Z_j(1), \ldots, Z_j(k-1)$ , for  $j=1,\ldots,n-1$ . Then, for any index  $i \in \{0,\ldots n-1\}$  chosen by the Receiver,

there exists a *unique* sequence of (n-1) polynomials interpolating z. Hence, it holds that  $Prob(z \mid i) = 1/p^{(n-1)(k-1)}$ . Applying Bayes' theorem, we have

$$\begin{split} Prob(i \mid z) &= \frac{Prob(i) \cdot Prob(z \mid i)}{\sum_{j \in \{0, \dots, n-1\}} Prob(j) \cdot Prob(z \mid j)} \\ &= \frac{Prob(i) \cdot 1/p^{(n-1)(k-1)}}{\sum_{j \in \{0, \dots, n-1\}} Prob(j) \cdot 1/p^{(n-1)(k-1)}} \\ &= \frac{Prob(i) \cdot 1/p^{(n-1)(k-1)}}{1/p^{(n-1)(k-1)} \cdot \sum_{j \in \{0, \dots, n-1\}} Prob(j)} \\ &= Prob(i). \end{split}$$

Hence, the probability distribution  $Prob(i \mid z)$  of the n indices, given the "view" of the k-1 Servers (i.e., the (k-1) tuples of (n-1) values, obtained by interacting with  $\mathcal{R}$ ), is equal to the a priori probability distribution of the n indices Prob(i), induced by the Receiver's choice. Moreover, the data  $D_X$  held by the Servers, are independent of z and i. Hence,  $Prob(i \mid z, D_X) = Prob(i \mid z)$ . As a consequence, the choice of the Receiver is private.

• Condition (6). First notice that the Receiver does not get any information during the set-up phase about the secrets. Then, let us assume without loss of generality that the coalition is composed by Servers  $S_1, \ldots, S_{k-1}$ . They hold polynomials  $Q(1, y_1, \ldots, y_{n-1}), \ldots, Q(k-1, y_1, \ldots, y_{n-1})$ , and shares  $r_1^1, \ldots, r_{1-1}^{k-1}, \ldots, r_{n-1}^{k-1}$ . We show that, for any choice of n secrets  $w_0, \ldots, w_{n-1}$ , and shares  $r_1^1, \ldots, r_{1-1}^{k-1}, \ldots, r_{n-1}^1, \ldots, r_{n-1}^{k-1}$ , there exists a sequence of random values  $r_1, \ldots, r_{n-1}$  such that the n-variate polynomial  $P(x, y_1, \ldots, y_{n-1}) = a(x) + b_1 y_1 + \cdots + b_{n-1} y_{n-1}$ , with coefficients  $a_0$  and  $b_j$ , for  $j = 1, \ldots, n-1$ , defined as in Fig. 3, satisfies the following property: for any  $\ell \in \{1, \ldots, k-1\}$ , it holds that  $P(\ell, y_1, \ldots, y_{n-1}) = Q(\ell, y_1, \ldots, y_{n-1})$  and the shares  $r_1^1, \ldots, r_{1-1}^{k-1}, \ldots, r_{n-1}^{k-1}$  are consistent with  $r_1, \ldots, r_{n-1}$ .

The polynomial  $P(x, y_1, \ldots, y_{n-1}) = a(x) + b_1 y_1 + \cdots + b_{n-1} y_{n-1}$  is constructed

The polynomial  $P(x, y_1, \ldots, y_{n-1}) = a(x) + b_1 y_1 + \cdots + b_{n-1} y_{n-1}$  is constructed as follows: for  $i = 1, \ldots, n-1$  the coefficients  $b_1, \ldots, b_{n-1}$  are equal to the coefficients of  $y_1, \ldots, y_{n-1}$  in the (n-1)-variate polynomials  $Q(1, y_1, \ldots, y_{n-1})$ , ...,  $Q(k-1, y_1, \ldots, y_{n-1})$  held by  $S_1, \ldots, S_{k-1}$ . Moreover, since  $a_0 = w_0$  and  $b_i = r_i w_i - w_0$ , for  $i = 1, \ldots, n-1$ , for any choice of the secrets  $w_0, \ldots, w_{n-1}$  the coefficient  $a_0$  and the values  $r_1, \ldots, r_{n-1}$  are uniquely determined. Then the coefficients of  $\sum_{j=1}^{k-1} a_j x^j$  are the solution to the system of k-1 linear equations given by  $P(\ell, 0, \ldots, 0) = Q(\ell, 0, \ldots, 0)$ , for  $\ell = 1, \ldots, k-1$ , whose variables are  $a_1, \ldots, a_{k-1}$ . The solution is unique since, in matrix form, the above system of linear equations is such that the matrix of coefficients is a  $(k-1) \times (k-1)$  Vandermonde matrix.

Therefore, given a sequence of secrets  $w_0,\ldots,w_{n-1}$  and the sequence of random values  $r_1,\ldots,r_{n-1}$ , there exists a one-to-one correspondence between the choices of a set of coefficients  $a_1,\ldots,a_{k-1}$ , and the sequences of polynomials  $Q(1,y_1,\ldots,y_{n-1}),\ldots,Q(k-1,y_1,\ldots,y_{n-1})$ . Moreover, due to the properties of secret sharing schemes, the shares  $r_1^1,\ldots,r_{n-1}^{k-1},\ldots,r_{n-1}^{k-1}$  are consistent

with  $r_1,\ldots,r_{n-1}$ , and do not give any information about them. Indeed,  $r_1,\ldots,r_{n-1}$  and the shares  $r_1^1,\ldots,r_1^{k-1},\ldots,r_{n-1}^1,\ldots,r_{n-1}^{k-1}$  are statistically independent, i.e., denoting by *Shares* the shares  $r_1^1,\ldots,r_1^{k-1},\ldots,r_{n-1}^1,\ldots,r_{n-1}^{k-1}$ , and by r the values  $r_1,\ldots,r_{n-1}$ , it holds that

$$Prob(Shares \mid w, r) = Prob(Shares \mid r) = \frac{1}{p^{(n-1)(k-1)}}.$$

Since the Sender S chooses the coefficients independently and uniformly at random then it holds that the probability of getting polynomials  $Q(1, y_1, \ldots, y_{n-1})$ , ...,  $Q(k-1, y_1, \ldots, y_{n-1})$ , once the Sender has chosen a sequence of secrets  $w = \langle w_0, \ldots, w_{n-1} \rangle$  with Prob(w) > 0, and the sequence of random values  $r = \langle r_1, \ldots, r_{n-1} \rangle$ , is

$$Prob(Q(1, y_1, ..., y_{n-1}), ..., Q(k-1, y_1, ..., y_{n-1}) \mid w, r) = \frac{1}{n^{k-1}}.$$

Therefore, denoting with q the polynomials  $Q(1, y_1, \ldots, y_{n-1}), \ldots, Q(k-1, y_1, \ldots, y_{n-1})$ , the joint probability of q and *Shares*, given w and r is

$$\begin{aligned} Prob(q, Shares \mid w, r) &= Prob(q \mid w, r) \cdot Prob(Shares \mid q, w, r) \\ &= \frac{1}{p^{k-1}} \cdot \frac{1}{p^{(n-1)(k-1)}}. \end{aligned}$$

Applying Bayes' theorem, we have

$$Prob(w, r \mid q, Shares)$$

$$\begin{split} &= \frac{Prob(w,r) \cdot Prob(q,Shares \mid w,r)}{\sum_{w' \in F_p^n: Prob(w') > 0, r' \in F_p} Prob(w',r') \cdot Prob(q,Shares \mid w',r')} \\ &= \frac{Prob(w,r) \cdot 1/p^{(n-1)(k-1)(k-1)}}{\sum_{w' \in F_p^n: Prob(w') > 0, r' \in F_p} Prob(w',r') \cdot 1/p^{(n-1)(k-1)(k-1)}} \\ &= \frac{Prob(w,r) \cdot 1/p^{(n-1)(k-1)(k-1)}}{1/p^{(n-1)(k-1)(k-1)} \cdot \sum_{w' \in F_p^n: Prob(w') > 0, r' \in F_p} Prob(w',r')} \\ &= Prob(w,r). \end{split}$$

Hence, it follows that the probability distribution  $Prob(w \mid q, Shares)$  of the n secrets, given the k-1 polynomials held by  $S_1, \ldots, S_{k-1}$  and the shares Shares held by the Servers, is equal to the a priori probability distribution of the n secrets Prob(w), induced by the Sender's choices. Finally, since  $D_R$  are truly random bits independent of w, r, Shares, and q, it holds that  $Prob(w \mid q, Shares, D_R) = Prob(w \mid q, Shares)$ .

• Condition (7) is *not* satisfied. Indeed, it is possible to show that in the protocol given in Fig. 3 the Receiver can learn a linear combination of the secrets. Indeed, if the Receiver does not follow the protocol and chooses certain values  $(Z_1(0), \ldots, Z_{n-1}(0))$ , say for example  $(2, 3, \ldots, 1)$ , then she gets a linear combination of the secrets  $w_0, \ldots, w_{n-1}$ .

Notice that, in [37], for the case of two secrets, a proof that the Receiver can get *no more than a single* linear combination of the two secrets by running the sub-protocol described in Fig. 3 with k Servers was given. It is not difficult to show that the proof easily generalizes to our scheme for n secrets, i.e., after receiving information from k servers, the Receiver cannot learn more than a single linear combination of  $w_0, w_1, \ldots, w_{n-1}$ . Indeed, our scheme extends the scheme in [37] to deal with n secrets. Moreover, it enjoys a further security property i.e., condition (6), which *is not* satisfied by the scheme of [37]. Indeed, in the protocol of [37], each Server can compute a linear combination of the secrets.

The above protocol can be used to construct a (k, m)-DOT- $\binom{n}{1}$ , forcing the Receiver to get *at most one of the secrets* held by the Sender and no joint information about the secrets, by using *multiple instances* of the sub-protocol. More precisely, the sub-protocol given in Fig. 3, can be used as a building block to set up a (k, m)-DOT- $\binom{n}{1}$ . The idea is the following: the Sender executes with the Receiver two parallel *instances* of the sub-protocol of Fig. 3, with the constraint that the Receiver asks *the same* queries, i.e., sends the same values for both instances. The first instance hides "masked" secrets, i.e., for  $i = 0, \ldots, n-1$ , the value  $c_i w_i$  instead of simply  $w_i$ . The other instance hides the masks  $c_i$  which are needed in order to recover the corresponding secret  $w_i$ . If the Receiver sends correct values, then she obtains one and only one masked secret from the first instance and the mask from the other instance. Otherwise, she gets no information about the secrets.

The scheme is given in Fig. 4. We use parts of the sub-protocol described in Fig. 3, which, to simplify the description, we denote as  $SubDOT(\cdot)$ . The inputs to the instances of  $SubDOT(\cdot)$  we use are sequences of suitably chosen secrets.

We show that the protocol given in Fig. 4 implements a (k, m)-DOT- $\binom{n}{1}$ .

*Correctness.* From the description of the oblivious transfer phase, it is easy to see that Definition 4.1 is satisfied. The correctness of the sub-protocol of Fig. 3 guarantees that the Receiver gets a masked secret and the mask. Then a simple computation (i.e., division in  $F_p$ ) enables the recovery of the secret by removing the mask.

*Privacy*. The privacy property, stated by Definition 2.2, can be shown as follows:

- Condition (5) follows exactly from the same argument we have applied in discussing the protocol given in Fig. 3. We repeat twice the protocol of Fig. 3, with the constraint that the Receiver sends a *single* sequence of values instead of two distinct sequences of values
- Condition (6) holds because the two instances  $SubDOT_1(\cdot)$  and  $SubDOT_2(\cdot)$  are independent and each of them does not lack any information about its own input, i.e., masked secrets and masks are all equiprobable.
- Condition (7) can be shown by analyzing two cases. The Receiver uses a malicious program  $\overline{R}$ . In order to learn information about more than one secret, such a program cheats by sending an incorrect sequence of values z to the Servers  $S_X$ . Notice that, for any subset of k indices X, for any  $i = 0, \ldots, n-1$ , for any possible random string  $D_R$ , and for any malicious program  $\overline{R}$ , the values computed through  $\overline{R}$  and sent to  $S_X$  uniquely determine an index  $\tilde{i}$ , represented as a tuple  $(0, Z_1(0), \ldots, Z_{n-1}(0))$ .

# A Protocol for (k, m)-DOT- $\binom{n}{1}$

Let  $w_0, w_1, \ldots, w_{n-1} \in F_p$  be S's secrets, and let  $i \in \{0, \ldots, n-1\}$  be R's choice.

# **Set-up Phase**

• The Sender S executes simultaneously and independently the Set-up Phase of two instances  $SubDOT_1(\cdot)$  and  $SubDOT_2(\cdot)$  of the sub-protocol given in Fig. 3 as follows: let  $c_0, c_1, \ldots, c_{n-1}$  be values, different from zero, chosen independently and uniformly at random in  $F_p$ . Then he executes:

Set-up Phase of 
$$SubDOT_1(c_0w_0, c_1w_1, ..., c_{n-1}w_{n-1})$$
,  
Set-up Phase of  $SubDOT_2(c_0, c_1, c_2, ..., c_{n-1})$ .

Every Server  $S_j$  receives from S, for  $\ell = 1, 2$ , the polynomial and the shares corresponding to the random values  $r_0^{\ell}, \ldots, r_{n-1}^{\ell}$ , associated with  $SubDOT_{\ell}(\cdot)$ .

#### **Oblivious Transfer Phase.**

- Let  $X \subseteq \{1, ..., m\}$  be a subset of k indices. The Receiver  $\mathcal{R}$  sends, for every  $j \in X$ , to Server  $S_j$ , the same values described in Fig. 3, that is,  $\mathcal{R}$  sends to Server  $S_j$  the values  $Z_1(j), ..., Z_{n-1}(j)$ . However, she receives, from each of the k Servers, two values, according to the instances  $SubDOT_1(c_0w_0, c_1w_1, ..., c_{n-1}w_{n-1})$  and  $SubDOT_2(c_0, c_1, c_2, ..., c_{n-1})$  and the sequences of shares.
- If the Receiver's choice is  $i \in \{0, 1, ..., n-1\}$ , then she obtains from  $SubDOT_1(c_0w_0, c_1w_1, ..., c_{n-1}w_{n-1})$  the value  $c_iw_i$ , and from the other instance  $SubDOT_2(c_0, c_1, c_2, ..., c_{n-1})$ , the value  $c_i$ .
- Then a simple division in  $F_p$ , i.e.,  $c_i w_i/c_i$ , yields the desired secret.

**Fig. 4.** A one-round (k, m)-DOT- $\binom{n}{1}$  scheme: set-up.

Indeed, the values sent by the Receiver can always be seen as the evaluation of certain interpolated polynomials  $Z_1(x) = \sum_{j=0}^{k-1} z_1^j x^j, \ldots, Z_{n-1}(x) = \sum_{j=0}^{k-1} z_{n-1}^j x^j$  of degree k-1, i.e., as a sequence z given by  $Z_1(j), \ldots, Z_{n-1}(j)$ , for  $j \in X$ . Such an index  $\tilde{i}$  is either a value in  $\{0, \ldots, n-1\}$  or does not belong to  $\{0, \ldots, n-1\}$ . Case (i). If  $\tilde{i} \in \{0, \ldots, n-1\}$ , then, from the values the Receiver gets from the Servers  $S_X$ , she computes the secret  $w_{\tilde{i}}$  and gets no additional information about the others. Indeed, it is easy to check that the interpolating polynomial  $V_1(x)$  associated to  $SubDOT_1(\cdot)$  is equal to

$$V_1(x) = \sum_{j=1}^{k-1} (a_j + b_1 z_1^j + \dots + b_{n-1} z_{n-1}^j) x^j + a_0 + b_1 z_1^0 + \dots + b_{n-1} z_{n-1}^0$$
$$= \sum_{j=1}^{k-1} e_j x^j + c_{\tilde{i}} r_{\tilde{i}} w_{\tilde{i}},$$

where  $e_j = a_j + b_1 z_1^j + \dots + b_{n-1} z_{n-1}^j$ , for  $j = 1, \dots, k-1$  and, similarly, for the second instance  $SubDOT_2(\cdot)$ , the polynomial  $V_2(x)$  is equal to  $V_2(x) = \sum_{j=1}^{k-1} g_j x^j + c_{\tilde{i}} r_{\tilde{i}}^{\prime}$ , where  $g_j = a_j^{\prime} + b_1^{\prime} z_1^j + \dots + b_{n-1}^{\prime} z_{n-1}^j$ , for  $j = 1, \dots, k-1$ , and  $r_0 = r_0^{\prime} = 1$ .

Hence, the Receiver could gain information about the other secrets by analyzing the coefficients  $e_j$  and  $g_j$ , for  $j=1,\ldots,k-1$ . Indeed, the polynomials  $V_1(x)$  and  $V_2(x)$  are an *equivalent* representation of the information (i.e., sets of points) that the Receiver gets by interacting with the Servers. Therefore, there in no loss of generality in focusing on them.

Notice that the Receiver has full control over the elements  $z_j^i$  but has no control over  $a_1, \ldots, a_{k-1}$  and  $a'_1, \ldots, a'_{k-1}$ , and over the coefficients  $c_0, \ldots, c_{n-1}$ , hidden in  $b_1, \ldots, b_{n-1}, b'_1, \ldots, b'_{n-1}$ , which are chosen uniformly at random by the Sender.

We show that the probability of getting  $e_1, \ldots, e_{k-1}$  and  $g_1, \ldots, g_{k-1}$  is equal to  $(1/p^{k-1})^2$ , independently of the remaining secrets. Indeed, once the values of  $b_i, b_i'$ , and  $z_j^i$  are fixed, the sums  $b_1 z_1^j + \cdots + b_{n-1} z_{n-1}^j$  and  $b_1' z_1^j + \cdots + b_{n-1}' z_{n-1}^j$  are determined, and any choice of  $a_j$  and  $a_j'$  implies different values for  $e_j$  and  $g_j$ . Therefore, for  $i = 1, \ldots, n-1$ , independently of the values  $b_i$  and  $b_i'$  (and, hence, of the remaining secrets), the choice of the values  $a_1, \ldots, a_{k-1}$  and  $a_1', \ldots, a_{k-1}'$  determines the values  $e_1, \ldots, e_{k-1}$  and  $g_1, \ldots, g_{k-1}$ .

We denote with  $w^*$  the choices of the remaining secrets, with z the sequence of values sent by the Receiver, and with r and r' the sequences of random values used by the Sender. Then the transcript  $\overline{C_X}$  of the conversation between the Receiver and the Servers is equivalent to  $(z, e_1, \ldots, e_{k-1}, g_1, \ldots, g_{k-1}, w_{\tilde{i}}c_{\tilde{i}}r_{\tilde{i}}, c_{\tilde{i}}r_{\tilde{i}}', Shares)$ . It follows that

$$Prob(\overline{C_X} \mid i, D_R, w_{\tilde{i}}, c_{\tilde{i}}, w^*, r, r') = (1/p^{k-1})^{2n}.$$

Therefore, due to Bayes' theorem and the independence of  $w^*$  from i,  $D_R$ , r, r', and  $c_{\tilde{i}}$ , we have that the  $Prob(w^* \mid i, D_R, \overline{C_X}, w_{\tilde{i}}, c_{\tilde{i}}, r, r')$  is equal to

$$\frac{Prob(w^* \mid i, D_R, w_{\tilde{i}}, c_{\tilde{i}}, r, r') \cdot Prob(\overline{C_X} \mid i, D_R, w_{\tilde{i}}, c_{\tilde{i}}, r, r', w^*)}{\sum_{w': Prob(w'>0)} Prob(w' \mid i, D_R, w_{\tilde{i}}, c_{\tilde{i}}, r, r') \cdot Prob(\overline{C_X} \mid i, D_R, w_{\tilde{i}}, c_{\tilde{i}}, r, r', w')}$$

$$= Prob(w^* \mid i, D_R, w_{\tilde{i}}, c_{\tilde{i}}, r, r') = Prob(w^* \mid w_{\tilde{i}}).$$

Hence, from the Receiver's point of view, once a secret is known, the other n-1 secrets still have the same a priori probabilities, i.e.,  $Prob(\overline{w} \mid i, D_R, \overline{C}_X, w_{\tilde{i}}, c_{\tilde{i}}, r, r') = Prob(\overline{w} \mid w_{\tilde{i}})$ .

Case (ii). We prove that, from the values the Receiver gets from the Servers  $S_X$ , she computes no information about the secrets at all. Indeed, as we have discussed before, the sub-protocol  $SubDOT(\cdot)$  leaks at most one linear combination of the secrets. Such a result can be formally proved by applying the same argument used in [37] for the case of two secrets. The sub-protocol  $SubDOT_1(\cdot)$  hides the secrets  $c_0w_0, c_1w_1, \ldots, c_{n-1}w_{n-1}$ ; while the sub-protocol  $SubDOT_2(\cdot)$  hides the secrets  $c_0, c_1, \ldots, c_{n-1}$ . Hence, the Receiver, running the protocol, gets at most two linear combinations:

$$\gamma_1 = \alpha_0 c_0 w_0 + \cdots + \alpha_{n-1} c_{n-1} w_{n-1},$$

$$\gamma_2 = \alpha_0 c_0 + \cdots + \alpha_{n-1} c_{n-1},$$

which can be expressed in a matrix form as follows:

$$\begin{bmatrix} \alpha_0 w_0 & \cdots & \alpha_{n-1} w_{n-1} \\ \alpha_0 & \cdots & \alpha_{n-1} \end{bmatrix} \times \begin{bmatrix} c_0 \\ \vdots \\ c_{n-1} \end{bmatrix} = \begin{bmatrix} \gamma_1 \\ \gamma_2 \end{bmatrix}$$

We have to show that  $Prob(w \mid i, D_R, \overline{C}_X) = Prob(w)$ . The transcript of the interaction  $\overline{C}_X$  is equal to (z, a), where z is the sequence of values the Receiver sends to the Servers  $S_X$ , and a is the sequence of answers she receives. Since the Receiver gets at most the above two linear combinations from  $(i, D_R, \overline{C}_X)$ , in order to prove our claim it is enough to show that  $Prob(w \mid \gamma_1, \gamma_2) = Prob(w)$ , once the coefficients  $\alpha_i$  are fixed and known.

We assume that the secrets  $w_0,\ldots,w_{n-1}$  are all distinct, i.e., we add a unique pad to each of them, and that *at least* two coefficients among the  $\alpha_i$ 's are different from zero. Indeed, if all coefficients are zero, then the Receiver does not get information about the secrets at all, while, if only one coefficient is different from zero, then the Receiver gets the corresponding secret and no information on the others. Let us say that these two coefficients are  $\alpha_i$  and  $\alpha_j$ . Then the determinant of the corresponding  $2\times 2$  sub-matrix is equal to  $\alpha_i\alpha_jw_i-\alpha_j\alpha_iw_j\neq 0$ . Hence, the two rows of the matrix of the system are linearly independent. Therefore, the above system has  $p^{n-2}$  solution vectors  $(c_0,\ldots,c_{n-1})$ , and  $Prob(\gamma_1,\gamma_2\mid w)$  is equal to  $1/p^{n-2}$ . Applying Bayes' theorem we have that

$$Prob(w \mid \gamma_1, \gamma_2) = \frac{Prob(w) \cdot Prob(\gamma_1, \gamma_2 \mid w)}{\sum_{w': Prob(w') > 0} Prob(w') \cdot Prob(\gamma_1, \gamma_2 \mid w')} = Prob(w).$$

*Remark.* To set up the scheme the Sender needs (k-1)+(n-1) random values  $a_1,\ldots,a_{k-1},r_1^\ell,\ldots,r_{n-1}^\ell$  in  $F_p$  to construct  $Q(x,y_1,\ldots,y_{n-1})$ , and (k-1)(n-1) random values to share  $r_1^\ell,\ldots,r_{n-1}^\ell$ , required by the set-up phase of  $SubDOT_\ell(\cdot)$ , for  $\ell=1,2$ . Moreover, he needs n additional random values  $c_0,\ldots,c_{n-1}$ . Hence,  $H(\mathbf{D}_1,\ldots,\mathbf{D}_m)=(2kn+n-2)\log p$ . However, we can show that *the same* random values  $a_1,\ldots,a_{k-1}$  can be used in both instances of  $SubDOT(\cdot)$  and the values  $r_1',\ldots,r_{n-1}'$  can be computed as a function of  $r_1,\ldots,r_{n-1}$ . Thus the randomness can be reduced to  $(kn+n-1)\log p$ .

Strengthening and generalizing the second construction of [37], which uses fully n-variate polynomials, we can set up a sort of DOT protocol in which condition (5) of Definition 2.2 holds against subsets of Servers  $S_X$ , such that |X| < k - 1, and in which condition (11) of Definition 2.3 is satisfied with respect to a coalition among the Receiver and a subset of Servers  $S_X$ , such that |X| = t < k - 1. We refer to such a protocol as a t-private weak one-round (k, m)-DOT- $\binom{n}{1}$ . In Fig. 5 we describe the sub-protocol that can be used to set up a t-private weak one-round (k, m)-DOT- $\binom{n}{1}$ .

# A sub-protocol for t-private weak one-round (k, m)-DOT- $\binom{n}{1}$

Let  $w_0, w_1, \dots, w_{n-1} \in F_p$  be S's secrets, and let  $i \in \{0, \dots, n-1\}$  be R's choice.

# **Set-up Phase**

• Let  $d_x$ ,  $d_y$  and  $d_z$  be integers such that  $d_x + d_z d_y (n-1) = k-1$ . The Sender S generates independently and uniformly at random values  $r_0, r_1, \ldots, r_{n-1} \in F_p$ , and sets up an n-variate polynomial with values in  $F_p$ :

$$Q(x, y_1, \dots, y_{n-1}) = \sum_{j=0}^{d_x} \sum_{\ell_1=0}^{d_y} \cdots \sum_{\ell_{n-1}=0}^{d_y} a_{j,\ell_1,\dots,\ell_{n-1}} x^j y_1^{\ell_1} \cdots y_{n-1}^{\ell_{n-1}},$$

where  $a_{0,...,0} = r_0 w_0$ , for i = 1,..., n-1,  $\sum_{\ell_i=0}^{d_y} a_{0,...,\ell_i,...,0} = r_i w_i$ , and all other coefficients are chosen uniformly at random. It holds that  $Q(0,0,...,0) = r_0 w_0$ ,  $Q(0,1,0,...,0) = r_1 w_1,...,Q(0,0,...,1) = r_{n-1} w_{n-1}$ .

• Then, for  $\ell=0,\ldots,n-1$ , he shares independently  $r_\ell$ , according to a Shamir's (k,m) threshold secret sharing scheme. Let  $r_\ell^j$ , for  $j=1,\ldots,m$ , be the corresponding shares. For  $j=1,\ldots,m$ ,  $\mathcal S$  sends the (n-1)-variate polynomial  $Q(j,y_1,\ldots,y_{n-1})$  and the shares  $r_0^j,\ldots,r_{n-1}^j$  to Server  $\mathcal S_j$ .

#### **Oblivious Transfer Phase**

- The Receiver  $\mathcal{R}$  chooses n-1 random polynomials  $Z_1(x), \ldots, Z_{n-1}(x)$  of degree  $d_z$  such that  $(Z_1(0), \ldots, Z_{n-1}(0))$  is an (n-1)-tuple of zeros if i=0 or an (n-1)-tuple of zeros and a single one in position i, if  $i \in \{1, \ldots, n-1\}$ .
- Then the Receiver  $\mathcal{R}$  chooses a subset  $X \subseteq \{0, \ldots, n-1\}$  of k indices, and sends, for every  $j \in X$ , to Server  $\mathcal{S}_j$  the values  $Z_1(j), \ldots, Z_{n-1}(j)$ , and receives the value  $V(j) = Q(j, Z_1(j), \ldots, Z_{n-1}(j))$  and all the shares  $r_1^j, \ldots, r_{n-1}^j$ .
- After having received the k values V(j), for  $j \in X$ , the Receiver  $\mathcal{R}$  interpolates a univariate polynomial  $V(x) = Q(x, Z_1(x), \dots, Z_{n-1}(x))$  of degree k-1, and computes  $V(0)/r_i$ , where  $r_i$  is reconstructed through the shares  $r_i^j$ .

**Fig. 5.** A sub-protocol for t-private weak one-round (k, m)-DOT- $\binom{n}{1}$ .

*Correctness.* Definition 4.1 is satisfied. Indeed, denoting as before the polynomials generated by  $\mathcal{R}$  by  $Z_j(x) = \sum_{r=0}^{d_z} z_j^r x^r$ , the polynomial V(x) interpolated by  $\mathcal{R}$ 

$$V(x) = Q(x, Z_1(x), \dots, Z_{n-1}(x))$$

can be written as

$$\sum_{j=0}^{d_x} \sum_{\ell_1=0}^{d_y} \cdots \sum_{\ell_{n-1}=0}^{d_y} a_{j,\ell_1,\dots,\ell_{n-1}} x^j \left( z_1^0 + \sum_{j=1}^{d_z} z_1^j x^j \right)^{\ell_1} \cdots \left( z_{n-1}^0 + \sum_{j=1}^{d_z} z_{n-1}^j x^j \right)^{\ell_{n-1}}.$$

Therefore, it is immediate to see that if for  $j=1,\ldots,n-1,z_j^0=0$ , then  $V(0)=a_{0,\ldots,0}$ . On the other hand, assuming that  $z_i^0=1$ , while, for  $j\neq i$  it is  $z_j^0=0$ , the only term

which appears in V(0) is  $\sum_{l_i=0}^{d_y} a_{0,\dots,0,\ell_i,0,\dots,0} y_i^{l_i}$  and it is easy to see that

$$V(0) = \sum_{l_i=0}^{d_y} a_{0,\dots,0,\ell_i,0\dots,0}.$$

*Privacy.* Along the same lines of the proof given for the sub-protocol described in Fig. 3, we can show that condition (5) of Definition 2.2 holds with respect to a coalition of  $d_z$  Servers, and condition (6) of Definition 2.2 is satisfied with respect to a coalition of  $d_x$  Servers. However, the protocol given in Fig. 5 does not satisfy condition (7) of Definition 2.2 and, hence, condition (11) of Definition 2.3, but it guarantees that the Receiver can learn at most a linear combination of the secrets. The same strategy applied in Fig. 4 can be used to set up a t-private weak one-round (k, m)-DOT- $\binom{n}{1}$ , where condition (7) of Definition 2.2 holds, and condition (11) of Definition 2.3 is also satisfied, with respect to a coalition of size t < k - 1 Servers and the Receiver. The threshold t depends on the particular choices of  $d_x$ ,  $d_y$ ,  $d_z$ , and k.

# 6. Combinatorial Constructions

In this section we propose some combinatorial constructions for distributed oblivious transfer. Some of these constructions require trivial computations once the scheme has been set up by the Sender. The one-round protocols meet the lower bound on the number of random bits the Receiver must use to set up the queries, given by Theorem 4.11. However, they are not so efficient in terms of Server memory storage and communication complexity.

# 6.1. One-Round Constructions

We start by giving protocols which require one round of interaction to recover a secret. The constructions are based on well-known combinatorial structures. In order to provide an intuition of the ideas underlying the following protocols, we start by looking at an example of a one-round (2, 2)-DOT- $\binom{3}{1}$ .

**Set-up Phase.** Assume that the three secrets held by the Sender are  $w_0$ ,  $w_1$  and  $w_2$ . The Sender constructs a  $2 \times 3^2$  matrix A with values chosen in  $F_p$ ,

$$A = \begin{bmatrix} a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} & a_{1,4} & a_{1,5} & a_{1,6} & a_{1,7} & a_{1,8} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} & a_{2,4} & a_{2,5} & a_{2,6} & a_{2,7} & a_{2,8} \end{bmatrix},$$

in such a way that the sum of the values mod p of every column is one of the secrets. Therefore, we say that every column of A hides a secret. The rule used to hide a secret by means of a column is depicted in Table 1 and explained as follows. The indices of the columns of A, i.e.,  $0, 1, \ldots, 8$ , represented in base 10 are given in the first row. The representations of such indices in base 3 are given in the second row. The third row contains the sum mod 3 of the digits of the representations in base 3. For example, the column whose index is 0 has representation in base 3 equal to 00. Hence,  $0 + 0 \mod 3 = 0$ , which is the value reported in the third row. The column whose index

Table 1. Correspondence secret-column.

Index column	0	1	2	3	4	5	6	7	8
Representation in base 3	00	01	02	10	11	12	20	21	22
Corresponding secret index	0	1	2	1	2	0	2	0	1

is 1 has representation 01. Hence,  $0+1 \mod 3=1$ . The column whose index is 5 has representation 12. Hence,  $1+2 \mod 3=0$ , and so on. In general, the column whose index is  $j \in \{0, 1, \ldots, 8\}$ , represented in base 3 by  $c_1^j c_2^j$ , hides the secret  $w_i$ , for  $i \in \{0, 1, 2\}$ , if and only if  $c_1^j + c_2^j \mod 3 = i$ . Therefore, it is easy to check that columns whose indices are 0, 5, and 7 hide  $w_0$ , columns whose indices are 1, 3, and 8 hide  $w_1$ , and columns whose indices are 2, 4, and 6 hide  $w_2$ . Once the matrix A has been set up, the Sender sends the first row of A to  $S_1$  and the second to  $S_2$ .

**Oblivious Transfer Phase.** To recover a secret among  $w_0$ ,  $w_1$ ,  $w_2$ , let us say  $w_1$ , the Receiver chooses one of the columns which hides  $w_1$ , for example column 3, and sends  $c_1^3 = 1$  to  $S_1$  and  $c_2^3 = 0$  to  $S_2$ . Every Server sends to the Receiver a subset of the values of his own row. More precisely,  $S_1$  compares 1 with the first digit  $c_1^j$  of the representation in base 3 of column j, for  $j \in \{0, 1, \dots, 8\}$ . If they are equal, then  $S_1$  sends the value  $a_{1,j}$ . Server  $S_2$  does the same by comparing 0 with the second digit  $c_2^j$  of the representation in base 3 of column j, for  $j \in \{0, 1, \dots, 8\}$ . Therefore, the Receiver gets the values  $a_{1,3}$ ,  $a_{1,4}$ ,  $a_{1,5}$  from  $S_1$  and  $a_{2,0}$ ,  $a_{2,3}$ ,  $a_{2,6}$  from  $S_2$ , and computes  $w_1 = a_{1,3} + a_{2,3}$  mod p.

The reader can check by hand that the above example yields a one-round (2, 2)-DOT- $\binom{3}{1}$ . The protocol for the general case is given in Fig. 6. We show that this protocol implements a one-round (k, k)-DOT- $\binom{n}{1}$ .

Correctness. Definition 4.1 is satisfied since, once  $\mathcal{R}$  has chosen column j, whose n-ary representation is  $c_1^j \cdots c_k^j$ , and has sent, for  $q = 1, \ldots, k$ , the digit  $c_q^j$  to Server  $S_q$ , among other values, certainly she receives back  $A[1, j], \ldots, A[k, j]$ . Hence,  $\mathcal{R}$  can compute  $w_i$  as  $\sum_{h=1}^k A[h, j] \mod p$ .

*Privacy.* The privacy property, stated by Definition 2.2, can be shown as follows:

• Condition (5) is satisfied: a coalition of k-1 Servers, say  $S_X$ , where  $X=\{1,\ldots,k-1\}$ , contacted by  $\mathcal{R}$ , cannot infer in which secret she is interested. Indeed, assume that column j, chosen by  $\mathcal{R}$  to recover the secret, has n-ary representation  $c_1^j \cdots c_k^j$ . Then  $S_1,\ldots,S_{k-1}$  receive only  $c_1^j \cdots c_{k-1}^j$  from  $\mathcal{R}$ . Since the index i of the secret  $w_i$ , hidden by column j, is given by  $\sum_{\ell=1}^k c_\ell^j \mod n$ , for any index  $i \in \{0,\ldots,n-1\}$  chosen by the Receiver, there is *exactly one* value  $c_k^j$  such that  $\sum_{\ell=1}^{k-1} c_\ell^j + c_j^k \mod n = i$ . Hence,  $Prob(c_1^j,\ldots,c_{k-1}^j \mid i) = 1/n$ . Therefore, using Bayes' theorem, it follows that  $Prob(i \mid c_1^j,\ldots,c_{k-1}^j) = Prob(i)$ . Finally, since the private information  $D_X$  is

# **A One-Round** (k, k)**-DOT-** $\binom{n}{1}$ **Construction**

Let  $w_0, w_1, \ldots, w_{n-1} \in F_p$  be S's secrets and let  $i \in \{1, \ldots, n\}$  be R's index.

#### **Set-up Phase**

- S sets up a  $k \times n^k$  matrix A of random values in  $F_p$  as follows: for  $j \in \{0,\ldots,n^k-1\}$ , the sum of the values of column  $A[\cdot,j]$  is equal to  $w_i$  if, denoting by  $c_1^j \cdots c_k^j$  the representation in base n of j, then  $\sum_{\ell=1}^k c_\ell^j \mod n = i$ .
- S, for q = 1, ..., k, sends the qth row  $A[q, \cdot]$  to the Server  $S_q$ .

# **Oblivious Transfer Phase**

- $\mathcal{R}$  chooses a value  $j \in \{0, \dots, n^k 1\}$  such that  $\sum_{\ell=1}^k c_\ell^j \mod n = i$  and, for  $q = 1, \dots, k$ , she sends the digit  $c_q^j$  to Server  $S_q$ .
- Server  $S_q$ , for  $\ell = 0, ..., n^k 1$ , sends to the Receiver the pair  $(\ell, A[q, \ell])$  if and only if the qth digit of the n-ary representation of  $\ell$  is equal to  $c_q^j$ .
- $\mathcal{R}$  sums up the values  $A[1, j], \ldots, A[k, j]$ , recovering the secret, that is  $w_i = \sum_{h=1}^k A[h, j] \mod p$ .

**Fig. 6.** A one-round (k, k)-DOT- $\binom{n}{1}$  construction.

independent of  $c_1^j, \ldots, c_{k-1}^j$ , it holds that  $Prob(i \mid D_X, c_1^j, \ldots, c_{k-1}^j) = Prob(i \mid c_1^j, \ldots, c_{k-1}^j)$ .

- Condition (6) basically holds for the same reason we have seen while discussing condition (5). For any coalition of k-1 servers, say  $S_X$ , where  $X = \{1, \ldots, k-1\}$ ,  $S_X$  does not gain information about any secret from the data  $D_X$  they possess. Indeed, let  $D_X$  be the set of values  $\{A[1, j], \ldots, A[k-1, j], \text{ for } j = 0, \ldots, n^k-1\}$ . Since, for any  $i = 0, \ldots, n-1$ , secret  $w_i$  is hidden by  $\sum_{q=1}^k A[q, j] \mod p$ , for certain columns  $j \in \{0, \ldots, n^k-1\}$ , then, from  $S_X'$ 's point of view, for any secret  $w_i$ , there is exactly one value A[k, j] such that  $\sum_{q=1}^{k-1} A[q, j] + A[k, j] \mod n = w_i$ . Simple algebra and Bayes' theorem show that, for all w such that Prob(w) > 0, it holds that  $Prob(w \mid D_X) = Prob(w)$ . Finally, since  $D_R$  are truly random bits, independent of w and  $D_X$ , it holds that  $Prob(w \mid D_X, D_R) = Prob(w \mid D_X)$ .
- In order to show condition (7) we have to consider only one case, i.e., case (i). Indeed, in the above protocol, for any index i and for any possible random string  $D_R$ , even if the Receiver's program is malicious, the integer values it sends to the Servers uniquely identify a column  $j \in \{0, \ldots, n^k 1\}$  and the corresponding index  $\tilde{i} \in \{0, \ldots, n 1\}$ . Such an invariant holds because if the Receiver sends out to a Server a value which does not belong to  $\{0, \ldots, n 1\}$ , then the Server just does not reply. Hence, the Receiver surely computes  $w_{\tilde{i}}$ . However, all the values of a column are needed to compute a secret, and each value is essential to determine the secret. Therefore, for  $j \in \{0, \ldots, n^k 1\}$ , whose representation is given by  $c_1^j, \ldots, c_k^j$ , and for  $q = 1, \ldots, k$ , denote by  $Values_{q,j} = \{(\ell, A[q, \ell]) \mid \ell = 1\}$

# **A One-Round** (k, m)-**DOT-** $\binom{n}{1}$ **Construction**

Let  $w_0, w_1, \ldots, w_{n-1} \in F_p$  be S's secrets, and let  $i \in \{1, \ldots, n\}$  be R's choice.

# **Set-up Phase**

- The Sender S sets up an orthogonal array  $OA_1(k, m+1, n)$ . We denote the transpose of such an  $OA_1(k, m+1, n)$  by I and we assume that it is public. The first row  $I[0, \cdot]$  of I establishes "which column hides which secret."
- Then S, for each  $c \in \{0, \ldots, n^k 1\}$ , shares the secret  $w_{I[0,c]}$  using a (k,m)-threshold secret sharing scheme. We denote the shares by  $sh_{1,c}, \ldots, sh_{m,c}$ . For each column uses a different scheme, i.e., threshold secret sharing schemes are independent.
- Finally, for j = 1, ..., m, S sends  $sh_{j,0}, ..., sh_{j,n^k-1}$  to Server  $S_j$ .

# **Oblivious Transfer Phase**

- Let  $X = \{p_1, \ldots, p_k\}$  be a subset of k elements of  $\{1, \ldots, m\}$ .  $\mathcal{R}$  chooses a random column c of the matrix I such that I[0, c] = i, and, for  $j \in \{1, \ldots, k\}$ , sends the value  $y_j = I[p_j, c]$  to Server  $S_{p_i}$ .
- For  $j \in \{1, ..., k\}$ , Server  $S_{p_j}$  sends  $(d, sh_{p_j,d})$  to the Receiver  $\mathcal{R}$ , for all d such that  $I[p_j, d] = y_j$ .  $\mathcal{R}$  gets n shares from each of the k Servers.
- Finally,  $\mathcal{R}$  applies the reconstruction function of the threshold secret sharing scheme to  $sh_{p_1,c},\ldots,sh_{p_k,c}$ , and she reconstructs the secret  $w_i$ .

**Fig. 7.** A one-round (k, m)-DOT- $\binom{n}{1}$  construction.

 $0, \ldots, n^k - 1$ , and  $c_q^\ell = c_q^j$ } the set of pairs of values the Receiver gets from Server  $S_q$ . Then the transcript  $C_X$  is equal to  $(c_1^j, \ldots, c_k^j, Values_{1,j}, \ldots, Values_{k,j})$ , and it follows that  $Prob(w \mid i, D_R, C_X, w_i) = Prob(w \mid w_i)$ .

Using some well-known combinatorial structures, we can generalize the above construction, in order to set up a (k, m)-DOT- $\binom{n}{1}$ . More precisely, let t, q, r, and  $\lambda$  be integers such that  $1 \le t \le q$  and  $r \ge 2$ . An *orthogonal array*  $OA_{\lambda}(t, q, r)$  is a  $\lambda r^t \times q$  array of r symbols, say  $\{0, 1, \ldots, r-1\}$ , such that within any t columns, every possible t-tuple of symbols occurs in exactly  $\lambda$  rows (see [31] for constructions and references). Using an orthogonal array and threshold secret sharing schemes,<sup>3</sup> we can set up a (k, m)-DOT- $\binom{n}{1}$  (see Fig. 7).

**Example.** We present a one-round (2, 3)-DOT- $\binom{3}{1}$  using the protocol described in Fig. 7.

 $<sup>^3</sup>$  A (k, m)-threshold secret sharing scheme is a method by means of which a dealer shares a secret among a set of m participants in such a way that: (1) any subset of participants of size greater than or equal to k reconstructs the secret; (2) any subset of size less than k does not get any information about the secret [43].

**Set-up Phase.** The Sender constructs and publishes the following matrix obtained by transposing an  $OA_1(2, 4, 3)$ :

$$I = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 2 & 2 & 2 \\ 0 & 1 & 2 & 0 & 1 & 2 & 0 & 1 & 2 \\ 0 & 1 & 2 & 1 & 2 & 0 & 2 & 0 & 1 \\ 0 & 1 & 2 & 2 & 0 & 1 & 1 & 2 & 0 \end{bmatrix}.$$

Then he shares the secret associated with each column by means of an independent copy of a (2, 3)-threshold secret sharing scheme. Finally, he sends the shares associated to row *j* to  $S_i$  for  $j \in \{1, 2, 3\}$ .

**Oblivious Transfer Phase.** Let  $X = \{2, 3\}$  be a 2-subset of  $\{1, 2, 3\}$ , denoting Servers  $S_2$ ,  $S_3$ . Suppose that  $\mathcal{R}$  wishes to recover the secret  $w_1$ . Hence, she chooses one of the columns 3, 4, 5, say c = 5, and sends 0 to  $S_2$  and 1 to  $S_3$ . The contacted Servers reply by sending the following values:

- S<sub>2</sub> sends (0, sh<sub>2,0</sub>), (5, sh<sub>2,5</sub>), and (7, sh<sub>2,7</sub>)
  S<sub>3</sub> sends (1, sh<sub>3,1</sub>), (5, sh<sub>3,5</sub>), and (6, sh<sub>3,6</sub>).

Therefore, the Receiver can recover  $w_1$  using  $(5, sh_{2,5})$  and  $(5, sh_{3,5})$ . 

Correctness. The protocol satisfies Definition 4.1 since the Receiver, for any secret and for any column she has chosen to retrieve the secret, gets from the contacted Servers a sufficient number of shares. Indeed, assume that to recover  $w_i$  she has chosen column c and, without loss of generality, has sent, for j = 1, ..., k, the value  $y_i = I[j, c]$  to Server  $S_i$ . Then she has received, among others, certainly the k pairs  $(c, sh_{1,c}), \ldots, (c, sh_{k,c}),$ enabling her to recover  $w_i$ .

*Privacy.* The privacy property, stated by Definition 2.2, can be shown as follows:

- Condition (5) is satisfied: indeed, assume that k-1 Servers contacted by  $\mathcal{R}$ , say  $S_X$ , where  $X = \{1, ..., k-1\}$ , collude in order to figure out the index i. If  $\mathcal{R}$ has chosen column c to recover  $w_i$ , the servers have received the values  $y_1 =$  $I[1, c], \ldots, y_{k-1} = I[k-1, c]$ . It is not difficult to see that, due to the structure of an  $OA_1(k, m+1, n)$ , this (k-1)-tuple appears along any k-restriction of the matrix exactly k times, and, for each instance, the corresponding value of the kth row is different. In particular, we consider the k-restriction defined by rows  $0, 1, \ldots, k-1$ , i.e., the row which represents the indices of secrets and the rows associated with the Servers  $S_X$ . Since each instance of the (k-1)-tuple  $y_1, \ldots, y_{k-1}$  is completed exactly once with a different value of  $y_0$  in  $\{0, \ldots, n-1\}$  (which represents an index of a possible secret), then using Bayes' theorem, it follows that  $Prob(i \mid$  $y_1, \ldots, y_{k-1} = Prob(i)$ . Since data  $D_X$  are independent of  $y_1, \ldots, y_{k-1}$ , it follows that  $Prob(i \mid D_X, y_1, ..., y_{k-1}) = Prob(i \mid y_1, ..., y_{k-1}).$
- Condition (6) also holds: a coalition of k-1 Servers, say  $S_X$ , where  $X = \{1, \dots, k-1\}$ 1}, does not get any information about any secret. Indeed, each secret is shared according to a (k, m) threshold secret sharing scheme. Let  $D_X$  be the set of pairs

- $\{(d, sh_{j,d}) \mid j = 1, \dots, k-1, \text{ and } d = 0, \dots, n^k-1\}$ . Due to the properties of secret sharing schemes, for any sequence of secrets w such that Prob(w) > 0, it holds that  $Prob(w \mid D_X) = Prob(w)$ . Finally, since  $D_R$  are truly random bits independent of w and  $D_X$ , then  $Prob(w \mid D_R, D_X) = Prob(w \mid D_X)$ .
- Condition (7) holds due to the structure of an orthogonal array  $OA_1(k, m+1, n)$ . We need to consider only case (i). Indeed, for any index i and for any possible random string  $D_R$ , even if the Receiver's program is malicious, the integer values it sends to a set of Servers, say  $S_X$ , where  $X = \{1, \ldots, k-1\}$ , uniquely identify a column  $j \in \{0, \ldots, n^k-1\}$  and the corresponding index  $\tilde{i} \in \{0, \ldots, n-1\}$ . Then  $\mathcal{R}$ , from some of the shares received as a reply to the values she sends to the Servers  $S_X$ , belonging to the restriction of a column j of the orthogonal array, reconstructs  $w_{\tilde{i}}$ . However, analyzing the remaining shares, she misses at least one share needed to recover the secret associated to any other column. More precisely, for  $j = 1, \ldots, k$ , denote by  $Values_j$  the set of pairs  $\{(d, sh_{j,d}) \mid I[j, d] = y_j$  for  $d = 0, \ldots, n^k 1\}$ . Then the transcript  $C_X$  of the interaction with the Servers  $S_X$  is equal to  $(y_1, \ldots, y_k, Values_1, \ldots, Values_k)$ . It holds that  $Prob(w \mid i, D_R, C_X, w_{\tilde{i}}) = Prob(w \mid w_{\tilde{i}})$ .

Server memory storage and communication complexity of the combinatorial schemes are quite heavy. The following technique enables us to reduce both resources. Indeed, looking at the protocol described in Fig. 6 in the particular case of two secrets, notice that it is not necessary that *all* the  $k \times 2^k$  values  $a_{i,j}$  are independent. For example, they can be chosen in such a way that the following relation holds: let j and j' be two different columns of the matrix A whose binary representations are  $b_1^j \cdots b_k^j$  and  $b_1^{j'} \cdots b_k^{j'}$ . Then, for  $i = 1, \ldots, k$ , let

$$A[i, j] = A[i, j']$$
 if and only if  $b_1^j = b_1^{j'}, \dots, b_i^j = b_i^{j'}$ .

We consider an example assuming that k = 3. For three Servers, we have

000	001	010	011	100	101	110	111
$a_{1,0}$	$a_{1,1}$	$a_{1,2}$	$a_{1,3}$	$a_{1,4}$	$a_{1,5}$	$a_{1,6}$	a <sub>1,7</sub>
$a_{2,0}$	$a_{2,1}$	$a_{2,2}$	$a_{2,3}$	$a_{2,4}$	$a_{2,5}$	$a_{2,6}$	$a_{2,7}$
$a_{3,0}$	$a_{3,1}$	$a_{3,2}$	$a_{3,3}$	$a_{3,4}$	$a_{3,5}$	$a_{3,6}$	$a_{3,7}$

The values  $a_{i,j}$  can be grouped as

$$a_{1,0} = a_{1,1} = a_{1,2} = a_{1,3}$$
 and  $a_{1,4} = a_{1,5} = a_{1,6} = a_{1,7}$ 

for the first row,

$$a_{2,0} = a_{2,1},$$
  $a_{2,2} = a_{2,3},$   $a_{2,4} = a_{2,5},$  and  $a_{2,6} = a_{2,7}$ 

for the second row, and

$$a_{3,0}, a_{3,1}, a_{3,2}, a_{3,3}, a_{3,4}, a_{3,5}, a_{3,6}, a_{3,7}$$

for the third row. In this example, Server  $S_1$  gets two values, Server  $S_2$  gets four values and Server  $S_3$  gets eight values. Hence, Server memory storage and communication complexity are reduced. An interesting open problem is to find more efficient representations for the matrix of values.

**Table 2.** A secret sharing scheme for  $\mathcal{P}_3$ .

A Protocol for General Access Structures. The main idea underlying the combinatorial schemes is that an orthogonal array is used as an *indexing structure* for several sharings of the secrets.<sup>4</sup> We can pursue the same idea in order to support general access structures.

We start by informally clarifying the notion of DOT for a general access structure. Let  $S = \{S_1, \dots, S_m\}$  be a set of Servers. An access structure  $\mathcal{A}$  on S is a collection of

- subsets  $A \subseteq 2^S \setminus \{\emptyset\}$ . An A-DOT- $\binom{n}{1}$  is a protocol satisfying the following requirements:
  - Correctness. From each subset  $A \in \mathcal{A}$ , called qualified, the Receiver  $\mathcal{R}$  gets enough information to recover any one of the secrets at her choice.
  - Receiver's Privacy. Any subset  $A \notin \mathcal{A}$ , called forbidden, does not get any information about the index of the secret  $\mathcal{R}$  recovers.
  - Sender's privacy with respect to a forbidden subset and the Receiver. A coalition
    of the Receiver and a subset of Servers A ∉ A does not get any information about
    the n secrets.
  - Sender's privacy with respect to a "greedy" Receiver. Given the transcript of the interaction with a subset of Servers A ∈ A, the Receiver gets information about at most a single secret, and no information about the others. This property holds even if the Receiver, once she has computed a secret, colludes with A ∉ A, a subset of dishonest Servers.

A formal definition of an A-DOT- $\binom{n}{1}$  can be stated along the same lines as Definitions 2.1 and 2.2.

To explain the protocol and how to construct the *indexing structure*, we consider a simple case. Let  $S = \{S_1, S_2, S_3, S_4\}$  be a set of four Servers, and let  $\mathcal{P}_3 = \{\{S_1, S_2\}, \{S_2, S_3\}, \{S_3, S_4\}\}$  be an access structure on the set of Servers S. This access structure is well known in the secret sharing scheme theory<sup>5</sup> and its information rate  $\rho$ , which is the maximum ratio between the size of the secret and the size of the share given to the user, is equal to  $\frac{2}{3}$  [11]. Assume that the secret is a pair of values  $(k_1, k_2)$  belonging to  $F_{p'} \times F_{p'}$ . The secret can be shared among  $\mathcal{P}_3$  as shown in Table 2.

The values x, y, z, and w are random values chosen in  $F_{p'}$ . The dealer computes the shares given in Table 2 and sends row i to Server  $S_i$ .

We can construct a  $\mathcal{P}_3$ -DOT- $\binom{n}{1}$  using this secret sharing scheme as a building block. More precisely, each secret is shared many times with different instances of the secret sharing scheme. At the same time, an indexing matrix which represents all these sharings

<sup>&</sup>lt;sup>4</sup> Indeed, notice that the constructions given in Fig. 6, can also be re-phrased along the same line of the protocol described in Fig. 7. In this case the orthogonal array used is an  $OA_1(k, k+1, n)$ .

<sup>&</sup>lt;sup>5</sup> The reader is referred to [44] for background on secret sharing schemes.

corresponding to the similarings of the secret (N1, N2).							
	(1, 2)				(1, 2)		
$S_1$	0,	0			2,	2	
$S_2$	1,	2,	0		0,	1,	2
$S_3$	1,	2,	0		0,	1,	2
<b>C</b> .	Λ	0			2	2	

**Table 3.** Partial view of the indexing matrix I, corresponding to the  $3^4$  sharings of the secret  $(k_1, k_2)$ .

can be set up using the same secret sharing scheme as the "rule" to fill in the entries of each column.

To exemplify, assume that we have  $9 = 3^2$  secrets. Each secret  $(k_i, k_j)$  can be indexed by  $(i, j) \in F_3 \times F_3$ . An indexing matrix I can be set up considering  $3^4$  sharings for each key (i.e., the number of possible choices for x, y, z, and w when seen as elements belonging to  $F_3$ ). For example, the restriction of the indexing matrix I to the key  $(k_1, k_2)$  indexed by (1, 2) is reported in Table 3.

Notice that the share for  $S_2$  corresponding to the first sharing of (1, 2) is (1, 2, 0). Indeed, it is easy to check that choosing x = 0, y = 0, z = 0, and w = 0 it holds that  $1 + 0 \mod 3 = 1$ ,  $2 + 0 \mod 3 = 2$ , and  $0 \mod 3 = 0$ . Similarly, the share for  $S_2$  corresponding to the last sharing of (1, 2) is (0, 1, 2). Indeed, it is easy to check that choosing x = 2, y = 2, z = 2, and w = 2, it holds that  $1 + 2 \mod 3 = 0$ ,  $2 + 2 \mod 3 = 1$ , and  $2 \mod 3 = 2$ .

In Table 3 each of the  $3^4$  columns indexed by (1, 2) represents a sharing of  $(k_1, k_2) \in F_{p'} \times F_{p'}$ . We assume that the  $3^2 \cdot 3^4$  sharings for the  $3^2$  secrets are maintained in another (corresponding) matrix A: more precisely, each column of A contains a sharing of a certain key  $(k_i, k_j)$  (see Table 4).

The Receiver can choose one of the columns of I and can ask a subset  $B \in \mathcal{P}_3$  to receive the shares in A, whose indices match the entries of the column of the matrix I, in correspondence of the Servers in B. In our example, the Receiver, to retrieve  $(k_1, k_2)$ , can choose the first column of the partial view of matrix I and can send (1, 2, 0) to  $S_3$  and (0, 0) to  $S_4$ , receiving from  $S_3$  all the shares (belonging to the third row of matrix A) whose indices are (1, 2, 0) (and, among these, surely  $sh_{(1,2,0)}^{(1,2)}$ ), and from  $S_4$  all the shares (belonging to the fourth row of matrix A) whose indices are (0, 0) (and, among these, surely  $sh_{(0,0)}^{(1,2)}$ ).

It is not difficult to see that the construction is correct, due to the reconstruction property of the secret sharing scheme. In our example  $sh_{(1,2,0)}^{(1,2)}$  and  $sh_{(0,0)}^{(1,2)}$  enable the

**Table 4.** Partial view of the matrix A, containing the  $3^4$  sharings of the secret  $(k_1, k_2)$ .

	$(k_1, k_2)$		$(k_1, k_2)$
$S_1$	$sh_{(0,0)}^{(1,2)}$	• • • •	$sh_{(2,2)}^{(1,2)}$
$S_2$	$sh_{(1,2,0)}^{(1,2)}$		$sh_{(0,1,2)}^{(1,2)}$
$S_3$	$sh_{(1,2,0)}^{(1,2)}$		$sh_{(0,1,2)}^{(1,2)}$
$S_4$	$sh_{(0,0)}^{(1,2,0)}$		$sh_{(2,2)}^{(1,2)}$

Receiver to recover  $(k_1, k_2)$ . Moreover, the scheme is private since, from each subset of Servers belonging to  $\mathcal{P}_3$ , the Receiver can recover one and only one secret of her choice, getting no information on the others. On the other hand, a forbidden subset of Servers  $F \notin \mathcal{P}_3$  neither get information about the secret  $\mathcal{R}$  wishes to recover from the values sent by her nor can compute information about any secret, due to the security property of the secret sharing scheme.

Notice that, if we have  $n = p^2$  secrets, the construction seen before requires  $p^4$  sharings for each secret, and an indexing matrix I with  $p^6$  columns.

At this point it is not difficult to figure out how the same strategy can be applied to any access structure. We would just point out the use of secret sharing for the construction of *both* the indexing structure I and the sharing of the secrets. Perhaps this design technique can be applied successfully to other cryptographic protocols also. The protocol can be generalized to *arbitrary* access structures  $\mathcal{A}$  on the set of Servers.

Let  $n=p^r$  and, for  $i=1,\ldots,n$ , let  $w_i\in F_{p'}^r$  be  $\mathcal{S}$ 's secrets. Let each index i be represented by  $(i_1,\ldots,i_r)\in F_p^r$ . Moreover, let  $\mathcal{A}$  be an access structure on the set of the m Servers, and let  $\Sigma$  be a secret sharing scheme for  $\mathcal{A}$  with information rate  $\rho=r/u$  represented, as before, in tabular form. Finally, assume that  $\Sigma$  uses, to share a secret, t random values belonging to  $F_{p'}$ . The protocol is described in Figs. 8 and 9.

# A One-Round A-DOT- $\binom{n}{1}$ Protocol

### Set-up Phase

- S sets up a public indexing matrix I, of order  $(m+1) \times p^{r+t}$ , which *represents*, for each of the  $p^r$  secrets,  $p^t$  different sharings according to  $\Sigma$ . The matrix I is filled in as follows: the first row says which secret the column hides. More precisely, for  $i=1,\ldots,p^r$  and  $j=1,\ldots,p^t$ , the value  $I[0,j+(i-1)p^t]=i=(i_1,\ldots,i_r)$ . Then, for  $q=1,\ldots,m$ , the entry  $I[q,j+(i-1)p^t]$  represents the index of the share given to Server  $S_q$  for the jth sharing of the i-th secret, and is equal to  $(v_{q,1}^j,\ldots,v_{q,\ell_i}^j)\in F_p^{\ell_i}$  where  $\ell_i\leq u$ . These values are computed using  $\Sigma$  as a "rule," and by considering  $(i_1,\ldots,i_r)$  (i.e., the representation of i in  $F_p^r$ ) as the secret, and all possible sequences  $(d_1,\ldots,d_t)\in F_p^t$ . These sequences correspond to the  $p^t$  random values belonging to  $F_{p^t}$ , used by  $\Sigma$  to generate the  $p^t$  sharings for  $w_i$ .
- Once the indexing matrix has been set up, for each secret  $w_i \in (F_{p'})^r$ , S computes the  $p^t$  sharings. We denote, for the jth sharing of the secret, by

$$sh^i_{(v^j_{q,1},\dots,v^j_{q,\ell_i})}$$

the share for Server  $S_q$  according to  $\Sigma$ . We assume that a matrix A, of order  $m \times p^{r+t}$ , contains one of such sharings in each column.

• For  $i=1,\ldots,n$ , for  $q=1,\ldots,m$ , and for  $j=1,\ldots,p^i$ ,  $\mathcal S$  sends to Server  $S_q$  the share  $sh^i_{v^j_{q,1},\ldots,v^j_{q,\ell_i}}$ , i.e., all the shares belonging to the qth row of the matrix A.

**Fig. 8.** A one-round A-DOT- $\binom{n}{1}$  protocol: set-up phase.

## A One-Round A-DOT- $\binom{n}{1}$ Protocol

### **Oblivious Transfer Phase**

- $\mathcal{R}$ , to recover  $w_i$ , chooses a column of I say the gth one, such that  $I[0, g] = (i_1, \ldots i_r)$ , chooses a subset of Servers  $B \in \mathcal{A}$  and sends, to each  $S_q \in B$ , the tuple  $y^q = I[q, g]$ .
- Each Server  $S_q \in B$  sends to  $\mathcal{R}$ , for any column z such that  $I[q, z] = y^q$ , the pair column-share (z, A[q, z]).
- $\mathcal{R}$  reconstructs the secret by using the pairs (g, A[q, g]) sent by Servers  $S_q \in B$ .

**Fig. 9.** A one-round A-DOT- $\binom{n}{1}$  protocol: oblivious transfer phase.

In order to show the correctness and the privacy of the protocol it is enough to prove that the indexing structure, given by the matrix I, satisfies the *same properties* of an orthogonal array, the combinatorial structure we have used in the threshold case. Indeed, notice that, when the general access structure considered in the above construction is a threshold structure, a secret sharing scheme with information rate  $\rho=1$  (called *ideal* [44]), i.e., where each share has the same size of the secret, realizing the access structure does exist. As shown by Martin [35] and, independently, by Dawson et al. [20], if we represent such a secret sharing scheme by means of a distribution table, this table is exactly an orthogonal array. For the general case, a proof that the indexing structure satisfies the same properties of an orthogonal array can be achieved arguing by contradiction: for any fixed set of rows of the matrix I, corresponding to a qualified subset of Servers, if two different columns j and j' have the same t-tuples, row by row, then j=j', due to the matrix generation rule. The correctness and privacy properties of the DOT construction easily follow from the same observations we have made in analyzing the threshold case.

*Remark.* Notice that all results and bounds presented in Sections 3 and 4, by using standard techniques, can be opportunely stated and proved for DOT schemes for general access structures. In particular, concerning one-round protocols, Theorem 4.4 can be easily extended to A-DOT- $\binom{n}{1}$ . If X denotes a subset of indices of Servers such that  $S_X \notin A$  but  $S_X \cup \{S_j\} \in A$ , where  $j \notin X$ , an adversary, given only  $D_j$  and  $(Q_X, A_X)$ , can compute all the secrets.

## 6.2. Two-Round Constructions

It is possible to gain in terms of privacy and efficiency of computations if we allow *one more round* of interaction between the Receiver and the Servers. A simple protocol is described in Fig. 10.

*Correctness.* The Receiver, once she has received all the values of a column, computes the secret by means of a simple sum.

# A Strong (k, k)-DOT- $\binom{2}{1}$

Let  $w_0, w_1 \in F_p$  be S's secrets.

### **Set-up Phase**

- S chooses k random bits  $r_j$ , and computes the bit r, xoring the  $r_j$ 's, i.e.,  $r = \bigotimes_{j=1}^k r_j$ .
- Moreover, S sets up two vectors with k entries in  $F_p$ ,  $v_0$ , and  $v_1$ , choosing the first k-1 entries at random and computing

$$v_0[k] = w_r - \sum_{j=1}^{k-1} v_0[j] \mod p$$
 and  $v_1[k] = w_{1-r} - \sum_{j=1}^{k-1} v_1[j] \mod p$ .

• Then, for j = 1, ..., k, he sends the bit  $r_i$  and the values  $v_0[j]$  and  $v_1[j]$  to Server  $S_i$ .

### **Oblivious Transfer Phase**

- In a first round of communication,  $\mathcal{R}$  asks each Server  $S_j$  for the bit  $r_j$ , and computes r. Then, for  $j = 1, \ldots, k$ , if  $\mathcal{R}$  is interested in  $w_0$  and r = 0, asks Server  $S_j$  the value  $v_0[j]$ ; otherwise, if r = 1, asks  $v_1[j]$ . Symmetrically, to recover  $w_1$ , if r = 1, she asks  $v_0[j]$ , while if r = 0, she asks  $v_1[j]$ .
- Finally,  $\mathcal{R}$  sums up mod p the received values.

**Fig. 10.** A two-round 
$$(k, k)$$
-DOT- $\binom{2}{1}$ .

*Privacy* (*sketch*). The Privacy property, stated by Definition 2.2, can be shown by developing the following arguments:

- Condition (5) of Definition 2.2 is satisfied because a coalition of k-1 Servers does not get any information about which secret  $\mathcal{R}$  wishes to recover, since the k-1 Servers do not know which secret is hidden by which vector.
- Condition (6) holds because the Receiver and a coalition of k-1 Servers does not get any information about any secret. Indeed, each secret is actually shared according to a (k, k) threshold secret sharing scheme, and they hold only k-1 shares for each of them.
- Condition (7) holds because the Receiver R can retrieve at most one secret: indeed, all the values of a single vector are needed for computing one of the secrets. Hence, if she gets all the values of a vector, then she gets no information about the secret hidden by the other vector. On the other hand, if she gets values belonging to the two different vectors from different Servers, then she gets no information on both secrets at all.

It is worthwhile pointing out that the two-round construction described above enjoys condition (11) of Definition 2.3, i.e., the further privacy property that is impossible to achieve using a one-round protocol: indeed, a coalition of k-1 Servers and the Receiver, after the latter has recovered one of the secrets, still cannot compute the other without the

help of the last Server, due to the sharing of secrets by means of a (k, k) secret sharing scheme.

Notice that if we compress the above protocol into one round, we can obtain a *random* DOT where the Receiver *can recover one secret but she cannot choose which one*. This functionality can be realized if the Servers simply send to the Receiver the "addressing bits," that is the  $r_j$ 's, and *all but one* of the values  $v_0[j]$  and  $v_1[j]$ , for j = 1, ..., k. In such a case, one of the Servers, say  $S_j$ , chooses uniformly at random which of the two values  $v_0[j]$ ,  $v_1[j]$  to send  $\mathcal{R}$ .

The above protocol can be extended to realize a DOT for a general access structure on the set of Servers as well as a DOT for any number of secrets. The extensions can be done as follows: in order to implement a DOT for a general access structure  $\mathcal{A}$  on the set of Servers, say an  $\mathcal{A}$ -DOT- $\binom{2}{1}$ , the bit r, which establishes which vector hides  $w_0$ , is shared among the m Servers, according to a secret sharing scheme for  $\mathcal{A}$ . Then, if r=0, the secret  $w_0$  is shared by the first vector and  $w_1$  by the second, according to a secret sharing scheme for  $\mathcal{A}$ ; otherwise,  $w_0$  is shared by the second vector and  $w_1$  by the first. Once the Receiver has recovered the value of r, contacting a subset of Servers belonging to  $\mathcal{A}$ , she can recover one of the secrets by sending a request for shares to the same subset of Servers that were contacted before.

On the other hand, an  $\mathcal{A}$ -DOT- $\binom{n}{1}$  requires that, instead of a bit, r is a value in  $\{0,\ldots,n-1\}$  and, instead of two vectors sharing  $w_0$  and  $w_1$ , there are exactly n vectors  $v_0,\ldots,v_{n-1}$ , sharing the secrets  $w_0,\ldots,w_{n-1}$ , respectively. The value r, shared among the Servers through a secret sharing scheme for  $\mathcal{A}$ , establishes the correspondence between the vectors and the n secrets. In other words, if r=2 then the third vector  $v_2$  shares  $w_0$ , the fourth shares  $w_1$ , and so on, following a cyclic order modulo n. Applying the same argument described before for the case of two secrets, it is not difficult to show that this is also correct and private.

## 7. Data to the Receiver

In this section we consider the setting in which the Receiver holds some data. More precisely, we assume that during the set-up phase, the Sender S sends data not only to the m Servers but also to the Receiver R. Intuitively, by giving information to the Receiver we should be able to achieve a stronger privacy condition. The two-round protocol described in Fig. 10 (and all its generalizations) can be transformed in a one-round protocol for the new model. Indeed, notice that the random bit each Server transmits to the Receiver during the first round, can be eliminated if the Sender, during the set up, privately says to the Receiver which vector which secret hides (see Fig. 11).

Notice that k-1 Servers do not have any information about the secrets. At the same time, the Receiver is still not able to gain extra information about other secrets, apart from the one that she recovers honestly. Actually the above protocol is very simple: each secret is shared according to a (k, k) threshold scheme and *only* the Receiver knows which shares correspond to which secret. The generalization of the above protocols to the case of a general access structure on the set of Servers and to n secrets can be done along the same lines as the two-round protocol without information in the set-up phase to the Receiver.

# A Strong (k, k)-DOT- $\binom{2}{1}$ with information to the Receiver

Let  $w_0, w_1 \in F_p$  be S's secrets.

### **Set-up Phase**

- S chooses a random bit, say r.
- Then S sets up two vectors with entries in  $F_p$ ,  $v_0$  and  $v_1$ , choosing the k-1 entries at random and computing

$$v_0[k] = w_r - \sum_{j=1}^k v_0[j] \mod q$$
 and  $v_1[k] = w_{1-r} - \sum_{j=1}^k v_1[j] \mod q$ .

• Finally, for j = 1, ..., k, S sends the values  $v_0[j]$  and  $v_1[j]$  to Server  $S_i$  and the bit r to R.

### **Oblivious Transfer Phase**

- If  $\mathcal{R}$  is interested in  $w_0$  and r = 0, then, for  $j = 1, \ldots, k$ , she asks the Server  $S_j$  the value  $v_0[j]$ ; otherwise, if r = 1, she asks  $v_1[j]$ . Symmetrically, to recover  $w_1$ , if r = 0, she asks  $v_1[j]$ , while if r = 1, she asks  $v_0[j]$ .
- Then  $\mathcal{R}$  sums up mod q the received values  $v_i$  with  $r_i$ , recovering the secret.

**Fig. 11.** One-Round 
$$(k, k)$$
-DOT- $\binom{2}{1}$ .

We point out that the protocol given in Fig. 11 also shows that the results of Section 4 do not hold if  $D_R$  is information sent by the Sender to the Receiver in the set-up phase. Indeed,  $D_1, \ldots, D_k$  and  $D_R$  are related, the lower bound on the size of  $D_R$  given by Theorem 4.11 is not satisfied, and the protocol realizes a strong (k, k)-DOT- $\binom{2}{1}$ .

## 8. Applications

The protocols described before have several interesting applications and connections with other cryptographic protocols. We quickly describe some of them.

**Privacy Preserving Auctions and Mechanism Design** [39]. The notion of DOT was introduced in [37] to improve the protocol of [39]. More precisely, in that protocol there are three parties: an auctioneer, many bidders, and an agency supporting the auction. The auctioneer advertises the auction and its rules. The bidders submit their bids in "encrypted form" to the auctioneer, and the auctioneer, with the help of the agency, can compute the winner of the auction in such a manner that the privacy of the bidders (i.e., nonessential information about their own bids) is preserved. The weak point of the protocol is that if *the auctioneer and the agency collude*, then the privacy of the bids is lost. In order to strengthen the protocol, the agency can be split in two parts: a central agency, that operates only in a set-up phase, and *m* Servers, with which the auctioneer communicates in order to compute the auction. In this case the auctioneer needs to collude with *k* out of

the m Servers in order to violate the privacy of the bidders. The impossibility result for one-round (k, m) protocols private against a coalition of k-1 Servers and the Receiver we have shown in Section 4, means that the highest degree of privacy sought in [39] with this approach cannot be achieved. On the positive side, the two-round protocols described in Section 6 can be applied to this framework but the *communication pattern* changes and some more details must be taken into account.

Symmetric Private Information Retrieval. Distributed oblivious transfer protocols have connections with symmetric private information retrieval (PIR) schemes [26]. A PIR scheme [12] enables a user to retrieve an item of information from a public accessible database in such a way that the database manager cannot figure out from the query which item the user is interested in. However, the user can get information about more than one item. On the other hand, in SPIR (Symmetric Private Information Retrieval) schemes [26], the user can get information about one and only one item, i.e., even the privacy of the database is considered. In PIR and SPIR schemes the emphasis is placed on the *communication complexity* of the interaction of user and Servers. Therefore, an SPIR scheme can be seen as a *communication-efficient* 1-out-of-n oblivious transfer scheme. The main differences between the model we have considered and (information theoretic) SPIR schemes are that in SPIR schemes the Receiver communicates with k out of k Servers in order to retrieve an item, while in our setting the Receiver can choose k out of m Servers, where  $k \leq m$ . This property is useful since it guarantees a sort of Robustness for the SPIR scheme, in the sense that even if some Server crashes, the item can still be retrieved by the user by means of the other available ones. Hence, a communication-efficient threshold DOT scheme realizes a robust SPIR scheme. Another important difference is that in information-theoretic PIR and SPIR schemes the database is replicated among the Servers. Hence, every Server knows the content. In our model only a k-subset of Servers can reconstruct the database.

Another interesting relation of the DOT model we have studied is with information theoretic PIR schemes with preprocessing [2]. The set-up phase performed by the dealer can be seen as the preprocessing stage performed by the database owner in [2]. The combinatorial constructions we have shown are communication-inefficient but they require trivial computation for the Servers, once the scheme has been set up.

Just to emphasize the connection, notice that, using the DOT constructions presented in Section 7, we can set up a robust unconditionally secure symmetric private retrieval scheme. The database  $\mathcal{D}$  is simply distributed by the owner among m Servers, according to the (k, m)-DOT scheme for n secrets of Section 7.

### 9. Conclusions

In this paper we have studied unconditionally secure distributed oblivious transfer protocols. We have presented lower bounds on the resources required to implement such protocols, some impossibility results for one-round schemes, and new constructions which are optimal with respect to some of the given bounds. Moreover, we have shown that with a second round of interaction, the highest possible privacy level in this model can be achieved with, at the same time, a suitable reduction of resources (randomness,

memory storage, and communication complexity). The same effect can be achieved by modifying the model for DOT by allowing the Sender to send information during the set-up phase even to the Receiver. In this case the two-round protocol we have shown in the previous section can be simply transformed into a one-round protocol. This is another example of a tradeoff. Several questions and interesting open problems come up from this study. Among others:

- The design of a one-round DOT protocol meeting all the bounds given by the information-theoretic analysis.
- Techniques to improve the communication complexity of some of the presented schemes with application to SPIR with preprocessing.
- Identification of applicative settings which can benefit from this distributed implementation of the oblivious transfer.

Recently two papers have addressed the issue of security under composition.

The authors of [34] have investigated the question of whether security of protocols in the information-theoretic setting implies security under composition.

In [16] for unconditionally secure two-party protocols a security definition based on a small set of information-theoretic conditions was proposed, and it was shown that such a definition turns out to be equivalent to the definition based on the ideal/real model paradigm [29] which enjoys the sequential composability property.

It would be nice to identify the information-theoretic conditions that a DOT protocol needs to satisfy in order to preserve security under composition, and to derive bounds on the resources in this model.

More generally, it would be nice to come up with information-theoretic conditions for multi-party protocols which guarantee security under composition.

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## **Appendix. Information-Theory Elements**

In this appendix we briefly recall some concepts of information theory. The reader is referred to [13] for details.

A discrete random experiment is defined by a finite set, called the *sample space*, consisting of all elementary events, and a *probability measure* assigning a non-negative real number to every elementary event, such that the sum of all these probabilities is equal to 1. An *event* of a discrete random experiment is a subset of the sample space, and the probability assigned to it is the sum of the probabilities of its elementary events.

A discrete random variable **X** is a mapping from a sample space to a certain range X, and is characterized by its probability distribution  $\{P_{\mathbf{X}}(x)\}_{x\in X}$  that assigns to every  $x\in X$  the probability  $P_{\mathbf{X}}(x)$  of the event that **X** takes on the value x.

The *entropy* of X, denoted by H(X), is a real number that measures the uncertainty about the value of X when the underlying random experiment is carried out. It is defined

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by

$$H(\mathbf{X}) = -\sum_{x \in X} P_{\mathbf{X}}(x) \log P_{\mathbf{X}}(x),$$

assuming that the terms of the form  $0 \log 0$  are excluded from the summation, and where the logarithm is relative to the base 2. The entropy of a random variable satisfies  $0 \le H(\mathbf{X}) \le \log |X|$ , where  $H(\mathbf{X}) = 0$  if and only if there exists  $x_0 \in X$  such that  $Pr(\mathbf{X} = x_0) = 1$ ; whereas,  $H(\mathbf{X}) = \log |X|$  if and only if  $Pr(\mathbf{X} = x) = 1/|X|$ , for all  $x \in X$ . The deviation of the entropy  $H(\mathbf{X})$  from its maximal value can be used as a measure of nonnuniformity of the distribution  $\{P_{\mathbf{X}}(x)\}_{x\in X}$ . The entropy is also interpreted as a measure of the amount of information given on average by the random variable, i.e., the amount of information given on average by the result of the random experiment associated with it.

Given two random variables **X** and **Y**, taking values on sets X and Y, respectively, according to a probability distribution  $\{P_{XY}(x, y)\}_{x \in X, y \in Y}$  on their Cartesian product, the conditional uncertainty of X, given the random variable Y, called conditional entropy and denoted by  $H(X \mid Y)$ , is defined as

$$H(\mathbf{X} \mid \mathbf{Y}) = -\sum_{y \in Y} \sum_{x \in X} P_{\mathbf{Y}}(y) P_{\mathbf{X} \mid \mathbf{Y}}(x \mid y) \log P_{\mathbf{X} \mid \mathbf{Y}}(x \mid y).$$

Notice that the conditional entropy is not the entropy of a probability distribution but the average over all entropies  $H(X \mid Y = y)$ . Simple algebra shows that

$$H(\mathbf{X} \mid \mathbf{Y}) \ge 0 \tag{32}$$

with equality if and only if X is a function of Y. The conditional entropy is a measure of the amount of information on X, once given Y.

The *mutual information* between **X** and **Y** is given by

$$I(\mathbf{X}; \mathbf{Y}) = H(\mathbf{X}) - H(\mathbf{X} \mid \mathbf{Y}).$$

Since

$$I(\mathbf{X}; \mathbf{Y}) = I(\mathbf{Y}; \mathbf{X}) \quad \text{and} \quad I(\mathbf{X}; \mathbf{Y}) > 0,$$
 (33)

it is easy to see that

$$H(\mathbf{X}) > H(\mathbf{X} \mid \mathbf{Y}),\tag{34}$$

with equality if and only if X and Y are independent. The mutual information is a measure of the common information between **X** and **Y**.

Given n+1 random variables,  $X_1, \ldots, X_n, Y$ , the entropy of  $X_1, \ldots, X_n$  given Y can be written as

$$H(\mathbf{X}_1,\ldots,\mathbf{X}_n\mid\mathbf{Y})=H(\mathbf{X}_1\mid\mathbf{Y})+H(\mathbf{X}_2\mid\mathbf{X}_1,\mathbf{Y})+\cdots+H(\mathbf{X}_n\mid\mathbf{X}_1,\ldots,\mathbf{X}_{n-1},\mathbf{Y}).$$
(35)

Therefore, for any sequence of n random variables,  $X_1, \ldots, X_n$ , it holds that

$$H(\mathbf{X}_1, \dots, \mathbf{X}_n) = \sum_{i=1}^n H(\mathbf{X}_i \mid \mathbf{X}_1, \dots, \mathbf{X}_{i-1}) \le \sum_{i=1}^n H(\mathbf{X}_i).$$
 (36)

Moreover, the above relation implies that, for each  $k \le n$ ,

$$H(\mathbf{X}_1, \dots, \mathbf{X}_n) \ge H(\mathbf{X}_1, \dots, \mathbf{X}_k). \tag{37}$$

Given three random variables, X, Y, and Z, the *conditional mutual information* between X and Y given Z can be written as

$$I(\mathbf{X}; \mathbf{Y} \mid \mathbf{Z}) = H(\mathbf{X} \mid \mathbf{Z}) - H(\mathbf{X} \mid \mathbf{Z}, \mathbf{Y}) = H(\mathbf{Y} \mid \mathbf{Z}) - H(\mathbf{Y} \mid \mathbf{Z}, \mathbf{X}) = I(\mathbf{Y}; \mathbf{X} \mid \mathbf{Z}).$$
(38)

Since the conditional mutual information  $I(X; Y \mid Z)$  is always non-negative we get

$$H(\mathbf{X} \mid \mathbf{Z}) \ge H(\mathbf{X} \mid \mathbf{Z}, \mathbf{Y}). \tag{39}$$

Finally, given three random variables, X, Y, and Z, such that Z is a function of Y, i.e., Z = f(Y), then it holds that

$$H(\mathbf{X} \mid \mathbf{Y}) = H(\mathbf{X} \mid \mathbf{Z}, \mathbf{Y}). \tag{40}$$

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