Rituximab in Children with Steroid-Dependent Nephrotic Syndrome: A Multicenter, Open-Label, Noninferiority, Randomized Controlled Trial

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ABSTRACT

Steroid-dependent nephrotic syndrome (SDNS) carries a high risk of toxicity from steroids or steroid-sparing agents. This open-label, noninferiority, randomized controlled trial at four sites in Italy tested whether rituximab is noninferior to steroids in maintaining remission in juvenile SDNS. We enrolled children age 1–16 years who had developed SDNS in the previous 6–12 months and were maintained in remission with high prednisone doses (\geq 0.7 mg/kg per day). We randomly assigned participants to continue prednisone alone for 1 month (control) or to add a single intravenous infusion of rituximab (375 mg/m²; intervention). Prednisone was tapered in both groups after 1 month. For noninferiority, rituximab had to permit steroid withdrawal and maintain 3-month proteinuria (mg/m² per day) within a prespecified noninferiority margin of three times the levels among controls (primary outcome). We followed participants for \geq 1 year to compare risk of relapse (secondary outcome). Fifteen children per group (21 boys; mean age, 7 years [range, 2.6–13.5 years]) were enrolled and followed for \leq 60 months (median, 22 months). Three-month proteinuria was 42% lower in the rituximab group (geometric mean ratio, 0.58; 95% confidence interval, 0.18 to 1.95 [*i.e.*, within the noninferiority margin of three times the levels in controls]). All but one child in the control group relapsed within 6 months; median time to relapse in the rituximab group was 18 months (95% confidence interval, 9 to 32 months). In the rituximab group, nausea and skin rash during infusion were common; transient acute arthritis occurred in one child. In conclusion, rituximab was noninferior to steroids for the treatment of juvenile SDNS.

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Idiopathic nephrotic syndrome is characterized by episodes of severe proteinuria and hypoalbuminemia (serum albumin<2.5 g/dl) and is often associated with dyslipidemia and hypercoagulability. It affects 2–10 children per 100,000 per year in Western countries, with a prevalence of 16 cases per 100,000.¹

Oral corticosteroids are the cornerstone of therapy and induce disease remission in approximately 90% of patients.^{2,3} However, up to 85% of these patients Received August 18, 2014. Accepted November 18, 2014.

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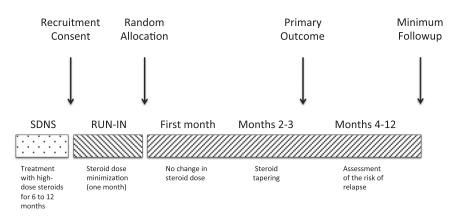
relapse within 5 years,^{4–8} and many will develop steroid dependence. In this situation, the disease relapses within 2 weeks of steroid withdrawal and treatment must be continued indefinitely. Clinical practice guidelines suggest using low-dose prednisone to maintain remission in steroid-dependent forms of the disease (evidence level 2C–D) and corticosteroid-sparing agents (*i.e.*, calcineurin inhibitors) for children who develop steroid-related adverse effects (evidence level 1B).^{1,9,10} Given the toxicity of these agents, alternative treatment options must be investigated.^{11,12}

Rituximab, a chimeric monoclonal anti-CD20 antibody, is increasingly being used as a steroid-sparing treatment option for children with idiopathic nephrotic syndrome. However, this is based largely on evidence from observational data, which are known to overestimate treatment benefits. One clinical trial in juvenile forms of nephrotic syndrome treated with both steroids and calcineurin inhibitors has reported more modest benefits.¹³ A recent trial reported more promising results in similarly complicated forms of frequently relapsing and steroid-dependent nephrotic syndrome treated with both steroid and immunosuppressant therapies.¹⁴ Overall, all studies have demonstrated that rituximab has temporary effects, although optimal frequency of repeated infusions to optimize benefits and minimize potential risks is unknown. While long-term followup data indicate that oral drug-free remission after rituximab injection tends to last longer in children receiving combined therapy who were initially dependent on steroids alone and with shorter disease duration,¹⁵ to date no trial has assessed the use of rituximab in early-stage uncomplicated steroid-dependent nephrotic syndrome (SDNS). We conducted a randomized controlled trial in children with SDNS who had normal levels of proteinuria and whose state of complete remission depended on high-dose steroids alone for 6-12 months (i.e., without calcineurin inhibitors) to determine whether rituximab would be noninferior to steroids in maintaining complete disease remission.

RESULTS

Study Participants

Between April 2009 and December 2012 we screened 80 children. Of these, all 30 eligible children consented to participate. After the run-in period 15 were randomly assigned to each study group (Figures 1 and 2) and followed until April 2014. Reasons for ineligibility included steroid-resistant disease (n=11), use of low-dose steroids (n=17), and treatment-free remission (n=22). Although all participants were receiving high-dose prednisone (>0.7 mg/kg per day) at the beginning of the run-in period, at the end of the run-in period the average prednisone doses were 0.1 mg/kg per day lower (see Concise Methods). Participant characteristics at the end of the 1-month





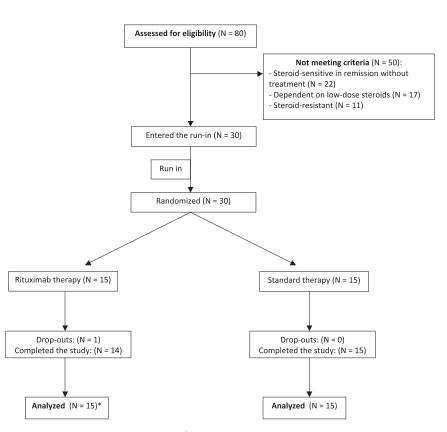


Figure 2. Flowchart. The parents of one participant in the treatment group withdrew consent immediately after randomization. All remaining participants remained in the study for at least 1 year. *Intention-to-treat principle.

run-in period were similar in both study groups (Table 1). Mean age of the participants was 7 years (range, 2.6-13.5 years), and 70% of patients were male. Average disease duration was 2.5 years. Before developing steroid-dependent disease, participants had experienced a median of 3 relapses (range, 2-8). Proteinuria levels were in the normal range and were similar in both treatment groups, as were average prednisone doses, levels of baseline kidney function, serum albumin, and cholesterol. Three children per group had received antiproliferative agents before the study (five received cyclophosphamide and one received mycophenolate mofetil); all had been steroid dependent for 6-12 months at enrollment, and none had signs of steroid toxicity (Supplemental Table 1) or growth deficit (Supplemental Table 2). All participants remained in the study for at least 1 year except one, whose parents withdrew consent after randomization. Median duration of follow-up was 22 months (range, 1–60 months).

Primary Outcome

Proteinuria increased at 3 months in the prednisone group (37%; 95% confidence interval [95% CI], 7% to 76%) and decreased in the rituximab group (-37%; 95% CI, -20% to -51%) (Table 2). After we accounted for baseline proteinuria, 3-month proteinuria was 42% lower in the treatment group than the control group, although this did not reach statistical significance (ratio of means, 0.58; 95% CI, 0.18 to 1.95). The upper CI was below the prespecified noninferiority margin of 3 (Figure 3). Results of sensitivity analyses were the same (Supplemental Table 3).

Secondary Outcomes

Figure 4 shows the proportion of children with proteinuria $>500 \text{ mg/m}^2$ per day and those using prednisone or calcineurin inhibitors. Although levels of proteinuria remained similar in the two groups, children treated with rituximab

Table 1. Participant characteristics at randomization(following the 1-month run-in period)

Cases	Control (<i>n</i> =15)	Intervention (<i>n</i> =15)
Age (yr)	6.9±3.1	6.9±3.6
Boys (%)	11 (73)	10 (67)
Body weight (kg)	31±14	30±16
Disease duration (yr)	2.0±2.5	2.7±2.4
Median no. of relapses (range)ª	2 (2–7)	3 (2–8)
Steroid toxicity (%) ^b	0	0
Prednisone dose (mg/kg per day)	0.60 ± 0.47	0.57 ± 0.42
ARB/ACEI use, n (%)	2 (13%)	0
Urinary protein (mg/m ² per day)	76±48	84±39
Serum albumin (g/dl)	4.0±0.4	3.8±0.3
Serum cholesterol (mg/dl)	172±20	164±20
Serum creatinine (mg/dl)	0.41 ± 0.1	0.40 ± 0.2

Values are reported as means±SDs for quantitative variables (units) and as absolute (*n*) and relative (%) frequencies for qualitative variables. ARB/ACEi, angiotensin-receptor blockers or angiotensin-converting enzyme inhibitors. ^aNumber of relapses before the development of steroid dependence. ^bSee Supplemental Table 1 for definitions.

 Table 2.
 Proteinuria at 3 months (primary endpoint; analysis of covariance model)

Group	Mean (mg/m ² per day)	Mean Ratio	Change (%)
Prednisone	49 (2 to 948)	Reference	Reference
Rituximab	28 (2 to 474)	0.58 (0.18 to 1.95)	-42 (-82 to 95)

Estimates and 95% CIs of the means of proteinuria at 3 months, their ratio, and percentage change. The model is adjusted for baseline values of proteinuria.

were less likely to require steroids or steroid-sparing agents to maintain remission. The mean ± SD prednisone dose at 3 months was lower in the rituximab group than in the control group $(0.09\pm0.21 \text{ versus } 0.54\pm0.39 \text{ mg/kg per day}; P < 0.001)$. Figure 5 summarizes 1-year relapse-free survival by treatment group. Fourteen of 15 children in the control group relapsed during tapering of the prednisone dose, whereas only 1 child in the rituximab group relapsed within 6 months of randomization, resulting in a significant difference between the two survival curves (P < 0.01). Of the participants assigned to the rituximab group, relapse-free survival was 66% (95% CI, 38% to 85%) at 1 year and 34% (95% CI, 10% to 59%) at 2 years (Supplemental Figure 1). By study end (follow-up range, 1-60 months), 14 relapses had occurred in 9 children randomly assigned to rituximab (relapse rate, 0.39 per patient-year; 95% CI, 0.26 to 0.61 per patient-year), and 7 children had received one to three additional rituximab courses. Six children in the rituximab group did not experience a relapse. The median relapse-free time between rituximab treatments was 18 months (95% CI, 9 to 32 months) (Supplemental Figure 2).

Hematologic Data

CD20 counts were reduced to <1% at 1 month in all participants treated with rituximab. After 3 months, CD20 counts remained undetectable in all these children. Mean time to CD20 reconstitution was 5.8 months (median, 5.5; range, 4–12 months). None of the participants had lymphocytopenia or neutropenia. Serum levels of IgG 3 months after rituximab infusion were the same as in the comparator group (Supplemental Table 4).

Adverse Events

During rituximab infusion, all participants reported mild nausea and/or skin rash, which were successfully treated in all cases by slowing the infusion rate and increasing the dose of chlorfenamine. One participant had fever with migrating skin rash and acute arthritis at the hip joint 32 days after the rituximab infusion. Resolution was rapidly and completely achieved in 48 hours with nonsteroidal anti-inflammatory medications. No adverse events occurred in the control group.

DISCUSSION

This trial used a parallel-arm, noninferiority design to test whether rituximab was noninferior to standard care (prednisone) in

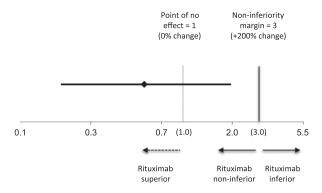


Figure 3. Graphical representation of the analysis of covariance model. The effect of rituximab is measured as ratio of geometric means of proteinuria at 3 months (0.58; 95% Cl, 0.18 to 1.95). The upper limit of the Cl (1.95) is smaller than the prespecified non-inferiority margin of 3, corresponding to a 200% increment in proteinuria compared with the control group. For results of this analysis see Table 2.

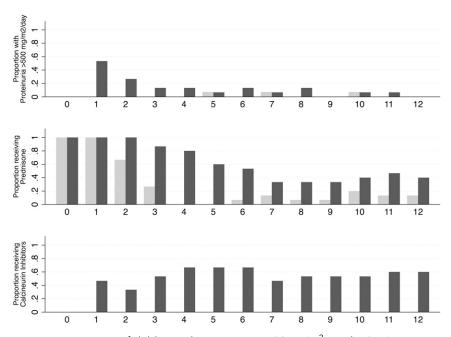


Figure 4. Proportion of children with proteinuria >500 mg/m² per day (top), receiving prednisone (middle) or steroid-sparing agents (bottom) by treatment group. Light gray indicates rituximab group; dark gray indicates control group.

maintaining proteinuria levels within the normal range. We chose this design because the study population included children successfully maintained in complete remission by prednisone therapy. We found that a single infusion of rituximab allowed steroid withdrawal in children with early-stage uncomplicated SDNS and was noninferior to steroids in maintaining remission. At 1 year, 66% of the children assigned to rituximab were still in steroid-free remission. Median relapse-free time following each infusion was 18 months, and the short-term adverse event profile of rituximab included only reversible side effects during the drug infusion. Steroid withdrawal was not possible in the control group, and all children required a steroid-sparing agent within a month of attempting the steroid taper.

Our findings in uncomplicated forms of SDND treated with a single rituximab infusion complement those from a recent Japanese trial. That trial reported a 73% reduction in the risk of relapse (versus 98% in our study) and a median relapse-free survival duration of 9 months (versus 18 months in our study) in children with complicated forms of frequently relapsing and steroid-dependent nephrotic syndrome treated with four weekly doses of rituximab.¹⁴ Important reasons might explain this discrepancy. First, our study included only children with uncomplicated disease responsive to and dependent on steroids alone, as well as substantially shorter disease duration at enrollment (2.5 versus 8 years). Second, our study was more susceptible to bias because it was open label, whereas the Japanese study was a double-blind, placebo-controlled trial.

Our study findings also echo those from two recent observational studies^{15,16} examining the role of rituximab as a

> steroid-sparing agent in SDNS and involving a total of 70 children and 20 adults. In both studies, rituximab reduced the rate of relapse and improved kidney function in children with SDNS. The present randomized controlled trial provides longer-term data consistent with these findings.

> The limited toxicity of rituximab and the potential benefits of maintaining disease remission while avoiding steroids and calcineurin inhibitors support the use of rituximab as a steroid-sparing agent in juvenile SDNS, but the effects of rituximab in other forms of nephrotic syndrome remain uncertain. Data from a previous trial, for example, do not support the use of rituximab in children with nephrotic syndrome that is resistant to steroids and calcineurin inhibitors.17 In forms of nephrotic syndrome that depend on both steroids and calcineurin inhibitors, rituximab may be used to attain oral drug-free remission,13 but a single rituximab infusion may be required as frequently as every 6 months.¹⁵ While more doses may prolong drug-free remission, this may increase the risk of adverse events, such as neutropenia.18 In the Japanese

trial, for example, lymphocytopenia and neutropenia occurred in 17% and 8% of the children, respectively.¹⁴ Results from our study suggest that oral drug–free remission may be up to three times as long in children with a relatively recent diagnosis of SDNS who are maintained in complete remission with steroids alone.

The mechanisms mediating the effects of rituximab in SDNS are not clear. Most participants in the present trial remained in remission for several months after reconstitution of CD20⁺ lymphocytes, suggesting that the clinical effect of rituximab may continue beyond its biologic activity on CD20

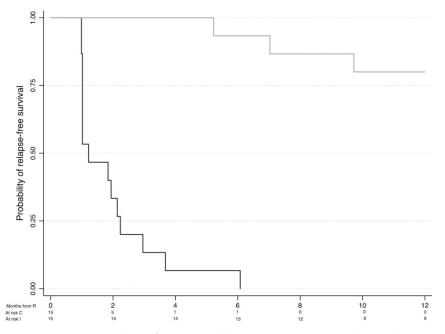


Figure 5. One-year relapse-free survival by treatment group in the prednisone (control; dark gray) and rituximab (intervention; light gray) groups. The risk of relapse was reduced by 98% in children treated with rituximab (hazard ratio, 0.02; 95% CI, 0.01 to 0.15). C, comparator group; I, intervention group; R, randomization.

and that, therefore, CD20 levels alone are not helpful in decision-making about timing of repeated infusions. Rituximab depletes the B cell population, yet this disease has been historically considered a T cell disorder^{19,20} that is potentially mediated by a circulating factor.^{21,22} Published investigations offer some insight as to potential explanations for this apparent paradox.^{23–25} First, podocytes express the B cell costimulatory molecule B7-1 in response to various pathologic stimuli,^{26,27} and rituximab may delay the appearance of B7-1 on the podocytes, as in B cells of patients with non-Hodgkin lymphoma.²⁸ In support of this theory, abatacept, a blocker of costimulatory molecules, has been used with success in five cases of otherwise treatment-resistant FSGS.²⁷ Second, depletion of B cells may restore the regulatory T-cell population,^{29,30} which is deficient in nephrotic syndrome.^{19,31} Rituximab may also deplete the IL-17-producing CD4⁺ T cells (Th17), which express CD20 on their surface and have been implicated in the pathogenesis of the disease.^{32,33} The anti-Th17 effect of rituximab formed the theoretical basis for its use in rheumatoid arthritis^{34–37} and in small vessel vasculitis.38 Finally, rituximab exerts direct and nonimmunologic effects on the podocyte. Rituximab binds to the sphingomyelin phosphodiesterase acid-like 3b protein (SMPDL3b) expressed in the podocyte lipid raft microdomains, the critical plasma membrane signaling platforms for the regulation of the cell cytoskeleton.³⁹ Binding of rituximab to SMPDL3b may prevent the downregulation of the acid sphingomyelinase activity induced by FSGS sera and preserve podocyte structure and function.39

Our study has limitations, including failure to use placebo among controls, lack of blinding, and small sample size. However, we worked to mitigate the consequences of these sources of bias by treating all participants with the same steroid-tapering schedule, using an objective laboratory measure as the outcome, minimizing type I and type II errors in our sample size calculation, and following patients for up to 5 years.

Several questions need to be addressed before rituximab use on a large scale can be recommended. Data are not available on longterm benefits and harms or on the optimal frequency of repeated rituximab infusions, particularly in children relapsing within 6 months of the first rituximab infusion (1 of 15 children in this study). We do not know whether SDNS is a progressive disease or a disease that affects some children more severely than others, and therefore we do not know whether the strategy to maximize the benefits of rituximab therapy should be based on the stage of the disease or the patient characteristics. Preliminary data from this study indicate that most children

can be maintained in remission using rituximab treatment alone with repeated infusions every 9–30 months. Second, the long-term safety of rituximab remains uncertain, including the risk of malignancy and progressive multifocal leukoencephalopathy. According to a recent systematic review, these longerterm adverse events that have been reported in the literature occurred in individuals who had received other immunosuppressive medications before rituximab and in nonrenal patients.⁴⁰ Finally, most recent reports, including the present trial, have included only white patients, and further studies of patients from different ethnic groups are needed.

In summary, data from the present clinical trial indicate that rituximab allows the complete withdrawal of steroids in juvenile, steroid-dependent forms of nephrotic syndrome without adversely affecting clinical outcomes and has an acceptable shortterm adverse event profile.

CONCISE METHODS

Trial Design

This multicenter, open-label, randomized, noninferiority trial was conducted at four sites in Italy between April 2009 and April 2014, with a planned minimum follow-up of 1 year. Participants underwent a 1-month run-in period during which instruction on urine collection and dipstick readings were carefully reviewed, proteinuria was monitored, adherence assessed, and oral prednisone dose reduced to the minimum dose required in the previous 6 months. Following randomization, steroid treatment was continued for 1 month and then tapered as tolerated in both groups. Steroids were tapered in an attempt to determine whether a single pulse of rituximab would allow complete steroid withdrawal and maintain steroid-free remission. A parallel-arm, noninferiority design was used to test whether rituximab was noninferior to standard care (prednisone) in maintaining proteinuria levels within a prespecified margin. An independent data and safety monitoring board reviewed safety data once half the participants had been enrolled and again at the end of the study. The protocol was approved by the ethics committee of the coordinating and data collection center and registered in the European Clinical Trials Database (EudraCT: 2008–004486–26).

Participants

Eligible participants were 1–16 years of age with an eGFR>60 ml/min per 1.73 m^{241,42} who had idiopathic, steroid-dependent nephrotic syndrome for a minimum of 6 to a maximum of 12 months at the time of study entry, regardless of disease duration. Participants must have been receiving high-dose steroids (\geq 0.7 mg/kg per day)¹ continuously to maintain remission for the 3 months preceding enrollment. Participants were excluded if they had received calcineurin inhibitors at any time or had received cyclophosphamide or mycophenolate in the 6 months before enrollment.

Nephrotic syndrome was defined as proteinuria in the nephrotic range (>1000 mg/m² per day or protein-to-creatinine ratio>4 mg/mg in a single urine specimen) or proteinuria ranging from 250 to 1000 mg/m² per day in association with hypoalbuminemia (serum albumin <2.5 g/dl) or dyslipidemia (total cholesterol>250 mg/dl). Remission was defined as stable proteinuria<150 mg/m² per day. Genetic testing and renal biopsy were not required because juvenile nephrotic syndrome is diagnosed largely on the basis of a response to prednisone, as per Kidney Disease Improving Global Outcomes guidelines.¹ Cases were considered steroid dependent if patients responded to full doses of prednisone (2 mg/kg) but experienced two consecutive relapses during prednisone tapering or within 2 weeks of prednisone withdrawal.¹ Angiotensin-converting enzyme inhibitors or angiotensin-receptor blockers were used at the discretion of the investigators and were kept constant during the study.

We excluded children with previous episodes of macrohematuria, a history of hepatitis B or C, HIV infection, positivity of any marker of autoimmunity (antinuclear antibody, nuclear DNA, ANCA), and low complement C3 levels. Children requiring diuretics, albumin, or anticoagulants were also excluded.

Randomization and Masking

Participants were randomly assigned in a 1:1 ratio to continue standard therapy alone (oral prednisone) or standard therapy plus a single infusion of rituximab. Randomization was done following a permuted-block design with blocks of variable sizes (4–6). A distant site with no clinical involvement in the trial generated the randomization list and kept the allocation concealed. Assignments were notified electronically after signed consent was obtained (and assent for participants who were capable of assenting). Because of the nature of the intervention, clinical investigators and study nurses were not blinded to group assignment, nor were participants.

Study Treatments

In the intervention group, rituximab (MabThera/RITUXAN; 375 mg/m²) was diluted in normal saline (1 mg/ml) and administered at a rate of 0.5-1.5 ml/min over approximately 6 hours following the infusion of 2.5-5 mg of intravenous chlorfenamine maleate (based on the local protocol and patient tolerance), methylprednisolone (2 mg/kg) in normal saline, and oral paracetamol (8 mg/kg). In both groups, prednisone was continued at the same doses as during the run-in, then tapered off by 0.3 mg/kg per week starting at 30 days and withdrawn if proteinuria levels were still <1 g/m² per day at the end of the taper. In both groups treatment was restarted if proteinuria was $\geq 1 \text{ g/m}^2$ per day during follow-up. In the control group, relapses were treated with prednisone (maximum dose, 2 mg/kg per day) or steroid-sparing agents, including cyclosporine, tacrolimus, or cyclophosphamide, at the discretion of local investigators. Rituximab could be used only after failure of other steroid-sparing agents. In the treatment group relapses were treated with rituximab.

Follow-up

Study visits occurred at baseline and every 3 months thereafter, unless complications or relapses occurred. Study coordinators maintained ongoing contact with the children, their families, and the family physician to collect clinical data, including BP and potential adverse events (Supplemental Table 5). Proteinuria was evaluated daily using a simple dipstick test and monthly using a 24-hour urine collection. Because of the frequent false-positivity of dipsticks,⁴³ we planned a 24-hour urine collection for readings of $\geq 1^+$. Kidney function, plasma proteins, and cholesterol values were measured monthly. White blood cell and lymphocyte population counts were monitored monthly in the rituximab group.

Outcomes

Participants randomly assigned to rituximab therapy were compared with those receiving standard care for the primary outcome of the percentage change in daily proteinuria at 3 months. The primary outcome was assessed at 3 months because most SDNS relapses occur within 2 weeks of prednisone withdrawal. We assessed the risk of relapse during the year following randomization as a secondary endpoint and collected data on repeated relapses beyond the initial year. Relapse was defined as proteinuria of 1000 mg/m² per day (protein-to-creatinine ratio>4 mg/mg) or proteinuria>500 mg/m² per day (protein-to-creatinine ratio, 2–4 mg/mg) that was associated with hypoalbuminemia.

Statistical Analyses

This study was designed to evaluate whether rituximab was noninferior to standard care in maintaining proteinuria levels in remission with a noninferiority margin of 3 for the geometric mean ratio. This margin was based on previous studies, which reported average proteinuria in children in remission as $<300 \text{ mg/m}^2$ per day¹; a level three times this value remains below the 1000 mg/m² per day threshold at which prednisone treatment is usually started to treat relapses. We assumed a lognormal distribution of proteinuria and used information from a prior study on its SD.¹³ With a coefficient of variation of 0.85 (coefficient of variation=exp[SD²]-1) and a type I error rate of 0.01, we estimated that 30 participants would provide a power of 90% to detect a ratio of the geometric means of proteinuria between treatment and control groups <3 (*i.e.*, lower than the prespecified margin), after accounting for a 5% risk of withdrawals.44 We analyzed outcome data according to the intention-to-treat principle, with no interim or subgroup analyses. We modeled 3-month log-transformed proteinuria using an analysis of covariance model with treatment as factor and log-transformed baseline proteinuria as covariate (primary analysis). Missing values at 3 months were replaced using the last-observation-carried-forward method. We conducted sensitivity analyses replacing missing data at 3 months alternately with the highest and the lowest proteinuria value in the study group and using a per-protocol approach. We used the Kaplan-Meier method to describe 1-year relapse-free survival and Cox regression to estimate the effect of treatment. We censored participants at the study end date if they were event free or at the time they left the study (main analyses). In sensitivity analyses we assumed that the event occurred at the last observation time for participants who left the study before the planned 1-year follow-up. We used two-sided tests with a significance level of 0.05 for all analyses. We used Stata software, version 13.1 (http://www.stata.com), and R software, version 3.0.2 (http:// www.R-project.org) for all analyses.

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DISCLOSURES

All authors have completed the Unified Competing Interest form at www. icmje.org/coi_disclosure.pdf (available on request from the corresponding author). J.R. has received fees from Genetech and Roche.

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