# Affective Recommendation of Movies Based on Selected Connotative Features

Luca Canini, Sergio Benini, and Riccardo Leonardi

Abstract—The apparent difficulty in assessing emotions elicited 2 by movies and the undeniable high variability in subjects' 3 emotional responses to film content have been recently tackled 4 by exploring film connotative properties: the set of shooting and 5 editing conventions that help in transmitting meaning to the au-6 dience. Connotation provides an intermediate representation that exploits the objectivity of audiovisual descriptors to predict the 7 subjective emotional reaction of single users. This is done without 8 the need of registering users' physiological signals. It is not done 9 by employing other people's highly variable emotional rates, but 10 11 by relying on the intersubjectivity of connotative concepts and 12 on the knowledge of user's reactions to similar stimuli. This 13 paper extends previous work by extracting audiovisual and film 14 grammar descriptors and, driven by users' rates on connotative 15 properties, creates a shared framework where movie scenes are placed, compared, and recommended according to connotation. 16 We evaluate the potential of the proposed system by asking users 17 to assess the ability of connotation in suggesting film content able 18 target their affective requests. to 19

20 Index Terms—Affective recommendation, video analysis.

21

# I. INTRODUCTION

URING the last few years, the technological evolution 22 and the fast growth of social networks have been shaping 23 new generation of media consumers. Today, it is extremely а 24 easy to access private or shared repositories of multimedia 25 content; as a consequence, the way people enjoy movies, music 26 clips, or home-made videos has dramatically changed, thanks 27 so to the introduction of video on-demand technologies. al 28

In this scenario, a person that feels like watching a movie 29 may rely on the suggestions of his or her group of friends, 30 31 or on the opinions of a virtual community that shares the same interests. Alternatively, this person could also benefit 32 from the help of a media recommender system with the ability 33 suggest video content on the basis of his or her user 34 to <sup>35</sup> profile, social experience, relationships, and current affective state. The ability of tuning automatic systems according to 36 37 the emotional state or wishes of users is receiving growing attention, due to the intriguing new possibilities that could 38 be offered by applying affective computing techniques to 39 40 multimedia systems [1].

Digital Object Identifier 10.1109/TCSVT.2012.2211935

Psychologists have already investigated the emotioneliciting properties of film media, both in terms of empathy 42 with characters and situations, and in terms of the director's 43 use of established film-making techniques that provide emo-44 tional cues. Regarding viewers' empathy, Tan [2] explained 45 a universal affective response in terms of a witness effect in 46 classical Hollywood films, wherein the viewer experiences the 47 real emotions of being a part of the depicted events. Provided 48 they are engaged with the media, viewers' responses are, 49 therefore, a genuine reflection of the affective characterization 50 of a scene. 51

According to Smith [3], it is not merely empathy with 52 characters that provides the affective cues within film media. 53 Indeed, film-makers make use of techniques of editing, mu-54 sical scores, lighting, and other aspects of mise-en-scene to 55 emphasize a particular emotional interpretation by the viewer. 56 These aesthetic arcs within a film, referred to as connotation, 57 plot a continuous path of affective communication, regardless 58 of narrative or plot details, which influences how the mean-59 ings conveyed by the director are transmitted to persuade, 60 convince, anger, inspire, or soothe the audience. In cinema 61 as in the literature, we do not merely "read what we see," but 62 connotation brings to our interpretation a range of pre-existing 63 expectations, knowledge and shared experiences that shape the 64 emotional meaning we take from what we see. 65

#### A. Paper Aims and Organization

The severe entanglement between connotation and emotions 67 inspired authors to develop in [4] a space for affective description of movies through their connotative properties. In that 69 work, authors tackled two main research questions. 70

- 1) To what extent can we trust emotions registered by 71 other individuals and the content they recommend? The 72 answer was: not much, since emotions are personal, and 73 everyone reacts to any event or to media content in a way 74 that depends on cultural, personal, past experiences and 75 other, even short term, subjective factors. As a possible 76 alternative, perceived connotative properties prove to be 77 more intersubjectively shared than emotions [4]. 78
- 2) Are connotative rates assigned by users more effective 79 for recommending content than provided affective annotations? The outcome was that movie scenes different 81 in content but similar in connotation likely elicit, in 82 the same user, similar affective reactions. Therefore, 83 using scene similarity based on connotative properties 84 to recommend similar affective content to a single user 85

Manuscript received January 30, 2012; revised June 4, 2012; accepted July 11, 2012. This paper was recommended by Associate Editor T. Zhang.

The authors are with the Department of Information Engineering, University of Brescia, Brescia 25123, Italy (e-mail: luca.canini@ing.unibs.it; sergio.benini@ing.unibs.it; riccardo.leonardi@ing.unibs.it).

Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

is more reliable than exploiting other users' emotionalannotations [4].

In this paper, extending the work in [4], we target automatic
recommendation of affective content based on audiovisual
features. In particular, we investigate the following questions.

 Can we predict connotative values from audiovisual features? By modeling the relationship between connotative rates assigned by users and selected audiovisual features, we are able to automatically predict connotative values as perceived by users, thus positioning scenes in the connotative space defined in [4].

2) Can we recommend affective content based on predicted 97 values of connotation? Performed tests confirm that rec-98 ommending movie scenes that are at minimum distance 99 in the connotative space from a query one is an effective 100 strategy for proposing similar emotional content. This 101 verifies that the connotative space constitutes a valid 102 intersubjective platform for affective comparison and 103 recommendation of films. 104

As a first advantage with respect to the state of the art, the recommendation method here proposed reduces the problem problem properties affective annotations. Since connotative properties are more agreed among people than their emotional reactions, connotation provides a more accurate recommendation method the for targeting single users' affective requests.

Second, the proposed learning method that models how 112 to translate low and mid-level properties of video into an 113 intersubjective space for affective analysis of films constitutes 114 а valid nonobtrusive alternative to established methods for 115 performing research on emotions, such as users' self-reporting, 116 monitoring of user's behavior, and neurophysiological signal 117 recording (cited as in [5], on ascending scale of obtrusiveness). 118 This paper is organized as follows. Section II explores 119 recent advances in affective video analysis and recaps previous 120 findings and experiments in [4] preparatory to this paper. 121 Section III presents the overall methodology, while Section IV 122 describes the audiovisual features extracted to build models for 123 the connotative dimensions. Section V sketches the algorithm 124 used to select features among extracted candidates, which are 125 then mapped onto connotative dimensions by means of the 126 learning methods described in Section VI. Section VII first 127 introduces a validation of the employed model by evaluating 128 the ranking ability of the proposed method on recommen-129 dation lists against a ground truth; a user test then assesses 130 performance and potentialities of the proposed framework for 131 affective recommendation of movie scenes. Conclusions and 132 future work are provided in Section VIII. 133

# **II. PREVIOUS WORK**

134

Recent progress made in the development of affective systems, a well-detailed review of emotion theories, and methods for studying emotions in information science, information retrieval, and human–computer interaction, can be found in the notable work by Lopatovska and Arapakis [5]. Concerning multimedia affective content analysis, this research topic was nut not popular until a few years ago due to the difficulty in defining objective methods for assessing the affective value of 142 a video and for relating audiovisual descriptors with the emo- 143 tional dimension of the audience. In this sense, the intuition of 144 Hanjalic represents a breakthrough [6]; the affective dimension 145 of media can be explored because of the expected mood, i.e., the set of emotions the film-maker intends to communicate 147 when he or she produces the movie for a particular audience 148 with a common cultural background. In a work co-authored 149 with Xu [7], Hanjalic pioneers the affective analysis of video content through an approach based on direct mapping of 151 specific video features onto the PA dimensions of the pleasure- 152 arousal-dominance (PAD) emotional model [8]. They describe 153 motion intensity, cut density, and sound energy as arousal 154 primitives, defining an analytic time-dependent function for 155 aggregating these properties along video frames. Though the 156 mapping of video properties on a model intended for describ-157 ing emotions (PAD) is inspired from the previous literature, 158 it has not yet been thoroughly validated by psychological questionnaires or physiological measurements, which would 160 be proper methods for assessing a time-dependent model. 161

To date, emotional characterization of videos has been 162 mainly used to study a narrow set of situations, such as specific 163 sporting events as in [9] or, most frequently, movies that 164 belong to a particular genre such as horror movies, as in [10]. 165 Extending this approach, Xu *et al.* [11] described emotional 166 clustering of films for different genres, using averaged values 167 of arousal and valence deduced from video parameters. Such 168 a proposed framework performs better for action and horror 169 films than for drama or comedy, a fact that authors attribute 170 to the prominence of specific features in the first two genres. 171

Regarding movie scenes, Wang and Cheong [12] proposed 172 to fuse audio and visual low-level features in a heterarchical 173 manner in a high-dimensional space, and to extract from such 174 a representation meaningful patterns by an inference SVM 175 engine. They employed such an approach for probabilistic 176 classification of Hollywood movie scenes into a finite set of 177 affective categories. They also corroborated the view that audio 178 cues are often more informative than visual ones with respect 179 to affective content. In a later work [13], they proposed a 180 motion-based approach combined with an inference engine to 181 recognize different classes of film directing semantics, such 182 employed by directors to emotionally emphasize their work. 184

Irie *et al.*, by proposing a system for affective movie scene <sup>185</sup> classification [14], tackled two main issues: 1) how to extract <sup>186</sup> features that are strongly related to viewers' emotions and 2) <sup>187</sup> how to map the extracted features onto emotion categories. <sup>188</sup> They answered the first question by extracting bags of affective <sup>189</sup> audio-visual words, while for the second one they created a <sup>190</sup> "latent topic driving model" as an attempt for an intermediate <sup>191</sup> representation where topics link emotions to events. <sup>192</sup>

Recently, affective descriptions of multimedia items have 193 also been applied to traditional recommender systems [15]. In 194 [16], Tkalcic *et al.* proposed a framework that describes three 195 stages (entry, consumption, and exit) at which emotions can 196 be used to improve the quality of a recommender system. In 197 a previous work [17], the same research group introduces the 198 usage of metadata fields, containing emotional parameters to 199 increase the precision rate of content-based recommenders for
images. By demonstrating that affective tags are more closely
related to the user experience than generic descriptors, they
improve the quality of recommendation by using metadata
related to the aesthetic emotions perceived by users.

Content items can be labeled with affective metadata either 205 explicitly, by asking the user to annotate the observed content 206 with an affective label or, implicitly, by automatically detecting 207 the user's emotional reaction (for a review on implicit human-208 centered tagging, please refer to [18]). Each of the two 209 approaches has its pros and cons. Again, Tkalcic et al. [19] 210 showed that content-based recommendation still works better 211 when explicit labels are used, probably due to the still low 212 accuracy of algorithms that detect affective responses. For this 213 reason, research on improving affective implicit tagging is very 214 active and opening up to a wide range of investigations. 215

Sicheng *et al.* [20], for example, proposed a video indexing and recommender system based on affective analysis of facial expressions. Users are monitored while watching content and their facial features extracted to infer a probable affective state; on this basis, an affective label is assigned to each movie segment for indexing and recommendation purposes.

Pupillary reflex, gaze distance, and EEG signals are used 222 instead by Soleymani et al. in [21] to design an accurate 223 classification protocol for recognizing emotions, attaining 224 comparable performance to users' self-reporting. Although 225 obtained on a fairly limited dataset of 20 video clips and 226 participants, the promising accuracy seems to be easily 24 227 scalable to a larger population. In a similar fashion, SpudTV 228 [22] within PetaMedia project develops methods for affective implicit tagging of multimedia based on users' EEG signals 230 and peripheral physiological responses. 231

Recommendation on mobile platforms for providing personalized services that fit users' emotional states was explored by Kim and Choi in [23]. Their EmoSens system maintains affective scoring for various entities in a mobile device, such as applications, multimedia, and contacts. Scoring is based on particular patterns of device usage, which are inferred in a controlled experiment by collecting user feedback.

In the last few years, the problem of tailoring the recom-239 mendation experience to user-specific needs has become more 240 evident. Arapakis et al. [24] indicated that adapting a general 241 affective model to a specific user introduces a noticeable 242 improvement in the system's ability to discriminate relevant 243 from nonrelevant items. The problem of personal variability 244 subjects' emotional responses in the case of film content in 245 has been recently tackled also in our work in [4], which is 246 summarized in the following paragraphs. 247

#### 248 A. Connotative Space

In [4], we introduced the connotative space as a valid tool 249 for representing the affective identity of a movie segment by 250 those shooting and editing conventions that help in transmit-251 ting meaning to the audience. Inspired by similar spaces for 252 industrial design [25], the connotative space accounts for a 253 *natural* (N) dimension that splits the space into a passional 254 hemi-space, referred to as warm affections, and a reflective 255 hemi-space that represents offish and cold feelings (associated 256



Fig. 1. Connotative space for affective analysis of movie scenes, as in [4].

dichotomy: warm versus cold). The *temporal* (T) axis characterizes the space into two other hemi-spaces, one related to high pace and activity and another describing an intrinsic attitude toward slow dynamics (dynamic versus slow). The *energetic* (E) axis identifies films with high impact in terms of affection and, conversely, minimal ones (energetic versus minimal).

Unlike PAD representation, where each point describes *one* <sup>264</sup> *emotion* in terms of pleasure, arousal and dominance, in the <sup>265</sup> connotative space, a point (respectively a cloud) describes one <sup>266</sup> (respectively more) *movie segment(s)* in terms of its (their) <sup>267</sup> connotative properties, as shown in Fig. 1. <sup>268</sup>

As a first advantage of using the connotative space, in [4] 269 we showed that the level of agreement among users is higher 270 when rating connotative properties of the movie rather than 271 when they self-report their emotional responses to the same 272 film content. The proposed space seems to fill the need for 273 an intermediate semantic level of representation between lowlevel features and human emotions, and envisages an easy 275 translation process of video low-level properties into intermediate semantic concepts mostly agreeable among individuals. 277

The second main outcome provided by analysis in [4] shows <sup>278</sup> how connotation is intrinsically linked to emotions. Specifically, we proved that using connotation for recommending <sup>280</sup> movies to a user whose emotional reactions to the same <sup>281</sup> type of stimuli are known gives better results than exploiting <sup>282</sup> emotional tags by other users. This implies that movie scenes <sup>283</sup> sharing similar connotation are likely to elicit, in the same <sup>284</sup> user, a similar affective reaction. As a consequence, we expect <sup>285</sup> this space to help in reducing the semantic gap between video <sup>286</sup> features and the affective sphere of individuals, thus avoiding <sup>287</sup> the bridging at once process that often inaccurately maps lowlevel representations to human emotions. <sup>289</sup>

### III. OVERALL METHODOLOGY

While in [4] connotative rates were assigned by users, in this <sup>291</sup> paper we aim to predict connotative values using audiovisual <sup>292</sup> features only. Fig. 2 presents the modelling approach to <sup>293</sup> establish a relation between connotative rates assigned by users <sup>294</sup> and video characteristics. The predicted connotative values are <sup>295</sup> then used for targeting recommendation of affective content in <sup>296</sup> a user test, as described in Fig. 3. The descriptions of the main <sup>297</sup> blocks follow. <sup>298</sup>



AQ:1 Fig. 2. Diagram describing the modeling workflow.

#### 299 A. Scene Rating by Users

In the work in [4], we considered a set of 25 "great movie 300 scenes" [26] belonging to popular films from 1958 to 2009 and 301 we asked 240 users to rate each scene on the three connotative 302 dimensions. Following Osgood's evidences [27], rates  $Y \in$ 303 [1, 2, 3, 4, 5] were assigned on bipolar Likert scales based on 304 the semantic opposites: warm or cold, dynamic or slow, and 305 energetic or minimal. After rating, the position of a scene  $m_i$ 306 in the connotative space is described by the histograms of rates 307 on the three axes  $(H_i^N, H_i^T, H_i^E)$ . In this paper, we compute 308 interscene distances between couples  $(m_i, m_i)$  by using the 309 Earth mover's distance (EMD) [28] on the rate histograms of 310 each axis (N, T, E) as follows: 311

$$\Delta_{i,i}^{x} = \text{EMD}\left(H_{i}^{x}, H_{i}^{x}\right) \quad x \in \{N, T, E\}$$

$$(1)$$

which are then combined to obtain the matrix of connotative distances between scenes as  $\Delta^C = f(\Delta^N, \Delta^T, \Delta^E)$  (where function *f* in [4] is set so as to perform a linear combination of the arguments with equal weights on the three dimensions). In the following, we will refer to these scenes positioned by users' rates as landmarks or training scenes.

#### 318 **B.** Feature Extraction

From movie scenes, we extract features dealing with dif-319 ferent aspects of professional content: visual dimension, both 320 color and motion, audio, and film grammar. Since each feature 321  $F_l$  is extracted at its own time scale (frame, shot, and so on), 322 values over a scene  $m_i$  are collected in a feature histogram  $H_i^{F_i}$ 323 to globally capture its intrinsic variability. For each feature, 324 matrices of interscene distances  $\Delta^{F_l}$  are computed as distances 325 between feature histograms. 326

# 327 C. Feature Selection

To single out those features  $F_l^*$  that are the most related to users' connotative rates, we adopt a feature selection criterion based on mutual information.

#### 331 D. Regression

A support vector regression (SVR) approach builds a model to relate connotative distances based on users' rates  $\Delta^C$  to a function of interscene distances based on selected features  $\Delta^{F_l^*}$ .



Fig. 3. User test diagram, performed in a recommendation scenario.

TABLE I
LIST OF EXTRACTED FEATURES

Visual	Dominant color, color layout, scalable color, color structure, color codebook, color energy, lighting key I, lighting key II, saturation*, motionDS*
Audio	Sound energy, low-energy ratio, zero-crossing rate*, spectral rolloff*, spectral centroid*, spectral flux*, MFCC*, subband distribution*, beat histogram, rhythmic strength
Grammar	Shot length, illuminant color, shot type transition rate

Descriptors with  $\star$  are computed both in terms of average and standard deviation.

#### E. Scene Recommendation

Once the model is validated, we are able to predict connotative distances between movie scenes starting from distances based on selected features. As in Fig. 3, which describes the testing scenario, we compute interscene distances on selected features for 75 movie scenes. Then, by the learned SVR model, connotative distances are predicted as  $\widehat{\Delta}^C$ . The final user test assesses the ability of the connotative space in recommending affective content: users choose a query item and annotate their emotional reactions to recommended scenes that are proposed since at low connotative distance from the query. 340

336

347

#### **IV. FEATURE EXTRACTION**

From movie scenes we extract features to describe professional video content: 12 visual descriptors, 16 audio features, 349 and 3 related to the underlying film grammar, as listed in 350 Table I. For each scene  $m_i$  and feature  $F_l$ , we gather feature 351 values over time in histogram  $H_i^{F_l}$ . Considering that the system 352 should easily include any new feature, we apply a common 353 quantization strategy to all features by assigning a number of 354 bins that takes the square root of the number of data points in 355 the sample (known as a square-root rule of thumbs). 356

The selection of the feature set is guided by the following <sup>357</sup> considerations. First, we want our set to include well-known, <sup>358</sup> fast, and effective descriptors. We thus extract MPEG7 visual <sup>359</sup> and motion standard descriptors (dominant color, color layout, <sup>360</sup> scalable color, and others), which are detailed in [29]–[31]. <sup>361</sup> With the same aim, for the audio dimension we include wellstudied descriptive features, such as MFCC, subband distribution, and beat histogram, only to cite a few. These have been extensively described and tested in a number of publications, <sup>365</sup> among which [32] and [33] are the most influential. <sup>366</sup>

Second, by scanning recent publications in content-based <sup>367</sup> multimedia affective analysis we select the most promising <sup>368</sup> descriptors, as well as those optimized across several <sup>369</sup> publications (e.g., color energy and lighting key) such as <sup>370</sup> [12] and [34]. From an architectural point of view, since we <sup>371</sup> are aware that a more precise description of the connotative <sup>372</sup> <sup>373</sup> dimensions could be obtained by enlarging the feature set, <sup>374</sup> the proposed system is scalable and open to the insertion of <sup>375</sup> additional features. The considered features are detailed in <sup>376</sup> the following paragraphs.

#### 377 A. Visual Features

The visual dimension is perhaps one of the most important ways of communication, which is exploited at its fullest by directors while shaping a film product to convey a specific message. Thus, in our attempt to capture the emotional identity of a movie scene we consider the visual sphere and extract color and motion descriptors, as presented in the following.

We consider MPEG7 color features that proved to be 384 effective in retrieval applications based on visual similarity: 385 dominant color, color layout, scalable color, and color structure 386 [30]. We also extract a codebook constituted by a set of 387 representative colors for a frame, obtained by using a vector 388 quantization approach in the YUV color space [35]. Beyond 389 standard descriptors we employ other visual features believed 390 have a strong impact on the emotional identity of media to 391 content [12]: color energy, lighting key, and saturation. 392

Color energy is related to the perceptual strength of the color and depends on saturation, brightness, and area occupied by different colors in an image. It also depends on the hue, as in whether it contains more red (energetic) or blue (relaxing) components and the degree of contrast between colors. The result is a scalar indicating for each frame its perceived color energy. For more details, please refer to [12].

Lighting conditions play a key role in scene definition. 400 To capture them we use two descriptors, proposed in [12], 401 referred to as lighting keys. They are related to two major 402 aesthetic lighting techniques: chiaroscuro, characterized by 403 high contrast between light and shadow areas, and *flat lighting*, 404 which de-emphasizes the light or dark contrast. Differences 405 between the two illumination techniques lie in the general light 406 intensity and the proportion of shadow area. Thus, for each 407 frame the first descriptor captures the median of the pixels 408 brightness, while the second, accounting for the proportion of 409 shadow area, uses the proportion of pixels whose lightness 410 falls below the level for which a highly textured surface no 411 longer appears as such [12]. 412

<sup>413</sup> Previous work on affective response to colors proved that <sup>414</sup> saturation and difference in colors are crucial for mood <sup>415</sup> elicitation in subjects [36]. Thus, in addition to the already <sup>416</sup> mentioned features, we adopt two descriptors that account for <sup>417</sup> the average saturation of pixels, as well as their variance.

Finally, motion dynamics are often employed by directors 418 to stress the emotional identity of a scene. To transmit a 419 sensation of speed and dynamism or a feeling of calm and 420 tranquillity, directors often rely on shot pace and type, camera 421 and object motion. The motionDS descriptors introduced in 422 [31] capture the intuitive notion of intensity of action; in 423 particular, we measure the average of motion vector modules 424 and their standard deviation on consecutive frames. 425

# 426 B. Audio Features

427 Ambient sound, voices, and music of the soundtrack are 428 forms of expression which play central roles in shaping scene affection and in the process of emotional involvement of the <sup>429</sup> audience [37]. As suggested by a relevant work on audio <sup>430</sup> analysis [32], we decide to describe audio signals in terms <sup>431</sup> of *intensity* (i.e., the energy of the sound, expressed by the <sup>432</sup> amplitude of the associated waveform), *timbre* (related to <sup>433</sup> the spectral shape of the sound and can be seen as the set <sup>434</sup> of qualities that allows us to distinguish two sounds from <sup>435</sup> different instruments), and *rhythm* (related to the repeating <sup>436</sup> sequence of stressed and unstressed beats and divided into <sup>437</sup> measures organized by time signature and tempo indications). <sup>438</sup> In the same work, as well as in other publications (such as <sup>439</sup> [33] and [38]), authors demonstrate that such a description <sup>440</sup> provides high performance for retrieval and classification of <sup>441</sup> audio signals in general, and especially for music. <sup>442</sup>

The choice of privileging features mainly used in musical 443 audio analysis is due to the particular use of audio in movies: 444 scenes that are somehow central to narration are usually 445 stressed due to a particular choice of the soundtrack, e.g., 446 gentle and pleasant music for a romantic moment, loud and 447 aggressive for an action sequence, silences and reprises in a 448 dialogue. In this perspective, audio energy can be seen as a 449 simple but effective clue. In this paper, we consider the energy 450 of an audio signal as the sum of the squared waveform values 451 over 20 ms frames, with 5 ms overlap, as suggested in [32]. 452

Considered timbral features are low-energy ratio and zerocrossing rate in the time domain; spectral rolloff, spectral 454 centroid, spectral flux, MFCC, and subband distribution in the frequency domain. As in [32], except when differently stated, 456 timbral features are initially computed on overlapping frames of 23 ms (analysis windows), so that frequency characteristics 458 of the magnitude spectrum are relatively stable. Actual features 459 are then obtained as average and standard deviation of analysis windows over 1 s, since the sensation of sound "texture" arises 460 following some short-time spectrum pattern in time.

The low-energy ratio is defined as the percentage of analysis 463 windows that have less energy than average within the 1 s 464 window. As an example, vocal music with silences has a high 465 low-energy value, while continuous strings are at a low low-466 energy value. The zero-crossing rate measures how many times 467 the waveform crosses the zero axis: a periodic and harmonic 468 sound shows a low crossing rate, while a noisy sound is 469 characterized by a high value of this descriptor. 470

A spectral centroid represents the magnitude spectrum's 471 center of mass of the signal and is interpreted as an index 472 of sound brightness. A limpid sound is usually characterized 473 by a high value of the center of mass, while a dark sound 474 by a low one. Spectral rolloff represents the frequency below 475 which 90% of the energy is concentrated and describes the 476 smoothness of a sound, i.e., the presence of high-frequency 477 harmonics in addition to fundamental tones. Spectral flux, in- 478 stead, characterizes variations of the frequency spectrum over 479 time. MFCC are perceptually based spectral descriptors widely 480 used for speech and audio classification [32] and are obtained 481 by a linear cosine transform of a log power spectrum on a 482 nonlinear perceptual frequency scale. The last timbral feature 483 is subband distribution, computed as in [33] on overlapping 484 windows of 3 s by decomposing in four subbands using the 485 Daubechies wavelets [39]. Extracted wavelet coefficients from 486



Fig. 4. Two frames from *A Beautiful Mind*. The left frame evokes a warm sensation, and the other a cold feeling.

487 each subband provide a compact representation of the energy488 distribution of the signal in time and frequency.

As for the rhythmic sphere, by using a beat detection algorithm as in [32], which works on chunks of 3 s, 50% overlapping, we derive the beat histogram and its cumulative value as a measure of the rhythmic strength of the audio track.

### 493 C. Film Grammar Features

When watching movies, the feeling is that some film directors have sharply different styles that are easily recognizable. These individual styles can be identified not only in the content, but also from the formal aspects of the films, known as film grammar [40], which encompasses the set of rules followed by a director to convey a certain message.

As proposed in [41], the obvious approach to searching 500 for individual characteristics in the formal side of a director's 501 grammar is to consider those variables that are most directly 502 under the director's control. Among these, shot length (meant 503 as duration), shot type in terms of camera distance to subjects 504 (closeups, medium shots, or long shots), camera movement 505 such as panning, tilting, or zooming), shot transitions (cuts, 506 fades, dissolves, wipes), and lighting conditions are grammar 507 aspects that can be automatically investigated. In this paper, 508 we consider as a first set of film grammar features, meaning 509 that they are directly under the director's control, the shot 510 length, the color of the illumination source, and the pattern 511 of shot type. 512

Shot length greatly affects how a scene is perceived by the audience. Longer durations connotate a scene as more relaxed and slower paced, whereas shorter shots give the impression of a faster paced scene [42]. Thus, we extract the average shot length as an effective scene descriptor.

The second feature is related to the spectral composition of 518 the light source, which is often exploited by directors to give 519 connotative signature to movies. Light used in the shooting а 520 process, called illuminant, influences the appearance of every 521 element in the scene: objects do not have their own colors, 522 which are instead due to the interaction with the incident 523 electromagnetic radiation. In Fig. 4, the frame on the left 524 shows a scene with a yellow polarized illuminant which evokes 525 а pleasant sensation, while the one on the right suggests a 526 colder feeling because of the gravish illuminant. Here, for each 527 frame we estimate the illuminant color by improving a white 528 patch algorithm [43] with the procedure we propose in [44]. 529

The third descriptor accounts for the change of employed sat shot types. Varying camera distance is a common directing rule used to subtly adjust the relative emphasis between the filmed subject and the surrounding scene [13]. This affects the emotional involvement of the audience [40] and the process 534 of identification of viewers with the movie characters. There 535 are, in fact, evident correspondences between the film-maker's choice of shot type and the proxemic patterns [45], i.e., the 537 subjective dimensions that surround each of us and the physical distances one keeps from other people in social life. Al- 539 though the gradation of distances is infinite, in practical cases 540 the categories of definable shot types can be re-conducted 541 to three fundamental ones: long shots, medium shots, and 542 closeups (see [40] for a complete taxonomy). First, for each 543 scene we estimate the type of employed shots by the algorithm 544 presented in [46]. Then, we define the shot type transition rate 545 as the number of type changes across consecutive shots in 546 a scene, normalized to the total number of shots. As shown 547 in [47], this rate is in fact part of the complex mechanism 548 responsible for triggering audience's emotional involvement, 549 with strong evidences especially on the arousal dimension. 550

# V. FEATURE SELECTION

551

A feature selection method is applied to disclose the relationships between scene coordinates in the connotative space assigned by users and the related audiovisual features. This step aims at unveiling which are the audiovisual descriptors that mostly affect user's perception of connotative properties to be employed in the regressive models adopted in Section VI.

Feature selection algorithms are very popular in several <sup>558</sup> disciplines [48], such as gene expression, array analysis, combinatorial chemistry, and multimedia analysis. Given a number of descriptors, they aim at discriminating between those relevant for a certain goal from those that are not, allowing the learning step which usually follows to work with a compact set of significant features. The main advantages are reduction of the number of features to be processed, exclusion of redundant or inefficient ones, and a better understanding of the problem. <sup>560</sup>

The definition of the right selection algorithm for a specific 567 problem depends on several aspects. One possible choice is to 568 integrate the feature selection within the subsequent regression algorithm (e.g., to use a support vector approach for feature 570 selection embedded in an SVR), as suggested, for example, in 571 [49]. However, instead of applying such a procedure, called 572 *wrapping*, we prefer to apply a filtering method, i.e., to keep 573 separated selection and prediction. Filtering methods, apart 574 from being in general computationally less expensive than 575 selection problem. In addition to this, they are independent 577 of the ensuing learning method, thus allowing the study of 578 the effectiveness of the features with different regression 579 approaches, as we perform in Section VI.

For our specific goal of discovering audiovisual features 581 relevant to connotation, a potential issue is redundancy; it 582 is, in fact, likely that if a particular descriptor is relevant, 583 other descriptors that are correlated to the first one result 584 relevant too. For this reason, we employ an information theorybased filter that selects the most relevant features in terms of 586 mutual information with user votes, while avoiding redundant 587 ones: the minimum-redundancy maximum-relevance (mRMR) 588 scheme introduced in [51]. 589 Given the set of *L* features  $\{F_l\}_{l=1,...,L}$  and the user votes *Y* on each connotative axis, both interpreted as random variables, consider *relevance* (*V*) and *redundancy* (*W*) defined as

$$V = \sum_{F_l \in S} \frac{I(F_l, Y)}{|S|} \quad W = \sum_{(F_l, F_j) \in S \times S} \frac{I(F_l, F_j)}{|S|^2}$$
(2)

where I indicates the mutual information and S is the set of selected descriptors. The goal is to select a sub-594 set of M features (M = |S|, M < L) as informative as pos-595 sible with respect to users' votes (max(V)) and, at the 596 same time, as uncorrelated as possible among themselves 597  $(\min(W))$ . A possible criterion (exposed in [51]) to jointly 598 optimize both conditions treating them as equally important 599 is to maximize the difference between quantities in (2): 600  $\max(V-W).$ 601

To solve this optimization problem, a heuristic called mutual information difference criterion (MID) is used, as in [52]. According to it, the first selected feature  $F_f$  is the most relevant  $(I(F_f, Y) \ge I(F_l, Y), l = 1, ..., L)$ , while other features are added in an incremental way; for each candidate feature  $F_l$  not yet in *S*, the quantities in (2) are recomputed as follows:

$$\widehat{V}_l = I(F_l, Y) \quad \widehat{W}_l = \sum_{F_j \in S} \frac{I(F_l, F_j)}{|S|}$$
(3)

609 and the newly selected feature is the one so that

$$\underset{F_l \notin S}{\arg \max} \left( \widehat{V}_l - \widehat{W}_l \right). \tag{4}$$

#### 610 A. Sample Probabilities on Distances

To compute mutual information I(.,.) it is necessary to 611 sample probabilities of features and votes. However, when 612 dealing with multidimensional feature histograms  $H^{F_l}$ , the 613 direct application of such a procedure is impractical. This is 614 due to the number of scenes that would be required if we 615 wanted to compute reliable statistics, both marginal and joint, 616 on all possible combinations of feature values and users' votes. 617 To overcome this issue, for the selection and regression steps 618 we do not take into account actual histograms, but distances 619 between them. Therefore, we do not employ the absolute 620 position of scenes, but the knowledge of how they are placed 621 with respect to all others, both in connotative and in feature 622 paces. Such a scheme naturally fits our aim, which is, in fact, SI 623 recommend movie scenes according to their proximity in to 624 the connotative space. Approaches based on distances between 625 items are also closer to the human mechanism of perceiving 626 emotions, which works in a comparative way rather than using 627 an absolute positioning, as shown in [53] for music items. 628

For our aims we then consider interscene distances based on users' rates  $\Delta^x$  as expressed in (1), and distances based on feature histograms  $\Delta^{F_l}$ . In the specific, for each descriptor  $F_l$ , the element of  $\Delta^{F_l}$  in position *i*, *j* is given by

$$\Delta_{i,j}^{F_l} = \text{EMD} \left( H_i^{F_l}, H_j^{F_l} \right) \quad i, j = 1, \dots, 25.$$
 (5)

TABLE II

Feature Ranking and Relevance  $\widehat{V}$  According to the MRMR MID Scheme (Selected Ones Are in Bold)

NATUR.	$\widehat{V}$	ТЕМР.	$\widehat{V}$	ENERG.	$\widehat{V}$
Col.layout	0.22	Rhyt.str. 0.27		Sound en.	0.18
Spec.roll.[d]	0.14	Shot ty.t.r	0.15	Shot len.	0.09
Light.key II	0.11	Mot.DS[d]	0.25	Spec.ce.[d]	0.06
Illuminant	0.19	Sound en. 0.10		Sub.dist.[a]	0.06
Spec.ce.[d]	0.07	Spec.ce.[d]	0.18	Satur.[d]	0.07
Sound en.	0.06	Sub.dist.[a]	0.03	Col.layout	0.07
Col.codeb.	0.21	Shot len.	0.07	Spec.roll.[d]	0.05
Zero cr.r.[d]	0.12	MFCC [d]	0.08	Rhyt.str.	0.12
Shot ty.t.r.	0.07	Scal.col.	0.09	Spec.flux[d]	0.04
Col.en.	0.08	Satur.[d]	0.04	Beat hist.	0.05
Col.sat.[a]	0.06	Spec.cen.[a]	0.17	Shot ty.t.r.	0.05
Sub.dist.[a]	0.05	Low en.r.	0.03	Col.struc.	0.04
Mot.DS[a]	0.07	Light.key I	0.05	Col.en.	0.04
Shot len.	0.04	Spec.flux[a]	0.04	MFCC[d]	0.06
MFCC[a]	0.06	Mot.DS[a]	0.13	Spec.roll.[a]	0.04
Col.struct.	0.08	Col.en.	0.04	Illuminant	0.04
Scal.col.	0.16	Zero cr.r.[a]	0.04	Mot.DS[d]	0.06
Satur.[d]	0.03	Zero cr.r.[d]	0.03	Low en.r.	0.03
Low en.r.	0.04	Ligh.key II	0.03	Sub.dist.[d]	0.06
Dom.col.	0.18	Illuminant	0.03	Spec.flux[a]	0.05
Beat hist.	0.04	Spec.flux[a]	0.04	Light.key II	0.04
Spec.flux[d]	0.03	Beat hist.	0.03	Zero cr.r.[a]	0.03
Zero cr.r.[a]	0.12	MFCC[a]	0.05	Light.key I	0.05
Rhyt.str.	0.05	Spec.roll.[d]	0.02	Satur.[a]	0.04
MFCC[d]	0.06	Sub.dist.[d]	0.09	Scal.col.	0.07
Sub.dist.[d]	0.05	Col.layout	0.04	Mot.DS[a]	0.04
Light.key I	0.06	Spec.roll.[a]	0.02	MFCC[a]	0.04
Spec.flux[a]	0.03	Col.struct.	0.03	Zero cr.r.[d]	0.03
Spec.roll.[a]	0.03	Satur.[a]	0.02	Col.codeb.	0.07
Spec.cen.[a]	0.05	Col.codeb.	0.06	Spec.cen.[a]	0.03
Mot.DS[d]	0.04	Dom.col.	0.05	Dom.col.	0.03

Those computed in average and std dev are indicated with [a] and [d], respectively.

After normalizing and quantizing EMD distances<sup>1</sup> on five <sup>633</sup> levels as for distances in the connotative space, we compute <sup>634</sup> the mutual information between distances based on feature <sup>635</sup> histograms and connotative distances based on users' rates on <sup>636</sup> a proper number of samples. The MID criterion is then reformulated as follows: for each connotative axis  $x \in \{N, T, E\}$ , <sup>638</sup> the first selected feature  $F_f$  is the one so that <sup>639</sup>

$$I(\Delta^{F_f}, \Delta^x) \ge I(\Delta^{F_l}, \Delta^x) \quad l = 1, ..., L$$
(6)

640

while the following features are added as in (4) where:

$$\widehat{V}_{l} = I\left(\Delta^{F_{l}}, \Delta^{x}\right) \quad \widehat{W}_{l} = \sum_{F_{j} \in S_{x}} \frac{I\left(\Delta^{F_{i}}, \Delta^{F_{j}}\right)}{|S_{x}|}.$$
(7)

This way, according to the MID criterion, we rank features 641 for each connotative axis, as shown in Table II. 642

<sup>&</sup>lt;sup>1</sup>It is worth noticing that the EMD computation is based on the definition of a ground distance, i.e., the distance between two samples of the considered feature. In our work, we use for each feature the *ad hoc* ground distance, as found in the literature: distances as proposed for MPEG7 descriptors in [30],  $L^2$  on RBG components for the illuminant color, and so on, while for users' votes expressed on Likert scales we adopt  $L^1$  distance.

#### 643 B. Relevant Feature Sets

The next crucial aspect is the number of features to select 644 for the regression step; keeping too many descriptors would 645 increase the computational cost of the extraction process, while considering too few descriptors would potentially lead 647 a poor regression model. Following these considerations 648 we keep, for each connotative axis, only those features that 649 are able to increase the level of mutual information between 650 features and connotative votes above a minimum contribution. 651 In terms of MID criterion, considering a set  $S_x$  of already 652 selected features for the x-axis, the next feature in the ranking 653 list  $F_l$  is selected if its contribution  $\widehat{V}_l - \widehat{W}_l$  [computed as in 654 (7)] satisfies the condition 655

$$\widehat{V}_l - \widehat{W}_l \ge r \cdot I(\Delta^{F_f}, \Delta^x) \tag{8}$$

where  $r \in [0, 1]$  and  $I(\Delta^{F_f}, \Delta^x)$  is the mutual information of 656 the first ranked feature for that axis, i.e., the best descriptive 657 one with respect to user's votes. To find the optimal value for 658 we scan the range of values between 0 and 1 and measure 659 recommendation performance on ranked lists against a ground 660 truth (as described in Section VII-A). In general, we notice that 661 recommendation performance improves when the number of 662 selected features increases, i.e., when r diminishes. However, 663 if r becomes too low, thus including even not so significant 664 or noisy features in terms of mutual information with users' 665 votes, the effectiveness of the system stops increasing. Therefore, during tests in Section VII-A, we determine that the 667 optimal value, in the sense that it maximizes recommendation 668 performance and minimizes complexity in terms of number of 669 descriptors to be extracted, corresponds to r = 0.15. By setting 670 this value, we select four features for the natural dimension, 671 three for the temporal one, and two for the energetic one (in 672 bold in Table II). As a reinforcement for the operated choice 673 on r, we notice that selected features make intuitive sense for 674 all axes. 675

As seen in [4], the natural dimension is related to warm 676 or cold affections, and it is voted by users as the scene 677 atmosphere. As expected, selected features for this axis are 678 intuitively involved in the characterization of a scene's atmo-679 sphere; they, in fact, describe the color composition (color 680 layout), the variations in smoothness and pleasantness of the 681 sound (spectral rolloff standard deviation) and the lighting 682 conditions in terms of both illumination (illuminant color) 683 and proportion of the shadow area in a frame (one of the 684 lighting key descriptors which is dramatically stressed in the 685 chiaroscuro technique). 686

The temporal axis has been rated by users in terms of high pace versus slowness. The algorithm returns for this axis related to the rhythm and the speed sensation evoked by a sound, the pace variation of the employed shot types (shot type transition rate), and the variability of the motion activity (standard deviation on motion vector modules).

<sup>694</sup> User votes on the energetic dimension distinguish items with <sup>695</sup> high affective impact from minimal ones. Selected features <sup>696</sup> are again commonsensical and coherent: the first describes <sup>697</sup> the sound energy, while the second one is the shot length; for

APPROXIMATION ERROR ON SCENE DISTANCES BASED ON USERS' VOTES IN TERMS OF RMSE, OBTAINED USING THE REPORTED REGRESSION METHODS

TABLE III

Regression method	RMSE
Polynomial regression	0.281
Neural network	0.248
SVR	0.188

Distances are normalized in the range [0, 1].

example, short shots usually employed by directors in action 698 scenes are generally perceived as very energetic. 699

Once features relevant to connotative votes on each axis are 701 picked, we aim at estimating connotative distances  $\Delta^x$  based 702 on rates by a function of distances based on selected features 703

$$\Delta^x \approx \Delta^x = g_x \left( \{ \Delta^{F_l} \}_{F_l \in S_x} \right). \tag{9}$$

To define functions  $g_x$  that best link the denotative level with 704 the connotative dimensions, we set up a modelling framework 705 using selected features as inputs and connotative votes as 706 desired outputs (not in absolute terms but as distances). 707

In order to compare different regressive procedures for 708 approximating the desired output starting from the inputs, we 709 test in particular polynomial combination, neural networks 710 (feed-forward neural network trained by a back-propagation 711 algorithm), and SVR models [54] with standard RBF kernel. 712 Modelling functions  $g_x$  are then obtained for dimensions 713  $x \in \{N, T, E\}$  by adopting SVR models that are the ones that 714 return the lowest root mean squared error on scene distances 715 based on users' votes, as reported in Table III. 716

This modeling step provides a way to translate video 717 properties into intermediate semantic connotative concepts, 718 which are mostly agreeable among individuals. As a result, 719 the approximated matrix of connotative distances is found as 720 follows: 721

$$\widehat{\Delta}^{C} = f\left(\widehat{\Delta}^{N}, \widehat{\Delta}^{T}, \widehat{\Delta}^{E}\right)$$
(10)

722

where function f is set as in (1).

#### VII. EXPERIMENTS ON SCENE RECOMMENDATION 723

The idea of the affective recommendation scenario here proposed is that once a user expresses an implicit emotional wish by selecting a query item (e.g., by choosing a happy scene in his or her opinion), the recommendation algorithm should return a list of candidate movie scenes that are emotionally close to the given query for that user. This kind of query-byexample approach has its roots in information filtering, and goes under the name of content-based recommendation [55] (as opposed to other methods, e.g., collaborative filtering [15]). 732

Recommendation results are returned as top-k lists, a concept ubiquitous in the field of information retrieval (e.g., the list of k items in the first page of results by a search engine). They are a valid mechanism for propagating emotional tags from the already watched content to close items, thus enabling 737 <sup>738</sup> better filtering of relevant items from the nonrelevant ones as
<sup>739</sup> in [17]. The following experiments aim to measure the ability
<sup>740</sup> of the connotative space in proposing content relevant to user's
<sup>741</sup> emotional preferences.

#### 742 A. Ranking Lists Against a Ground Truth

To evaluate how good distances based on selected features  $\widehat{\Delta}^{C}$  approximate scene distances computed on users' rates  $\Delta^{C}$ , we compare the abilities of the two distance matrices rate in ranking lists of movie scenes with respect to ground-truth rate lists built by single users.

This first experiment uses the data gathered by the 240 748 users on the 25 movie scenes in [4]. The collective users' 749 emotional annotations are expressed in the form of emotional 750 distances  $\Delta^W$  between scenes, while the ground-truth lists per 751 each single user  $u_k$  are built by the emotional distances  $D_{u_k}^W$ 752 between scenes expressed by that specific user. By observing 753 the emotation wheel [4] in Fig. 6 we recall that the distance 754 between two emotions  $e_i$  and  $e_j$  is the number of steps required 755 reach emotion  $e_i$  from emotion  $e_i$ , as stated by Russell in to 756 [56] and recently adopted by Irie et al. in [14] as well as in 757 our test in [4]. As Russell observes, "a score of 1 (is assigned) 758 the distance between adjacent terms," whereas "a distance to 759 4 is assigned between terms placed opposite on the circle," 760 no matter whether computed clockwise or counterclockwise. 761 Please observe that  $D_{u_k}^W$  is not a distance between distributions of votes (as  $\Delta^W$  is since it aggregates all users' votes), but is 762 763 distance between scene emotions assigned by a single user. а 764 In the proposed test, given a user and a query item, all 765 movie scenes are first matched according to how emotionally 766 similar they are to the query item, according to single user's 767 emotional annotations (i.e., the ground truth in  $D_{u\nu}^W$ ). Second, 768 this list of scenes is re-ranked based on distances expressed 769  $\Delta^{C}$  (i.e., ranking by connotative rates), which expresses in 770 the ability of the connotative space in matching the affective 771 preferences of single users. In [4], we have already shown 772 that to recommend movie scenes, connotation  $(\Delta^C)$  works 773 better than using aggregated emotations by all users  $(\Delta^W)$ 774 approximate the ground-truth ranking obtained using  $D_{\mu\nu}^W$ 775 to Here, we also consider the case when ranking is performed 776 by using the learned models, i.e., how good is the ranking 777 obtained by using the approximated distances  $\Delta^{C}$  provided 778 the SVR models (i.e., ranking by connotative properties bv 779 predicted by audiovisual features). 780

Ranking quality is measured by the Kendall's tau metric K [57], which is equal to the number of exchanges needed in a bubble sort to convert one ranked list to the other one, normalized in the interval [0, 1].

In this process, we apply a five-fold cross validation aprespondent proach. At each round 20 scenes are used to build the models, and the metric K is measured on the five remainders. Folds are manually arranged using stratification [58], thus ensuring that scenes are balanced as much as possible with respect to the connotative votes assigned by users.

As a result, considering as ground-truth lists those ranked by single users' emotional annotations  $D_{u_k}^W$  (for which K = 0), result the average error performed by using  $\Delta^C$  to rank scenes is  $K_{\Delta^C} = 0.425$ , while the average error performed by using

Fig. 5. Kendall's tau metric measuring the quality of list ranking by using connotative distances based on votes  $(K_{\Delta C})$  and by distances approximated with the learning models  $(K_{\widehat{\Delta C}})$  (values gathered on a scene basis and averaged on five-folded models). Since the ground-truth lists are at K = 0, both  $\Delta^C$  and  $\widehat{\Delta}^C$  perform better than ranking lists by using emotional annotations aggregated by all users  $(\Delta^W)$ .

 $\widehat{\Delta}^C$  to rank scenes is just slightly above,  $K_{\widehat{\Lambda}^C}$  = 0.467, 795 however, still inferior than the error performed when using 796  $\Delta^W$  ( $K_{\widehat{\Lambda}^W} = 0.502$ ). Inspecting results in Fig. 5 (which shows 797) Kendall's tau scores for each of the 25 scenes, as an average 798 result on the five-folded evaluation) in a comparative way, we 799 can conclude that even if the regression undeniably introduces 800 an error, when the goal is not to replicate exact connotative 801 distances but to obtain a similar ranking, the average ability 802 of the system does not significantly degrade when using  $\widehat{\Delta}^C$ 803 instead of  $\Delta^C$ . More importantly, returned lists using  $\widehat{\Delta}^C$  better 804 match the ground-truth lists per each single user than using 805 the aggregated annotations by other users  $\Delta^W$ , meaning that 806 even connotative properties predicted by audiovisual features 807 are more intersubjectively agreed among people than collective 808 emotional annotations. 809

#### B. Scene Recommendation: User Test

The first test that employed a ground truth is here expanded in a larger application scenario for recommending novel movie scenes to users. For this second user test, which is performed online with support of English language, 38 users were recruited. When performing the test, they were not aware of the final aims of the research.

Regarding the scene database, we would like to remark that while ground-truth databases for events and objects analysis in videos are available and relatively easy to build (they can be annotated by one single person and be objectively valid for almost everyone else), large ground-truth video databases where each video scene is emotionally (and subjectively) annotated by a large number of users do not yet exist. In our experiment, in addition to the 25 landmarks, 50 new scenes not previously involved in modelling are adopted as candidates for recommendation for evaluating users' satisfaction with the system, for a total number of 75 scenes. The complete list of employed scenes provided with title, duration, year, IMDb film rank, and (for the new 50 scenes) the available online links for inspection, can be found in [59].





Fig. 6. User test interface: example of a scene to be annotated with an emotional tag chosen among those on the emotation wheel [4] (happiness, excitement, tension, distress, sadness, boredom, sleepiness, relaxation).

While the first test could be evaluated in terms of Kendall's tau metric against relatively short ground-truth lists built by each user, for a database of 75 scenes it is not possible to produce ground-truth lists, since it is unfeasible for each user to rate all new scenes in an limited time without losing focus and attention.

For this reason, each user is asked to query the recommen-837 dation system only two times, and rate only three top and 838 three bottom results per each query, for a total number of 14 839 voted scenes per user (12 rates on scenes plus 2 annotated 840 queries). With the described procedure, 38 users provide 841 more than 500 votes, which allows for gathering reliable 842 statistics on the 75 scenes. To the best of our knowledge, 843 no other work on content affective analysis so far recruited 844 such a large number of users on video recommendation tests 845 (almost 300 users, considering both tests). 846

To start the test, each user chooses as query items two 847 landmark scenes and tags each with one emotional label 848 chosen among the eight available on the emotation wheel, as 849 shown in Fig. 6. The system returns, for each query, a list of 850 six movie scenes that contains, in a random order, the top-3 851 close scenes in the connotative space and the three most distant 852 ones from the query (among the 75 total scenes). To verify 853 the ability of the connotative space in recommending similar 854 affective items, we ask users to annotate each proposed scene 855 with one emotional tag, as shown in the interface of Fig. 6. 856

Since each user, given a query, is required to watch only 857 limited number of scenes (the top-3 close and the top-3 far 858 а items), recommendation results can be evaluated in terms of 859 precision@k: the number of results which are judged to be *rel*-860 *evant* by the user among the first k = 3 recommended results. 861 However, we try to do more than that; instead of stating 862 whether a result is just relevant or not-relevant, the performed 863 test allows us to state to what extent an item is relevant by 864 measuring the scene emotional distances expressed by the user 865 from the query (d = 0 "scene with same emotion," d = 1866 "scene with similar emotion," ..., d = 4 "scene with opposite 867 emotion"), which is also closer to the human mechanism of 868 perceiving emotions, which works in a comparative way rather 869 than using absolute terms. 870

In this sense, if we consider as relevant only those recommendations that are at null emotional distance (d = 0), then precision@3 is 0.3 for top-3 close items. However, considering rates as relevant also items that are emotionally similar  $(d \le 1)$ , precision@3 raises to a significant 0.68. All precision@3 results are shown in Table IV for both top-3 close and top-3 for scenes at different emotional distances.

TABLE IV PRECISION@3 RESULTS FOR (LEFT) TOP-3 CLOSE AND (RIGHT) TOP-3 FAR SCENES AT DIFFERENT EMOTIONAL DISTANCES

top-3 close	precision@3	top-3 far	precision@3
d=0	0.30	d=4	0.22
d≤1	0.68	d≥3	0.59
d≤ 2	0.87	d≥2	0.82
d≤ 3	0.95	d≥1	0.97
d≤ 4	1.00	d≥0	1.00



Fig. 7. Histogram (blue) of the emotional distances between the query and the top-3 close recommended items and histogram (red) of the emotional distances between the query scene and the top-3 far scenes. Distances are in the range [0, 4] (0: "same emotion as query" and 4: "opposite emotion").

Fig. 7 summarizes the obtained results by showing the  $^{878}$  histogram of emotional distances of the top-3 close scenes  $^{879}$  (blue) and the histogram of distances of the top-3 far scenes  $^{870}$  (red) from the query. They approximate the probability distribution functions of perceived emotional distances between  $^{882}$  the query and the recommended items. Since they consider  $^{883}$  precision computed at different scales of relevance (i.e., at  $^{844}$  different emotional distances *d*), they can be considered as  $^{885}$  more informative than a single value of precision@k stating  $^{886}$  whether a result is just relevant or not.  $^{867}$ 

#### C. Discussion

In [4], we have already shown that the connotative judgements are more effective than using people's affective responses in recommending content able to target the emotional request of a single user.

In this paper, we push this result one step further. We, in fact, state that it is possible to automatically position a movie scene in the connotative space by analyzing its audiovisual and grammar features without asking users to express their perception of film connotative properties. By selecting audiovisual descriptors relevant to connotation, we are able to map movie scenes in the connotative space, and to discover similar and dissimilar affective content by computing distances in this space. The first test in Section VII-A demonstrates that using audiovisual properties to derive connotative coordinates introduces a risible drop in performance if compared to connotative rating by users.

In the recommendation scenario, when the user wishes to 905 get some content eliciting a particular emotion, the system 906 automatically proposes content which in the connotative space 907 is close to some items already tagged by the user with the 908

909 desired emotion. In this final experiment, we checked users'
910 satisfaction with recommendation results by computing the
911 emotional distances between the emotions elicited by the query
912 item and by the suggested scenes.

This way, we have closed the loop: at the beginning the 913 user expresses an emotional wish; to target this, we use 914 already tagged content that elicited in that specific user that 915 emotional reaction. We then look for similar content in the 916 onnotative space, and finally ask the user which emotion С 917 or she is inspired with to check the correctness of the he 918 emotional recommendation. The outcome of the experiment, 919 summarized in Table IV and Fig. 7, reveals the effectiveness 920 as the connotative space in proposing content eliciting similar of 921 affective reactions. 922

Notice that content close in the connotative space can be very different in terms of denotative meaning; it happens, for example, that a fight in *Kill Bill II* is recommended on the base of the chariot chase in *Ben Hur*. Even if different in content, both scenes elicit a similar affective reaction in the same user, which is the basic idea of affective recommendation.

A few last considerations on scenes, database dimensions, 929 and future work. Experiments performed in this paper use 930 movie scenes as elementary units since, by definition, each 931 ene in a movie depicts a self-contained high-level concept. 932 SC We are aware that a recommender system of video scenes has 933 little practical purpose. However, starting from understanding 934 how the system behaves with elementary units of film items is 935 valid practical approach for future extensions to full movies. а 936 To the best of our knowledge, no experiments on affective 937 recommendation on full movies have been attempted so far. 938 Thus, our next research goal is to extend our approach to full 939 movie recommendation. 940

Of course, working on full movies introduces severe scal-<sup>942</sup> ability issues to our approach, which are worth discussing. <sup>943</sup> In this paper, each scene is represented as a point in the <sup>944</sup> connotative space. When using full movies instead, the idea <sup>945</sup> is to consider a connotative cloud of scenes or, considering <sup>946</sup> the time dimension, a connotative trajectory that interconnects <sup>947</sup> subsequent scenes in the film.

Even if there is an undeniable technical difficulty in con-948 ducting experiments on larger scene databases, we are already 949 tackling this scalability challenge, from both the system and 950 the algorithm time complexity's standpoints. By exploiting 951 the knowledge about the position of a few landmark scenes, 952 is indeed possible to assign other scenes with absolute it 953 positions instead of using distances between scenes. Thus, 954 once a reliable set of landmark scenes is found, new scenes 955 and movies can be added without much complexity, ensuring 956 adequate scalability to the system. 957

The fact that the system is actually open to the insertion 958 of new scenes and movies, so that users can get more and 959 more recommended items as long as the database increases, 960 indeed an asset of the system. In fact, while now with is 961 75 scenes it might happen that some scenes have no close 962 neighbors in the connotative space (so that users might be 963 not fully satisfied with the recommended items), the more the 964 <sup>965</sup> database grows, the higher the chances that proper emotional content is found.

#### VIII. CONCLUSION

In this paper, we proposed an affective framework where 967 movie scenes are placed, compared, and recommended by 968 extracting audiovisual and film grammar features. The learning 969 model allowing to link physical features of videos to users' 970 emotional preferences was driven by users' rates on connota- 971 tive properties, defined as the set of shooting and editing con- 972 ventions that helped in transmitting meaning to the audience. 973 Connotation here provided an intermediate representation 974 level that exploited the objectivity of audiovisual descriptors 975 to match the emotional queries of single users. To demonstrate 976 the validity of this approach, we conducted a first test of the 977 model against a ground truth to verify the translation process 978 of relevant audiovisual low-level descriptors into connotative 979 properties. Then, a final user test verified the ability of the 980 connotative framework to recommend items matching users' 981 affective requests, thus positively answering to both initial 982 research questions. Further studies on the extension of the cur- 983 rent scene-based method to full movies are currently ongoing. 984

#### REFERENCES

- [1] R. W. Picard, "Affective computing: From laughter to IEEE," *IEEE* 986 *Trans. Affective Comput.*, vol. 1, no. 1, pp. 11–17, Jan. 2010. 987
- [2] E. S. H. Tan, "Film-induced affect as a witness emotion," *Poetics*, 986 vol. 23, no. 1, pp. 7–32, 1995.
- [3] G. M. Smith, *Film Structure and the Emotion System*. Cambridge, U.K.: 990 Cambridge Univ. Press, 2003.
- [4] S. Benini, L. Canini, and R. Leonardi, "A connotative space for 992 supporting movie affective recommendation," *IEEE Trans. Multimedia*, 993 vol. 13, no. 6, pp. 1356–1370, Dec. 2011.
- [5] I. Lopatovska and I. Arapakis, "Theories, methods and current research on emotions in library and information science, information retrieval and human-computer interaction," *Inf. Process. Manage.*, vol. 47, no. 4, pp. 575–592, Jul. 2011.
- [6] A. Hanjalic, "Extracting moods from pictures and sounds," *IEEE Signal* 999 *Process. Mag.*, vol. 23, no. 2, pp. 90–100, Mar. 2006.
- [7] A. Hanjalic and L.-Q. Xu, "Affective video content representation and 1001 modeling," *IEEE Trans. Multimedia*, vol. 7, no. 1, pp. 143–154, Feb. 1002 2005.
- [8] A. Mehrabian, "Pleasure-arousal-dominance: A general framework for 1004 describing and measuring individual differences in temperament," *Cur-* 1005 *rent Psychol. Develop. Learning Personality Social*, vol. 14, no. 4, pp. 1006 261–292, Dec. 1996.
- J. Wang, E. Chng, C. Xu, H. Lu, and X. Tong, "Identify sports video shots with 'happy' or 'sad' emotions," in *Proc. Int. Conf. Multimedia Expo*, Jul. 2006.
- M. Xu, L.-T. Chia, and J. Jin, "Affective content analysis in comedy and 1011 horror videos by audio emotional event detection," in *Proc. Int. Conf.* 1012 *Multimedia Expo*, Jul. 2005.
- M. Xu, J. S. Jin, S. Luo, and L. Duan, "Hierarchical movie affective 1014 content analysis based on arousal and valence features," in *Proc. ACM* 1015 *Int. Conf. Multimedia*, 2008, pp. 677–680.
- [12] H.-L. Wang and L.-F. Cheong, "Affective understanding in film," *IEEE* 1017 *Trans. Circuits Syst. Video Technol.*, vol. 16, no. 6, pp. 689–704, Jun. 1018 2006. 1019
- H. L. Wang and L.-F. Cheong, "Taxonomy of directing semantics for film 1020 shot classification," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 19, 1021 no. 10, pp. 1529–1542, Oct. 2009. 1022
- [14] G. Irie, T. Satou, A. Kojima, T. Yamasaki, and K. Aizawa, "Affective 1023 audio-visual words and latent topic driving model for realizing movie 1024 affective scene classification," *IEEE Trans. Multimedia*, vol. 12, no. 6, 1025 pp. 523–535, Oct. 2010. 1026
- [15] G. Adomavicius and A. Tuzhilin, "Toward the next generation of 1027 recommender systems: A survey of the state-of-the-art and possible 1028 extensions," *IEEE Trans. Knowl. Data Eng.*, vol. 17, no. 6, pp. 734– 1029 749, Jun. 2005.
- [16] M. Tkalcic, A. Kosir, and J. Jurij Tasic, "Affective recommender 1031 systems: The role of emotions in recommender systems," in *Proc. RecSys* 1032 *Workshop Hum. Decision Making Recommender Syst.*, 2011.

- 1034 [17] M. Tkalcic, U. Burnik, and A. Kosir, "Using affective parameters in a content-based recommender system for images," User Model. User-1035 Adapt. Interact., vol. 20, no. 4, pp. 279-311, 2010. 1036
- M. Pantic and A. Vinciarelli, "Implicit human-centered tagging," IEEE [18] 1037 Signal Process. Mag., vol. 26, no. 6, pp. 173-180, Nov. 2009. 1038
- M. Tkalcic, A. Odic, A. Kosir, and J. F. Tasic, "Impact of implicit and [19] 1039 explicit affective labeling on a recommender system's performance," in 1040 Proc. 19th Int. Conf. Advances User Modeling, 2012, pp. 342-354. 1041
- 1042 [20] Z. Sicheng, H. Yao, S. Xiaoshuai, P. Xu, R. Ji, and X. Liu, "Video indexing and recommendation based on affective analysis of viewers,' 1043 in Proc. ACM Int. Conf. Multimedia, Dec. 2011. 1044
- 1045 [21] M. Soleymani, M. Pantic, and T. Pun, "Multi-modal emotion recognition in response to videos," IEEE Trans. Affective Comput., vol. 99, no. 2, 1046 pp. 211-223, Apr.-Jun. 2012. 1047
- S. Koelstra, "SpudTV," in Proc. NEM Summit, Sep. 2011. 1048
- 1049 [23] H.-J. Kin and Y. S. Choi, "EmoSens: Affective entity scoring, a novel service recommendation framework for mobile platform," in Proc. 5th ACM Conf. Recommender Syst., Oct. 2011. 1051
- 1052 [24] I. Arapakis, K. Athanasakos, and J. M. Jose, "A comparison of general versus personalized affective models for the prediction of topical 1053 relevance," in Proc. 33rd Int. ACM SIGIR Conf. Res. Develop. Inform. 1054 1055 Retrieval, 2010, pp. 371-378.
- C. T. Castelli, "Trini diagram: Imaging emotional identity 3-D po-sitioning tool," Int. Soc. Opt. Eng., vol. 3964, pp. 224-233, Dec. [25] 1056 1057 1058 1999
- What Is a "Great Film Scene" or "Great Film Moment"? An Introduc-1059 [26] tion to the Topic [Online]. Available: http://www.filmsite.org/scenes.html 1060
- C. Osgood, G. Suci, and P. Tannenbaum, The Measurement of Meaning. [27] 1061 Champaign, IL: Univ. Illinois Press, 1957. 1062
- Y. Rubner, C. Tomasi, and L. Guibas, "The earth mover's distance as 1063 [28] a metric for image retrieval," Int. J. Comput. Vision, vol. 40, no. 2, pp. 1064 99-121, 2000. 1065
- 1066 [29] T. Sikora, "The MPEG-7 visual standard for content description: An overview," IEEE Trans. Circuits Syst. Video Technol., vol. 11, no. 6, pp. 1067 696-702, Jun. 2001. 1068
- 1069 [30] B. S. Manjunath, J.-R. Ohm, V. V. Vasudevan, and A. Yamada, "Color and texture descriptors," IEEE Trans. Circuits Syst. Video Technol., 1070 vol. 11, no. 6, pp. 703-715, Jun. 2001. 1071
- S. Jeannin and A. Divakaran, "MPEG-7 visual motion descriptors," IEEE 1072 [31] Trans. Circuits Syst. Video Technol., vol. 11, no. 6, pp. 720-724, Jun. 1073 1074 2001.
- G. Tzanetakis and P. Cook, "Musical genre classification of audio [32] 1075 signals," IEEE Trans. Speech Audio Process., vol. 10, no. 5, pp. 293-1076 302. Jul. 2002. 1077
- G. Tzanetakis, G. Essl, and P. Cook, "Audio analysis using the discrete 1078 wavelet transform," in Proc. Conf. Acoust. Music Theory Applicat., 2001. 1079
- Z. Rasheed, Y. Sheikh, and M. Shah, "On the use of computable features [34] 1080 for film classification," IEEE Trans. Circuits Syst. Video Technol., 1081 1082 vol. 15, no. 1, pp. 52-64, Jan. 2005.
- S. Benini, A. Bianchetti, R. Leonardi, and P. Migliorati, "Extraction of [35] 1083 significant video summaries by dendrogram analysis," in Proc. Int. Conf. 1084 Image Process., Apr. 2006. 1085
- [36] P. Valdez and A. Mehrabian, "Effects of color on emotions," J. Exp. 1086 Psychol., vol. 123, no. 4, pp. 394-409, 1994. 1087
- T. Holman, Sound for Film and Television. Waltham, MA: Focal Press, 1088 [37] 2002 1089
- [38] G. Tzanetakis, "Automatic musical genre classification of audio signals," 1090 in Proc. ISMIR, 2001, 1091
- [391 I. Daubechies, "Orthonormal bases of compactly supported wavelets II: 1092 Variations on a theme," J. Math. Anal., vol. 24, no. 2, pp. 499-519, Mar. 1093 1993 1094
- [40] D. Arijon, Grammar of the Film Language. Beverly Hills, CA: Silman-1095 James Press, 1976. 1096
- B. Salt, Moving Into Pictures. More on Film History, Style, and Analysis. 1097 [41] 1098 London, U.K.: Starword, 2006.
- [42] K. Choroś, "Video shot selection and content-based scene detection for 1099 automatic classification of TV sports news," in Internet: Technical Devel-1100 opment and Applications (Advances in Intelligent and Soft Computing, 1101 vol. 64). Berlin/Heidelberg, Germany: Springer, 2009, pp. 73-80. 1102
- [43] J. V. de Weijer, T. Gevers, and A. Gijsenij, "Edge-based color constancy, 1103 IEEE Trans. Image Process., vol. 16, no. 9, pp. 2207-2214, Sep. 2007. 1104 [44] L. Canini, S. Benini, P. Migliorati, and R. Leonardi, "Emotional identity 1105
- of movies," in Proc. 16th IEEE Int. Conf. Image Process., Nov. 2009. 1106 AQ:5 1107 [45] E. T. Hall, The Hidden Dimension. Anchor, 1990.
  - 1108 [46] S. Benini, L. Canini, and R. Leonardi, "Estimating cinematographic scene depth in movie shots," in Proc. IEEE Int. Conf. Multimedia Expo, 1109 1110 Jul. 2010.

- [47] L. Canini, S. Benini, and R. Leonardi, "Affective analysis on patterns 1111 of shot types in movies," in Proc. 7th Int. Symp. Image Signal Process. 1112 Anal., Sep. 2011. 1113
- [48] I. Guyon and A. Elisseeff, "An introduction to variable and feature 1114 selection," J. Mach. Learning Res., vol. 3, pp. 1157-1182, Jan. 2003. 1115
- [49] J.-B. Yang and C.-J. Ong, "Feature selection for support vector regres- 1116 sion using probabilistic prediction," in Proc. 16th ACM SIGKDD Int. 1117 Conf. Knowl. Discovery Data Mining, 2010, pp. 343-352. 1118
- W. Duch, "Studies in fuzziness and soft computing," in Filter Methods 1119 [50] (Physica-Verlag). Berlin, Germany: Springer, 2006, pp. 89-118. 1120
- [51] H. Peng, F. Long, and C. Ding, "Feature selection based on mutual 1121 information: Criteria of max-dependency, max-relevance, and min- 1122 redundancy," IEEE Trans. Pattern Anal. Mach. Intell., vol. 27, no. 8, 1123 pp. 1226–1238, Aug. 2005. 1124
- [52] C. Ding and H. Peng, "Minimum redundancy feature selection from 1125 microarray gene expression data," J. Bioinform. Comput. Biol., vol. 3, 1126 no. 2, pp. 185-205, Apr. 2005.
- [53] Y.-H. Yang and H. H. Chen, "Music emotion ranking," in Proc. ICASSP, 1128 2009, pp. 1657-1660. 1129
- A. J. Smola and B. Schölkopf, "A tutorial on support vector regression," [54] 1130 Statist. Comput., vol. 14, no. 3, pp. 199-222, Aug. 2004. 1131
- [55] M. J. Pazzani and D. Billsus, "Content-based recommendation systems," 1132 in The Adaptive Web: Methods and Strategies of Web Personalization. 1133 Berlin, Germany: Springer-Verlag, 2007, pp. 325-341. 1134
- [56] J. A. Russell, "A circumplex model of affect," J. Personality Social 1135 Psychol., vol. 39, no. 6, pp. 1161-1178, Dec. 1980. 1136
- [57] M. Kendall and J. D. Gibbons, Rank Correlation Methods. London, 1137 U.K.: Edward Arnold, 1990. 1138
- P. Refaeilzadeh, L. Tang, and H. Liu, "Cross validation," in Encyclopedia 1139 of Database Systems. 2009. 1140
- A Complete List of Adopted Movie Scenes for Affective Recom-1141 mendation [Online]. Available: http://www.ing.unibs.it/sbenini/misc/ 1142 TCSVT-movie-scene-full-list.xlsx 1143



Luca Canini received the M.Sc. (cum laude) and 1144 Ph.D. degrees in telecommunications engineering 1145 from the University of Brescia, Brescia, Italy. 1146

He is currently with the Department of Information 1147 Engineering, University of Brescia. During his Ph.D. 1148 studies, he was a Visiting Student with the IVE 1149 Laboratory, University of Teesside, Middlesbrough, 1150 U.K., and with the Digital Video/Multimedia Labo- 1151 ratory, Columbia University, New York, In 2012, he 1152 cofounded Yonder Labs, an independent company 1153 specializing in multimedia content analysis and com- 1154 1155

Sergio Benini received the M.Sc. degree (cum 1158

laude) in electronic engineering from the University 1159

of Brescia, Brescia, Italy, and the Ph.D. degree in 1160

information engineering from the University of Bres- 1161

cia in 2006, specializing in video content analysis. 1162

University of Brescia. From 2001 to 2003, he was 1164

with Siemens Mobile Communication Research and 1165

He is currently an Assistant Professor with the 1163

plex data understanding

Dr. Canini was awarded by the Italian Marconi Foundation for his M.Sc. 1156 thesis. 1157





Riccardo Leonardi received the Diploma and Ph.D. 1169 degrees in electrical engineering from the Swiss Fed- 1170 eral Institute of Technology, Lausanne, Switzerland, 1171 in 1984 and 1987, respectively. 1172

He has been with the University of Brescia, Bres- 1173 cia, Italy, since 1992, leading research and teach- 1174 ing in the field of telecommunications. He was a 1175 Post-Doctoral Fellow with the Information Research 1176 Laboratory, University of California, Santa Barbara, 1177 in 1987. He was a member of the Technical Staff 1178 of AT&T Bell Laboratories from 1988 to 1991. He 1179 AQ:9

joined the Swiss Federal Institute of Technology in 1991. His current research 1180 interests include digital signal processing, with a specific expertise in visual 1181 communications, and content-based media analysis. He has published more 1182 than 100 papers on these topics. 1183

AO:6

AO:7

# AUTHOR QUERIES

# AUTHOR PLEASE ANSWER ALL QUERIES

1184

1185 AQ:1= Please provide description text for labels (a)–(d) in the caption of Fig. 2.

1186 AQ:2= Please verify the text "since at low connotative distance from the query" for clarity.

1187 AQ:3= Please provide page range in Refs. [9], [10], [16], [20], [22], [23], [33], [35], [38], [44], [46], [47].

1188 AQ:4= Please provide author name and date in Refs. [26], [59].

1189 AQ:5= Please provide publisher location in Ref. [45].

1190 AQ:6= Please provide publisher name and location in Ref. [58].

1191 AQ:7= Please provide location of "Yonder Labs."

1192 AQ:8= Please provide locations of "Siemens Mobile Communication Research and Development" and "British Telecom."

1193 AQ:9= Please provide location of "AT&T Bell Laboratories."

1194 END OF ALL QUERIES