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# Harmonic and supercontinuum generation in quadratic and cubic nonlinear optical media

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We present numerical simulations by means of the generalized nonlinear envelope equation of harmonic and supercontinuum generation induced by femtosecond pump pulses in optical media with both quadratic and third-order nonlinearities. Application examples to higher harmonic generation in periodically poled lithium niobate and optical parametric generation of a mid-infrared continuum in gallium arsenide are discussed. © 2010 Optical Society of America

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# 1. INTRODUCTION

In recent years there has been a great deal of interest in research on high-order harmonic generation (HHG) [1] and supercontinuum (SC) [2] in nonlinear optical media for the control of light fields on time scales of the order of the optical carrier period. In particular, it has been shown that the generalized nonlinear envelope equation (GNEE) approach [3-5] is capable of modeling the sub-cycle dynamics in cubic nonlinear media with an accuracy which is comparable to the direct solution of Maxwell's equations [6]. In recent years efficient HHG has been predicted to occur in quadratic nonlinear media by means of numerical simulations [7], whereas SC [8-10] has been experimentally observed in both quadratic and cubic nonlinear media. However, theoretical modeling of these SC experiments has not yet been performed. Therefore interesting questions remain to be answered, for example, to which extent the observed SC generation is due to the quadratic or to the cubic (possibly through cascading effects) contributions to the nonlinear response of the material.

In this work we perform such a modeling based on the extension of the GNEE approach of Genty et al. [3] to the case of a non-centrosymmetric nonlinear medium with both second- and third-order contributions to the nonlinear polarization. This approach enables us to carry out numerical simulations that describe optical parametric amplification [11] or quasi-phase-matched second harmonic generation (SHG) in periodically poled crystals [12,13] by means of a single equation for the total field envelope. Note that these well-known phenomena have been previously described in terms of separate equations for each of the interacting fields.

In Section 2 of this paper we present the theoretical derivation from the scalar wave equation of the GNEE equation for the field envelope (containing in principle arbitrarily fast temporal variations) subject to the effects of linear dispersion as well as both quadratic and cubic contributions to the nonlinear polarization. In Section 3 we

validate the GNEE approach in the presence of a quadratic nonlinearity only and in the absence of linear dispersion, by comparing its solution with the direct solution of Maxwell's equations by means of the so-called pseudospectral spatial domain (PSSD) method [5]. We have considered here the rather extreme situation of a single cycle pulse. Finally, in Section 4 we present different examples of practical applications of the GNEE approach to describe frequency generation based on quasi-phasematching (QPM), whereby phase-matching between the fundamental harmonic and the second harmonic (SH) is obtained by periodically poling the second-order nonlinear susceptibility [12,13]. First, we simulated higher harmonic and SC generation in periodically poled lithium niobate (PPLN) [9]. Next, we modeled the generation by optical parametric mixing of a mid-infrared (MIR) continuum in orientation-patterned gallium arsenide [10].

## 2. EQUATIONS

Let us consider the propagation of linearly polarized ultrashort pulses in a nonlinear medium exhibiting both quadratic and cubic nonlinearities, i.e., the total nonlinear polarization can be written as  $P_{\rm NL} = P_{\rm NL}^{(2)} + P_{\rm NL}^{(3)} = \varepsilon_0(\chi^{(2)}E^2 + \chi^{(3)}E^3)$ . In the frequency domain, the scalar wave equation reads as

$$[\partial_z^2 + k^2(\omega)]\tilde{E}(z,\omega) = -\mu_0 \omega^2 \tilde{P}_{\rm NL}(z,\omega), \tag{1}$$

where

$$k^2(\omega) = \varepsilon(\omega)\omega^2/c^2$$
,

$$\widetilde{E}(z,\omega) = \int_{-\infty}^{+\infty} E(z,t) \exp(i\,\omega t) dt,$$

$$\widetilde{P}_{\rm NL}(z,\omega) = \int_{-\infty}^{+\infty} P_{NL}(z,t) \exp(i\,\omega t) dt \,, \label{eq:PNL}$$

$$\varepsilon(\omega) = (n(\omega) + i\alpha(\omega)c/2\omega)^2$$
,

n is the refractive index, and  $\alpha$  is the linear loss coefficient. By using analytical methods such as the Green's function approach [14], one may transform Eq. (1) in the two coupled (via nonlinearity) first-order equations,

$$[\partial_z + ik(\omega)]\tilde{E}_{\pm}(z,\omega) = \pm i\mu_0 \frac{\omega^2}{2k(\omega)}\tilde{P}_{\rm NL}(z,\omega), \qquad (2)$$

for the forward,  $\widetilde{E}_{+}(z,\omega)$ , and backward,  $\widetilde{E}_{-}(z,\omega)$ , propagating components of the field  $\widetilde{E}(z,\omega) \equiv \widetilde{E}_{+}(z,\omega) + \widetilde{E}_{-}(z,\omega)$ .

Whenever the nonlinear polarization represents a relatively weak perturbation to the linear dielectric susceptibility, it is possible to neglect the coupling between counter-propagating waves [15–17]. This means that we may separately consider the first of Eqs. (2) that describes the evolution of the forward field component alone. By expressing this field as  $E(z,t) \equiv (A(z,t) \exp(-i\omega_0 t) + \text{c.c.})/2$  (dropping for simplicity the plus index), where  $\omega_0$  and A(z,t) are an arbitrary carrier frequency and an envelope function, one obtains

$$[\partial_z - ik(\omega)]\tilde{A}(z,\omega) = i\mu_0 \frac{\omega^2}{2k(\omega)} \tilde{p}_{\rm NL}(z,\omega,\tilde{A}), \qquad (3)$$

where

$$\widetilde{A}(z,\omega) = \int_{-\infty}^{+\infty} A(z,t) \exp\{i(\omega - \omega_0)t\} dt,$$

$$\widetilde{p}_{\rm NL}(z,\omega) = \int_{-\infty}^{+\infty} p_{\rm NL}(z,t) \exp\{i(\omega-\omega_0)t\} dt\,,$$

$$P_{\rm NL}(z,t) \equiv (p_{\rm NL}(z,t)\exp(-i\omega_0 t) + \text{c.c.})/2,$$

and  $p_{NL} = p_{NL}^{(2)} + p_{NL}^{(3)}$ . Moreover,

$$p_{\rm NL}^{(2)}(z,t) = \varepsilon_0 \chi^{(2)}(2|A|_{\perp}^2 \exp(i\omega_0 t) + A^2 \exp(-i\omega_0 t))/2$$

$$p_{\text{NL}}^{(3)}(z,t) = \varepsilon_0 \chi^{(3)}(3|A|^2 A + A^3 \exp(-2i\omega_0 t))/4$$

where  $|A|_+^2$  only contains frequency components with  $\omega \ge 0$ . Therefore Eq. (3) can be written as

$$\label{eq:delta_z} \left[ \partial_z - i\beta(\omega) + \frac{\alpha}{2} \right] \widetilde{A}(z,\omega) = i\rho(\omega) \widetilde{p}_{\rm NL}(z,\omega,\widetilde{A}), \tag{4}$$

where  $k(\omega)=n(\omega)\omega/c+\alpha(\omega)/2\equiv\beta(\omega)+\alpha(\omega)/2$  and  $\rho(\omega)\equiv\omega/2n(\omega)c\varepsilon_0$ , and we neglected the contribution of the loss coefficient  $\alpha$  with respect to the propagation constant  $\beta$  in the denominator of the right-hand side of Eq. (4). By performing a Taylor expansion of  $\beta$  and  $\rho$  around a carrier frequency  $\omega_0$ , one may transform Eq. (4) in the time domain and obtain the GNEE for the evolution of A(z,t),

$$\left[\partial_{z} - D + \frac{\alpha}{2}\right] A(z,t) = Np_{\rm NL}(z,t,A), \qquad (5)$$

where the dispersion operator D is formally written as

$$D = \sum_{m \ge 0} \frac{i^{m+1}}{m!} \left( \frac{\partial^m \beta}{\partial \omega^m} \right)_{\omega = \omega_0} \frac{\partial^n}{\partial t^m},$$

and the nonlinearity operator N is

$$N = \sum_{m \ge 0} \frac{i^{m+1}}{m!} \left( \frac{\partial^m \rho}{\partial \omega^m} \right)_{\omega = \omega_0} \frac{\partial^m}{\partial t^m},$$

where the series is typically truncated to the first-order term so that

$$N \cong i \rho_0 (1 + i \tau_{sh} \partial / \partial t)$$
,

where  $\rho_0 \equiv \omega_0/2n_0c\varepsilon_0$ ,  $n_0 = n(\omega_0)$ , and

$$\tau_{sh} \equiv 1/\omega_0 - \{\partial [\ln(n(\omega))]/\partial \omega\}_{\omega=\omega_0}.$$

Equation (5) may be easily numerically solved by means of the standard split-step Fourier method, where the action of the dispersive step is computed as a phase shift in the frequency domain, and the nonlinear step is computed by a simple integration in the time domain. The strengths of the second- and third-order nonlinearities are typically measured in terms of  $d_{\rm eff} = \chi^{(2)}/2$  and the nonlinear refractive index  $n_2 = 3\chi^{(3)}/8n_0$ , respectively.

#### 3. MODEL VALIDATION

In order to verify the accuracy of the GNEE (5), we compared its solution with the direct PSSD integration of Maxwell's equations [5]. For the sake of simplicity, we neglect dispersion and set  $P \equiv P_{\rm NL}^{(2)} = \varepsilon_0 \chi^{(2)} E^2$ , with  $\chi^{(2)} = 0.02$ . We consider the initial 1 cycle pulse  $E(z=0,t) = \cos(\omega_p t) \operatorname{sech}(0.3\omega_p t)$  (with, e.g.,  $\lambda_p = 2\pi c/\omega_p = 830$  nm).

Figure 1(a) compares the PSSD (solid curves) and GNEE (empty dots) solutions for the electric field after 6  $\mu$ m, which indeed agrees with the prediction of Eq. (2) of [7]: this point corresponds to a carrier wave shock [6,18,19], i.e., a vertical trailing edge for the central carrier oscillation; whereas Fig. 1(b) compares the associated spectral intensities showing HHG (of the carrier frequency  $\omega_p$ ). As can be seen, there is a relatively good agreement between the solution of the GNEE (5) and the PSSD simulations. In all GNEE simulations, we only used  $n=2^{11}$  sampling points in the physical spectral window, compared with at least  $2^{14}$  data points for the PSSD

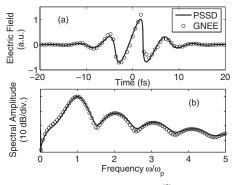


Fig. 1. Carrier wave shock induced by  $\chi^{(2)}$ : comparison of PSSD (solid curves) and GNEE (empty dots) solutions for (a) the electric field and (b) the spectral amplitude of 1 cycle pulse at 830 nm at the carrier wave shock point as predicted by Eq. (2) of [7].

runs. For better numerical stability with a quadratic nonlinearity, we applied Oszag's 2/3 rule by padding with n/3 zeros the upper and lower parts of the spectral range. The GNEE simulation in Fig. 1 only took 100 s when running MATLAB on a laptop personal computer. Clearly, a main advantage of the GNEE approach is that it permits one to include in a straightforward manner the frequency-dependent linear propagation constant  $\beta(\omega)$  in the modeling of short pulse propagation.

#### 4. APPLICATION EXAMPLES

As examples of practical application of the singleequation description of wave propagation in quadratic and cubic nonlinear materials which is provided by the GNEE (5), let us consider in this section the two main parametric mixing processes leading to either frequency doubling or frequency-difference generation in quasiphase-matched crystals. Indeed, recent experiments by Langrock et al. [9] demonstrated dramatic SHG and HHG in PPLN. Figure 2(a) shows the wavelength dependence of both the group delay and the group velocity dispersion D for light propagating along the extraordinary axis in LiNbO<sub>3</sub> [20]. In the solution of Eq. (5), we used a squarewave spatial modulation of the second-order nonlinear coefficient from  $+d_{\rm eff}$  to  $-d_{\rm eff}$  with a QPM period D, where  $d_{\rm eff}$ =25.2 pm/V and  $n_2$ =5.3 × 10<sup>-15</sup> cm<sup>2</sup> W<sup>-1</sup>, and we compared the propagation of a 32 fs 2 GW/cm<sup>2</sup> pump pulse at either 2.4  $\mu$ m (Fig. 3) or 1.58  $\mu$ m (Figs. 4 and 5).

Figure 3 illustrates the spectrogram, the amplitude of the field envelope, and the spectral intensity profile generated after 5.8 mm of PPLN from the input 4 cycle pulse at 2.4  $\mu$ m, where the QPM period of  $D=34.5 \mu$ m was obtained from the dispersion curve in Fig. 2. The spectrogram in Fig. 3 reveals the details of the temporal structure of the HHG process: a few-cycle SH pulse is generated with the same intensity and similar duration as the pump, along with a time-compressed weaker thirdharmonic pulse, whereas the fourth harmonic presents a continuous-wave radiation peak. The formation of a fewcycle SH pulse is facilitated by the fact that the fundamental harmonic and the SH are located on opposite sides of the zero dispersion wavelength of  $\lambda_{ZDW}$ =1.98  $\mu m$  [see Fig. 2(a)], which leads to the reduced group-velocity mismatch (GVM)-induced delay of 60 fs/mm. We have propa-

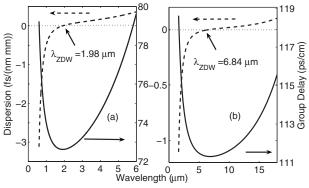


Fig. 2. Plot of dispersion and group delay versus wavelength for propagation along (a) the extraordinary axis of  $LiNbO_3$  or (b) in GaAs.

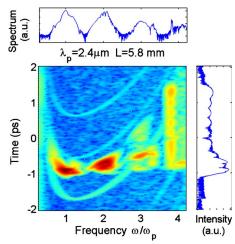


Fig. 3. (Color online) Display of the spectrogram (with a 25 fs gate function), field envelope, and spectral amplitude profiles showing QPM-SHG of a 32 fs 2 GW/cm² pump pulse at 2.4  $\mu$ m after 5.8 mm of PPLN. The QPM period is 34.5  $\mu$ m.

gated the field up to 17.5 mm and observed a total 500 fs temporal walk-off between the fundamental and the SH pulses, which is half the value of that predicted from Fig. 2(a). The discrepancy results from the nonlinear trapping of the pump and SH pulses over the first 8 mm of propagation.

On the other hand, Figs. 4 and 5 show that for an input pump pulse at 1.58  $\mu m$  the GVM is so large that no mutual trapping with the SH is possible: as a result, the SH (and third-harmonic) energy is uniformly distributed in relatively long pulses. In the simulation in Fig. 4 the pump pulse duration and intensity were the same as in Fig. 3. Moreover, we kept the QPM period unchanged at 34.5  $\mu m$  as in Fig. 3 so that no phase-matching occurs between the pump and its SH. Still, it is quite remarkable to note that broadband higher harmonic generation is observed even for a strongly mismatched situation, albeit with a largely reduced peak efficiency. Clearly (as shown in Fig. 5) the SHG conversion efficiency is substantially improved whenever the QPM period is reduced to

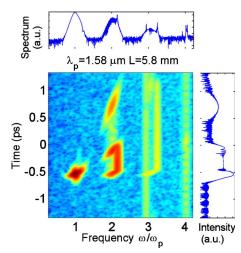


Fig. 4. (Color online) Same as Fig. 3, for pump pulse at 1.58  $\mu$ m.

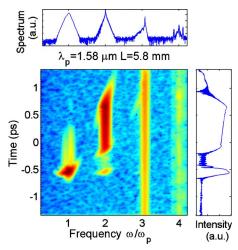


Fig. 5. (Color online) Same as Fig. 4, with the QPM period of 19.9  $\mu$ m that is adapted for SHG with a pump pulse at 1.58  $\mu$ m.

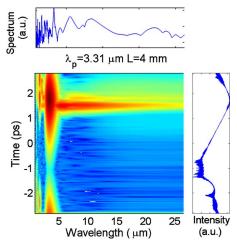


Fig. 6. (Color online) Same as Fig. 3, showing QPM-induced SC from the mixing of a 500 fs 1 GW/cm<sup>2</sup> pump at 3.31  $\mu$ m and a 100 fs 20 MW/cm<sup>2</sup> signal at 5.5  $\mu$ m in 4 mm of GaAs.

19.9  $\mu m$  so that the pump at 1.58  $\mu m$  and its SH wavelength are now phase-matched.

Next we modeled by means of Eq. (5) the recently observed optical parametric generation of a MIR continuum in orientation-patterned GaAs [10], whose dispersion is illustrated in Fig. 2(b) [20]. Figure 6 shows the MIR SC extending from 5 to 10  $\mu$ m that results from the mixing of a 500 fs 1 GW/cm² pump pulse centered at 3.31  $\mu$ m with a 100 fs 20 MW/cm² signal pulse at 5.5  $\mu$ m, after propagation through 4 mm of QPM-GaAs with  $d_{\rm eff}$ =69 pm/V,  $n_2$ =1.5×10<sup>-13</sup> cm² W<sup>-1</sup> [10], and the QPM period of 163  $\mu$ m. As shown in Fig. 2(b), in GaAs the signal at 5.5  $\mu$ m and the parametrically generated idler at 9.5  $\mu$ m are on opposite sides of the zero dispersion wavelength  $\lambda_{\rm ZDW}$ =6.84  $\mu$ m, and the resulting broadband GVM matching leads to wideband SC generation.

# 5. CONCLUSIONS

In conclusion we derived, validated, and provided application examples of an effective approach based on a single GNEE describing the evolution of the arbitrarily fast optical field envelope. This method enables the efficient numerical study of ultrashort pulse propagation and frequency conversion in both quadratic and cubic nonlinear optical media.

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