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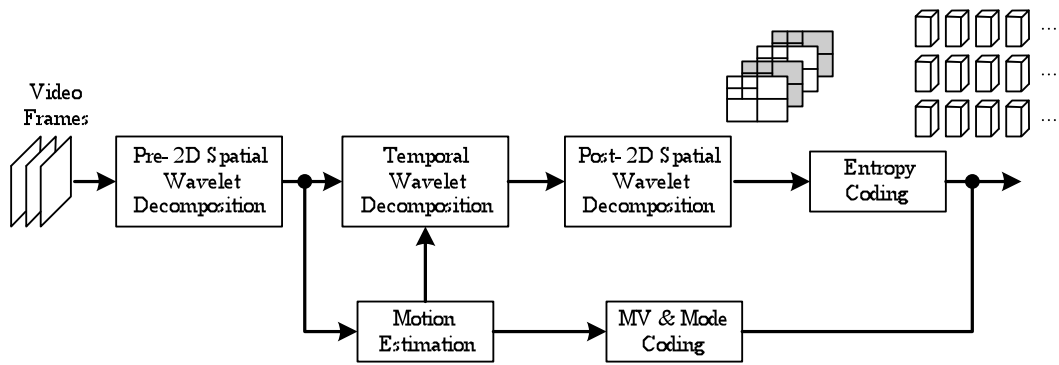
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Authors Riccardo Leonardi, Alberto Signoroni, Sébastien Brangoulo
Contacts: riccardo.leonardi@ing.unibs.it , alberto.signoroni@ing.unibs.it ,
brangou@tsi.enst.fr

1 Video Coding with Wavelets

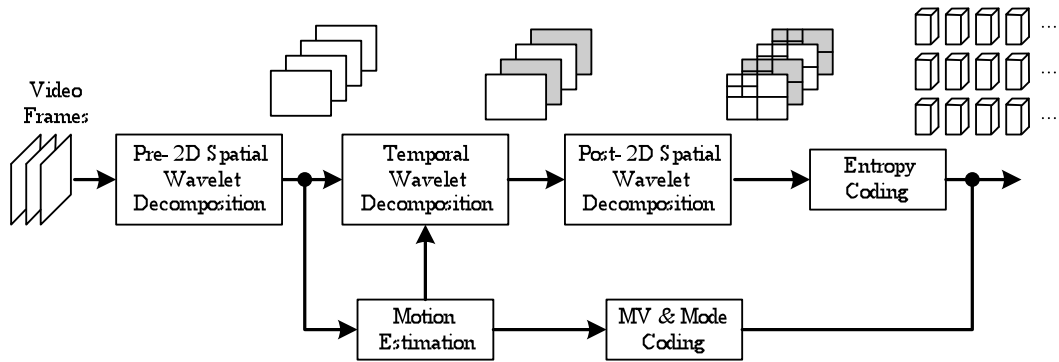
Current 3-D wavelet video coding schemes with Motion Compensated Temporal Filtering (MCTF) can be divided into two main categories. The first performs MCTF on the input video sequence directly in the full resolution spatial domain before spatial transform and is often referred to as spatial domain MCTF. The second performs MCTF in wavelet subband domain generated by spatial transform, being often referred to as in-band MCTF. Figure 1(a) is a general framework which can support both of the above two schemes. Firstly, a pre-spatial decomposition can be applied to the input video sequence. Then a multi-level MCTF decomposes the video frames into several temporal subbands, such as temporal highpass subbands and temporal lowpass subbands. After temporal decomposition, a post-spatial decomposition is applied to each temporal subband to further decompose the frames spatially.

In the framework, the whole spatial decomposition operations for each temporal subband are separated into two parts: pre-spatial decomposition operations and post-spatial decomposition operations. The pre-spatial decomposition can be void for some schemes while non-empty for other schemes. Figure 1(b) shows the case of the t+2D scheme where pre-spatial decomposition is empty. Figure 1(c) shows the case of the 2D+t+2D scheme where pre-spatial decomposition is usually a multi-level dyadic wavelet transform. Depending on the results of pre-spatial decomposition, the temporal decomposition should perform different MCTF operations, either in spatial domain or in subband domain.

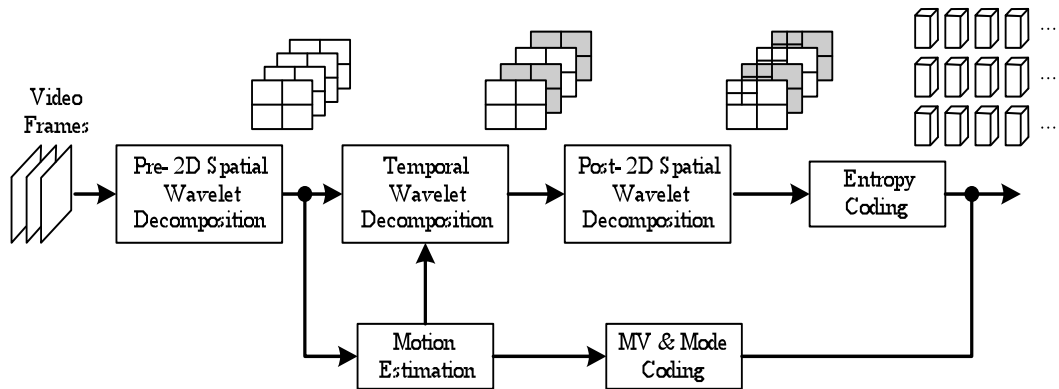
¹ Editorial comment : this is a revised and extended version of the MPEG output document N7571. Many technical details have been cut in this version and referenced to the relative original technical documents.



(a) The general coding framework



(b) Case for the t+2D scheme (Pre-spatial decomposition is void)



(c) Case for the 2D+t+2D scheme (Pre-spatial decomposition exists)

Figure 1: Framework for 3-D wavelet video coding.

A first classification of SVC schemes according to the order the spatial and temporal wavelet transform are performed was introduced in the first Scalable Video Models [1], [2] on the base of the Call for Proposals responses at Munich meeting. The so called t+2D schemes (one example is [3]) performs first an MCTF, producing temporal subband frames, then the spatial DWT is applied on each one of these frames. Alternatively, in a 2D+t scheme (one example is [4]), a spatial DWT is applied first to each video frame and then MCTF is made on spatial subbands. A third approach named 2D+t+2D uses a first stage DWT to produce reference video sequences at various resolutions; t+2D transforms are then performed on each resolution level of the obtained spatial pyramid.

Each scheme has evidenced its pros and cons [5,6] in terms of coding performance. From a theoretical point of view, the critical aspects of the above SVC scheme mainly reside

- in the coherence and trustworthiness of the motion estimation at various scales (especially for t+2D schemes)
- in the difficulties to compensate for the shift-variant nature of the wavelet transform (especially for 2D+t schemes)
- in the performance of inter-scale prediction (ISP) mechanisms (especially for 2D+t+2D schemes).

An analysis of the differences between schemes is also reported in the sequel.

“t+2D”

A t+2D scheme acts on the video sequences by applying a temporal decomposition followed by a spatial transform. Earlier wavelet based coding systems was based on this scheme [7, 8]. Many wavelet based SVC systems are based on the t+2D spatiotemporal decomposition, and what follows is a partial reference list [3, 9–21]. Despite the t+2D is could seem simpler than other solutions, it presents some relevant issues especially for spatial scalability features.

When full spatial resolution decoding is required, the process is reversed until the desired frame-rate (partial vs complete MCTF inversion) and SNR quality; instead, if a lower spatial resolution version is needed the inversion process disclose an incoherence with respect to the forward decomposition. The problem consists in the fact that the inverse MCTF transform is performed on the lower spatial resolution (obtained by the partial inversion of the spatial DWT) of the temporal subband frames and inverse motion compensation uses the same (scaled) motion field estimated for the higher resolution sequence analysis. Because of the non ideal decimation performed by the low-pass wavelet decomposition (which generates spatial aliasing), a simply scaled motion field is, in general, not optimal to invert the temporal transform at lower resolution level. It can also be said that the motion vectors should be the same (scaled) for the various spatial resolutions since they simply record the actual physical motion at the different scales. Then the main problem seems to be spatial aliasing left in the lower resolution subbands by the non-ideal CDF 9/7 analysis/synthesis filters. This problem can be reduced for intermediate and lower resolutions by using (for that resolution) more selective wavelet filters [22] or locally adaptive spectral shaping acting on the quantization parameters inside each spatial subband [23]. However such approaches can determine coding performance loss at full resolution (because either wavelet filters or coefficient quantization laws are moved from coding performance *ideal* conditions).

Another relevant problem is represented by the ghosting artefacts that appears on the low pass temporal subbands when MC is not applied or when it fails due to unreliable motion vectors or to inadequate motion model. Such ghosting artefacts comes visible when high pass subbands are discarded that is when reduced framerate decoding is performed. A solution to this issue has been proposed under the framework of *unconstrained* MCTF (UMCTF) [24] which basically consists in omitting the “update” lifting step so that only the “prediction” one is performed in the lifting implementation of a MCTF. As usual in a wavelet transform framework the temporal update step is beneficial in that it smoothes the low-pass subbands (due to its action of temporal MC average) and reduces temporal aliasing. Then, omitting it cause a coding performance worsening on the low-pass temporal subbands (then on reduced frame rate decoding), however temporal averaging itself introduces ghosting artefacts where the MC model fails. A solution that try to adaptively weight the update step according to a motion field reliability model parameter has been proposed in [25, 26].

In the common motion compensated temporal filtering cases (e.g. with Haar or 5/3 kernels) an UMCTF approach actually lead to temporal open-loop versions of classical motion compensated (respectively uni- or bi-directional) temporal prediction schemes with eventually multiple reference frames, as supported in AVC. UMCTF is also used for low-delay and/or low-complexity SVC configurations (see e.g. [27]).

“2D+t”

In order to solve the problem of motion field scaling at different spatial levels a natural approach has been to consider a 2D+t scheme, where the spatial transform is applied before the temporal one. Motion information is structurally scalable here, but this does not automatically guarantee its efficient coding. The main problem of this approach is that it suffers from the shift-variant nature of wavelet decomposition, which leads to inefficiency in motion compensated temporal transforms on the spatial subbands. This problem has found a partial solution in schemes where the motion estimation and compensation take place in an overcomplete (translation invariant [28,29]) wavelet domain, but at the expense of an increasing complexity. Different coding systems have also been proposed [4, 30–39] which are based on a 2D+t wavelet spatio-temporal decomposition.

Pyramidal “2D+t+2D”

From the above discussion it comes clear that the spatial and temporal wavelet filtering cannot be decoupled because of the motion compensation. As a consequence it is not possible to encode different spatial resolution levels at once, with only one MCTF, and thus both lower and higher resolution sequences or subbands must be MCTF filtered.

In this perspective, a possibility for obtaining good performance in terms of bitrate and scalability is to use an Inter-Scale Prediction (ISP) schemes, which lead to the so called 2D+t+2D architectures. In [3] a ISP 2D+t+2D scheme has been described which derived from AVC/H.264 based proposals: prediction between the lower and higher resolutions is performed before applying spatio-temporal transform. Then the lower resolution sequence (or frame or block) is interpolated and used as prediction signal for the corresponding higher resolution signal (see Fig.2). The residual is then filtered both temporally and spatially. This architecture has a clear basis on what have been the first hierarchical representation technique, introduced for images, namely the Laplacian pyramid [40]. So, even if from an intuitive point of view the scheme seems to be well motivated, it has the typical disadvantage of overcomplete transforms, namely that of leading to a full size residual image. This way the information to be encoded as refinement is spread on a high number of coefficients and coding efficiency is hardly achievable.

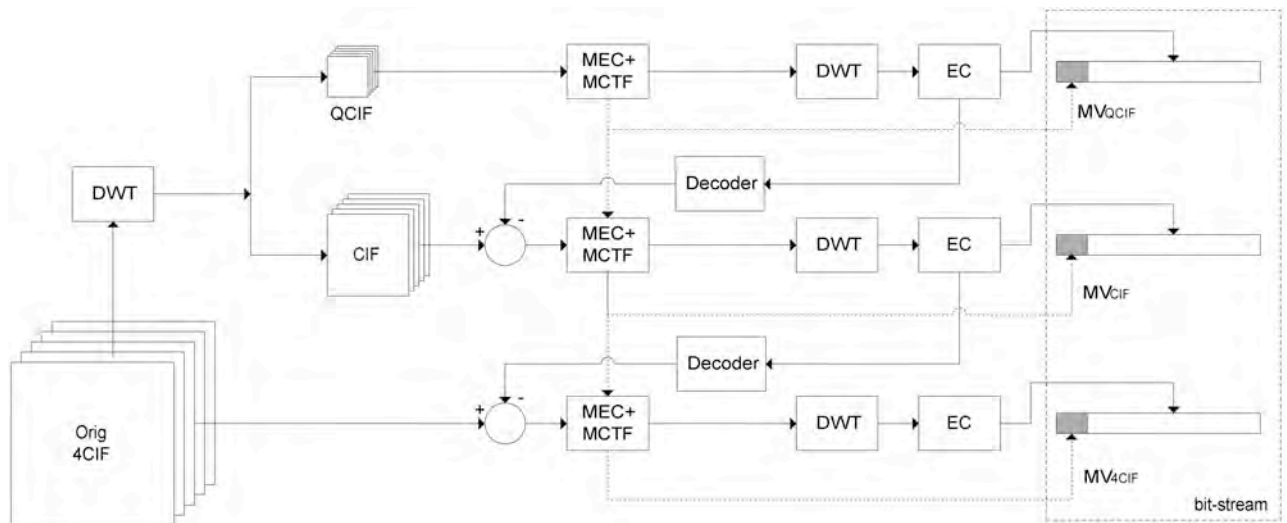


Figure 2. 2D+t+2D pyramidal scheme: ISP with interpolation.

STool “2D+t+2D”

Another tool 2D+t+2D scheme, presented in [41, 42] with the name *STool* (Spatio-Temporal tool), combines a layered representation with ISP in the MCTF domain. The *STool* scheme is shown in Fig. 3. *STool* appears as a valid alternative approach to interpolation based schemes because it efficiently combines the idea of prediction between different resolution levels with the spatio-temporal wavelet transform framework. Compared with the previously described schemes it presents several advantages. First of all, the different spatial resolution levels have all undergone an MCTF, which prevents the problems of t+2D schemes. Furthermore, the MCTF are applied before spatial DWT, which solves the problem of 2D+t schemes. Moreover, the prediction is confined to the same number of transformed coefficients that exist in the lower resolution format so that there is a clear distinction between the coefficients that are associated to differences in the lowpass bands of high resolution format with respect to the low resolution ones and the coefficients that are associated to higher resolution details, and this constitutes an advantage between the prediction schemes based on interpolation in the original sequence domain. Another important advantage is that it is possible to decide which and how many temporal subbands to use in the prediction. So, one can for example discard the temporal highpass subbands if when a good prediction cannot be achieved for such “quick” details. Alternatively this allows for example a QCIF sequence at 15 fps to be efficiently used as a base for prediction of a 30 fps CIF sequence.

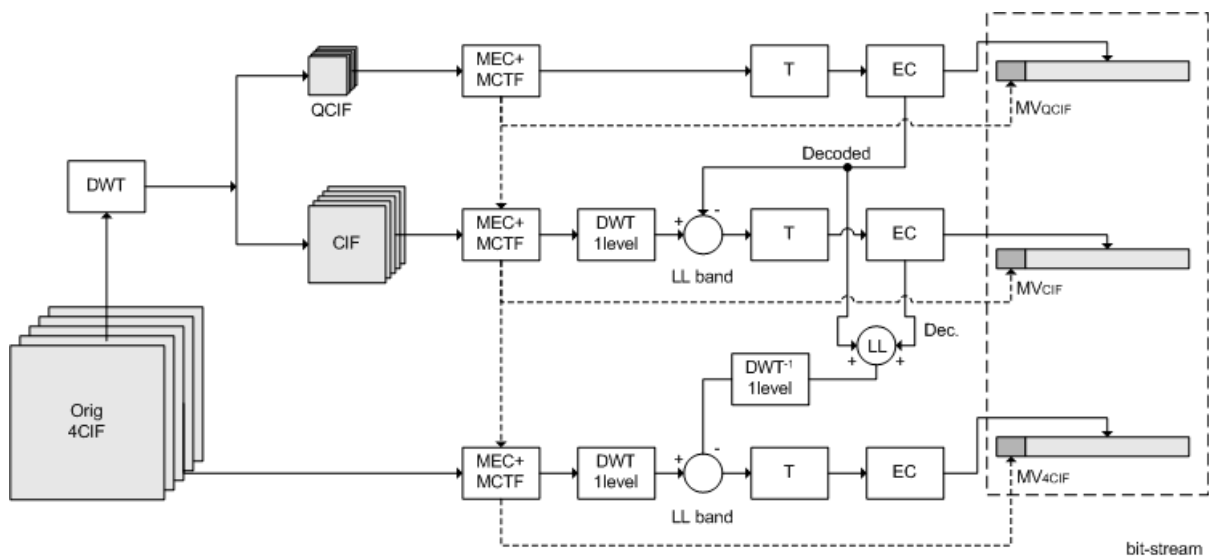


Figure 3. 2D+t+2D STool scheme: ISP without interpolation.

2D+t+2D architectures can be divided in open-loop ISP (the prediction signal is obtained from the original information) and closed-loop ISP solutions (the prediction signal is obtained from the decoded information). In a purely closed loop scheme the prediction signal used at a spatial level $s+1$ must collect all the decoded information coming from the previously coded prediction and residue signals. As both, the encoder and the decoder must use the same prediction, this could reduce scalability features. In a purely open loop scheme the signal at spatial resolution s is directly taken as the prediction signal, then prediction at spatial level $s+1$ only depends from the spatial level s . However, open loop schemes, especially at low bit-rates, undergo to the drift problems at the decoder side and then they are usually not considered. Solutions which blend the two extremes can be envisaged, as proposed in [43] within the asymmetric closed-loop prediction, in order to not sacrifice too much scalability features. Another solution which presents some similarities with respect to STool has been proposed in [44].

1.1 AhG on Further Exploration on Wavelet Video Coding (VidWav)

After the decision to proceed to the SVC standardization, jointly with ITU-T in the JVT group, with a scalable video solution based on the already mature and optimized MPEG AVC/H.264 technologies, an Ad-Hog group on “further exploration on Wavelet Video Coding” was originated at the Palma Meeting in October 2004.

During the break-out sessions of the subsequent Meeting (Hong Kong, January 2005), several points have been discussed, which did lead to some directions for future work [45]. Because of their relevance such points are also reported in this document:

- 1- Goals and software issue
- 2- Functionalities
- 3- Synthesis of experiments in wavelets – starting point
- 4- Collaborative experiments

1) Goals and software issue. Discussions indicated that the first priority of the wavelet ad-hoc group is to collect evidence for the advantages and potential advantages which can be offered by wavelet transforms for scalable video compression. This includes both functionality and compression efficiency, both objective and subjective. The second priority of the group is to identify and evaluate the tools (technology components) which are responsible for providing the greatest coding efficiency and/or other functionalities of interest. The third priority of the group is to integrate those tools which show the greatest promise into a common software platform, which shall be based on the software offered by MSRA. MSRA software will be released 2 weeks after the Hong Kong meeting. Technical description of the software will be released 4 weeks after the Hong Kong meeting. The Software will be released under the new MPEG license policy and available through Aachen CVS repository.

2) Functionalities. The group believes that there are some interests in using wavelets, in terms of coding efficiency but also in terms of functionalities. The aim of this group is then to assess these points. To this extent the AHG will make a list of:

- 1- Functionalities that can be fully addressed by SVC.
- 2- Functionalities that can be addressed by SVC but with limitations (or that wavelets could do in a better way)
- 3- Functionalities that can not be addressed by SVC, but wavelets

This discussion will be developed until the next meeting on the reflector and would lead to a presentation to the next meeting.

3) Synthesis/Starting point. The aim of this item is to make a synthesis of previous experiments lead so far on wavelet technologies. The aim is to help focusing new experimentations in this AHG according to actual knowledge (drawbacks and weaknesses identified). The following list of tools category has to be further refined for the next meeting after discussions on the reflector.

a) Temporal wavelet transforms

1. The motion compensated lifting structure has proven to be most effective as a means for constructing open-loop multi-scale transforms from wavelet transform kernels, so as to exploit inter-frame redundancy with motion.
2. Wavelet kernels which have proven to be interesting include the Haar and bi-orthogonal 5/3.
3. Update steps are known to have the potential to cause ghosting artifacts at reduced temporal resolutions. Various strategies for minimizing this effect have been proposed and shown to be effective:
 - a. Eliminating the update steps – some loss of compression efficiency
 - b. Attenuating the update steps in regions where motion modeling is less effective
 - c. 5/3 transform with uniform direction motion fields
4. Prediction steps may be understood in terms of classical motion compensated prediction (uni-directional for the Haar and bi-directional for the 5/3), except that quantization is performed out of loop. Prediction mode decisions such as those used in common video standards (everything from MPEG-1) have been found to be effective also in the context of wavelet lifting. So far prediction mode switching has been investigated only in the context of block-based motion.

b) Motion Models

1. Block based motion compensation creates discontinuities which are not well suited to subsequent application of the spatial wavelet transform.
2. One way to mitigate the above problem is the use of OBMC/deblocking.
3. A second way to mitigate the problem of block discontinuities is to perform motion compensation in the subband domain; specifically the discontinuities can be made to appear in the subband domain rather than the image domain.

c) Motion Inversion

1. Where more than one lifting step is used, the motion fields required by one lifting step (most commonly the update step) can be derived from those used by another lifting step. This has generally proven to be more effective than explicitly signaling the motion fields for all lifting steps.
2. Two types of approaches for deriving the missing lifting steps can be classified as explicit and implicit. Implicit inversion is performed by “Barbell” lifting, while explicit inversion involves the derivation of an explicit (approximate) inverse of the signaled motion field.

d) Spatio-Temporal Transform Structures

1. When the motion is well modeled and estimated, the t+2D transform structure (or equivalent) yields the highest energy compaction and hence maximizes the compression efficiency of the full resolution video.
2. At reduced spatial resolutions, the t+2D structure can lead to the appearance of artifacts. At lower bit-rates, quantization errors may mask these artifacts; however, for a fully scalable scheme, such masking cannot be relied upon. These artifacts can be eliminated by resorting to a multi-resolution structure and excluding higher resolution subbands from the motion compensation of lower resolution subbands. However, such an approach necessarily reduces full resolution compression efficiency.
3. Schemes to blend the strategies described above have shown to be promising.

e) Visual Properties of Low Spatial Resolution Scales

1. It is known that spatial DWT kernels commonly used for image compression, such as the 9/7, produce significant levels of aliasing in the LL subband frames. The aliasing becomes particularly visible (as a non-shift invariant component) in the presence of motion, where it can be very disturbing.
2. One way to reduce the aliasing problem mentioned above is to use longer DWT kernels. In particular, 3 lifting step kernels have been shown to yield reduced levels of low-resolution aliasing with some small sacrifice in full resolution compression performance.
3. Another way to reduce the aliasing problem is to use the MPEG B filters or similar, but these essentially necessitate the use of a redundant spatial pyramid.
4. The t+2D structure also produces less aliasing power at reduced spatial resolutions than schemes which exclude higher frequency subbands from the motion compensation of lower frequency subbands (as in point 2 of the previous section).

f) Impact of Scalability

1. Spatial scalability presents probably the greatest difficulties for a fully embedded coder. One reason for this is that motion bit-rate must be scaled substantially to accommodate the large range of bit-rates expected across different spatial resolutions. Another reason relates to the visual issues described above.

2. Motion scalability is also important at lower bit-rates even within a single spatial resolution.
3. Scalability appears to come with some cost, but we don't know how large this is at present. One difficulty presented by scalability is the selection of RD optimization operating points to balance the contributions of motion and texture information which interact in a non-linear way.

g) Interesting Technologies Proposed So Far

1. Entropy coding strategies: ESCOT, EBCOT, EZBC
2. Down sampling filters: 9/7; 3-lifting step filter; MPEG B-filter
3. Various intra-coding strategies
4. Motion compensation strategies: various forms of OBMC/deblocking; various approaches to in-band MC
5. Post-processing: deringing/deblocking filters
6. Various techniques for scalable motion
7. 3-band temporal decomposition and techniques for achieving more uniform quality from frame to frame

4) Collaborative work. In order to evaluate and improve tools in wavelet video coding, a first set of tools to be studied has been defined: a) motion estimation, b) entropy coding. To this extent collaborative work has to be done to:

1. Provide a means for consistent interchange of motion parameters between implementations, including the SVM, for the purpose of isolating inconsistencies which may be attributable to motion and identifying more carefully the benefits/weaknesses associated with various transform structures.
2. Provide a means for consistent interchange of spatio-temporal subband frames between different coder implementations, for the purpose of identifying the impact of different entropy coding strategies.

The AhG on VidWav also decided to continue explorative activities [46] and to adopt a reference model and software based on the MSRA SVC software [47].

In the reference model three working modalities for wavelet video coding have been considered [47, 48]:

- A t+2D architecture as described [11, 49]
- A 2D+t(+2D) architecture (In-band temporal filtering) as described in [39, 50]
- A 2D+t+2D ISP (STool) architecture as described in [41, 43]

2 Tailored Wavelet Video Coding applications and functionalities

Wavelet video coding appears promising for much functionality such as:

1. Targeting storage of high definition content (no delay constraint), with non predefined scalability range. Inbuilt scalability brought by wavelets allows a very high definition coding, and a very small definition decoding (in case of quick preview of the content).
2. Targeting a very high number of spatio-temporal decomposition. Scalability is mainly designed to encode once, serve all. Wavelets allow a single encoding, and can serve all spatio-temporal decomposition levels (from QQCIF to HD, and even higher resolution).
3. Targeting non dyadic spatial resolution. Basically, wavelets are interesting also for mobile video; one knows that mobile screens are not designed to fit CIF or even QCIF resolution. It would be interesting to allow a reshape of the video, in a non-dyadic fashion.
4. Targeting fast moving region of interest tracking over time. Extracting salient points using most important wavelet coefficients are now quite known methods. By extracting salient point, one can track region of interest during time. Another way would be to manually select an object in the video, to track it following the motion.
5. Extremely fine grain SNR scalability. This scalability is naturally implemented given the multiresolution framework enabled by wavelet representation. Depending on the chosen filters, one can start from perfect reconstruction to very low quality.
6. Enabling efficient similarity search in large video databases. Different method based on wavelets can be used. Instead of searching full resolution video, one can search low quality videos (spatially, temporally, and SNR reduced), to accelerate the search operation. Then, on low quality videos, and using salient points, similarity can be found in space and time.
7. Allowing better rate distortion performances for very high resolution material. DCT-based codec are limited to 8x8 transform. For high resolution materials, uniform regions can quickly become very visible when using DCT. This can be solved using wavelets, which are not limited to 8x8 blocks. Rate distortion performances would be in this case much more optimized.
8. Multiple Description Coding which would lead to better error-resilience. By using the lifting scheme, it is an easy way to separate video data to transmit two separate bit streams. Using intelligent splitting, one can decode independently and separately the two bit streams (spatially, temporally and/or SNR reduced) or reconstruct the whole bit stream using the two representations of the video.
9. Space variant resolution adaptive decoding. When encoding the video material, it is possible to decode a high spatial resolution only in some area, keep lower resolution in the surrounding areas. Multi resolution schemes can provide easy ways to separate important information in the scene from less important information.

10. Easily provides means to optimally prioritize temporal versus spatial information for fast decoding purposes. After some basic global motion analysis from the compressed portion of the bit-stream, one can skip high frequency content (space & time) in case of high motion; in case of very slow motion, it is possible to skip high temporal frequency content, in limited bandwidth conditions. This could also be extended for locally fast moving data, clearly by changing the prioritization of bits.
11. Obtain a full compatibility with J2K and MJ2K. MJ2K is only “intra” coded video using J2K. If J2K compatibility is obtained, consequently MJ2K compatibility is also obtained. Parsing video contents when only pointing on “intra” picture is a fast and efficient way to search into video database.
12. Digital watermarking and waterscrambling. Watermarking of wavelet coefficients (i.e. inserting hidden or logo information in the content) can be realized in many different ways. Using multi resolution representation, it is interesting to insert information in low frequency subbands. Waterscrambling concerns the video data encryption. A video can be previewed on a very low resolution (spatially, temporally or SNR reduced), and transmitted for a full view on higher resolution.

Much functionality has direct and concrete applications for:

- Digital Cinema

Using the functionality of high definition storage, using wavelets can offer more than 3 levels of spatial resolutions, in order to deliver very high quality content. Also, one can benefit of better reduction of spatial correlation beyond 8x8 blocks by functionality 7, or its neighbors. A better reduction of temporal correlation across large temporal intervals (in JVT like approaches, P-B3-B2-B3-B1-B3-B2-B3-P), provided local structure is consistently estimated from frames over several frames (minimize absolute difference over several frames at the same time)

- Surveillance

Much functionality allows wavelet video codec to be used for surveillance. For instance, surveillance can has benefit from a very high number of not only dyadic decomposition, tracking motion, extremely fine grain scalability and at last, a full compatibility with (M)JPEG2000. Example of surveillance can be given with car plate tracking (may be non MCTF, capture of salient points) and recognition of car plates, and finally, video surveillance (from high definition screen to mobile screen)

- Video editing

Functionality such as non dyadic decomposition, or high number of decomposition level can be interesting for video editing and video authoring, so to accelerate treatments. More generally, wavelets have multiple kinds of filters allowing denoising, restoration, etc.

- Conversion format

Making benefit of non dyadic decomposition, an example can be to convert SD contents to HDTV contents or adapting classical QCIF or CIF to mobile screen.

- Wireless broadcasting

Wireless transmission has growing interest, especially for mobile transmission. Protection and error resilience is a major issue, can be partially solved using multiple description coding (functionality 9). Separating a video content into two independent and fully

decodable bit streams is very interesting in case of error prone environments. Mobile networks are subjects to bit error and packet losses. Having two different and complementary representations of a video content is easily achievable by separating the wavelet coefficients, in a lifting scheme fashion.

- Video indexing, browsing and information retrieval

Working on a small amount of wavelet coefficients can drastically reduce the processing time. Information can be found on low frequencies (allowed by the multi resolution representation). Actually, a high number of decomposition allows working on low resolution contents, to speed up the process time. Also, better RD performance speed up the treatments. Finally, a complete compatibility with JPEG2000 and MJPEG2000 gives efficiency to browse only “intra” pictures on videos.

- Medical imaging

Some applications for medical imaging can have benefit to work on very high definition content, or localized high definition. Storage of very high definition contents is also a major issue, which can be solved using wavelets. Recalling that on high definition contents, blocks can be very disturbing in this special application. Avoiding those artifacts can be very interesting for a good analysis of the contents.

- Data encryption

Contents delivery is often a problem considering illegal copy and peer to peer systems. In that sense, copyright and protection of data can be easily solved using wavelets. Watermarking and Waterscrambling are two news fields, that have proved efficiency thank to recent techniques.

3 Performance evaluation

3.1 Quality assessment in a scalable video coding framework

3.1.1 Problem statement

Objective decoding quality (PSNR) values to compare different coding systems are usually calculated at a certain resolution and for each considered system with respect to a) each system related reference video sequence at the considered resolution, b) a single reference video sequence. In both cases a) and b) the comparison is unfair. This is because each system differs in the way such references are calculated (MPEG downsampling filters for JSVM3.0, 9/7 wavelet filter bank for “t+2D” WVC configuration, 3-LS wavelet filters [22] for STool configuration) and then only PSNR trends referred to a single system are meaningful but not absolute PSNR comparison among systems. In particular due to poor half-band selectivity of wavelet low pass filters, WVC system references are in general more detailed and contain more or less visible spatial aliasing. This determines lower PSNR values with respect to those measured with respect to a smoother. Therefore, PSNR differences between the three coding schemes lose significance when lower or intermediate resolutions are considered. In the following we propose a possible solution to this problem which will allow us to “re-interpret” the PSNR results obtained with method a) or b) (see par. 3.1.2).

Due to the above difficulties and in order to select best SVC schemes quality assessment has been mainly done visually by a subjective evaluation method (see par. 3.1.3).

3.1.2 Objective measures with averaged reference

A method to create a fair reference between two systems which use their own reference video V_1 and V_2 is to create a weighted reference $V = \alpha_1 V_1 + \alpha_2 V_2$, and in particular with $\alpha_1 = \alpha_2 = 1/2$ it can be easily verified that $PSNR(V, V_1) = PSNR(V, V_2)$. This means that V_1 and V_2 are equally disadvantaged by the creation of the common reference V , and then V is a fair common reference for both.

3.1.3 Visual tests method

The evaluation of coded video in absence of an unimpaired reference, demands for the usage of a particular test method, i.e. the Single Stimulus MultiMedia (SSMM) test method. The Single Stimulus MM test method is basically derived from the Single Stimulus method, as described in ITU-R rec. BT 500-11, and the Single Stimulus with two repetitions, as it was used in the MPEG-4 1995 Competition test. This method has been used also for SVC system comparisons as described in [5,6].

3.2 Latest performance results

A comparison among the decoded sequences by JSVM3.0, VidWav reference software in “t+2D” working condition and AVC base-layer (with optimal configuration files, provided by MSRA) and Vidwav reference software in “2D+t+2D” working condition (with configuration as described in the m12642 document [51]) is reported. All the points have been extracted following the Palma extraction path and the bitstream size have been verified: VidWav reference SW in “t+2D” configuration and JSVM3.0 do not respect the bit-rate constraint in all the sequences.

All PSNR results are reported in the excel file attached to document m12643 [43].

NOTE: it was not possible to correctly extract some JSVM3 working points with the available configuration files.

3.2.1 Lowest spatial resolution results

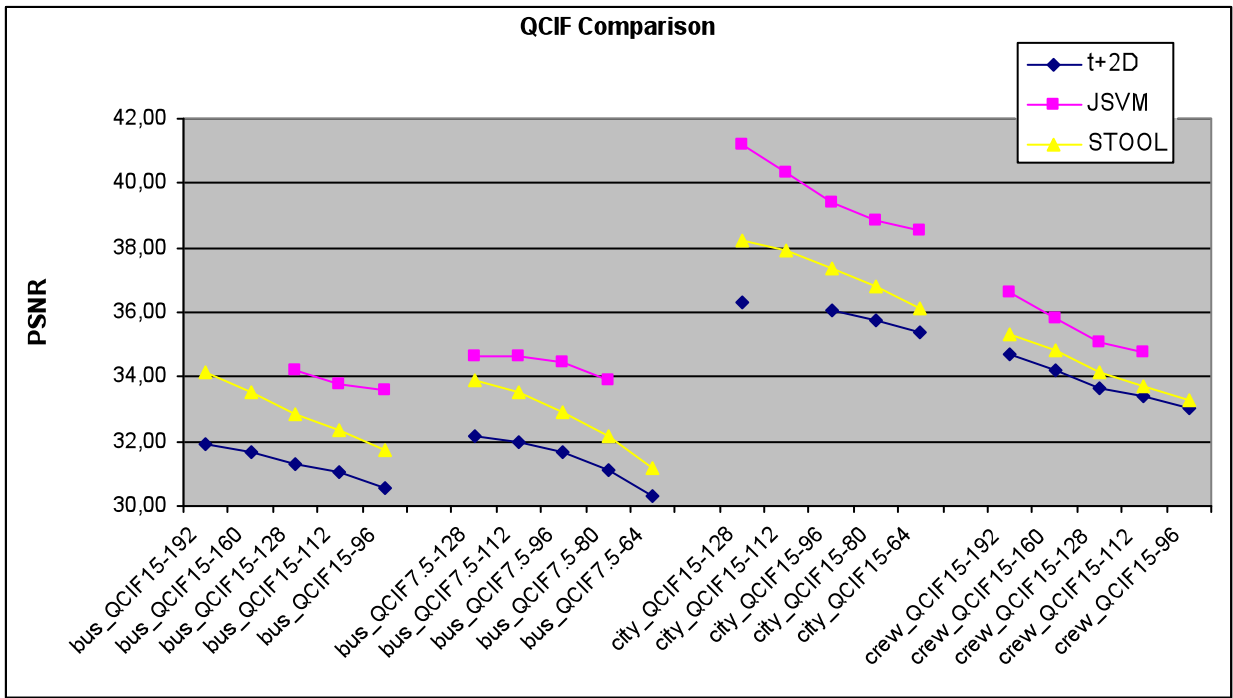
3.2.1.1 PSNR comparison with original references

Figure 4 presents a complete PSNR comparison at QCIF resolution. As known only trends for each system are meaningful since the different coding schemes use different reference sequences (MPEG downsampling filters for JSVM3.0, 9/7 wavelet filterbank for “t+2D” Vidwav Reference Software configuration, 3-LS filters for “2D+t+2D” configuration) relative difference in PSNR between the three coding schemes lose significance.

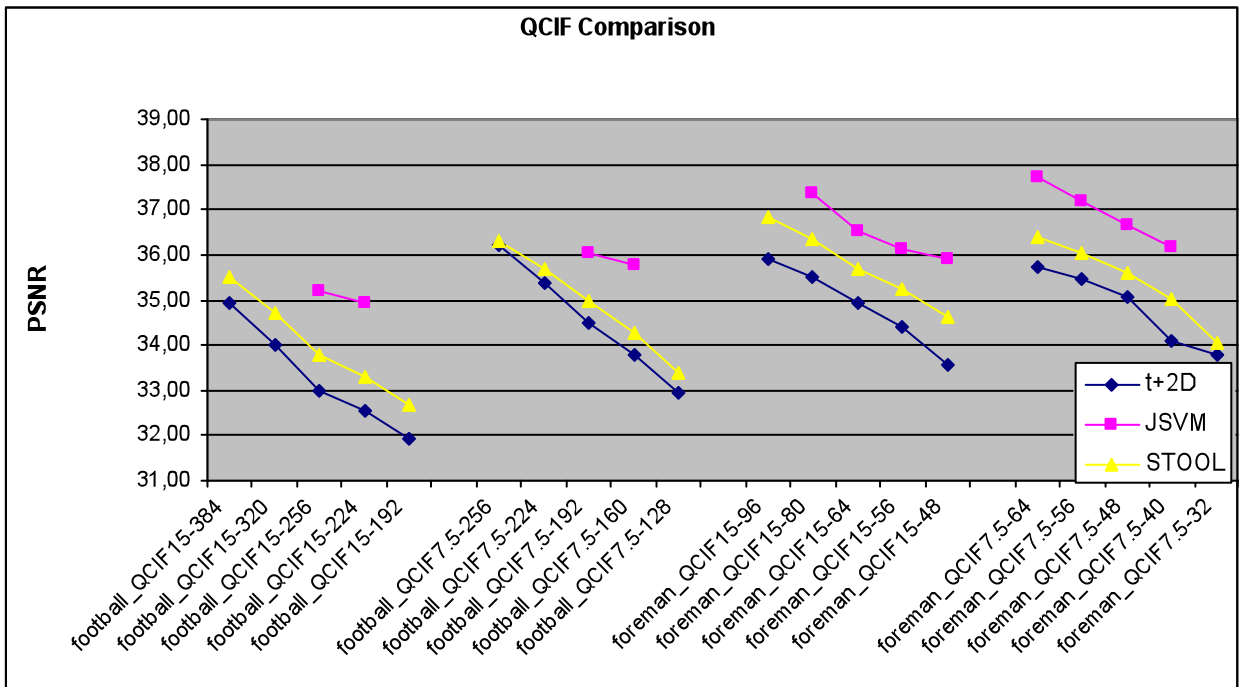
3.2.1.2 PSNR comparison with averaged references

In Figure 5 we compare the PSNR results obtained on two sequences using both system related references and a common reference for JSVM3 and STool.

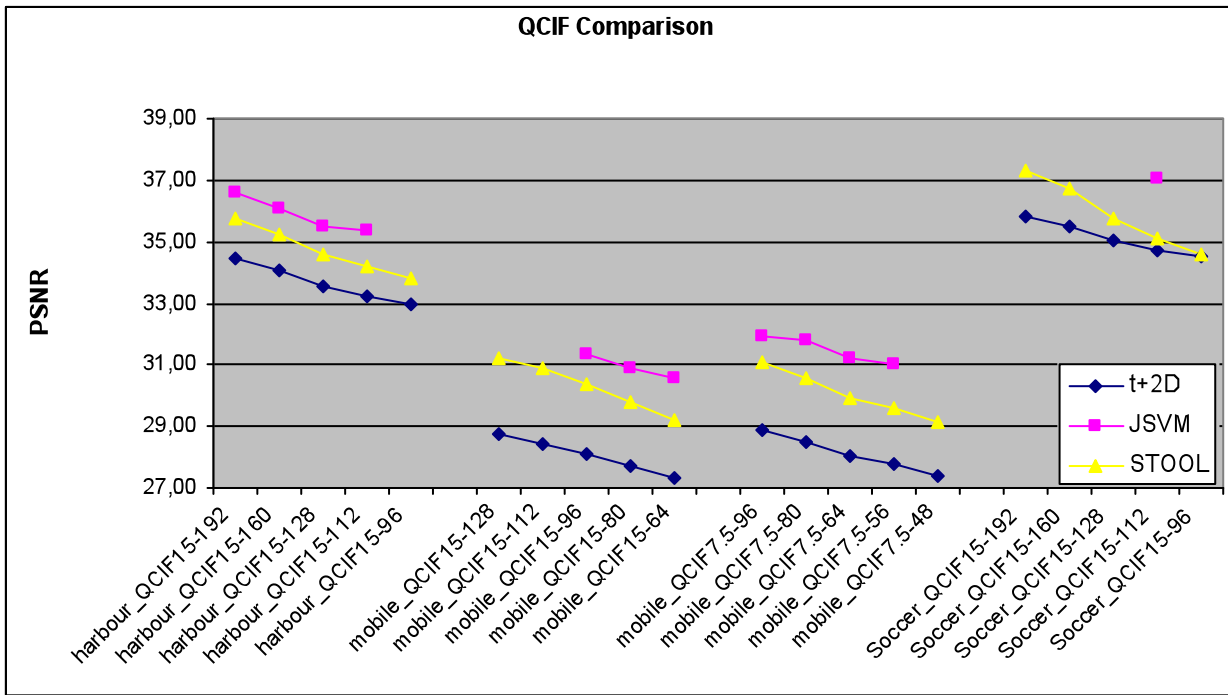
Results in Fig. 5 indicate that using a common reference, “2D+t+2D” configuration PSNR results are very close (and sometimes outperforms) those of JSVM3.



(a)



(b)



(c)

Figure 4. (a-c) PSNR comparison at QCIF resolution

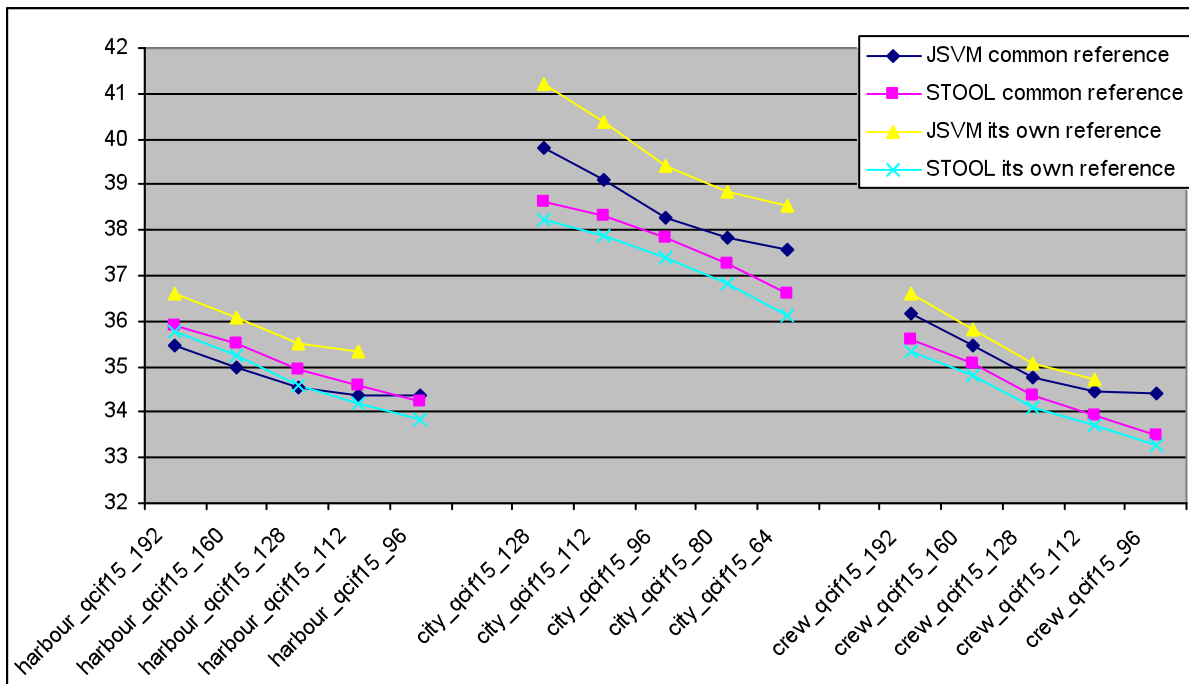


Figure 5. PSNR at QCIF resolution: common reference usage

3.2.1.3 Visual comparison at QCIF resolution

We show a visual comparison among some sample frames. In Fig.6 some 15fps 128kpbs decoded frames of the CREW sequence are displayed, and in Fig.7 a representative frame of the 7.5fps decoded FOOTBALL sequence is shown for 2 different bit-rates.










	JSVM	STool	"t+2D"
Fr 17			
Fr 31			
Fr 83			

Figure 6. visual comparison on CREW QCIF 15fps 128kbps







	JSVM	STool	"t+2D"
128 kbps			
256 kbps			

Figure 7. visual comparison on FOOTBALL QCIF 7.5 (frame 17)

3.2.2 Intermediate spatial resolution

This is the case of CIF sequences extracted from 4CIF coded bit-streams. In this situation the STool interscale prediction is applied once while the VidWav “t+2D” applies the inverse MCTF using one level downscaling of the motion field. Figure 8 shows a visual comparison on the CITY sequence. All three sequences are visually close. In Fig. 9 we show some PSNR results with or without using a common reference. Similar remarks on the common reference usage, previously made for QCIF resolution, apply also in this case.

3.2.3 Highest spatial resolutions

3.2.3.1 CIF originals

We propose a visual comparison for the sequences FOOTBALL (Fig.10) and MOBILE (Fig.11). In these cases the CIF resolution is the highest one. We remarked that from a visual point of view the decoded sequences are very close.





Figure 8. City_CIF15-192: (top) STool (192kbps) mean PSNR 34.05dB, (mid) “t+2D” ref sw (195kbps) mean PSNR 33.43dB, (bottom) JSVM3 (192kbps) mean PSNR 36.76dB

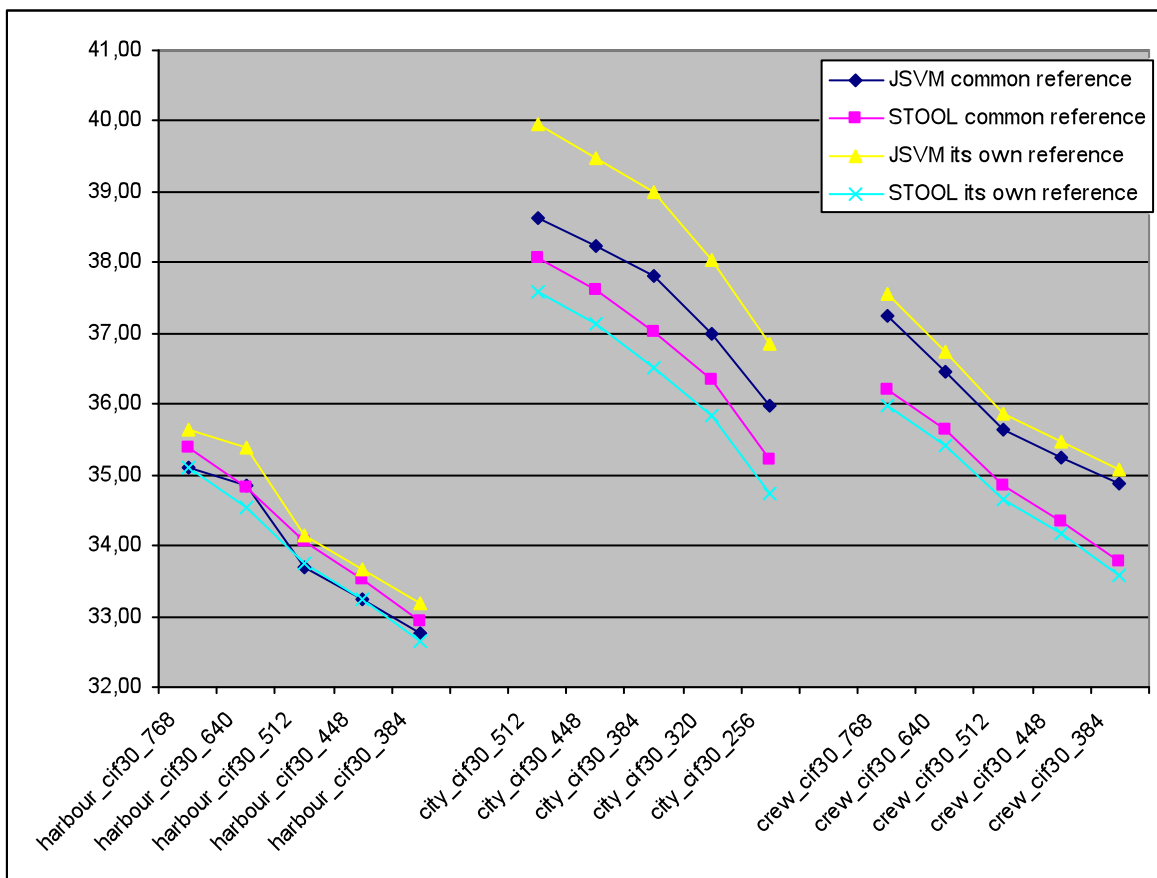


Figure 9. some PSNR results at CIF resolution (with and without a common reference)



(a)



(b)



(c)

Figure 10: Football_CIF30-1024: (a) JSVM mean PSNR 35.95dB (b) STool 34.62dB (c) “t+2D” (1.128Mbps) 36.0db



(a)



(b)



(c)

Figure 11: Mobile_CIF30-384: (a) JSVM (384kbps) mean PSNR 31.04dB (b) STool (384kbps) 29.63dB (c) “t+2D” (429kbps) 31.26dB

3.2.3.2 4CIF originals

In this case (Fig. 12), even if the current “2D+t+2D” STool VidWav implementation suffer from the redundancy of the motion vector representation (this find correspondence in terms of PSNR performance) visual performance remains inferior but comparable with respect to the other schemes.



(a)



(b)



(c)

Figure 12: HARBOUR 4CIF 30fps 1024kbps: (a) STool PSNR 33.02dB, (b) “t+2D” PSNR 34.45dB, (c) JSVM3 PSNR 32.58dB

3.2.4 Performance Evaluation Summary

The comparison among the decoded sequences by JSVM3.0, t+2D with AVC base-layer (with the currently best configuration files) and the current 2D+t+2D scheme (Stool) with AVC base layer evidenced that:

- at highest resolutions point t+2D is the best coder, but it shows problems at lower resolution (for example in QCIF and CIF soccer sequences there are evident artefacts).
- STool solves these problems and at lower and intermediate resolution has performance competitive with JSVM3.0 (visual comparison is suggested).
- At highest resolution, STool scheme does not appear yet to be competitive with JSVM 3.0 since on one hand, motion information is independently coded in the different spatial resolution layer, without considering the relation between the layer motion, on the other hand inconsistent mode decision take place at times across different spatial resolution layers.

3.3 STool improvements

3.3.1 Improvements with respect to the pyramidal 2D+t+2D scheme

Table 1 reports the average luminance PSNR for the interpolation based pyramidal 2D+t+2D scheme of Figure 3 in comparison with the scheme presented in Figure 2. *Mobile Calendar* CIF sequences at 30fps are coded at 256 and 384kbps and predicted from a QCIF video coded at 128kbps (all headers and coded motion vectors included). We also compare different configurations of the STool architecture in order to highlight its versatility: 1) STool prediction made only from the lowest temporal subband of the QCIF video (in this case, which results to be the best case, only the 79kbps of the lowest temporal subband, without motion vectors, are extracted from the 128kbps coded QCIF, then $256-79=177$ kbps or $384-79=305$ kbps can be used for CIF resolution data); 2) like 1) but including all the QCIF sequence to enable multiple adaptations, i.e. extraction of a maximum quality QCIF 30fps from each coded CIF video.

Table 1. PSNR comparison among different kind of inter-scale predictions

Sequence	Format	Bitrate (kbps)	PSNR_Y pyramidal	PSNR_Y STool (mult. adapt. disabled)	PSNR_Y STool (mult. adapt. enabled)
Mobile	CIF 30fps	256	23.85	27.62	26.51
		384	25.14	29.37	28.81

Figure 13 shows an example of visual results at 384 Kbps. The STool with multiple adaptation disabled case is compared against the interpolation based ISP (also without multiple adaptation). The latter scheme generates an overall more blurred image, and the visual quality gap with respect to our system is clearly visible.

(a) Original CIF30 (Mobile Calendar)



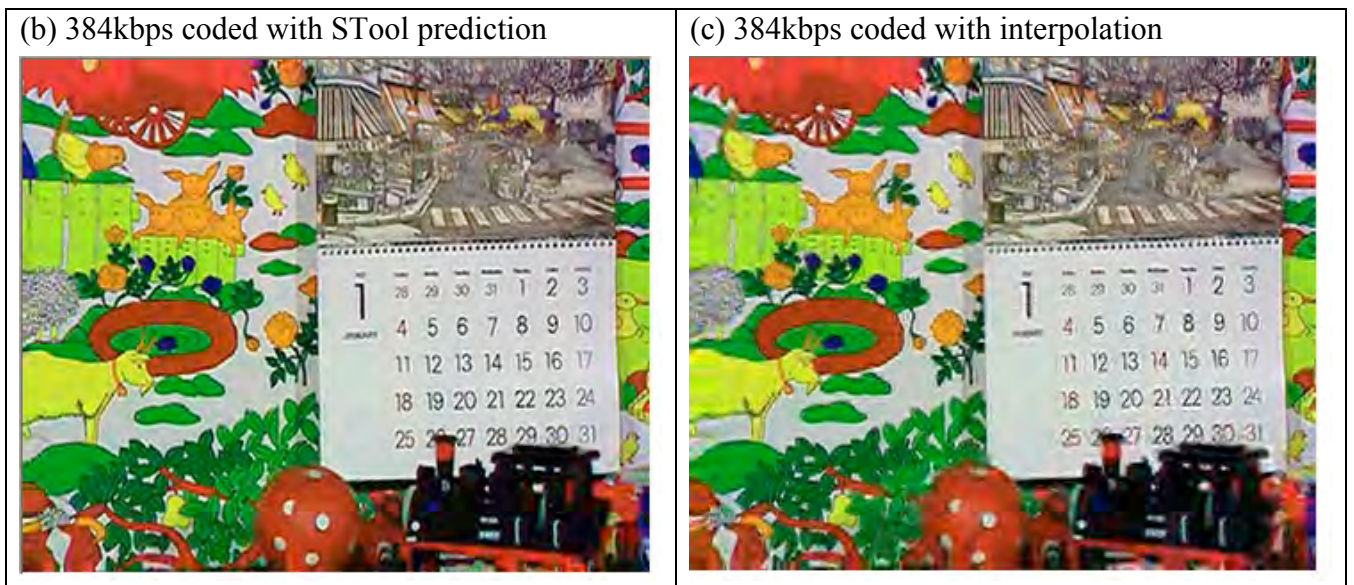


Figure 13. Visual comparison at 384kbps on Mobile Calendar CIF 30fps: (a) original frame CIF30 (Mobile Calendar), (b) coded at 384kbps with the STool scheme of Figure 2, (c) coded at 384kbps with the interpolation pyramidal scheme of Figure 3.

3.3.2 Improvements with respect to the 70th meeting, Palma (10/2004)

One year improvement of the STool and of the JSVM schemes on the lower resolution. We compare today results (current document and [52] respectively) with the results presented at the MPEG Palma Meeting in Oct.2004 ([41] System 1 based on the MSRA SVC software and HHI SVC proposal and software respectively). In Tab. 2 we calculated, for each test sequence, a PSNR measure which is the average PSNR on the whole set of QCIF multiple extracted Palma points allowable for each sequence. PSNR are calculated with respect to each system reference i.e. 3-LS filtered and MPEG downsampling filtered sequences respectively. The PSNR improvements (difference) are free from the bias related to the different reference sequence.

Sequence	PSNR Palma Stool	PSNR Palma JSVM	PSNR Nice Stool	PSNR Nice JSVM	Difference Stool	Difference JSVM
Bus	31,49	33,96	32,34	34,02	0,85	0,06
Foreman	33,46	36,52	35,17	36,64	1,71	0,12
Football	32,23	35,91	33,94	36,04	1,71	0,13
Mobile	27,45	30,83	29,77	30,89	2,32	0,06
Harbour	34,69	36,06	34,73	36,06	0,04	0
City	37,07	38,92	37,23	39,73	0,16	0,81
Soccer	35,66	36,71	35,89	37,02	0,23	0,31
Crew	34,09	35,86	34,24	35,84	0,15	-0,02

Table 2: PSNR improvements on the QCIF resolution

4 Decoder-side Reduction of Artefacts

A video that has been coded and decoded using motion-compensated 3D-Wavelets, usually suffers from three different types of artefacts. Firstly, when small coefficients in higher-frequency sub-bands are quantized to zero, this can result in a blurred impression due to the loss of high-frequency content. This blurring can only be minimized by investing more bits in these coefficients – if such bits are available. Secondly, block-based motion-compensation (MC) often results in a blocky prediction at diverging motion, and the quantized reconstruction may contain visible blocking as well. Adaptive filtering over block-boundaries, overlapping MC, etc., are tools that have improved decoding results for this artefact. Thirdly, spatial ringing is introduced through quantization of the wavelet coefficients. These coefficients represent the amplitudes for oscillating basis-functions that the reconstruction is built from. Consequently, additive noise in the coefficients affects these oscillating basis functions as well. Little activity had been devoted to reduce this type of artefact although it can have strong impact on the overall visual impression of the decoded sequence. The table below summarizes the above paragraph.

Artefact	Artefact Description	Tools
Blurring	Loss of high-frequency coefficients	Rate/Distortion-Optimization, Rate-Allocation
Blocking	Block-MC, block-wise mode decision	De-Blocking Filter, OBMC, Transition-Filters
Wavelet-Ringing	Quantized coefficients for basis-functions	De-Ringing Filter

It is important to note that all three types of artefacts are a result of quantization. Without quantization, none of the artefacts is observed. The magnitudes of the artefacts are related to the quantization step-size.

In the open-loop structure that the VidWav concept represents, artefact reducing decoder-side filtering can be viewed in two ways: either as an additional, optional filtering tool or as an integral part of the reconstruction filtering. Optional filtering has generally not been part of a standard specification. Also, the open-loop structure of MCTF allows for diverging reconstruction filter implementations. However, achievable quality may only be judged during the evaluation of the codec design by including reconstruction filter tools. More importantly, reconstruction quality in an application may only be guaranteed by including a specified filtering.

4.1 De-Ringing Filter results

A technical description of the de-ringing filter adopted for VidWav can be found in [53].

The quantization-adaptive artefact-removing filter presented in [53] has a subjectively very pleasing effect on the reconstructed video (see Fig. 14(a)-(c)). Its de-ringing as well as de-blocking properties add together in their beneficial impact. The most important property of the filter is that all decoded structures are preserved. Its smoothing effect is limited to the

artificial structures which are a manifestation of quantization noise. When trained for PSNR-optimum performance, gains of more than 0.4 dB are typically observable. For visually best performance, PSNR gains are typically smaller but always existent. Some qualitative visual examples are given below. Respective upper images are without artefact-removing filtering, lower images have been filtered. Both respective results have been decoded from the same bit-stream.

(a) FOREMAN CIF 15Hz 96kbit/s



(b)FOOTBALL CIF 15Hz 384kbit/s



(c)MOBILE CIF 15Hz 128kbit/s



Figure 14 (a)-(c) Visual results with (bottom) and without (top) the use of the de-ringing filter

5 Perspectives towards future improvements

Some ideas towards future improvements of Wavelet based SVC solutions are reported:

Motion estimation resolution problem in current $t+2D$ implementation

In order to support spatial resolution scalability, temporal levels that will be decoded on targeted lower spatial resolutions must use large macroblocks (64x64, 32x32), since from an implementation point of view, decoding is not working at low resolution for smaller size blocks.

2D+t+2D (Stool) inter-layer issues (currently not supported)

- consistent mode decision (e.g. intrablock) across spatial resolution layers
- consistent motion estimation across spatial resolution layers, for ensuring:
 - good prediction of LL on higher spatial resolution
 - optimal coding of motion field

New tools

Replace Intra-coding mode with Motion Adaptive Transform (better tuned to small areas of uncovered background)

Entropy coding (both for $t+2D$ and $2D+t+2D$ architectures)

- Same scale temporal and spatial subbands appear to be coded separately (which means at the level of individual subband level), but given the 3D EBCOT used, good context requires the use of motion information which is not available within any given subband, and should be predicted or estimated to take into account the advantage of context information.

Temporal transform

- Temporal filter

The application of the update step in MCTF is not justified in all applications. Therefore the application of delta filters low-pass filters, such as $1/3$ ($5/3$ without the update step), should be supported. This feature can be useful in scenarios where lower-complexity is needed or in cases when key frames, which in specific cases should not be different than original frames, have to be accessible without applying IMCTF. Although avoiding the update step can lead to lower compression efficiency on the original sequence, quality of temporal scalability can be improved.

- Motion block size

Current evaluation software uses 8×8 motion blocks and their multiples (16×16 , 32×32 ,... size blocks) as the basic motion units. In previous standards and research results it has been shown that flexible motion block size, specifically the possibility of using smaller blocks such as 4×4 blocks, can improve coding efficiency. Therefore more flexible motion model is needed. Moreover, when intra blocks, as blocks that cannot be predicted from previous frames, are employed finer partitioning of frames is needed as these blocks usually correspond to smaller areas.

- Scalable motion information

Various spatio-temporal decomposition schemes requires different types of motion-information scalability. Specifically, in $2D+t$ and $2D+t+2D$ schemes motion estimation is performed on different spatial resolution levels. In such scenarios the obtained motion information on different spatial levels is highly correlated and therefore its embedded, i.e. scalable coding can provide further compression gain.

Spatial transform

Spatial wavelet transform has traditionally been performed in a non-adaptive way. Lifting implementation of wavelet transform enables low-complexity adaptation according to spatial signal characteristics. Recently presented technique [54] uses adaptation on intra-inter coded block boundaries which avoids the application of intra prediction. Future applications of this approach can be based on other available information, such as motion vector gradient, that drives the adaptation.

6 VidWav history

This section provides an overview of the history of VidWav AhG from its establishment during the 70th MPEG meeting (Palma, ES). In the first subsection all the documents produced within the VidWav are summarised, while in the second subsection the participants are listed.

Requirements reference documents for SVC VidWav AhG are [55,56].

6.1 Meetings and input documents

6.1.1 Meeting 71 Hong-Kong, China:

10 input documents:

11680	Ruiqin Xiong Jizheng Xu Feng Wu Dongdong Zhang	Studies on Spatial Scalable Frameworks for Motion Aligned 3D Wavelet Video Coding
11681	Dongdong Zhang Jizheng Xu Hongkai Xiong Feng Wu	Improvement for In-band Video Coding with Spatial Scalability
11713	Markus Beermann Mathias Wien	Application of the Bilateral Filter for Quality-Adaptive Reconstruction
11732	Christophe Tillier Beatrice Pesquet-Popescu	CBR 3-band MCTF
11738	Gregoire Pau Beatrice Pesquet-Popescu	Optimized Prediction of Uncovered Areas in Wavelet Video Coding
11739	Gregoire Pau Beatrice Pesquet-Popescu	Four-Band Linear-Phase Orthogonal Spatial Filter Bank in Wavelet Video Coding
11741	Gregoire Pau Jerome Vieron Beatrice Pesquet-Popescu	Wavelet Video Coding with Flexible 5/3 MCTF Structures for Low End-to-end Delay
11748	G.C.K. Abhayaratne Ebroul Izquierdo	Wavelets based residual frame coding in t+2D wavelet video coding
11750	Marta Mrak Nikola Sprljan G.C.K. Abhayaratne Ebroul Izquierdo	Scalable motion vectors vs unlimited precision based motion compensation at the decoder in t+2D wavelet video coding
11757	Woo-Jin Han Kyohyuk Lee	Comments on wavelet-based scalable video coding technology

1 output document:

6914	Description of Exploration Experiments in Wavelet Video Coding
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During the 71st meeting, wavelet based software from Microsoft Research Asia (MSRA) has been chosen as the common software for the investigation and evaluation within the VidWav.

6.1.2 Meeting 72 Busan, Korea :

7 input documents:

11844	Z. K. Lu W. S. Lin Z. G. Li K. P. Lim X. Lin S. Rahardja E. P. Ong S. S. Yao	Perceptual Region-of-interest (ROI) based Scalable Video Coding
11952	ChinPhek Ong ShengMei Shen MenHuang Lee Yoshimasa Honda	Wavelet Video Coding - Generalized Spatial Temporal Scalability (GSTS).
11975	Ruiqin Xiong Jizheng Xu Feng Wu	Coding Performance Comparison Between MSRA Wavelet Video Coding and JSVM
11976	Yihua Chen Jizheng Xu Feng Wu Hongkai Xiong	Improvement of the update step in JSVM
12008	Markus Beermann Mathias Wien	De-ringing filter proposal for the VIDWAV Evaluation software
12056	Christophe Tillier Grégoire Pau Béatrice Pesquet-Popescu	Coding performance comparison of entropy coders in wavelet video coding
12058	Grégoire Pau Béatrice Pesquet-Popescu	Comparison of Spatial M -band Filter Banks for $t+2D$ Video Coding

1 output document:

7098	Description of Exploration Experiments in Wavelet Video Coding
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6.1.3 Meeting 73 Poznan, Poland:

7 input documents:

12176	Vincent Grégoire Jizheng Xu	Bottreau Pau	Vidwav evaluation software manual
12286	Ruiqin Jizheng Feng Wu	Xiong Xu	Responses to Vidwav EE1
12303	Grégoire Pau Béatrice Pesquet-Popescu	Maria Trocan	Bidirectional Joint Motion Estimation for Vidwav Software
12339	Ruiqin Xiong Xiangyang Ji Dongdong Zhang Jizheng Xu Grégoire Pau Maria Trocan Vincent Bottreau		Vidwav Wavelet Video Coding Specifications
12374	Markus Beermann		Joint reduction of ringing and blocking for VidWav
12376	Yongjun John Woods	Wu	Aliasing reduction for subband/wavelet scalable video coding
12410	Soroush Leszek Cieplinski	Ghanbari	Results of Vidwav Exploration Experiment 3

2 output documents:

7334	Wavelet Codec Reference Document and Software Manual
7333	Description of Exploration Experiments in Wavelet Video Coding

6.1.4 Meeting 74 Nice, France:

7 input documents:

12616	Gregoire Beatrice Pesquet-Popescu	Pau	Proposal of Vidwav OBMC bug fix
12633	Nikola Marta Naeem Ebroul Izquierdo	Sprljan Mrak Ramzan	Motion Driven Adaptation of Spatial Wavelet Transform
12639	Nicola Michele Riccardo Leonardi	Adami Brescianini	Edited version of the document SC 29 N 7334

12640	Markus Mathias Wien	Beermann	Wavelet Video Coding EE4: Joint Reduction of Ringing and Blocking
12642	Nicola Michele Riccardo Alberto Signoroni	Adami Brescianini Leonardi	New prediction schemes for scalable wavelet video coding
12643	Nicola Michele Riccardo Alberto Signoroni	Adami Brescianini Leonardi	Performance evaluation of the current Wavelet Video Coding Reference Software
12699	Ruiqin Zhong		Verification of Vidwav EE4 results of RWTH

3 output documents:

7571	Draft Status Report on Wavelet Video Coding Exploration
7572	Description of Exploration Experiments in Wavelet Video Coding
7573	Wavelet Codec Reference Document and Software Manual V2.0

6.2 VidWav participation

6.2.1 Academic Institutions

- ENST Paris
- University of Brescia, Italy
- RWTH Aachen University
- Queen Mary, University of London, United Kingdom.
- Rensselaer Polytechnic Institute
- Institute of Computing Technology, Chinese Academy of Sciences
- Image Communication Institute, Shanghai Jiao Tong University
- University of Sheffield, United Kingdom

6.2.2 Research Institutions and Industry

- Institute for Infocomm Research, Singapore
- IRISA/INRIA Rennes
- Microsoft Research Asia
- Mitsubishi Electric ITE-VIL
- Samsung Electronics
- Thomson R&D

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