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TECHNICAL REPORT

A simple and robust method to study after-pulses in Silicon Photomultipliers

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ABSTRACT: The after-pulsing probability in Silicon Photomultipliers and its time constant are obtained measuring the mean number of photo-electrons in a variable time window following a light pulse. The method, experimentally simple and statistically robust due to the use of the Central Limit Theorem, has been qualified applying it to an HAMAMATSU Multi Pixel Photon Counter (MPPC) \$10362-11-100C.

KEYWORDS: Avalanche-induced secondary effects; Photon detectors for UV, visible and IR photons (solid-state) (PIN diodes, APDs, Si-PMTs, G-APDs, CCDs, EBCCDs, EMCCDs etc); Analysis and statistical methods

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1 Introduction

Silicon Photomultipliers (SiPM) are state-of-the-art detectors of light consisting of a matrix of P-N junctions with a common output, with a density of cells up to $\approx 10^4 / mm^2$. Each diode is operated in a limited Geiger-Muller regime in order to achieve gains at the level of $\approx 10^6$ and to guarantee an extremely high homogeneity in the cell-to-cell response. Subject to the high electric field in the depletion zone, initial charge carriers generated by an absorbed photon or by thermal effects trigger an exponential charge multiplication by impact ionization. When the current spike across the quenching resistor induces a drop in the voltage across the junction, the avalanche is stopped. SiPM can be seen as a collection of binary cells, providing altogether an information about the intensity of the incoming light by counting the number of fired cells [1]-[4].

SiPM feature an unprecedented photon number resolving capability and offer relevant advantages due to the low operating voltage, the immunity to magnetic field, ruggedness and the design flexibility due to the Silicon Technology. However, they also suffer from drawbacks related to a significant temperature dependence of the gain and a high rate of spurious hits. The latter is due to thermally generated carriers (Dark Counts, DC), Optical Cross-Talk (OCT) and after-pulsing. The OCT is linked to photons generated during a primary avalanche, triggering simultaneous secondaries [3, 5]. The OCT is affected by the sensor design [3, 6]–[8] and strongly depends on the bias voltage. After-pulses are associated to the late release of a charge carrier that has been produced in the original avalanche and trapped by an impurity [3]. After-pulsing is essentially dependent on the sensor technology [3, 6, 8].

Dark Counts, Optical Cross-Talk and after-pulsing occur stochastically and introduce fluctuations in the multiplication process that contribute to deteriorate the resolution in both photon counting and spectrometry. Moreover, after-pulsing may be critical in photon correlation experiments [9]–[11].

The after-pulsing effect has been investigated by various authors, relying on the time correlation of neighbouring pulses [12]–[14]. A simple and statistically robust method is proposed here, based on the sensor current integration and the use of the Central Limit Theorem to estimate the mean number of pulses in a variable time window.



Figure 1. The figure shows the response of the SIPM to a light burst, synchronised with the leading edge of the gate G_1 . Pulses in the G_2 gate may be due to both random Dark Counts and after-pulses. The exemplary event shown here features as well an after-pulse occurring during the recovery time of the sensor, characterised by a time constant of $\approx 50ns$. The rate of Dark Counts is measured switching off the LED.

2 Materials and methods

After-pulses can be seen as an excess of fired cells in a time window following the signal due to a light pulse (figure 1), where the excess is calculated with respect to Dark Counts occasionally appearing. A statistical analysis of the excess, varying the gate length, is expected to lead to a measurement of the after-pulsing probability and of its time constant.

2.1 Experimental setup

The block diagram of the experimental set-up is shown in figure 2. A master clock is synchronising the light pulser illuminating the SiPM and the data acquisition, integrating the signal pulses in a variable duration gate G_2 delayed with respect to the light pulse. Results reported here were obtained with the following specific system:

- An ultra-fast LED source (SP5601 CAEN), emitting $\approx 5 \text{ ns}$ long light pulses at 405 nm, with intensities in the 1-2000 photon range.
- The SP5600 CAEN power supply and amplification unit, housing an Hamamatsu MPPC S10362-11-100C.
- A charge digitisation unit, either based on the CAEN DT5720A waveform digitizer or on the CAEN — V792N QDC.
- A Dual-Timer (N93B CAEN) to generate the integration gate.

2.2 Experimental procedure

The experimental procedure starts by defining two gates, synchronized to the light pulse (see figure 1). The first gate G_1 encompasses the time development of the signal due to a light pulse. The



Figure 2. Block diagram of the experimental setup for the after-pulsing characterization.

de-trapping of charge carriers within G_1 originates avalanches piled-up with the signal from the light pulse and the probability for this to occur is inferred by studying the excess of pulses in a second variable gate G_2 , following G_1 . The value of G_1 has been set to 500 *ns* to exclude piled-up events; the minimum duration of G_2 is 250 *ns* to integrate the full charge due to single pulses.

The estimation of the mean number of fired cells in G_2 is obtained by the analysis of the spectrum of the released charge, provided integrating the sensor output current. Exemplary spectra for $G_2 = 400 \text{ ns}$ are shown in figure 3, with the LED switched ON and OFF. The peak positions identify the value of the digitized charge for the different number of avalanches while the peak areas measure the corresponding probability.

The spectra clearly show evidence of two statistics characterized by a different mean value. In order to obtain a quantitative information, the data were analysed as follows:

- The average charge \overline{Q}_N by a sequence of N events was retained as the main observable. According to the Central Limit Theorem, \overline{Q}_N is expected to be Gaussian distributed, with the advantage of an easy and robust way to estimate its value and to measure its uncertainty. The value of N was determined studying the evolution of the \overline{Q}_N distribution vs. N and fixing its value at 200, when it was shown to be asymptotically gaussian (figure 4).
- In order to turn \overline{Q}_N into a number of fired cells, a multi-photon spectrum was recorded illuminating the sensor within G_1 . The reference spectrum is shown in figure 5. This can be fit with a sum of gaussians [15] to find the average peak-to-peak distance $\overline{\Delta}_{pp}$ which provides the conversion factor from ADC channels to number of cells.

The spectra of \overline{Q}_N are shown in figure 6, where the shift for the illuminated sensor is very clear. Eventually, the quantity

$$\Delta_{QQ}(G_2) = \frac{\langle \overline{Q}_N(light \ ON, G_2) \rangle - \langle \overline{Q}_N(light \ OFF, G_2) \rangle}{\overline{\Delta}_{pp}}$$
(2.1)

measures the excess of avalanches due to after pulses associated to the light burst with respect to Dark Counts.



Figure 3. Spectra of the charge collected in G_2 , recorded without illuminating the sensor (blue) and after a light burst (red) pulsed 500 *ns* before the gate opening. Spectra were normalised to the same number of events.



Figure 4. The \overline{Q}_N distribution was tested against the hypothesis of being Gaussian. The plot shows the $\chi^2/n.d.f.$ vs. N of the fit.

The procedure is iterated increasing G_2 till when $\Delta_{QQ}(G_2)$ achieves a constant value, indicating that the after-pulsing phenomenon is exhausted.

In order to cope with possible temperature changes during the experiment, for every value of G_2 , a multi-photon spectrum is recorded to have an actual value of $\overline{\Delta}_{pp}$.

3 Results

The procedure has been initially qualified measuring the Dark Count Rate (DCR) by the calculation of $\langle \overline{Q}_N \rangle$. The trend of $\langle \overline{Q}_N (light OFF) \rangle / \overline{\Delta}_{pp}$ vs. time is shown in figure 7, where the straight line fit corresponds to a slope $m = 593 \pm 5 \ kHz$. The average number of photo-electrons is actually affected by the OCT and $\langle \overline{Q}_N \rangle / \overline{\Delta}_{pp} = n_{p.e.} \times (1 + \epsilon)$, where $\epsilon = (22 \pm 1)\%$ is the measured Cross-Talk probability and $n_{p.e.}$ is the number of primary avalanches. The OCT was measured by the ratio of the dark count frequencies v for pulses exceeding the 0.5 and 1.5 levels of the single cell



Figure 5. The distribution of the detected photons used to calibrate the charge in photo-electrons.

3500

3000

2500

2000

1500

1000

500

0

0

Entries



Figure 6. Exemplary distribution of \overline{Q}_N for $G_2 = 400ns$, having the LED switched ON and OFF.

amplitude [12], namely:

$$\epsilon = \frac{V_{1.5pe}}{V_{0.5pe}}.\tag{3.1}$$

As a consequence, the DCR may be calculated as $\frac{m}{1+\epsilon} = 486 \pm 8 \, kHz$, in fair agreement with the value of $480 \pm 4 \, kHz$ by a direct count of the pulses above the 0.5 photo-electron threshold.

Concerning after-pulses, once the de-trapping of the charge carriers is assumed to have an exponential time dependence, the probability density function may be written as:

$$y(t) = \frac{P}{\tau} e^{-\frac{t}{\tau}},\tag{3.2}$$

where **P** is the probability for a single avalanche to originate an after-pulse and τ is the characteristic time constant of the phenomenon. The quantity $\Delta_{OO}(G_2)$ corresponds to the cumulative distribution function in the integration gate G_2 , since:

$$\Delta_{QQ}(G_2) = \int_{G_1}^{G_1+G_2} \frac{M \times P}{\tau} e^{-\frac{t}{\tau}} dt = a(1 - e^{-\frac{G_2}{\tau}}), \tag{3.3}$$



Figure 7. $\overline{Q}_N(light \ OFF)/\overline{\Delta}_{pp}$ as a function of G_2 (ns).



Figure 8. $\Delta_{QQ}(G_2)$ vs. G_2 . Data are fitted by equation 3.2, with a $\chi^2/n.d.f. = 0.94$.

where *M* is the mean number of photo-electrons generated by the light burst and $a = M \times Pe^{-\frac{G_1}{\tau}}$ is the asymptotic value of $\Delta_{OO}(G_2)$.

The data resulting by a G_2 scan are shown in figure 8. A fit to equation (3.3) yields a value of $\tau = 217.5 \pm 5.9$ ns and $a = 0.1206 \pm 0.0010$ photo electrons (p.e.). Since M was measured to be 6.1 \pm 0.1 *p.e.*, this is resulting in an after-pulsing probability of $(19.44 \pm 1.38)\%$ in fair agreement with data found in the Hamamatsu datasheet [16] and in reference [9, 12], reporting values between 17% and 22%.

4 Conclusions

A method for the characterisation of the after-pulses based on the analysis of the charge distribution in a variable time window has been proposed and qualified. Its advantages are the simplicity and the robustness. The main limitation of the current procedure is the intrinsic impossibility to probe the after-pulsing component characterised by a short time constant. The digitisation of the waveform followed by an event-by-event analysis is expected to extend its applicability to shorter time scales.

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