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Men, women, and birds. An embryonic system of noun classification in Ancient Greek¹

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In Ancient Greek, two terms meaning 'man' (anēr and anthropos) combine with common nouns referring to human occupations, ethnic groups, and ranks in a generic-specific construction (e.g. anēr hiereus 'priest' [lit. 'man priest']; anēr Spartiatēs 'Spartan' [lit. 'man Spartan']). This construction is used to refer to male human referents in a clearly identifiable set of discourse contexts, including (i) numeral expressions, (ii) non-assertive contexts, and (iii) presentative sentences in which a character is introduced at the beginning of a more or less lengthy story about him. In all these contexts, the function of the two terms may be thought of as similar to the function of the indefinite article, i.e. the construction always introduces indefinite referents, which may be both specific (aner hiereus = 'a [certain] priest') and non-specific (aner hiereus = 'a priest, any priest'). The pairing of a generic and a specific noun resembles the well-known pattern by which generic superordinate terms such as 'man', 'woman', 'animal', 'vegetable', 'bird', 'tree', etc. grammaticalize into noun classifiers, accompanying more specific nouns with a more restricted meaning. Besides classifying discourse referents, the main function of noun classifiers is determination/reference, i.e. they generally play a determinerlike role, marking the discourse status of their referents. Based on the available typological evidence on noun classifiers, in this paper I will argue for an interpretation of the construction aner/anthropos + common noun as an incipient

¹ This paper profited greatly from discussions with Caterina Mauri (Università di Pavia) and especially Colette Grinevald (Université Lumière, Lyon 2), whose many insightful remarks have pointed out some weak points in the argumentation and have eventually led to clarifications in the final text. Thanks are also due to the audience of the *VII Incontro Internazionale di Linguistica Greca* (Cagliari, September 2007), where a preliminary version of this paper was presented. Responsibility for any remaining errors or shortcomings is mine alone.

classifier construction, i.e. a non-obligatory construction, preferentially associated with an identifiable set of discourse situations, in which a free-form lexeme with superordinate semantics is combined with a more specific noun. Classifier constructions are considered to be the first stage in the grammaticalization path leading from generic superordinate nouns to noun classifiers. Similar uses of other superordinate terms such as *gynē* 'woman' and *ornis* 'bird' are also discussed. These uses are suggestive of a rudimentary system of nominal classification in Ancient Greek. In the conclusions, I will provide some speculative thoughts on why this system has not eventually developed into a full system of noun classifiers.

Keywords: noun classifiers, classifier constructions, Ancient Greek

1. The problem

In Septuagint and New Testament Greek,² two terms meaning 'man', anthrōpos and, more rarely, anēr, can be used alone as equivalents for the

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² Three stages are traditionally distinguished in the history of Ancient Greek: (i) the PRE-CLASSICAL PERIOD (from the 8th to the 5th centuries BC) is characterized by the coexistence of many literary standards. Each of them is characteristic of a given literary genre, although all display traditional poetic features derived from HOMERIC GREEK, the language of the *Iliad* and the *Odyssey*, "essentially an archaic eastern Ionic but with an admixture of Aeolic, and a number of conspicuous archaisms not characteristic of any historical dialect or region" (Horrocks 1997: 18). By the end of this period, Ionic had emerged as the language of literary prose and had become the model for prose writers even outside Ionia. The term (ii) CLASSICAL GREEK will be used in this paper to refer to the language of literature developed "in a purely Athenian context during the late 5th and early 4th centuries BC" (Horrocks 1997: 26). The term (iii) HELLENISTIC GREEK is used as a general label covering the written standard of the Hellenistic world (from the latter half of the 4th century BC onwards). An equivalent term is KOINE GREEK. This language can be seen as a highly standardized evolution of Classical (Attic) Greek, used for administrative and scholarly purposes and as a universal prose language. SEPTUAGINT and NEW TESTAMENT GREEK refer to two special varieties of Hellenistic Greek: Septuagint Greek is the language used in the Greek translation of the Old Testament, made in the 3rd-2nd centuries BC, while New Testament Greek is the language of the New Testament, composed directly in Greek "in the main by men without a higher education ... and written in an area where Aramaic was the first language of the majority" (Horrocks 1997: 92). Both these varieties are generally considered as

indefinite adjective/pronoun *tis* '(some/any)-one', in various contexts ranging from species-generic generalizations such as (1) to non-assertive contexts such as those exemplified in (2) and (3) (a hortative and a hypothetical context, respectively):³

- (1)oи dikaioutai anthrōpos eks ergōn justify:PASS.PRS.3SG man:NOM.SG work:GEN.PL NEG from ean mē dia nomou pisteōs *lēsou* Khriston NEG through faith:GEN.SG law:GEN.SG if Jesus:GEN Christ:GEN 'a man/one is not justified by the works of law, but by faith in Jesus Christ' (Galatians 2, 16)
- (2) houtōs hēmas logizesthō anthrōpos hōs thus PRO.1PL:ACC consider:IMP.PRS.3SG man:NOM.SG as hypēretas Khristou servant:ACC.PL Christ:GEN 'one should look on us as Christ's servants' (I Cor. 4, 1; transl. H. G. May & B. M. Metzger)
- (3) ean kai prolēmphthē anthrōpos en tini
 if also catch:PASS.AOR.3SG man:NOM.SG in any:DAT
 paraptōmati ...
 wrongdoing:DAT
 'if someone is caught in any kind of wrongdoing' (Galatians 6, 1; transl.
 H. G. May & B. M. Metzger)

Both *anthropos* and *aner* have indefinite meaning also when used in combination with common nouns referring to human occupations, ethnic groups, and ranks, as in examples (4)–(7). In these cases, the function of *aner*

reflecting a more or less direct influence from contemporary Aramaic (see also footnotes 6 and 7 below).

The following transliteration conventions have been adopted: the Greek letters theta, phi, and chi are transliterated as th, ph, and kh respectively; the letter xi is transliterated as ks; the letter gamma is rendered as n when a kappa, a chi, or another gamma follow; upsilon is rendered as u when following another vowel, otherwise it is rendered as y; the rough breathing (') is rendered by means of a word-initial h, except when it precedes a rho, in which case the notation rh is adopted; long e and o (eta and omega) are rendered as \bar{e} and \bar{o} ; the iota is omitted in long digraphs with iota subscript; accents are not marked. In the interlinear glosses, verbal categories are attached to the lexical item in the following order: VOICE (passive; active is not glossed); MOOD (subjunctive, optative, imperative, infinitive, participle; indicative is not glossed); TENSE/ASPECT (present, imperfect, aorist, perfect); PERSON/NUMBER.

and *anthrōpos* resembles that of the indefinite article. In (4) *anthrōpos dynastēs* has generic reference (= 'a lord, a master') in a gnomic context. In (5) *anthrōpos hiereus* refers to any member of the set of priests. In (6) *anēr phoneus* has indefinite reference, though it identifies a specific member of the set of murderers (= 'a (certain) murderer'). Similarly, in (7), the referent of the NP *anthrōpos hiereus* is a specific member of the set of priests (= 'a (certain) priest').

- mē diamakhou (4)meta anthrōpou dynastou. mē NEG strive:IMP.PRS.2SG with lord:GEN.SG man:GEN.SG NEG empesēs eis pote tas fall:SBJV.AOR.2SG ever into ART:ACC.PL.F kheiras autou hand:ACC.PL PRO.3SG:GEN.M 'strive not with a [MAN] lord lest thou fall into his hands' (Soph. Sir. [= Ecclesiasticus] 8, 1; transl. H. G. May & B. M. Metzger)⁴
- kai thygatēr (5) anthrōpou hiereōs ean and daughter:NOM.SG if man:GEN.SG priest:GEN.SG bebēlōthē tou ekporneusai profane:PASS.SBJV.AOR.3SG ART:GEN.SG.N fornicate:INF.AOR patros onoma tou ART:ACC.SG.N ART:GEN.SG.M father:GEN.SG name:ACC.SG autēs autē hehēloi PRO.3SG:NOM.F profane:PRS.3SG PRO.3SG:GEN.F 'and the daughter of a [MAN] priest, if she profanes herself by playing the harlot, profanes her father's name' (Leviticus 21, 9; transl. H. G. May & B. M. Metzger, adapted)
- (6) kai ētēsasthe andra phonea
 and ask:AOR.2PL man:ACC.SG murderer:ACC.SG
 kharisthēnai hymin
 grant:PASS.INF.AOR PRO.2PL:DAT
 '[but you denied the Holy and Righteous One], and asked for a [MAN]
 murderer to be granted to you' (Acts 3, 14; transl. H. G. May & B. M.
 Metzger)
- (7) **anthropos hiereus** ek tou spermatos man:NOM.SG priest:NOM.SG from ART:GEN.SG.M seed:GEN.SG

⁴ [MAN] and $[\emptyset]$ in the translations of the Greek passages are used as conventional notations to indicate, respectively, the use of $an\bar{e}r/anthr\bar{o}pos$ in combination with a common noun, or its absence.

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Aaron elthen en tais dynamesin
Aaron come:AOR.3SG in ART:DAT.PL.F forces:DAT
'a [MAN] priest of the line of Aaron has come with the army, [and he will not harm us]' (I Macc. 7, 14; transl. H. G. May & B. M. Metzger)

The uses illustrated in (1)–(7) stretch over a continuous area of the functional domain of SPECIFICITY/DEFINITENESS as defined e.g. by Givón (1984: 407ff): anthropos and aner cover GENERIC ((1) and (4)), NON-SPECIFIC INDEFINITE ((2), (3) and (5)), and SPECIFIC INDEFINITE referents ((6) and (7)). The functions of the two terms do in fact largely overlap with the range of functions of the indefinite adjective/pronoun tis. A consequence of this functional similarity is that aner and anthropos, though syntactically nouns, are generally treated under the heading of "indefinite pronouns" or "quasipronouns" in grammars and lexicons of Old and New Testament Greek (see e.g. Thackeray 1909: 45; Blass & Debrunner 1961: 158; Danker 2000, s.v. anēr, p. 79; s.v. anthropos, p. 81: "practically equiv[alent] to the indef[inite] pron[oun], w[ith] the basic m[eani]ng of a[nthropos] greatly weakened"). Table 1 displays the indefinite uses of the two terms in Old and New Greek. Testament classified according their degree specificity/definiteness:

⁵ In Givón's terms, DEFINITENESS is a complex domain, which encroaches upon another semantic dimension, namely SPECIFICITY (or REFERENTIALITY), defined as "the speaker's intent to refer to some individual" (Givón 1984: 390). A linguistic item has generic reference if it refers to a class of individuals (*The lion is dangerous / Lions are dangerous*); it is non-specific indefinite if the speaker does not have a specific entity in his/her mind, and at the same time s/he does not want the hearer to infer that such a specific entity exists (*Even a child can understand this*); it is specific indefinite if it refers to a specific entity which has not been mentioned before or which cannot be identified more precisely (*He bought a book*). Finally, an item is definite if the speaker assumes that the hearer knows, assumes, or can infer that particular item, even if s/he is not necessarily thinking about it (*If you see the man with the green hat there, tell him...*).

Generic	>	Non-specific indefinite	>	Specific indefinite (> Definite)		
anthrōpos alone, ex. (1) anthrōpos/anēr + common noun, ex. (4)		anthrōpos alone, exx. (2)–(3) anthrōpos/anēr +		anthrōpos/anēr + common noun, exx. (6)–(7)		
		common noun, ex. (5)				

Table 1. The generic/indefinite uses of *anthrōpos* and *anēr* in Old and New Testament Greek

These uses are generally credited to the Semitic influence on Septuagint⁶ and New Testament Greek (Thackeray 1909: 45; Black 1967: 106; Bonfante 1980; Bubenik 1989: 66–67, among others). In his analysis of New Testament Greek,⁷ for instance, Wellhausen explicitly postulates a direct derivation of this pattern from Aramaic:

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⁶ The Semitic character of Septuagint Greek is one of the most debated issues in the history of the Greek language, and cannot be dealt with in detail here. The dominant trend in the current literature is to maintain (as do, among others, Gehman 1951 and Horrocks 1997: 56ff) that Septuagint Greek is Hellenistic Greek to full right, reflecting the everyday language spoken in Alexandria in Egypt. Its many unusual features can be attributed to the difficulties of translating – for the first time in the history of the Greek language – an Eastern religious work. The presence of Semitisms cannot be denied, "especially where the obscurity or formulaic language of the original led to literalness" (Horrocks 1997: 57), but the analysis of contemporary Egyptian documents proves that the lexical and grammatical characteristics of Septuagint Greek are also typical of contemporary Egyptian Greek, Others recognize a notable contrast between Jewish texts written directly in Greek and Old Testament Greek, the latter being profoundly "Semitized": "the kind of Greek found in the Pentateuch is confined to books that are known to be translations ... Jewish works composed originally in Greek show nothing like the same degree of Semitic influence" (Lee 1983: 15).

As far as the New Testament is concerned, the current debate includes different positions, "[ranging from] positing a pure koine derived directly from Attic Greek to a heavily Semitized translation Greek, and all points in between" (Porter 1989: 113–114). New Testament books have been written directly in Greek, and thus it is more problematic to unravel the "Semitic" (or, more precisely, Aramaic) features of this variety of Greek from the "popular" ones. It is fairly uncontroversial, however, that "at the basis of the Greek Gospels ... there must lie a Palestinian Aramaic tradition, at any rate of the sayings and teaching of Jesus, and this tradition must at one time have been translated from Aramaic into Greek" (Black 1967: 16). Whether or not the

Zur stärkeren Hervorhebung der Indetermination wird öfters das Zahlwort *heis* statt *tis* angewandt ... In gleicher Weise *anthrōpos* bei Matthäus: *ekhthros* anthrōpos (13, 28 vgl 13, 25), anthrōpō emporō (13, 45 D), anthrōpō oikodespotē (13, 52. 20, 1. 21, 33), anthrōpō basilei (18, 23) – vergeblich sucht man zu leugnen, daß hier anthrōpos etwas anderes sei als das aramäische n â s c h, welches im Status absolutus für *quidam* gebraucht und den Hauptwörtern voroder nachgesetzt wird (Wellhausen 1911: 20, my emphasis).⁸

The Semitic character of these uses is further corroborated by the fact that in Septuagint Greek *anēr* and *anthrōpos* are used more frequently with indefinite sense in a group of books "in which we see the beginnings of the tendency towards pedantic literalism" (Thackeray 1909: 10). Yet, while *anthrōpos* alone used as an equivalent of the indefinite pronoun is rarely – if ever – attested before the Septuagint, the use of *anēr/anthrōpos* + common noun with indefinite meaning is already well-established in Pre-classical and Classical Greek, and therefore it cannot be reasonably ascribed to the influence of a Semitic language.

Moreover, whatever their ultimate provenance, the two uses (anthrōpos used alone and anēr/anthrōpos + common noun) are instances of two different grammaticalization processes, and thus they possibly represent two phenomena not so strictly intertwined as they are traditionally deemed to be. The indefinite use of anthrōpos alone appears to be an instance of a process whereby generic terms meaning 'man' grammaticalize into indefinite pronouns (Giacalone Ramat & Sansò 2007a; see also Haspelmath 1997: 182–183; Heine & Kuteva 2002: 208–209). The eventual outcome of this process are bona fide indefinite pronouns such as French on (< Latin homo) and German man, i.e. elements that can be used with both specific and non-

Evangelists themselves were the translators of these Aramaic sources, it is remarkable that in the New Testament the majority of passages in which *anthrōpos* is used as an indefinite element "come from sayings of Jesus" (Black 1967: 107).

⁸ 'The numeral *heis* is used quite often instead of *tis* in order to reinforce the indeterminate sense ... *Anthrōpos* is used in Matthew's Gospel in the same way: *ekhthros anthrōpos* (13, 28 cf. 13, 25), *anthrōpō emporō* (13, 45 D), *anthrōpō oikodespotō* (13, 52. 20, 1. 21, 33), *anthrōpō basilei* (18, 23) – it cannot reasonably be denied that in these passages *anthrōpos* is something other than Aramaic *nâsch* ['man', AS], which is used in status absolutus as an equivalent of *quidam* and is placed either before or after the main noun'.

⁹ The books in question are Judges, Ruth, I Samuel, II Samuel, I Kings, II Kings, and Esdra.

specific indefinite reference, and have taken on some behavioural properties of pronouns. The reader is referred to Giacalone Ramat & Sansò (2007a: 106ff), where the semantic and formal aspects of this grammaticalization path (schematized in Figure 1) are discussed at length.

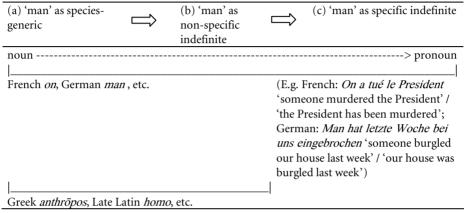


Figure 1. The grammaticalization path 'man' > indefinite pronoun (based on Giacalone Ramat & Sansò 2007a: 106)

Greek *anthrōpos*, as well as Late Latin *homo* (Giacalone Ramat & Sansò, to appear) behave syntactically as full NPs, and thus cannot be considered as indefinite pronouns. Yet, from a semantic point of view, both can be used with non-specific indefinite reference (i.e. they have reached stage (b) on the grammaticalization path sketched in Figure 1).

On the other hand, the use of anēr/anthrōpos + common noun resembles a different grammaticalization process by which generic superordinate nouns with meanings such as 'man', 'woman', 'animal', 'vegetable', 'bird', 'tree', etc. grammaticalize into NOUN CLASSIFIERS accompanying more specific nouns with a more restricted meaning. Noun classifiers are items 'which refer to a general category ... and the specific noun with which they co-occur is typically ... a member of the category indicated' (Wilkins 2000: 151), as in the following Jakaltek and Yidiny examples.

(8) Jakaltek (Mayan; Craig 1986b: 264)

xil naj xuwan no7 lab'a

saw CL.MAN John CL.ANIMAL snake

'John saw the snake' (lit. 'man John saw animal snake')

(9) Yidiny (Australian, Pama-Nyungan; Dixon 1982: 185)

mayi jimirr bama-al yaburu-ngu

CL.VEGETABLE:ABS yam:ABS CL.PERSON-ERG girl-ERG

julaal

dig:PST

'the girl dug up the yam' (lit. 'person girl dug up vegetable yam')

The cross-linguistic behaviour of noun classifiers (also called GENERICS in the Australianist tradition; cf. Sands 1995) is far from homogeneous and it is difficult "to determine the difference between languages that have a true system of noun classification and those in which generic nouns may precede a more specific noun" (Sands 1995: 270, my emphasis). Some languages have a full set of noun classifiers, and every noun (or the vast majority of nouns) must appear with a classifier under precise syntactic or pragmatic conditions. In other languages it is more appropriate to speak of GENERIC-SPECIFIC CONSTRUCTIONS (or CLASSIFIER CONSTRUCTIONS; see below, Section 3.1), i.e. constructions with different degrees of optionality whose use appears to be favoured by precise discourse conditions.

In this paper, I will explore the plausibility of interpreting the Ancient Greek construction anēr/anthropos + common noun as a classifier construction, and will provide arguments in favour of such an analysis. The paper is organized as follows. In Section 2 I will examine the contexts of use of aner and anthropos + common noun, identifying some typical textual "environments" in which this construction appears with more than chance frequency. These textual environments include: (i) numeral expressions; (ii) non-assertive contexts; and (iii) presentative expressions in which a discourse referent is introduced at the beginning of a more or less lengthy story about him. Section 3 will be devoted to the analysis of anēr/anthrōpos + common noun as a classifier construction. The construction will be analyzed in the light of the main findings of the typological literature on noun classifiers, in which the connection between the use of noun classifiers and certain discourse/textual conditions has been extensively explored. Similar uses of other generic nouns such as gynē 'woman', and ornis 'bird' are also discussed: these uses are suggestive of a rudimentary system of nominal classification in Ancient Greek. Finally, in Section 4 I will provide some speculative thoughts on why these constructions have not eventually developed into a full system of noun classification.

2. Anēr and anthropos + common noun in Ancient Greek: a survey

Grammars of Old and New Testament Greek generally signal the classical and pre-classical forerunners of *anēr* and *anthrōpos* + common noun. In grammars of (Pre)-Classical Greek, on the contrary, this use is mostly overlooked. One notable exception is Kühner (1898):

Viele ein Geschäft oder einen Stand oder ein Alter bezeichnende Personennamen behandelt die griechische Sprache als Adjektive ... und fügt denselben das Wort anēr hinzu, wenn der Mensch nach seinem Geschäfte oder Stande oder Alter betrachtet werden soll, indes das Wort anēr weggelassen wird, wenn der Mensch als in der Funktion eines Amtes oder Geschäftes begriffen betrachtet wird; so bedeutet anēr mantis ... einen Mann, der seinem Stande nach ein Seher ist, und mantis allein einen Mann, der als Seher auftritt; in der Dichtersprache wird aber auch ohne den angegebenen Unterschied anēr hinzugefügt ... Im verächtlichen Sinne wird anthrōpos hinzugefügt. (Kühner 1898: 271–272, my emphasis)¹⁰

According to Kühner, the semantic/pragmatic difference between simple common nouns and the construction <code>anēt/anthrōpos</code> + common noun is often a subtle one: the latter is favoured whenever what is profiled is the status/activity/rank as a "defining" component of the referent. On the contrary, when the discourse is about a referent serving a given function or performing a given activity, <code>anēt</code> and <code>anthrōpos</code> are generally omitted. In other words, <code>anēt</code> mantis is an "identifying" description of the referent as being a member of the class of seers, whereas <code>mantis</code> (or <code>mantis tis</code>) is used when introducing a discourse referent who acts as seer in a given context. This difference is, however, quite unsystematic, as Kühner himself admits, and the distinction between common noun alone and common noun + <code>anēt/anthrōpos</code> is generally blurred in poetry.

absence of the aforementioned difference. When the sense is derogatory, *anthrōpos* is added.'

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¹⁰ 'The Greek language treats many common nouns that refer to a man's activity, status or age as adjectives ... and adds the word *anēr* to them if the man is to be considered in terms of his activity, status or age, whereas the word *anēr* is omitted if the man is to be seen as engaged in the (typical) function connected to a rank or activity; thus, *anēr mantis* means a man who is a seer by status, and *mantis* alone a man who acts as seer. In the poetic language, however, *anēr* is added even in the

Kühner's visionary insights are partially underpinned by corpus data. Consider, for instance, examples (10) to (13). In (10) and (11) a seer (expressed by *mantis tis* 'seer INDEF_ADJ') is depicted while performing his typical function:

- tis (10)Mantis de epeisen seer:NOM.SG PTCL INDEF ADJ:NOM persuade:AOR.3SG autous thysian te poieisthai PRO.3PL:ACC sacrifice:ACC.SG make:INF.PRS PTCL kai tērein dendron to and take care:INF.PRS ART:ACC.SG.N tree:ACC.SG '[the willow at Philippi which grew again had had its branches lopped off, but the trunk had not been hewn. A $[\emptyset]$ seer persuaded the people to offer sacrifice and take care of the tree, [since what had occurred was a good omen]' (Theophrastus, Hist. Plant. 4, 16, 3; 4th century BC; transl. A. Hort, adapted).
- (11)epeidē gar mantis tis en after indeed seer:NOM.SG INDEF ADJ:NOM in tē Anhrikē eipen, ... Africa:DAT ART:DAT.SG.F declare: AOR. 3SG hoti kai ton Makrinon ton COMP and ART:ACC.SG.M Macrinus:ACC ART:ACC.SG.M eparkhon kai hvion ton autou prefect:ACC.SG and ART:ACC.SG.M son:ACC.SG PRO.3SG:GEN.M Diadoumenianon autarkhēsai dei Diadumenianus:ACC hold_the_power:INF.AOR be_necessary:PRS.3SG 'a $[\emptyset]$ seer in Africa had declared ... that both Macrinus, the prefect, and his son, Diadumenianus, were destined to hold the imperial power' (Cassius Dio, *Hist. Rom.* 79, 4, 1–2; beginning of the 3rd century AD; transl. E. Cary)

In (12) and (13), in which the construction *aner mantis* 'man seer' is used, what is at stake is the description of the inherent nature of the character (i.e. his being a seer).

(12) es tous doulous ēlthe anēr
to ART:ACC.PL.M slave:ACC.PL come:AOR.3SG man:NOM.SG
mantis Kleandros ...
seer:NOM.SG Cleander:NOM

'[For a while they were at peace with each other; but presently] there came to the slaves one Cleander, a [MAN] prophet, [a man of Phigalea in Arcadia by birth; he persuaded the slaves to attack their masters. From this and for a long time there was war between them, till at last with much ado the Argives got the upper hand]' (Herodotus 6, 83; 5th century BC; transl. A. D. Godley)

(13)Eleusiniois polemousi pros Eleusinian:DAT.PL make war:PTCP.PRS.DAT.PL against Erekhthea anēr ēlthen mantis Erechtheus:ACC man:NOM .SG come:AOR.3SG seer:NOM.SG ekDōdōnēs Skiros ... onoma Dodona:GEN from name:ACC.SG Scirus:NOM 'the Eleusinians were making war against Erechtheus when there came from Dodona a [MAN] seer called Scirus, [who also set up at Phalerum the ancient sanctuary of Athena Sciras. When he fell in the fighting the Eleusinians buried him near a torrent, and the hero has given the name to both place and torrent]' (Pausanias, Graeciae descriptio 1, 36; 2nd century AD; transl. W. H. S. Jones)

Example (14) illustrates the typical pairing of *anēr* and nouns indicating winners of games, a crystal-clear example of the defining function of the construction (the character's role in the context is obviously not related to his being a winner in the games).

(14)tēs ērkhe anēr hold command:IMPF.3SG man:NOM.SG REL:GEN.SG.F tris pythionikēs Phaÿllos victor_in_Pithian_games:NOM.SG Phaÿllus:NOM three times '[of those that dwell farther off than these, the men of Croton alone came to aid Hellas in its peril, and they with one ship], whereof the captain was Phaÿllus, a [MAN] victor in the Pithian games' (Herodotus 8, 47; transl. A. D. Godley)

Other passages show that Kühner's account is only partially correct. Take, for instance, (15): here a character introduced by the construction *anēr halieus*, lit. 'man fisher', actually performs the role of fisherman in the story.

(15)	anēr	halieus	labōn	ikhthyn
	man:NOM.SG	fisher:NOM.SG	take PTCP AOR NOM SG	fish:ACC SG

megan kai kalon ēksiou te big:ACC.SG PTCL and fine:ACC.SG esteem:IMPF.3SG min Polykrateï dōron dothēnai give:PASS.INF.AOR PRO.3SG:ACC.M Polycrates:DAT gift:ACC.SG '[but on the fifth or sixth day from this it so befell that] a [MAN] fisher, who had taken a fine and great fish, desired to make it a gift to Polycrates' (Herodotus 3, 42, 1; transl. A. D. Godley, adapted)

With ethnonyms, on the other hand, no significant differences can be found between the use of the ethnonym alone and the construction $an\bar{e}r$ + ethnonym. The following passages from Thucydides should serve as an illustration of the similarity of the contexts in which the construction $an\bar{e}r$ $Spartiat\bar{e}s$ (lit. 'man Spartan'; cf. (16)–(17)), and the simple noun $Spartiat\bar{e}s$ 'Spartan' ((18)–(19)), occur:

(16)etykhe de khōrous peri tous happen:AOR.3SG PTCL near ART.ACC.PL.M region:ACC.PL toutous Brasidas ho **Tellidos** Tellis:GEN that:ACC.PL Brasidas:NOM ART:NOM.SG.M anēr Spartiatēs . phrouran man:NOM.SG Spartan:NOM.SG garrison:ACC.SG ekhōn

have:PTCP.PRS.NOM.SG

- 'but Brasidas, son of Tellis, a [MAN] Spartan, happened to be in that neighbourhood with a guarding party, [and seeing the situation he set out with one hundred hoplites to relieve the garrison]' (Thucydides 2, 25, 2; 5th century BC; transl. Ch. Forster Smith)
- (17)Derkylidas te Spartiatēs anēr Dercylidas:NOM Spartan:NOM.SG PTCL man:NOM.SG stratian ekhōn pollēn ouhave:PTCP.PRS.NOM.SG army:ACC.SG NEG great:ACC.SG parepemphthē pezē eph' Hellespontou send:PASS.AOR.3SG on foot Hellespont:GEN '[during the following summer season, at the very opening of spring], Dercylidas, a [MAN] Spartan, was sent overland with a small army to the Hellespont [to effect the revolt of Abydus, a Milesian colony]' (Thucydides 8, 61, 1-2; transl. Ch. Forster Smith)
- (18) epepleon de Lakedaimoniōn
 sail_on_board:IMPF.3PL PTCL Lacedaemonian:GEN.PL
 khilioi hoplitai
 thousand:NOM.PL hoplite:NOM.PL

kai Knēmos **Spartiatēs** nauarkhos and Cnemus:NOM **Spartan:NOM.SG** admiral:NOM.SG 'on board the ships were one thousand Lacedaemonian hoplites, and Cnemus a [Ø] **Spartan** was admiral' (Thucydides 2, 66, 2; transl. Ch. Forster Smith)

(19) **Spartiatēs** d' ērkhen Eurylokhos
Spartan:NOM.SG PTCL command:AOR.3SG Eurylochus:NOM
tēs stratias

ART:GEN.SG.F army:GEN.SG
'the commander of the expedition was Eurylochus a [Ø] Spartan, [who was accompanied by the Spartans Macarius and Menedaïus]' (Thucydides 3, 100, 2; transl. Ch. Forster Smith)

These passages also illustrate the low degree of systematicity of the construction in point. In many cases there are no evident semantic/pragmatic differences between this construction and the use of the common noun alone, so that Kühner appears to be fully justified in treating this variation as merely stylistic.

Yet, a "stylistic" variant may be indicative of an incipient grammaticalization process, especially if we manage to identify some contextual circumstances that favour its use. This is precisely the case of the construction anēr/anthrōpos + common noun in Ancient Greek. This construction appears to be favoured by a clearly identifiable set of discourse contexts. First, it appears frequently in NUMERAL EXPRESSIONS, especially (but not exclusively) when dealing with small numbers, as the following examples show:

(20)pempei angelous Makedoniēn es send:PRS.3SG messenger:ACC.PL to Macedonia:ACC andras hepta Persas man:ACC.PL seven Persian: ACC.PL '[so those of the Paeonians who were taken were carried into Asia. Then Megabazus, having made the Paeonians captive], sent as messengers into Macedonia [MEN] seven Persians [who (after himself) were the most honourable in his army]' (Herodotus 5, 17; transl. A. D. Godley, adapted) (21)epanisteatai andres magoi dvo

man:NOM.PL

Magian:NOM.PL

two

rebel_against:PRS.3PL

adelpheoi

brother:NOM.PL

- '[now after Cambyses son of Cyrus had lost his wits, while he still lingered in Egypt], [MEN] two Magians, who were brothers, rebelled against him. [One of them had been left by Cambyses to be steward of his house]' (Herodotus 3, 61; transl. A. D. Godley, adapted)
- (22)apopempousin hoi Lakedaimonioi es ART:NOM.PL.M Lacedemonian:NOM.PL send:PRS.3PL to andras Spartiatas Korinthon treis Corinth:ACC three man:ACC.PL Spartan:ACC.PL 'the Lacedemonians sent to Corinth [MEN] three Spartans, [that they might as quick as possible haul the ships over the Isthmus from the Corinthian Gulf to the sea on the side toward Athens, and give orders for the whole fleet to sail to Chios – the ships which Agis was getting ready for Lesbos as well as the rest]' (Thucydides 8, 7; transl. Ch. Forster Smith)

The construction is also frequently used to introduce non-specific indefinite arguments in NON-ASSERTIVE CONTEXTS, i.e. when the argument is under the scope of operators such as negators, temporal and hypothetical subordinators such as *if*, *when*, etc.:

- (23)ēп te gar katelēs andra if PTCL indeed lay low:SBJV.AOR.2SG man:ACC.SG stratēgon. ginetai mega toi general:ACC.SG great:NOM.SG.N PRO.2SG:DAT happen:PRS.3SG '[to my mind, it is right that king and general should by king and general be encountered.] For if you lay low a [MAN] general, you have achieved a great feat; [and failing that, if he lay you low (as I pray he may not), it is but half the misfortune to be slain by a noble foe]' (Herodotus 5, 111; transl. A. D. Godley, adapted)
- anthrōpou (24)hösper an tis peri man:GEN.SG as_if PTCL INDEF_PRO about hyphantou presbytou apothanontos die:PTCP.AOR.GEN.SG weaver:GEN.SG old:GEN.SG legoi touton logon say:OPT.PRS.3SG this:ACC.SG ART:ACC.SG.M discourse:ACC.SG '[what's being said, I think, is very much] as if someone should offer this argument about a [MAN] weaver who has died in old age [to show that the person hasn't perished but exists somewhere intact, and should produce as evidence the fact that the cloak he had woven for himself, and worn,

was intact and had not perished]' (Plato, *Phaed.* 87b; 4th century BC; transl. H. N. Fowler)

GNOMIC CONTEXTS such as (25) and (26) are another typical discourse environment in which the construction *anēr/anthrōpos* + common noun is often found. They share with non-assertive contexts the non-factuality of the state of affairs depicted by the clause.

- (25)kaitoi andra tyrannon ge indeed absolute ruler:ACC.SG man:ACC.SG **EMPH** einai aphthonon edei free from envy:ACC.SG need:IMPF.3SG be:INF.PRS '[this double cause is the root of all evil in him; sated with power he will do many reckless deeds, some from insolence, some from jealousy. For whereas] a [MAN] absolute ruler should rightly be jealous of no man' (Herodotus 3, 80; transl. A. D. Godley)
- andra tekhnitēn makhēs (26)pros against man:ACC.SG technician:ACC.SG war:GEN.SG hena kairon einai tēn anankēn be:INF.PRS ART.ACC.SG.F only:ACC.SG time:ACC.SG necessity:ACC.SG '[then Minucius, recognising his own want of experience, laid down his command and delivered his part of the army to Fabius, who held to the belief that] the only time to fight against a [MAN] consummate military genius is when necessity compels' (Appianus, Hist. Rom. 7, 13; 1st/2nd century AD; transl. H. White)

Last, but far from least, the construction in question typically introduces A NEW REFERENT at the beginning of a more or less lengthy story about him. The referent introduced by <code>aner/anthropos</code> + common noun is generally PERSISTENT, i.e. it is likely to appear in subsequent clauses after its first mention. By way of exemplification, consider the following passages. In all of them, the referent introduced by the construction <code>aner/anthropos</code> + common noun continues to appear in subsequent portions of the text; for instance, it is anaphorically referred to by means of a demonstrative pronoun in (27) and (28), by means of a definite NP in (29), or simply through verbal agreement in (30). According to Givón (1983), persistence of a referent is indicative of its topical status:

(27) en tautē de symmisgousi **andri** in this:DAT.SG.F PTCL commingle:PRS.3PL **man:**DAT.SG porphyreï, tō ounoma

fisher_of_murex:DAT.SG REL:DAT.SG.M ART.name:NOM.SG

ēnKorōbios ...misthōbe:IMPF.3SGCorobius:NOMwage:DAT.SG

de <u>touton</u> peisantes

PTCL <u>this:ACC.SG.M</u> persuade:PTCP.AOR.NOM.SG

ēgon es Thērēn. lead:IMPF.3PL to Thera:ACC

'[these, in their journeys about the island, came to the town of Itanus], where they met a [MAN] fisher of murex called Corobius ... This man they hired to come with them to Thera' (Herodotus 4, 151; transl. A. D. Godley)

(28) ēn Kylōn tōn Athēnaiōn be:IMPF.3SG Cylon:NOM ART:GEN.PL.M Athenian:GEN.PL

anēr Olympionikēs.

man winner_in_the_Olympic_games:NOM.SG

Houtos epi tyrannidi this:NOM.SG man:NOM.SG tyranny:DAT.SG

ekomēse

give_oneself_airs:AOR.3SG

"There was an Athenian named Cylon, who had been a [MAN] winner at Olympia. This man put on the brave air of one that aimed at despotism; [and gathering a company of men of like age he essayed to seize the citadel; but when he could not win it he took sanctuary by the goddess' statue]' (Herodotus 5, 71; transl. A. D. Godley)

(29)anēr halieus labōn ikhthyn fisher:NOM.SG take:PTCP.AOR.NOM.SG fish:ACC.SG man:NOM.SG kai kalon ēksiou megan te big:ACC.SG PTCL and fine:ACC.SG esteem:IMPF.3SG min Polykrateï dōron PRO.3SG:ACC.M Polycrates:DAT gift:ACC.SG dothēnai... dē ho men halieus give:PASS.INF.AOR ART:NOM.SG.M PTCL PTCL fisher:NOM.SG poieumenos ēie mega tauta greatly esteem:PTCP.PRS.NOM.SG this:ACC.PL.N go:IMPF.3SG es oikia ART:ACC.PL.N house:ACC.PL

'a [MAN] fisher, who had taken a fine and great fish, desired to make it a gift to Polycrates ... Proud of this honour, the fisherman went home' (Herodotus 3, 42; transl. A. D. Godley, adapted)

(30)ta men nyn orygmata anēr ART:ACC.PL.N PTCL now mine:ACC.PL man:NOM.SG epikhalkō khalkeus aspidi ... aneure brazen:DAT.SG shield:DAT.SG smith:NOM.SG discover:AOR.3SG prosiskhe pros danedon smite:IMPF.3SG against ART:NOM.SG.N ground:ACC.SG tēs polios ART:GEN.SG.F city:GEN.SG 'As for the mines, a [MAN] smith discovered them by the means of a

'As for the mines, a [MAN] smith discovered them by the means of a brazen shield, [and this is how he found them: carrying the shield round the inner side of the walls] he smote it against the ground of the city; [all other places where he smote it returned but a dull sound, but where the mines were the bronze of the shield rang clear]' (Herodotus 4, 200; transl. A. D. Godley)

The contrast between $an\bar{e}r$ Spartiates vs. Spartiates in examples (16)–(19) above can be at least partly explained in terms of the relative persistence of the referent: while referents introduced by $an\bar{e}r$ Spartiates tend to be quite persistent (they are generally central characters in the narration, and continue to appear after their first mention), referents introduced by Spartiates are more ephemeral, and their persistence tends to be quite low. This tendency is indicative of a more topical status of the referents introduced by the construction $an\bar{e}r$ + common noun.

3. Anēr/anthrōpos + common noun as a classifier construction

We may now return to the question posed at the beginning of this article, namely whether the construction $an\bar{e}r/anthr\bar{o}pos + common noun can be considered as an instance of an emergent classifier construction. Before we can approach this question, we need to take a brief survey of the peculiarities of noun classifiers and classifier constructions within the realm of nominal classification systems, with the aim of assessing the plausibility of an analysis of <math>an\bar{e}r/anthr\bar{o}pos + common noun$ as a classifier construction. This will be done in Section 3.1. In Section 3.2, I will concentrate on the correlation between the use of noun classifiers (and classifier constructions) and the discourse status of classified referents. Besides having a proper classificatory function, noun classifiers are tightly connected to the semantic/pragmatic domain of determination/reference (Croft 1994: 147): they are often

determiners in function, and are deployed to mark a given discourse status of their referents, although the concrete ways in which they are used with a determiner-like function may be quite divergent across languages. Emergent classification strategies such as classifier constructions (defined as in (34) below) are even more dependent on the discourse status of referents, which appears to be crucial in favouring the pairing of generic and specific nouns. Finally, in Section 3.3 I will review the Greek data in the light of the typological literature on noun classifiers.

3.1. Noun classifiers between lexicon and grammar

The nominal classification systems known as "classifiers" include a number of subtypes that can be distinguished by their semantics and their morphosyntactic status. Overall, classifiers can be taken to "occupy the mid point" (Grinevald & Seifart 2004: 262) between the two types of nominal classification systems found in European languages, the morphosyntactic system of gender (Italian *il ragazzo* 'the boy' vs. *la ragazza* 'the girl') and the lexical expression of measure terms and unit counters (*a grain of sand, a cup of milk*, etc.): classifiers have clearly lexical origin, yet they behave in a more or less syntacticized way; unlike gender they do not usually classify all nouns.

Noun classifiers constitute a type of their own, traditionally lesser-studied than other classifiers (numeral, verbal, and possessive classifiers), although the gap in the comprehension of their functioning has been increasingly filled in recent years (Aikhenvald 2000: 81–97; Grinevald 2000: 65ff, 2002, 2007; Wilkins 2000; Grinevald & Seifart 2004, among others). The label noun classifier must be understood in opposition to numeral and possessive classifiers, as noun classifiers "commonly stand alone with their referent noun, independent of quantification or possession" (Grinevald 2004: 1019). In languages with a fully-fledged system of noun classifiers, these are items with superordinate semantics which combine with nominals having a more specific meaning. The noun classifier may (but needs not) "resemble" the noun which has been its lexical source, as in the Jakaltek example in (8) above, with the classifier *naj* < *winaj* 'man'. The pairing of a classifier and a specific noun is rather conventionalized, and the classifier typically does not add any information about the semantic nature of the referent which is not

¹¹ In such cases the term REPEATER is sometimes used in the literature (Grinevald 2007: 100).

already available in the noun with which it co-occurs (Downing 1986: 348). The specific nouns in (31) and (32), for instance, inherently designate female beings (*malin* 'Mary', *yaburungu* 'girl:ERG') and animals (*txitam* 'pig', *gangu:1* 'wallaby:ABS'):¹²

(31) Jakaltek (Craig 2002: 265)

xil ix malin no' txitam
saw CL.FEMALE Mary CL.ANIMAL pig
'Mary saw the pig'

(32) Yidiny (Dixon 1977: 480)

bama:1yaburungumipagangu:1CL.PERSON:ERGgirl:ERGCL.ANIMAL:ABSwallaby:ABSwawa:1see:PST'the girl saw the wallaby'

This feature distinguishes noun classifiers from other, more lexical classification strategies such as CLASS TERMS, exemplified in (33). Class term systems involve a compounding process with various degrees of productivity in which a generic noun (*man*, *tree*, *berry*) is combined with another noun which DOES NOT in itself designate a specific subordinate item within the larger set identified by the generic noun (Grinevald 2002: 261). This compounding process participates "in the lexico-genesis of a language" (Grinevald 2000: 59), and is often in competition with other derivational processes, such as for instance neo-classical suffixation in English: *journal-ist*, *novel-ist*, etc. Class terms are typically found in two semantic domains, namely human occupations and the vegetal world, and have in general

¹² Occasionally, noun classifiers may function as "informative" (i.e. properly classificatory) devices providing some kind of unexpected or disambiguating information about their referent. The typical case in which noun classifiers help specify the meaning of the specific noun with which they co-occur is with polysemous nouns, which may combine with different classifiers to yield different meanings, as in the following examples:

⁽i) Murrinh-Patha (Australian, Daly, Murrinh-Patha; Walsh 1997: 275): nanthi kamarl CL.GENERIC + 'eye' = 'eye'; kura kamarl CL.AQUATIC + 'eye' = 'water-hole'; mi kamarl CL.VEGETABLE + 'eye' = 'seed'

⁽ii) Minangkabau (Austronesian, Western Malayo-Polynesian, Sundic; Aikhenvald 2000: 84): *batang limau* CL.TREE + 'lemon' = 'lemon tree'; *buah limau* CL.FRUIT + 'lemon' = 'lemon fruit'

limited productivity and a high degree of lexicalization, being restricted to a closed class of nouns or noun roots (Aikhenvald 2000: 86):

- (33) a. *strawberry*, *blueberry*, *raspberry*, *gooseberry*, etc.
 - b. apple tree, banana tree, orange tree, etc.
 - c. mailman, policeman, garbage man, etc.

Because of their intermediate position between morphosyntactic and lexical systems of classification, noun classifiers are generally taken to be less grammaticalized than gender and noun class systems. This assumption is grossly incomplete and one-sided, and overshadows the substantial functional similarity between noun class systems as attested e.g. in Niger-Congo languages and systems of noun classifiers such as those known from Amazonian languages (Grinevald & Seifart 2004: 280ff). Moreover, languages with noun classifiers may vary with respect to their degree of grammaticalization. In the literature on noun classifiers various criteria have been proposed to decide to what extent a system of noun classifiers is grammaticalized. The simplest of such criteria is FREQUENCY of occurrence of the classifier + noun pairing (Sands 1995: 270). Languages in which noun classifiers are always or almost always included in a noun phrase are rarer than languages in which the classifier is included only occasionally. Jakaltek and Yidiny are usually mentioned as prototypical instances of languages with a highly conventionalized system of noun classifiers, "in which most nouns in conversation or narrative are preceded by an appropriate classifier" (Sands 1995: 269). The following properties of Jakaltek noun classifiers can be taken as indicative of a system at an advanced stage of grammaticalization (Grinevald 2002: 268): (i) they can function both as determiners of the nouns and as proforms; (ii) they are a frozen closed system used for referential tracking; and (iii) they are phonetically reduced forms of the nouns from which they originate (naj 'CL.HUMAN' < winaj 'man'; no' 'CL.ANIMAL' < *noq*' 'animal', etc.).

If noun classifiers are used only occasionally, there is usually a great deal of register and speaker variation: noun classifiers may be used rather consistently in formal speech, or in technical¹³ discourse situations, whereas they are omitted in casual/informal speech; as for speaker variation,

¹³ "Technical" is intended here as including any kind of specialized knowledge of the human and natural world.

proficiency in noun classifiers generally varies from one speaker to another (Aikhenvald 2000: 83).

This cross-linguistic variation has led some authors to introduce the notion of GENERIC-SPECIFIC CONSTRUCTIONS or CLASSIFIER CONSTRUCTIONS (e.g. Wilkins 2000: 159–160). Classifier constructions are weakly grammaticalized (i.e. occasional, unsystematic) pairings of generic and specific nouns representing the initial stage in the grammaticalization path leading from generic nouns to noun classifiers. In what follows, the notion of classifier construction, defined as in (34), will be adopted and applied to the Greek data, as it does not commit us to any particular claim concerning the existence of noun classifiers in Ancient Greek:

(34) A classifier construction is a construction in which a free-form lexeme with superordinate semantics precedes (or follows) a more specific noun, without any implications as to the degree of obligatoriness/conventionalization of the construction itself. Such a construction is preferentially associated with an identifiable set of discourse situations in which it contributes its particular meaning.¹⁴

3.2. Noun classifiers as determiners

Though mostly uninformative, noun classifiers are not redundant. Besides classifying nouns, their main semantic/pragmatic function is determination/ reference, i.e. they convey information about the discourse status of their referents (Croft 1994: 147ff). The dependence of noun classifiers on discourse conditions (their "determiner-like role", Grinevald 2002: 266) has been repeatedly hinted at in the literature. In Jakaltek, for instance, noun classifiers function as markers of referentiality, as shown e.g. by the contrast between (35) and (36), or serve the same function as anaphoric pronouns, as in (37).

the discourse in which the utterance is found" (Croft 2001: 19).

¹⁴ This definition, based on Wilkins (2000: 159ff), adheres to the Construction Grammar claim that constructions have meaning (Goldberg 1995), with the proviso that "meaning" should be understood as including all of the conventionalized linguistic knowledge connected to a given grammatical entity, "which may include not only properties of the situation described by the utterance but also properties of

- (35) Jakaltek (Grinevald 2002: 266)
 sonlom naj xuwan
 musician CL.MALE John
 'Iohn is a musician'
- (36) *naj sonlom naj xuwan
 CL.MALE musician CL.MALE John
 '(man) John is a (*man) musician'
- (37) xil ix naj/no'/te'
 saw CL.FEMALE CL.MAN/CL.ANIMAL/CL.PLANT
 'she (woman) saw him (man)/it (animal)/it (plant)'

Referential nouns in Jakaltek are usually introduced by the indefinite marker or without a classifier. Noun classifiers are generally found with second mentions of referents: compare the use of the noun classifier for animals in (39) with the simple NP *txitam* 'pigs' in (38) (the two examples are excerpts from the same narration). The only time a classifier can appear with an indefinite NP is "for a special effect of conveying an important new protagonist" (Wilkins 2000: 157, quoting a personal communication from C. Grinevald).

```
(38)
       Jakaltek (Craig 1986b: 269)
                                                     k'opo
                                                                a7o7
       xto
                 pax
                                                     girl
       went
                 again
                           CL.FEMALE.NON-KIN
                                                                give
       vet
                 txitam
                 pigs
       food.of
       'the girl went back to feed pigs'
(39)
       chin
                                      a707
                           pax
                                                yet
                                                          no7
                           back
                                                food.of
       I
                 come
                                     give
                                                          CL.ANIMAL
       txitam
                 an
       pigs
       'I will come back to feed the pigs'
```

In some languages we find the opposite pattern. In Mandarin Chinese, for instance, there is a distinction between the general classifier *ge* and specific classifiers.¹⁵ The latter typically mark the first mention of a new referent,

¹⁵ General classifiers are opposed to specific classifiers according to the scope of the class they define and their lexical origin (Grinevald 2007: 100–101). Specific classifiers head classes of elements clustered around prototypical exemplars, and

whereas, "once reference is established, subsequent mentions take the general classifier or constructions where no classifier is required" (Erbaugh 1986: 408):

(40)	Mandarin (Sino-Tibetan, Chinese; Erbaugh 1986: 408)								
	cóng	neì.l	bian	guòl	ái	yí-g	ge	xiǎo	hái-zi,
	from	there	2	over	come	one	-CL.GEN	small	child
	uh,	qĭ,	qĭ,		qĭ-zhe		yí- liàng	•	
	uh	ride	ride		ride-PR	OG	one-CL.	VEHICLE	
	jiǎotāchē		uh		shì	yí-g	ge	hěn	kěaì-de
	bicycle		uh		be	one	-CL.GEN	very	cute-MOD
	xiǎo-de		jiǎot	āchē					
	little-MOD)	bicy	cle					
		•							

^{&#}x27;from over there comes a child, uh, ride, ride, riding a bycicle, uh, it is a very cute little bicycle'

The use of classifiers to convey information about the discourse status of referents is perhaps more evident in languages with less developed noun classification systems. In emerging systems, the discourse status of referents appears to be crucial in favouring the occasional pairing of generic and specific nouns. According to Aikhenvald (2000: 333), "all non-obligatory classifier systems have some discourse-pragmatic function [whereas] obligatory classifier systems tend to display similar properties, but to a lesser extent".

The discourse-pragmatic functions of classifier constructions are quite divergent across languages. Sometimes the generic-specific construction must be used when a new referent is introduced, whereas subsequent mentions of the same referent use only the generic noun. In Minangkabau, for instance, the specific noun is "often omitted if the referent has already been established in discourse or is known from the context" (Aikhenvald 2000: 322); compare (41), where a new referent is introduced, with (42), where the referent is given.

their lexical source is generally (although not necessarily) transparent, whereas general classifiers head large heterogeneous classes and have opaque semantics.

(41) Minangkabau (Austronesian, Western Malayo-Polynesian, Sundic; Aikhenvald 2000: 322)

mak ado **buruang merpati** datang ka rumah awak mother have CL.BIRD **pigeon** come to house 1PL 'mother, there is a pigeon coming to our house'

(42) **buruang** sia tu garan
CL.BIRD who that probably
'whose bird is that?'

In Kugu-Nganhcara the generic noun is always present when vocabulary is elicited, or with first mentions of referents, but it can be omitted when the referent is already established in discourse (Smith & Johnson 2000: 446). In (43), an excerpt from an oral narration, the two characters (two personified birds: a curlew and a crow) are introduced by means of a combination of the generic noun for animals (*minha*) and a more specific noun; subsequently, they are referred to by means of independent pronouns, simple (i.e. unclassified) NPs, and verbal agreement:

(43) Kugu-Nganhcara (Australian, Pama-Nyungan; Smith & Johnson 2000: 463)

kaaram minha wiiwii pula minha wadha dreamtime CL.ANIMAL curlew 3DU.NOM CL.ANIMAL crow pula nga'a-wu-la !wothcomngu 3DU.NOM fish-DAT-3DU.NOM travel 'In the Dreamtime Curlew and Crow go for fish.'

nga'a**-la** pipipa

fish-3DU.NOM catch.REDUP

'They caught fish.'

pecele# kalala-la ...

fishing line carry.REDUP-3DU.NOM

'They carried the fishing line ...'

nhilawadha-lathangkangki-nhum ...3SG.NOMcrow-3SG.NOMlaugh.REDUP-HIST

'Crow was laughing ...'

nhilawiiwii-lathawa ...3SG.NOMcurlew-3SG.NOMsay:PST

'Curlew said ...'

Hopper (1986) lists a number of parameters that are conducive to the use of generic nouns in written Malay. In this language, only a subset of indefinite

nouns may combine with generic nouns forming a classifier construction, whereas definite nouns are virtually never classified. A prototypical classified noun has specific reference, and the clause in which it appears depicts a past/factual state of affairs (whereas in clauses expressing future/non-factual states of affairs nouns are generally unclassified). Generic nouns are frequently found in presentative constructions, i.e. constructions introducing a new participant into discourse: the new referent introduced by the classified noun is also rather persistent, that is, it is expected to be spoken about later. (44) is a typical example of the use of classifier constructions in Malay: the clause depicts a past/factual state of affairs; the classified noun refers to a major character that is being introduced in the narration for the first time, and that will be referred back to in subsequent discourse. ¹⁶

(44) Malay (Austronesian, Western Malayo-Polynesian, Sundic; Hopper 1986: 314)

maka ku-dapati ada di-tengah rumah ada sa-**orang** orang Pelekat yang bernama Abdul Satar tengah makan

'and I discovered that there was a <CL> man from Pelekat by the name of Abdul Satar in the middle of the room engaged in eating'

The patterns discussed above nicely show that the main function of noun classifiers falls within the functional domain of reference/individuation,

the simple generic NP and the NP headed by the generic-specific construction occurred exclusively in accusative or dative case. Crudely speaking, the referents of these phrases were always having something done to them. By contrast, when the NP was headed by just the specific noun, there were ... 5 nominative uses and 2 ergative uses ... Again, crudely speaking, the referents of these phrases are commonly doing something (Wilkins 2000: 173).

Grinevald (2002: 271) connects the limited discourse anaphoric use of Arrente generic-specific constructions to a specific typological feature of this language, namely the presence of third person independent pronouns, whereas in Jakaltek the system of noun classifiers has developed in the context of a language without 3rd person independent pronouns.

¹⁶ According to Wilkins (2000: 157ff), classifier constructions in Arrernte (Australian, Pama-Nyungan) appear to be insensitive to the discourse status of referents. Referents of generic-specific constructions in this language are often non-specific and the construction itself is not used as a means for introducing important new protagonists. Instead, its distribution in discourse appears to be connected with the semantic and syntactic roles of referents:

rather than classification as such. The individualizing function of noun classifiers is of course strictly connected to their classifying function, classification being "a basic prerequisite to identification" (Croft 1994: 161). This function of noun classifiers also explains why they profile the NATURE/ESSENCE of the noun they co-occur with, whereas in other types of classifiers other semantic dimensions are more relevant (Grinevald 2002: 263). Possessive classifiers, for instance, that is, classifiers that co-occur with the possessed noun in a possessive construction, characterize the noun mainly in terms of its function or potential use, as in (45) and (46). Numeral classifiers, that is, classifiers appearing contiguous to numerals in numeral expressions, generally profile the shape or size of the noun they co-occur with, as in (47).¹⁷

(45) Macushi (Cariban; Aikhenvald 2000: 129)

u-yekkari ma'pîya 1SG-POSS.CL.FRUIT_FOOD papaya 'my papaya' (= the papaya that I'm going to eat)

Ulithian (Austronesian, Eastern Malayo-Polynesian, Oceanic; Croft 1994:

154)

(46)

lawu-yi yixi CL-my fish

'my fish, that I'm keeping'

xala-yi yixi CL-mv fish

'my cooked-fish food'

xocaa-yi yixi CL-mv fish

'my raw-fish food'

(47) Pohnpeian (Austronesian, Eastern Malayo-Polynesian, Oceanic;

Grinevald 2002: 262)

tuhke rioapwoat

tree 2+NUM.CL.LONG

'two trees'

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¹⁷ As Grinevald (2007: 98) notes, however, these correlations between classifier type and their prototypical semantic profile "work best in languages with co-occurring classifier systems", whereas "the correlation is less strong in the case of large numeral classifiers, particularly when they are the only classifier system of a language, in that all three semantic fields [i.e. nature/essence, function/use, and size/shape, AS] may well be represented, in varying proportion".

A final remark is necessary before moving on to the analysis of the Greek data. The size of inventories of noun classifiers may range from a very limited set to several hundreds of classifiers (Aikhenvald 2000: 84ff). The semantic distinctions employed in systems of noun classifiers, however, are usually not random, but comply with the hierarchy in (48) (based on Croft 1994: 148), which has both a synchronic and a diachronic value.

(48) Human/animate entities (male/female) > higher animals > lower animals (insects, etc.) / natural objects (plants, etc.) > artifacts

Animacy is the crucial dimension in this hierarchy. Synchronically, this means that (i) the most important divisions in noun classifiers are those opposing human/animate beings to (higher) animals and to nonhuman/inanimate beings, and that (ii) limited systems of noun classifiers normally have at least one classifier for humans. If a language has a richer set of noun classifiers, there can be classifiers for male and female human entities (i.e. distinctions based on sex), and for non-human and inanimate entities. The semantic distinctions among non-human and inanimate entities are generally based on the nature of the referent (trees, birds, insects, plants, etc.) rather than its function or shape. Artifacts come next in this hierarchy of distinctions. If there are distinctions in the class of artifacts, they have to do with major artifact classes such as furniture, weapons, vehicles, clothing, etc. (Croft 1994: 161–162). From a diachronic point of view, the hierarchy captures the fact that noun classifiers are grammaticalized more easily for entities at the top of the hierarchy.

The functional motivation for this hierarchy has to do with the fact that "people talk about people more than about anything else" (Croft 1994: 162), and the primary distinction among human beings is sex. Higher mammals or animals come next, but their position in noun classifier systems may also mirror the culture-specific economic or social importance of these items, or may be influenced by certain discourse situations, such as technical discourse, which favour the adoption of noun classifiers for these entities.

3.3. An embryonic system of noun classification in Greek: revisiting the data

In the light of the typological survey conducted in the two previous sections, there appear to be good reasons to revisit the Greek data discussed in Section 2 and to address the question of whether they lend themselves to an interpretation in terms of an embryonic system of noun classification. It is to this task that we now turn.

The fact that the construction <code>anēr/anthrōpos</code> + common noun is found in a subset of the contexts in which languages with indefinite articles employ these is suggestive of the determiner-like function of <code>anēr</code> and <code>anthrōpos</code> in the construction under discussion. Furthermore, the extremely optional character of this construction, already acknowledged by Kühner (1898), is indicative of its being an "incipient" strategy within the functional domain of reference/individuation: incipient constructions are optional in that they may but need not be used, and the grammatical meaning expressed by the construction in question is not obligatorily marked (Heine & Kuteva 2005: 71ff). In other words, absence of the classifier construction does not necessarily imply absence of the "meaning" associated with it.

As an incipient strategy, this construction does not cover all indefinite contexts, but only a subset of them. In particular, the construction is associated with the following types of referents: (i) pragmatically referential indefinite arguments, e.g. main characters that remain salient and are talked about in subsequent discourse, as in (27)–(30); and (ii) indefinite/generic arguments under the scope of non-assertive operators or in gnomic contexts, as in (23)–(26). Significantly, the discourse contexts covered by this construction correspond to the contexts in which the numeral *one* grammaticalizes more easily into an indefinite article, as shown in Figure 2 (see Givón 1981; Weiss 2004):¹⁸ incipient indefinite articles are generally triggered by the degree of topicality of their referent, i.e. they introduce "new and long-lived referents" (Weiss 2004: 146), whereas grammaticalized indefinite articles "may establish any new referent, including the most ephemeral one" (ibidem).

¹⁸ Weiss (2004: 156) posits a slightly different grammaticalization channel leading from the numeral *one* to the indefinite article, based on Macedonian data: specificity of the referent is no doubt one of the factors fostering the grammaticalization of the numeral *eden* 'one' as an indefinite article, yet the decisive factor in the development of the rightmost uses in Figure 2 turns out to be the presence of "further specification of the NP by attributive modifiers or relative clauses" (Weiss 2004: 157), irrespective of the specificity parameter.

[Pragmatically] referential indefinite arguments; exx. (27)–(30)	>	Non-referential indefinite arguments under non-assertive operators; exx. (23)–(24)	>	Generic expressions; exx. (25)–(26)	>	Predicative nominals (e.g. <i>John is a</i> <i>teacher</i>)
Contexts of use of <i>anēr</i> and <i>anthrōpos</i> + common noun in Ancient Greek						

Figure 2. The grammaticalization path of *one* as an indefinite article (based on Givón 1981: 49 and Weiss 2004: 156, adapted; the contexts on the right admit the use of *one* as indefinite article less easily – and only at an advanced stage)

As far as chronology is concerned, the construction is already well-established in the classical prose of the 5th century BC, and continues to be particularly vital in Hellenistic Greek. A precise sociolinguistic (or stylistic) characterization of this construction is beyond the scope of this paper. Although this construction enjoys some currency in poetry, most attestations come from narrative prose. In terms of absolute frequency, the number of attestations tends to increase in Koine Greek, where the construction is found both in authors whose style appears to be heavily modelled on classical prose such as Plutarch (49) or Pausanias (ex. (13) above), and in more popular genres such as novels and fables, exemplified in (50)–(51):

(49)epanelthonti de dolon mēkhanasthai contrive:INF.PRS return:PTCP.AOR.DAT.SG PTCL plot:ACC.SG hebdomēkonta synōmotas andras conspirator:ACC.PL man:ACC.SG seventy kai đvo pepoiēmenon make:PTCP.PF.ACC.SG and two '[during his absence the tradition is that Typhon attempted nothing revolutionary because Isis, who was in control, was vigilant and alert]; but when he returned home Typhon contrived a treacherous plot against him and formed a group of seventy-two [MEN] conspirators. [He had also the co-operation of a queen from Ethiopia who was there at the time and whose name they report as Aso]' (Plutarchus, De Iside et Osiride 356, B, 4– 9, 2nd century AD; transl. B. Perrin, adapted)

(50) anēr geōrgos mellōn
man:NOM.SG farmer:NOM.SG be_on_the_point:PTCP.PRS.NOM.SG
teleutan kai boulomenos tous
die:INF.PRS and wish:PTCP.PRS.NOM.SG ART:ACC.PL.M

paidas empeirous autou einai child:ACC.PL expert:ACC.PL be:INF.PRS PRO.3SG:GEN geōrgias metakalesamenos tēs agriculture:GEN.SG ART:GEN.SG.F call:PTCP.AOR.NOM.SG ephē autous PRO.3PL:ACC say:IMPF.3SG

'a [MAN] farmer being on the point of death, and wishing his sons to become expert in farming, called them to his bedside, and said: ["My sons, there is a treasure hidden in one of my vineyards"]' (Aesopus, *Fabulae* 42, 1; 1st–2nd century AD)¹⁹

(51) ou gar perieides andra

NEG indeed allow:AOR.2SG man:ACC.SG

idiōtēn epibouleuthenta

private_citizen:ACC.SG ruin:PASS.PTCP.ACC.SG

hypo hēgemonos

by governor:GEN.SG

'[Dionysius opened his statement as follows: "Your Majes:
for the regard you pay to me, my wife's chastity, and the in

'[Dionysius opened his statement as follows: "Your Majesty, I thank you for the regard you pay to me, my wife's chastity, and the institution of marriage.] You have not allowed a [MAN] private citizen to be ruined by a governor's intrigue [but have summoned him here, so that by punishing his immoral and vicious behavior towards me you may stop it in other cases"]' (Chariton, *De Chaerea et Callirhoe* 5, 6, 1; 1st century AD; transl. G. P. Goold)

If we are willing to recognize a popular, everyday component in Koine Greek, the extraordinary vitality of this construction in this variety leads us to assume that the construction emerged as a popular feature, and its sporadic occurrence in the classical prose overshadows the fact that in everyday language it was possibly more widespread.

Also worthy of mention is that the construction in question is not the only classifier construction attested in Ancient Greek. Although there are fewer examples, the nouns *gynē* 'woman', and *ornis* 'bird', also appear in association with more specific nouns in roughly the same discourse environments as *anēr* and *anthrōpos*:

¹⁹ It is interesting, too, that another version of this fable uses the indefinite adjective/pronoun *tis* in this passage:

⁽iii) geōrgos tis mellōn katalyein ton bion ...

^{&#}x27;a farmer being on the point of death ...'

- (52)de tamiē en gynē housekeeper(F):NOM.SG in PTCL woman:NOM.SG siton kai ethēken oinon food:ACC.SG and wine:ACC.SG put:AOR.3SG 'a housekeeper woman put food and wine into it and some delicacies, [the kind of things that kings, cherished by the gods, eat]' (Od. 3, 479–480; 8th century BC; transl. R. D. Dawe)
- (53)estin oun hetaira. be:PRS.3SG PTCL woman:NOM.SG courtesan(F):NOM.SG Nais hēs Arkhias onoma. Nais:NOM.SG name:ACC.SG REL:GEN.SG.F Archias:NOM kyrios estin. ho d' keeper:NOM.SG be:PRS.3SG ART:NOM.SG.M PTCL Hymenaios epitēdeios. ho Hymenaeus:NOM.SG intimate:NOM.SG ART:NOM.SG.M **Philōnidēs** ď, eran phēsin Philonides:NOM PTCL love:INF.PRS say:PRS.3SG 'there's a courtesan, Nais by name, whose keeper is Archias, whose intimate is Hymenaeus, and whom Philonides admits he loves' (Lysias, Fragmenta 363; 5th century BC)
- (54)kan Dii thyē basilei and.if Zeus:DAT sacrifice:SBJV.PRS.3SG king:DAT.SG krion. basileus est' be:PRS.3SG ram:ACC.SG king:NOM.SG orkhilos ornis wren:NOM.SG bird:NOM.SG
 - 'while if one is sacrificing a ram to Zeus the King, **the wren** is the king, [and to him, in advance of Zeus himself, an uncastrated gnat must be immolated]' (Aristophanes, *Aves* 568–569; 5th century BC; transl. A. H. Sommerstein, adapted)
- (55)ei de tynkhanei tis ōп if PTCL happen:PRS.3SG INDEF_PRO:NOM.SG be:PTCP.PRS.NOM.SG **Phryks** mēden hētton Spintharou, Phrygian:NOM.SG nothing less Spintharus:GEN phrygilos ornis houtos frigate_bird:NOM.SG bird:NOM.SG this:NOM.SG estai. Philēmonos tou genous. be:FUT.3SG ART:GEN.SG.N Philemon:GEN stock:GEN.SG 'and if someone happens to be a Phrygian – as thoroughly Phrygian as Spintharus – he'll be a frigate-bird of Philemon's stock' (Aristophanes, Aves 762–763; transl. A. H. Sommerstein)

(56)ti m' amphiballeis khersin. ō surround:PRS.2SG hand:DAT.PL whv PRO.1SG:ACC 0 ornis hopōs talaina pai. like child:voc.sg bird:NOM.SG poor:VOC.SG.F kēphēna kvknos? poliokhrōn drone:ACC.SG white coloured:ACC.SG swan:NOM.SG 'why do you put your arms around me, O wretched child, like a swan (does to) its white-coloured drone (of a parent)?' (Euripides, Bacchae 1364–1365; 5th century BC; transl. R. Seaford, adapted)

Finally, somewhat problematic for the present analysis of aner/anthropos as (incipient) noun classifiers in classifier constructions are passages such as (20)–(22), in which the two terms co-occur with numeral expressions. Numeral expressions are the discourse environment par excellence in which numeral classifiers are used. Noun classifiers are deemed to be semantically rather different from numeral classifiers: whereas the former profile the nature/essence of the classified item, the latter generally profile its shape or dimensionality, as in (47) above. 20 Being generally limited to enumerations or quantified expressions involving quantifiers such as English some and many, the primary function of numeral classifiers is enumeration/quantification rather than reference/determination (Croft 1994: 151ff). It has been convincingly argued, however, that even the use of numeral classifiers has an individualizing function and may be heavily influenced by the discourse status of the classified item. In languages with numeral classifiers they are used more often (if not exclusively) with small numbers because "the members which compose the grouping referred to are thought of as distinct individuals, not just mere category tokens which together amount to the sum denoted" (Downing 1986: 354). In Malay, for instance, where the same terms are used as both noun and numeral classifiers, "it is when the number is being insisted upon, and hence something is being made of the noun phrase in the discourse, that the classifier is used" (Hopper 1986: 318).

²⁰ The distinction between noun classifiers and numeral classifiers with respect to what they profile is more evident with inanimate nouns. Both noun classifier and numeral classifier systems distinguish an animate or human class, and further distinctions within the set of human/animate entities are based primarily on sex. Numeral classifiers for inanimate entities, unlike noun classifiers for inanimates, make further distinctions based on shape or dimensionality.

These facts show that the functional dimension of determination/reference is relevant also to numeral classifiers. The distinction between the two types of classifiers cannot be considered as devoid of any theoretical significance, because there are languages in which two clearly differentiated sets of noun and numeral classifiers co-occur (Grinevald 2002: 263). However, the (partial) functional overlap between the two types accounts for why in Malay and other languages the same classifiers can be used in both enumerations, as in (57), and non-enumerated contexts, as in (58), and can be also invoked as an explanation for the use of *anēr* and *anthrōpos* in numeral expressions.

- (57)Malay (Hopper 1986: 310, 320) kami lihat budak-budak ada-lah tiga kena orang three happen see CL boy.PL get hukum punishment 'we happened to see three <CL> boys being punished'
- (58) Arakian maka pada suatu hari datang sa-**orang** nakhoda menchari bapa-ku dirumah 'now one day there came a <CL> sea-captain to look for my father at home'

4. Concluding remarks

Classifier constructions can be thought of as an intermediate stage on the grammaticalization path leading from generic superordinate nouns to noun classifiers, which may be represented as in (59):

(59) (a) Generic superordinate noun > (b) Generic noun + specific noun in a classifier construction > (c) Noun classifier

The use of *anēr/anthrōpos*, *gynē* and *ornis* has never reached stage (c). More importantly, in Medieval Greek the three terms stop being used even in classifier constructions. The decline and eventual loss of a grammaticalized stage on a grammaticalization path has been called RETRACTION by Haspelmath (2004: 33ff). Retraction may have several motivations. A grammaticalization chain may retract because it started in a replica language

simply as the result of language contact.²¹ In other cases, retraction may be triggered by a process of polarization between two constructions originating from two different grammaticalization processes and concurring with one another within the same functional domain: in such a scenario, the contexts of use of the two constructions may overlap partially or totally in the beginning, but then one construction takes over and the other one ends up being associated with only a subset of the contexts in which it could originally appear (see, for such a case in the history of Italian, Sansò to appear).

The retraction of the classifier constructions in Greek may have been fostered by the contemporary emergence of a concurrent strategy within the functional domain of determination, namely the use of the numeral meaning 'one', heis, as an indefinite article. Heis starts appearing with an "individualizing" function ("isolierte Individualisierung", Kuhlmann: 1999: 82) in the New Testament, and becomes particularly widespread in Medieval Greek. Unlike the four terms appearing in classifier constructions, which are limited to a subset of possible referents comprising men, women and birds. heis can be used with virtually any kind of referent. This favourable distribution of heis cannot account in any simplistic way for WHY the classifier constructions described above did not eventually survive in Medieval Greek, because languages with both indefinite articles and noun classifiers are possible, e.g. Jakaltek. It is a fact, however, that both strategies concur within the same functional domain, and that many languages with noun classifiers DO NOT have indefinite articles. When noun classifiers arise in the context of a language with indefinite articles, they are normally not used as markers of indefinite referents but may develop other functions within the same domain of reference/determination, e.g. they may come to be deployed as anaphoric strategies, as in Jakaltek; cf. example (37) above.

Thus, the answer to why the classifier constructions of Ancient Greek have not survived can be only tentative. It should be borne in mind, however, that the available typological evidence on noun classifiers suggests that it is "their very nature as intermediate systems between lexicon and grammar" (Grinevald 2002: 265) that makes them "fluid" elements in the

²¹ This is the case of the impersonal/indefinite uses of *uomo* 'man' in early Italo-Romance, which originated from contact with French and never became an indigenous trait in Italo-Romance. These uses started to decline coinciding with the decline of French influence on Italian vernaculars (Giacalone Ramat & Sansò 2007b).

grammar of a language, characterized by great variation and particularly likely to undergo decay and loss.

Abbreviations

1 = 1st person; 2 = 2nd person; 3 = 3rd person; ABS = absolutive; ACC = accusative; AOR = aorist; ART = (definite) article; CL = classifier; CL.GEN = general classifier; CL.LONG = classifier for elongated objects; COMP = complementizer; DAT = dative; DU = dual; EMPH = emphatic particle; ERG = ergative; F = feminine; FUT = future; GEN = genitive; HIST = historic; IMP = imperative; INDEF_ADJ = indefinite adjective; INDEF_PRO = indefinite pronoun; IMPF = imperfect; INF = infinitive; MOD = modifier; N = neuter; NEG = negation; NOM = nominative; NUM = numeral; OPT = optative; PASS = passive; PF = perfect; PL = plural; POSS = possessive; PRO = pronoun; PROG = progressive; PRS = present; PST = past; PTCL = particle; PTCP = participle; REDUP = reduplicated; REL = relative pronoun; SBJV = subjunctive; SG = singular; VOC = vocative.

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