



# **University of Groningen**

Associations of hospital volume and hospital competition with short-term, middle-term and long-term patient outcomes after breast cancer surgery

van der Schors, Wouter; Kemp, Ron; van Hoeve, Jolanda; Tjan-Heijnen, Vivianne; Maduro, John; Peeters, Marie-Jeanne Vrancken; Siesling, Sabine; Varkevisser, Marco

Published in: BMJ Open

DOI:

10.1136/bmjopen-2021-057301

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version
Publisher's PDF, also known as Version of record

Publication date: 2022

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA):

van der Schors, W., Kemp, R., van Hoeve, J., Tjan-Heijnen, V., Maduro, J., Peeters, M-J. V., Siesling, S., & Varkevisser, M. (2022). Associations of hospital volume and hospital competition with short-term, middle-term and long-term patient outcomes after breast cancer surgery: a retrospective population-based study. *BMJ Open*, *12*(4), [057301]. https://doi.org/10.1136/bmjopen-2021-057301

Copyright

Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

The publication may also be distributed here under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license. More information can be found on the University of Groningen website: https://www.rug.nl/library/open-access/self-archiving-pure/taverne-amendment.

Take-down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): http://www.rug.nl/research/portal. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.

# BMJ Open Associations of hospital volume and hospital competition with short-term, middle-term and long-term patient outcomes after breast cancer surgery: a retrospective population-based study

Wouter van der Schors , <sup>1</sup> Ron Kemp, <sup>1,2</sup> Jolanda van Hoeve, <sup>3,4</sup> Vivianne Tjan-Heijnen, <sup>5</sup> John Maduro, <sup>6</sup> Marie-Jeanne Vrancken Peeters, <sup>7,8</sup> Sabine Siesling, <sup>3,9</sup> Marco Varkevisser <sup>1</sup>

To cite: van der Schors W, Kemp R, van Hoeve J, et al. Associations of hospital volume and hospital competition with short-term, middle-term and long-term patient outcomes after breast cancer surgery: a retrospective populationbased study. BMJ Open 2022;12:e057301. doi:10.1136/ bmjopen-2021-057301

Prepublication history and additional supplemental material for this paper are available online. To view these files. please visit the journal online (http://dx.doi.org/10.1136/ bmjopen-2021-057301).

Received 13 September 2021 Accepted 21 March 2022



@ Author(s) (or their employer(s)) 2022. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by

For numbered affiliations see end of article.

#### **Correspondence to**

Wouter van der Schors; vanderschors@eshpm.eur.nl

#### **ABSTRACT**

Objectives For oncological care, there is a clear tendency towards centralisation and collaboration aimed at improving patient outcomes. However, in marketbased healthcare systems, this trend is related to the potential trade-off between hospital volume and hospital competition. We analyse the association between hospital volume, competition from neighbouring hospitals and outcomes for patients who underwent surgery for invasive breast cancer (IBC).

Outcome measures Surgical margins, 90 days reexcision, overall survival.

Design, setting, participants In this population-based study, we use data from the Netherlands Cancer Registry. Our study sample consists of 136 958 patients who underwent surgery for IBC between 2004 and 2014 in the Netherlands.

Results Our findings show that treatment types as well as patient and tumour characteristics explain most of the variation in all outcomes. After adjusting for confounding variables and intrahospital correlation in multivariate logistic regressions, hospital volume and competition from neighbouring hospitals did not show significant associations with surgical margins and re-excision rates. For patients who underwent surgery in hospitals annually performing 250 surgeries or more, multilevel Cox proportional hazard models show that survival was somewhat higher (HR 0.94). Survival in hospitals with four or more (potential) competitors within 30 km was slightly higher (HR 0.97). However, this effect did not hold after changing this proxy for hospital competition. Conclusions Based on the selection of patient outcomes, hospital volume and regional competition appear to play only a limited role in the explanation of variation in IBC outcomes across Dutch hospitals. Further research into hospital variation for high-volume tumours like the one studied here is recommended to (i) use consistently measured quality indicators that better reflect multidisciplinary clinical practice and patient and provider decision-making, (ii) include more sophisticated measures for hospital competition and (iii) assess the entire process of care within the hospital, as well as care provided by other providers in cancer networks.

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ The population-based sampling, nationwide inclusion of all hospitals and patients and long follow-up resulted in a large retrospective dataset.
- ⇒ The use of short-term, mid-term and long-term patient outcomes can be regarded as a major strength of this study.
- ⇒ We use multilevel models to correct for unobserved differences across hospitals and years.
- ⇒ Due to the retrospective character of this study, there is some inconsistency with contemporary practice, such as the current collaboration and division of tasks in networks, as well as developments of quality indicators.
- ⇒ Retrospective data on re-excision rates, surgical margins and the influence of comorbidity was not fully available for the entire study cohort or period.

### **BACKGROUND**

In the past decades, oncological healthcare provision for breast cancer, currently being the most common form of cancer within women, has undergone major changes and advances. 12 As the complexity and multidisciplinary character of oncological care continually increases, the organisation of high-quality care provision in health systems is an evergrowing challenge. The introduction of clinical (transmural) pathways, national audits like the NABON breast cancer audit (NBCA), centralisation of low-volume oncological surgeries, the establishment of hospital networks and the introduction of competition can be regarded as policy measures aimed at maintaining and improving the quality of care in order to obtain the best outcomes for patients.3-6 Yet, the optimum design and organisation of oncological care is still debated and subject to research for



frequently occurring cancer types, such as breast cancer, which are often not centralised.<sup>7 8</sup> Among others, two factors—one on the hospital level and one on the health system level—are central to this debate: hospital volume and hospital competition.

#### **Hospital volume**

On the hospital level, the volume-outcome relationship for surgical procedures has been subject for research since the late 1970s. Literature has demonstrated the presence of the volume-outcome relationship for many interventions, especially those of high complexity. 9-12 This has resulted in increased centralisation for procedures. More recently, interest is shifting over to procedures for frequently occurring tumours, such as breast cancer surgery. Literature mainly reveals a positive relationship between surgical volume and patient outcomes, predominantly when using survival as an outcome measure. 13-17 Generally, the existence of the volume-outcome relationship can be attributed to a combination of different explanations such as learning by doing, work in multidisciplinary teams, enhanced recovery plans and technical and IT support. 6 14 Total hospital volume is commonly used as indicator in this literature since it best reflects the multidisciplinary and comprehensive nature of contemporary provision of breast cancer, compared with individual surgeon volume. 16 Since 2012, in the Netherlands the minimum volume threshold for hospital-level breast cancer surgical volume is set at 50. <sup>18</sup> The European quality assurance scheme, published in 2020, uses a higher number, namely 50 per surgeon and 150 per hospital. 19 20

# **Hospital competition**

On the health system level, recent literature focuses on the effect of competition between proximate hospitals. In countries with market-based hospital systems, including the Netherlands, competition between hospitals has been introduced as a tool to improve efficiency and quality. <sup>21–23</sup> That is, it is expected that the presence of competitors might incentivise hospitals to increase quality relative to other hospitals in order to attract more patients (either directly by exercising hospital choice or indirectly through referrals by general practitioners and/or selectively purchasing of care by health insurers) (box 1).

#### Box 1 Hospital competition and quality

The relationship between hospital competition and quality depends on the structural characteristics of the health system and the public availability of quality information. If competition on both price and quality is possible, as is the case for breast cancer surgery in the Netherlands since prices are freely negotiable, it follows from economic theory that hospitals place most emphasis on either price or quality, dependent of the responsiveness of demand by patients or healthcare purchasers and the availability, transparency and comparability of quality or price information. <sup>52</sup> An extensive overview of the literature for both the volume-outcome relationship and the effects of hospital competition on quality can be found in the online supplemental file 1.

However, in contrast to hospital systems with regulated prices, the effect of competition on quality in hospital markets with freely negotiable prices is less investigated, especially in cancer care. 24-26 Studies considering the relationship found evidence that increased hospital competition was associated with improved quality outcomes for a limited number of interventions, such as coronary artery bypass grafting in the acute setting. 27-29 As the current tendency towards centralisation of procedures aimed at increasing volume will further reduce the number of hospitals offering this care, it is important to acknowledge that surgical consolidation potentially lowers incentives for quality competition among hospitals. 30

#### Study aim

An adequate analysis of hospital variation in patient outcomes should thus address the joint impact of both surgical volume on the hospital level and hospital competition on the health system level. In our study, this interaction is analysed for three different patient outcomes: surgical margins, re-excision rates and overall survival. Our study focuses on surgery for IBC in the Netherlands. The reason for this focus is threefold. First, IBC surgery in the Netherlands has not undergone the same degree of centralisation compared with low-volume tumours. Hospital variation in relation to volume and competition is therefore still present. Second, in contrast to low-volume tumours, literature demonstrates contradicting and country-specific volume effects with regard to IBC surgical procedures. 15 Moreover, in previously performed studies on the volume-outcome relationship, correction for unobserved differences across hospitals has not been performed.<sup>31</sup> Therefore, we here use a multilevel approach. Third, over the past years, quality indicators in national and international breast cancer guidelines have been repeatedly subject to change 19 32 33 We aim to contribute to knowledge on the use of patient outcomes in both clinical and policy decision-making. The outcome parameters surgical margins and re-excision rate are known to be associated with psychological stress, increased disease burden and potentially worse cosmetic outcomes.<sup>34 35</sup> Survival was included in our study as it has the benefit of the long follow-up assessment of potential hospital variation and suitability for international comparison in clinical and applied research.

# METHODS Data source

The Netherlands Cancer Registry (NCR), hosted by the Netherlands Comprehensive Cancer Organisation (IKNL), is used as our primary data source. This population-based registry covers the Dutch population and all Dutch hospitals. It is based on a notification of all newly diagnosed malignancies by the national automated pathological archive (PALGA). Additional



notification sources are the national registry of hospital discharges and radiotherapy institutions. Specially trained data managers of the NCR routinely extract information on patient characteristics, diagnosis, tumour characteristics and treatment directly from the medical records. Comorbidity was available only for hospitals in the southern part of the Netherlands. Each patient's vital status was retrieved from the Dutch Municipality Register (GBA). Follow-up was completed until February 2020. Our dataset was combined with information on the location of all Dutch hospitals and outpatient facilities defined by zip codes enabling us to calculate travel time and competition measures. This data were retrieved from the National Institute for Public Health and the Environment (RIVM).

#### **Patient selection criteria**

In our study, 136958 patients with breast cancer were included who underwent a first surgery (breast conserving or mastectomy) for a primary invasive breast cancer tumour in the Netherlands between 1 January 2004 and 31 December 2014 in any Dutch hospital. Patients with Ductal carcinoma in situ or metastasis at diagnosis were excluded. The same applies if the name of the hospital where the surgery was performed was missing (n=37, <1%). For patients with multiple surgeries on the same day (n=1337, <1%), only the first surgery was included.

#### Patient and public involvement

Patient perspectives are important for the Netherlands Comprehensive Cancer Organisation to reduce the impact of cancer and are therefore involved in the evaluation for the application for the use of data by means of a Patient Advisory Board. We used data on an aggregated level. Patients were thus not directly involved in the data collection phase, nor in defining the research question or the outcome measures, nor were patients asked to advise on interpretation or writing up of results. However, the patient's perspective was incorporated in the definition of these quality indicators by scientific associations, such as the NBCA. The results of this study will be broadly disseminated through patient organisations, patient communities and scientific associations, both digitally and in-person.

#### Measures

#### Patient outcomes

Survival was calculated as follow-up from the date of diagnosis to date of the event. As the actionability of volume-outcome research is limited in daily clinical practice due to the complexity of the relationship with survival and uncontrolled confounding factors, surgical margins and 90 days re-excision were therefore also included as short-term and middle-term outcomes. Based on the definition of the Dutch

Healthcare Inspectorate, surgical margins were defined as margins free when the pathologist found no cancer cells at the edge of the tissue and focally positive when cancer cells are found (available for 2011-2014, only calculated for patients who underwent a lumpectomy). For re-excision, it was assessed whether a patient underwent a second surgery within 90 days after the first surgery irrespectively of the reason (available for 2009-2014).

#### Hospital volume

Hospital volume has been defined as the total number of annual IBC surgeries following the guidelines of the European Society of Breast Cancer Specialists (EUSOMA), operationalised as the rolling average over 3 years. Hence, as expressed in the following formula it refers to the annual mean based on the year of surgery  $(T_0)$  and the two preceding years  $(T_{-1})$ and  $T_{-9}$ :  $\frac{Volume_{T0} + Volume_{T-1} + Volume_{T-2}}{3}$ . For 2004, only the year T<sub>0</sub> was used. For 2005, the average was taken over the years T<sub>0</sub> and T<sub>-1</sub>. Hospitals that merged in the study period were included separately up to the year of merging. Postmerger, the volumes of the merged hospitals' locations were aggregated. Following this approach, 15 hospital mergers were processed. Based on the latest population-based study, hospital surgical volume was categorised in six groups (ie, <75 surgeries, 75-99 surgeries, 100-149 surgeries, 50-199 surgeries, 200–249 surgeries and 250 or more surgeries). <sup>17</sup> The 200-250 category was added to account for the overall increase in hospital volumes in the Netherlands during our study period.

# Hospital competition

Hospital competition has been operationalised through the number of proximate hospitals within a fixed radius. This so-called fascia count is a simple but commonly used proxy for the level of hospital competition. <sup>29 36</sup> We assessed the number of hospitals within a fixed isodistance. This is a more accurate measure compared with a simple circular radius since it takes into account differences in road networks (and thus differences in travel time). Isodistance was calculated based on a dataset containing travel times between all combinations of Dutch zip codes. From previous studies, it followed that patients' average willingness to travel for hospital care in the Netherlands equals about 20min by car which can be translated into 30km. 37 38 Therefore, in this study, regional hospital markets were operationalised by the 30km isodistance. Both 20km and 40km isodistance were included as sensitivity checks. For hospitals with multiple locations, we identified the number of unique competitors on the organisational level instead of the location level. Because financial operation and contract negotiations with health insurers take place on the organisational level, hospital locations that are part of the same organisation are not expected to compete with each other. Hospital locations that are part of the same (merged) organisation are thus not counted as unique hospitals. Hence, mergers did not necessarily influence the travel

	Baseline		5-year o	5-year overall survival			10-year overall survival		
			_	(n=136958)		(n=5251			
	N	%	%	95% CI L	95% CI U	%	95% CI L	95% CI U	
Sex*†									
Female	136099	99.4	87.7	87.5%	87.9%	74.5	74.2%	74.8%	
Male	859	0.6	76.7	73.7%	79.4%	55.1	51.3%	58.8%	
Age at diagnosis (year	s)*†								
15–29	712	0.5	89.9	87.5%	91.9%	83.8	80.6%	86.5%	
30–44	15500	11.3	91.5	91.1%	92.0%	84.7	84.1%	85.3%	
45–59	51292	37.5	92.9	92.7%	93.1%	85.3	85.0%	85.6%	
60–74	50989	37.2	89.4	89.2%	89.7%	75.3	74.8%	75.7%	
75+	18 465	13.5	64.6	63.9%	65.3%	32.2	31.4%	32.9%	
Socioeconomic status*†									
Low	39 084	28.5	86.1	85.8%	86.4%	71.2	70.7%	71.7%	
Middle	54533	39.8	87.6	87.3%	87.8%	74.5	74.1%	74.9%	
High	43341	31.7	89.1	88.8%	89.4%	77.1	76.6%	77.5%	
Year of surgery*†									
2004	10409	7.6	84.3	83.6%	85.0%	69.7	68.8%	70.5%	
2005	11340	8.3	85.9	85.3%	86.5%	71.7	70.9%	72.5%	
2006	11676	8.5	86.3	85.7%	86.9%	73.0	72.2%	73.8%	
2007	12235	8.9	86.7	86.1%	87.3%	73.4	72.6%	74.2%	
2008	12200	8.9	86.9	86.3%	87.5%	73.9	73.1%	74.7%	
2009	12712	9.3	87.9	87.3%	88.4%	74.5	73.8%	75.3%	
2010	12585	9.2	88.2	87.6%	88.7%	75.8	75.0%	76.5%	
2011	13175	9.6	89.0	88.4%	89.5%				
2012	13355	9.8	89.1	88.6%	89.6%				
2013	13576	9.9	89.1	88.6%	89.6%				
2014	13568	9.9	89.5	88.9%	90.0%				
Morphology*†									
Invasive ductal	103537	75.6	87.8	87.6%	88.0%	75.0	74.7%	75.3%	
Invasive lobular	15018	11.0	87.2	86.7%	87.7%	70.8	70.0%	71.6%	
Other	18403	13.4	87.3	86.8%	87.8%	73.8	73.1%	74.5%	
Surgical procedure*†									
Lumpectomy	81714	59.7	92.3	92.1%	92.5%	81.8	81.5%	82.1%	
Mastectomy	55 033	40.2	80.7	80.3%	81.0%	63.5	63.0%	63.9%	
Other	211	0.2	89.5	84.5%	93.0%	80.5	74.2%	85.4%	
TNM stage*†									
1	65333	47.7	93.0	92.8%	93.2%	81.9	81.6%	82.2%	
2	53495	39.1	86.4	86.1%	86.7%	72.3	71.9%	72.7%	
3	17899	13.1	72.0	71.3%	72.6%	53.8	53.0%	54.6%	
Unknown	230	0.2	87.4	82.4%	91.1%	75.7	69.1%	81.1%	
Grade*†									
I	29971	21.9	92.6	92.3%	92.9%	80.8	80.3%	81.3%	
II	56832	41.6	89.4	89.2%	89.7%	75.2	74.8%	75.6%	
III or undifferentiated	37811	27.6	81.8	81.4%	82.1%	68.9	68.4%	69.4%	
Unknown	12344	9.0	85.1	84.5%	85.8%	71.9	71.0%	72.8%	

Continued



Table 1 Continued								
	Baseline		5-year o	verall survival 58)		10-year overall survival (n=52513)		
	N	%	%	95% CI L	95% CI U	%	95% CI L	95% CI U
ER/PR*†		,						
-/-	21 428	16.6	77.6	77.0%	78.1%	67.6	66.9%	68.2%
-/+	1026	0.8	82.7	80.2%	84.9%	72.8	69.9%	75.5%
+/-	20993	16.2	86.0	85.5%	86.5%	70.3	69.6%	71.0%
+/+	85958	66.4	90.7	90.5%	90.9%	77.3	77.0%	77.6%
Radiotherapy*†								
No	45 997	33.6	82.6	82.3%	83.0%	66.3	65.8%	66.7%
Yes	90961	66.4	90.2	90.0%	90.4%	78.6	78.3%	78.9%
Chemotherapy †								
No	90193	65.9	85.7	85.5%	86.0%	70.1	69.8%	70.4%
Yes	46765	34.2	91.3	91.0%	91.6%	82.5	82.2%	82.9%
Hormone therapy*†								
No	66198	48.3	86.6	86.4%	86.9%	75.1	74.8%	75.5%
Yes	70760	51.7	88.6	88.3%	88.8%	73.6	73.2%	74.0%
Neoadjuvant chemoth	erapy*†							
No	127141	92.8	88.0	87.9%	88.2%	74.7	74.4&	74.9%
Yes	9817	7.17	82.5	81.7%	83.2%	70.8	69.7%	71.8%
Hospital type*†								
General	68217	49.7	87.4	87.2%	87.7%	74.2	73.8%	74.6%
Tertiary/University	68 992	50.3	87.8	87.6%	88.1%	74.6	74.2%	74.9%
Annual hospital volum	e*† (rolling tl	hree-year ave	rage)					
<75	10099	7.4	85.8	85.1%	86.4%	72.0	71.1%	73.0%
75–99	17309	12.7	86.9	86.4%	87.4%	73.2	72.5%	73.9%
100–149	37997	27.8	87.2	86.9%	87.6%	74.0	73.6%	74.5%
150–199	27329	20.0	87.9	87.5%	88.3%	74.5	73.9%	75.0%
200–249	25775	18.8	88.1	87.7%	88.4%	74.8	74.2%	75.4%
250 or more	18322	13.4	89.2	88.7%	89.6%	77.5	76.7%	78.2%
Number of hospitals in	the proximi	ty of hospital	<i>i</i> within 30 kr	n radius				
3 or less (no or weak competition)	68197	50.0	87.4	87.2%	87.7%	74.1	73.7%	74.4%
4 or more (strong competition)	68306	50.0	87.9	87.6%	88.1%	74.7	74.4%	75.1%

<sup>\*</sup>P<0.05, uncorrected significant differences in 5-year survival rates based on Cls.

time for patients on the organisational level, since hospital locations were most often not closed postmerger.

#### Control variables

Control variables were categorised into four groups: (i) patient characteristics, (ii) tumour characteristics, (iii) treatment characteristics and (iv) hospital characteristics. In all multivariate analyses, we corrected for age at diagnosis, socioeconomic status, tumour morphology (invasive ductal, invasive lobular, other), tumour, node, metastases (TNM) stage (sixth edition), tumour grade, surgical procedure

(mastectomy/lumpectomy), hospital type (general hospital and tertiary/university hospital) and year of surgery. Patients' socioeconomic status was based on the scores for their postal codes at time of diagnosis and grouped using guidelines from Statistics Netherlands. Survival analyses were also corrected for the use of neoadjuvant chemotherapy, hormone receptor status based on oestrogen (ER) and progesterone (PR) receptors. Additionally, for 25% of the patient population comorbidity status was available and was included as a control variable.

<sup>†</sup>P<0.05, uncorrected significant differences in 10-year survival rates based on Cls.

ER, oestrogen; L, Lower bound; PR, progesterone; TNM, tumour, node, metastases; U, Upper bound.

	2004		2009		2014	
	N	%	N	%	N	%
Annual hospital volume (absolute)						
<75	26	27.1	16	17.6	9	11.0
75–99	25	26.0	15	16.5	7	8.5
100–149	29	30.2	29	31.9	28	34.2
150–199	8	8.3	12	13.2	16	19.5
200–249	7	7.3	12	13.2	8	9.8
250 or more	1	1.0	7	7.7	14	17.1
Number of hospitals in the proximity of	hospital <i>i</i> within	30 km radius				
3 or less	48	50.0	46	51.1	42	51.2
4 or more	48	50.0	44	48.9	40	48.8
Hospital type						
General	61	63.5	55	60.4	48	58.5
Tertiary/University	35	36.5	36	39.6	34	41.5
	Median	IQR	Median	IQR	Median	IQR
Annual hospital volume (absolute)	118	84–173	170	117–230	191	234–272
Number of hospitals in the proximity of hospital <i>i</i> within 30 km radius	4	2–10	3	2–11	3	2–8

#### Statistical analyses

Baseline statistics on the individual patient level included proportions, SD and uncorrected 5-years and 10-year survival rates with 95% CIs to test uncorrected significant differences. Baseline statistics on the hospital level included the distribution of hospitals across volume groups, the number of proximate hospitals and hospital type. We performed multivariate logistic regressions using 90 days re-excision and surgical margins as outcome variables. Surgical margins were only calculated for patients who underwent a lumpectomy. SEs were clustered on the hospital organisational level to account for intrahospital correlation (ie, patients treated in the same centre). Multilevel Cox survival regression models with hospital and year of surgery random effects were used to examine the association between hospital volume, the number of proximate hospitals and covariates with patient survival. These analyses were executed for the entire cohort and, as an additional analysis for the subcohort with available comorbidity status. The reference category for annual hospital volume was set at 100-149 surgeries, as this category included most hospitals and the highest number of treated patients. Sensitivity checks were conducted to test the robustness of our findings. These checks included among others (i) the alternative categorisations of the number of proximate hospitals and alternative fixed isodistances for calculating the number of proximate hospitals (20 and 40 km), (ii) the use of continuous variables for hospital volume and number of proximate hospitals instead of categorised variables and (iii) the use of continuous variables for hospital volume and number of proximate hospitals, scaled by the IQR (75th percentile minus 25th percentile). Potential violation of the

proportional hazard assumption was tested by the inclusion of time-varying covariates and graphing of Schoenfeld residuals. No clear violations were found. All analyses were performed in STATA V.16.1.

#### **RESULTS**

#### **Patient characteristics**

Patient-level descriptive statistics are presented in table 1. Median age at diagnosis was 60 years. Of all patients, 48% had stage 1 breast cancer and 39% had stage 2 breast cancer, 60% underwent a lumpectomy and 40% a mastectomy. Of all patients with breast cancer, 76% were diagnosed with an invasive ductal type. Furthermore, 18% of patients received surgery in hospitals annually performing 100 or less surgeries and 28% of the patients received surgery in hospitals with on average 100–149 surgeries per year. The proportion of patients who underwent surgery in a hospital with zero to three proximate hospitals (indicating weak competition) was equal to the proportion of patients who had surgery in a hospital with four or more proximate hospitals (indicating stronger competition).

# Uncorrected survival

Higher rates of uncorrected 5-year and 10-year survival were reported with the increase of annual hospital volume. No clear differences were observed for the hospital proximity measure. When assessing control variables, the largest significant differences in overall survival were found for age and TNM stage. Briefly, uncorrected for other factors female patients, younger patients and patients who underwent a lumpectomy showed a



**Table 3** Multivariate logistic regression with surgical margins status (2011–2014, n=31 593) and 90 days re-excision (2009–2014, n=77 965) as dependent variables

	Surgical marg (0=margins fre	gins ee, 1=focally positive)	90 days re-excision rate (0=no re-excision, 1=re-excision)		
	OR	95% CI	OR	95% CI	
Annual hospital volume (rolling thr	ee-year average)				
<75	0.815	0.607 to 1.094	1.060	0.818 to 1.374	
75–99	0.874	0.740 to 1.033	1.026	0.870 to 1.211	
100–149	1		1		
150–199	0.847	0.656 to 1.094	0.864	0.688 to 1.086	
200–249	0.869	0.674 to 1.119	0.857	0.708 to 1.038	
250 or more	0.847	0.626 to 1.146	0.807	0.601 to 1.083	
Number of other hospitals in the proximity of hospital <i>i</i> in 30 km rad	ius				
0–3	1		1		
4 or more	0.945	0.806 to 1.110	0.875	0.755 to 1.013	
Age at diagnosis (years)					
15–29	1		1		
30–44	1.943	0.923 to 4.089	0.927	0.545 to 1.579	
45–59	1.920	0.886 to 4.161	0.764	0.453 to 1.286	
60–74	1.768	0.804 to 3.891	0.605	0.361 to 1.013	
75+	1.907	0.868 to 4.192	0.547*	0.319 to 0.937	
Socioeconomic status					
Low	1		1		
Middle	0.947	0.838 to 1.069	0.992	0.901 to 1.091	
High	0.989	0.858 to 1.141	1.081	0.965 to 1.211	
Surgical procedure					
Lumpectomy	N/A		1		
Mastectomy	N/A		0.033**	0.0236 to 0.0447	
Morphology					
Ductal	1		1		
Lobular	2.941**	2.637 to 3.281	2.161**	1.959 to 2.383	
Other	1.405**	1.248 to 1.582	1.579**	1.452 to 1.717	
TNM stage					
1	1		1		
2	2.216**	2.019 to 2.433	1.552**	1.441 to 1.672	
3	5.016**	4.245 to 5.927	2.837**	2.454 to 3.279	
Tumour grade					
I	1		1		
II	1.173**	1.061 to 1.297	1.318**	1.205 to 1.442	
III or undifferentiated	0.928	0.838 to 1.027	1.159*	1.030 to 1.303	
Unknown	1.108	0.923 to 1.331	1.361**	1.128 to 1.642	
Hospital type					
General	1		1		
Tertiary/University	1.131	0.919 to 1.392	1.163	0.985 to 1.374	

Also corrected for year of surgery.

Adjusted explained variance: surgical margins: 6%, 90 days re-excision: 14%.

Surgical margins were only calculated for patients who underwent a lumpectomy. Therefore, the variable 'surgical procedure' has been excluded from this analysis.

\*P<0.05, \*\*p<0.01.

TNM, tumour, node, metastases.

**Table 4** Multilevel Cox survival regression model with hospital and year of surgery random effects (hospital volume and hospital competition as categorised variables and continuous scaled variables for IQR (95% CIs; n=127886)

	variables for hospital volume and		Model B: survival model with continuous IQR scaled variables for hospital volume and competition		
	HR	95% CI	HR	95% CI	
Annual hospital volume (rolling three-	year average)				
<75	1.016	0.970 to 1.064			
75–99	0.991	0.953 to 1.032			
100–149	1				
150–199	0.958*	0.922 to 0.994			
200–249	0.976	0.938 to 1.016			
250 or more	0.941*	0.897 to 0.987			
Continuous (scaled for IQR)	-		0.968**	0.948 to 0.989	
Number of other hospitals in the prox	timity of hospital <i>i</i> in 3	0 km radius			
0–3	1				
4 or more	0.973*	0.949 to 0.999			
Continuous (scaled for IQR)			0.972*	0.950 to 0.994	
Age at diagnosis (years)					
15–29	1		1		
30–44	1.105	0.907 to 1.349	1.104	0.905 to 1.347	
45–59	1.300*	1.065 to 1.577	1.229	1.063 to 1.574	
60–74	2.731**	2.245 to 3.321	2.725**	2.241 to 3.315	
75+	8.858**	7.282 to 10.774	8.838**	7.266 to 10.750	
Socioeconomic status					
Low	1		1		
Middle	0.924	0.901 to 0.949	0.924**	0.900 to 0.948	
High	0.869	0.845 to 0.895	0.869**	0.844 to 0.894	
Surgical procedure					
Lumpectomy	1		1		
Mastectomy	1.411**	1.377 to 1.445	1.411**	1.377 to 1.445	
Morphology					
Ductal	1		1		
Lobular	1.000	0.967 to 1.035	1.000	0.9665 to 1.035	
Other	0.942**	0.913 to 0.973	0.943**	0.913 to 0.974	
TNM stage					
1	1				
2	1.277**	1.243 to 1.311	1.276**	1.243 to 1.310	
3	2.400**	2.322 to 2.480	2.398**	2.321 to 2.479	
Tumour grade					
I	1				
II	1.092**	1.058 to 1.128	1.093**	1.060 to 1.129	
III or undifferentiated	1.332**	1.285 to 1.381	1.334**	1.287 to 1.383	
Unknown	1.118**	1.062 to 1.177	1.118**	1.063 to 1.177	
Hospital type					
General	1		1		
Tertiary/University	1.044**	1.014 to 1.074	1.046**	1.018 to 1.076	
Neoadjuvant chemotherapy					
No	1		1		
Yes	1.319**	1.253 to 1.389	1.321**	1.255 to 1.391	

Continued

		Model A: survival model with categorised variables for hospital volume and competition		Model B: survival model with continuous IQR scaled variables for hospital volume ar competition		
	HR	95% CI	HR	95% CI		
ER/PR receptor status						
-/-	1		1			
-/+	0.859**	0.765 to 0.965	0.858**	0.765 to 0.964		
+/-	0.832**	0.802 to 0.862	0.832**	0.802 to 0.862		
+/+	0.702**	0.680 to 0.723	0.702**	0.680 to 0.723		
Also corrected for year of surg *P<0.05, **p<0.01. ER, oestrogen; PR, progestero	nery. one; TNM, tumour, node, metastases	i.				

significantly improved 5-year and 10-year overall survival rates.

#### **Hospital characteristics**

In table 2, the hospital-level characteristics are described for the years 2004, 2009 and 2014. This comparison over time reveals that the number of Dutch hospitals performing surgery for IBC decreased from 96 in 2004 to 82 in 2014, mainly due to mergers. This decrease in number of hospitals predominantly occurred among the general hospitals, whereas the number of tertiary/ university hospitals remained stable over the study period. The proportion of hospitals that performed 250 or more surgical procedures annually increased, whereas the proportion of hospitals performing <100 sharply decreased. An overall volume growth can also be derived from the median annual hospital, which increased from 118 in 2004 to 191 in 2014. At the start of the study period, the number of hospitals having three or less hospitals within a 30 km radius was equal to the number of hospitals having four or more proximate hospitals.

## **Multivariate analyses**

For the multivariate analysis, male patients (n=859, <1%), patients with an unregistered surgery type (n=200, <1%) and patients who had an unknown TNM stage (n=230, <1%) were excluded. As a result, the final 11-year study cohort comprised 135179 patients. Multivariate logistic regression results shown in table 3 indicate that surgical margins for patients who underwent a lumpectomy were mainly influenced by tumour-specific variables (left columns). Positive margins were significantly more often reported for invasive lobular carcinoma and for TNM stage 2 and 3. Differences in hospital volume or the number of proximate hospitals were not associated with significantly higher or lower probabilities for positive margins.

Hospital volume was associated with reduced re-excision rates within 90 days after surgery (table 3, right column). Patients who underwent surgery in a hospital performing 200–249 surgeries (OR 0.86) or 250 or more (OR 0.81)

had lower re-excision rates compared with patients who underwent surgery in a hospital with 100–149 surgeries. Patients treated in hospitals performing 100 or less surgical procedures reported higher re-excision rates. These associations were not significant after clustering for intrahospital correlation. Patients who underwent a mastectomy during primary surgery seldom had a re-excision (OR 0.03). A significantly higher probability of re-excision was found for patients with a high socioeconomic status, patients who were diagnosed with an invasive lobular tumour and patients with TNM stage 2. Finally, a substantial and significant lower rate of re-excision was reported for patients with the age of 75 years and older.

The median follow-up for the cohort was 8.7 years. When studying the entire population in a multilevel model, survival was significantly higher when patients had surgery in a hospital with a three-year rolling average of 250 or more surgical procedures, compared with 100-149 annual surgeries (HR 0.94) (table 4). For patients who had surgery in a hospital with four or more proximate hospitals, that is, in a hospital facing stronger competition, survival was slightly higher compared with patients who had surgery in a hospital with none to three proximate hospitals (HR 0.97). After adjusting for comorbidity status as a sensitivity check, the relationship between hospital volume and survival weakened and did not remain significant for the largest volume group. However, the distribution of low-volume and high-volume hospitals substantially differed between the entire population and this substantially smaller, and regionally biased, subsample for which comorbidity status was available. When treating hospital volume and hospital competition as a continuous variable scaled for IQR, the direction of the relationship did not alter and remained significant (table 4, model B). Moreover, effect sizes and significance of the controls did not alter compared with model A.

Larger differences in survival became visible when inspecting patient, tumour and treatment-related variables. Higher age, a lower socioeconomic status, a diagnosis of an invasive ductal tumour, higher TNM stage or higher

Table 5 Sensitivity checks for surgical margins, 90 days re-excision and overall survival

	Surgical margins† (0=marginsfree, 1=focally positive)		90 days re-excision‡ (0=no re-excision, 1=re- excision)		Overall survival§	
	OR	P value	OR	P value	HR	P value
Hospital volume (rolling average as continuous	0.99	0.60	0.99	0.09	0.99	0.00**
variable) Number of other hospitals in the proximity of hospital in 30 km radius (continuous variable)	0.99	0.72	0.98	0.03*	0.99	0.01*
Hospital volume (absolute volume as continuous variable) Number of other hospitals in the proximity of hospita in 30km radius (continuous variable)	0.99	0.61	0.99	0.07	0.99	0.00**
	0.99	0.72	0.98	0.03*	0.99	0.01*
Hospital volume (rolling average as continuous variable, scaled by IQR) Number of other hospitals in the proximity of hospital in 30 km radius (continuous variable, scaled by IQR)	0.96	0.57	0.89	0.07	0.97	0.00**
	0.98	0.71	0.87	0.03*	0.97	0.01*
Hospital volume (absolute volume as continuous	0.96	0.62	0.89	0.07	0.97	0.00**
variable, scaled by IQR) Number of other hospitals in the proximity of hospital <i>i</i> n 30 km radius (continuous variable, scaled by IQR)	0.98	0.72	0.87	0.03*	0.97	0.01*
Hospital volume (rolling average as continuous	0.95	0.55	0.89	0.08	0.97	0.00**
ariable, scaled by IQR) umber of other hospitals in the proximity of hospital <i>i</i> 20 km radius (continuous variable, scaled by IQR)	0.95	0.45	0.88	0.08	0.97	0.00**
Hospital volume (rolling average as continuous	0.96	0.58	0.89	0.07	0.97	0.00**
variable, scaled by IQR) Number of other hospitals in the proximity of hospital in 40 km radius (continuous variable, scaled by IQR)	0.99	0.98	0.87	0.05	0.97	0.02*

<sup>\*</sup>P<0.05; \*\*p<0.01.

tumour grade were all associated with reduced survival. From the sensitivity check where comorbidities were taken into account, it followed that having one or more comorbidities was independently associated with significantly reduced survival (HR 1.54, data not shown in table).

Several sensitivity checks were performed to assess the robustness of our findings for hospital volume and hospital competition (table 5). For this purpose, we used continuous variables for hospital volume and hospital competition instead of categorised variables. Based on these sensitivity analyses, it can be concluded that the direction and significance of therelationships presented in tables 3 and 4 did not change. Furthermore, the checks confirmed the small volume effect for both the scaled and uncorrected variables for three-year rolling average and absolute hospital volume. For the significant coefficients, effect sizes were somewhat larger for scaled variables, but overall very small. In the analyses with surgical margins and 90 days re-excision rates as patient outcomes, the use of continuous variables for hospital volume did not yield to significant findings, in line with table 3.

Lastly, when using alternative categories for measuring regional hospital competition, we found a significant association with survival for two or less versus three or more hospitals, while zero versus one or more hospitals was not significant. Changing the 30 km radius to a 20 km radius resulted in a small but significant improved survival effect for hospitals with four or more competitors, while an effect was absent when using 40 km radius.

#### **DISCUSSION**

The optimal design of oncological care provision in hospital markets requires taking into account both hospital-level and system-level factors. Our study aims to examine the relation between hospital surgical volume on the hospital level and the intensity of regional hospital competition on the system level. Both in relation to three different types of patient outcomes (surgical margins, re-excision and survival). After adjusting for confounders and intrahospital correlation, hospital volume and competition from neighbouring hospitals did not explain differences in surgical margins and re-excision rates, although re-excision rates were lower for higher volume groups. Surgery in higher volume hospitals with on average 150–200 or 250 or more surgeries per year was associated

<sup>†</sup>Logistic regression with clustered SEs. Corrected for age, socioeconomic status, morphology, TNM stage, tumour grade, hospital type, year of surgery.

<sup>\*</sup>Logistic regression with clustered SEs. Corrected for age, socioeconomic status, surgical procedure morphology, TNM stage, tumour grade, hospital type, year of surgery.

<sup>§</sup>Multilevel Cox proportional hazards model with hospital and year of surgery random effects, corrected for age, socioeconomic status, surgical procedure, morphology, TNM stage, tumour grade, hormone status, neoadjuvant chemotherapy, hospital type, year of surgery. TNM, tumour, node, metastases.

with prolonged survival. This positive relationship was also visible when treating hospital volume as a continuous variable. However, differences were small, and the effect weakened after correction for comorbidity status which was available for 25% of the population. For the effect of hospital competition, it was found that patient survival was higher in hospitals with four or more (potential) competitors within a 30 km distance. However, this effect was small and not robust for changes in our proxy for hospital competition. Treatment type, patient and tumour-level characteristics explained most variation in outcomes after correction for confounding variables.

Overall, our findings for hospital volume are in accordance with earlier Dutch studies using comparable endpoints.<sup>17 39</sup> Furthermore, the relatively high volume threshold for effects on patient outcomes found in our study mirror the high cut-off points found in international research. 15 40 Three developments in the Dutch setting might explain the limited influence of hospital volume. First, the ongoing implementation of preoperative and post-operative multidisciplinary meetings, intensified regional collaboration and introduction of oncological care pathways and a strict quality assurance system may have reduced variation in care between hospitals.3 \$2 41 Second, the share of low-volume hospitals during the study period was relatively low due to the elapsed time since the introduction of volume standard, as is also observed in other countries.<sup>42</sup> Three, hospital volume may not accurately reflect other attributes such as the level of specialisation or the use of novel treatments.

With regard to hospital competition, there are at least two plausible theoretical explanations for the absence of a robust relationship with patient outcomes. First, the role of competition among hospitals in breast cancer care is limited through the rare use of selective contracting by health purchasers in the Netherlands. 43 Additionally, hospital competition in this market does also not seem to be strengthened by active patient choice.<sup>44</sup> Recent research suggested that most breast cancer patients agreed on being referred to the nearest hospital by their general practitioner. 45 Second, as competition and collaboration often coexists in health systems, the competition-effect might be mitigated by an unobserved collaboration-effect or network-effect since neighbouring hospitals might work closely together within a regional network rather than compete with each other.<sup>46</sup>

### **Strengths and limitations**

The key strengths of this study are its long follow-up, nationwide inclusion of all hospitals and patients and the use of a multilevel survival analysis. Also, the use of rolling average instead of hospital volume or each separate year enabled us to encompass the weighted scale effects in the two years before the surgery and has the benefit of smoothening potential non-recurring changes in hospital volume. In practice, minimum volume standards are often calculated based on the three-year average. 47 Furthermore, the additional operationalisation of hospital

volume as a continuous variable next to the discrete categorisation facilitates comparability with other studies.

Our study suffers from at least five limitations. First, due to retrospective character there is some inconsistency with contemporary practice, such as collaboration and division of tasks in networks, as well as developments of quality indicators, such as the shift towards patient reported outcomes and quality of life measures. 32 Second, we were not able to account for the role of physician, patient and/ or shared decision-making on treatment options. This may affect hospital variation, but does not necessarily imply differences in quality of care. Third, due to absence of data, it was not possible to calculate the follow-up from date of surgical procedure. Alternatively, we calculated survival from the date of diagnosis, which may have resulted in a small overestimation of length of survival, as all patients underwent a surgical procedure and thus survived up and until the date of surgery. However, it is not likely that this has resulted in a large source of bias, as the vast majority of patients in the Netherlands has been operated within five weeks of diagnosis<sup>48</sup>. Fourth, although commonly used, our measure for hospital competition is rather crude and may therefore not accurately reflect all competitive pressures faced by hospitals. Fifth, it was not possible to assess surgical margins, re-excision rates and the influence of comorbidity for the entire study cohort, since retrospective data were not fully available.

#### **Implications**

Overall, based on our selection of patient outcomes, hospital volume and regional competition appear to play only a limited role in the explanation of variation in IBC outcomes across Dutch hospitals. Hence, from a health policy perspective, based on our selection of outcomes, the present study provides no reasons to adjust volume standards or stimulate generic policy aimed at further centralisation of IBC surgical procedures. Although this study did not provide insight into the underlying mechanisms for quality improvement, it attemped to contribute to the longstanding volume-outcome debate in oncological care by including the influence of neighbouring hospitals.

From a methodological perspective, our study contributes to insight into the actionability of using patient outcomes as quality indicators. Although the conjoint use of three end points to assess hospital variation might be beneficial, the interpretation of the available patient outcomes in our study is accompanied by sensitivity problems and definition ambiguity. 35 48 49 Hence, it emphasises the need for routinely collected outcome measures for high-volume tumours to adequately assess quality variation. In our opinion, besides validity and reliability at least two cumulative conditions then need to be fulfilled. First, indicators should have explanatory power for both patients (to select their preferred hospital, as patients prefer to choose based on outcome information<sup>50</sup>), physicians (to disseminate effective feedback information and improve guidelines) and policymakers as well as thirdparty payers (to benchmark, monitor and potentially select hospitals). There should thus be a multidisciplinary consensus about breast cancer care quality. Second, the collection and presentation of indicators should ideally be consistent over time and have an adequate coverage of hospitals across the health system to facilitate benchmarking and longitudinal research.

For future research, aimed at better understanding the interaction between hospital volume, competition across hospitals and quality for high-volume tumours, it is recommended to (i) assess the entire multidisciplinary process of care within the hospital, as well as care provided by other hospitals or providers in cancer networks, (ii) include a qualitative approach to take patient's and physician's decision-making on treatment choices into account and (iii) include more sophisticated measures for hospital competition, such as willingness-to-pay or the Logit Competition Index,<sup>51</sup> while taking into account collaboration in hospital networks.

#### **Author affiliations**

<sup>1</sup>Erasmus School of Health Policy & Management, Erasmus University Rotterdam, Rotterdam, The Netherlands

<sup>2</sup>Dutch Authority for Consumers & Markets, The Hague, The Netherlands <sup>3</sup>Department of Research, Netherlands Comprehensive Cancer Organization, Utrecht. The Netherlands

<sup>4</sup>Department of Health Technology and Services Research, University of Twente, Enschede, The Netherlands

<sup>5</sup>Department of Medical Oncology, Maastricht UMC+, Maastricht, The Netherlands <sup>6</sup>Radiotherapy, UMCG, Groningen, The Netherlands

<sup>7</sup>Department of surgery, Netherlands Cancer Institute–Antoni van Leeuwenhoek, Amsterdam, The Netherlands

<sup>8</sup>Department of surgery, Amsterdam University Medical Centres, Amsterdam, The Netherlands

<sup>9</sup>Department of Health Technology & Services Research, MIRA Institute for Biomedical Technology and Technical Medicine, Universiteit Twente, Enschede, The Netherlands

Acknowledgements The authors sincerely thank the registration teams of the Netherlands Comprehensive Cancer Organisation (IKNL) for the collection of data for the Netherlands Cancer Registry (NCR). The authors also thank Dr Ajay Aggarwal for his valuable comments on earlier versions of the paper.

**Contributors** WvdS performed the data preparation, statistical analyses, drafted the the manuscript and was in charge of the study planning. RK conducted the data preparation for the competition parameters. WvdS, RK and MV were involved in the design of the study. RK, JvH, SS and MV contributed to the interpretation of the results and critical revision of earlier versions of the manuscript. JM, VT-H, M-JVP revised the manuscript. All authors have approved the final version of the manuscript. WvdS, SS and MV jointly act as guarantor.

Funding The authors have not declared a specific grant for this research from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not applicable.

**Ethics approval** According to the Central Committee on Research involving Human Subjects (CCMO), this type of observational study did not require approval from an ethics committee in the Netherlands. The Privacy Review Board of the Netherlands Cancer Registry approved the use of data for this study. Due to the nationwide and anonymous nature of the study, informed consent or a substitute for it was not necessary.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available on reasonable request. Data may be obtained from National Cancer Registry and are not publicly available.

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/.

Wouter van der Schors http://orcid.org/0000-0002-2226-4934

#### REFERENCES

- 1 National Cancer Institute. Advances in breast cancer research, 2020. Available: https://www.cancer.gov/types/breast/research [Accessed 24 Feb 2021]
- WHO. Breast cancer now most common form of cancer: WHO taking action, 2021. Available: https://www.who.int/news/item/03-02-2021breast-cancer-now-most-common-form-of-cancer-who-takingaction [Accessed 25 Feb 2021].
- van Hoeve J, de Munck L, Otter R, et al. Quality improvement by implementing an integrated oncological care pathway for breast cancer patients. Breast 2014;23:364-70.
- Vallejo-Torres L, Melnychuk M, Vindrola-Padros C, et al. Discretechoice experiment to analyse preferences for centralizing specialist cancer surgery services. Br J Surg 2018;105:587-96.
- Tremblay D, Touati N, Roberge D, et al. Understanding cancer networks better to implement them more effectively: a mixed methods multi-case study. Implement Sci 2016;11:39.
- Mesman R, Westert GP, Berden BJMM, et al. Why do high-volume hospitals achieve better outcomes? A systematic review about intermediate factors in volume-outcome relationships. Health Policy 2015;119:1055-67.
- Scharl A, Göhring U-J. Does center volume correlate with survival from breast cancer? Breast Care 2009:4:237-44.
- Parry MG, Sujenthiran A, Cowling TE, et al. Impact of cancer service centralisation on the radical treatment of men with high-risk and locally advanced prostate cancer: a national cross-sectional analysis in England. Int J Cancer 2019;145:40-8.
- MWJM W, Gooiker GA, van Sandick JW. The volume-outcome relation in the surgical treatment of esophageal cancer. Cancer 2012;118:1754-63.
- 10 Gooiker GA, van Gijn W, Post PN, et al. A systematic review and meta-analysis of the volume-outcome relationship in the surgical treatment of breast cancer. Are breast cancer patients better of with a high volume provider? Eur J Surg Oncol 2010;36 Suppl 1:S27-35.
- Bauer H, Honselmann KC. Minimum volume standards in surgery are we there yet? Visc Med 2017;33:106-16.
- Birkmeyer JD, Siewers AE, Finlayson EVA, et al. Hospital volume and surgical mortality in the United States. N Engl J Med 2002;346:1128-37.
- Pezzin LE, Laud P, Yen TWF, et al. Reexamining the relationship of breast cancer hospital and surgical volume to mortality: an instrumental variable analysis. Med Care 2015;53:1033-9.
- 14 Avdic D, Lundborg P, Vikström J. Estimating returns to hospital volume: evidence from advanced cancer surgery. J Health Econ 2019;63:81-99.
- Greenup RA, Obeng-Gyasi S, Thomas S, et al. The effect of hospital volume on breast cancer mortality. Ann Surg 2018;267:375-81.
- Vrijens F, Stordeur S, Beirens K, et al. Effect of hospital volume on processes of care and 5-year survival after breast cancer: a population-based study on 25000 women. Breast 2012;21:261-6.
- Siesling S, Tjan-Heijnen VCG, de Roos M, et al. Impact of hospital volume on breast cancer outcome: a population-based study in the Netherlands. Breast Cancer Res Treat 2014;147:177-84.
- SONCOS. Multidisciplinaire normering oncologische zorg in Nederland. SONCOS normeringsrapport 2012. Utrecht 2012.
- Biganzoli L, Cardoso F, Beishon M, et al. The requirements of a specialist breast centre. Breast 2020;51:65-84.

- 20 Janusch-Roi A, Neamtju L, Dimitrova N. Manual for breast cancer services: European quality assurance scheme for breast cancer services. Luxembourg, 2021.
- 21 Barros PP, Brouwer WBF, Thomson S, et al. Competition among health care providers: helpful or harmful? Eur J Health Econ 2016:17:229–33.
- 22 Siciliani L, Chalkley M, Gravelle H. Policies towards hospital and GP competition in five European countries. *Health Policy* 2017;121:103–10.
- 23 Schut FT, Varkevisser M. Competition policy for health care provision in the Netherlands. *Health Policy* 2017;121:126–33.
- 24 Gaynor M, Town R. The impact of hospital consolidation Update. Robert wood Johnson found. *Policy Br* 2012;9:1–8 http://www.rwjf.org/content/dam/farm/reports/issue briefs/2012/rwjf73261
- 25 Roos A-F, O'Donnell O, Schut FT, et al. Does price deregulation in a competitive Hospital market damage quality? J Health Econ 2020;72:102328.
- 26 Aggarwal A, Lewis D, Mason M, et al. Effect of patient choice and hospital competition on service configuration and technology adoption within cancer surgery: a national, population-based study. *Lancet Oncol* 2017;18:1445–53.
- 27 Gowrisankaran G, Competition TRJ. Payers, and hospital quality. Health Serv Res 2003;38:1403–22.
- 28 Rogowski J, Jain AK, Escarce JJ. Hospital competition, managed care, and mortality after hospitalization for medical conditions in California. *Health Serv Res* 2007;42:682–705.
- 29 Bijlsma MJ, Koning PWC, Shestalova V. The effect of competition on process and outcome quality of hospital care in the Netherlands. *Economist* 2013:161:121–55.
- 30 Ho V, Short MN, Ku-Goto M-H. Can centralization of cancer surgery improve social welfare? *Forum Health Econ Policy* 2012;15:1–25.
- 31 Kim W, Wolff S, Ho V. Measuring the volume-outcome relation for complex Hospital surgery. Appl Health Econ Health Policy 2016;14:453–64
- 32 van Bommel ACM, Spronk PER, Vrancken Peeters M-JTFD, et al. Clinical auditing as an instrument for quality improvement in breast cancer care in the Netherlands: the National NABON breast cancer audit. J Surg Oncol 2017;115:243–9.
- 33 NBCA. NABON breast cancer audit (NBCA) Jaarverslag uitkomsten 2019, 2020. Available: https://dica.nl/media/2511/NBCA Jaarverslag 2019.pdf [Accessed 9 Mar 2021].
- 34 Barrio AV, Morrow M. Appropriate margin for lumpectomy excision of invasive breast cancer. Chin Clin Oncol 2016;5:35.
- 35 Tamburelli F, Maggiorotto F, Marchiò C, et al. Reoperation rate after breast conserving surgery as quality indicator in breast cancer treatment: a reappraisal. Breast 2020;53:181–8.
- 36 Westra D, Angeli F, Kemp R, et al. If you say so. Health Care Manage Rev 2022;47:37–48.
- 37 Varkevisser M, van der Geest SA, Schut FT. Do patients choose hospitals with high quality ratings? Empirical evidence from

- the market for angioplasty in the Netherlands. *J Health Econ* 2012;31:371–8.
- 38 Varkevisser M, van der Geest SA, Schut FT. Assessing hospital competition when prices don't matter to patients: the use of timeelasticities. *Int J Health Care Finance Econ* 2010;10:43–60.
- 39 van der Heiden-van der Loo M, de Munck L, Visser O, et al. Variation between hospitals in surgical margins after first breastconserving surgery in the Netherlands. Breast Cancer Res Treat 2012;131:691–8.
- 40 Chen C-S, Liu T-C, Lin H-C, et al. Does high surgeon and hospital surgical volume raise the five-year survival rate for breast cancer? a population-based study. Breast Cancer Res Treat 2008;110:349–56.
- 41 Schreuder K, van Bommel ACM, de Ligt KM, et al. Hospital organizational factors affect the use of immediate breast reconstruction after mastectomy for breast cancer in the Netherlands. *Breast* 2017;34:96–102.
- 42 Morche J, Renner D, Pietsch B, et al. International comparison of minimum volume standards for hospitals. *Health Policy* 2018:122:1165–76.
- 43 van Ineveld M, van Wijngaarden J, Scholten G. Choosing cooperation over competition; Hospital strategies in response to selective contracting. *Int J Health Plann Manage* 2018;33:1082–92.
- 44 Geraedts M, Hermeling P, Ortwein A, et al. Public reporting of hospital quality data: what do referring physicians want to know? Health Policy 2018;122:1177–82.
- 45 Menting B, Van 'T Veer L, Kemp R. Wat bepaalt de ziekenhuiskeuze van borstkankerpatiënten? | Nederlands Tijdschrift voor Geneeskunde. Ned Tijdschr Geneeskd 2020;164.
- 46 Mascia D, Di Vincenzo F, Cicchetti A. Dynamic analysis of interhospital collaboration and competition: empirical evidence from an Italian regional health system. *Health Policy* 2012;105:273–81.
- 47 SONCOS. Multidisciplinaire normering oncologische zorg in Nederland. SONCOS normeringsrapport 2020. *Utrecht* 2020.
- 48 Vos EL, Lingsma HF, Jager A, et al. Effect of case-mix and random variation on breast cancer care quality indicators and their Rankability. Value Health 2020;23:1191–9.
- 49 Michal-Teitelbaum C. Cancer survival is a poor indicator of quality of care. BMJ 2015;351:h4059 https://www.bmj.com/content/351/bmj. h4059
- 50 Salampessy BH, Bijlsma WR, van der Hijden E, et al. On selecting quality indicators: preferences of patients with breast and colon cancers regarding Hospital quality indicators. BMJ Qual Saf 2020;29:576–85.
- 51 Berden C, Croes R, Kemp R. Hospital competition in the Netherlands: an empirical investigation, 2019. Available: https:// papers.ssrn.com/sol3/papers.cfm?abstract\_id=3383564#%23 IAccessed 5 Feb 2020l.
- 52 Gaynor M, Ho K, Town RJ. The industrial organization of health-care markets. J Econ Lit 2015;53:235–84.

# Supplementary file 1: Overview of the literature

#### Hospital volume

On the indiviudal hospital level, hospital size has received ample attention in literature, especially in relationship with overall or relative survival as primary outcome indicator. Generally, three theoretical underlying mechanisms can be distinguished to explain the causal link in this relationship: (i) the compliance to evidence based processes of care, (ii) the level of specialization, and (iii) factors on hospital level, such as the availability of resources (Mesman et al. 2015). In practice, the existence of the volume-outcome relationship can be attributed to a combination of different explanations and contextual factors. When focusing on breast cancer care`, potential explanations for the inverse relationship between hospital volume and patient mortality include the positive influence of multidisciplinary consultations, the more frequent use of breast conservation and the choice of adjuvant chemotherapy [2].

The existing empirical literature on hospital volume and survival in breast cancer research is extensive. Gooiker et al., (2010) reviewed eight articles published between 2003 and 2007 [3]. In six studies, a significant effect in favour of high volume was found. In most recent studies the same pattern is visible when 5-year survival is used as the primary endpoint. Skinner et al., (2003) Gilligan et al., (2007) and Chen et al., (2008) & Greenup et al., (2018) all reported a positive relationship [2,4-6]. Yet, effect sizes and cut-off levels for discriminating high- and low volume differ strongly between studies which hamper external validity and stating country specific minimum volume thresholds [7]. For instance, in the study of Gilligan et al., (2007) high volume is defined as more than 40 surgeries a year in a single hospital, whereas Chen (2008) discriminates high volume above 585 surgeries. Studies with 10-year follow up as endpoint are much scarcer. Yet, the high volume and good prognosis highlight the importance of the long-term follow-up. In addition, Nomura et al., (2006) found no relationship between relative 10-year survival hospital volume [8]. Contrary, Greenup et al., (2018) with the largest study to date found that volume was an independent predictor for improved 10-year survival. In the Dutch setting, in the last study with substantial follow-up, Siesling et al., found only a small difference in survival between hospitals with a volume of 75-99 annual surgeries and hospitals with over 200 surgeries a year [9]. Vrijens et al. (2012) found better rates of application of scientific guidelines and prolonged survival in high-volume hospitals [10].

Most studies in the field of the volume-outcome relationship for breast cancer focused on survival. However, scholars have also examined hospital volume in relation to short-term outcome indicators, such as surgical margins and re-excision rates. Previous research has for instance indicated that hospital volume was a significant predictor for negative surgical margins and partially explain the volume-survival relationship [11]. In The Netherlands, no relationship was found between hospital volume and surgical margins [12]. With regards to re-excision after primary surgery, it was found that low volume hospitals were associated with a higher overall probability of re-excision within 90 days [13]. Similarly, an inverse relationship was found for surgeon volume and 90-days re-excision rates, implying that high

volume surgeons reported lower rates of re-excisions [14]. The combination of both hospital volume and surgeon volume demonstrated that women who underwent surgery in low-volume hospitals by low-volume surgeons had a significantly increased risk of re-excision [15].

#### Hospital competition

Additional to considering possible causes of quality differences on the individual hospital level, increasing emphasis is being placed on factors at the health system level. This includes the introduction of patient choice and competition on quality outcomes. The effects of such factors dependent on the structure of the market, for instance whether hospitals prices are liberalized or regulated [16,17]. Economic theory predicts that in markets or market segments with regulated price-setting hospitals will compete solely on quality. Currently, the NHS in the UK is an example of a hospital system without competition on prices. Consequently, when hospitals face tougher competition, the assumption is that they will increase their quality in order to attract and/or retain consumers (often referred to as consumer driven competition) or health insurers (often referred to as payer driven competition) [17]. In empirical literature, the relationship between competition and quality in settings with administered prices, showing a predominantly positive effect of increased competition on quality [16]. With regards to cancer outcomes, Aggarwal et al., (2017) reported a positive association between successful hospital competition and short-term outcomes after prostate surgery, which can also be regarded as tumours of high volume [18].

The mechanism for competition in markets where hospitals compete both on quality and price, as is the case in the US or The Netherlands, is more complex and supposes an interplay between both price and quality [17]. Economic theory then predicts that health providers place most emphasis on either price or quality, dependent of the responsiveness of demand by patients or healthcare purchasers on both elements: quality will raise if the quality elasticity of demand increases relative to the price elasticity. Quality will also increase if price increases relative to the marginal cost of quality and falls if the opposite happens [17,19,20]. Publicly available quality information is an important prerequisite: quality must be observable for either patients or purchasers. If price information is better accessible or comparable, competition mainly occurs on price instead of quality.

The effect of competition on quality in hospital markets with unregulated price-setting is less investigated. The results are rather inconsistent and often lack external validity through endogeneity limitations [16,20]. Most studies focus on acute care (CABG) in the US setting, demonstrating increased hospital competition or less concentration is associated with better outcomes [21,22].

In the Dutch setting, it was found that better quality scores were reported for bladder tumours in more competitive hospital environments [23]. It was also demonstrated that increased competition led to a larger percentage of available test outcomes within five days, as a process indicator for quality of care[24]. Additionally, the importance of observable quality information for successful hospital competition was confirmed: consumer satisfaction increased more rapidly in areas with more competition [25]. Another study found no evidence that increased exposure to price competition reduces quality

measured by readmission rates for hip-replacements [20]. Moreover, a temporary, positive impact on quality was reported. These four studies, however, did not assess the outcomes of exposure to competition on the long run.

#### Literature

- Mesman R, Westert GP, Berden BJMM, *et al.* Why do high-volume hospitals achieve better outcomes? A systematic review about intermediate factors in volume–outcome relationships. *Health Policy (New York)* 2015;**119**:1055–67. doi:10.1016/j.healthpol.2015.04.005
- 2 Greenup RA, Obeng-Gyasi S, Thomas S, et al. The Effect of Hospital Volume on Breast Cancer Mortality. In: Annals of Surgery. Lippincott Williams and Wilkins 2018. 375–81. doi:10.1097/SLA.0000000000002095
- Gooiker GA, van Gijn W, Post PN, *et al.* A systematic review and meta-analysis of the volume-outcome relationship in the surgical treatment of breast cancer. Are breast cancer patients better of with a high volume provider? *Eur J Surg Oncol* 2010;**36**:S27–35. doi:10.1016/J.EJSO.2010.06.024
- 4 Skinner KA, Helsper JT, Deapen D, *et al.* Breast cancer: Do specialists make a difference? Ann. Surg. Oncol. 2003;**10**:606–15. doi:10.1245/ASO.2003.06.017
- Gilligan MA, Neuner J, Zhang X, *et al.* Relationship between number of breast cancer operations performed and 5-year survival after treatment for early-stage breast cancer. *Am J Public Health* 2007;**97**:539–44. doi:10.2105/AJPH.2005.075663
- 6 Chen CS, Liu TC, Lin HC, et al. Does high surgeon and hospital surgical volume raise the fiveyear survival rate for breast cancer? A population-based study. Breast Cancer Res Treat 2008;110:349–56. doi:10.1007/s10549-007-9715-4
- 7 Scharl A, Göhring U-J. Does Center Volume Correlate with Survival from Breast Cancer? Breast Care 2009;4:237–44. doi:10.1159/000229531
- Nomura E, Tsukuma H, Ajiki W, *et al.* Population-based study of the relationship between hospital surgical volume and 10-year survival of breast cancer patients in Osaka, Japan. *Cancer Sci* 2006;**97**:618–22. doi:10.1111/j.1349-7006.2006.00215.x
- Siesling S, Tjan-Heijnen VCG, De Roos M, *et al.* Impact of hospital volume on breast cancer outcome: A population-based study in the Netherlands. *Breast Cancer Res Treat* 2014;**147**:177–84. doi:10.1007/s10549-014-3075-7
- Vrijens F, Stordeur S, Beirens K. Effect of hospital volume on processes of care and 5-year survival after breast cancer: A population-based study on 25 000 women. *Breast* 2012;**21**:261–6.
- Yen TWF, Pezzin LE, Li J, et al. Effect of hospital volume on processes of breast cancer care: A National Cancer Data Base study. Cancer 2017;123:957–66. doi:10.1002/cncr.30413
- 12 Van Der Heiden-Van Der Loo M, De Munck L, Visser O, et al. Variation between hospitals in

- surgical margins after first breast-conserving surgery in the Netherlands. *Breast Cancer Res Treat* 2012;**131**:691–8. doi:10.1007/s10549-011-1809-3
- Van Leeuwen MT, Falster MO, Vajdic CM, et al. Reoperation after breast-conserving surgery for cancer in Australia: Statewide cohort study of linked hospital data. BMJ Open 2018;8:20858. doi:10.1136/bmjopen-2017-020858
- 14 Isaacs AJ, Gemignani ML, Pusic A, et al. Association of breast conservation surgery for cancer with 90-day reoperation rates in New York state. JAMA Surg 2016;151:648–55. doi:10.1001/jamasurg.2015.5535
- de Camargo Cancela M, Comber H, Sharp L. Hospital and surgeon caseload are associated with risk of re-operation following breast-conserving surgery. *Breast Cancer Res Treat* 2013;140:535–44. doi:10.1007/s10549-013-2652-5
- Gaynor M, Town R. The impact of hospital consolidation —Update. Robert Wood Johnson Found Policy Br 2012;9:1–8. doi:10.13140/RG.2.1.4294.0882
- Gaynor M, Ho K, Town RJ. The Industrial Organization of Health-Care Markets. *J Econ Lit* 2015;**53**:235–84. doi:10.1257/jel.53.2.235
- Aggarwal A, Lewis D, Mason M, et al. Effect of patient choice and hospital competition on service configuration and technology adoption within cancer surgery: a national, population-based study.

  Lancet Oncol 2017;18:1445–53. doi:10.1016/S1470-2045(17)30572-7
- Dranove D, Satterthwaite MA. The industrial organization of health care markets. In: Culyer AJ, Newhouse JP, eds. *Handbook of Health Economics*. Elsevier 2000. 1093–139. doi:10.1016/S1574-0064(00)80033-5
- 20 Roos AF, O'Donnell O, Schut FT, *et al.* Does price deregulation in a competitive hospital market damage quality? *J Health Econ* 2020;**72**:102328. doi:10.1016/j.jhealeco.2020.102328
- 21 Gowrisankaran G, Town RJ. Competition, Payers, and Hospital Quality1. *Health Serv Res* 2003;**38**:1403–22. doi:10.1111/j.1475-6773.2003.00185.x
- 22 Rogowski J, Jain AK, Escarce JJ. Hospital competition, managed care, and mortality after hospitalization for medical conditions in California. *Health Serv Res* 2007;42:682–705. doi:10.1111/j.1475-6773.2006.00631.x
- 23 Croes RR, Krabbe-Alkemade YJFM, Mikkers MC. Competition and quality indicators in the health care sector: empirical evidence from the Dutch hospital sector. Eur J Heal Econ 2018;19:5– 19. doi:10.1007/s10198-016-0862-6
- 24 Bijlsma MJ, Koning PWC. The Effect of Competition on Process and Outcome Quality of

- Hospital Care in the Netherlands. 2013;:121-55. doi:10.1007/s10645-013-9203-7
- Ikkersheim DE, Koolman X. Dutch healthcare reform: Did it result in better patient experiences in hospitals? a comparison of the consumer quality index over time. *BMC Health Serv Res* 2012;**12**:1–6. doi:10.1186/1472-6963-12-76