





### A Randomized Ph2 Study of MEDI0680 in Combination With Durvalumab vs. Nivolumab Monotherapy in Patients With Advanced or Metastatic Clear Cell Renal Cell Carcinoma

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### **Statement of Translational Relevance**

In this Phase 2 study, patients with clear cell renal cell carcinoma (ccRCC) treated with the programmed death receptor 1 (PD-1) inhibitor MEDI0680 plus the programmed death receptor ligand-1 (PD-L1) inhibitor durvalumab had similar objective response rates compared to patients who received the PD-1 inhibitor nivolumab alone. The safety profile of MEDI0680 plus durvalumab was consistent with the known toxicity of PD-1/PD-L1 antibodies. In the combination arm, lower circulating tumor DNA (ctDNA) fraction was associated with improved progression-free survival, but not overall survival. ctDNA genomic alterations were not associated with response. Tumor-infiltrated immune cell profiles showed an association between immune cell activation and objective response in the combination of PD-1 alone, suggesting that the PD-L1-CD80 interaction has a limited role in tumor immune evasion in ccRCC. Future combination strategies should explore targeting separate pathways.

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2 Clinical Trials.gov: NCT02118337

#### 4 Abstract

Background: MEDI0680 is a humanized anti-programmed cell death-1 (PD-1) antibody and
durvalumab is an anti-PD-L1 antibody. Combining treatment using these antibodies may
improve efficacy versus blockade of PD-1 alone. This phase 2 study evaluated antitumor activity
and safety of MEDI0680 plus durvalumab versus nivolumab monotherapy in immunotherapynaïve patients with advanced clear cell renal cell carcinoma who received at least one prior line
of anti-angiogenic therapy.

Methods: Patients received either MEDI0680 (20 mg/kg) with durvalumab (750 mg) or nivolumab (240 mg), all IV Q2W. The primary endpoint was investigator-assessed objective response rate (ORR). Secondary endpoints included best overall response, progression-free survival (PFS), safety, overall survival (OS), and immunogenicity. Exploratory endpoints included changes in circulating tumor DNA (ctDNA), baseline tumor mutational burden (TMB), and tumor-infiltrated immune cell profiles.

17 **Results:** Sixty-three patients were randomized (combination, n = 42; nivolumab, n = 21). ORR 18 was 16.7% (7/42; 95% CI, 7.0-31.4) with combination treatment and 23.8% (5/21; 95% CI, 8.2-47.2) with nivolumab. Median PFS was 3.6 months in both arms; median OS was not reached in 19 20 either arm. Due to AEs, 23.8% of patients discontinued MEDI0680 and durvalumab and 14.3% 21 of patients discontinued nivolumab. In the combination arm, reduction in ctDNA fraction was 22 associated with longer PFS. ctDNA mutational analysis did not demonstrate an association with 23 response in either arm. Tumor-infiltrated immune profiles showed an association between 24 immune cell activation and response in the combination arm.

Conclusions: MEDI0680 combined with durvalumab was safe and tolerable; however, it did not
 improve efficacy versus nivolumab monotherapy.

#### 28 Introduction

29 Renal Cell Carcinoma (RCC) encompasses a range of malignancies derived from renal tubular epithelial cells and represents 2-3% of all cancers with 338,000 new diagnoses each 30 vear (1.2). The most common subtype is clear cell renal cell carcinoma (ccRCC), which 31 32 accounts for the majority of deaths due to kidney cancer (2). Multiple targeted therapies have been developed to treat ccRCC (1). Targets of approved agents include vascular endothelial 33 growth factor (VEGF) receptor, the mammalian target of rapamycin (mTOR), and immune 34 checkpoint proteins such as cytotoxic T-lymphocyte associated protein 4 (CTLA4), programmed 35 36 death receptor 1 (PD-1), and programmed death receptor ligand-1 (PD-L1) (1). In recent years, immune checkpoint inhibitors used in combination (e.g., nivolumab plus ipilimumab) or with anti-37 angiogenic tyrosine kinase inhibitors (TKI), (e.g., axitinib plus avelumab or pembrolizumab; 38 cabozantinib plus nivolumab) have become the first line standard of care for RCC in the United 39 40 States, resulting in improved clinical benefit and prolonged survival for patients with metastatic 41 disease (3,4).

42 Nivolumab is a human IgG4 anti-PD-1 antibody. The randomized phase 3 clinical trial 43 CheckMate 025 evaluated nivolumab versus the mTOR inhibitor everolimus in patients with advanced RCC who had previously progressed on antiangiogenic therapy (5,6). Nivolumab 44 demonstrated improved efficacy and safety compared with everolimus (6). The results of the 45 Checkmate 025 trial led to the approval of nivolumab by the FDA in 2015 as a second line 46 47 treatment for metastatic ccRCC, following antiangiogenic treatment failure, shifting the standard-48 of-care for metastatic ccRCC toward immunotherapy-based treatments (7). However, about 35% (142/410) of patients treated with nivolumab experienced progressive disease (PD) as a 49 50 best response, compared with 26% treated with everolimus (6), demonstrating a need for 51 additional or novel treatment combinations (6).

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52 Durvalumab is a fully human IgG1 monoclonal antibody that blocks the binding of PD-L1 53 to PD-1 and CD80 (8). In clinical studies, durvalumab has been evaluated as a monotherapy or 54 in combination with other therapies for patients with various cancer types, demonstrating both safety and efficacy (8). One disadvantage of using PD-L1 inhibitors as monotherapy is that they 55 56 do not block the binding of PD-L2 to PD-1 (9). A preclinical study demonstrated that PD-L2 was upregulated on tumor-associated macrophages following treatment with a PD-L1 inhibitor (9). 57 58 Notably, PD-L1 targeted immuno-oncology agents have not demonstrated an OS benefit for 59 patients with RCC (10). This may be due to the potential of PD-L2 to promote T-cell tolerance (10). 60

MEDI0680 is a humanized Immunoglobulin G (IgG) 4κ monoclonal antibody that binds to
PD-1 expressed on the surface of T cells, blocking the interaction of PD-1 with PD-L1 and PDL2 on tumor cells (11). The binding of PD-L1 and PD-L2 to the inhibitory PD-1 receptor
expressed on T cells suppresses the cells' ability to mount an effective antitumor response
(1,12). In a first-in-human phase 1 study, MEDI0680 demonstrated a tolerable safety profile and
preliminary clinical activity in patients with advanced solid malignancies, including RCC (11).

Suboptimal response rates with PD-1-directed monotherapy may be due in part to 67 factors such as low PD-L1 expression and tumor mutational burden (13). Preclinical studies 68 have also demonstrated that blocking PD-1 can increase the release of the pro-inflammatory 69 70 cytokine interferon-y (IFN-y) at the tumor site, which may then increase the expression of PD-L1 71 in various cancer cells (14,15). Additionally, PD-L1, when left uninhibited, can limit the antitumor 72 response by binding to cluster of differentiation 80 (CD80) expressed on activated CD8<sup>+</sup> T cells, thereby restricting the role of CD80 in promoting T cell survival, proliferation, and cytokine 73 74 production (16). The hypothesis underlying the current trial was that simultaneous blockade of PD-1 using MEDI0680 and PD-L1 using durvalumab has the potential to improve efficacy 75

76 relative to a blockade of PD-1 alone using nivolumab by blocking additional inhibitory

interactions within the tumor microenvironment.

In the dose-escalation phase of this multicenter, open label study in patients with advanced solid tumors, the combination of MEDI0680 with durvalumab was well tolerated, and a confirmed ORR of 30% (9/30), including 3 out of 4 patients with RCC was observed (17). In the phase 2 (dose expansion) part of this study, we evaluated the antitumor activity and safety of MEDI0680 in combination with durvalumab versus nivolumab monotherapy in adults with ccRCC and assessed potential tumor-based biomarkers of response.

#### 84 Materials and Methods

85 Patients

Eligible patients were aged  $\geq$  18 years and had advanced or metastatic RCC with a clear 86 cell component. Additional key inclusion criteria were an Eastern Cooperative Oncology Group 87 (ECOG) score of 0–1 and at least 1 measurable lesion. Patients had to have received 1–2 prior 88 anti-angiogenic therapy regimens, no prior immunotherapy, and a maximum of 3 systemic 89 treatment regimens in the advanced or metastatic setting. Patients had to have evidence of 90 91 radiographic progression on or after the last treatment regimen received and within 6 months prior to study enrollment. Patients had adequate organ and marrow function (defined in the 92 93 Supplementary methods). Key exclusion criteria included concurrent malignancies, active/prior 94 autoimmune or inflammatory disorders within the past 3 years, and untreated central nervous system metastatic disease. Additional inclusion and exclusion criteria are available in the 95 96 Supplementary Methods.

97 Study Design

This randomized phase 2, open label, multicenter study of MEDI0680 in combination 98 99 with durvalumab versus nivolumab monotherapy was conducted at 27 centers in 6 countries. 100 including Australia, Canada, France, the Netherlands, the United Kingdom, and the United 101 States. The study design is summarized in **Supplementary Figure 1**. Stratification factors 102 included the Memorial Sloan Kettering Cancer Center (MSKCC) risk group (prognostic score: 103 0 = favorable risk; 1 or 2 = intermediate risk; 3 = poor risk) (18) and the status of PD-L1 expression on tumor cells ( $\leq 1\%$  and > 1%). For determination of PD-L1 expression, archival 104 105 tumor tissues or fresh tumor biopsies were evaluated by a central laboratory using the Ventana (SP263) immunohistochemistry assay (Roche Cat# 790-4905). Patients were randomly 106

assigned at a ratio of 2:1 to receive either 20 mg/kg of MEDI0680 with 750 mg of durvalumab or
240 mg nivolumab monotherapy. Each drug was administered intravenously every two weeks.

109 For patients receiving combination treatment, durvalumab was administered first. 110 MEDI0680 was given approximately 30 minutes after completion of durvalumab infusion. Dose 111 reductions of MEDI0680 and durvalumab were not permitted; however, holding doses or 112 discontinuation in the case of treatment-related toxicity was allowed. Nivolumab dosing was 113 based on the FDA-approved regimen described in the package insert. Patients could remain on 114 study treatment for up to 2 years while tolerable and effective. Disease assessments were 115 performed at baseline and every 8 weeks thereafter. Patients were followed for survival until the end of the study, regardless of additional treatments. 116

This study was conducted in accordance with the ethical principles originating in the Declaration of Helsinki and was consistent with the International Conference on Harmonization/Good Clinical Practice and applicable regulatory requirements. The study protocol was approved by an institutional review board or independent ethics committee at each study site prior to initiation and enrollment. All patients provided written informed consent before participating in the study. This study was registered with ClinicalTrials.gov, number NCT03089645.

124 Endpoints

The primary endpoint was investigator-assessed ORR by Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 criteria (19), defined as the proportion of patients with a best overall response (BOR) category of confirmed complete response (CR) or partial response (PR). Secondary endpoints included safety, BOR, disease control, time to response, duration of response, progression-free survival (PFS), change from baseline in tumor size, overall survival, and the detection of anti-drug antibodies (ADAs). Exploratory endpoints included blood tumor

mutational burden (bTMB), changes in circulating tumor DNA (ctDNA), baseline genomic
alteration profile, and baseline tumor infiltrated immune profile in association with objective
response.

134 Disease control was defined as the proportion of patients with a BOR of confirmed CR, 135 PR, or stable disease (SD) maintained for  $\geq$  24 weeks. Duration of response was defined as the 136 time from first documentation of objective response until first documentation of disease 137 progression or death. Time to response was defined as the time from randomization until the 138 first documentation of objective response. PFS was defined as the time from randomization until 139 first documentation of disease progression or death, regardless of subsequent anticancer therapy received prior to progression. Change from baseline in tumor size was calculated as the 140 percent change in target lesion sum of diameters at every post-baseline disease assessment. 141 142 Overall survival was defined as the time from randomization until death due to any cause. For 143 PFS and OS analysis, patients free from progression and alive were censored at the last follow up timepoint, respectively. 144

145 Safety

Safety was assessed by the presence of adverse events (AEs) and serious AEs, as well
as changes from baseline in laboratory parameters, vital signs, physical examination, and
electrocardiogram results. AEs were coded by the Medical Dictionary for Regulatory Activities
and preferred term, and adverse events and laboratory values were graded according to the
National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) v4.03.

151 Statistics

Up to 60 patients (40 patients in the MEDI0680 and durvalumab combination therapy arm and 20 patients in the nivolumab monotherapy arm) were planned for randomization at the selected combination dose. Assuming an ORR for nivolumab monotherapy of 21.5% (20), the

sample size was chosen to detect a difference in ORR of 26.0% (i.e., an objective response of
47.5%) with 76% power at a 1-sided significance level of 0.10. The 95% confidence interval (CI)
of an ORR of 47.5% (19 responders/40 patients) based on the exact probability method is
31.5%-63.9%. Efficacy and safety analyses were based on the as-treated population, defined
as all patients who received any dose of investigational product and were analyzed according to
the treatment they received. The difference in ORR between arms was tested for significance
using Fisher's exact test.

Patients with missing overall response were counted as non-responders. The median PFS and overall survival, along with their 95% CIs, were summarized by Kaplan-Meier curves. The differences in PFS and overall survival between treatment arms were tested for significance using a log rank test. The hazard ratio with 95% CIs was estimated by Cox proportional hazard model controlling for prespecified stratification factors as explanatory variables.

167 A joint Bayesian predictive probability approach was developed to allow for continuous 168 assessments of the delta ( $\delta$ ), or difference, of the ORR between the MEDI0680 and durvalumab 169 combination and nivolumab. The target  $\delta$  was set so as to demonstrate a 20% increase in the 170 MEDI0680 and durvalumab combination ORR over the benchmark nivolumab ORR based on 171 investigator assessments. Categorical data was summarized by the number and percentage of 172 patients in each category. Continuous variables were summarized by descriptive statistics. SAS 173 version 9.4 (SAS Institute Inc., Cary NC) was used for data analyses.

174 Immunogenicity

Blood samples were assessed for the presence of ADAs in response to MEDI0680 using a previously described validated immunoassay (11). For durvalumab, clinical samples were evaluated for ADA via screening, confirmatory, titer, and neutralizing antibody assays. A homogeneous double-bridging electrochemiluminescence assay was used for ADA screening.

179 Positive control (goat anti-durvalumab polyclonal antibody), negative control, and test samples were incubated with biotin-conjugated durvalumab and ruthenium-conjugated durvalumab to 180 181 form an immunocomplex. The ADA immunocomplexes were captured on streptavidin-coated 182 standard 96 well plates and signals were measured by an MSD Sector Imager (Meso Scale 183 Diagnostics, Rockville, MD). A signal  $\geq$  the established cutoff indicated the presence of ADAs in the sample. Samples for ADA assessment were collected during cycle 1 (study day 1), cycle 2 184 (study day  $29 \pm 3$ ), cycle 5 (study day  $113 \pm 3$ ), cycle 8 (study day  $197 \pm 3$ ), cycle 11 (study day 185 186 281 ± 3), and during post-treatment and long term follow-up. Patients who received  $\geq$  1 dose of both durvalumab and MEDI0680 and provided ≥ 1 post-treatment sample were evaluated, and 187 188 immunogenicity results were analyzed descriptively by summarizing the proportion of patients who developed detectable anti-durvalumab or anti-MEDI0680 antibodies. 189

190 Biomarker analysis

191 ctDNA, bTMB and Genomic alterations

192 ctDNA was extracted centrally from plasma samples collected from both treatment arms, as previously described (21-23), and assayed using a GuardantOMNI Research Use Only 193 194 (RUO) next generation sequencing (NGS) assay (Guardant Health, Redwood City, CA)(23). 195 This assay detects genomic alterations such as single nucleotide variants, insertions, deletions, 196 copy-number variants, fusions, and microsatellite instability (500 genes; 2.145 Mb) (23). bTMB 197 score was determined as previously described (23). Mean variant allelic frequency (VAF) was 198 calculated at baseline and at 4 weeks following treatment. Percent change in mean VAF from baseline was determined, indicating percent change in ctDNA fraction. Reduction in ctDNA 199 200 fraction  $\geq$  50% at 4 weeks versus baseline is defined as molecular response (MR) (21,24). 201 Reduction in ctDNA fraction < 50% at 4 weeks versus baseline is defined as non-molecular 202 response (non-MR) (21,24,25).

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205 from tumor biopsies at baseline or archival tumor samples, were processed by 206 immunohistochemistry (IHC) for PD-L2 (Abcam; CAL28 clone) and by multiplex 207 immunofluorescence (mIF) for CD8 (Ventana; SP239 clone), PD-L1 (Ventana; SP263 clone), PD-1 (CST; D4W2J clone), Ki-67 (Dako; MIB-1 clone), CD68 (Dako; PG-M1 clone), and 208 209 cytokeratin (Dako; AE1/AE3 clone). Briefly, automated IHC protocols were performed on Ventana instruments (Roche Diagnostics, Ventana Medical Systems, Tucson, AZ) employing 210 211 3,3'-diaminobenzidine as the chromogen. Immunostained slides were digitally scanned using an Aperio AT turbo scanner (Leica BioSystems, Wetzlar, Germany) at 20X magnification. Digital 212 images were viewed using Aperio ImageScope software version 12.1.0 (Leica BioSystems) or 213 214 VeriTrova software (AstraZeneca Computational Pathology GmbH, Munich, Germany). For mIF, 215 a BOND Rx automated staining platform (Leica BioSystems, Wetzlar, Germany) with a modified Opal protocol (PerkinElmer, MA, United States) was used. Imaging was performed on a Vectra 216 217 Polaris multispectral imaging platform (Akoya Biosciences, CA, United States) in multispectral 218 instrument (MSI) mode. Digital images were imported into Developer XD software (AstraZeneca 219 Computational Pathology GmbH, Munich, Germany) and analyzed for marker positive cells, which were reported as densities (cells/mm<sup>2</sup>) using the program's cognition network technology, 220 221 as previously described (26-28).

Tumor tissue sections from formalin-fixed paraffin embedded (FFPE) blocks, derived

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204

#### 223 Data Availability Statement

The individual patient level data generated in this study are not publicly available to protect patient privacy. Requests for data may be submitted through Vivli's web-based data request

- 226 platform (<u>www.vivli.org</u>). A comprehensive explanation of AstraZeneca's data sharing policies is
- 227 available at: <u>https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure</u>.
- 228

229 Results

#### 230 Patient Demographics and Clinical Characteristics

231 As of June 12, 2020, 63 patients had been enrolled, randomly assigned a treatment, and 232 treated (Supplementary Figure 2). Forty-two patients were randomly assigned to receive 233 MEDI0680 and durvalumab, and 21 were randomly assigned to receive nivolumab. Early in the 234 study and prior to a protocol amendment, an additional 4 patients had been randomized to 235 receive MEDI0680 20 mg/kg as monotherapy; this arm of the study was subsequently closed 236 and replaced with the nivolumab arm due to a change in the standard of care treatment for ccRCC shortly after initiation of the study. All 4 patients discontinued treatment due to PD, 237 238 withdrew from the study, and none of them were included in this analysis. The median duration of exposure for the 4 patients on MEDI0680 monotherapy was 24.1 weeks (range, 10.1-40.1). 239 240 Patient demographics and baseline disease characteristics are summarized in Table 1. Baseline patient and disease characteristics were generally well balanced between study arms, 241 with several relevant exceptions: the percent of patients with PD-L1 expression  $\leq 1\%$  was 242 243 higher in the MEDI0680 and durvalumab combination arm than in the nivolumab arm (88.1% vs 61.9%), the median age was higher in the combination arm (64 years vs 58 years, respectively), 244 245 and the prevalence of MSKCC favorable disease risk was lower in the combination arm (23.8% 246 vs 33.3%, respectively) (**Table 1**). Additionally, patients in the combination arm had a longer 247 median time from initial diagnosis to study entry (38.3 months vs 14.1 months in the nivolumab arm) (Table 1). The median number of prior anticancer treatments was 2.0 for both arms (Table 248 249 1).

The primary endpoint of investigator-assessed ORR was 16.7% (95% CI, 7.0-31.4) with 251 MEDI0680 plus durvalumab and 23.8% (95% CI, 8.2-47.2) with nivolumab (Table 2), with no 252 253 significant difference between the two treatment arms (p = 0.513; **Table 2**). CR was observed in 4.8% (2/42) of patients in the combination arm, with response durations of 21.5 and 11.1 254 months (Table 2). One patient with CR had multiple disease sites at baseline (lymph nodes, 255 adrenal glands, nephrectomy bed, and diaphragm); the other patient with CR had renal fossa 256 257 lesions at baseline. No patients in the nivolumab arm had a CR (Table 2). The nivolumab arm 258 had a lower proportion of patients with PD (28.6% vs 40.5%). For patients who achieved an 259 objective response in the combination arm (n = 7) or in the nivolumab arm (n = 5), the median 260 time to response was 1.8 months (95% CI, 1.7-9.1 months) and 1.8 months (95% CI, 1.6-7.3 261 months), respectively. The median duration of response was not reached in either arm; the 262 longest duration of response was 23.5 months with the combination and 9.2 months with 263 nivolumab (Table 2). The disease control rate at 24 weeks was 38.1% (16/42) with the combination and 38.1% (8/21) with nivolumab treatment (Table 2). 264

265 The ORR was not significantly different between treatment arms based on PD-L1 status 266 (Supplementary Table 1). In PD-L1 negative patients (defined as expression  $\leq$  1%), the ORR was 13.5% (5/37) with combination treatment versus 15.4% (2/13) with nivolumab. In PD-L1 267 positive patients (defined as expression > 1%), the ORR was 40.0% (2/5) with combination 268 269 treatment versus 37.5% (3/8), with nivolumab. Change in tumor burden over time is shown for 270 individual patients in **Figure 1**. The best change in the sum of target lesions from baseline for 271 each patient is shown in **Figure 2**. Progression-free survival was comparable between the combination and nivolumab arms (Table 2; Supplementary Figure 3a). The median PFS for 272 the as-treated population in the MEDI0680 and durvalumab arm was 3.6 months (95% CI, 2.0-273 5.5 months) versus 3.6 months (95% CI, 1.9-13.0 months) in the nivolumab arm (HR, 1.09; 274

95% CI, 0.58-2.04; p = 0.789). Median OS was not reached in either arm (**Table 2**;

276 **Supplementary Figure 3b**), and OS rates at 12 months were 75.2% (95% CI, 57.4%-86.4%) in

the MEDI0680 and durvalumab arm and 83.6% (95% CI, 56.8%-94.5%) in the nivolumab arm.

278 Safety

In the combination arm, 64.3% (27/42) patients discontinued treatment due to PD; in the
nivolumab arm, 61.9% (13/21) patients discontinued treatment due to PD (Supplementary
Figure 2). The median duration of exposure was 16.0 weeks (range, 2.0-120.0) for MEDI0680
and durvalumab and 29.7 weeks (range, 2.0-78.1) for nivolumab. In the combination arm, 8
(19%) patients had at least 1 dose delay for MEDI0680, and 7 (16.7%) patients had at least 1
dose delay for durvalumab. In the nivolumab arm, 3 (14.3%) patients had at least one dose
delay.

Treatment-related adverse events (TRAEs) of any grade occurred in 92.9% of patients 286 (n = 39) treated with the combination and 81.0% (n = 17) treated with nivolumab. TRAEs of 287 grade 3-4 severity are summarized in Table 3. In the combination arm, Grade 3-4 MEDI0680-288 related AEs occurred in 26.2% (n = 11) of patients and Grade 3-4 durvalumab-related AEs 289 290 occurred in 23.8% (n = 10) of patients (**Table 3**). Grade 3-4 nivolumab-related AEs occurred in 23.8% (n = 5) of patients (**Table 3**). In total, 23.8% (n = 10) of patients discontinued MEDI0680 291 292 plus durvalumab due to an AE and 14.3% of patients (n = 3) discontinued nivolumab due to an 293 AE (Supplementary Table 2).

294 Immunogenicity

Baseline and post-baseline ADA measurements for MEDI0680 were available for 40 and 39 patients, respectively. A total of 4 (10.0%) patients had an ADA positive response at baseline and a total of 2 (5.1%) patients had an ADA positive response to MEDI0680 post-baseline on cycle 5, day 1 (study day 112) and on cycle 2, day 1 (study day 31). No ADA-persistent positive responses were observed. Baseline and post-baseline ADA data for durvalumab were available
for 41 and 39 patients, respectively. One patient (2.4%) had an ADA positive response to
durvalumab at baseline and 2 (5.1%) patients had an ADA positive response to durvalumab
post-baseline. ADA persistent-positive responses were observed in 2 patients.

303 Translational biomarker analysis

304 Sample sizes for translational biomarker analyses are summarized in **Supplementary** 305 **Table 3**. Change in ctDNA was measured by percent change from baseline in mean VAF. ctDNA reductions were observed in several patients with CR and PR, in both treatment groups 306 307 (Figure 3a and 3b). In the combination and nivolumab arms, 27.5% (8/29) and 30% (3/10) of 308 patients reported an MR, respectively (Figure 3b). Only one patient with MR in the combination 309 arm reported PD as their BOR (Figure 3b). A subgroup analysis based on MR in relation to 310 PFS and OS was performed in the MEDI0680 and durvalumab treatment arm only, due to 311 sufficient sample size (n = 29). MR was observed in 8 patients (27.6%) and tended to be associated with a longer median PFS (7.7 months vs 3.4 months; log-rank p = 0.06); however, 312 313 no association with OS was observed (Figure 3c and 3d).

314 Across both arms, the median peripheral bTMB score at baseline was 6.65 mut/Mb 315 (combination arm: 6.700 mut/Mb [range, 0.96–14.36]; nivolumab arm: 6.285 mut/Mb [range, 316 1.10-8.69), consistent with previous observations showing relatively low TMB in patients with 317 mRCC (29). No association between bTMB score at baseline as a continuous variable and 318 response (CR or PR) was observed in either arm (Supplementary Figure 4a). Applying a bTMB median cutoff of 6.65 mut/Mb (above median, n = 10; below median, n = 10) did not 319 320 reveal an association with PFS or OS in the combination arm; this analysis could not be 321 performed for the nivolumab arm due to an insufficient sample size (n = 6) (Supplementary 322 Figure 4b and 4c).

323 The presence of genomic alterations derived from ctDNA analysis and obtained at 324 baseline was not associated with response in either arm (Supplementary Figure 4d). Pursuant 325 to the hypothesis that the combination of MEDI0680 and durvalumab provides a more complete 326 blockade targeting both PD-L2-PD1 and PD-L1-PD1 in comparison to anti-PD1 nivolumab 327 monotherapy, we evaluated the tumor-infiltrated immune profiles using mIF and IHC. Neither 328 PD-L1 nor PD-L2 expression were associated with response in either arm (Supplementary 329 Figure 5). Immune activated cells were associated with response in patients who received 330 combination treatment, but not nivolumab treatment, although sample-size differences between the arms must be considered when interpreting these findings. Tumors of patients with CR or 331 332 PR were characterized by increased PD-1+ immune cell and PD-1+CD8+ T cell density (cells/mm<sup>2</sup>) compared with patients who had SD (n = 38; p < 0.05), and a higher trend 333 334 compared with PD (Supplementary Figure 5). However, in patients who received nivolumab (n = 21), CD8+ Ki67+ ( $\pm$  PD-1+) T cell density (cells/mm<sup>2</sup>) showed a trend of association with 335 response (Supplementary Figure 5). Due to the small sample sizes in both arms, translational 336 findings should be interpreted with caution, particularly in the nivolumab arm. 337

#### 338 Discussion

The aim of the current study was to evaluate whether combined inhibition of PD-1 via MEDI0680 plus PD-L1 via durvalumab could improve antitumor immune response over that of PD-1 inhibition alone in patients with advanced or metastatic ccRCC. Treatment with the combination of MEDI0680 and durvalumab was safe and tolerable; however, it did not improve the ORR or PFS versus treatment with nivolumab alone. The ORR was numerically lower with MEDI0680 and durvalumab (16.7%) than with nivolumab (23.8%), but the difference was not statistically significant.

346 Differences in the ORR were not apparent between treatment arms when the analysis 347 was stratified by PD-L1 expression. Notably, and despite the study design, the combination

348 group enrolled patients with lower PD-L1 expression levels and less favorable MSKCC risk 349 status, which highlights the challenges of effectively allocating arms in smaller randomized 350 studies. Prior randomized studies of nivolumab in advanced RCC have shown a difference in 351 outcomes based on MSKCC risk group and PD-L1 expression. A randomized phase 3 study of 352 nivolumab monotherapy in patients with advanced RCC showed longer median OS in patients with favorable MSKCC risk scores (not reached [NR]), versus patients with intermediate 353 MSKCC risk scores (21.8 months; 95% CI, 18.3-NR) and poor MSKCC risk (15.3 months; 95% 354 CI, 96-22.4); however, no significant differences in ORR were observed between MSKCC risk 355 groups (30). Additionally, in a randomized phase 2 study of nivolumab monotherapy in patients 356 with metastatic RCC, median OS in the PD-L1  $\ge$  5% subgroup (NR; 95% CI, 13.4 months-NR) 357 358 was longer compared with the PD-L1 < 5% subgroup (18.2 months; 95% CI, 12.7-26.0) (31). Furthermore, ORR was higher for patients in the PD-L1  $\geq$  5% subgroup (31% versus 18%) (31). 359 360 However, a follow-up phase 3 study showed longer median OS in a subgroup of patients with < 1% PD-L1 expression (27.4 months; 95% CI, 21.4-NE) compared with the > 1% PD-L1 361 362 expression subgroup (21.8 months; 95% CI, 16.5-28.1) (5). In the present study, a larger proportion of patients in the nivolumab arm had > 1% PD-L1 expression levels and lower 363 364 MSKCC risk scores, which may have influenced the observed clinical outcomes. Therefore, the 365 efficacy results should be interpreted with caution.

Although this study did not demonstrate superior antitumor efficacy of MEDI0680 in combination with durvalumab versus nivolumab in immunotherapy-naïve subjects with advanced or metastatic ccRCC, some clinical activity was reported. Two patients (4.8%) achieved CR with the combination treatment. Responses were durable, with the median duration not reached in either arm. The longest duration was 23.5 months with MEDI0680 and durvalumab and 9.2 months with nivolumab. While median PFS was 3.6 months in both arms, the rate of discontinuations was slightly higher in the MEDI0680 and durvalumab arm. The most frequently reported TRAEs were diarrhea, fatigue, pruritus, rash, and pyrexia. AST increased was the only AE of special interest related to hepatotoxicity reported in  $\geq$  5% patients (combination arm, 4.8%; nivolumab arm, 14.3%). No hematologic toxicity or sustained hepatic, metabolic, renal, or endocrine toxicity was observed in this study and no patients died due to treatment-related toxicity.

378 Currently, there are no validated predictive biomarkers of response available for use in 379 patients with RCC in clinical practice (32). No tissue or peripheral blood-based biomarker signature evaluated was clearly associated with favorable clinical outcomes in either arm. 380 381 Multiparametric analyses did not reveal associations between bTMB or T cell infiltration and response, as the ability to investigate either of these thoroughly was limited by sample sizes. 382 The results of the ctDNA analysis, while not significant and limited by sample size, are of 383 384 interest and do warrant further investigation, particularly in larger clinical trials. Notably, we 385 observed a trend in the combination arm where tumors containing activated T cells were more likely to respond to therapy. This is consistent with a previous study in mRCC demonstrating 386 387 that tumors with activated immune profiles were more likely to respond to immunotherapy 388 treatment compared with VEGF inhibitors (33). Based on the considerable complexity 389 underlying the response to immunotherapy, additional comprehensive and integrated 390 approaches to identify suitable biomarkers of response in patients with RCC are needed (32).

In conclusion, while the safety profile of MEDI0680 and durvalumab was manageable and generally consistent with the known toxicity of the anti-PD-L1/PD-1 drug class, this study did not meet its primary endpoint. The combined blockade of PD-1 and PD-L1 did not improve efficacy over the inhibition of PD-1 alone for patients with advanced or metastatic ccRCC. Moreover, previous studies of the anti-CD80 monoclonal antibody, galiximab, similarly demonstrated favorable safety profiles but low ORRs in patients with relapsed and refractory lymphomas when used as monotherapy. ORRs in those studies were 10.3% in patients with

Hodgkin lymphoma (34) and 11% in patients with follicular lymphoma (35). Taken together, these results may suggest that the PD-L1-CD80 interaction does not have a significant role in tumor immune evasion in ccRCC, or that MEDI0680 does not provide adequate inhibition of the PD-1-CD80 interaction in patients with ccRCC. Future combination strategies could be explored combining agents that target PD-1 with others targeting alternative immunomodulatory pathways outside the PD-1/PD-L1 axis, such as CTLA-4 or VEGF.

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# Tables

Table 1. Patient Demographics and Baseline Disease Characteristics

	MEDI0680 + dunyalumah			
	(n = 42)	Nivolumab (n = 21)		
Median age (range), years	64.0 (39-80)	58.0 (38-80)		
Sex, n (%)				
Male	33 (78.6)	15 (71.4)		
Female	9 (21.4)	6 (28.6)		
ECOG PS				
0	19 (45.2)	10 (47.6)		
1	23 (54.8)	11 (52.4)		
MSKCC risk classification, n (%)				
Favorable	10 (23.8)	7 (33.3)		
Intermediate	30 (71.4)	13 (61.9)		
Poor	2 (4.8)	1 (4.8)		
PD-L1 expression, n (%)				
≤1%	37 (88.1)	13 (61.9)		
>1%	5 (11.9)	8 (38.1)		
Time from initial diagnosis to study				
entry	10	40		
n	40	19		
Median (range), months	38.3 (2.9–236.8)	14.1 (6.7–155.2)		
Number of prior anticancer therapies <sup>a</sup>				
Median (range)	2.0 (1-7)	2.0 (1-3)		
Type of prior treatment				
n	42	21		
Biologic	9 (21.4)	3 (14.3)		
Immunotherapy	1 (2.4)	) O		
Chemotherapy	13 (31.0)	7 (33.3)		
Radiation	15 (35.7)	5 (23.8)		
Surgery	28 (66.7)	16 (76.2)		
Other	21 (50.0)	12 (57.1)		
Number of prior systemic therapies				
for metastatic disease <sup>a</sup>				
n	34	17		
1	26 (76.5)	17 (100)		
2	8 (23.5)	) Ö		

ECOG PS, Eastern Cooperative Oncology Group performance status; MSKCC, Memorial Sloan Kettering Cancer Center; PD-L1, programmed cell death ligand-1. <sup>a</sup>Number of prior systemic therapies for metastatic disease is defined as number of lines of biologic, immunotherapy,

chemotherapy, and other with treatment intent as definitive treatment or palliative for recurrent/metastatic disease.

Table 2. Disease Response (As-treated Population)

	MEDI0680 + durvalumab (n = 42)	Nivolumab (n = 21)
Best overall response, n (%)		
Complete response	2 (4.8)	0
Partial response	5 (11.9)	5 (23.8)
Stable disease	17 (40.5)	8 (38.1)
Unconfirmed partial response	2 (4.8)	0
Progressive disease	17 (40.5)	6 (28.6)
Not evaluable	1 (2.4)	2 (9.5)
Objective response, n (%)	7 (16.7)	5 (23.8)
95% CI	7.0, 31.4	8.2, 47.2
p value <sup>□</sup>	0.513	—
Median progression-free survival (95% CI), months	3.6 (2.0, 5.5)	3.6 (1.9, 13.0)
Median overall survival (95% CI), months	NR (NR, NR)	NR (12.0, NR)
Median time to response (range), months	1.8 (1.7–12.8)	1.8 (1.6-7.3)
Median duration of response (range), months	NR (9.5-23.5)	NR (1.9-9.2)
Disease control at ≥ 24 weeks, n (%) <sup>a</sup> 95% Cl	16 (38.1) 23.6, 54.4	8 (38.1) 18.1, 61.6

CI, confidence interval; NR, not reached. <sup>a</sup>Complete and partial responses plus stable disease. <sup>b</sup>As compared to nivolumab.

Table 3. Treatment-related AEs of Grade 3-4 Severity by Drug (As-treated Population)

	MEDI0680 + Durvalumab (n = 42)				Nivolumab <sup>a</sup> (n = 21)	
	MEDI0680 <sup>a</sup>		Durvalumab <sup>a</sup>			
n (%)	Grade 3–4	Any Grade <sup>b</sup>	Grade 3–4	Any Grade <sup>b</sup>	Grade 3–4	Any Grade <sup>b</sup>
Patients with any treatment-related <sup>b</sup> AEs	11 (26.2)	39 (92.9)	10 (23.8)	39 (92.9)	5 (23.8)	17 (81.0)
Anemia	1 (2.4)	2 (4.8)	1 (2.4)	2 (4.8)	0	0
Immune-mediated enterocolitis	1 (2.4)	2 (4.8)	1 (2.4)	2 (4.8)	0	0
Immune-mediated pancreatitis	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	0
Hepatocellular injury	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	0
Amylase increased	1 (2.4)	2 (4.8)	1 (2.4)	2 (4.8)	1 (4.8)	0
Alanine aminotransferase increased	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	2 (9.5)
Aspartate aminotransferase increased	2 (4.8)	2 (4.8)	2 (4.8)	2 (4.8)	0	2 (9.5)
C-reactive protein increased	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	0
Lipase increased	2 (4.8)	2 (4.8)	2 (4.8)	2 (4.8)	2 (9.5)	2 (9.5)
Transaminases increased	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	0
Weight decreased	1 (2.4)	2 (4.8)	0	1 (2.4)	0	0
Hyponatremia	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	0
Arthralgia	1 (2.4)	6 (14.3)	1 (2.4)	6 (14.3)	0	2 (9.5)
Myalgia	1 (2.4)	6 (14.3)	1 (2.4)	6 (14.3)	0	2 (9.5)
Encephalitis autoimmune	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	0
Rash maculopapular	1 (2.4)	3 (7.1)	1 (2.4)	3 (7.1)	0	3 (14.3)
Rash papular	1 (2.4)	1 (2.4)	1 (2.4)	1 (2.4)	0	1 (4.8)
Adrenal insufficiency	0	0	1 (2.4)	1 (2.4)	0	0
Pancreatitis	0	0	1 (2.4)	1 (2.4)	0	1 (4.8)
Constipation	0	3 (7.1)	0	3 (7.1)	1 (4.8)	1 (4.8)
Hepatotoxicity	0	0	0	0	1 (4.8)	1 (4.8)
Hypophosphatemia	0	0	0	0	1 (4.8)	0
Renal tubular necrosis	0	0	0	0	1 (4.8)	1 (4.8)
Pneumonitis	0	1 (2.4)	0	1 (2.4%)	1 (4.8)	1 (4.8)

<sup>a</sup>As assessed by investigator; <sup>b</sup>No treatment-related deaths were observed in this study.

AE, adverse event.

#### Figures

**Figure 1.** Percentage Change From Baseline in Target Lesion Sum of Diameters (As-treated Population)

**Figure 2.** Best Percent Change From Baseline in Target Lesion Sum of Diameters for (**A**) MEDI0680 With Durvalumab, and (**B**) Nivolumab Monotherapy (As-treated Population).

\*New lesion occurred at the time best change from baseline achieved.

CR, complete response; NE, not evaluable; PD, progressive disease; PR, partial response; RECIST v1.1, Response Evaluation Criteria in Solid Tumors version 1.1; SD, stable disease.

**Figure 3.** (**A**) Change in ctDNA Mean VAF From Baseline to Week 4 and (B) Percent Change From Baseline in Mean VAF by Clinical Response. Subgroup Analysis Based on Changes in ctDNA Fraction Using a 50% Change From Baseline Cutoff in Association With (C) PFS, and (D) OS in the MEDI0680-Plus-Durvalumab arm.

Reduction in ctDNA fraction  $\geq$  50% at 4 weeks versus baseline is defined as MR and reduction ctDNA fraction < 50% at 4 weeks versus baseline is defined as non-MR.

AIC, Akaike Information Criteria; CI, confidence interval; CR, complete response; ctDNA, circulating tumor DNA; MR, molecular response; NA, not applicable; NS, not significant; OS, overall survival; PD, progressive disease; PFS, progression-free survival; PR, partial response; SD, stable disease; VAF, variant allele frequency.



Figure 2





Figure 3

# Α



В



95% CI

9–NA

9.4–NA

30

Pre-treatment Post-treatment Pre-treatment Post-treatment

 Response
 CR/PR
 SD
 PD





# Events: 25; Global p value (Log-Rank): 0.061229 AIC: 131.44; Concordance Index: 0.6



# Events: 7; Global *p* value (Log-Rank): 0.81267 AIC: 45.54; Concordance Index: 0.55