1 Long-term evidence for ecological intensification as a pathway to sustainable

2 agriculture

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- 4 Chloe MacLaren^{*1,2}, Andrew Mead³, Derk van Balen⁴, Lieven Claessens^{4,5}, Ararso Etana⁶, Janjo de
- 5 Haan⁴, Wiepie Haagsma⁴, Ortrud Jäck⁷, Thomas Keller^{6,8}, Johan Labuschagne⁹, Åsa Myrbeck^{6,10},
- 6 Magdalena Necpalova^{11,12}, Generose Nziguheba¹³, Johan Six¹², Johann Strauss^{2,9}, Pieter Andreas
- 7 Swanepoel², Christian Thierfelder¹⁴, Cairistiona Topp¹⁵, Flackson Tshuma², Harry Verstegen⁴, Robin
- 8 Walker¹⁶, Christine Watson^{7,16}, Marie Wesselink⁴, Jonathan Storkey¹
- 9
- 10 * chloe.maclaren@rothamsted.ac.uk
- 11
- 12 ¹Protecting Crops and the Environment, Rothamsted Research, West Common, Harpenden AL5 2JQ, UK
- 13 ²Department of Agronomy, Stellenbosch University, Private Bag X1, Matieland, 7602, South Africa
- ³Intelligent Data Ecosystems, Rothamsted Research, West Common, Harpenden AL5 2JQ, UK
- 15 ⁴Field Crops, Wageningen University and Research, P.O. Box 430, 8200 AK Lelystad, The
- 16 Netherlands⁵International Institute of Tropical Agriculture (IITA), P.O. Box 10, Duluti, Arusha, Tanzania
- ⁶Department of Soil and Environment, Swedish University of Agricultural Sciences (SLU), Box 7014, 75007
- 18 Uppsala, Sweden
- ⁷Department of Crop Production Ecology, Swedish University of Agricultural Sciences (SLU), Box 7043, 75007
 Uppsala, Sweden
- 21 ⁸Department of Agroecology and Environment, Agroscope, Reckenholzstrasse 191, 8046 Zürich, Switzerland
- ⁹Western Cape Department of Agriculture, Private Bag X1, Elsenburg, 7607, South Africa
- 23 ¹⁰ Department of Agriculture and Food, Research Institutes of Sweden (RISE), Box 857, 501 15 Borås, Sweden
- ¹¹Environment & Sustainable Resource Management Section, School of Agriculture & Food Science, University
 College Dublin, Ireland
- 26 ¹²Department of Environmental Systems Science, ETH Zurich, Universitätstrasse 2, 8092 Zurich, Switzerland
- 27 ¹³International Institute of Tropical Agriculture (IITA), c/o ICIPE, Kasarani, Off Thika Highway, PO 30772, Nairobi
- 28 00100, Kenya
- ¹⁴Southern Africa Regional Office, International Maize and Wheat Improvement Centre (CIMMYT), P.O. Box
 MP163, Harare, Zimbabwe
- 31 ¹⁵SRUC Edinburgh, West Mains Road, Edinburgh EH9 3JG, UK
- 32 ¹⁶SRUC Aberdeen, Craibstone Estate, Aberdeen AB21 9YA, UK

33 Abstract

34 Ecological intensification could help return agriculture into a 'safe operating space' for humanity.

35 Using a novel application of meta-analysis to data from 30 long-term experiments from Europe and

36 Africa (comprising 25,565 yield records), we investigated how field-scale EI practices interact with

ach other, and with N fertiliser and tillage, in their effects on long-term crop yields. Here we

38 confirmed that EI practices (specifically increasing crop diversity, adding fertility crops, and organic

39 matter) have generally positive effects on the yield of staple crops. However, we show that EI

40 practices have a largely substitutive interaction with N fertiliser, so that EI practices substantially

increase yield at low N fertiliser doses, but have minimal or no effect on yield at high N fertiliser
doses. El practices had comparable effects across different tillage intensities and reducing tillage did

42 doses. El practices had comparable effects a43 not strongly affect yields.

44

45 **Main**

Agriculture is a leading cause of global environmental change, while also being highly vulnerable to
 that change¹. Human activities, including agriculture, have increased greenhouse gas emissions,

48 nutrient bioavailability, habitat loss, and species extinctions toward 'planetary boundaries', where

49 Earth's environment is at high risk of shifting to a less hospitable state^{2,3}. This in turn threatens

agriculture through increasing the likelihood of extreme weather events, resource depletion, and

51 pest outbreaks^{4,5}. Agriculture must address these environmental challenges whilst also meeting the

needs of a growing global population. Although many political and societal changes could limit
 future food demand (such as fairer food distribution and reduced animal product consumption^{6,7}), it

54 must also be assumed that yields of the world's staple crops will, at the very least, need to be

55 maintained⁸.

56 Ecological Intensification (EI) is one pathway proposed to sustain yields while reducing adverse

57 impacts of agriculture on the environment (and consequently reducing threats posed to agriculture

58 by the environment). El is defined as the enhancement of ecosystem services⁹ to complement or

59 substitute for the role of anthropogenic inputs in maintaining or increasing yields^{10,11}. Anthropogenic

60 inputs have underpinned necessary gains in productivity and food security since the Green

61 Revolution, but their widespread over-use has incurred substantial environmental costs¹². El seeks to

62 retain productivity whilst mitigating environmental impacts, and is a strategy that could be

63 implemented under various sustainable agriculture paradigms, such as agroecology¹³, sustainable

64 intensification¹⁴, and Climate Smart Agriculture¹⁵. Managing farmland to provide ecosystem services

65 that support productivity can also encourage farmers to avoid environmentally degrading practices –

66 leading Tittonell¹⁶ to describe EI as both "sustained by nature and sustainable in nature".

67 Here, we investigate the extent to which crop yields can be supported by field-scale EI practices

68 targeted at enhancing the ecosystem services of nutrient cycling and regulating weeds, pests, and

69 diseases. Input-based, field-scale practices to achieve high yields involve regular and intensive inputs

of tillage, synthetic fertilisers, and pesticides, which together can lead to increased carbon emissions

71 and the release of pollutants and soil particulates into surrounding habitats^{17,18}. Identifying and

vpscaling farming practices that decouple high yields from high use of these inputs would therefore

73 facilitate returning to a global 'safe operating space'^{2,7}. There is promising evidence that many field-

scale EI practices could contribute to this decoupling¹¹, such as using legumes to fix nitrogen¹⁹,

- diversifying crops to better regulate weeds, pests and diseases²⁰, recycling manures to fertilise
 crops²¹, and managing crop residues to improve soil quality²².
- 77 Realising the full potential for EI, however, requires knowledge of the relative yield response to
- different EI practices and inputs, and the extent to which this response is context-dependent. The
- aim of EI may differ depending on the context; for example, in a high-input high-yield scenario, EI
- 80 practices may be intended to reduce inputs and thus environmental impacts while sustaining yields,
- 81 to bring cropping systems back within a global 'safe operating space'². In low-yield low-input
- 82 systems, EI practices might improve food security by complementing inputs to increase yields, in the
- 83 face of low input accessibility³¹ or adverse local conditions³². However, it is important to understand
- 84 whether different EI practices have different effects in these different contexts, so that the optimal
- 85 combinations of EI practices and inputs can be used to achieve the desired aim.
- 86 The over-arching picture of the relative effects of and interactions between EI practices and inputs
- 87 has so far remained unclear, because it is challenging for individual experiments to test more than
- 88 one or two practices or inputs in concert (given the need for enough area to replicate multiple
- 89 treatments). Meta-analyses can compare relative effects across multiple experiments²³ but have not
- 90 yet been applied to explore whether different EI practices and inputs interact in their effects on
- 91 yield. Previous research in EI has also been limited by the short term focus of many studies that
- 92 address effects on a single crop over one or two years¹¹, while the true impacts of different
- agronomic practices may only become apparent over long time scales when the effects of
- 94 interannual variability, short-term perturbations, and transitional dynamics can be accounted
- 95 for^{24,25}.
- 96 To address this knowledge gap, this study collated data across 30 long-term experiments (LTEs) in 97 Europe and Africa (with a minimum age of 9 years) to investigate the relative yield effects of 98 different El practices and inputs. Analyses of multiple LTEs have previously been used to quantify the effect of crop diversification on yields^{26,27} and to compare different soil management practices²³, but 99 not yet to explore interactions among multiple EI practices and inputs. Together, the LTEs in our 100 101 dataset assess three different El practices: (1) crop diversification from a monoculture (CD), (2) 102 addition of 'fertility' crops to an arable rotation (FC) and (3) organic matter management (OM; 103 including soil amendments and crop residues) (Table 1). Each of these offers opportunities to 104 increase ecological functioning by increasing diversity and/or connecting resource flows within and/or between farmed fields^{19–21}. Many LTEs tested El practices alongside different synthetic 105 nitrogen fertiliser application rates (NF) and tillage intensities (TI), allowing us to investigate the 106 107 effects of El practices (and combinations thereof) at different levels of these inputs. We consider 108 tillage intensity to be an anthropogenic input of energy and disturbance, which incurs fuel use and soil degradation^{18,28}. Other inputs of high potential interest in relation to field-scale EI practices are 109 phosphorus²⁹ and pesticides³⁰, but we had insufficient data to assess these. 110
- 111 In total, our dataset consisted of 25,565 plot-by-year yield records. While individual results have
- been published for most LTEs, here we further realise the potential of these LTEs by synthesising
- data across experiments to test overarching hypotheses. To combine evidence for multiple practices
- across multiple LTEs with contrasting cropping systems and treatment structures, it was necessary to
- develop a novel meta-analysis procedure to directly quantify the association between the relative
- 116 yields and differences in each EI practice and input. Our specific objectives for this analysis were to i)
- quantify the relative yield response to different EI practices and inputs in different combinations, ii)

- use these results to assess the potential for EI practices to increase crop yields for a given level of
- 119 inputs, or to sustain yields at reduced levels of inputs.
- 120

121 Exploring El via meta-analysis of multiple LTEs

122 To explore the relative effects of different El practices on yield across the 30 LTEs (Supplementary 123 Table S1.1), we used a novel three-step procedure to integrate data from experiments with different 124 crops and different treatment levels in mixed effect meta-analysis models. First, we defined each 125 treatment in each LTE according to common indices (scales or categories) of our identified EI 126 practices and inputs (Table 1, Supplementary Table S1.2). Secondly, we estimated the mean yields 127 and variances for the 'test crops' in each treatment in each LTE separately, using linear mixed 128 models to account for the appropriate treatment and blocking structure. 'Test crops' were crops 129 present in all treatments of an LTE: spring or winter wheat (Triticum aestivum), maize (Zea mays), 130 oats (Avena sativa), barley (Hordeum vulgare), sugar beet (Beta vulgaris) or potatoes (Solanum 131 tuberosum). We then calculated response ratios between the mean yields of each treatment within 132 each LTE (henceforth termed 'yield ratios'). Finally, mixed effect meta-analysis models were applied 133 to assess whether yield ratios responded consistently to particular EI practices or inputs across 134 multiple cropping systems and locations, and whether the yield response to each EI practice or input 135 was dependent on input levels and/or other parallel EI practices. 136 Separate meta-analysis models were applied for each of the three EI practices (CD, FC, and OM) and

- 137 two inputs (NF and TI) to test the effect of changing one across different levels of the others. Unlike 138 a standard meta-analysis approach that compares a 'response' treatment to a 'control' treatment, 139 our meta-analysis models were constructed to compare multiple treatments, by specifying contrasts 140 between various 'reference' and 'comparison' treatments in each LTE. Given our aim of exploring 141 whether EI practices can increase yields for a given level of inputs or sustain yields while inputs are 142 decreased, the contrast between a reference and comparison treatment always comprised either an 143 increase or change in an EI practice or a reduction in an input (the nature or magnitude of which was 144 described using moderator variables in the meta-analysis models). Each El practice or input was the 145 'focal' variable in its own meta-analysis, and a 'context' variable in the meta-analysis for other EI 146 practices and inputs (Table 1).
- 147 Using multiple models based on a common set of EI and input variables allowed us to robustly 148 identify emergent overarching patterns in the yield response to different EI practices and inputs 149 across the 30 LTEs. However, it should be noted that our yield ratio estimates for each specific 150 combination of EI practices and inputs are representative of the subset of LTEs that tested those 151 treatments, which determines the extent to which the findings are generalisable (not all treatments 152 were replicated across a range of crop types, soil types, and climates). The confidence intervals in 153 Figures 1-4 are important to indicate which treatment combinations are underpinned by more or 154 less evidence: wide confidence intervals indicate estimates for treatments that were tested in fewer 155 LTEs and/or where treatment effects were either inconsistent between replicates or years within 156 each LTE, and/or inconsistent between LTEs (or all of the above).
- Supporting information for the results presented in this paper is provided in the Supplementary
 Materials: Part 1 details each LTE and the treatments therein, Part 2 explains the use of Simpson's
 index as a metric for cropping system diversity, and Part 3 provides information on the meta-analysis

- 160 models to support the interpretation of each result and the extent to which it is generalisable
- 161 (including model selection metrics, significance tests for parameters, and tables and forest plots
- 162 illustrating the contribution of each LTE to each model and treatment estimate).
- 163

164 Crop diversification (CD) and fertility crops (FC)

Both diversifying from a monoculture and adding fertility crops to an arable rotation usually increased test crop yields (Figure 1). However, NF interacted with legumes to moderate the effect of diversification. For CD, diversification with legumes resulted in a yield increase when NF was low (<100 kg N ha⁻¹) but not when NF was high (>100 kg ha⁻¹), while diversification with non-legumes resulted in a greater yield increase under high NF than low NF (Figure 1a). These results suggest different ecological functions are provided by different crop types: legumes contributed to test crop yields via biological nitrogen fixation when NF was low³³, whereas non-legumes likely contributed via

- 172 regulation of weeds, pests and diseases (which becomes more important at high NF³⁴).
- 173 FC also generally had the highest benefit when leguminous FC were added under low NF (Figure 1b).
- 174 Under high NF, no FC crops significantly increased yields, and we observed a yield decrease when
- grain legumes were added to a ploughed arable rotation under high N, suggesting a possible
- antagonism between applied N and legumes in this context (although as only two European LTEs
- 177 tested FC in this context, Supplementary Figure 3.2, the results may not be generalisable). There was
- also little benefit of adding annual FC to a rotation that already contained legumes and received NF,
- 179 indicating that the additional biological nitrogen fixation function was redundant in this context.
- 180 However, multi-annual FC, whether leguminous or grass leys, had benefits under low NF regardless
- 181 of whether legumes were already present. This suggests leys provide additional functionality
- 182 compared with annual FC, although leys still did not significantly increase yields under high NF.
- 183

184 Organic matter management (OM)

185 OM amendments were usually beneficial to long-term yields (Figure 2), although adding manure was

associated with a larger yield increase than adding plant-based OM. It is possible this difference was

- 187 due to greater quantities of manure compared with plant-based OM applied on average across our
- 188 LTEs, or a higher nutrient content in the manure. Our assessment of the effects of different
- amendments was limited to the simple qualitative distinction of whether they were of plant or
- animal origin (Table 1), because the quantity, nutrient content, and type (e.g., plant
- 191 species/fresh/composted) of OM varied too much between LTEs to explore more detailed effects in
- 192 this study. We recommend further research using LTEs with more consistent OM treatments to
- 193 compare different amendments more rigorously.
- 194 The yield benefit of OM amendments was greater under low NF and in systems without legumes
- 195 (Figure 2) suggesting that nutrient input was an important contribution of OM to yields. In
- 196 combination with our finding that diversifying with legumes is more beneficial under low NF (Figure
- 197 1), this suggests that N supply is an important aspect of the contribution of both legumes and OM to
- 198 yields, but that multiple sources of N are not necessarily more effective than a single source.
- 199 However, unlike legumes, adding OM under high NF does still have a small additional yield benefit,

perhaps related to other nutrients such as phosphorus and potassium and their rate of release³⁵, or
 to increasing soil carbon and improving soil structure³⁶.

202 We did not observe a significant effect of retaining rather than removing crop residues on crop 203 yields (Supplementary Table S3.3). This contradicts other research suggesting that residues can 204 benefit yields through suppressing weeds, supporting beneficial biodiversity, improving water 205 infiltration and conserving soil moisture³⁷. Possibly residues have very site-specific effects, relating to residue type³⁸ and local pedo-climatic conditions^{39,40}, so our analysis could not identify a consistent 206 207 dataset-wide effect (Supplementary Figure S3.3 shows that adding residues had small positive 208 effects for come crop types in some LTEs and small negative effects in others). Surface residues 209 under reduced tillage could also have different effects on soil properties and yields compared to 210 ploughed-in residues²², but we could not assess this interaction as only one LTE in our collection 211 tested both residues and tillage together ('NTR' at SLU, Supplementary Tables S1.1, S1.2 and S3.8).

212

213 Reducing tillage and nitrogen fertiliser inputs

Of the two anthropogenic inputs investigated in this study, we found that reducing NF had strong

negative effects on yield, while reducing TI had, at most, a slight negative effect. This suggests

reducing TI may be an easy win to gain some environmental benefits (and potentially also climate

resilience benefits⁴¹) while sustaining yields at or near current levels. Viewed from the opposite

218 perspective, it also suggests that increasing TI does not substantially increase yields.

Our results on TI need cautious interpretation. Our null model, which tested only the effect of
'reducing tillage' without specifying which tillage practices were compared, indicated a mean yield
ratio of 0.96 (a 4% decrease) on average across our dataset that was significantly different from zero

222 (Z = -2.097, P < 0.05). The null model also suggested that no heterogeneity remained to be explained

by the TI or context variables (QE P>0.05, Supplementary Table S3.1), although, when tillage type

was included in the model, it did explain some heterogeneity (Supplementary Table S3.1,

- 225 Supplementary Table S3.3). Taken together, these models indicate that the change in yield relating
- to TI is small compared to overall yield variability in the dataset, but there is some (inconclusive)
- evidence that different changes in TI result in different yield outcomes. For example, basins may
 have resulted in slightly higher yields than more intensive tillage, while shifting to no-till or zero-till
- may have slightly reduced yields on average (Figure 3), as has been observed in other studies^{40,42}.

230 In contrast to reducing TI, reducing NF had a strong but context-specific effect on yields

- 231 (Supplementary Table S3.3). Our results show the standard asymptotic N response curve typically
- seen in cereal crops, but in reverse, because we tested the effect of incrementally reducing NF on
- 233 yield ratios (Figure 4). This curve is modified by different context variables representing different EI
- 234 practices. OM amendments and legumes both prevent the end of the curve where all N is removed
- from falling as low as it would in the absence of EI practices, showing that OM and legumes partly
- 236 support yields when N fertiliser is low or absent. Manure had the strongest effect in this regard: if a
- 237 system received manure applications, then most or all of the N fertiliser could be removed without
- 238 seeing a yield reduction. In this study, reduced tillage may also have mitigated the effects of N
- removal (Figure 4), but too few LTEs tested different NF levels under reduced tillage to be certain,
- and other studies have suggested the opposite $effect^{42}$.

- 241 Overall, our results suggest an optimal level of NF that differs between contexts, but that is generally
- lower in the presence of El practices. On average across all LTEs in our study, optimal NF was around
- 243 100 kg N ha⁻¹; Figure 4 demonstrates that reducing NF to this amount from higher NF rates did not
- reduce yields. Slightly more N could be removed without reducing yields if legumes were present,
- and more still if OM was present (especially manure), suggesting a lower optimal NF alongside these
- practices. Optimal N will also vary between different crops, climates, and soils (the 100 kg ha⁻¹ figure
- 247 given here is an average for our specific dataset and is not generalisable).
- 248

249 El and inputs are substitutive or additive depending on function

250 A key finding of our study is that all EI practices assessed (CD, FC, and OM) increased long-term 251 yields in most contexts, but the effects of EI practices and NF input on yields were partially 252 substitutive: the benefits of EI practices were generally reduced at higher NF, and the requirement 253 for NF was reduced when El practices were employed. This indicates that N supply explains much of 254 the contribution of the studied EI practices to crop yields. When crop demand for N is already met 255 through fertiliser, only a relatively small additive benefit of El practices was observed – for example, 256 small yield increases from some forms of crop diversification (Figure 1) and OM amendments (Figure 257 2) when NF was high. These additive benefits likely indicate functions unique to different EI 258 practices, such as 'break crop' functions of diversification²⁰, or nutrient cycling and soil structure improvements resulting from OM amendments^{35,36}. These effects of different EI practices in 259 260 different NF contexts are summarised in Figure 5. When NF is low (top panel), most El practices 261 increase yields whether they are applied separately or in combination, but especially if these EI 262 practices have an N provisioning function (adding legumes or OM). In contrast, when NF is high 263 (lower panel), then only EI practices that have functions distinct from N provisioning can increase 264 vields.

- In contrast to NF, tillage did not have a strong interaction with the EI practices, indicating that 265 farmers may be able to make decisions about tillage and EI practices independently. We found the 266 267 effect of reducing tillage to be small relative to the background variance in yield differences, but 268 possibly slightly negative. This may not, however, be consistent among all forms of reduced tillage 269 (Figure 3), and may also be influenced by environmental factors not assessed in this study – for 270 instance, Sun et al⁴³ and Pittelkow et al^{40,42} observed greater benefits in warmer, drier climates 271 (suggesting the optimal TI for yield must balance a clean seedbed with soil water conservation). 272 Furthermore, a small yield decrease may be acceptable in cases where reduced tillage offers non-273 yield benefits; either economic in terms of reduced fuel or labour costs, or environmental in terms of
- 274 decreased soil erosion, increased water infiltration, or carbon sequestration⁴⁴.
- 275 Combining different El practices together was more likely to result in positive effects than combining 276 El practices with anthropogenic inputs. The effect of diversification did not depend on whether OM 277 was applied or not, indicating an additive benefit, while the effect of adding OM to diversified 278 systems without legumes could be greater than the effect of adding OM to a monoculture, 279 suggesting a possible synergistic effect. However, Figure 4 indicates that the absolute yield of 280 systems containing combinations of only EI practices does tend to be lower than systems containing 281 combinations of EI practices and moderate NF doses (compare yield ratios in the lower two rows 282 where all NF is removed to where only some NF is removed). Thus, using EI in combination with

some NF may best reduce the trade-off between input use and the land required to produce a givenyield.

285

286 El as a pathway to sustainable agriculture

287 In practical terms, a substitutive relationship between EI practices and N fertiliser means there is

potential to: 1) use EI to increase yields when NF availability is low, 2) use EI to sustain a given yield

289 while reducing NF levels, or 3) use EI to reduce the NF required to increase yields. However,

290 combining high levels of NF with most EI practices does not increase yields. We also observed that

antagonistic interactions between EI practices and high NF are possible; in particular, diversifying a

highly fertilised system with legume crops may risk a yield decrease.

Widespread uptake of EI practices could therefore contribute to a more equitable global distribution
 of fertiliser. Currently, average NF rates in Africa are a small fraction of those in Europe, with
 smallholders in particular using much less than their fair share⁴⁵. Both Foley et al⁶ and Springmann et
 al⁷ suggest that if fertiliser use is reduced where it is currently high, then fertiliser use could be

297 increased where it is currently low, without exceeding planetary boundaries. El practices could

support this redistribution through sustaining yields while reducing fertiliser in current high-input

299 high-yielding systems, and by enhancing yields in combination with moderate fertiliser inputs in

300 currently low-yielding systems.

301 Future assessments of EI should include a wider analysis of farming systems and externalities. By

302 focusing only on test crop yields, our study has not attempted to quantify implications for overall

303 nutritional value or farm profitability. Currently it is difficult to use LTEs to assess whole-system

304 performance, as too few LTEs rigorously measure yields of diverse crop types, nor do many collect

- 305 measures of ecological function and socioeconomic outcomes. EI can have benefits beyond yield, by
- reducing the environmental and economic input costs to achieve a given yield^{10,44}. Diversifying with
- 307 legumes can increase profits and decrease pollution potential, by both increasing yields and reducing

the fertiliser requirement of the whole rotation (assuming little or no fertiliser is applied to the

- legumes, and that fertilisation of subsequent crops is reduced), while also providing an additional
 potentially high value, protein-rich product¹⁹. Crop diversity can confer resilience to weather
- variability^{41,46}, increase biodiversity⁴⁷, and suppress weeds, pests and pathogens²⁰.

However, some practices that increase yields via ecological function (and that are thus considered EI in this study) may not necessarily avoid environmental impacts. For example, manures and composts reconnect resource flows between crops and livestock, but both can cause nutrient leaching and greenhouse gas emissions and so may not be objectively more environmentally friendly than NF. If manures and composts are available as waste products, however, their use as amendments at least recycles the nutrients therein and avoids further impacts from new synthetic fertiliser creation and use²¹.

319 Socioeconomic factors can also limit the adoption of EI practices by farmers. These factors can

320 include a lack of markets and infrastructure that can receive diverse products at viable prices^{19,48},

- 321 and limited access to necessary resources including land⁴⁹, seed, and OM sources³¹. Upscaling EI
- 322 practices will thus require policymakers and society to create a more conducive socioeconomic
- 323 context. Nonetheless, our results demonstrate that EI could play an important role in the

- 324 development of future sustainable farming systems. Agricultural researchers could help to advance
- 325 EI by further investigating which practices work best together in which contexts, to provide priorities
- 326 for farmers and policymakers. We recommend that future LTEs place the development of a robustly
- ecologically functioning agroecosystem at the heart of their design and then explore what level of
- 328 inputs are necessary to optimise the performance of these systems. Such LTEs would assist progress
- toward sustainable agriculture that remains within safe planetary boundaries whilst meeting human
- aneeds for food, fuel, and fibres.
- 331

332 Methods

- 333 LTEs included in this study contained at least one crop diversity (CD), fertility crop (FC), organic
- 334 matter (OM), nitrogen fertiliser (NF) or tillage treatment (TI), and were located in either Europe or
- Africa. We defined an LTE as an 'experiment assessing the effect of treatments over decadal
- timescales' and thus all LTEs included were at least ten years old, with the exception of two nine-
- 337 year-old LTEs in sub-Saharan Africa included in order to increase representation of smallholder
- farming systems. This minimum age ensured that the mean yield estimates for each treatment were
- unlikely to be driven by unusual weather in just one or two years. Suitable LTEs were identified and
- 340 contacted via the GLTEN (<u>www.glten.org</u>) and authors' personal research networks. All LTEs that we
- 341 could contact, that agreed to share their data, and that fit our criteria, were included in this study.
- 342 The LTEs were located in England, Kenya, Malawi, Mozambique, the Netherlands, Nigeria, Scotland,
- 343 South Africa, Sweden, Zambia, and Zimbabwe (see Supplementary Figure S1.1). More details on each
- 344 LTE, including the crop types, number of replicates and the number of years of data included can be
- 345 found in Supplementary Materials Part 1.

346 Data analysis overview

- 347 We used a three-step analysis procedure to jointly interrogate the 30 LTEs in our dataset:
- 3481. We first described each treatment in each LTE in terms of common EI and input indices that349represented all treatments in all LTEs on comparable scales or in comparable categories;
- We then estimated the yield mean and variance for each treatment in each LTE using a
 different linear mixed model for each LTE to account for the appropriate experimental
 design;
- 353 3. We explored how differences in mean crop yields between treatments related to differences
 in the common EI and input indices using mixed effect meta-analysis models.
- 355 The common EI and input indices (step 1) are described in Table 1, and the classification of each
- treatment in each LTE according to the common indices is detailed in Supplementary Table S1.2. The
- procedures for the individual mixed models (step 2) and the meta-analysis models (step 3) are
- described in the subsequent Methods sections, with supporting information for the meta-analyses
- 359 provided in Supplementary Materials Part 3.
- 360 Together, these three steps comprised an efficient method to assess yield responses to comparable
- 361 treatments across multiple cropping systems and locations. The meta-analysis approach allowed us
- to directly assess the size of the yield response ratio, and to identify the influence of moderating
- 363 variables (different EI practice and input indices) on the size of the yield response ratio. Using mixed

- 364 effects meta-analysis models helped to address limitations imposed by the number of LTEs that were
- available to include in our study: these models incorporate information about differences between
- 366 treatments within LTEs, but also about differences between LTEs within shared treatments. Thus,
- 367 when estimating treatment effects for rare treatment combinations (that may only occur in one or
- 368 two LTEs), the meta-analysis uses information on the reliability of each LTE to inform the measures
- of certainty (confidence intervals and P-values) associated with each estimate. The models estimate
- treatment combinations with higher certainty if they are a) tested in LTEs that have limited within-
- 371 LTE variation, b) tested in LTEs that have consistent effects with other LTEs included in the meta-
- analysis model, and/or c) tested in a greater number of LTEs.

373 Individual LTE models

To estimate yield means and variances for each treatment in each LTE, a separate linear mixed model was constructed for each LTE. Models were fitted in R version 4.0.2 using function *Imer* in

- 376 package *Ime4*⁵⁰. All models followed the formula:
- 377 yield ~ treatment + (blocking structure) + (year)

378 where 'treatment' was a factor with each of the LTE's distinct treatments and/or treatment

379 combinations as a different factor level. For example, if an LTE had three treatments consisting of a)

a ploughed monoculture, b) a ploughed rotation and c) a no-till rotation, then the treatment factor

381 for this model had three levels (a, b and c). Treatment was included as a fixed effect while the

382 physical blocking structure and year were included as random terms.

383 Blocking structures were specified as appropriate for each LTE to account for the repeated crops 384 grown in the same plot in multiple years (for example, sub-plot nested in main plot nested in block 385 for a split-plot design). A random term for year was included as a factor to allow for variation 386 between years and over time to be partitioned out, including if more recent years tended to have 387 higher yields than past years, or vice versa. We did not account for additional temporal correlations 388 between yields from the same plot in different years: in rotations of annual crops, the yield of a crop 389 in one year is not strongly influenced by the yield of the same crop in previous years, with variation 390 in weather likely to have a dominant impact⁵¹.

- 391 Some initial models resulted in singular fits due to very low variance estimates for some random
- terms. Where this occurred the models were modified by including blocks as a fixed effect; this is
- often recommended for random terms with few levels (e.g. three blocks in an experiment) and does
- not change the model estimates and variances for each treatment. Average mean yields for each
 treatment across all blocks were estimated and their standard errors were calculated based on the
- 395 reacting the actions and blocks were estimated and their standard errors were calculated based of the 396 pooled between-plot variability after allowing for any fixed block effects. If including blocks as a
- 397 fixed effect did not suffice to avoid singularity, then we reduced the complexity of the random
- 398 model by removing highly nested terms such as sub-plots nested within plots within blocks for which
- 399 variances were estimated to be zero, or very close to $zero^{52}$.
- 400 The models included a weighting term to allow for the fact that the variance in the yields tended to
- 401 increase as mean yield increased. Weights were obtained by running an unweighted model,
- 402 obtaining the fitted values for each datapoint, and then including a weight of 1/(fitted value) in a
- 403 second otherwise identical model. Plots of residuals were inspected to ensure this weighting was
- 404 adequate to meet the assumption of homoscedasticity. Weights were not used for two models

where the weights led to a non-convergence or singular result, and a plot of residuals indicated thatweights were not needed to achieve homoscedasticity.

407 Where multiple test crops appeared in an LTE, a separate model was fitted for yields from each test 408 crop. Data from all years after 1970 in which the test crop was grown were included. Years before 409 1970 were excluded to avoid introducing variability related to historical crop protection practices 410 and crop cultivars (all LTEs had stopped using long straw cereal varieties by 1970). For LTEs that had 411 more than one cropping season in a year (the four IITA LTEs in Kenya) then both seasons were 412 included, and the random term for 'year' in the model was substituted by 'season.year' (each season 413 in each year was treated as a separate event). Where crop failures occurred in some treatments but 414 not others, these were included as zero yields for those treatments to capture the treatment-related 415 variability. However, we made an exception for the complete crop failures that occurred across all 416 treatments in all five South African LTEs in 2018, due to strong winds just prior to harvest dislodging 417 the grain. For these LTEs in this year, yields were estimated based samples from small plots shortly 418 before harvest. This provided information on treatment differences, whereas had we including the 419 whole year as zero yields would have added noise to the mean yield estimates without providing

420 information on treatment effects.

421 Data from plots in LTEs for treatments that were not relevant to this study were not included in

422 these analyses, and the blocking structures suitably modified. Excluded treatments were those that

423 received suboptimal levels of P and K in Rothamsted's Broadbalk, SRUC's Old Rotation, and the four

424 SOM LTEs managed by IITA and ETH, and all 'historical manure' plots in Rothamsted's Woburn⁵³ (see

425 Supplementary Table S1.1 for details of each LTE). These exclusions may have slightly inflated the

426 variances associated with treatment estimates from these experiments due to not including all

427 information about between-plot variability across the whole experiment, and this may have thus

428 slightly increased Type II error rates (the probability of not detecting a true difference between

429 treatments), but substantially streamlined the data collation process from these LTEs.

430 Where experiments underwent substantial changes that resulted in treatments being classified

differently according to the common variables (e.g. transitions from long leys to short leys, or

432 rotations with or without legumes), these were considered to be different treatments, and data

433 from transition periods was excluded in order to only use data from established cropping systems.

434 So for example, if an LTE began in 1980 but underwent substantial changes phased in between 1998

and 2002 (e.g. a change to the crop rotation or fertiliser treatments), an LTE could have treatments

A, B and C from 1980 – 1998 and treatments D, E and F (on the same plots) after 2002, while the

437 years 1998-2002 were discarded. These changes are detailed for each LTE in Supplementary Table

438 S1.2.

439 Multi-LTE meta-analyses

440 The treatment means and their standard errors were extracted from the individual LTE models using

function *emmeans* in package *emmeans*⁵⁴, then were summarised and collated into a single large

442 dataset containing all pairwise contrasts of treatment combinations within each LTE (no pairs

between LTEs). Each pair was labelled with the appropriate EI and input variables describing the

444 'reference' treatment and 'comparison' treatment, and with the LTE and crop type. Separate meta-

analyses were conducted on this collated dataset to explore the effect of each EI and input focal

446 variable in turn, accounting for the context variables in which the treatment contrasts occurred.

Each meta-analysis model was fitted to the subset of the data that included only the treatment
contrasts relevant to the specific hypothesis for that focal variable (Table 1). Information on the
treatment comparisons included from each LTE can be found in Supplementary Tables S3.4-10. It
was not possible to fit a single meta-analysis model including all five EI and input forms as detailed
variables, because there was insufficient replication of treatment combinations across the different

452 LTEs.

453 All meta-analysis models were fitted in R (version 4.0.2) using package metafor⁵⁵. Initially, the escalc 454 function was used to calculate log response ratios and the associated variances for each treatment 455 contrast in the combined dataset. In our study, the response is always crop yield and thus we term 456 the response ratio the 'yield ratio' for clarity. These log yield ratios (weighted by their associated 457 variances) formed the responses in a mixed effects meta-analysis model fitted using the function 458 rma.mv. Both the focal and context variables were specified as moderators with fixed effects, while 459 the LTE from which each treatment contrast originated was specified as a random effect to account 460 for potential reduced independence among treatment contrasts from the same LTE. Where multiple 461 test crops were present within an LTE, crop type was included as an additional random effect nested 462 within LTE (not enough LTEs tested the same crops to include crop type as a fixed effect, or even a 463 crossed random effect, which might have allowed more heterogeneity to be accounted for).

464 To identify the most appropriate meta-analysis model for each focal variable, several models of 465 different complexities were fitted. This model selection process addressed the questions of 1) 466 whether yield was affected by any directional change in the focal variable affected yield (null model, 467 without any moderators), 2) whether the size of the specific change in the focal variable was 468 important (base model, focal variable moderators only), 3) whether the effect of the focal variable 469 depended on each of the context variables (intermediate model) and 4, whether the effects of 470 different context variables on the effect of the focal variable on yield varied with the levels of the 471 other context variables (full model) (see Supplementary Table S3.1). This approach did not consider 472 all possible models but did allow assessment of the relative importance of different levels of 473 complexity of the combinations of moderators. The best model was selected using the Akaike 474 Information Criterion (AIC), and the QM and QE test statistics. The AIC describes 'goodness of fit' 475 (heterogeneity explained by the model penalised by the complexity of the model), while the QM and 476 QE test statistics assess the level of heterogeneity explained by the moderators included in the 477 model, and the level of residual heterogeneity, respectively. The QM and QE test statistics are 478 compared with the critical values of the appropriate chi-square distributions to calculate associated

479 P-values.

A final model selection step was performed for the two continuous focal variables, crop diversity
(Simpson's index) and nitrogen fertiliser reduction (reference nitrogen levels and proportion by
which nitrogen differed between treatments). Initial models were fitted with second-order

polynomials for each continuous variable, then equivalent models with only first-order polynomials.

- 484 Models containing second-order polynomial terms were selected if these were significant as either
- 485 main effects or interactions, and where removing the second-order polynomial terms increased the
- 486 model AIC (Supplementary Table S3.2). The second-order polynomials were not intended to
- 487 precisely describe the shape of the response curve to these focal variables, but simply to allow the
- 488 model to identify if a curved relationship better described the data than a linear relationship.

- 489 When the model with the best fitting level of complexity had been identified, a QM test was
- 490 conducted on each moderator main effect and interaction term included in the model to identify
- 491 those which significantly influenced the mean yield ratio between treatments (Supplementary Table
- 492 S3.3). The *anova.rma* function in *metafor* with the *btt* argument was used to specify each main
- 493 effect or interaction separately. The importance of each term was assessed by comparing the QM
- 494 test statistic with the critical values of the appropriate chi-square distribution. These tests assess the
- 495 marginal contribution of each term to explain the heterogeneity in the response variable, while
- allowing for the effects of all other terms included in the model.
- 497 Meta-analyses that include multiple comparisons with a common control (or reference treatment, in
 498 the context of this study) can suffer bias due to a lack of independence between contrasts. However,
- this would have been at most a minor issue in this study, for the following reasons: 1) we extracted
- the contrasts directly from the full analysis of each LTE and have already accounted for any design related non-independence⁵⁶; 2) we included LTE as a random term in the meta-analyses models,
- accounting for that fact that yield ratios within the same LTE (and thus more likely to have a
- 503 common reference treatment) are more related than yield ratios from different LTEs; and 3) all
- 504 contrasts with common reference treatments in the intermediate and full models have different
- 505 values of the moderator variables describing the comparison treatments, avoiding bias because
- these yield ratios are not pooled together⁵⁵. A small amount of non-independence would not have
- been accounted for in the null models, and not always fully in the base models, due to the use of
- 508 fewer moderators. This would not however have affected the model selection process (which is
- based on how well the moderators describe variation in the yield ratio), and so the only possible
 influence of non-independence would have occurred in our TI model. It may have slightly biased the
- 511 overall estimate of the mean effect on yield of reducing tillage. However, as we emphasise in the
- 512 main text, no strong conclusions should be drawn from this estimate anyway, given that the QE and
- 513 QM values (Supplementary Table S3.3) indicate only a small effect of tillage relative to background
- 514 variability in yields among the LTEs.
- 515 To plot the results for significant variables in each final meta-analysis model (Figures 1-4), the
- 516 *predict.rma* function was used to calculate predictions and confidence intervals, interpolated within
- 517 the range of each focal variable at each level of the context variables in the combined LTE dataset.
- 518 Care was taken not to present predictions extrapolating beyond the range of the variables in the
- 519 combined LTE dataset, given the use of polynomials for the CD and NF terms included in the models.
- 520 Plots were constructed in package *ggplot2*⁵⁷.
- 521 Forest plots were also constructed to illustrate the contribution of each LTE to the meta-analysis
- 522 estimates (Supplementary Figures S3.1-5). These show Best Linear Unbiased Predictions (BLUPS) that
- 523 combine the fixed effect and random effect estimates for each LTE from the selected meta-analysis
- 524 model for each EI theme (Supplementary Table S3.3). Essentially, these are the mean yield ratio
- estimates from each crop type in each LTE for a given treatment comparison. The plots also show
- 526 standard errors for these mean yield ratio estimates, as estimates with a larger associated variance
- 527 are given less weight in the meta-analysis model.

528 Classifying El and input interactions

529 A key aim of this paper was to explore the interaction effects amongst the different EI practices and 530 inputs to identify optimal combinations that maximised yield while minimising input use. We

- 531 therefore classified interactions according to the following three established definitions⁵⁸ (in which A
- and B represent different EI practices or inputs): **antagonistic,** where the combined effect of A and B
- is less than the effect of either A or B alone; **additive**, where the combined effect of A and B is equal
- to the sum of the separate effects of A and B; and **synergistic**, where the combined effect of A and B is greater than the sum of the separate effects of A and B. We also included a fourth class of
- 536 interaction that is less common in ecological and agricultural literature, **substitutive**, which we
- 537 define as an interaction where the combined effect of A and B is the same as the maximum effect of
- 538 either A or B alone, so that when A is reduced, the effect of B increases, and vice versa.
- 539

540

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- 552

553 Author contributions

554 CM, JS, AMe and LC conceptualised this study. DB, AE, JH, WH, OJ, TK, JL, AMy, MN, GN, JSi, JSt, PS,

555 CTh, CTo, FT, HV, RW, MW, and CW were involved in the management of and data collection from

- 556 LTEs included. CM and AMe undertook the analysis with input from JS. CM wrote the manuscript
- 557 with input from all authors.
- 558

559 Competing interests

560 The authors have no competing interests to declare.

561 Table 1: Detail on each El practice and input investigated. The first column contains the hypotheses 562 tested in each meta-analysis and the second column describes the treatment pairs used to calculate 563 the yield ratios for each. All yield ratios compare a reference treatment, which is either a lower level of EI or a higher level of input, with a comparison treatment, which is a higher level of EI or a lower 564 565 level of input. Bold text in the hypotheses in the first column describes the change between the reference and comparison treatments (tested in the null model), italicised text describes focal 566 567 variables included as moderators (tested in the base model), while normal text describes the effects 568 of the management context in which the EI practice or input reduction is implemented (tested in the 569 intermediate and full models; Supplementary Table S3.1). Bold text in the second column indicates 570 which characteristics of the reference and comparison treatments were included as focal moderator 571 variables. The final column indicates the reduced number of levels used to describe the EI practice or 572 input when it was a context variable in a meta-analysis with a different focal variable.

El or input variable	Hypothesis	Focal variable(s) and treatment pairs	Levels when a context variable
CD: Crop diversification (El practice)	Shifting from a monoculture to a crop rotation or an intercrop will increase yields, and this increase will depend on how diverse the rotation or intercrop is, whether or not legumes are included. It will also depend on the level of NF, OM, and TI in which this change in diversity is implemented.	Yield ratios were calculated between a monoculture* reference treatment with a Simpson's diversity index of 1, and a comparison treatment consisting of a rotation or an intercrop. The comparison treatment was characterised by whether it was a rotation or an intercrop , whether it included legumes or not , and by its Simpson's index of diversity (see Supplementary Materials Part 2). All yield ratios were calculated within levels of OM, TT and NF.	 (1) Monoculture (2) Diverse with legumes (3) Diverse without legumes
FC: Fertility crops (EI practice)	Adding a 'fertility crop' to an arable rotation will increase yields. This could include adding a grain legume to an arable rotation without legumes, or adding a cover crop, forage crop or ley to an arable rotation with or without legumes. The effect on yield of adding an FC crop will depend on whether the initial rotation contains legumes or not, the type of FC crop added, and whether or not the FC crop contains legumes. It will also depend on the level of NF, OM, and TI in which this addition occurs.	Yield ratios were calculated between a reference treatment comprising an arable rotation either with or without grain legumes and a comparison treatment containing a fertility crop [†] : either an annual grain legume (to a rotation without legumes only), an annual service legume (cover crop, forage crop or hay crop), a multi- annual grass ley, or a multi-annual ley containing legumes (or a mix of legumes and others). We also considered whether the fertility crop was grazed by livestock physically present on the plots. All yield ratios were calculated within levels of OM, TT and NF.	(1) Diverse with legumes (2) Diverse without legumes
OM: Organic matter (El practice)	Adding organic matter, by either retaining crop residues or adding manure or plant materials (raw or composted), will increase yields. <i>This</i> <i>increase will depend on the type of OM</i> <i>added</i> , and on the level of CD, NF, and TI in which that this addition occurs.	Yield ratios were calculated between treatment pairs that either described the addition of organic matter amendments , a change in the type of organic matter amendment, and/or a change in crop residue management from residue removal to residue retention. Organic matter amendments considered: none, plant-based, manure, or plant-based + manure. (No	(1) None (2) Plant-based OM added (3) Manure added

plant-based amendments are living; all are cuttings, plant residues, compost, or biochar). All yield ratios were calculated within levels of CD, FC, TT, and NF.

TI: Tillage intensity (input)	Reducing tillage will increase yields, and this increase will depend on the initial type of tillage and the type of tillage to which it is reduced. It will also depend on the level of CD, NF, and OM.	Yield ratios were calculated for treatment pairs describing a reduction in tillage intensity, so the reference treatment tillage type always consisted of a more intensive practice than the comparison treatment tillage type . Tillage types were considered to rank in intensity in the following order: - Deep (15-25 cm) inversion tillage (e.g. mouldboard plough) - Ridge-furrow planting (soil dug over and shaped into ridges and furrows) - Deep (15-25cm) non-inversion tillage (e.g. subsoiling) - Shallow (5-10cm) non-inversion tillage (e.g. tine harrow) - Infrequent tillage (tillage less than once per year) - Basins (soil dug within confined areas to create planting basins; also known as zai) - No-till (no tillage but some soil disturbance caused by planting implement, e.g. tine openers, rip-line seeding) - Zero-till (no tillage and no soil disturbance caused by planting implements, e.g. disc openers, dibble sticks or jab planters). All yield ratios were calculated within levels of CD, FC, OM, and NF.	 (1) Deep inversion tillage (2) Reduced tillage (includes ridge-furrows, non-inversion tillage, shallow tillage, basin, and infrequent tillage[‡]) (3) No till (includes no till and zero till)
NF: Nitrogen fertilisation (input)	Reducing nitrogen fertilisation will affect yields, and this effect will depend on the initial amount of nitrogen applied and by how much it is reduced. It will also depend on the level of CD, OM, and TI in which this reduction is implemented.	Yield ratios were calculated from treatment pairs describing a reduction in N fertilisation in the reference treatment and the comparison treatment, measured as the amount of N fertiliser applied to the reference treatment in kg N ha, and proportion by which N was reduced in the comparison treatment. All yield ratios were calculated within levels of CD, FC, OM, and TT.	(1) Zero N (2) Between 1 and 100 kg N ha ⁻¹ (3) More than 100 kg N ha ⁻¹

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Notes: *We define a monoculture as the same crop in a plot in every year (i.e. neither an intercrop nor a rotation). [†]A 'fertility crop' is defined as a crop with distinct properties from the test crops that can be added to a rotation with the aim of increasing yield through increasing the functional diversity of a system. We use this variable to explore the effects of adding nitrogen-fixing legumes, crops with different harvest procedures (cutting or grazing), and multi-annual leys, each of which could be expected to influence test crop yields via different mechanisms. [‡]That these practices are reduced relative to deep inversion tillage, not necessarily reduced in comparison to local conventional practices.

581 Figure Captions

582

Figure 1: Estimated mean yield ratios for (a) CD; diversifying from a monoculture to cropping 583 584 systems with higher Simpson's indices, using diversity that includes or excludes grain legumes 585 (panels), and (b) FC; adding fertility crops to a diverse arable system that either lacks legumes (left 586 panel) or already contains grain legumes (right panel). In (a) the x axis does not extend below 1.5, as 587 monocultures have a Simpson's index of 1 (and all treatment pairs in the model compared a 588 reference monoculture to a comparison treatment with higher diversity). In both figures, the 589 nitrogen context in which the diversification occurs is indicated by colours, while in (b) the tillage 590 context is indicated by point shapes and grazing by point shading (these variables were not 591 significant in the CD meta-analysis and so are not shown in (a)). The horizontal dashed line marks a 592 yield ratio of 1, or no change. Error bars and ribbons indicate 95% confidence intervals for the mean 593 yield ratio. The model results and forest plots of treatment contrasts underlying these predictions 594 are shown in detail in Supplementary Materials Part 3.

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Figure 2: Estimated mean yield ratios for different OM amendments (x axis) in different diversity contexts (columns), tillage contexts (point shapes) and nitrogen fertiliser contexts (colours). The labels on the x axis indicate the OM addition; 'add plant material' and 'add manure' are additions to systems currently not receiving any OM, while 'change plant material to manure' is the yield ratio between a system receiving plant-based OM and a system receiving manure, and 'add plant material to manure' is the yield ratio between a system receiving manure and a system receiving both types of OM addition. The horizontal dashed line marks a yield ratio of 1, or no change. Error bars indicate 95% confidence intervals for the mean yield ratio. The model results and forest plots of treatment contrasts underlying these predictions are shown in detail in Supplementary Materials Part 3.

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606 Figure 3: Estimated mean yield ratios for shifting from a reference tillage treatment (x axis, and point 607 colours for emphasis) to a reduced tillage comparison treatment (panels). For example, the yield 608 ratio comparing deep inversion tillage with deep non-inversion tillage is just over 1 (leftmost panel), 609 while most yield ratios between more intensive tillage systems and zero-till systems are negative, 610 with the exception of shifting from a ridge-furrow system to zero-till (rightmost panel). Yield ratios 611 were always calculated for reducing tillage, i.e. comparing the effect of shifting to a less intensive 612 tillage treatment from a more intensive tillage treatment. The horizontal dashed line marks a yield 613 ratio of 1, or no change. Error bars indicate 95% confidence intervals for the mean yield ratio. The 614 model results and forest plots of treatment contrasts underlying these predictions are shown in 615 detail in Supplementary Materials Part 3.

616

617 Figure 4: Estimated mean yield ratios for systems receiving a certain amount of NF (columns) 618 compared to systems receiving a reduced quantity of fertiliser N (x axis; expressed as a proportion of 619 the initial N kg ha⁻¹), in different diversity contexts (rows), tillage contexts (point or line shading) and 620 organic matter contexts (colours). Points are shown instead of lines where only one or two N levels 621 were present within a certain context, as a curved line cannot be reasonably estimated from only 622 two points. The horizontal dashed line marks a yield ratio of 1, or no change. Error bars and ribbons 623 indicate 95% confidence intervals for the mean yield ratio. The x axis does not extend to zero as all 624 yield ratios in the model compared a higher reference amount of NF to a lower test amount of NF.

- 625 The model results and forest plots of treatment contrasts underlying these predictions are shown in
- 626 detail in Supplementary Materials Part 3.
- 627

628 Figure 5: A summary of the EI practices and combinations thereof that increase yields (green 629 arrows), have no effect on yields (yellow arrows), or may risk a yield decrease (orange arrow), when 630 implemented in either a low NF context (top panel) or high NF context (lower panel). White boxes 631 represent farming systems with specific EI practices, and moving from one white box to another 632 along the direction of an arrow symbolises the addition of an El practice to that system. Where 633 arrows are not shown (e.g. adding a ley to a diverse system with OM), we did not have sufficient 634 data to test this contrast in our study. CD or FC practices that include legumes typically resulted in 635 yield increases under low NF, while in contrast, CD or FC practices that did not include legumes 636 resulted in yield increases under high NF. Adding OM increased yields unless the system already 637 contained legumes and received high NF. Tillage is not shown because we did not identify any clear 638 and consistent interactions between tillage and different EI practices.

639



Fertility crop type in comparison treatment











640 Data availability

- 641 The datasets analysed during the current study are available from the authors on reasonable
- 642 request. Please contact the corresponding author for assistance. Data from LTEs belonging to
- 643 Rothamsted Research are available on reasonable request via the e-RA platform
- 644 (www.era.rothamsted.ac.uk). We have refrained from depositing data into a public repository due to
- the need for guidance to correctly interpret LTE designs and datasets, and the need to ensure that
- the substantial investments by each institute in maintaining LTEs do not go unacknowledged when
- 647 data is used.

648 Code availability

- R scripts used in the analyses are also available from the corresponding author on reasonablerequest.
- 651

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785