

8-26-2022

Rocket Measurements of Electron Energy Spectra From Earth's Photoelectron Production Layer

Aroh Barjatya

Embry-Riddle Aeronautical University, barjatya@erau.edu

Shantanab Debchoudhury

Embry-Riddle Aeronautical University, debchous@erau.edu

Glyn A. Collinson

NASA Goddard Space Flight Center, The Catholic University of America, G & K Rocket Yards

Alex Glocer

NASA Goddard Space Flight center

Dennis Chornay

NASA Goddard Space Flight Center, University of Maryland

See next page for additional authors

Follow this and additional works at: <https://commons.erau.edu/publication>



Part of the [Aerospace Engineering Commons](#), and the [Physics Commons](#)

Scholarly Commons Citation

Barjatya, A., Debchoudhury, S., Collinson, G. A., Glocer, A., Chornay, D., & al., E. (2022). Rocket Measurements of Electron Energy Spectra From Earth's Photoelectron Production Layer. *Geophysical Research Letters*, (). <https://doi.org/10.1029/2022GL098209>.

This Article is brought to you for free and open access by Scholarly Commons. It has been accepted for inclusion in Publications by an authorized administrator of Scholarly Commons. For more information, please contact commons@erau.edu.

Authors

Aroh Barjatya, Shantanab Debchoudhury, Glyn A. Collinson, Alex Glocer, Dennis Chornay, and Et al.

Rocket measurements of electron energy spectra from Earth's photoelectron production layer

Glyn A. Collinson^{1,2,3}, Alex Glocer¹, Dennis Chornay^{1,4}, Robert Michell¹, Rob Pfaff¹, Tim Cameron¹, Paulo Uribe¹, Rudy A. Frahm⁵, Traci Rosnack^{1,6}, Chris Pirner^{1,7}, Ted Gass⁸, Jim Clemmons⁹, Aroh Barjatya¹⁰, Steven Martin^{1,7}, Hassanali Akbari¹, Shantanab Debchoudhury¹⁰, Rachel Conway¹⁰, Francis Eparvier¹¹, Eftyhia Zesta¹, Nikolaos Paschalidis¹

¹NASA Goddard Space Flight Center, Greenbelt, Maryland, USA

²The Catholic University of America, Washington, District of Columbia, USA

³G & K Rocket Yards, Interplanetary Expeditions, Criccieth, Gwynedd, UK

⁴University of Maryland, College Park, MD, USA

⁵Southwest Research Institute, San Antonio, TX, USA

⁶Orbital Sciences Corp., Dulles, VA, USA

⁷ADNET Systems, Inc., Bethesda, MD, USA

⁸NASA Sounding Rocket Operations Contract, NASA Wallops Flight Facility, Wallops Island, VA, USA

⁹University of New Hampshire, Durham, NH, USA

¹⁰Embry-Riddle Aeronautical University, Daytona Beach, FL, USA

¹¹Laboratory for Space and Atmospheric Physics, Boulder, CO, USA

Key Points:

- We present *in-situ* observations from a plasma spectrometer flown on a rocket to 131km in the daytime mid-latitude ionosphere
- The instrument returned calibrated measurements of the energy spectra of pristine photoelectrons near the peak of production
- The N₂ absorption feature and He-II photopeaks were partially resolved. Observations are compared with the GLOW electron model.

Corresponding author: Glyn Collinson, glyn.a.collinson@nasa.gov

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1029/2022GL098209](https://doi.org/10.1029/2022GL098209).

This article is protected by copyright. All rights reserved.

Abstract

Photoelectrons are crucial to atmospheric physics. They heat the atmosphere, strengthen planetary ambipolar electric fields, and enhance the outflow of ions to space. However, there exist only a handful of measurements of their energy spectrum near the peak of photoproduction. We present calibrated energy spectra of pristine photoelectrons at their source by a prototype Dual Electrostatic Analyzer (DESA) instrument flown on July 11 2021 aboard the *Dynamo-2* sounding rocket (NASA № 36.357). Photopeaks arising from 30.4nm He-II spectral line were observed throughout the flight above 120km. DESA also successfully resolved the rarely observed N₂ absorption feature. Below 10eV observations were in good agreement with the GLOW suprathermal electron. Above 10eV fluxes substantially deviated from the model by as much as an order of magnitude.

Plain Language Summary

We designed, built, and flew a new scientific instrument for the measurement of photoelectrons which are created when sunlight shines on the upper atmosphere. The instrument was launched on a suborbital rocket from NASA Wallops Flight Facility just before 2pm on July 11th 2021. The rocket flew to an altitude of 131 km before splashing down in the Atlantic Ocean 8 mins later. The instrument gathered scientific data during the flight, measuring the energy spectrum of electrons in Earth's ionosphere. Historical observations of electron spectra at these altitudes are extremely rare, and are often uncalibrated and/or not archived. We present calibrated observations of the pristine spectra of Earth's electrons near their source as a reference for future computer modeling and exploration of Earth's ionosphere.

1 Introduction

When sunlight shines upon planetary atmospheres, energetic photoelectrons are excited and ejected from neutral atoms by the absorption of extreme ultraviolet solar radiation. Photoelectrons play a key role in many aspects of atmospheric physics; they are an important source of heat for the ionosphere and upper thermosphere (Shea et al., 1968); they strengthen the ambipolar electric potential drop generated by planetary ionospheres (Lemaire, 1972; Khazanov et al., 1997) which is critical to understanding the quiet time polar wind (Banks & Holzer, 1968) and its global structure (Glocer et al., 2017); photoelectrons are also important in magnetospheric physics, enabling field line tracing (Xu et al., 2017, 2021), and the measurement of planetary electric potentials (Coates et al., 1985, 2015; G. A. Collinson et al., 2016, 2017).

While photoelectrons are crucial to our understanding of Earth's ionosphere, they are produced at altitudes that are extremely challenging to explore *in-situ* (Lee et al., 1980). Photoproduction peaks at approximately 130 km (see Fig. 1), too high for aircraft and balloons, but too low for satellites to reach without propulsion to prevent re-entry. There is thus a paucity of observations from the peak of the photoproduction layer with which to compare with theoretical models. At Mars the photoproduction is accessible to satellites due to the thinner atmosphere (Coates et al., 2011). However, recent observations by the Mars Atmosphere and Volatile Evolution (MAVEN) mission have not been consistent with current models of photoelectron thermalization, suggesting that electron thermalization processes in planetary thermospheres are not well understood quantitatively (Peterson, 2021).

The energy spectra of photoelectrons is very complex. Photoelectrons exhibit multiple sharp peaks from solar emission lines and a sudden drop off at ~ 60 eV due to a cut-off in the solar spectrum near 16 nm (Nagy & Banks, 1970; Mantas & Hanson, 1979). Earth's photoelectrons also exhibit a trough in electron flux at around 2.5 eV resulting from the absorption of photoelectron kinetic energy via the collisional excitation of the

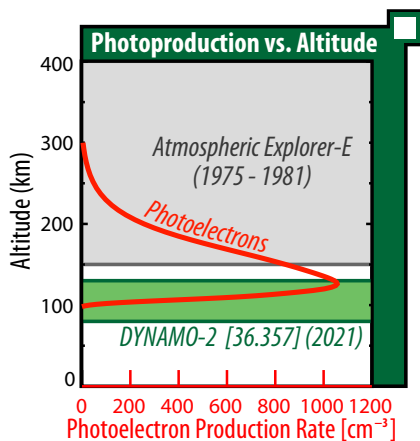


Figure 1. Photoelectron production as a function of altitude in the dayside ionosphere according to calculations using the GLOW superthermal electron model

75 N_2 vibrational mode (Nisbet, 1968). This trough-like N_2 absorption feature is fundamen-
 76 tally unstable in space plasmas (which left alone naturally prefer to decay towards a maxwell-
 77 boltzman distribution) and must constantly be maintained through continuous collisional
 78 excitation with N_2 molecules. While the N_2 feature can be consistently observed near
 79 to the photoproduction source, it disappears at altitudes above ~ 265 km - 300 km (de-
 80 pending on local zolar zenith angle) (Lee et al., 1980). Only a handful of measurements
 81 of the N_2 absorption feature have ever been reported (Doering et al., 1976; Lee et al.,
 82 1980). The low energy of this feature makes it additionally challenging to measure since
 83 1.) the gyroradius of these electrons (≈ 10 cm) approaches the size of most spectrome-
 84 ters, and 2.) measurements at these low energies can easily be contaminated by electrons
 85 originating from either the spacecraft or the sensor itself (Peterson, 2021).

86 The energy spectra of photoelectrons was measured from orbit by Atmospheric Explorer-
 87 C (Peterson et al., 1977) and then by Atmospheric Explorer-E (AE-E) (Doering et al.,
 88 1976; Peterson et al., 1977; Lee et al., 1978, 1980; Jasperse & Smith, 1978). However,
 89 these spacecraft could only study the topside of the photoproduction region (Fig. 1) where
 90 the energy spectrum has evolved with increasing altitude due to electron-electron col-
 91 lisions, transport, and physical instabilities. Also, the best of our knowledge, these data
 92 were not archived in sufficient resolution to resolve fine spectral features such as the in-
 93 dividual photopeaks or the N_2 feature.

94 Apart from the topside measurements by AE-E (Lee et al., 1980), only a handful
 95 of rocket missions have successfully returned data from the photoproduction region [e.g.
 96 Hinteregger (1960); Shea et al. (1968); Doering et al. (1970)], however very few have suc-
 97 cessfully observed the low-energy N_2 absorption feature. Hays and Sharp (1973) built
 98 a hyperbolic electrostatic analyzer that was specifically designed as a low-energy Pho-
 99 toelectron Spectrometer. They launched it on NASA sounding rocket № 13.051 on Febru-
 100 ary 8th, 1971, from the White Sands Missile Range, to an altitude of 265 km. While the
 101 instrument resolved the N_2 absorption feature, the launch occurred just past dawn, and
 102 thus much of the flight were under effectively night-time conditions and not represen-
 103 tative of the main dayside photoproduction region. McMahan and Heroux (1978) launched
 104 a cylindrical electrostatic analyzer aboard a rocket (also from White Stands) on Febru-
 105 ary 28, 1976. This mission successfully resolved the N_2 absorption feature near local noon,
 106 but their instrument was not calibrated, and thus the fluxes reported were all relative
 107 and not absolute.

108 Here we present new calibrated observations from near the peak of Earth's photo-
109 production region from an experimental plasma analyzer. The observations were made
110 at 130.85 km on a Black Brant XI rocket (NASA № 36.357 *Dynamo-2*) launched from
111 NASA's Wallops Flight Facility on July 11th 2021 at 17:56 GMT (13:56 local time). The
112 instrument, called the Dual Electrostatic Analyzer (DESA), successfully measured the
113 energy spectra of photoelectrons between 0.5 eV and 1keV at an energy resolution of 16
114 % $\Delta E/E$. This paper is organized as follows. In section 2 we describe the DESA sensor
115 and its performance. In section 3 we give an overview of the flight of DESA aboard *Dynamo-*
116 *2*. In section 4 we present the results from the flight. Finally, in section 5 we summa-
117 rize the results and our conclusions.

118 2 The Dual Electrostatic Analyzer (DESA) Instrument

119 2.1 *Dynamo-2* DESA objectives

120 DESA is a new type of plasma analyzer that has been specifically designed to mea-
121 sure the energy spectrum of electrons near planetary bodies. Eight DESA sensors flew
122 recently (May 11th 2022) aboard NASA's *Endurance* rocket mission (G. Collinson et al.,
123 2022) (NASA № 47.001). Another DESA sensor is manifested to fly on NASA's 6U *Dione*
124 cubesat. In order to gain invaluable flight experience prior to these missions, a proto-
125 type sensor (serial number DESA-NX-02A, Fig 2b) was flown as a hosted payload aboard
126 one of the two *Dynamo-2* rockets (NASA № 36.357).

127 Prior to launch, *Dynamo-2* was predicted to reach apogee somewhere between 121
128 km (2σ low) and 148 km (2σ high). Should the rocket fly low, it could have fallen just
129 short of entering the photoproduction layer, in which case DESA may not have returned
130 useful scientific data. Thus, the primary objective of the *Dynamo-2* DESA experiment
131 was to gather engineering data and evaluate the performance of its novel optics and new
132 electronics in spaceflight.

133 However, should *Dynamo-2* fly high, or even just attain its nominal apogee (135
134 km), then this would put it near the peak of the photoproduction region. Thus, the DESA-
135 NX-02A sensor was configured as a very low-energy (0.5eV - 1keV) photoelectron spec-
136 trometer in the hopes of achieving a secondary objective of measuring the energy spec-
137 tra of electrons near the photoproduction peak, with a tertiary objective of attempting
138 to resolve the seldom-seen N_2 absorption feature.

139 2.2 DESA Sensor Description

140 2.2.1 *Hybrid Optics*

141 DESA is a new kind of hybrid plasma spectrometer, the full operational principal
142 of which is described by G. A. Collinson et al. (2018). Figure 2a shows a cross-section
143 through the prototype flown aboard *Dynamo-2*. Electrons entering DESA (Fig. 2a) first
144 traverse a "Top Hat" electrostatic analyzer (ESA) (Carlson et al., 1982). The ESA pro-
145 vides an initial broad bandpass energy filter to incoming electrons, and scans over the
146 full energy range (0.5 eV to 1keV) in logarithmic steps. Electrons then pass through a
147 high-resolution Retarding Potential Analyzer (RPA). This feature of the instrument was
148 not used for the purposes of this study, and all data shown were taken with the RPA grounded
149 and functioning purely as a beam guide.

150 Simulations of the response of top hat analyzers to magnetic fields by Clark et al.
151 (2016) showed that as long as the magnetic field is aligned with the aperture (and in-
152 cident flux of plasma), then the energy selection of a top-hat analyzer is unaffected. DESA
153 optics have been designed to use this inherent property of top hat ESAs to correctly mea-
154 sure the energy of electrons down to 0.5eV without the need for magnetic shielding, as
155 long as its aperture/boresight is aligned with the magnetic field. The only caveat is that

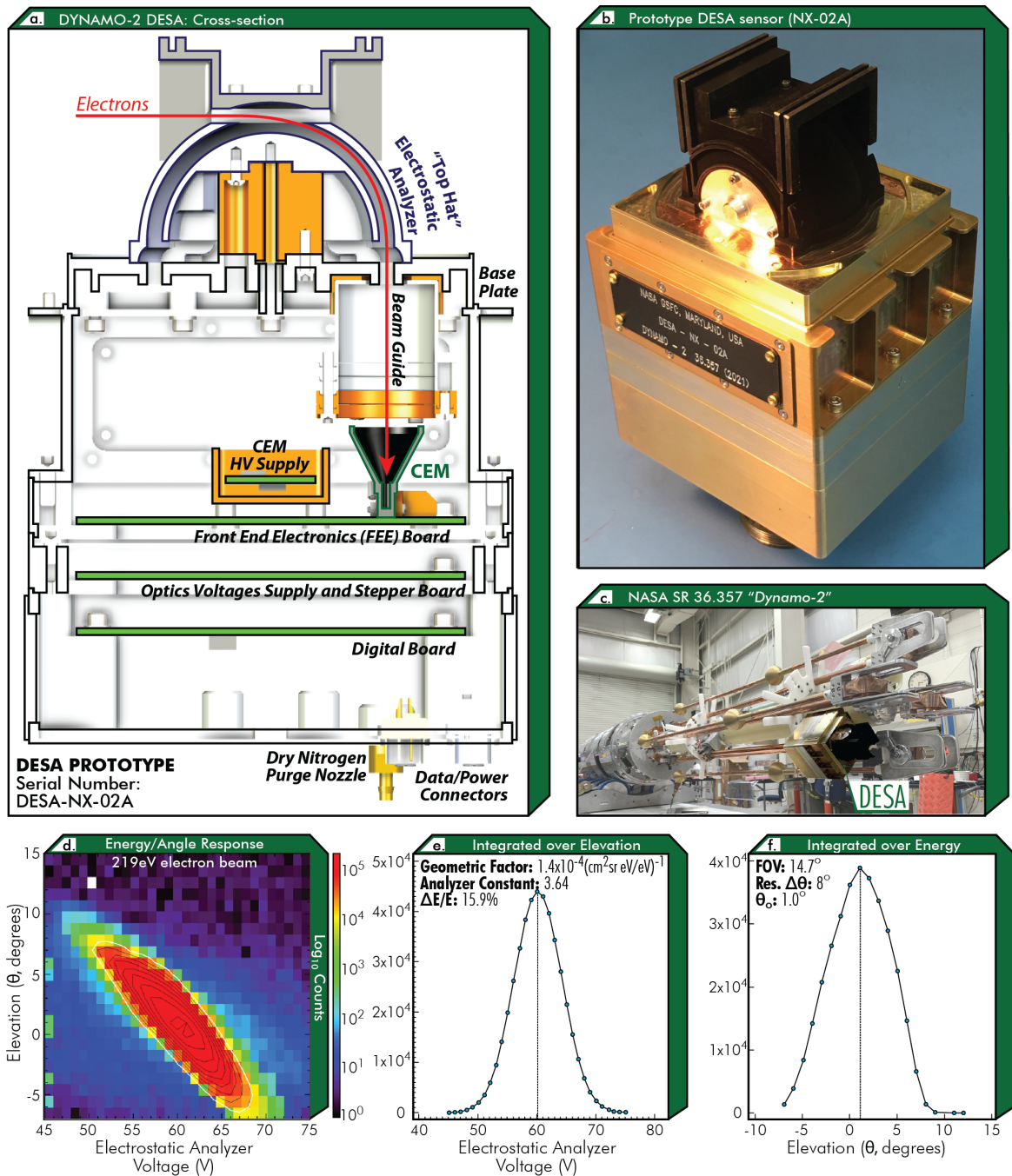


Figure 2. The Dual Electrostatic Analyzer (DESA) experiment: a.) Cross-section of DESA sensor showing main components; b.) photograph of the prototype DESA sensor (serial number NX-02A) just prior to delivery; c.) Photograph of DESA-NX-02A mounted on the Dynamo-2 rocket (NASA № 36.357). Bottom three panels show calibration data from the sensor; d.) Variation in counts as a function of elevation angle and voltage on the electrostatic analyzer; e.) Energy response; f.) Elevation Response.

156 Earth's magnetic field twists the path of incoming electrons as they pass through the ESA
157 (as per Clark et al. (2016)). Thus, while DESA can measure down to 0.5eV in energy,
158 these electrons are coming from an azimuthal angle of as much as 20° in azimuth (out
159 of the page with respect to Fig. 2a), depending on the energy of the electrons and the
160 local magnetic field strength. This was not an issue for this flight to the photoproduc-
161 tion layer where the electron population under study should be close to isotropic.

162 *2.2.2 Electron detection*

163 Having traversed the optics, electrons fall into a Channel Electron Multiplier (CEM)
164 which creates an avalanche of charge with a gain of $\approx 1 \times 10^8$. This pulse of charge is
165 amplified, then goes through a discriminator after which a count is registered. DESA is
166 designed to accommodate two CEMs, so that the instrument may simultaneously mea-
167 sure electrons from two opposing directions (field aligned and anti-aligned). However,
168 on this test flight, only one CEM was flown. The housing of the sensor included a noz-
169 zle for the hook-up of a dry nitrogen purge line to keep the inside of the sensor (and the
170 CEM) dry when the DESA sensor was being integrated with the rocket on the ground.
171 Operation of high voltage charge amplifiers at low altitudes brings the risk of electro-
172 static high-voltage breakdown. To attempt to minimize this risk, DESA was left off un-
173 til the spacecraft passed 120 km in altitude using a pre-programmed timer. DESA then
174 gathered good data from that point through apogee (131 km) and for the entire down-
175 leg until below 90 km on re-entry.

176 *2.2.3 Support electronics*

177 The DESA NX-02A sensor head has three electronics boards. The Front End Elec-
178 tronics (FEE) board includes mounting space for two CEMs, two pulse amplifier and dis-
179 criminator circuits, and a daughter board containing a 3kV HV supply for the CEMs (pot-
180 ted inside a shielded box). Below this is a board which generates and regulates the volt-
181 ages on the optics. At the bottom of the sensor is a digital card containing an FPGA
182 which controls the FEE and stepper board and sends out counts and housekeeping data.
183 Finally, the DESA sensor is supported by a main electronics box mounted to the body
184 of the rocket which provides the regulated low voltages required by the sensor and feeds
185 its data into the rocket's telemetry system.

186 *2.2.4 Accommodation*

187 The prototype DESA-NX-02A sensor was mounted on the end of a short ~ 50 cm
188 fiberglass hinged boom (Fig. 2c) to get it as far outside the contaminating plasma-sheath
189 of the rocket as possible. For a $1.37 \times 10^6 \text{ cm}^{-3}$, 525 K thermal plasma at 131 km the
190 electron debeye length (and thus the approximate thickness of the sheath) is 0.43 cm.
191 The 50 cm length of the boom enabled DESA to measure electrons up to ~ 48 eV with-
192 out being impinged by the spacecraft body by gyroradius effects. The boom was wrapped
193 in copper tape to be conductive and at the same potential as the skin of the sensor and
194 spacecraft. *Dynamo-2* was a spinning spacecraft, whose spin-axis was physically aligned
195 to the magnetic field during flight by the on-board attitude control system. The DESA
196 sensor was mounted so that its aperture would align with the spin axis, and hence the
197 ambient magnetic field. The single working aperture was pointed upwards to look to-
198 wards space after deployment.

199 The DYNAMO-2 main spacecraft included a Swept Langmuir Probe (SLP) which
200 for the purposes of this study was used to measure the electrical potential difference be-
201 tween the ionospheric plasma and the spacecraft. Effectively identical to that flown on
202 the DYNAMO-1 mission (Pfaff et al., 2020), this SLP was mounted on the spin-axis of
203 the spacecraft. The $V \times B$ electric fields of 40–50mV/m encountered during this exper-
204 iment due to the rocket's motion across the ambient magnetic field direction would re-

205 sult in small voltage variations of $\pm 20\text{--}25\text{mV}$ (varying sinusoidally with the payload
 206 spin period of ≈ 2 seconds) at the DESA location which would produce very small changes
 207 to the DESA applied voltages. The chassis of the DESA sensor was electrically grounded
 208 to the main spacecraft, and hence, would be at the same reference potential as the Lang-
 209 muir probe.

210 2.3 Calibration

211 The DESA-NX-02A sensor was calibrated at NASA Goddard Space Flight Cen-
 212 ter in the same facility as the Fast Plasma Investigation for the Magnetospheric Mul-
 213 tiscule Mission (Pollock et al., 2016). Figure 2d shows an Energy/Angle plot of the counts
 214 detected as a function of the voltage on the electrostatic analyzer and elevation angle.
 215 Following G. A. Collinson et al. (2012), this data product was used to calculate a geo-
 216 metric factor of the instrument of $1.4 \times 10^{-4} (\text{cm}^2 \text{sr eV/eV})^{-1}$. By integrating this scan
 217 over all elevations, we find that DESA has a gaussian energy acceptance bandpass (fig.
 218 2e, typical for a top hat analyzer) with an analyzer constant (energy sampled divided
 219 by voltage applied to ESA) of 3.64, and energy resolution of $15.9\% \Delta E/E$. DESA has
 220 a fixed field of view of 14.7° elevation (fig. 2f) by 17.8° in azimuth (not shown for brevity).

221 3 Overview of the 36.357 *Dynamo-2* Mission

222 *Dynamo-2* (NASA № 36.357) launched from NASA’s Wallops Island Flight Facil-
 223 ity, Wallops Island, VA at 17:56:00 Greenwich Mean Time (GMT) on July 11th 2021 (lo-
 224 cal time of 13:56:00). The payload was launched on a two stage solid-fueled Black Brant
 225 IX launch vehicle, with a Terrier booster stage to lift it off the launch pad (Fig. 3a), and
 226 a Black Brant main stage to lift it to space. The nosecone was jettisoned at 17:57:28 GMT
 227 (at approximately 75 km on the upleg), with the DESA boom successfully deploying 2s
 228 later and locking into its flight configuration (boom at 90° to the payload spin-axis).

229 An on-board timer powered the DESA-NX-02A sensor 151.14 s after launch at an
 230 altitude of 122.4 km on the up-leg. Fig. 3b shows the altitude above sea level of 36.357
 231 *Dynamo-2* during DESA operation. Apogee was at 130.85 km at 17:59:14 GMT (1:59:14
 232 ET local time). DESA continued to be fully functional until an altitude of ~ 88 km on
 233 re-entry, at which point the rising air pressure caused high-voltage breakdown. The rest
 234 of the sensor continued to function for nearly a minute until the spacecraft hit the bulk
 235 of the atmosphere and all data ceased. Around 18:04:12 GMT, the *Dynamo-2* payload
 236 impacted the Atlantic Ocean near 36.627°N , 72.550°W , coming to rest in approximately
 237 3,480m of water.

238 4 Results

239 DESA operated in multiple interleaved modes over an ~ 8 second duty cycle con-
 240 sisting of 157 voltage steps on the optics. This study focuses on only the 52 steps asso-
 241 ciated with the operation of the ESA which returned useful science data. We shall first
 242 present an overview of all data collected in this mode and then focus on the four clean-
 243 est spectra taken near to apogee.

244 4.1 Data Overview: Time vs. Energy Electron Spectrogram

245 Fig. 3c shows a time-energy spectrogram of DESA observations, whereby the color
 246 denotes \log_{10} of differential energy flux. These data have been calibrated from raw counts
 247 into flux. The final correction of these spectra for spacecraft potential will be discussed
 248 shortly. Three features are prominent in the DESA spectrogram. Firstly, a narrow band
 249 of electron emission near $\approx 20\text{eV}$ with fluxes peaking at $\sim 1 \times 10^7 \text{eV} (\text{cm}^2 \text{s sr eV})^{-1}$. This
 250 is extremely consistent with the cluster of photopeaks expected from photoionization of

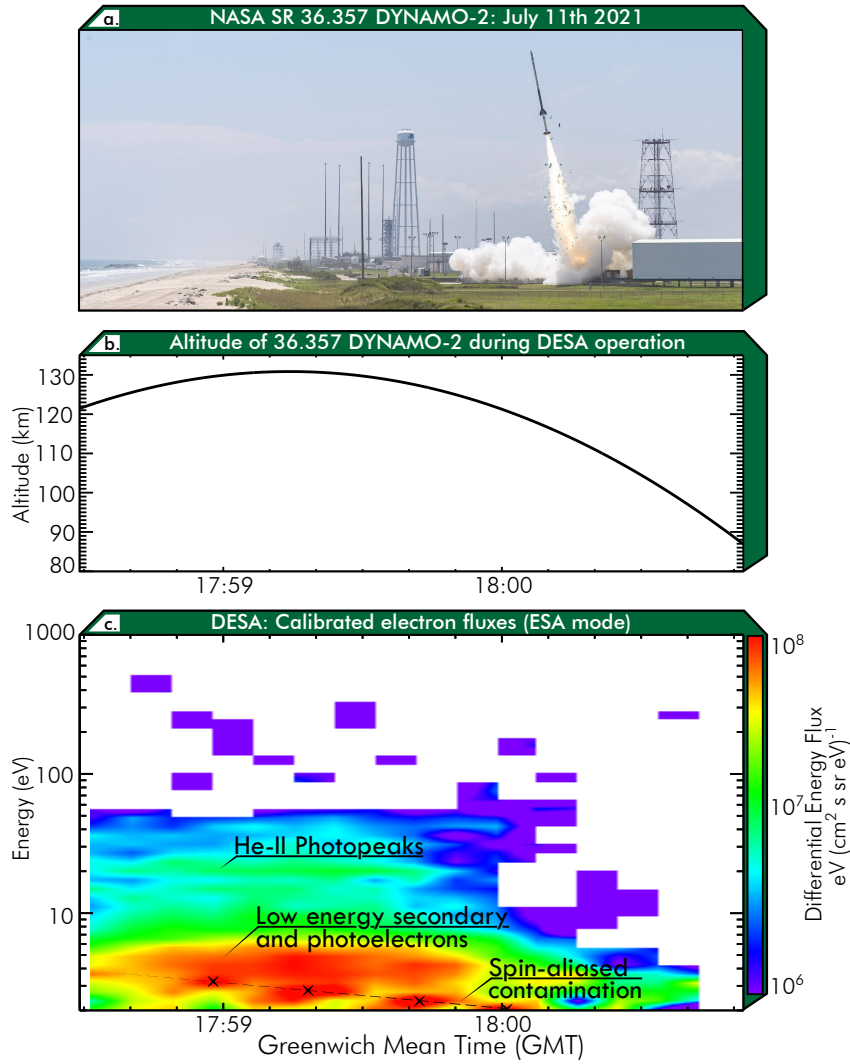


Figure 3. a.) Launch of 36.357 *Dynamo-2* (NASA Wallops/Allison Stancil); b.) Altitude of 36.357 during DESA operation; c.) Calibrated electron fluxes, uncorrected for background counts or spacecraft potential

oxygen and nitrogen by the bright He-II 30.4nm solar emission line (Doering et al., 1976; Lee et al., 1980; Coates et al., 1985). The photopeaks were observed immediately upon switch-on of the instrument at 122 km, and were clearly observed throughout the flight until the rocket descended back below ~ 120 km. A broader population of superthermal electrons was also observed near ≈ 4 eV. This bulk population of low energy electrons is an admixture of secondary electron production, photoproduction, and electron cascading from higher to lower energies through collisions (Khazanov, 2010).

Also occasionally visible at low energies (< 4 eV) was contamination from photoelectrons generated inside the instrument. The spinning of the rocket caused light to strobe off and on inside the sensor, with the most contamination being observed when DESA was at a roll angle of $\pm 90^\circ$. We posit that it was at these angles that sunlight could illuminate the inside of the ESA, resulting in photoemission from its metal surfaces. The 8.0 s duty cycle of the instrument was almost, but not quite, in phase with the 2.14 s spin rate (0.47 Hz) of the rocket, and thus in the time it took for DESA to be rotated back to an angle where it would be contaminated, the instrument hadn't quite reached the same point in its stepper table. The result is that the contamination appears in progressively lower energy bins over the flight in fig 3c.

This spin-aliased energy-dependent contamination is challenging to separate automatically and the best approach for further analysis is to examine each scan individually.

4.2 Examination of spectra measured near Apogee

Figure 4 shows the 4 scans of DESA-NX-02A which were least contaminated, which fortuitously occurred around apogee.

4.2.1 Spacecraft potential correction

The dashed dark blue lines on Fig. 4 show the same calibrated (but uncorrected) data as Fig. 3c. Using measurements from a swept Langmuir Probe carried aboard 36.357 *Dynamo-2*, the potential difference between the spacecraft and ambient plasma was calculated for each of these sweeps. These spectra were then corrected for this potential using Louiville's theorem (converting through phase space density). For a full description of this technique, see e.g. G. A. Collinson et al. (2016), supplemental materials S1.

4.2.2 Identification of possibly contaminated datapoints

Ideally, the background contamination due to photoelectrons generated inside DESA would be completely removed from these spectra before calibration. However, this is challenging for the *Dynamo-2* flight. Firstly, secondary/photoelectrons have a natural energy dependence, and so the amount of contamination naturally varies from one energy bin to the next. Secondly, as mentioned above, the amount of contamination varied greatly over the 2.14 s spin of the rocket as the sun strobed off and on inside the instrument, with most contamination at roll angles $> \pm 90^\circ$. It is thus difficult to reliably subtract these spikes in internal photoelectron contamination, since it is not a constant value or simple function.

However, to identify which datapoints are more likely to be contaminated by photoelectrons generated inside the DESA sensor, the calibrated and corrected data product was then separated into two; (1) Periods when the DESA sensor was at a roll angle $< \pm 90^\circ$ represent the best quality data that are the least likely to be contaminated (thick dark blue, Fig. 4); (2) roll angle $> \pm 90^\circ$ and thus more likely to be contaminated (light blue line, Fig. 4). However, it is key to stress that the data points labeled "more" reliable (dark blue) are still likely to be contaminated to some extent. Photoelectron con-

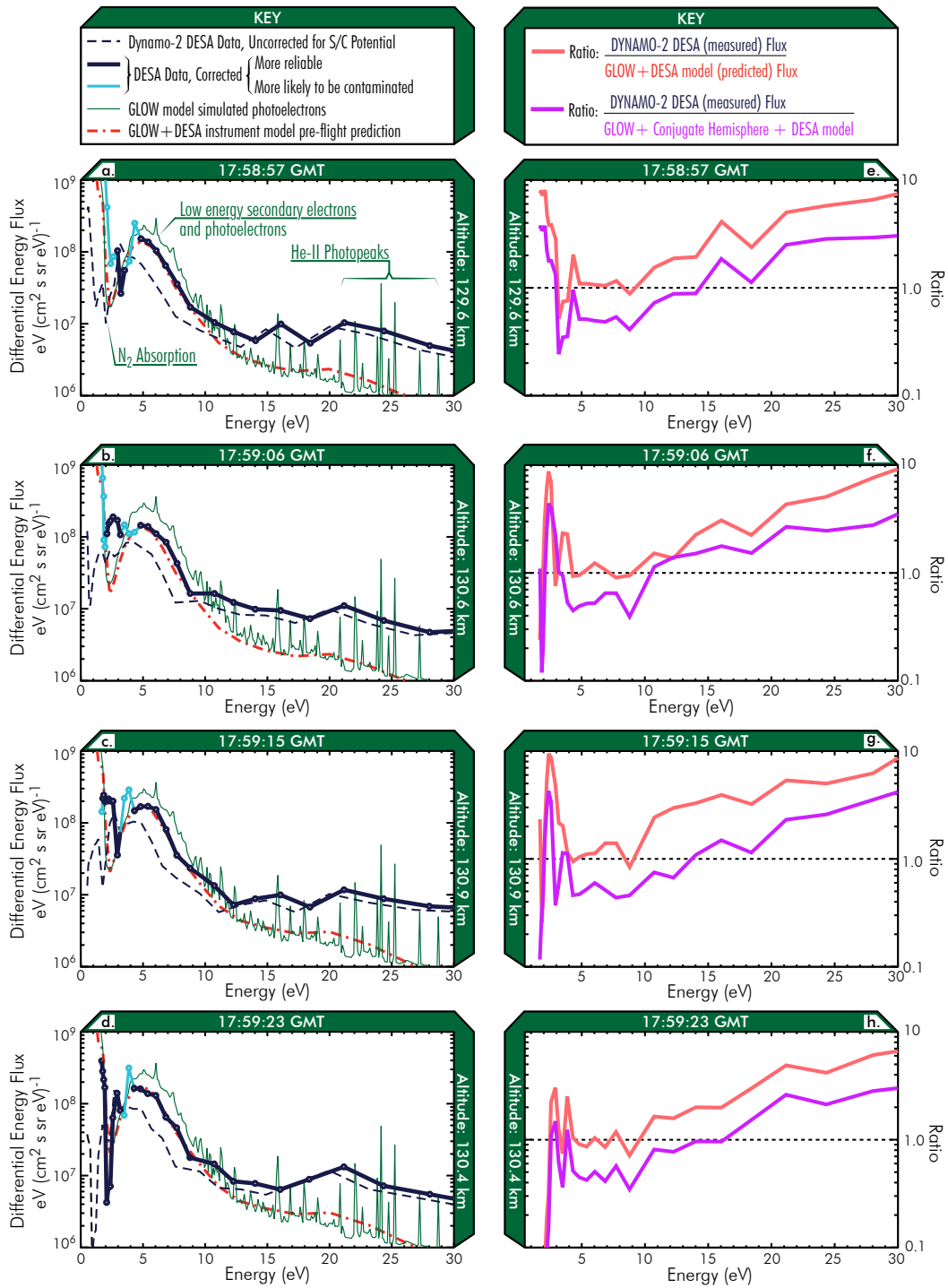


Figure 4. (a-d) Calibrated electron spectra from near apogee, corrected for spacecraft potential from the Dynamo-2 langmuir probe. The DESA sensor successfully resolved and measured several key spectral features that are predicted by models. (e-h) Ratio of flux measured during the flight to that predicted by the GLOW model / instrument model

298 tamination was most predominant below 5 eV, and thus measurements above this en-
 299 ergy are colored in dark blue (least contaminated).

300 **4.2.3 Comparison of DESA results to GLOW model prediction**

301 For direct comparison with flight data, the GLOW simulations were put through
 302 an instrument simulator which mimics the Gaussian response function of the DESA-NX-
 303 02A ESA (fig 2f) to create a synthetic data product (red dashed line, Fig. 4a-d).

304 Figs. 4e-h show the ratio between the flux measured by DESA to that predicted
 305 by the combined GLOW + instrument models (orange line). Below ~ 10 eV observations
 306 were generally consistent with the prediction. In particular, the fluxes and overall
 307 shape of the low energy population of secondary and photoelectrons are in generally good
 308 agreement (mean ratio 1.62 ± 0.3 between 3 eV to ≈ 10 eV). Below 3eV, the ratio de-
 309 viates from 1 by up to an order of magnitude due to the above-described contamination
 310 in the sensor from photoelectrons generated internally within DESA.

311 Between 10eV and 30eV, measured fluxes diverge from GLOW by up to an order
 312 of magnitude. One explanation for this may be that DESA is also observing photoelec-
 313 trons from an additional source in the magnetically conjugate southern hemisphere (Peterson
 314 et al., 1977), (Solomon et al., 2020). In order to make a first-order estimate of this ad-
 315 ditional contribution of photoelectrons we repeated the GLOW simulations, taking the
 316 upward fluxes at the top of the model and using them to set the downward flux. This
 317 downward flux was multiplied by a plasmaspheric transparency factor which was crudely
 318 estimated to be 20% for energies below 20eV, 30% for energies between 20 and 30eV, and
 319 40% for energies above 30eV. The solution was iterated until convergence was achieved.
 320 The purple line in Figs. 4e-h shows the ratio of the data to the model with this addi-
 321 tional source of conjugate hemisphere photoelectrons. In general, we find the compar-
 322 ison improves distinctly in the tail of the distribution (>9 eV). However, the agreement
 323 at lower energies is worse. For now we can only conclude that conjugate photoelectrons
 324 may resolve some of the discrepancy above 9 eV, but more detailed studies would be needed
 325 to include this effect at lower energies.

326 A second explanation might be that uncertainties ionization cross sections and in-
 327 coming photon flux may contribute to the discrepancies between the data and model.
 328 This is a prime topic for analysis of measurements by the 8 DESA sensors of the recently
 329 launched *Endurance* rocket (G. Collinson et al., 2022).

330 **4.2.4 Likely detection of N_2 Absorption feature**

331 All four scans show evidence of erosion in flux near 3eV, corresponding to the N_2
 332 absorption feature observed by AE-E and previous rockets (Peterson et al., 1977; Hays
 333 & Sharp, 1973; McMahon & Heroux, 1978; Lee et al., 1980). However, to our knowledge,
 334 these are the first calibrated measurements of this feature from near the peak of the pho-
 335 toproduction region. The N_2 absorption feature was best resolved in the scan (Fig. 4d),
 336 taken just after apogee. DESA measurements reveal that the drop in electron flux is sharper
 337 than predicted by the GLOW model, with a reduction in flux of nearly 2 orders of mag-
 338 nitude at 2.5eV.

339 **4.2.5 Detection of He-II Photopeaks**

340 All four scans exhibit a peak in flux near 22 eV associated with the He-II photo-
 341 peaks (as Fig. 3c). DESA is unable to resolve the individual peaks with the 16% $\Delta E/E$
 342 resolution of its ESA alone. The result is a single merged peak which has been observed
 343 at planetary ionospheres throughout the solar system [e.g. Coates et al. (2015)]. The en-

344 ergy of the observed peak diverges from that in the GLOW+Instrument model, strongly
345 motivating future model/data comparisons with the *Endurance* DESA dataset.

346 5 Summary and Conclusions

347 We present new observations of the energy spectra of superthermal electrons from
348 near the peak of Earth's photoelectron production layer. Data from this region is extremely
349 rare, being too high for aircraft but too low for satellites. Thus, there exist only a hand-
350 ful of past rocket-borne observations, none of which are archived and/or calibrated and
351 corrected for spacecraft potential.

352 The new measurements were made by a prototype for the new series of Dual Elec-
353 trostatic Analyzer (DESA) instruments. The sensor (serial number DESA-NX-02A) was
354 flown aboard NASA's 36.357 *Dynamo-2* rocket, launching from NASA's Wallops Flight
355 Facility on July 11th 2021 near 2pm local time.

356 Apogee was at 130.85km, near the peak of photoelectron production where DESA
357 successfully measured several hallmark spectral features. The He-II photopeaks were de-
358 tected as a single merged peak throughout the flight when above 120km. For the first
359 time in over 40 years DESA successfully resolved the 2.5eV N_2 absorption feature, for
360 which we only have a handful of previous measurements, and never before a fully cal-
361 ibrated measurement in the peak of the source region.

362 Measurements below 3eV were heavily contaminated by photoelectrons produced
363 inside the sensor. This was challenging to subtract on this flight as the degree of con-
364 tamination was dependent not only on energy but also with the roll of the rocket. In re-
365 sponse to this, subsequent DESA sensors feature a light-tight cover over their optics and
366 a mode to measure contamination as a function of energy.

367 There was generally good agreement between *DYNAMO-2* DESA measurements
368 and the GLOW superthermal electron model below ~ 10 eV for the bulk population of
369 secondary electrons and photoelectrons (Khazanov, 2010). Above ~ 10 eV, measured fluxes
370 diverged from the GLOW model began to substantially deviate, with an order of mag-
371 nitude higher fluxes at 30eV than predicted. Investigating this disparity is a prime topic
372 for analyzing data from the DESAs aboard the *Endurance* rocket (NASA № 47.001) (G. Collinson
373 et al., 2022). The engineering lessons learned from *Dynamo-2* enabled future DESA sen-
374 sors to have higher resolution, improved signal to noise, and less contamination.

375 Open Research

376 *Dynamo-2* DESA data is available at https://spdf.gsfc.nasa.gov/pub/data/aaa_sounding_rockets/dynamo2/ and https://cdaweb.gsfc.nasa.gov/pub/data/aaa_sounding_rockets/dynamo2/.

379 Acknowledgments

380 This study was supported by NASA's Heliophysics Technology and Instrument Devel-
381 opment for Science (H-TIDeS) program, by NASA's *Endurance* rocket mission (grant
382 № 80NSSC19K1206) and the *Dynamo-2* mission. The DESA instrument was developed
383 through the Goddard Space Flight Center Internal Research And Development (IRAD)
384 program. We would also like to thank Dr. S. Solomon for making the GLOW model avail-
385 able.

386 References

387 Banks, P. M., & Holzer, T. E. (1968, November). The polar wind. *J. Geophys. Res.*,

- 388 73, 6846-6854. doi: 10.1029/JA073i021p06846
- 389 Carlson, C. W., Curtis, D. W., Paschmann, G., & Michel, W. (1982). An instrument
390 for rapidly measuring plasma distribution functions with high resolution. *Ad-*
391 *vances in Space Research*, 2, 67-70. doi: 10.1016/0273-1177(82)90151-X
- 392 Clark, G., Allegrini, F., McComas, D. J., & Louarn, P. (2016, June). Modeling
393 the response of a top hat electrostatic analyzer in an external magnetic field:
394 Experimental validation with the Juno JADE-E sensor. *Journal of Geophysical*
395 *Research (Space Physics)*, 121(6), 5121-5136. doi: 10.1002/2016JA022583
- 396 Coates, A. J., Johnstone, A. D., Sojka, J. J., & Wrenn, G. L. (1985, Novem-
397 ber). Ionospheric photoelectrons observed in the magnetosphere at dis-
398 tances up to 7 earth radii. *Planet. Space. Sci.*, 33, 1267-1275. doi:
399 10.1016/0032-0633(85)90005-4
- 400 Coates, A. J., Tsang, S. M. E., Wellbrock, A., Frahm, R. A., Winningham, J. D.,
401 Barabash, S., ... Crary, F. J. (2011, August). Ionospheric photoelectrons:
402 Comparing Venus, Earth, Mars and Titan. *Planet. Space. Sci.*, 59, 1019-1027.
403 doi: 10.1016/j.pss.2010.07.016
- 404 Coates, A. J., Wellbrock, A., Waite, J. H., & Jones, G. H. (2015, June). A new up-
405 per limit to the field-aligned potential near Titan. *Geophys. Res. Lett.*, 42(12),
406 4676-4684. doi: 10.1002/2015GL064474
- 407 Collinson, G., Glocer, A., Pfaff, R., Barjatya, A., Bissett, S., Blix, K., ... Wilson, T.
408 (2022, August). The Endurance Rocket Mission. *Space Sci. Rev.*, 218(5), 39.
409 doi: 10.1007/s11214-022-00908-0
- 410 Collinson, G. A., Chornay, D. J., Glocer, A., Paschalidis, N., & Zesta, E. (2018,
411 November). A hybrid electrostatic retarding potential analyzer for the mea-
412 surement of plasmas at extremely high energy resolution. *Review of Scientific*
413 *Instruments*, 89(11), 113306. doi: 10.1063/1.5048926
- 414 Collinson, G. A., Dorelli, J. C., Avakov, L. A., Lewis, G. R., Moore, T. E., Pollock,
415 C., ... Adrian, M. L. (2012, March). The geometric factor of electrostatic
416 plasma analyzers: A case study from the Fast Plasma Investigation for the
417 Magnetospheric Multiscale mission. *Review of Scientific Instruments*, 83(3),
418 033303.
- 419 Collinson, G. A., Frahm, R., Glocer, A., Coates, A. J., Grebowsky, J. M., Barabash,
420 S., ... Zhang, T. L. (2016, June). The electric wind of Venus: A global
421 and persistent "polar wind"-like ambipolar electric field sufficient for
422 the direct escape of heavy ionospheric ions. *Geophys. Res. Lett.* doi:
423 10.1002/2016GL068327
- 424 Collinson, G. A., Mitchell, D., Xu, S., Glocer, A., Grebowsky, J., Hara, T., ...
425 Jakosky, B. (2017, February). Electric Mars: A large trans-terminator
426 electric potential drop on closed magnetic field lines above Utopia Plani-
427 tia. *Journal of Geophysical Research (Space Physics)*, 122, 2260-2271. doi:
428 10.1002/2016JA023589
- 429 Doering, J. P., Fastie, W. G., & Feldman, P. D. (1970, January). Photoelectron
430 excitation of N₂ in the day airglow. *J. Geophys. Res.*, 75(25), 4787. doi: 10
431 .1029/JA075i025p04787
- 432 Doering, J. P., Peterson, W. K., Bostrom, C. O., & Potemra, T. A. (1976, March).
433 High resolution daytime photoelectron energy spectra from AE-E. *Geophys.*
434 *Res. Lett.*, 3, 129-131. doi: 10.1029/GL003i003p00129
- 435 Glocer, A., Khazanov, G., & Liemohn, M. (2017). Photoelectrons in the quiet pol-
436 ar wind. *Journal of Geophysical Research: Space Physics*, 122(6), 6708-6726.
437 Retrieved from <http://dx.doi.org/10.1002/2017JA024177> (2017JA024177)
438 doi: 10.1002/2017JA024177
- 439 Hays, P. B., & Sharp, W. E. (1973, January). Twilight airglow: 1. Photoelectrons
440 and [O I] 5577-Angstrom radiation. *J. Geophys. Res.*, 78(7), 1153. doi: 10
441 .1029/JA078i007p01153
- 442 Hinteregger, G. E. (1960, January). Combined retarding potential analysis of photo-

- 443 electrons and environmental charged particles up to 234 km. In *Space research*
 444 (p. 304).
- 445 Jasperse, J. R., & Smith, E. R. (1978, October). The photoelectron flux in the
 446 Earth's ionosphere at energies in the vicinity of photoionization peaks. *Geo-*
 447 *phys. Res. Lett.*, 5(10), 843-846. doi: 10.1029/GL005i010p00843
- 448 Khazanov, G. V. (2010). *Kinetic Theory of the Inner Magnetospheric Plasma*
 449 (Vol. 372). New York, NY: Springer Science & Business Media.
- 450 Khazanov, G. V., Liemohn, M. W., & Moore, T. E. (1997, April). Photoelectron ef-
 451 fects on the self-consistent potential in the collisionless polar wind. *J. Geophys.*
 452 *Res.*, 102, 7509-7522. doi: 10.1029/96JA03343
- 453 Lee, J. S., Doering, J. P., Bostrom, C. O., & Potemra, T. A. (1978, July). Mea-
 454 surement of the daytime photoelectron energy distribution from AE-E
 455 with improved energy resolution. *Geophys. Res. Lett.*, 5(7), 581-583. doi:
 456 10.1029/GL005i007p00581
- 457 Lee, J. S., Doering, J. P., Potemra, T. A., & Brace, L. H. (1980, October). Mea-
 458 surements of the ambient photoelectron spectrum from atmosphere explorer: I.
 459 AE-E measurements below 300 km during solar minimum conditions. *Planet.*
 460 *Space. Sci.*, 28(10), 947-971. doi: 10.1016/0032-0633(80)90058-6
- 461 Lemaire, J. (1972). Effect of escaping photoelectrons in a polar exospheric model.
 462 *Space Research XII*, 12, 1413-1416.
- 463 Mantas, G. P., & Hanson, W. B. (1979, February). Photoelectron fluxes in
 464 the Martian ionosphere. *J. Geophys. Res.*, 84, 369-385. doi: 10.1029/
 465 JA084iA02p00369
- 466 McMahan, W. J., & Heroux, L. (1978, April). Rocket measurement of thermospheric
 467 photoelectron energy spectra. *J. Geophys. Res.*, 83(A4), 1390-1394. doi: 10
 468 .1029/JA083iA04p01390
- 469 Nagy, A. F., & Banks, P. M. (1970, November). Photoelectron fluxes in the iono-
 470 sphere. *J. Geophys. Res.*, 75(31), 6260-6270. doi: 10.1029/JA075i031p06260
- 471 Nisbet, J. S. (1968, January). Photoelectron escape from the ionosphere. *Journal*
 472 *of Atmospheric and Terrestrial Physics*, 30(7), 1257-1278. doi: 10.1016/S0021
 473 -9169(68)91090-8
- 474 Peterson, W. K. (2021, April). Perspective on energetic and thermal atmospheric
 475 photoelectrons. *Frontiers in Astronomy and Space Sciences*, 8, 41. doi: 10
 476 .3389/fspas.2021.655309
- 477 Peterson, W. K., Doering, J. P., Potemra, T. A., McEntire, R. W., & Bostrom,
 478 C. O. (1977, March). Conjugate photoelectron fluxes observed on Atmosphere
 479 Explorer C. *Geophys. Res. Lett.*, 4, 109-112. doi: 10.1029/GL004i003p00109
- 480 Pfaff, R., Larsen, M., Abe, T., Habu, H., Clemmons, J., Freudenreich, H., ...
 481 Angelopoulos, V. (2020, August). Daytime Dynamo Electrodynamics
 482 With Spiral Currents Driven by Strong Winds Revealed by Vapor Trails
 483 and Sounding Rocket Probes. *Geophys. Res. Lett.*, 47(15), e88803. doi:
 484 10.1029/2020GL088803
- 485 Pollock, C., Moore, T., Jacques, A., Burch, J., Gliese, U., Saito, Y., ... Zeuch, M.
 486 (2016, March). Fast Plasma Investigation for Magnetospheric Multiscale. *Space*
 487 *Sci. Rev.*, 199, 331-406. doi: 10.1007/s11214-016-0245-4
- 488 Shea, M. F., Sharp, R. D., & McElroy, M. B. (1968, January). Measurements and
 489 interpretation of low-energy photoelectrons. *J. Geophys. Res.*, 73(13), 4199.
 490 doi: 10.1029/JA073i013p04199
- 491 Solomon, S. C., Andersson, L., Burns, A. G., Eastes, R. W., Martinis, C., McClintock,
 492 W. E., & Richmond, A. D. (2020, March). Global-Scale Observations and
 493 Modeling of Far-Ultraviolet Airglow During Twilight. *Journal of Geophysical*
 494 *Research (Space Physics)*, 125(3), e27645. doi: 10.1029/2019JA027645
- 495 Xu, S., Frahm, R. A., Ma, Y., Luhmann, J. G., & Mitchell, D. L. (2021, October).
 496 Magnetic Topology at Venus: New Insights Into the Venus Plasma Environ-
 497 ment. *Geophys. Res. Lett.*, 48(19), e95545. doi: 10.1029/2021GL095545

498 Xu, S., Mitchell, D., Liemohn, M., Fang, X., Ma, Y., Luhmann, J., ... Jakosky,
499 B. (2017, February). Martian low-altitude magnetic topology deduced
500 from MAVEN/SWEA observations. *Journal of Geophysical Research (Space*
501 *Physics)*, 122, 1831-1852. doi: 10.1002/2016JA023467

Accepted Article