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Effects of coastal acidification on North Atlantic bivalves: interpreting laboratory responses in the context of *in situ* populations

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Abstract

Experimental exposure of early life stage bivalves has documented negative effects of elevated pCO₂ on survival and growth, but the population consequences of these effects are unknown. Following standard practices from population viability analysis and wildlife risk assessment, we substituted laboratory-derived stress-response relationships into baseline population models of Mercenaria mercenaria and Argopecten irradians. The models were constructed using inverse demographic analyses with time series of size-structured field data in NY, USA, whereas the stress-response relationships were developed using data from a series of previously published laboratory studies. We used stochastic projection methods and diffusion approximations of extinction probability to estimate cumulative risk of 50% population decline during ten-year population projections at 1, 1.5 and 2 times ambient pCO_2 levels. Although the A. irradians population exhibited higher growth in the field data (12% per year) than the declining M. mercenaria population (-8% per year), cumulative risk was high for A. irradians in the first ten years due to high variance in the stochastic growth rate estimate (log $\lambda_s = -0.02$, $\sigma^2 = 0.24$). This ten-year cumulative risk increased from 69% to 94% and >99% at 1.5 and 2 times ambient scenarios. For *M. mercenaria* (log $\lambda_s = -0.09$, $\sigma^2 = 0.01$), ten-year risk was 81%, 96% and >99% at 1, 1.5 and 2 times ambient pCO_2 , respectively. These estimates of risk could be improved with detailed consideration of harvest effects, disease, restocking, compensatory responses, other ecological complexities, and the nature of interactions between these and other effects that are beyond the scope of available data. However, results clearly indicate that early life stage responses to plausible levels of ρCO_2 enrichment have the potential to cause significant increases in risk to these marine bivalve populations.

Keywords

ocean acidification; coastal acidification; bivalves; matrix model; inverse demography; population

1. Introduction

Atmospheric carbon dioxide emissions have risen to over 400 µatm, exceeding levels occurring in the past one million years. Current emission scenarios predict that CO₂ concentrations may rise above 1000 µatm by the end of the century (IPCC 2013). The absorption of this rising CO₂ by the oceans has caused ocean acidification and associated shifts in marine carbonate chemistry, including a decrease in pH, calcium carbonate (CaCO₃) saturation state (Ω), and carbonate ion concentration (CO₃²⁻) (Cao and Caldeira 2008). While atmospheric loading of CO₂ drives open ocean acidification, acidification in coastal zones is often controlled by additional processes, including eutrophication (Feely et al. 2010, Cai et al. 2011, Mucci et al. 2011, Wallace et al. 2014). Specifically, excessive nutrient loading into coastal ecosystems results in the accumulation of algal biomass followed by microbial degradation. Especially when coupled with seasonal stratification, this can create regions of low oxygen, high CO₂, and acidification (Borges and Gypens 2010, Wallace et al. 2014). During the past decade, there have been numerous studies documenting the negative effects of altered carbonate chemistry on calcium carbonateproducing marine organisms (Orr et al. 2005, Kroeker et al. 2010, Doney et al. 2009). Some of the most sensitive of these organisms are early life stage bivalves (Green et al. 2009, Talmage and Gobler 2009, Gazeau et al. 2013; Waldbusser et al. 2013).

Laboratory studies have demonstrated that low pH and high pCO₂ (partial pressure of carbon dioxide) reduce the survival and growth of the early life stages of filter feeding bivalves that are of commercial importance on the east coast of North America. Two of these are the hard clam, Mercenaria mercenaria, and the bay scallop, Arogopecten irradians (Green et al. 2009, Gobler and Talmage 2013, Talmage and Gobler 2009, 2010, 2011). Talmage and Gobler (2009, 2010, 2011) measured the survival of larval M. mercenaria and A. irradians in laboratory experiments under CO2 levels expected in surface oceans later this century and beyond (1500 µatm), although these shellfish often experience CO₂ levels already this high in estuaries during the summer (Wallace et al. 2014). Throughout the summer, many temperate coastal systems are at the peak of their net heterotrophy and thus water can be supersaturated with CO₂ (Blight et al. 1995, Talmage and Gobler 2009, Melzner et al. 2013). This is also the period during which larval M. mercenaria and A. irradians are spawned (Kennedy and Krantz 1982, Bricelj et al. 1987). Miller and Waldbusser (2016) found that high variability in calcium carbonate mineral saturation state such as those experienced by these two bivalves can affect post-larval stage duration and therefore increase mortality rates. They did not estimate population level growth rates presumably because a suitable population model into which the impairment could be substituted was not available. Thus, although high mortality rates in the larval stage likely result in decreases in population fitness, further scrutiny within the context of whole life cycles is clearly needed.

A. irradians and *M. mercenaria* populations co-occur along the east coast of North America but have distinctly different life history strategies and habitat use. *A. irradians* is typically found among eelgrass beds and sandy substrates, reaches maturity at age one, spawns during summer and fall when seawater temperatures range from 20–24°C, and has a lifespan of 20–26 months (Shumway and Parsons 2011, Tettelbach *et al.* 1999). *A. irradians* is also one of the few bivalve species that does not live buried in the sand or attached to rocks but instead

moves freely along benthic habitats. In contrast, *M. mercenaria* buries itself just below the surface of the sediment, typically reaches physiological maturity after two years and peak reproductive output at 7 - 12 years (Hofmann *et al.* 2006), can spawn multiple times per year when water reaches the optimal temperature $(20 - 24^{\circ}C)$, and may live up to forty years (Kraeuter and Castagna 2001). Both bivalves are commercially important. Despite the extirpation of many populations, *M. mercenaria* landings in the US were ~\$57M in 2016, while *A. irradians* annual landings were ~\$3M (Commercial Fisheries Statistics 2016). Beyond their direct harvest value, both species are considered ecosystem engineers in coastal waters due to their putative ability to mitigate eutrophication through filter feeding (Officer *et al.* 1982, Newell 2004, Pomeroy *et al.* 2006).

While it is known that high levels of CO_2 affect individuals or same-aged cohorts of early life stage marine bivalves in the laboratory (Gazaeu et al. 2013), the population-level and ecological consequences of acidification are poorly understood. This is primarily because the magnitude of an organismal response is rarely predictive of effects at the population level. Since knowledge about the full life cycle in the form of vital demographic statistics is required to address this problem, common practice is to glean or derive those vital rates from disparate biological studies and then assemble them into a synthetic model. For a variety of reasons, this can produce extremely unrealistic life history models without the trade-offs and correlations that typically exist among vital rates in natural populations. For example, it would be problematic to combine a fertility estimate from a high-latitude population and a survival estimate from a low latitude population into a single model. We address this problem by estimating all the parameters for each model simultaneously from a single set of observations of an intact wild population. The approach is described by Grear et al. (2011) and others (reviewed in Caswell 2001) and involves an inverse demographic method requiring only stage- or age-structured time series of population abundance and sufficient natural history knowledge to formulate a basic model structure. In brief, our application treats the observed age/stage abundances as a multivariate time series to which a set of linear equations in the form of a demographic transition matrix are fitted using maximum likelihood.

Knowledge about biological responses to increased pCO₂ usually comes from controlled laboratory studies of isolated life stages. This is mainly because impairments of a specific vital rate (e.g., survival of a specific life stage) are often impossible to measure directly in mixed-age populations. Thus, age cohorts are typically isolated and exposed, and then responses are tracked. These responses are then substituted into demographic population models such as those discussed above to assess higher-level effects. This approach has problems resulting from the effects of cohort isolation on biological response (Grear *et al.* 2011), but it is standard practice in assessments of population viability (Morris and Doak 2002; Beissinger and Westpahl 1998; Caswell 2001). Although not yet common in the study of ocean and coastal acidification, this was the approach we used for incorporating pCO₂ effects on bivalve early life stages into population models of *A. irradians* and *M. mercenaria.*

The overarching goal of this study was to scale the impacts of elevated pCO2 (800 and 1200 µatm) on early life stages to population response, which we de fined as the probability of 50% decline in total population size. No pCO2 impairment of juveniles or adults was

considered in the scenarios. As described below, our analysis required the use of stochastic modeling techniques and diffusion approximations of population trajectories (Dennis et al. 1991, Caswell 2001, Morris & Doak 2002, Lande et al. 2003). Our combination of field population data, inverse demographic estimation, substitution of stress-response relationships developed from previous laboratory experiments, and conventional population-level risk analysis allowed us to estimate changes in risk to northeast marine bivalve populations associated with the effects of future increases in pCO2 on early life stage survival.

2. Methods

2.1 Bivalve Population Data

Although abundance data exist for *M. mercenaria* and *A. irradians* in many ecosystems, the data for our study had to meet several criteria to be suitable for inverse demographic models (IDMs; Grear *et al.* 2011, Caswell 2001, Wood 1994). First, abundance for age or size classes was needed for a minimum of three consecutive surveys evenly spaced in time. For time series with transient departures from the expected stable age/stage distribution, longer time series are needed. In addition, the method treats the survey period as a window of time during which a single set of stochastically varying survival and reproductive rates is sufficient to capture the population dynamics.

For the *M. mercenaria* analysis, we used data from the Town of Islip, NY, which conducted an annual fishery-independent grab-sampling survey in Great South Bay (GSB) NY from 1977 – 2011 (Table 1; Joseph 1989, Hofmann *et al.* 2006, Kraeuter *et al.* 2008). GSB is a small (383 km²), shallow lagoonal estuary (mean depth ~2m) located on the south shore of Long Island, NY (Schubel *et al.* 1991), that varies greatly in its physiochemical properties (pH_{NBS} range= 6.7 - 9.4 mean = 8.03 ± 0.27 sd, dissolved oxygen range = 0.3-13.4 mg/L, mean = 8.17 ± 2.47 mg/L, salinity range = 7.1 - 32.2 mean = 28.32 ± 2.87 ; SCDHS 2015). GSB is reported to have an overall moderately high eutrophication condition with high levels of chlorophyll-*a*, macroalgae, and recurrent harmful algal blooms caused by *Aureococcus anophagefferens* (Bricker *et al.* 2007, Kinney and Valiela 2011, Gobler and Sunda 2012). *M. mercenaria* in GSB were classified into four size classes based on commercial harvesting sizes of shell width (seed = 2 - 25mm, little neck = 26 - 37mm, cherrystone = 38 - 42mm, chowder 43mm) from which matrix parameters could be estimated with inverse demography (Fig. 1). Counts were reported as individuals m⁻².

A. irradians irradians data were obtained from surveys conducted during spring of each year at several locations in the Peconic Estuary on the east end of Long Island, NY (Table 1; Tettelbach *et al.* 2015) from 2005 to 2014. Sites included in the analysis in this study were Flanders Bay, Hallock Bay, Northwest Harbor, Sag Harbor, Orient Harbor, Southold Bay, Hog Neck Bay and Great Peconic Bay. The Peconic Estuary is a tidally well-mixed estuary with conditions typical of a mesotrophic estuary (pH_{NBS} range= 7.8–8.2 mean = 8.0 ± 0.16, dissolved oxygen range = $170 - 380 \mu$ M, mean = $250 \pm 60 \mu$ M, salinity range = 24.6-30.8 mean = 28.1 ± 1.34 ; SCDHS 2017). Its depth ranges from 2 m on the west to 25 m on the east. Data were collected in an age-based survey throughout the spring (March to June) where multiple 1 m x 50 m transects were completed at each site for each year (Table 1).

Data for this short-lived bivalve species (two years) were divided into age class 0 (offspring produced the summer or fall before the survey) and age class one (individuals alive during the previous year's survey) and were reported in the original data as individuals m⁻². After testing for site-specific dynamics (see below), the analysis focused on rates at the landscape level (rather than individual sites), so we did not attempt to account for immigration and emigration at individual sites.

2.2 Baseline Demographic Matrix Models

We used inverse demography to estimate population matrix models from field data. Demographic matrix models are commonly used by population ecologists, but we summarize them briefly here. Typically, the individuals are classified into discrete age, stage, or size intervals. Here, size-based models were used, with the abundances within each size or age class forming the population state vector. The matrix contains the vital rates that describe the transitions between these classes (e.g. survival, growth, birth) during 1 annual interval.

Field-based matrix models for the 2 study species were estimated from existing bivalve field surveys using IDMs, which treat the age- or size-abundance for each year as a stochastic realization of a multivariate process (Dennis et al. 1995, Grear et al. 2011). The estimation method represents this multivariate process as a set of linear algebraic equations (i.e. the rows in the matrix model) and seeks to identify the set of matrix parameters that maximizes the fit between the predicted and observed stage abundances using likelihood methods (joint probability of the observed data given the model; Dennis et al. 1995). The method eliminates the 'synthetic matrix' problem discussed above but does have limitations. Conceivably, there are multiple model solutions that could accurately describe the observed data, but most are eliminated when biologically plausible constraints are imposed (e.g. all transitions must be non-negative, survival rates cannot exceed 1.00, terms below row 1 but above the diagonal must be 0 since large individuals cannot transition into small ones).

We treated the fitted matrix models as baseline cases into which we would substitute biological responses from Talmage and Gobler (2009, 2010, 2011) and Gobler and Talmage (2013). For *M. mercenaria*, the baseline model represents the dynamics of a depleted population (Kraeuter *et al.* 2008), whereas the model for *A. irradians* is for a recovering population (Tettelbach *et al.* 2013, 2015). Female *M. mercenaria* in the little neck size class and above produce eggs (Bricelj and Malouf 1980), so the model took the following form:

$$\mathbf{A} = \begin{bmatrix} 0 & F_2 & F_3 & F_4 \\ P_1 & 0 & 0 & 0 \\ 0 & P_2 & 0 & 0 \\ 0 & 0 & P_3 & P_A \end{bmatrix}$$
(Equation 1)

$$\mathbf{n}_{t+1} = \mathbf{A}\mathbf{n}_t \tag{Equation 2}$$

where F_i is per capita fertility for size *i* individuals, which can be decomposed into a product of the number of offspring (spat) produced by adults right after the census and their

probability of survival to the next annual census (P_0). P_i is the probability of survival from size *i* to size *i*+1 in one census interval, P_A is the survival probability for adults of size 4 and above, and \mathbf{n}_t is the population vector (size abundances) at time *t*. We reduced the number of free parameters in the model by relying on the study of *M. mercenaria* fecundity in Great South Bay by Bricelj and Malouf (1980), which found that fecundity of little necks was ~40% of the mean of cherrystone and chowder clams and that the fecundity of cherrystone and chowder clams were statistically indistinguishable. These relationships were used as optimization constraints in the model fitting process described below ($F_2 \le 0.4 \times F_3$; $F_3 =$ F_4) but the magnitudes of the fertilities were only constrained to be > 0. This approach assumes similar fertilization success and larval survival among the three parental size classes.

Although *A. irradians irradians* can live for two years or more (Tettelbach *et al.* 1999), twoyear-olds were rare in the Peconic Estuary surveys that we used to construct the model. Also, there is evidence of fall spawning events in NY for *A. irradians irradians* (Tettelbach *et al.* 1999), but the fall set is smaller and presumably less important in Peconic Bay than in other populations (e.g., Hall *et al.* 2015). New cohort numbers were low in most fall surveys at the study sites (Tettelbach unpublished data). During the spring surveys used, there were two generations present (0 and 1 year-olds), but this overlap did not span an entire annual cycle. Since the age 0 class replaces the age 1 class by the next annual survey, the observed population can be modeled with non-overlapping generations. In this case, population growth is simply the replacement rate of the age 0 class. This leads to the following formulation for *A. irradians*:

$$\mathbf{A} = \begin{bmatrix} F & 0\\ p_0 & 0 \end{bmatrix}$$
(Equation 3)

to be substituted into Eq. (2) along with the 2-element population vector **n**. *F* is the contribution of offspring to the next survey by offspring counted at the current survey and p_0 is the survival of age 0 individuals at the present survey to age 1 in the next survey. In this formulation, the fertility term *(F)* is also equal to the population growth rate (λ) and is the product of spat produced after the survey *(m)* and their annual survival to the next survey, which we assume to be equal to age 0 survival (p_0). The estimate for maternity rate *(m)* thus becomes $m = F/p_0$. The parameters in this matrix for *A. irradians* (Eq. 3) were estimated in the same manner as described for *M. mercenaria* using inverse demography.

In the fitting process for the IDMs, the bivalve populations were projected forward in time from the observed population state at time *t* (Equations 2 and 4) and the residual in log space was calculated between the projected and observed values at *t*+1 to determine the likelihood of the data given the set of candidate parameters in matrix **A**. The candidate values where this likelihood is largest (i.e., the maximum likelihood estimates) and their standard errors were computed using likelihood profile methods (Pawitan 2013). Further details of this IDM approach are given in Grear et al. (2011); an application to marine crustaceans is described in Grear (2016). After estimating the matrix model, we computed population growth rate (λ), defined as the dominant right eigenvalue and, for the more complex *M. mercenaria*

model, performed elasticity analysis to assess the effect of small proportional perturbations of each matrix parameter on λ (Caswell 2001).

To assess whether the multi-site data for *A. irradians* should be aggregated and treated as a single population time series in our risk projections, we conducted an additional analysis using multivariate autoregressive state-space models (MARSS), as developed and implemented in the R package MARSS by Holmes *et al.* (2012, 2013). In state-space models, the process of interest *x* (*t*), population growth in this case, is obscured from the observer, such that the observations *y* (t) incorporate a hidden process and an observation process, both of which have their own Gaussian errors (i.e., MVN = multivariate normal). The hidden and observational processes can be summarized on the natural log scale as:

$$\mathbf{y}_{t} = \mathbf{x}_{t} + \mathbf{v}_{t}, \qquad \mathbf{v}_{t} \sim MVN(0, \mathbf{R})$$
 (Equation 4)

$$\mathbf{x}_{t} = \mathbf{x}_{t-1} + \mathbf{u} + \mathbf{w}_{t}, \qquad \mathbf{w}_{t} \sim \text{MVN}(0, \mathbf{Q})$$
(Equation 5)

where \mathbf{x} and \mathbf{y} are vectors with the elements representing each size class. The hidden process \mathbf{x} (i.e., bivalve scalar abundance trend) is affected by \mathbf{u} (e.g., population growth) and process error w, which can result from environmental and/or demographic stochasticity and has mean = 0 and variance-covariance matrix \mathbf{Q} among the multiple time series. Layered on top of this is the observational process y, which may have a consistent offset (not shown) plus observation error \mathbf{v} with mean = 0 and variance-covariance structure \mathbf{R} . The MARSS package uses recursive time series methods (i.e., the Kalman filter) to separate these processes and allows the user to compare evidence for differing processes and error structures **R** and **Q** using information-theoretic methods via AIC (Akaike Information Criterion; Burnham and Anderson 2004). A MARSS model using the T = 10-yr time series from each of n = 8 sites (transects were averaged within each of the 8 sites, Table 1) was fit to the A. irradians data from Peconic Estuary (details for sites in Tettelbach et al. 2015). Of the $n \ge T = 80$ possible abundance estimates, there were 9 missing values and 5 zero values. As is typical in population time series analysis, the log transformation of the counts served to normalize the error distribution but resulted in the conversion of the 5 zero counts to missing values.

Our primary question concerning population growth in the MARSS analysis was whether there was support for using a single *A. irradians* population growth rate across all sites. Four different MARSS models were fitted to these multivariate data (Table 2). Differences between models included constant vs. site-specific variance in observation error and constant vs site-specific population growth rate and process error variance. We compared the population growth rate from the most parsimonious of these models (based on AIC) to the growth rate estimated from the same data using IDM. We did not perform this analysis for the *M. mercenaria* data, which consisted of a single time series of size-abundance.

We tested for density dependence in both populations using methods described by Dennis & Taper (1994). Specifically, we fitted their Model 1 (stochastic exponential) and Model 2

(stochastic-logistic) to the time series of annual totals and then compared them via corrected AIC (AICc; Burnham & Anderson 2002):

Model 1:
$$X_{t+1} = X_t + a + \sigma Z_t$$
 (6)

Model 2:
$$X_{t+1} = X_t + a + bN_t + \sigma Z_t$$
 (7)

where X_t is the log of the total abundance at time t (N_t), σ is the SD of the transitions in X_t and Z_t is a normally distributed random variate with zero mean and unit variance. Exponential growth is captured by a (on the log scale); the effect of density on growth is captured by b (<0 in the case of negative density dependence). AICc was computed from the likelihood formulation given as Eq. (15) in Dennis & Taper (1994; also see applications in Morris & Doak 2002 and Grear et al. 2009). Based on these analyses (see Section 3), we determined that neither of the bivalve matrix population models should incorporate density dependence.

2.3 Assessing Impairment of Wild Populations due to pCO₂

Following the estimation of wild population matrices, the next step was to determine what levels of *p*CO2 impairment to substitute into the models. This was done using results from laboratory response experiments with early life stages (larval and in some cases early post-larval up to 40 d in total age since hatching) of *M. mercenaria* and *A. irradians*, as described by Talmage & Gobler (2009, 2010, 2011, 2012), Gobler & Talmage (2013), and Gobler et al. (2014). These experiments involved varying sample sizes and durations (usually <40 d). Among the various studies, there were 16 *p*CO₂ treatment levels for *M. mercenaria* and 15 for *A. irradians*. Rounding to the nearest 50 µatm, the levels for *M. mercenaria* (and the number of experiments that used them) can be grouped as 200 (1), 250 (1), 350 (1), 400 (4), 500 (1), 600 (1), 650 (1), 700 (1), 750 (2), and 1500 µatm (3) (note that the raw treatment levels were used in the regressions described below). For *A. irradians*, these values were 250 (3), 350 (2), 400 (2), 450 (1), 700 (1), 750 (2), 850 (1), 1400 (1), 1550 (1), and 1600 (1) µatm. All experiments were initiated with larvae spawned from broodstock local to the field survey areas. Survival responses were determined from the proportion of total individuals surviving at each time point.

We fitted logistic regressions of survival against both pCO_2 and day of observation during the survival trials to determine the impairment as a function of pCO_2 for both species. Replicate responses at each pCO_2 level within each experiment, as well as sample sizes (i.e., the number of individuals in binomial trial replicates) were pooled into mean survival and total sample size per treatment, respectively, for each experiment. This allowed weighting of the logistic regression according to the size of each experiment.

Substitution of these laboratory-derived responses into the field-based population models required some important assumptions. First, we assumed that early life stage responses to a proportional increase above ambient pCO_2 in the experiments (i.e., proportion = elevated pCO_2 treatment / control pCO_2) would be indicative of responses to a similarly proportional increase in pCO_2 above diurnally varying and occasionally supersaturated in situ pCO_2

conditions. Secondly, we assumed mean in situ pCO_2 levels were 350 µatm for *M. mercenaria* and 400 µatm for *A. irradians* due to the timing of the surveys and the approximate associated pCO_2 levels for the late 1900s and 2005–2014, respectively. We then defined early life stage duration as 40 days. The proportional change in survival to this age per unit pCO_2 change from baseline pCO_2 became the value from the laboratory studies we integrated as an impairment factor into the population models. In cases where the duration of an experiment was not exactly 40 days, this approach necessitated extrapolation to 40 days using the assumption that daily mortality from the end of the experiment to 40 days was constant. The effect of this assumption on the estimation of population growth rate is proportional to the curvature (second order derivative) of the actual lifetime survivorship curve (Grear and Elderd 2008) and is expected to be small when applied to such a short portion of the life cycle (i.e., 40 days).

The time step in our bivalve matrix models was annual, meaning that the vital rates for early life stages that are days to weeks in length are factors within the longer process of annual reproduction. Thus, the fertility term (F) is a multiplicative product of sub-annual vital processes, including brood size, larval survival, the probability of metamorphosis, and survival of post-metamorphic individuals to the next annual census. The effect of a proportional decrease in any one of these rates can be computed as a proportional decrease in F. We calculated the expected early life stage survival (S) from the laboratory models at 3 pCO₂ scenarios (400, 800, and 1200 µatm) and then divided these by S_{338} and S_{355} for M. *mercenaria* and A. *irradians*, respectively, to standardize them to proportional impairments (relative to survey con ditions) that could be substituted as factors affecting fertility in the population models (i.e. at pCO₂ = 800 µatm, F = Pbaseline. S_{800}/S_{338}). For M. *mercenaria*, this substitution was performed for F_1 , F_2 , and F_3 .

The final step was to incorporate variance in the model parameter estimates for projections of population risk. Following standard practice, this involved estimation of a stochastic population growth rate on the log scale (log λ_s) and its variance (see detailed descriptions in Caswell 2001 and Morris and Doak 2002). These were computed by first constructing distributions for each population model parameter. At each of *T* time steps, random variates were drawn from these distributions and substituted into the population model, which was then used to project the population vector forward one time step (Eqn 2). Following Caswell (2001, section 14.3.6), the stochastic growth rate was calculated from the results of these simulations as

$$\log \lambda_s = \frac{1}{T} \sum_{t=0}^{T-1} \log(N_{t+1}/N_t)$$
(8)

where N_t is total population size at time *t*. The variance (σ^2) was computed from the term shown after the summation operator. Estimates of log λ_s and σ^2 were then used to calculate the cumulative probability of population abundance dropping by 50% over time (Dennis *et al.* 1991). This method exploits the tendency for geometric population growth to be wellapproximated as a diffusion process with Gaussian errors when the real or simulated abundances are transformed to the log scale (Dennis et al. 1991, Caswell 2001, Lande *et al.* 2003).

The above-mentioned statistical distributions for the survival and fertility rates were modeled as beta and lognormal distributions, respectively (Morris & Doak 2002). The beta distributions for survival were constructed using the means and standard errors from the IDMs. Means for the lognormal fertility distributions were the F_{400} , F_{800} , and F_{1200} values for each species. We had no direct estimates of standard errors (SE) for the predictions of F at future pCO₂ levels, so we assumed they would be the same proportion of the mean as computed for the baseline population model fitted from the field data (e.g. $SE_{800} = SE_{baseline}$ x $F_{800}/F_{baseline}$)

3. Results

3.1 Mercenaria mercenaria

The 1977–2011 surveys of the Islip *M. mercenaria* population in GSB indicated a steady decline in all 4 stages (Fig. 1). The difference in AICc between the stochastic-logistic and stochastic-exponential population model of total abundance was small (AICc < 1), meaning the uncertainty introduced by adding a density-dependent parameter was too large relative to any gain in fit. Thus, we did not incorporate density dependence into the *Mercenaria* matrix model.

Maximum likelihood estimates using IDM produced the following density-independent transition matrix for the wild population of *M. mercenaria* (standard errors from the profile likelihoods are in parentheses; none of these profiles exhibited multiple local maxima):

$$\mathbf{A} = \begin{bmatrix} 0 & 0.24(0.03) & 0.61(0.07) & 0.61 & (.07) \\ 0.90 & (0.08) & 0 & 0 & 0 \\ 0 & 0.43(0.03) & 0 & 0 \\ 0 & 0 & 0.39(0.12) & 0.66(0.07) \end{bmatrix}$$
(9)

The fertilities in the top row could be decomposed into maternity (*m*) and p_0 if either of these values were known from other sources. For example, if GSB females produce 5.7×10^6 eggs (cherrystones; Bricelj & Malouf 1980), 0.1% of these survive to the larval stage (arbitrary assumption), and roughly 20% of these larvae survive to the spat stage (based on lab results analyzed here, see below), then m = 11400 and $p_0 = F/m = 5 \times 10^{-4}$.

The largest standard error in the matrix model is in the stage 3 transition and survival (P_3). Large errors at the beginning of the time series (Fig. 1) are due to the difference of the initial population state vector (i.e. size abundances at t = 0) from the stable size class distribution in the fitted matrix **A** (i.e. the right eigenvector associated with the dominant eigenvalue). The fitted model appears to capture the general trends in abundance data (Fig. 1).

The computed population growth rate from the *M. mercenaria* model was $\lambda = 0.92$. The sensitivity of this value to small proportional perturbations of the nonzero parameters (i.e. elasticity) was:

$$\mathbf{E} = \frac{a_{ij}}{\lambda} \frac{\delta\lambda}{\delta a_{ij}} = \begin{bmatrix} 0 & 0.06 & 0.07 & 0.10 \\ 0.23 & 0 & 0 & 0 \\ 0 & 0.17 & 0 & 0 \\ 0 & 0 & 0.10 & 0.26 \end{bmatrix}$$
(10)

The fertility values in **A** would have to be increased by 38% to produce a population growth rate of $\lambda = 1$, if no other rates were changed. Alternatively, a 15% increase in survival of the upper 3 stages would also give rise to $\lambda = 1$.

The general linear model (GLM) fit of early life stage survival in previous laboratory studies as a function of their pCO_2 treatment levels and days of exposure produced the following logit-linear model of early life stage survival (*S*) for *M. mercenaria* (Fig. 2):

$$\theta_{CO_2} = 1.221 - 0.001 \times p \text{CO}_2 - 0.054 \times \text{day}$$
(11)

$$S_{CO_2} = \exp(\theta_{CO_2}) / \left[1 + \exp(\theta_{CO_2})\right]$$
(12)

The first equation is the linear function on the logittransformed variable θ ; the second equation backtransforms θ to the natural scale. Analysis of deviance of the logistic regression indicated a significant *p*CO₂ effect (deviance = 688, df = 1, p = Pr [> x^2] < 0.0001). Substitution of *p*CO₂ (µatm) and day (up to Day 40) into these equations allows computation of early life-stage impairment based on the combined laboratory studies. In the 800 and 1200 µatm scenarios, these fitted functions produce 40 d survival rates that are 67 and 48% of the 400 µatm estimate, respectively ($S_{400} = 0.21$, $S_{800} = 0.14$, and $S_{1200} = 0.10$). The survival model can be reformulated to compute 40 d survival as a product of daily survival rates using:

$$\prod_{\text{day} = 0}^{39} S_{p\text{CO}_2, \text{ day} + 1} / S_{p\text{CO}_2, \text{ day}}$$
(13)

with the *S* terms computed using Eqs. (11 & 12). By sub stituting day- specific pCO_2 values, this would allow computation of 40 d survival under varying or decreasing daily pCO_2 scenarios. However, 40 d survival estimates produced in this way give virtually the same result as computing the mean pCO_2 during the season and then using it in the above equations. This is simply because in any function that assumes a constant geometric process (i.e. survival from day *t* to day *t*+1 is nearly constant for all *t* in Eqs. 11 & 15), as we were forced to do given available data, the mean of the function over varying levels of the predictor (pCO_2) will be identical to applying the function directly to the mean of the predictor.

Substitutions of the impaired fertility values into the stochastic matrix models produced stochastic pop ulation growth rates for *M. mercenaria* of log $\lambda_{s,400} = -0.10$ ($\sigma^2 = 0.01$), log $\lambda_{s,800} = -0.17$ ($\sigma^2 = 0.01$), and log $\lambda_{s,1200} = -0.23$ ($\sigma^2 = 0.02$). As theoretically expected,

the stochastic growth rate of the baseline model is lower than the growth rate from its deterministic equivalent (ln $[\lambda] = -0.08$) due to Jensen's inequality (Ross 2010). The cumulative probability of the population declining by 50% within 5 yr in creases from 25% percent at 400 µatm to 79 and 97% in the 800 and 1200 µatm daytime *p*CO₂ scenarios (Fig. 3). Estimated risk of 50% decline within 5 years exceeds 80% if daytime *p*CO₂ exceeds 1200 µatm for several days during the early life stage (Fig. 4).

3.2 Argopecten. irradians

In the MARSS analysis of multi-site data, the best model based on AIC*c* was the one with no site-specific effects on growth rate or error structures (Table 2). This indicated that it was most appropriate to fit the IDM for *A. irradians* using the sum of abundances across all sites. The estimated growth rate for the MARSS model was $\lambda = 1.12$.

As was the case for *M. mercenaria*, addition of a density dependence parameter was not justified. AICc was smaller (better) for the stochasticexponential model than for the stochastic-logistic model (AICc = 2.2). Thus, we did not incorporate density dependence into the *A. irradians* matrix model.

Inverse demography calculations from the aggregated data resulted in the following annual time step transition matrix (with standard errors) for the wild *A. irradians* population:

$$\mathbf{A} = \begin{bmatrix} 1.12(0.37) & 0\\ 0.03(0.03) & 0 \end{bmatrix} \tag{14}$$

Projections of total abundances showed good fit to the data at the start of the time series (Fig. 4). The *A. irradians* counts showed a large degree of variability halfway through the time series that the deterministic projection of the model failed to capture, but the MARSS analysis suggests some of this variability could be due to observation uncertainty. We did not perform elasticity analysis on the *A. irradians* model since it is essentially a scalar process governed by F = 1.12. Retention of the matrix formulation served to facilitate fitting via IDM and predicting the stable age distribution at census time (97% and 3% for age 0 and age 1, respectively).

Decomposition of *F* into its maternity (spat production) and spat survival factors ($F = m \times p_0$) gives $m = F/p_0 = 37.3$ spat produced per age 0 *A. irradians* alive just before the spawning event. According to the model, 3% of these spat survive one year to be counted as age 1 individuals in the next annual survey.

The GLM model fit of early life stage survival in previous laboratory produced the following logit-linear model for *A. irradians* (Fig. 2):

$$\theta_{CO_2} = 2.475 - 0.002 \times pCO_2 - 0.033 \text{ day}$$
 (15)

which can be transfored to S_{CO_2} as in Eq. (12).

Analysis of deviance of the logistic regression indicated a significant pCO₂ effect (deviance = 2626, df = 1, p = Pr [> x^2] < 0.0001). Substitution of impaired fertility rates into the stochastic version of the matrix produced stochastic population growth rates for *A*. *irradians* of log $\lambda_{s,400} = -0.02$ ($\sigma^2 = 0.24$), log $\lambda_{s,800} = -0.61$ ($\sigma^2 = 0.37$), and log $\lambda_{s,1200} =$ -1.48 ($\sigma^2 = 0.58$). The cumulative probability of 50% de cline in abundance occurring within 5 yr increases from 56% percent at 400 µatm to 99% and >99% in the 800 and 1200 µatm daytime *p*CO2 scenarios (Fig. 3). Estimated risk of 50% decline within 5 yr approaches 100% if daytime *p*CO2 exceeds 1200 µatm for several days during the early life stage (Fig. 4b).

4. Discussion

We substituted laboratory pCO_2 response relationships for early life stage bivalves into fieldparameterized matrix population models and then performed stochastic simulations of these substitution models to estimate population-level risk under scenarios of increased pCO_2 . Our results show that the levels of impairment observed in laboratory experiments have the potential to cause increased risk to marine bivalves in the northeastern USA. These findings are contingent upon several assumptions, the most important of which are that pCO_2 affects only early life stage survival and that the impairments observed in the laboratory would also occur *in situ*. In addition, we assume that pCO_2 effects combine additively with other forms of environmental stress (e.g. harvest, disease, harmful algal blooms), that the increases in mortality from pCO_2 would not lead to compensatory changes in other vital rates due to changes in competition or predation, and that adaptive evolution (e.g. Thomsen et al. 2017) would not occur within the time frame we explored.

The *Mercenaria mercenaria* population in GSB is declining, as indicated in the growth rate estimated from the survey data (0.92). Thepotential causes of this decline during the surveys are complex, non- singular, and probably include overharvesting (Kraeuter et al. 2005, 2008), recurrent harmful algal blooms (Gobler & Sunda 2012), and other factors (e.g. low dissolved oxygen, acidification, predation, recruitment limitation due to low spawner density). For example, our results showed that a population growth rate of $\lambda = 1$ could be achieved in the model by increasing the baseline fertilities by 38%. This sheds light on the magnitude of the fertility deficit that would be required, if acting alone, to explain the observed population trend. However, the same effect was produced when survival of the little neck, cherrystone, and chowder stages was increased by only 15%, as might occur if harvest pressure were re duced. In other words, a small change in upper life stage survival produced the same growth rate estimate as a large change in fertility. This is consistent with theoretical life history predictions for long-lived species (Stearns 1992) and with the elasticity matrix (**E**), in which the sum of survival elasticities (0.77) is larger than the sum for fertilities (0.23).

Although a direct comparison of *M. mercenaria* and *Argopecten irradians* is not possible, their differing life history strategies and population growth rates (and the variance of those rate estimates) combine to produce differing estimates of risk. As ex pected for populations with stochastic growth rates that are both high and variable (Dennis et al. 1991), baseline risk accumulates more quickly and then levels off for *A. irradians* (Fig. 3). In addition, the

elevated pCO_2 scenarios caused a larger increase in risk for *A. irradians* than for *M. mercenaria*. This is a mathematical consequence of the stronger effects of pCO_2 on early life stage survival observed in the laboratory and reflected in the fertility rates that were substituted into the model. The difference in risk is also affected by the greater adult longevity of *M. mercenaria* captured in the matrix model. An interpretation consistent with life history theory (e.g. Stearns 1992) is that the low elasticity for fertility relative to survival indicates lower selective pressure on offspring survival and lower population sensitivity to offspring mortality than would be the case for shorter-lived species.

The levels of pCO_2 used in the laboratory experiments and in our population models have already been observed seasonally in field studies in estuaries near where the field data used in our population models were collected (Melzner et al. 2013, Hunt et al. 2014, Wallace et al. 2014), suggesting that *M. mercenaria* and *A. irradians* may already be exposed to levels of coastal acidification that could negatively affect these populations. Estuarine pCO_2 levels can be highly dynamic, however, and values higher and lower than the critical levels we present may be experienced throughout the larval cycle (Wootton et al. 2008, Waldbusser & Salisbury 2014, Baumann et al. 2015). We showed that there is no reason to simulate timevarying pCO_2 within a single season, given the simple survival model we were able to develop from available data. A more sophisticated survival model would capture carryover effects, delayed response (e.g. White et al. 2014), acclimation, or age-dependent effects of acidification on daily survival (e.g. accelerating or decelerating curves, sensu Deevey 1947, Grear & Elderd 2008, Miller & Waldbusser 2016) and would allow risk estimates to go beyond the non-varying pCO_2 scenarios we used. Based on data from Wallace et al. (2014), pCO₂ in bottom waters of the enriched western end of Long Island Sound exceed 1800 µatm at the onset of the spawning season and increase by 100–150 µatm by mid-summer. The timing of spawning in relation to this pattern would affect mean pCO_2 , and therefore, would affect risk estimates in our analysis. The ampli tude of this seasonal cycle is smaller in surface waters and in eastern Long Island Sound, but may be larger in shallow productive areas. In these areas, diurnal variation is likely a more significant omission from our analysis, since it has been shown to affect bivalve growth characteristics (Clark & Gobler 2016, Miller & Waldbusser 2016). However, data necessary to formulate this effect in a quantitative risk analysis were not available. This necessitated our assumption that diurnally varying pCO_2 around a particular mean daytime level is suitably represented by constant exposure to that same level in the laboratory experiments.

Beyond acidification, the bivalves found in estuaries such as Great South Bay and the Peconic Estuary are subject to multiple stressors including harmful algal blooms and overfishing that need to be considered in population models when assessing impacts on population size and restoration potential (Grall & Chauvaud 2002). Overharvesting of *M. mercenaria* has already resulted in recent declines in this population and has inhibited its capacity to recover under multiple stressors (Kraeuter et al. 2008, Casey et al. 2014). However, other modeling work on population level processes governing *M. mercenaria* abundances indicate that overfishing cannot entirely explain the decrease in population numbers in GSB (Kraeuter et al. 2008). Should *M. mercenaria* and *A. irradians* continue to experience increasing acidification, our results can be interpreted as an indication that reductions in fertility could limit recovery of both bivalve populations regardless of other

stressors. Hofmann et al. (2006) also examined *M. mercenaria* population dynamics in GSB using a model that accounted for food availability and environmental conditions, amongst other parameters. Consistent with our results, their study determined that cohort biomass and recruitment declined over time and indicated that the inclusion of impairments beyond harvesting would exacerbate *M. mercenaria* population declines (Hofmann et al. 2006).

Synergistic effects of multiple stressors may be the most common drivers of population decline (Portner et al. 2005, Rosa & Seibel 2008, Kirby et al. 2009, Hidalgo et al. 2011). Recent meta-analyses suggest that nonadditive effects are more common than additive effects in the ecological literature (Crain et al. 2008, Darling & Cote 2008, Allgeier et al. 2011). Indeed, Keppel et al. (2016) observed synergisms be tween hypoxia and low pH in the eastern oyster Crassostrea virginica, but these were often positive. For the species studied here, pCO_2 and low dissolved oxygen can have synergistic negative effects (Gobler et al. 2014, Clark & Gobler 2016). Although we were unable to incorporate synergisms with pCO₂ into our analysis, the impacts of coastal acidification may be more detrimental than our results indicate. Alternatively, for the impairment expected from ocean acidification to occur in these bivalves, there may be a pCO_2 threshold above which conditions must remain throughout the larval stage (Clark & Gobler 2016). Given that pCO_2 levels can fluctuate dramatically on a diurnal basis and on other time scales in coastal systems (Wootton et al. 2008, Waldbusser & Salisbury 2014, Baumann et al. 2015, Baumann & Smith 2018, Pacella et al. 2018), the impairment wrought by these cycles on wild populations is not totally clear. However, as already noted, recent laboratory studies of larval bivalves (Clark & Gobler 2016) and mechanistic computer simulations with post set juvenile bi valves suggest that severe diurnal cycling of acidification (Miller & Waldbusser 2016) can yield more drastic declines in survival than steady exposure to similarly low levels of acidification. Demographic modeling with explicit treatment of stochasticity and estimation uncertainty provides a powerful tool for understanding how these stage-specific responses affect bivalve populations.

Although there are alternatives to the methods we used, one strength of inverse demography is its application to data from intact populations when other methods for tracking stage-specific vital rates are not feasible (e.g. mark–resight methods). In addition, when data from multiple independent populations are available, the maximum likelihood framework that underlies this method has been shown in crustacean experiments to be suitable for discriminating among multiple possible life history mechanisms (e.g. trade-offs) governing population responses to pCO_2 (Grear 2016). Such an approach would help to address the assumed lack of compensatory mechanisms in our analysis if manipulative multigenerational studies of replicate intact bivalve populations could be performed.

It is critical that ocean and coastal acidification research evaluates the extent to which shifts in vital rates alter the growth rates and stability of populations and ecosystems (Gaylord et al. 2015). Here, we have attempted to scale laboratory results to populations, but our analysis required sufficient population data for estimating a population model into which early life stage impairments could be substituted. As previously discussed, inverse demographic methods such as the one we used can introduce problems with identifiability, but these diminish when natural history is known well enough to constrain solutions in the

fitting process. Important alternatives include the more physiological approach used by Guy et al. (2014), the synthetic matrix approach used by Cooley et al. (2015), and the integral projection model (IPM) suggested by Ellner & Rees (2006) and discussed by Gaylord et al. (2015). In the case of IPMs, the requirement for individual-based observations (or simulations thereof) was not suitable for our study. In any case, these methods and ours provide insight into effects of acidification or other stressors on populations, which are the endpoint upon which management is often focused (Conrad 1982).

Evolutionary theory predicts that environmental variation can select for a variety of life history strategies, some of which can diminish the effects of sensitive biological traits on population fitness and magnify the role of other less sensitive traits (Pfister 1998). In longlived species, for example, upper life stage impairments small enough to be virtually un detectable by conventional methods may be as important to population dynamics as large and easily detected impairments of early life stages (e.g. Crouse et al. 1987, Mitro et al. 2008). This is consistent with the behavior of the fitted baseline M. mercenaria model, where computed growth rate (λ) in this long-lived species was more strongly affected by impairments of adult survival than by proportionately identical impairments of larval survival. Although we can only speculate about the factors leading to this particular life history, it is clear that biological sensitivity alone may not be a sufficient basis for prioritizing early life stages in studies concerned with effects of acidification at the population, community, or ecosystem level. This by no means implies that larval impairment is unimportant, but it does suggest that seemingly subtle biological effects on upper life stages can be amplified by demographic processes and therefore should be considered. Thus, future studies of biological responses to pCO2 should include mid and upper life stages as well as effects on egg size or quality. Also, further study is needed to assess (1) the relative and concurrent effects of other stressors such as high temperatures, harmful algal blooms, and hypoxia and (2) the temporal dynamics of pCO_2 in estuaries during periods when shellfish larvae, the stage most biologically sensitive to acidification (Talmage & Gobler 2011, Gazeau et al. 2013, Waldbusser et al. 2013), are present in the water column. In addition, future studies should attempt to incorporate more field data to improve upon and/or verify growth and fertility parameter estimates, and should focus on populations where the estimation of the full life history specific to the local study population is feasible.

5. Conclusion

The assumption that organismal responses are predictive of effects on populations and ecosystems has been identified as common practice in assessments of ecological and social risk from ocean and coastal acidification (Mathis et al. 2015). Our methods are a step forward from this approach, although they require a variety of assumptions and caveats familiar to practitioners in quantitative population ecology and conservation. By substituting laboratory-derived responses of early life stage survival into field parameterized models of intact populations, we illustrated that a doubling of daytime pCO_2 levels from 400 to 800 µatm could increase the risk of 50% population decline within 5 yr from 25 to >79% for *Mercenaria mercenaria* and from 56 to 99% for *Argopecten irradians*. Such a doubling of pCO_2 levels through the seasonal period of early life stage development is easily conceivable

in the near future through either eutrophication alone or its negative effects on buffering against atmospheric CO2.

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Densities of M. mercenaria (individuals m^{-1})



Figure 1.

Observed (points) and projected (lines) densities by stage for the Islip, NY, *Mercenaria mercenaria* population of Great South Bay. Projections are matrix projections from the initial population state

Grear et al.



Figure 2.

Laboratory responses of early life stage survival of (a) *Mercenaria mercenaria* and (b) *Argopecten irradians* to manipulated pCO₂. Points are compiled from previous studies (Talmage & Gobler 2009, 2010, 2011, Gobler & Talmage 2013, Gobler et al. 2014). Shaded surfaces are the fitted logistic regressions

Cumulative Probability of 50% Decline



Figure 3.

Cumulative probabilities of 50% population decline computed from log stochastic population growth rates and variances for *Mercenaria mercenaria* and *Argopecten irradians*. The projections included impairment of early life stage survival; no other impairments were included. Exposure scenarios are based on daytime pCO_2 and assume no additional effect of night time increases in pCO_2



Figure 4.

Cumulative probabilities of 50% population decline within 5 yr at varying magnitudes and durations of exposure during the 40 d early life stage for (a) *Mercenaria mercenaria* and (b) *Argopecten irradians*. Probability is indicated by shade and contour lines. Exposure scenarios are based on daytime pCO_2 and assume no additional effect of night time increases in pCO_2



Figure 5.

(a) Observed (points) and projected (lines) *Argopecten irradians* densities for Peconic Estuary, NY, by stage (summed across sites). Projections are matrix projections from the initial population state using the ambient transition matrix. (b) Observed log densities by site and the combined log density estimated from the multivariate autoregressive state-space model (MARSS). Shading indicates 95% confidence interval on the estimated states

Table 1.

Site names and locations for the *Mercenaria mercenaria* and *Argopecten irradians* data sets. *M. mercenaria* data were collected by the Town of Islip, NY, using grab samples (1977–2001) and reported as clams m–2 (Hofmann et al. 2006, Kraeuter et al. 2008). *A. irradians* data were from multiple 1×50 m scuba transects per year collected in spring (2005–2014) as described by Tettelbach et al. (2015)

Location	Coordinates (°N, °W)
M. mercenaria	
Great South Bay, Islip, NY	
A. irradians	
Flanders Bay	
S of Red Cedar Pt	40°54.783', 72° 34.023'
Cow Yard	40°55.026', 72° 35.118'
North Side	40°55.719' 72° 36.325'
Hallock Bay	
Narrow River	41°08.197', 72° 16.840'
Bulkhead	41°08.251', 72° 16.396'
Central Flats	41°07.951', 72° 16.389'
South Channel	41°07.766', 72° 16.273'
Other	41°06.301', 72° 19.552'
Northwest Harbor	
Sag Harbor	41°00.410', 72° 17.419'
Barcelona Neck	41°00.873', 72° 15.389'
SWG	41°01.806', 72° 14.596'
Inshore Cedar Point	41°02.251', 72° 15.416'
Orient Harbor	
East Marion	41°07.714', 72° 19.641'
Peter's Neck	41°07.697', 72° 17.521'
Inside Long Beach	41°07.352', 72° 17.532'
Outside Long Beach	41°06.766', 72° 17.682'
Hay Beach	41°06.308', 72° 19.555'
Greenport Jetty	41°06.347', 72° 20.892'
Great Peconic Bay	
Robins Island North	40°58.989', 72° 27.811'
Robins Island West	40°57.704', 72° 28.190'
Mattituck	40°57.609', 72° 31.924'
Southold Bay	41°03.966', 72° 24.203'
Hog Neck Bay	41°01.610', 72° 24.110'

Table 2.

Akaike's information criterion (AIC) results for multivariate auto regressive state-space models fitted with the 'MARSS' package (R Core Team 2017, Holmes et al. 2012, 2013). Candidate models differ in terms of constraints on the population growth process and ob servation error. *k*: number of parameters in each model; AIC_c: AIC corrected for small sample size and rescaled to the smallest AIC_c value

Model	Process trend and variance	Observational error variance	ln Likelihood	k	AICc	AICc weight
1	constant	constant	-88.34	11	0.00	1.00
2	constant	site-specific	-84.02	18	15.03	0.00
3	site-specific	constant	-90.02	25	58.97	0.00
4	site-specific	site-specific	-83.15	32	90.73	0.00