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## ARTICLE

# Three-Dimensional Printing of Freeform Helical Microstructures: A Review

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Three-dimensional (3D) printing is a fabrication method that enables creation of structures from digital models. Among the different structures fabricated by 3D printing methods, helical microstructures attracted the attention of the researchers due to their potential in different fields such as MEMS, lab on a chip systems, microelectronics and telecommunications. Here we review different types of 3D printing methods capable of fabricating 3D freeform helical microstructures. The techniques including two more common microfabrication methods (i.e., Focused ion beam chemical vapour deposition and microstereolithography) and also five methods based on computer-controlled robotic direct deposition of ink filament (i.e., fused deposition modeling, meniscus-confined electrodeposition, conformal printing on a rotating mandrel, UV-assisted and solvent-cast 3D printings) and their advantages and disadvantages regarding their utilization for the fabrication of helical microstructures are discussed. Focused ion beam chemical vapour deposition and microstereolithography techniques enable the fabrication of very precise shapes with a resolution down to ~100 nm. However, these techniques may have material constraints (e.g., low viscosity) and/or may need special process conditions (e.g., vacuum chamber) and expensive equipment. The five other techniques based on robotic extrusion of materials through a nozzle are relatively cost-effective, however show lower resolution and less precise features. The popular fused deposition modeling method offers a wide variety of printable materials but the helical microstructures manufactured featured a less precise geometry compared to the other printing methods discussed in this review. The UV-assisted and the solvent-cast 3D printing methods both demonstrated high performance for the printing of 3D freeform structures such as the helix shape. However, the compatible materials used in these methods were limited to UV-curable polymers and Polylactic acid (PLA), respectively. Meniscus-confined electrodeposition is a flexible, low cost technique that is capable of fabricating 3D structures both in nano- and microscales including freeform helical microstructures (down to few microns) at room conditions using metals. However, the metals suitable for this technique are limited to those can be electrochemically deposited with the use of an electrolyte solution. The highest precision on the helix geometry was achieved using the conformal printing on a rotating mandrel. This method offers the lowest shape deformation after printing but requires more tools (e.g., mandrel, motor) and the printed structure must be separated from the mandrel. Helical microstructures made of multifunctional materials (e.g., carbon nanotube nanocomposites, metallic coated polymer template) were used in different technological applications such as strain/load sensors, cell separators and micro-antennas. These innovative 3D microsystems exploiting the unique helix shape demonstrated their potential for better performance and more compact microsystems.

## 1 Introduction

2 Three-dimensional (3D) printing is a flexible manufacturing  
3 method that enables fabrication of objects based on a computer  
4 designed models with complex 3D features for a wide variety  
5 applications.<sup>1, 2</sup> The diversity of the materials used in 3D printing  
6 methods is constantly increasing enabling the printing of  
7 structures made of polymers, ceramics and metals.<sup>2</sup> Various

8 structures in different sizes, from size of a house to submicron,  
9 can be made using different types of 3D printing methods.<sup>3, 4</sup>  
10 These techniques enable building 3D miniaturized microsystems  
11 with smaller planar footprint while keeping its high performance  
12 compared to two-dimensional (2D) structures. Various complex  
13 3D features including supported<sup>1, 5</sup> (i.e., layer-by-layer) and self-  
14 supported<sup>5</sup> (e.g., spanning filament<sup>6</sup>) structures can be fabricated

1 using most of the 3D printing techniques. However, the  
2 construction of 3D freeform microstructures like helical  
3 geometries without the need to be supported by the underlying  
4 layers still remains a challenging problem.<sup>7-9</sup> The fabrication  
5 such structures is also difficult and costly using conventional  
6 lithography techniques.  
7 3D helical microstructures with feature sizes of a few hundred  
8 microns exhibit high potential for a broad range of applications  
9 in microsystems. The geometry of the helical microstructures  
10 usually of importance to deliver desired properties for a targeted  
11 application. The helical geometry might be the overall size of the  
12 structure, numbers of turns in a coil, pitch, diameter of the coil  
13 and diameter of the filament. For instance, the performance of a  
14 helical microstructure antenna can be optimized by controlling  
15 its geometry for narrowband and broadband design.<sup>10</sup> Depending  
16 on the properties of the materials (e.g., mechanical, electrical,  
17 thermal and chemical properties), the 3D helical microstructures  
18 have high potential to replace 2D components for different  
19 applications such as micro electromechanical systems (MEMS),  
20 (MEMS),<sup>11-13</sup> electrodes for lab-on-a-chip systems,<sup>14,16</sup>  
21 microelectronics<sup>17-20</sup> and several other systems.  
22 Several microfabrication techniques have emerged to fabricate  
23 3D freeform microstructures such as photolithography  
24 techniques,<sup>12, 13</sup> chemical laser vapor deposition,<sup>18</sup> fused  
25 deposition modelling,<sup>21</sup> two-photon polymerization<sup>22, 23</sup> and  
26 direct-write techniques.<sup>24, 25</sup> Table 1 lists various selected  
27 microfabrication techniques compatible for 3D freeform  
28 fabrication as well as materials used for each technique. In  
29 addition, it is shown in the table if the techniques have been used  
30 for the fabrication of 3D helical microstructures. The goal of this  
31 paper is to review several 3D printing techniques suitable for the  
32 fabrication of helical freeform microstructures (shown in bold in  
33 Table 1). Other techniques such as liquid rope coiling of viscous  
34 fluids<sup>26</sup> have been also used for the fabrication of helical  
35 microstructures. In the rope coiling method using a spinning  
36 process, cellulose-based solution was extruded at the surface of  
37 a mobile coagulation bath that led to the fabrication of helical  
38 microcoils as a result of buckling instability. The fabrication of  
39 very long coils (up to the length of the coagulating bath) with  
40 diameters ranging 100-400  $\mu\text{m}$  and the filament diameter of 300-  
41 700  $\mu\text{m}$  has been reported. However, such techniques are  
42 discussed in this review paper since it focuses on the 3D printing  
43 methods. Therefore, the paper is organized as follows: two more  
44 common methods (i.e., focused ion beam chemical vapor  
45 deposition and microstrelithography) including their  
46 capabilities and limitations are first discussed. Then, the  
47 printing techniques based on direct ink deposition  
48 microstructures and the limitations/difficulties to fabricate  
49 helical microstructures are then presented. This is followed  
50 by the introduction of the five 3D printing methods (i.e. fused  
51 deposition modeling, meniscus-confined electrodeposition,  
52 conformal printing on a rotating mandrel, UV-assisted and  
53 solvent-cast 3D printings), providing detailed information for  
54 each technique and materials used for the fabrication of helical  
55 microstructures. The applications of helical microstructures in  
56 different fields such as MEMS, lab on a chip systems,  
57 microelectronics and telecommunications are discussed in  
58 details. One of the main outcomes of this review is to guide  
59 reader to find the most suitable 3D printing technique for  
60 fabrication of helical microstructure with the desired geometry  
61 for the targeted application.

## 63 3D printing of helical microstructures based on two 64 popular microfabrication techniques

### 1. Focused ion beam chemical vapor deposition (FIB-CVD)

FIB-CVD is an additive manufacturing technique which is widely used for the deposition of materials in an arbitrary shape with a size ranging from nanometers to hundreds of micrometers.<sup>27, 28</sup> Figure 1 schematically represents the FIB-CVD method based on localized chemical vapor deposition using FIB. The FIB-CVD consists of a nozzle that injects the reactive gaseous material into a vacuum chamber at a desired position close to a substrate usually a silicon substrate, followed by a chemical reaction caused by a focused ion beam that solidifies the gas materials (i.e., materials deposition). As opposed to the other techniques presented in this review paper that use liquid or melted polymers as constructing materials, the FIB-CVD technique uses gases such as tungsten hexacarbonyl and phenanthrene which are reactive organic gases.<sup>27</sup> The precursor gas from a heated container is injected into a vacuum chamber by a fine micronozzle located above the substrate at desired angle. The FIB is then scanned in the desired location using a computer-controlled system in order to build the programmed patterns. The material deposition occurs as a result of reaction between FIB and precursor gas where the FIB meets the gas. The reaction results in decomposition of the precursor into volatile and non-volatile components. The latter remains on the reaction region as deposited material to create the shape of interest. The thickness of the deposited materials depends on the irradiation time which is controlled by the scanning speed.<sup>27, 29</sup>

In addition to helical microstructures, the FIB-CVD technique enables the fabrication of other shapes with supported and freeform geometries. Compared to the other techniques, the FIB-CVD can fabricate very precise shapes with a resolution down to  $\sim 100$  nm.<sup>27</sup> The high resolution and precision comes from the fact that the materials used in this method are in gas state which is easy to inject through fine nozzles. The beam diameter can be as small as several nanometers with a short penetration depth of a few tens of nanometers. Matsui *et al.*<sup>27</sup> used this technique to fabricate various structures with different shapes for MEMS and NEMS applications. Depending on the shape and size of the fabricated structures, they reported a beam current of 0.4 pA to 120 pA and a fabrication time of 40 s to 2.5 h. Figure 1b shows a SEM image of the fabricated helical structure, composed of three turns with a coil diameter of 0.6  $\mu\text{m}$ , a coil pitch of 0.7  $\mu\text{m}$  and a filament diameter of 0.08  $\mu\text{m}$ . The irradiation time was 40 s at a beam current of 0.4 pA. They used two commercially available FIB systems (SMI9200, SMI2050, SII Nanotechnology Inc., Tokyo, Japan) with a Ga<sup>+</sup> ion beam and a phenanthrene as precursor gas and nozzle's internal diameter of 0.3 mm. However, the main drawback of this method is its high cost of equipment which is about \$800,000. Moreover, the technique limited by material constraints and works only in a high vacuum environment.

### 2. Microstrelithography (MSL)

Strelithography (SL) is a popular conventional method for the fabrication of 2D and 3D microstructures using photopolymers<sup>12, 30</sup>. In this technique, a focused ultraviolet (UV) laser beam scans a liquid photopolymer inside a container and selectively cures the photopolymer in the desired locations or paths to form the first layer of the desired solid structure. The UV system is mounted onto a movable platform which moves vertically deeper into the liquid. This allows to successively create other layers on the top of each other, resulting in a 3D part. Microstrelithography (MSL) works with the

1 same principle as SL, but with a pattern resolution of several  
2 microns.<sup>30</sup> Figure 2(a) shows schematics of the fabrication process.  
3 New techniques based on MSL such as scanning-based techniques  
4 and two-photon polymerization<sup>22</sup> have emerged to improve the  
5 resolution of the MSL technique by controlling penetration of UV  
6 light into the photopolymer resin. Those techniques have been  
7 developed with the aim at reducing cure depth in MSL which results  
8 in more precise features. The main drawback of MSL is the material  
9 limitations since the technique can only work with low viscosity  
10 materials. In addition, the equipment usually cost between \$200,000  
11 – \$600,000.  
12 Choi et al.<sup>30</sup> reported the use of light absorber blended with the  
13 photopolymer to control the depth of cure using a dynamic mask  
14 projection MSL (Figure 2). Upon controlling the depth of cure, they  
15 have been able to fabricate freeform helical microstructures. Figure  
16 2b and 2c show an individual microcoil and a network consisting of  
17 four identical microcoils with the coil's diameter of 500  $\mu\text{m}$  and the  
18 filament's diameter of 130  $\mu\text{m}$ . The fabrication conditions were a  
19 layer thickness of 4  $\mu\text{m}$  with a total layer number of 298 with exposure  
20 energy of 33.8  $\text{mJ}/\text{cm}^2$ , which was corresponded to an exposure time  
21 of 1 s. The material used for the fabrication of the helical microcoil  
22 was an acrylate-based commercial resin blend (HDDA, Miwoda  
23 Commercial Co., and BEDA, Hannong Chemicals Inc.) mixed with  
24 wt.% of a photoinitiator (DMPA, Fisher Scientific Inc.) and 0.15 wt.%  
25 Tinuvin 327<sup>TM</sup> (Ciba, Timonium) as the photoabsorber. The accuracy  
26 for the fabrication of 3D helical microcoils in this technique depends  
27 on the exposure energy/time and the materials used, specifically the  
28 concentration of the photoabsorber. They showed that the light  
29 penetration depth and thus, the cure depth reduced by the increase  
30 photoabsorber concentrations, resulting in higher accuracy for the  
31 fabrication of the helical microcoils.

### 32 **3D printing of helical microstructures based on** 33 **robotic direct deposition of ink filament**

34 Direct-write techniques mainly consist of the deposition of  
35 continuous ink filaments that allowed the construction of 3D  
36 devices through a layer-by-layer building sequence.<sup>31, 32</sup> Figure  
37 3 shows a typical direct-writing setup, which is composed of a  
38 computer-controlled robot that moves a dispensing apparatus  
39 along the  $x$ ,  $y$  and  $z$ , axes. Figure 3b shows schematically the  
40 deposition of the ink materials on a substrate that leads to a  
41 pattern, as the first layer of a 3D scaffold structure. The following  
42 layers are then deposited by incrementing the  $z$ -position of the  
43 extrusion nozzle, resulting in a periodic micro-scaffold featuring  
44 several layers (Figure 3c). The material's viscosity is one of the  
45 most important properties for an accurate fabrication using these  
46 techniques.<sup>31, 33</sup> The viscosity should be low to moderate to  
47 enable the material extrusion through fine micro-nozzles for the  
48 maximum extrusion pressure achievable. On the other hand, the  
49 increase of material rigidity right after extrusion is a must for  
50 filament shape retention.<sup>31</sup>  
51 Various materials and techniques have been used to achieve  
52 filament's rigidity required for the direct-write fabrication of  
53 microstructures. Organic fugitive inks possessing a shear  
54 thinning rheological behavior (i.e., a decrease of viscosity with  
55 an increase of shear forces inside the nozzle) are found to be ideal  
56 materials.<sup>31</sup> These inks have been used for the layer-by-layer  
57 fabrication of periodic micro-scaffolds.<sup>31, 32, 34-36</sup> However,

58 fabricate freeform 3D structures such as helical microstructures,  
a further increase of rigidity is required. In this review paper, five  
different 3D printing techniques, based on direct deposition of  
ink materials which have been demonstrated for the fabrication  
of helical microstructures are presented: fused deposition  
modeling (FDM), meniscus-confined electrodeposition  
(MCED), UV-assisted 3D printing (UV-3DP), solvent-cast 3D  
printing (SC-3DP), and conformal printing on rotating mandrel  
(CPRM). In these techniques, the increase of rigidity required for  
the fabrication of helical microstructures is achieved through  
different mechanisms which will be thoroughly discussed in the  
following sections. A summary table comparing advantages,  
limitations and potential applications of the five techniques will  
be later provided in this review paper as Table 3. These five  
techniques are based on the same principle of the direct  
deposition of filaments using a computer-controlled extruding  
robot. FDM is a well-known fabrication technique which has  
been vastly used in the literature. MCED is a very precise method  
that uses the thermodynamic stability of a liquid meniscus. The  
material deposition path in 3D space is controlled by piezostages  
in order to directly print 3D microstructures. The other three 3D  
printing techniques, which have been recently developed, are  
customized versions of the method shown in Figure 3. The robot  
used for these three techniques is a commercially available robot  
(I & J2200-4, I & J Fisnar) consisting of a moving stage along  
the  $x$ -axis and a robot head moving in the  $y$ - $z$  plane that is  
computer controlled with commercial software (JR Point  
dispensing). The dispensing apparatus (HP-7X, EFD) mounted  
on the robot head carries the ink material, which is extruded by  
an applied pressure using a pneumatic fluid dispenser (Ultra<sup>TM</sup>  
2400 series, EFD). In order to print the helical structure the ink  
material should be extruded in a circular form on the substrate  
while the extrusion nozzle moves upwards in the  $z$  direction  
keeping its circular movement in  $x$ - $y$  direction. The diameter of  
the helical structure and the pitch can be varied by giving the  
desired coordination to the dispensing robot which provides the  
possibility of fabrication of a helical structure with various  
itches and diameters. Although microstructures with other  
geometries are not the concern of this review paper, the four  
techniques discussed here are capable of fabricating other  
complex geometries such as micro-scaffold for potential tissue  
engineering,<sup>37</sup> vertical microrod network<sup>38</sup> for potential lab-on-  
a-chip and square towers for MEMS applications.<sup>37</sup>

#### 1. Fused deposition modeling (FDM)

In this method, the ink is heated until it melts or softens and then  
is extruded from a nozzle on a substrate to build a structure in a  
layer-by-layer manner. The extruded ink solidifies when its  
temperature lowers due to air convection post-extrusion. Figure  
4 schematically represents the FDM method<sup>39</sup> which is widely  
used in commercial 3D printers for different materials such as  
polymers, metals and ceramic filled polymers.<sup>40-42</sup> The most  
frequently used polymers are thermoplastics such as acrylonitrile  
butadiene styrene (ABS) and PLA.<sup>43-46</sup> The cost of the 3D  
printers varies from about \$200 to about \$330,000 depending on  
the manufacturing company, resolution of the printer and size of  
the printable object.<sup>47</sup> In this method the ink, usually in the form  
of spooled filament, is fed into a heated chamber connected to an  
extrusion nozzle. The advantage of this method compared to the  
other 3D printing methods discussed in this review is the  
possibility of the utilization of a relatively wide variety of ink  
materials. One of the most important properties required for the  
FDM ink is to melt or soften at high temperatures in order to be  
able to be extruded through the nozzle. The main drawback of

1 this method is that it is a high temperature 3D printing method  
2 which can cause some difficulties for freeform features and  
3 limitations concerning the materials that degrades at high  
4 temperatures. Since the glass transition temperature of polymers  
5 alters from one to another, the temperature of the heating  
6 chamber and the temperature tolerance of the extrusion  
7 components should be well adjusted for accurate printing. 71  
8 Yamada *et al.* used FDM to print 3D structures at the  
9 microscale.<sup>21</sup> Various nozzles (internal diameter range: 0.075  
10 0.25 mm), extrusion rates (0.01-100 mm<sup>3</sup>/min), stage scanning  
11 speeds (5-200 mm/min, materials (PLA, Poly(glycolic acid)  
12 (PGA) and polylactic-co-glycolic acid (PLGA)) and heating  
13 chamber temperatures (170 - 235 °C) were used in this work  
14 3D printing of different microstructures. The optimal nozzle  
15 diameter depends on the size and design accuracy of the structure  
16 needed to be fabricated. The nozzles with fine ID size such  
17 50µm enable fabrication of microstructures with high  
18 resolutions. The temperature of the heating chamber depends  
19 the melting temperature of the polymer used as the ink. The  
20 extrusion rate plays an important role on the precision of the  
21 printed patterns as the high extrusion speed leads to the  
22 formation of lumps and in contrast low extrusion speed leads  
23 a broken or non-continuous printed patterns. They showed the  
24 possibility of freeform 3D printing of helical structures by FDM  
25 using PLGA as the ink material. Figure 4c shows an optical  
26 image of the fabricated helical microstructure, composed of  
27 turns with a pitch of ~ 0.8 mm. The coil's diameter is ~ 0.9 mm  
28 and the filament's diameter is ~ 200 µm. This diameter can be  
29 reduced to about 45 µm in self-stand 3D printing in the form of  
30 micro-pipe. In another work, Safari *et al.* used this method  
31 make a helical electrode using an alloy of silver-palladium on a  
32 piezoelectric tube.<sup>40</sup> In this work, a piezoelectric tube was placed  
33 on a rotating shaft and the electrode was deposited on the surface  
34 of the tube while the nozzle moved forward, resulting in a helical  
35 shaped electrode with a diameter of 1.78 mm.  
36 Despite the vast application of FDM method in 3D printing, very  
37 few publications were involving the freeform printing of  
38 helical microstructures. This can be explained by the difficulty  
39 of fabrication of helical microstructures with the precise  
40 diameter and pitch as the printed structure can be deformed  
41 during the cooling and hardening of the extruded material. 105  
42  
43 **2. Meniscus-confined electrodeposition (MCED)** 107  
44 MCED is an electrodeposition method that uses the thermodynamic  
45 stability of a liquid meniscus to directly print 3D microstructures.  
46 The MCED is capable of fabricating 3D structures of designed shapes  
47 and sizes in nano- and microscales including freeform helical  
48 microstructures (down to few microns) at room conditions using  
49 metals such as copper and platinum.<sup>49</sup> Figure 5 schematically  
50 represents this technique which consists of long-travel piezoelectric  
51 (nominal resolution < 10 nm) that enable a very precise control  
52 movement of a micropipette containing an electrolyte solution along  
53 the desired 3D trajectory. Dispensing micronozzles with internal  
54 diameters ranging from 100 nm to tens of microns can be mounted  
55 onto the micropipette in order to control the feature size of the  
56 structures. The micropipette is moved toward the conductive substrate  
57 and an electrical potential is applied between the electrolyte and the  
58 substrate. At the appropriate distance, the meniscus is formed between  
59 the substrate and the micronozzle and thereby the electrodeposition is  
60 initiated onto the substrate. The dispensing micronozzle is then moved  
61 away from the substrate at a calibrated speed that matched the  
62 deposition speed in order to keep meniscus formation between the  
63 nozzle and the deposited materials, allowing continuous fabrication.  
64 Hu *et al.* reported the use of this technique to fabricate 3D freeform

micro- and nanostructures. Figure 5b shows a SEM image of an array  
of Cu helical microcoils. The coils were solid, nanocrystalline and  
highly conductive as bulk metal.<sup>48, 49</sup>

The feature size using the MCED technique is influenced by  
several parameters such as the nozzle's diameter, its moving  
speed, the thermodynamic properties of the electrolyte solution,  
and the electrodeposition and substrate surface interaction. Several  
metals such as Cu, Pt, Co, Ni, Au have been successfully used in  
this technique to fabricate micro- and nanostructures. The main  
advantages of the technique are its flexibility to fabricate  
nanoscale structures and also its relatively low cost compared to  
traditional lithography techniques. However, the materials  
suitable for this technique are limited to metals and specifically  
those can be electrochemically deposited with the use of an  
electrolyte solution.<sup>48</sup>

### 3. UV-assisted 3D printing (UV-3DP)

The UV-3DP technique relies on the robotically-controlled  
micro-extrusion of a UV-curable ink filament while the extrusion  
point is moved in three directions. The resolution of the robot in  
*x* and *y* axes is 5 µm and in *z* axis is 2.5 µm. The uncured material  
is photopolymerized within seconds after extrusion under UV  
exposure. Figure 6a and 6b represents a schematic of the UV-  
3DP fabrication of a freeform helical microstructure. The UV  
light-emission setup is installed on the robot head and follows  
the extrusion point. A set of six optical fibers arranged in a  
circular pattern (Figure 6b) delivers the UV light which is  
provided by two high-intensity UV light-emitting diodes (LED,  
NCSU033A, Nichia) having a wavelength centered at 365 nm  
close to the extrusion point at the tip of the extrusion micronozzle  
(Precision Stainless Steel Tips, EFD). The intensity of the  
present UV radiation is 50 mWcm<sup>-2</sup> which can be increased by  
using UV light-emitting diodes with higher intensities and also  
adding extra LEDs.

The ink material must meet a few criteria to be suitable for the  
UV-3DP. First, a very high polymerization rate of the ink is  
essential for phase changes from liquid to solid within seconds  
under the UV illumination. Numerous UV-curable materials are  
commercially available which allow the design or selection of a  
desired ink, depending on the curing rate and product properties.  
For instance, acrylate-based resins which are the most-  
commonly used UV-curable materials exhibit a fast reactivity.<sup>50</sup>  
Second, materials with moderate to high viscosities are necessary  
to extrude stable filaments. Low viscosity leads to excessive  
sagging of the extruded materials prior to curing under the UV  
illumination.<sup>38</sup> Table 2 lists the materials used for the fabrication  
of 3D helical microstructures using the UV-3DP technique. The  
viscosity increase achieved by adding nanofillers (e.g., carbon  
nanotubes and silica nanoparticles) to the pure resins with low  
viscosity enabled a successful UV-3D printing. One of the most  
important advantages of the UV-3DP technique over the  
conventional microfabrication techniques (e.g., two-photon  
polymerization) is its capability of fabricating microdevices  
from non-transparent nanocomposites. However, the addition of  
higher loadings, especially in case of carbon nanotubes (above 2  
wt.%) may decrease the materials transparency and consequently  
their photopolymerization rates. In addition, the increase of  
viscosity may cause problems for the materials extrusion through  
fine nozzles (e.g., internal diameter (*ID*) below 100 µm) and,  
thus affect minimum filament diameter achievable.

In addition to the materials criteria mentioned above, processing  
parameters have also to be carefully tailored. For successful and  
accurate freeform fabrication of 3D helical structures, the  
extrusion speed, the pressure applied to the material, and the UV-

radiation intensity have to be adjusted according to the viscosity and the curing rate of the materials. The extruded filament must stay under the UV-exposure for a certain time until it reaches sufficient rigidity for self-support. Increasing the exposure time and the intensity of the UV-radiation lead to a high solidification rate of the material. However, the detailed effect of the exposure time on the geometry of helical structures is very complicated, as it is not an independent parameter and depends on: the UV-exposure zone, the designed extrusion path and the deposition speed. The intensity of the current UV setup is limited to a constant value ( $50 \text{ mW}\cdot\text{cm}^{-2}$ ). Further publication would be foreseen to study those effects on the geometry of the helical microstructures (e.g., by increasing the intensity using high power UV setup). Figure 6c shows SEM images of a helical microstructure composed of 5 turns with a pitch of  $\sim 1 \text{ mm}$ . The coil's diameter is  $\sim 1 \text{ mm}$  and the filament's diameter is about  $200 \mu\text{m}$ . The microcoil was fabricated with the urethane-based resin (NEA123T) using a micronozzle with the  $ID$  of  $150 \mu\text{m}$  at an extrusion speed of  $0.3 \text{ mm/s}$  and an extrusion pressure of  $2 \text{ MPa}$ . The fabricated structure geometry closely matched the programmed path due to the appropriate selection of the processing parameter values.

The influence of several parameters such as extrusion speed, extrusion pressure and viscosity of materials has been studied in the fabrication of 3D microstructures including 3D freeform helical microcoils using the UV-3D printing of UV-curable thermosetting resins and their associated nanocomposite materials.<sup>38</sup> A processing map has been defined in order to help choosing the proper parameters for the UV-3D printing of microstructures with various geometries. That map may offer a general overview of the technique with its capabilities and can be used as a guide for the fabrication of different 3D geometries including helical microcoils. It has been shown that the processing zone is much narrower for the fabrication of helical freeform structures when compared to layer-by-layer supported microstructure. For freeform structures, high solidification rate is required, which limits the range of applicable extrusion pressures and speeds. In this case, a slight mismatch between the processing parameters affects the fabricated structure shapes which may be far from the programmed trajectory. However, the fabrication of 3D helical microcoils was successful with few nozzles (internal diameter range:  $100\text{--}200 \mu\text{m}$ ), deposition speed of  $0.2\text{--}0.5 \text{ mm/s}$ , extrusion pressure of  $0.5\text{--}2.5 \text{ MPa}$ , and material's viscosity of  $70\text{--}250 \text{ Pa}\cdot\text{s}$  (at low shear rates).

#### 4. Solvent-cast 3D printing (SC-3DP)

The SC-3DP method is based on the extrusion of a polymer dissolved in a volatile solvent, under an applied pressure. Figure 7 shows a schematic of the fabrication process using the SC-3DP method. The dissolution of the polymer in the solvent lowers its viscosity and facilitates its extrusion. The evaporation of solvent increases the rigidity of the ink and changes its fluid-like form into solid-like which enables the shape retention of the deposited material. The required equipment for this method is mainly a micropositioning robot, a controlled pressure dispenser and a syringe filled with the polymer solution connected to a micronozzle. In order to be able to print 3D freeform structures which retain their form after printing, the selected solvent, polymer and their relative concentration should be set so that the ink solution can easily exit from the micronozzle but quickly dries as it exits the micronozzle. Different processing parameters such as the extrusion speed and the extrusion pressure can affect the shape retention of the structure. Guo *et al.* reported

use of polylactic acid (PLA) solution in dichloromethane (DCM) for 3D freeform printing of a helical microstructure.<sup>24</sup> Figure 7c shows SEM images of a helical microstructure composed of eight  $1 \text{ mm}$  diameter turns, a pitch of  $0.7 \text{ mm}$  and the filament's diameter of  $\sim 200 \mu\text{m}$ . The fabrication was carried out with  $30 \text{ wt}\%$  PLA solution using a micronozzle with the  $ID$  of  $100 \mu\text{m}$  at an extrusion speed of  $0.1 \text{ mm/s}$  and an extrusion pressure of  $1.75 \text{ MPa}$ . DCM was chosen due to its fast evaporation as its boiling point is very low ( $39.6 \text{ }^\circ\text{C}$ ) compared to other solvents that dissolve PLA. Based on their results the best concentration of PLA in DCM is about  $30 \text{ wt}\%$  in order to have enough viscosity so it can keep its shape after extrusion. Higher concentrations of PLA increased the viscosity of the inks which would cause some difficulties for their extrusion while low concentrations of PLA would lead to a significant structural deformation after the extrusion. The  $ID$  of the nozzle can also influence the 3D freeform structure retention. The structures printed with smaller nozzle's  $ID$  (i.e.,  $100 \mu\text{m}$ ) have better retention compared to the ones printed with bigger nozzle's  $ID$ s since DCM evaporates faster, due to its lower diffusion distance, when the diameter of the printed filament is smaller.

The materials used for solvent-cast printing are limited to the polymers that can be dissolved in solvents with low boiling points because the retention of the object printed by this method depends on the speed of solvent evaporation. To the best of our knowledge the only used polymer/solvent for freeform solvent-cast 3D printing so far was PLA/DCM. Polymers and solvents that have been used for melt spinning and electro-spinning methods are potential candidates for other inks since those methods are also involving the fast evaporation of solvent from polymer fibers. More than 40 polymers and the corresponding solvents are listed in a review article written by Huang *et al.*<sup>51</sup> Some of the outstanding advantages of this method is its simplicity and the possibility of printing at room temperature. The resolution of the printing pattern depends on the resolution of the dispensing robot ( $x$  &  $y$  axes:  $5 \mu\text{m}$  and  $z$  axis:  $2.5 \mu\text{m}$ ) and the diameter of the printing filament depends on the internal diameter of the extrusion micronozzle. The minimum diameter of the extruded filament reported for freeform SC-3DP method is  $\sim 100 \mu\text{m}$ .<sup>24</sup> In this project, the cost of the dispensing robot together with the air-operated dispenser was  $\sim \$12,000$ .

#### 5. Conformal printing on rotating mandrel (CPRM)

This method consists of a dispensing system that extrudes the ink directly onto a cylindrical rotating mandrel. As the extrusion continues, the mandrel or the extrusion nozzle moves along the direction of the rotating mandrel and the extruded ink creates a helical form around the mandrel. This method requires an extruding robot together with a controllable rotation speed mandrel (Figure 8). The mandrel can be rotated and moved along the  $x$  axis with a resolution of  $0.4 \mu\text{m}$  by using MICOS stepper motors. The cost of the stepper motors together with the dispensing apparatus is  $\sim \$4,000$ . The diameter of the helical structure and the helix pitch depend on the diameter of the rotating mandrel and the displacement speed of the extrusion nozzle, respectively. The diameter of the extruded filament can be controlled by changing the extrusion nozzle diameter and/or the rotation speed of the mandrel. If the mandrel rotation speed is high enough to stretch the extruded filament, it will decrease its diameter. The printed helical structure can be taken off from the mandrel manually by pulling the microcoil out of the rod after the solidification of polymer.

The advantage of this method compared to the UV- and SC-3DP printing methods previously discussed in this review is the higher

1 precision on the diameter and also the pitch of the helical  
 2 structure. These advantages basically originate from the fact that  
 3 the extruded ink is entirely supported by the mandrel, which  
 4 mostly removes the influence of the gravity on the deformation  
 5 of the helical structure during its solidification. The main  
 6 drawback of this method compared to other 3D printing methods  
 7 is its limitation on the shape of the printed structure, as the  
 8 printed structure should be taken off the mandrel after its  
 9 fabrication. A fabrication tolerance of 1-3% was reported by  
 10 Lanouette et al. using PLA/DCM solution with a concentration  
 11 of 30 wt.%.<sup>52</sup> Their printed helical shaped PLA was coated with  
 12 copper for the creation of a micro-antenna. Figure 8c shows  
 13 optical images of the variable pitches micro-antenna. The  
 14 antenna was fabricated using 30 wt.% PLA solution and a  
 15 micronozzle with the *ID* of 200  $\mu\text{m}$  and an extrusion pressure  
 16 of 2.8 MPa while the rotational speed varied to obtain different  
 17 pitches. The diameter of the coil is  $\sim 4$  mm and its height is  $\sim 21$   
 18 mm with the filament's diameter of  $\sim 200$   $\mu\text{m}$ .

## 20 Applications

### 21 1. MEMS and NEMS: mechanical microsensors, strain load 22 sensors and flow sensor, mechanical switch and electrostatic 23 actuator

24 Microactuators and microsensors with the ability to sense their  
 25 environments are important types of MEMS. Their miniature  
 26 size in most cases enable them for faster and more reliable results  
 27 compared to larger actuators or sensors. The efficiency and  
 28 reliability of such microsystems depend on the materials used as  
 29 sensing elements as well as the optimization of the component  
 30 geometry. With their unique geometry, helical microstructures  
 31 have been demonstrated as efficient potential components for 3D  
 32 MEMS. Lebel *et al.* reported the fabrication of a nanocomposite  
 33 helical structure network which could be integrated into MEMS  
 34 due to their load bearing capability.<sup>25</sup> Figure 9a shows a SEM  
 35 image of the mechanical microsensors network in a triangle  
 36 layout fabricated using the UV-3DP technique. The microcoils  
 37 were composed of 6 turns having a pitch of 1 mm and flat film  
 38 and last coils with the total height of 5 mm. The material used  
 39 was the UV-curable urethane-based (NEA123MB)  
 40 nanocomposite containing 0.5 wt.% carbon nanotubes and  
 41 wt.% silica particles. Mechanical testing of the network under  
 42 compression showed a quasi-linear response with a network  
 43 rigidity of  $\sim 11.7$   $\text{mN mm}^{-1}$ . The mechanical properties of these  
 44 microsensors could be controlled by using other materials and  
 45 changing the geometry characteristics of the coils.

46 Nanocomposite helical microstructures have also been  
 47 demonstrated as a 3D strain sensor.<sup>11</sup> Figure 9b shows a SEM  
 48 image of the 3D sensor which composed of a network of four  
 49 identical microcoils in a square layout. The helical microcoils  
 50 with seven 1 mm-diameter turns and inter-coil distance of 3 mm  
 51 were fabricated through UV-3DP of UV-curable epoxy  
 52 nanocomposites containing 1 wt.% of single-walled carbon  
 53 nanotubes. The height of microcoils was  $\sim 6$  mm and the  
 54 filament's diameter was  $\sim 150$   $\mu\text{m}$ . In carbon nanotube-based  
 55 nanocomposite sensors with two-dimensional (2D) or  
 56 geometries, the electrical conductivity is based on the formation  
 57 of percolation pathways of carbon nanotubes. The deformation  
 58 induced by an external mechanical force can change the  
 59 arrangement of the conductive nanofillers leading to a variation  
 60 in the electrical conductivity of the nanocomposite.<sup>53</sup> 2D  
 61 nanocomposite films have been extensively studied in the  
 62 literature as high-sensitive strain sensors for structural health  
 63 monitoring.<sup>54</sup> Nanocomposite films only provide in-plane strain  
 64 measurements due to their planar geometry. Moreover, capturing

undesired stimulus might result in unreliable measurements as  
 the film sensor must be in contact with the structure in its whole  
 surface area.<sup>55</sup> In addition to be capable of sensing out-of-plane  
 strains, the 3D sensor may overcome the issues related to the  
 nanocomposite 2D films while offering higher  
 electromechanical sensitivity (e.g., gauge factor of 3.2) when  
 compared to traditional strain gauges (e.g., gauge factor of  $\sim 2$ ).  
 The helical geometry of this sensor enables the  
 electromechanical measurement both in tension and compression  
 and also allows large displacement. The mechanical behavior of  
 these helical sensing components could be tailored by their  
 geometry and/or material used. This 3D nanocomposite sensor  
 may have high potential for novel instrumentation approaches  
 due to its high sensitivity, compactness, lightness and other  
 unique features such as flexibility and feasibility of the direct  
 printing of sensing elements onto the structure.

3D nanocomposite helical microstructure, either individually or  
 in a network, may also have potential as high-efficient liquid and  
 flow sensors.<sup>56, 57</sup> Figure 9c shows a SEM image of an individual  
 microcoil having 5 turns while the fabrication of the last coil  
 continued over an aluminum block which was used as an  
 electrode for electrical measurement. The structure shown in  
 Figure 9c were fabricated using UV-curable urethane-based  
 (NEA123MB) nanocomposite containing 0.5 wt.% carbon  
 nanotubes and 5 wt.% silica particles. Such sensors have the  
 potential to accurately sense various solutions (e.g., solvents,<sup>58</sup>  
 biomaterials solution<sup>59</sup>) and/or a stream of flow (e.g., flow rate<sup>56,</sup>  
<sup>60</sup>) by monitoring the variation of their electrical conductivities  
 which are highly sensitive to small chemical and mechanical  
 disturbances. Similar to the electromechanical resistivity of  
 nanocomposites, the same mechanism can be used to interpret  
 the electrochemical sensitivity. When the nanocomposite coils  
 are surrounded by a chemical, the nanocomposite filaments may  
 experience expansion (swelling) or contraction (shrinkage). Both  
 changes cause a re-arrangement of conductive nanofillers in their  
 percolation pathways. The 3D feature of these sensors offers a  
 high surface area and mechanical flexibility.

Mutsui *et al.* reported the fabrication of a mechanical switch  
 using FIB-CVD.<sup>27</sup> Figure 9d schematically represents the switch  
 and its working mechanism. Figure 9e-f shows the structured  
 illumination microscopy (SIM) images of the fabricated switch  
 before and after applying voltage. The device composed of a  
 helical coil and free-space nanowiring fabricated onto the Au  
 electrodes. Applying opposite electrical charges to the wiring  
 and the coil resulted in the formation of repulsive forces between  
 each coil's turn and subsequently the coil extended upward until  
 contacted the wiring. The author mentioned that the switch  
 working functions are the voltage of 30 V which corresponded  
 to a pulsed current of about 170 nA. They also demonstrated the  
 application of helical structures as electrostatic actuator. Figure  
 9g-h shows SIM image of the electrostatic actuator and its  
 working principle, respectively. This device was fabricated on  
 the tip of a Au-coated glass capillary using the FIB-CVD  
 technique at a current of 7 pA and an exposure time of 10 min.  
 The working mechanism of the device is based on the formation  
 of repulsive forces as a result of electric charge accumulation  
 through which leads to the coil expansion. The coil can store  
 electric charge when a voltage is applied across the glass  
 capillary. The magnitude of coil expansion depends on the  
 applied voltage.<sup>27</sup>

### 2. Lab-on-a-chip systems: cell separators

High efficient lab-on-a-chip systems, specifically those used for  
 the detection and separation of microparticles such as cells and

1 viruses, have rapidly progressed through the miniaturization 65  
2 components and the fabrication of smaller functional devices. 66  
3 <sup>63</sup> The miniaturization of these systems via the design and 67  
4 fabrication of complex 3D microfluidic devices showed new 68  
5 functionality and increased performance.<sup>61, 62</sup> Helical geometries 69  
6 has been recently used in the fabrication of high-efficient 70  
7 dielectrophoretic (DEP) cell separators in two different avenues: 71  
8 helical-shaped microelectrodes and helical-shaped microfluidic 72  
9 channels.<sup>64</sup> The first presented device comprises of 37 73  
10 interdigitated microelectrodes that induce non-uniform electric 74  
11 field as driving forces for cell separation. Figure 10a shows a 75  
12 optical image of a fabricated microdevice composed of 30 gold 76  
13 sputtered 3D helical interdigitated microelectrodes and Figure 77  
14 10b shows its side view. Figure 10c shows a top-view image of 78  
15 the 3D electrodes (gold-sputtered components). 79  
16 The fabrication of the device began with the deposition of a 80  
17 sacrificial ink filament in a 2D square-wave feature (10 turns) 81  
18 Thirty microcoils (3 for each interdigitated electrode) having 82  
19 turns with the coil diameter of 1 mm, the pitch of 0.5 mm, and the 83  
20 filament diameter of 100  $\mu\text{m}$  were then deposited inside the 2D 84  
21 ink filaments through the UV-3DP of the UV-curable urethane 85  
22 based resin (NEA123T). The whole structure was then gold 86  
23 sputtered to create a conductive layer of 120  $\mu\text{m}$ . The sacrificial 87  
24 2D ink filaments were finally removed from the device using 88  
25 hexane to create the gap between two electrodes. Figure 10d 89  
26 schematically represents the particles (blue and red) separation 90  
27 through dielectrophoresis when passing through two neighboring 91  
28 helical electrodes. The particles used in this study were 92  
29 polystyrene microbeads of 4 and 10  $\mu\text{m}$  diameter.<sup>62</sup> Compared 93  
30 its associated 2D counterpart, the 3D microelectrode showed 94  
31 highly efficient particle separation with  $\sim 50\%$  and  $\sim 70\%$  95  
32 improvement in the separation efficiency and capacitance 96  
33 respectively. The separation efficiency is based on the magnitude 97  
34 and orientation of the DEP forces which depend on different 98  
35 parameters including the electric field gradient. The shape 99  
36 complexity provided by the 3D helical microcoils enable 100  
37 create inhomogeneity of the electric field, increasing the 101  
38 separation efficiency. Therefore, the non-uniform electric field 102  
39 and high surface area provided by the helical electrodes are 103  
40 thought to be responsible for the higher efficiency of the 3D 104  
41 device when compared to the 2D counterpart. A further study 105  
42 may be required to investigate different geometries (e.g., arrays 106  
43 of vertical filaments) to find the best 3D feature that provides 107  
44 highest separation efficiency. 108  
45 Lab-on-a-chip systems composed of 2D and 3D microfluidic 109  
46 channels have been mostly fabricated using conventional 110  
47 photolithography techniques. However, newly-developed 111  
48 techniques based on laser irradiation<sup>14, 15</sup> and 3D printing enable 112  
49 the facile fabrication of 3D microchannels for high complex 113  
50 microfluidic systems. The second device shown in Figure 11 114  
51 3D helical-shaped microfluidic cell separator consisting of two 115  
52 helical microchannels, fabricated using CPRM 3D printing 116  
53 Figure 11a shows a scheme of the 3D particles separation 117  
54 composed of two helical microchannels and three reservoirs 118  
55 mixed particles reservoir, and two reservoirs to gather 119  
56 separated particles. In this device, the particle separation is based 120  
57 on insulator-based dielectrophoresis (iDEP). The helical 121  
58 microfluidic channels are non-conductive (i.e., the electrodes are 122  
59 outside of channels) and the non-uniformity of the electric field 123  
60 comes from the shape of the device. The first helical 124  
61 microchannel featuring constant clockwise turns is responsible 125  
62 to align all particles along the outside wall. When aligned 126  
63 particles entered the second helical microchannel featuring 127  
64 counter-clockwise turns, they are placed in its inside wall 128

Similarly, the electric field gradient pushes more the larger particles than the smaller ones. The shorter travelling distance along the second channel enables the separation at Y joint before the particles move to the outside of the second helical channel. The authors believe that the manufactured 3D helical microfluidic channels offer constant curvature radius that generates a constant electric field gradient which cannot be achieved in 2D spiral-shaped separators.

The channels were fabricated by first depositing a sacrificial ink on rotating 1.2 mm diameter mandrels to create two helices with numbers of coils of 6 and 4, respectively. The sacrificial ink was a binary mixture of a microcrystalline wax (Strahl & Pitsch, USA) and a petroleum jelly (Unilever, Canada) with a weight proportion of 30:70. The mandrel and the helices were then encapsulated using a two-part liquid epoxy resin (Epon 862 / Epikure 3274, Momentive, USA). Upon curing of epoxy at room temperature for 48 h, the entire device was heated in boiling water and the ink was removed upon its liquefaction by applying vacuum to one end of the ink helical structure resulting the formation of helical microchannels. Figure 11b is an inset of Figure 11a that schematically represents the particles separation at Y junction through dielectrophoresis forces. Figure 11c shows an optical image of the fabricated separator and Figures 11d-f show fluorescent images of the helical channels, the Y junction, and slightly inclined bottom view of the separator, respectively. To evaluate the separation efficiency, a particle suspension containing 4  $\mu\text{m}$  and 6  $\mu\text{m}$  polystyrene microbeads in an aqueous solution of sodium chloride was used. A particle separation efficiency of 94% was obtained by applying a voltage of 900 VDC. Although the efficiency reported in the work is similar to the 2D separators, it could be optimized by possibly tailoring of the number of turns for each helix. In planar (2D) spiral devices, the force applied on a given particle is inversely proportional to the curvature radius of the channel. For an efficient separation in 2D configurations, longer channels should be used, leading to larger curvature radius and consequently lower separating forces. One of the main advantages of the helical microchannel device over, for instance, a planar spiral device is that in a helical channel the curvature radius is constant, thus resulting in constant separation forces (as a result of a constant electric field gradient) throughout the channel regardless of its length. Both works presented in this section show an original utilization of the helical microstructure and the potential to build a real lab-on-a-chip device for biocells separation (e.g., cancer cell detection). The main advantage of the helical microfluidic cell separator over the 3D interdigitated electrode separator may be the possibility of keeping the electrodes away from the separation site that helps minimizing the issues related to Joule heating and electrolysis. The fabrication of such complex 3D microdevices opens avenues to miniaturize lab-on-a-chip systems with high efficiency and thus, make them portable and affordable.<sup>64</sup>

### 3. Microelectronics and telecommunications

Helical structures have shown several potential applications in the field of microelectronics and telecommunications due to their unique shape. Their spring shape makes them good candidates as the interconnections in stretchable and/or flexible electrical circuits. Unlike the filaments that can break while stretching, helical structures have the capability to adapt their height to the deformation applied to the system in a specific direction. The helical structures can also be used as inductors. A metallic coil wrapped around a magnetic core, usually made of iron or ferrite, can be used as a generator of magnetic field. In the field of



1 telecommunication helical, structures are widely used 65  
 2 antennas. Due to the increasing constraints on the size and 66  
 3 performance of electronic and telecommunication devices 67  
 4 advanced fabrication methods and materials must be developed 68  
 5 to answer the industrial needs. 69  
 6 Recently three different methods have been reported for direct 70  
 7 writing of metal wires such as extrusion of metal particles from 71  
 8 a nozzle,<sup>65</sup> by electrodeposition from a conductive tip<sup>48</sup> or 3D 72  
 9 printing of freeform liquid metal.<sup>66</sup> These fabrication methods 73  
 10 can open a new pathway toward construction of microelectronics 74  
 11 such as 3D or flexible electrical circuits. Printed electronics such 75  
 12 as electrical components suitable for radio-frequency 76  
 13 identification (RFID) or pMOS and nMOS transistors have been 77  
 14 reported by Subramanian et al.<sup>67</sup> In this later work it 78  
 15 demonstrated that transistors components can be made 79  
 16 printing of various novel organic semiconductors, dielectrics 80  
 17 and nanoparticle-based conductors. Lanouette et al. have shown 81  
 18 the possibility of fabricating helical micro-antenna arrays using 82  
 19 the 3D conformal printing of PLA/DCM on rotating mandrels 83  
 20 followed by coating the helices with a thin layer of copper 84  
 21 (Figure 12a).<sup>52</sup> These micro-antennas operate in the Ka band 85  
 22 (i.e., 20-30 GHz) showing their potential as high frequency band 86  
 23 antennas. The geometry of the helical structure defines the 87  
 24 electrical parameters of the antenna (i.e. receiving and 88  
 25 transmitting frequencies, gain, axial ratio, etc.). The helical shape 89  
 26 provides a circular polarization with a relatively high gain 90  
 27 regarding the size of the antenna. These micro-antennas had 91  
 28 variable pitches which allow them to work in two distinct 92  
 29 frequency bands (uplink frequencies range from 30.0 to 31.93  
 30 GHz and downlink from 20.2 to 21.2 GHz) and thus one micro- 94  
 31 antenna can be used as a receiver and transmitter. The size of the 95  
 32 helix (i.e. diameter of the helix and of the filament) is inverse 96  
 33 proportional to its operating frequencies. 97  
 34 In another work, Adams et al. reported the fabrication of small 98  
 35 antennas onto either the exterior or interior surface of a hollow 99  
 36 glass hemisphere in the form of conductive meander lines 100  
 37 (Figure 12b).<sup>68</sup> The method used for the construction of these 101  
 38 antennas was conformal printing of a concentrated silver 102  
 39 nanoparticle ink onto convex and concave hemispherical 103  
 40 surfaces. Four small antennas of varying Ka, operating frequency 104  
 41 and meander line size were made demonstrating different 105  
 42 possible 3D antenna designs other than the helical shape. 106  
 43

**44 Concluding remarks, challenges and future 107**  
**45 opportunities 108**  
 46 The technology of 3D printing is rapidly growing due to the ease 109  
 47 of use and variety of the application fields. Wide diversity in 110  
 48 shapes can be modeled by different software and printed by 3D 111  
 49 printers. Among the different shapes and structures made by 112  
 50 various 3D printing methods, helical forms have attracted the 113  
 51 attention of researchers due to their potential in different 114  
 52 applications such as drag control in aircraft, beam focusing and 115  
 53 steering, microsensing devices, electromagnetic shielding, 116  
 54 micro-antennas, stretchable/flexible microelectronics, liquid 117  
 55 gas sensors, MEMS and lab-on-a-chips. Various types of 3D 118  
 56 printings methods (i.e., FIB-CVD, MSL, MGED, UV-3DP, 119  
 57 3DP, CPRM and FDM) are suitable for the fabrication of helical 120  
 58 microstructures. 121  
 59 Despite the progresses that have been made in the field of 3D 122  
 60 printing, there are some limitations with respect to the size, 123  
 61 material and complexity of the helical structures to be printed. 124  
 62 Among the techniques discussed in the review paper, MSL and 125  
 63 FIB-CVD are capable of printing helical structures with a 126  
 64 resolution down to submicron, however they are costly and

require very expensive equipment. The limitation on the size regarding the freeform 3D printing based on robotic direct deposition of inks filament generally comes from the resolution of the 3D printing robots, the nozzle size and printability of different materials from the nozzles with certain sizes. The evolution of making the robots featuring higher precision of moving in different directions is going to improve the resolution of 3D printers. The advances on the fabrication of nozzles with fine sizes such as 1  $\mu\text{m}$  can also help decreasing the size of extruded filaments leading to printing the helical microstructures with smaller filament diameters. On the other hand, submicron-size structures have also been made using the two-photon polymerization method<sup>69</sup>. One of the main challenges that limits the capability of helical microstructure fabrication by 3D printing method is the limitation on the type of the printable materials. The most commonly used materials so far are the polymers as their transformation from solid-like to fluid-like and inverse is easier compared to other types of materials such as metals and ceramics. Printing of ceramic or metal loaded polymers have been also reported which were the first steps toward 3D printing of ceramic and metallic helical structures.<sup>40</sup> Recently the possibility of freeform 3D printing of liquid metals has been shown which can facilitate the printing different types of structures useful for microelectronics.<sup>66</sup> These progresses in fabrication of 3D printing robots with high resolution, nozzles with very fine sizes and variety of printable materials show a promising pathway toward 3D printing of helical microstructures with higher resolutions and smaller sizes.

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## ARTICLE

## Tables and Figures

Table 1. Selected microfabrication techniques capable of 3D freeform fabrication

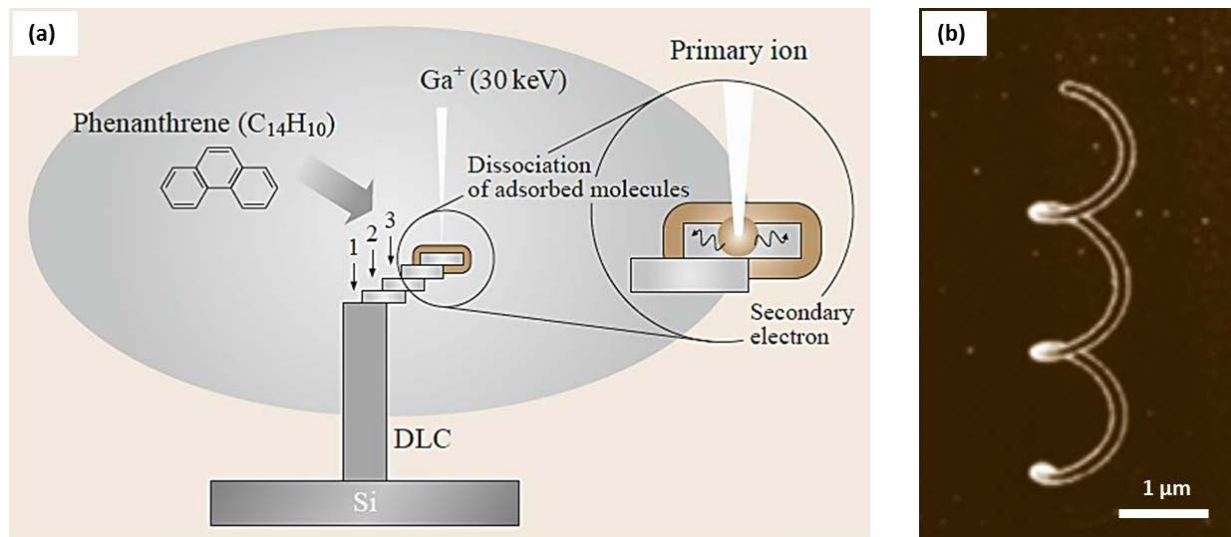
Technique	Material used	Minimum feature size	Creation of helical structures	Refs
Two-photon polymerization	Photopolymers (Urethane acrylate)	Down to 120 nm	No	22
<b>Focused ion beam chemical vapor deposition (FIB-CVD)</b>	Gaseous reactants (Phenanthrene)	Down to few hundred nm	Yes	27, 28
Multi-photon polymerization	Photopolymers (Acrylic) Photopolymers (Proteins)	Submicron Submicron	No No	23 8
Direct deposition of metals	Metal inks Liquid metals	Down to 2 $\mu\text{m}$ Down to 10 $\mu\text{m}$	No No	70 7
<b>Meniscus-confined electrodeposition (MCEP)</b>	Electrolyte (metals solution)	Down to 2 $\mu\text{m}$	Yes	48
<b>Microstereolithography</b>	Photopolymers and photoabsorbers	Down to 25 $\mu\text{m}$	Yes	12, 30
Laser chemical vapor deposition	Gaseous reactants	Down to 40 $\mu\text{m}$	No	18
<b>Fused deposition modeling (FDM)</b>	Thermoplastics (Poly lactic acid)	Down to 45 $\mu\text{m}$	Yes	21
<b>UV-3D printing (UV-3DP)</b>	Photopolymers (Urethane, epoxy)	Down to 100 $\mu\text{m}$	Yes	11, 25
<b>Solvent-cast 3D printing (SC-3DP)</b>	Thermoplastics (PLA)	Down to 150 $\mu\text{m}$	Yes	24
<b>Conformal printing on rotating mandrel (CPRM)</b>	Thermoplastics (PLA)	Down to 200 $\mu\text{m}$	Yes	52
Photolithography	Photopolymers (PMMA)	Few hundreds microns	No	71
Localized electrochemical Deposition	Metals (Nickel)	1 mm	No	72
UV depth lithography	Photopolymers (SU-8 AZ9260, Intervia-3D-N and CAR44)	Few millimeters	No	13
Compressive molding planarization	Metals (Copper)	Millimeters	No	73

Table 2. Examples of materials used for the fabrication of 3D helical microstructures by UV-3DP.

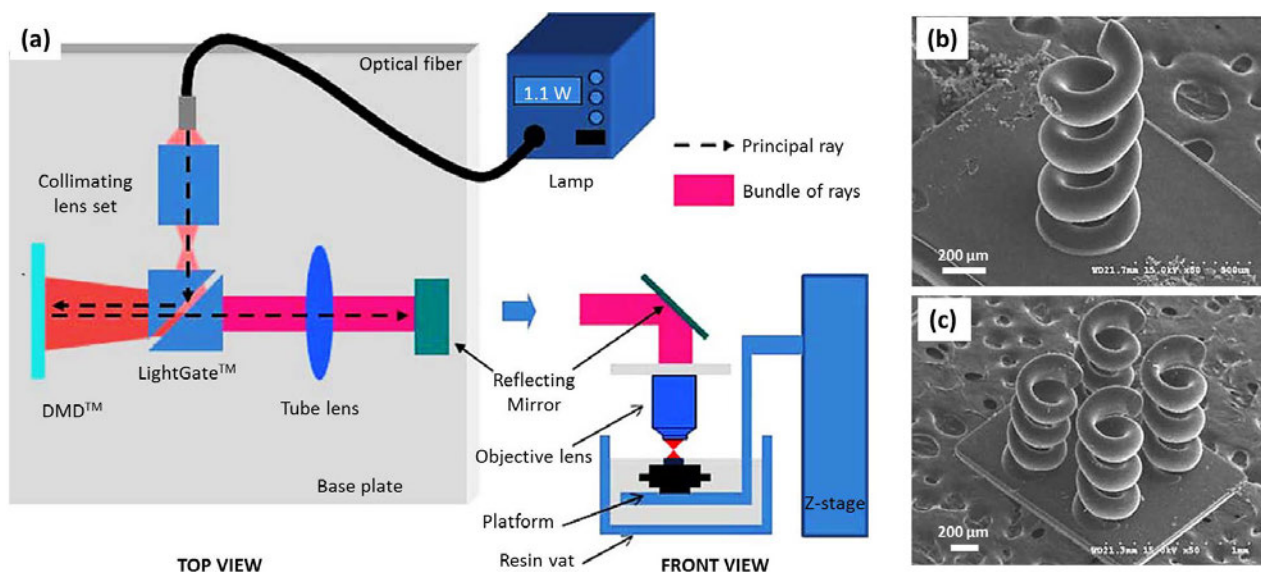
Material	Product name	Nanofiller	Weight fraction (%)	Viscosity (Pa.s)	Ref.
Urethane-based	NEA 123T, Norland Products Inc.	-	-	250	38
	NEA 123MB, Norland Products Inc.	Silica particles	5	100	25
		Carbon nanotubes Silica particles	0.5 5	230	25
		Carbon nanotubes Silica particles	1 5	300	25
Epoxy-based	UV-DC80, Master bonds	Carbon nanotubes	0.5	90	38
		Carbon nanotubes	1	160	38

**Table 3. Summary table showing the advantages, limitations and potential applications of the different 3D printing techniques.**

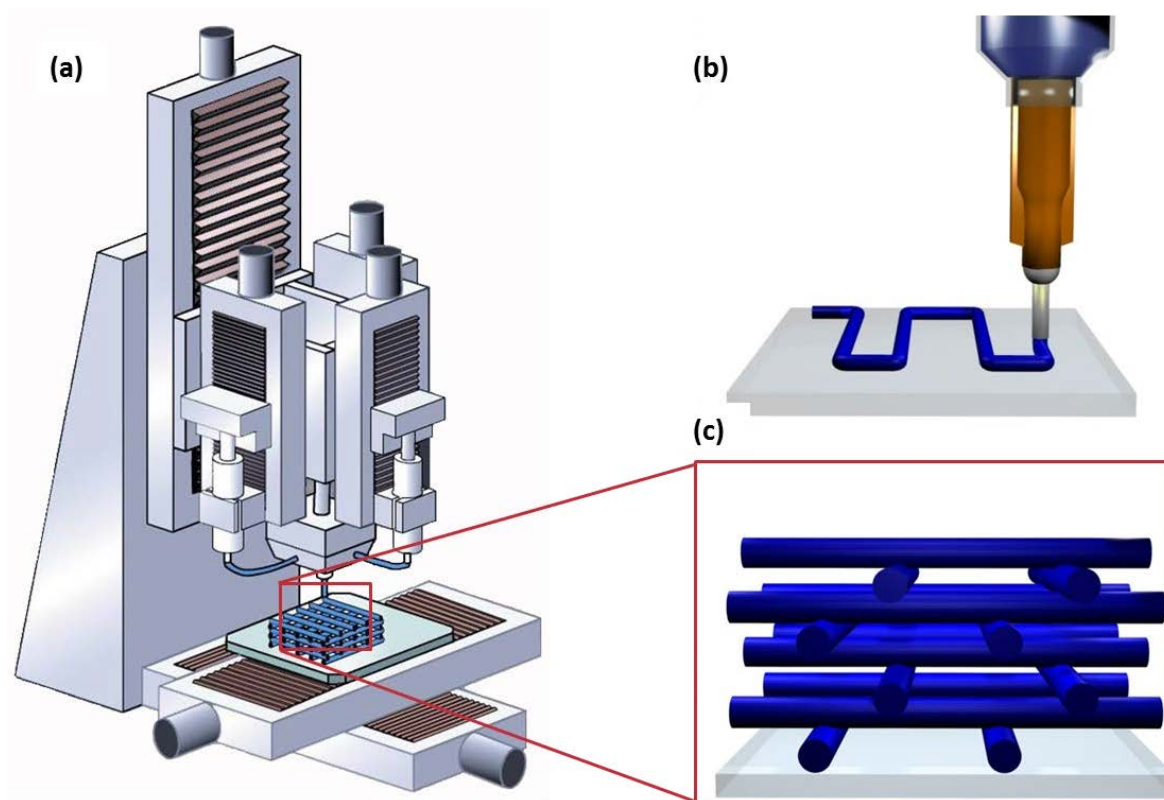
<b>Technique</b> Fabrication mechanism	<b>Pros</b>	<b>Cons</b>	<b>Selected potential application</b>
<b>FIB-CVD</b> Localized chemical vapor deposition using focused ion beam in a vacuum chamber	High fabrication resolution (down to ~100 nm)	Expensive equipment Limited material selection Requires high vacuum environment	MEMS and NEMS: electrostatic actuators Microelectronics Nanomechanical switch
<b>MSL</b> Solidification of photopolymers upon curing under the focused UV light by controlling its penetration into the resin	Very mature knowledge database due to its long usage history Capability of producing microstructures with the part volume of a few millimeters and the smallest feature of a few microns	Expensive equipment Limited material selection: requires low viscosity materials Needs additional equipment and materials (e.g., mask, photoabsorber)	Drag control in aircraft Beam focusing and steering Electromagnetic shielding and absorption
<b>FDM</b> Solidification of molten thermoplastic materials upon cooling by air shortly after exiting the extrusion nozzle	Diversity of materials used Advanced ink feeding system Very mature knowledge database due to its long usage history	High energy consumption as it works at high temperatures Incompatible with the materials that degrade at high temperatures Possible processing difficulties due to working with viscos materials	3D printing of most of the structures ranging from millimeter and higher scales Tissue engineering by the utilization of biocompatible PLA Liquid sensor by the polymer swelling with a solvent
<b>MCED</b> Electrodeposition of metals in an electrolyte solution using the thermodynamic stability of a liquid meniscus	Capable of fabricating nano- and microstructures Very precise metal deposition at room temperature Relatively low fabrication and tooling costs	Limited by material constraints: metals those can be electrochemically deposited Requires highly calibration of the parameters to form meniscus	High density interconnects for integrated circuits High aspect ratio AFM probes for critical metrology Nanoscale needle probes or probe arrays
<b>UV-3DP</b> Solidification of UV-curable thermosetting materials upon fast curing under the UV exposure shortly after exiting the extrusion nozzle	Suitable for freeform 3D printings at room temperature No need for toxic solvents Use of materials with low to moderate viscosities: facile processing	Needs user caution and proper protection: working with UV light Not suitable for low viscosity Newtonian materials Needs high materials curing reactivity	MEMS components: displacement sensor, Lab-on-a-chip systems: cell separator Electromagnetic interference (EMI) shielding, Flexible microelectronics
<b>SC-3DP</b> Solidification of thermoplastic polymer solution upon fast solvent evaporation shortly after exiting the extrusion nozzle	Suitable for freeform 3D printings at room temperature Low deformation of the structure during solidification	Use of toxic solvent Limited to highly volatile solvent for fast evaporation	MEMS components: Liquid sensor and high stiffness/conductive MEMS
<b>CPRM</b> Extrusion of filament around a rotating mandrel	Very precise fabrication method Diversity of the materials used Simplicity of the technique Capable of fabricating high aspect ratio (length/diameter) structures	Limited to simple geometries Possible difficulties regarding taking off the printed object from the mandrel	Microelectronics: Antennas Lab-on-a-chip systems: microchannel cell separator



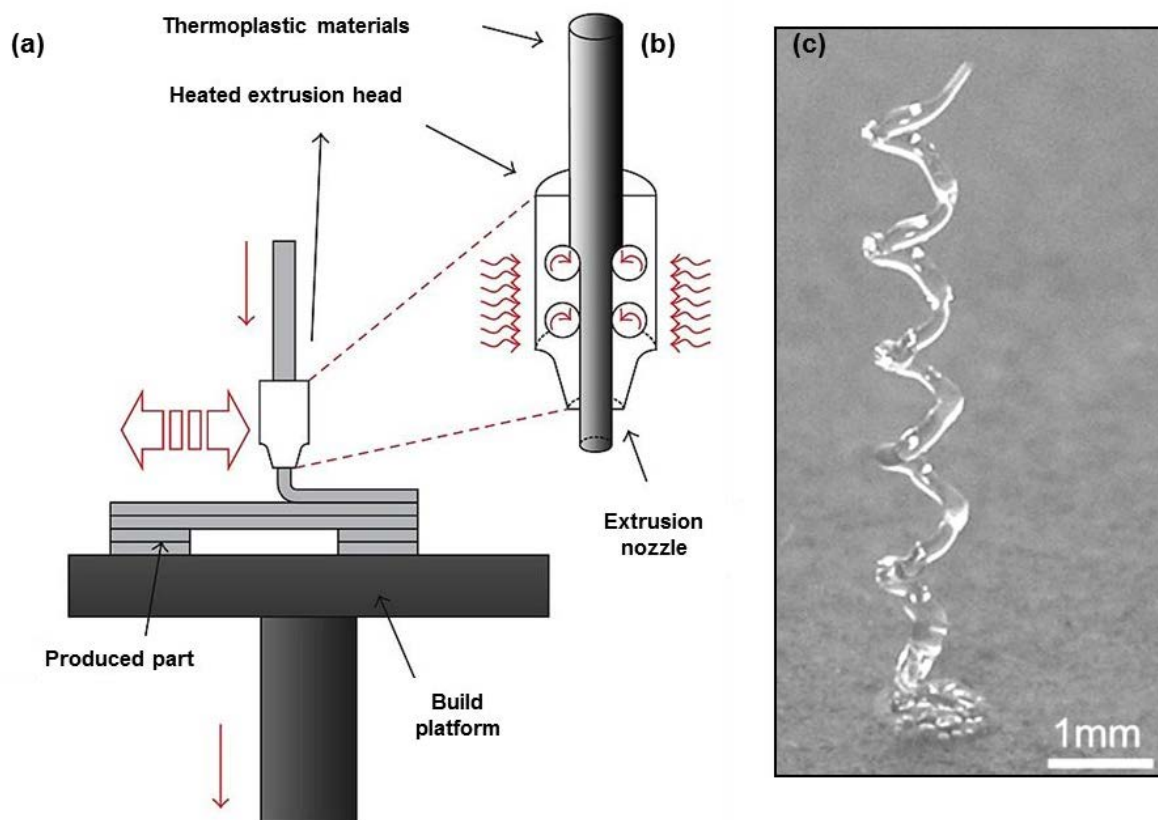
**Figure 1.** FIB-CVD fabrication of freeform helical structures: (a) schematic representation of the technique and a conventional set-up with  $\text{Ga}^+$  ions and Phenanthrene as precursor gas, and (b) image of a helical structure having 3 turns with a coil diameter of  $0.6 \mu\text{m}$ , a coil pitch of  $0.7 \mu\text{m}$  and a filament diameter of  $0.08 \mu\text{m}$  fabricated using a  $\text{Ga}^+$  ion beam and a phenanthrene as precursor gas and nozzle's internal diameter of  $0.3 \text{ mm}$ .<sup>27</sup>



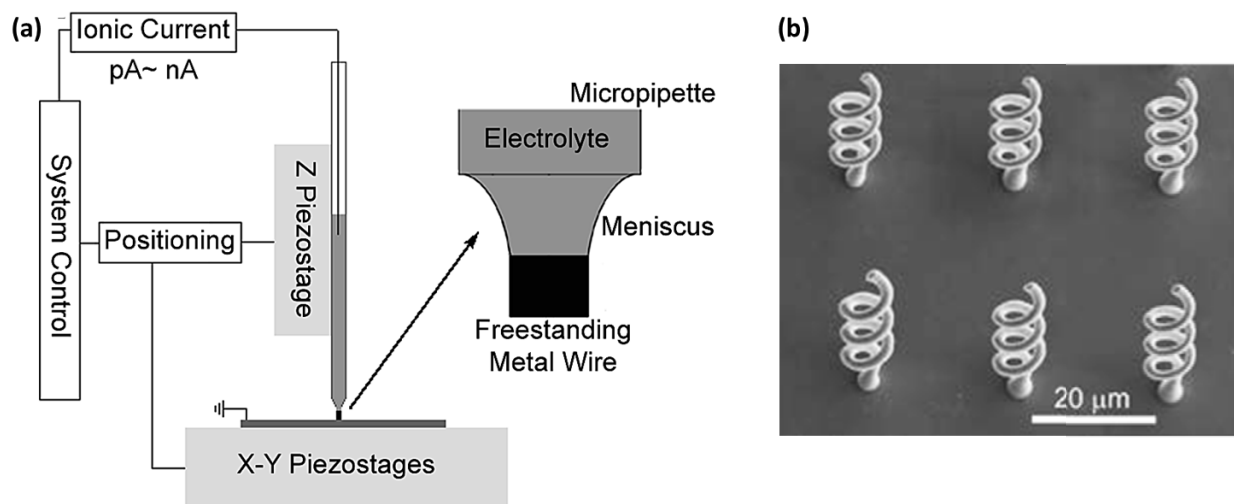
**Figure 2.** MSL fabrication of freeform helical microstructures: (a) schematic representation of the technique with a usual set-up, (b) and (c) SEM images of helical structures (individual or network) with the coil's diameter of  $500 \mu\text{m}$  and the filament's diameter of  $130 \mu\text{m}$ . The exposure energy of  $33.8 \text{ mJ/cm}^2$  and an acrylate-based commercial resin mixed with 5 wt.% of a photoinitiator and 0.15 wt.% Tinuvin 327™ as the photoabsorber were used.<sup>30</sup>



**Figure 3.** Direct-write layer-by-layer fabrication of a 3D periodic structure: schematics of (a) a computer-controlled robot during the deposition,<sup>36</sup> (b) filament deposition in 2D on a substrate, and (c) a close-up view of a periodic microstructure using the direct-write technique.<sup>31</sup>

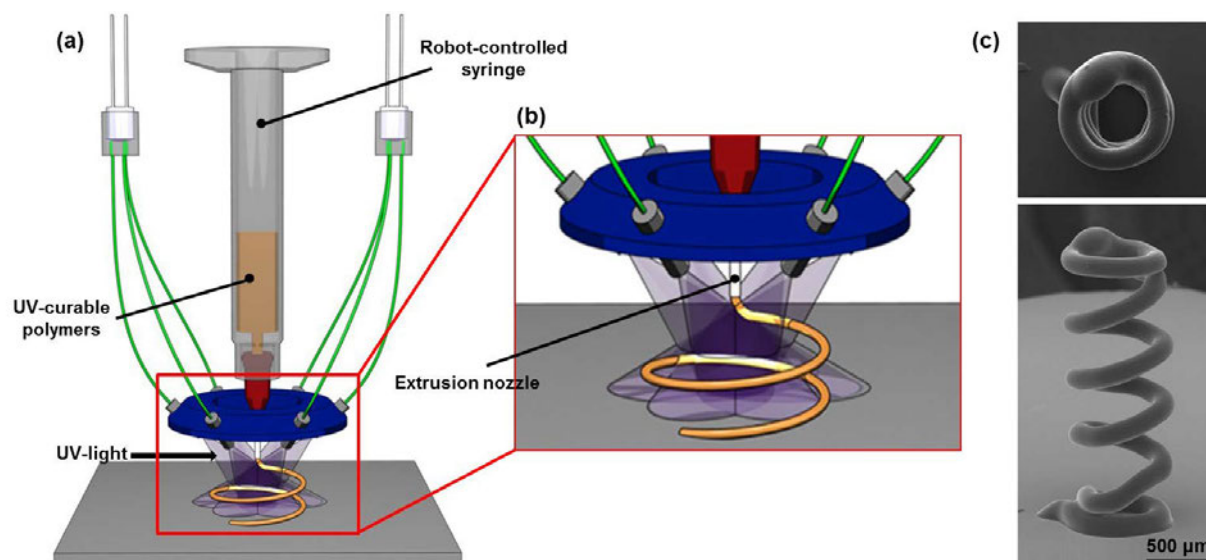


**Figure 4.** FDM fabrication of a helical microstructure made of thermoplastic PLA: (a) schematic representation of the conventional setup composed of heated extrusion chamber, extrusion nozzle and platform (Reproduced from<sup>39</sup>), (b) close-up view of the extrusion nozzle surrounded by the electrical heaters and (c) optical image of a helical microstructure having 5 turns with a pitch of 0.8 mm, filament diameter of 0.2 mm and the coil diameter of 0.9 mm fabricated using thermoplastic PLGA.<sup>21</sup>

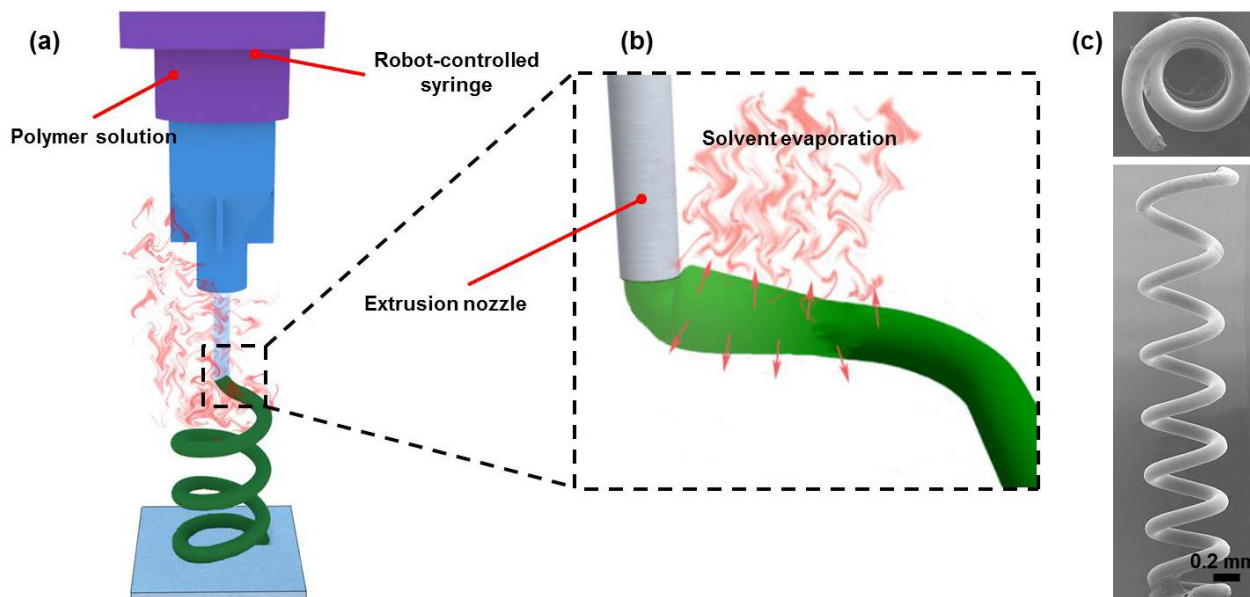


**Figure 5.** MCED fabrication of helical structures: (a) schematic of a basic deposition set-up composed of piezostages and the electrolyte containing micropipette and the dispensing nozzle, and (b) SEM image of six identical microstructures fabricated using copper-based electrolyte solution at room conditions.<sup>48, 49</sup>

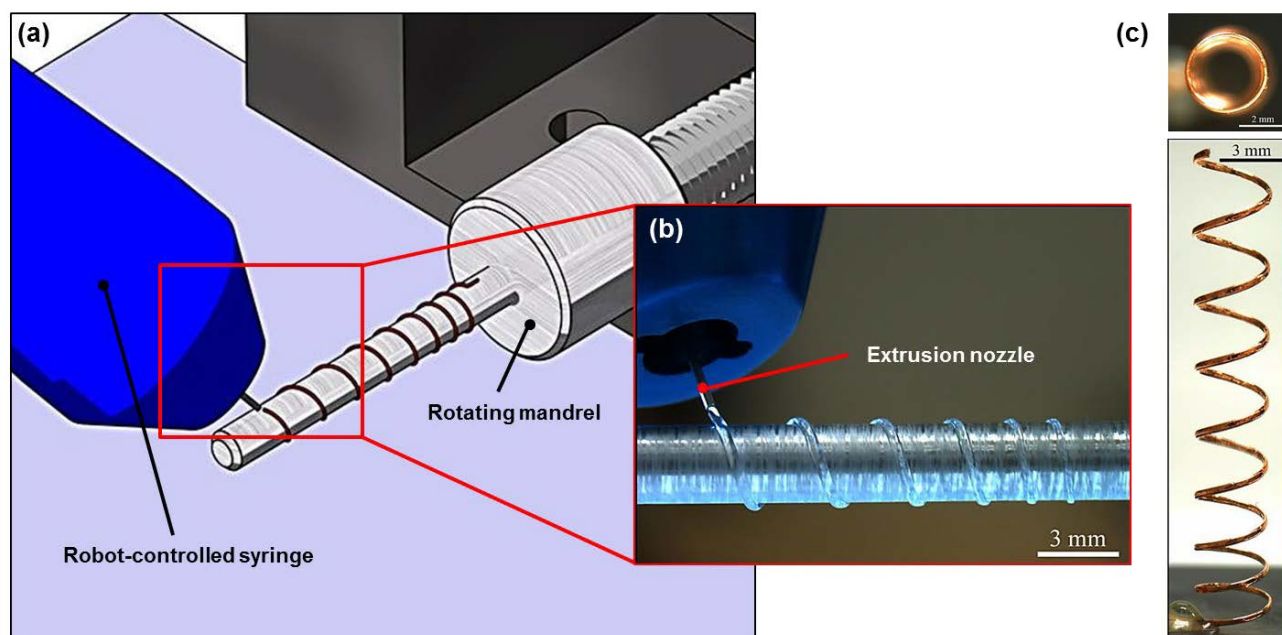




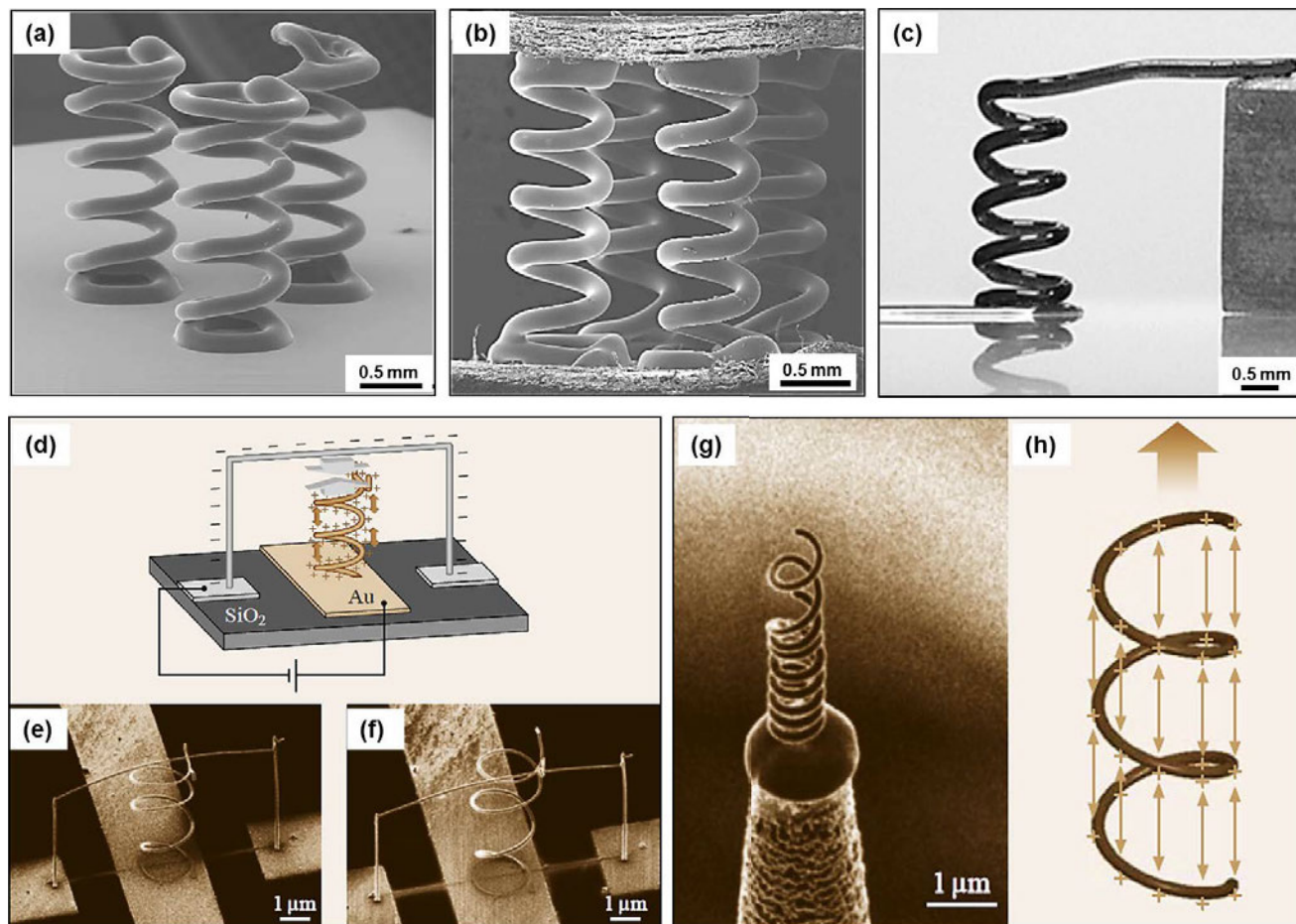
**Figure 6.** UV-3DP fabrication of a photopolymer helical microstructure: (a) schematic representation of the process, (b) close-up view of high intensity UV zone and (c) SEM images of a helical microstructure with circular top-view fabricated at an extrusion speed of 0.3 mm/s and extrusion pressure of  $\sim 2$  MPa using an extrusion nozzle with internal diameter of  $150\mu\text{m}$  and the urethane-based resin, NEA 123T.<sup>25</sup>



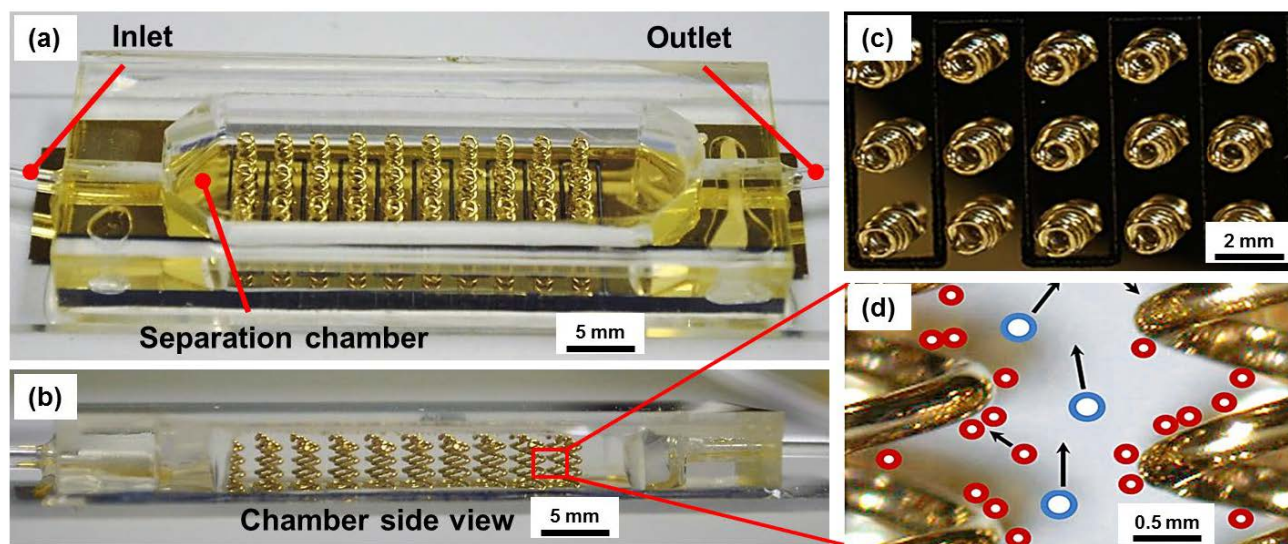
**Figure 7.** SC-3DP fabrication of a helical microstructure made of thermoplastic poly lactic acid (PLA): (a) schematic representation of the process, (b) close-up view of (a) and (c) SEM images of helical microstructure with circular top-view fabricated at an extrusion speed of 0.1 mm/s and extrusion pressure of  $\sim 1.75$  MPa using an extrusion nozzle with an ID of  $100\mu\text{m}$  and 30 wt.% PLA solution in DCM.<sup>24</sup>



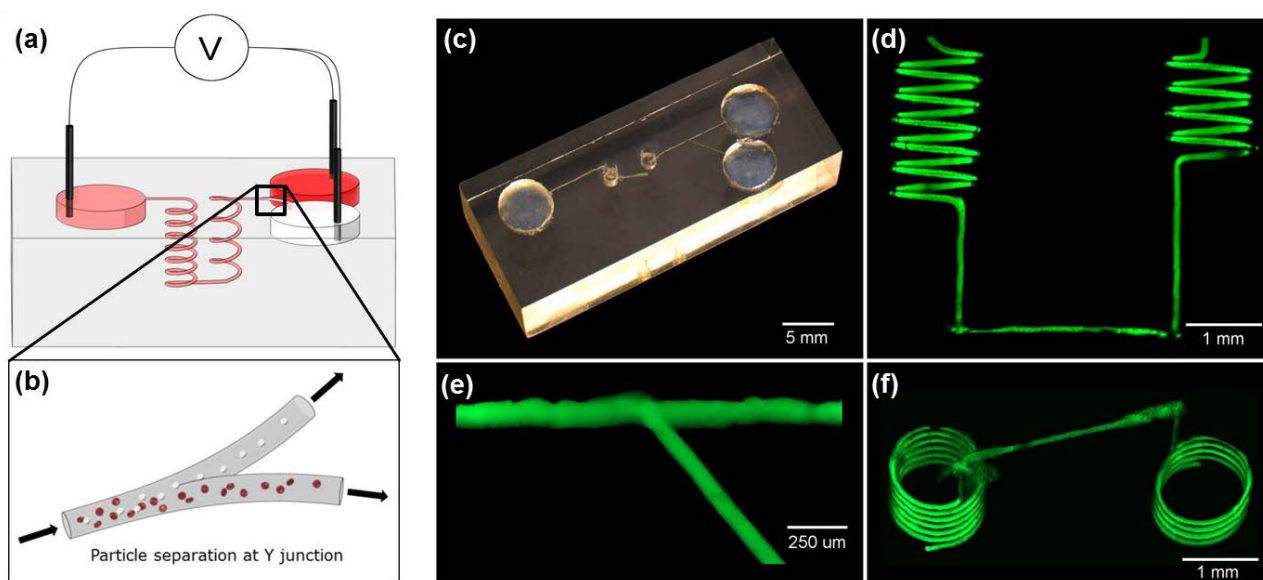
**Figure 8.** CPRM fabrication of a helical microcoil made of thermoplastic poly lactic acid (PLA): (a) schematic representation of the process, (b) an actual close-up optical image of the mandrel, and (c) optical images of copper-coated helical microcoil with circular top-view fabricated using 30 wt.% PLA/DCM solution with an extrusion nozzle of 200  $\mu\text{m}$  internal diameter. The mandrel rotating speed varies while the extrusion pressure is set to  $\sim 2.8$  MPa.<sup>46</sup>



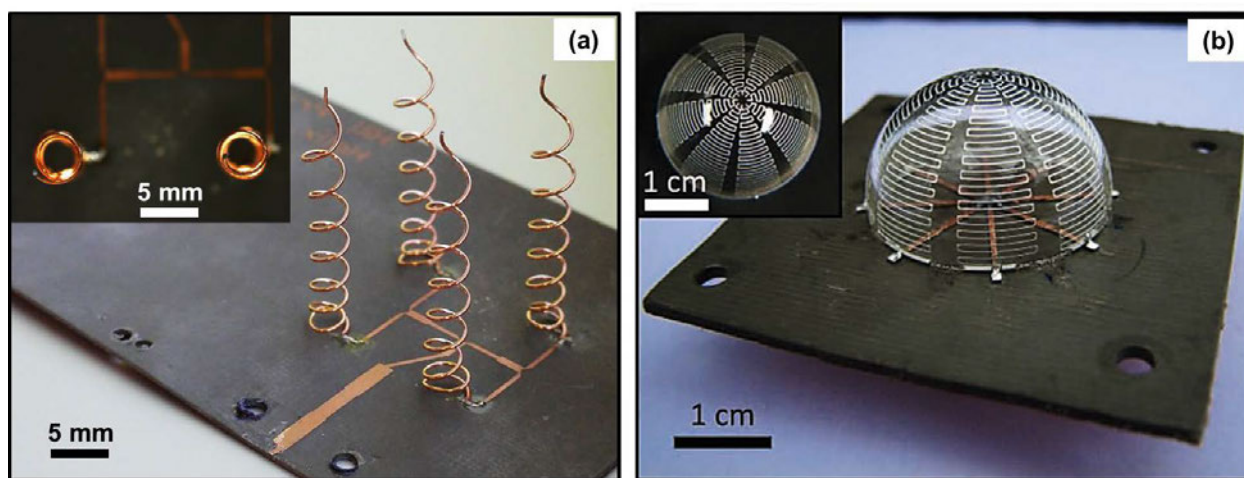
**Figure 9.** (a) SEM image of a triangle array of three helical nanocomposite (urethane-based/0.5 wt.% carbon nanotubes/5 wt.% silica particles) microcoils for potential fluid sensors,<sup>25</sup> (b) SEM image of a 3D nanocomposite (UV-epoxy/1 wt.% carbon nanotubes) sensor capable of sensing out-of-plane displacements,<sup>11</sup> (c) optical image of a nanocomposite (urethane-based/0.5 wt.% carbon nanotubes/5 wt.% silica particles) microcoil connected to two electrodes,<sup>25</sup> (d) schematic of a mechanical switch with its working principle: applying opposite electrical charges to the wiring and the coil results in the formation of repulsive forces between each coil's turn and subsequently the coil extended upward until touching the top wire, (e) and (f) SEM images of the fabricated switch on an Au electrode before and after applying voltage, respectively,<sup>27</sup> (g) SIM image of an electrostatic actuator fabricated on the tip of a Au-coated glass capillary, and (h) schematic illustration of the actuator moving mechanism: the working mechanism of the device is based on the formation of repulsive forces as a result of electric charge accumulation through which leads to the coil expansion.<sup>27</sup>



**Figure 10.** Optical images of a microparticle separator using 3D helical-shaped interdigitated microelectrodes: (a) separation chamber composed of 30 gold-sputtered helical microcoils as 3D electrodes, (b) side-view of the chamber, (c) top-view of the 3D electrodes (gold-sputtered microcoils) and (d) representation of the particles (blue and red) separation when passing through two neighboring microcoils.<sup>62</sup>



**Figure 11.** (a) Scheme of a 3D particles separator working based on dielectrophoresis forces, (b) schematic representation of particle separation at Y junction, (c) optical image of a real fabricated separator, (d) fluorescent side view image of the helical channels, (e) fluorescent image of the Y junction, and (f) fluorescent slightly inclined bottom view of the separator.<sup>64</sup>



**Figure 12.** (a) optical images of arrays of four micro-antennas using conformal printing method in side and top (inset)<sup>52</sup> and (b) optical images of a micro-antenna fabricated by Adams et al. in side and top (inset).<sup>68</sup>