

Springtime Arctic ozone depletion forces Northern Hemisphere climate anomalies

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Abstract

Large-scale chemical depletion of ozone due to anthropogenic emissions occurs over Antarctica as well as, to a lesser degree, the Arctic. Surface climate predictability in the Northern Hemisphere might be improved due to a previously proposed, albeit uncertain, link to springtime ozone depletion in the Arctic. Here, we use observations and targeted chemistry-climate experiments from two models to isolate the surface impacts of ozone depletion from complex downward dynamical influences. We find that springtime stratospheric ozone depletion is consistently followed by surface temperature and precipitation anomalies with signs consistent with a positive Arctic Oscillation, namely warm and dry conditions over southern Europe and Eurasia and moistening over northern Europe. Notably, we show that these anomalies, affecting large portions of the Northern Hemisphere, are substantially driven by the loss of stratospheric ozone. This is likely due to ozone depletion leading to a reduction in shortwave radiation absorption, when in turn causing persistent negative temperature anomalies in the lower stratosphere and a delayed breakup of the polar vortex. These results indicate that the inclusion of interactive ozone chemistry in atmospheric models can considerably improve the predictability of Northern Hemisphere surface climate on seasonal timescales.

1 In the Southern Hemisphere (SH), polar stratospheric ozone has declined drastically since the late
2 1970s due to anthropogenic emissions of gases such as chlorofluorocarbons (CFCs) and bromine-
3 containing halons, resulting in the yearly formation of an ozone hole over the Antarctic in austral
4 spring [1]. This decrease in ozone is not only critical for human health and ecosystems [2, 3], but
5 has been linked to large-scale climatic changes in the SH [4, 5, 6, 7]. While long-term changes in
6 SH surface climate have been clearly attributed to radiative and dynamical impacts of Antarctic
7 ozone depletion [8], recent studies also show a clear connection between the state of the Antarctic
8 ozone layer in spring and subsequent surface climate on seasonal timescales [6, 9, 10].

9 Owing to stronger planetary wave fluxes in the Northern Hemisphere (NH), which result in
10 increased transport of ozone-rich air into the polar regions and less favorable conditions for ozone

11 depletion compared to the SH, the observed relative trend in Arctic stratospheric ozone is much
12 smaller than over the Antarctic. Yet, drastic springtime ozone losses with magnitudes typical
13 for the Antarctic can also occur in the Arctic stratosphere, most recently in spring 2020 [11, 12].
14 Observations and model simulations suggest that such low springtime Arctic ozone concentrations
15 are followed by surface anomalies resembling a positive phase of the Arctic Oscillation (AO) [13,
16 14], consistent with observations in the SH. Some analyses argue that this ozone-surface climate
17 connection could be useful for statistical and model predictions [15, 16] of NH climate.

18 However, it remains difficult to disentangle the potential downward influence of ozone extremes
19 from extreme dynamical events in the stratosphere, for which a surface impact is well established
20 [17, 18, 19]. Surface patterns coincident with ozone depletion might be caused entirely by dynamical
21 variability in the lower stratosphere, with ozone simply acting as a passive tracer of such dynamical
22 variability [20, 21]. Conversely, some studies based on models and observations conclude that
23 ozone extremes actively influence surface climate [14, 13]. Inconclusive results arise from both
24 a lack of model studies which explicitly isolate the ozone feedbacks, and the specific analysis
25 methods used in past studies. Until now, there is neither robust evidence for a causal link between
26 springtime stratospheric ozone and NH surface climate, nor has the impact of ozone feedbacks been
27 quantitatively assessed. Moreover, past studies focused on monthly averaged climate variables and
28 chose fixed reference months (March/April) to define springtime ozone extremes, ignoring inter-
29 annual variations in the timing of those events.

30 Many operational forecast and reanalysis systems as well as climate models neglect possible
31 impacts of stratospheric ozone on surface climate by prescribing a diagnostic ozone forcing [22,
32 23, 24], thus ignoring ozone feedbacks. Furthermore, they typically use a zonally averaged ozone
33 climatology, whereas a longitudinally resolved ozone forcing may better represent the climatology
34 and trends of the Arctic polar vortex and surface climate, as idealized model experiments show
35 [25, 26, 27]. However, the importance of the spatial structure during Arctic ozone depletion is
36 still unclear. A three-dimensional representation of stratospheric ozone in prediction models could
37 therefore help to improve predictability at the surface.

38 Here, we shed new light on the surface impacts of Arctic ozone depletion by (1) improving

39 the detection of ozone depletion events and their surface signature through consideration of their
40 relative timing and by (2) disentangling effects of ozone feedbacks, zonal ozone asymmetries and
41 dynamical contributions in model simulations.

42 **Ozone-surface climate connection in observations**

43 We revisit springtime ozone depletion and associated surface patterns from 1980 to 2020 in the
44 MERRA2 reanalysis dataset [28]. We define springtime ozone minima based on partial strato-
45 spheric ozone column (30-70 hPa) over the polar cap (60-90°N) and identify and rank for each year
46 the minima in daily ozone values within March and April, and the day exhibiting the lowest ozone
47 value is termed as "ozone minimum date". In the following, we use the terms "ozone minima" and
48 "ozone depletion" interchangeably (see section S5). For further analysis, the 10 years — 25% of
49 the 41 year-long period — with the lowest springtime ozone values are considered. This detection
50 method allows for a better alignment of stratospheric ozone depletion and associated surface effects
51 compared to previous studies [14, 13].

52 In the 30 days following the ozone minimum date, we find predominantly a positive phase of
53 the AO (Fig. 2, mean AO index of 0.52) with negative sea level pressure (SLP) anomalies in
54 the polar region and positive SLP anomalies in midlatitudes, especially over northwestern Europe
55 (Fig. 1 a). This pattern is consistent with previous studies [13]. The positive AO is associated
56 with regional temperature anomalies; warming over Siberia and large parts of Eurasia (up to 2
57 K) as well as over western Europe (up to 1 K), and cooling over southeastern Europe (Fig. 1
58 b). Furthermore, we find reduced precipitation over large parts of Europe and central Asia, and
59 increased precipitation over the Arctic (Fig. 1 c).

60 Even though MERRA2 shows a strong connection between stratospheric ozone depletion and
61 a positive AO at the surface, the spread in the mean AO index averaged over the month after the
62 ozone minimum date between individual events is large, and for 2 out of the 10 events the AO
63 is negative (Fig. 2). This spread is similar to the uncertainty in the tropospheric response after
64 Sudden Stratospheric Warmings (SSWs) [29, 30]. Nevertheless, there is a clear shift towards a pre-
65 dominantly positive phase of the AO in the aftermath of stratospheric ozone depletion. Our new

66 detection method used here not only confirms the robust statistical connection between springtime
67 stratospheric ozone values and surface climate reported previously, but also reveals an even larger
68 surface signal following strong stratospheric ozone depletion than reported by past studies[14, 13]
69 (see Fig. S9).

70 **Isolating the influence of ozone on surface climate**

71 In order to establish the causality of the ozone-surface coupling, we perform targeted model exper-
72 iments designed to isolate the ozone impact on stratospheric dynamics and surface climate, known
73 as ozone feedbacks. We use two chemistry-climate-models, WACCM4 [31] and SOCOL-MPIOM
74 [32]. These models have different dynamical cores and chemistry modules, offering independent
75 responses. With both models, we perform three simulations employing present-day boundary
76 conditions: one with fully interactive ozone chemistry (INT-3D), one with prescribed daily three-
77 dimensional climatological ozone (CLIM-3D), and one with a prescribed zonally averaged ozone
78 climatology (CLIM-2D) from the same underlying model to avoid introducing any systematic
79 biases. Unlike other studies using a similar set-up [27, 33], experiments with prescribed ozone
80 climatologies (CLIM-3D and CLIM-2D) still employ the chemistry scheme. However, in these
81 experiments, the calculated ozone field is radiatively inactive — instead, the respective ozone cli-
82 matology is used by the radiation module. Thus, the calculated ozone purely acts as a passive
83 tracer in CLIM-3D and CLIM-2D and ozone feedbacks on the atmospheric circulation are disabled
84 (see Fig. 3 a).

85 Simulations with WACCM using a climatological zonal mean ozone field (CLIM-2D) show
86 significant negative SLP anomalies over the polar cap, positive temperature anomalies over large
87 parts of Eurasia and increased precipitation close to the pole following the 25% strongest springtime
88 ozone minima (Fig. 1 j-l). Surface anomalies in CLIM-2D following Arctic ozone depletion are thus
89 comparable to observations in their sign and pattern for large parts of the NH, but are substantially
90 weaker (cp. to Fig. 1 a-c). Simulations employing a three-dimensional ozone climatology (CLIM-
91 3D) show slightly improved results compared to the observations, especially over Europe. The
92 CLIM-3D experiment captures the observed high SLP and temperature anomalies as well as dry

93 anomalies over northwestern Europe: this pattern is absent in CLIM-2D (Fig. 1 g-l). However,
94 neither CLIM-2D nor CLIM-3D captures the full magnitude of the surface signal (i.e. they only
95 capture up to 40% of the AO signal in WACCM). Since ozone anomalies do not exert any radiative-
96 dynamical feedback in the CLIM setting, springtime surface anomalies in these runs are solely
97 due to dynamical variability, and are linked to an exceptionally strong polar vortex and a cold
98 stratosphere. This suggests that the magnitude of surface patterns found in the observations
99 cannot be explained by dynamical variability alone.

100 Simulations with interactive ozone (INT-3D), which additionally include ozone feedbacks, show
101 significantly enhanced surface anomalies compared to CLIM-2D and CLIM-3D in the 30 days after
102 springtime Arctic ozone depletion. More specifically, negative SLP anomalies over the pole are
103 up to 4 hPa larger (Fig. 1 d), temperature anomalies in Eurasia are enhanced by more than 1
104 K (Fig. 1 e) and precipitation anomalies over the Arctic are increased (Fig. 1 f). Most notably,
105 the distribution of the AO index and its mean (0.50) in the month after the ozone minimum date
106 in INT-3D is comparable to the AO index in reanalysis with a mean of 0.52, while the mean
107 is smaller in CLIM-3D (0.21) and close to zero in CLIM-2D (0.01) (Fig. 2). Since differences
108 in surface anomalies between INT-3D and CLIM show the direct impact of ozone feedbacks, we
109 conclude that stratospheric ozone actively forces NH surface climate in the aftermath of springtime
110 ozone depletion.

111 Model experiments with SOCOL-MPIOM corroborate these results, albeit with a slightly
112 smaller ozone impact. In SOCOL-MPIOM, anomalies in surface temperature are weaker in CLIM-
113 2D compared to INT-3D, while in simulations with a three-dimensional ozone climatology (CLIM-
114 3D) the anomalies are well captured for some parts of the Northern Hemisphere, especially over
115 Europe and Siberia (see Extended Data Figure 1). However, zonal asymmetries both in the ozone
116 distribution and in the polar vortex are overestimated by this model (Figs. S3, S4, S5), which might
117 lead to an overestimation of the surface impact of ozone asymmetries (CLIM-3D vs. CLIM-2D).
118 The impact of zonal asymmetries of a climatological ozone forcing is thus model dependent (see
119 supplementary material). In addition, the magnitude of the AO response is to some extent model
120 dependent. Whereas there is a strong (60%) and significant enhancement of the AO induced by

121 ozone anomalies in WACCM, the signal in SOCOL-MPIOM is smaller (30%) and less significant
122 (see Fig. S2). However, the AO index in SOCOL-MPIOM is less congruent with the SLP anoma-
123 lies around the ozone minima than in WACCM (see Extended Data Figure 2, Fig. S1). Hence,
124 the AO index does not reflect the full extent of the ozone-induced surface patterns in SOCOL-
125 MPIOM. Despite these caveats, the contribution of ozone depletion to regional surface anomalies
126 is consistent across models is: in both models, ozone feedbacks substantially contribute to certain
127 features of the surface signal, such as the low pressure anomaly over the North pole and the high
128 pressure anomaly and decreased precipitation over Northern Europe (Extended Data Figures 5,
129 6). The robustness of these results in both models emphasizes the importance of interactive ozone
130 chemistry to capture the full magnitude of the surface signal following Arctic ozone depletion.

131 **Ozone feedback mechanism**

132 Comparison of stratospheric conditions in CLIM and INT-3D experiments around the ozone min-
133 ima provides insights into the mechanism through which ozone affects surface climate. Impacts of
134 ozone asymmetries on the polar vortex shape are discussed in the supplementary material (Figs. S3,
135 S4, S5). Ozone depletion is closely tied to a strong stratospheric vortex with a cold lower strato-
136 sphere [34]. This strong polar vortex is generally accompanied by positive temperature anomalies
137 in the upper stratosphere [35, 36] due to increased wave guiding towards and increased dissipation
138 within the upper stratosphere. These upper stratosphere temperature anomalies descend to the
139 lower stratosphere once sunlight returns to the polar cap in spring and the vortex starts to dissi-
140 pate; this is reproduced by all model experiments (see Fig. 5 a for WACCM (contour lines), and
141 Extended Data Figure 4 c, d for SOCOL-MPIOM). In the lower stratosphere, a stronger polar
142 vortex is associated with reduced transport of ozone-rich air into the polar regions [33], which
143 contributes dynamically to the reduced Arctic ozone abundance.

144 Ozone depletion by anthropogenic halogens initiated by these background conditions exerts an
145 additional forcing on stratospheric temperature and dynamics: due to the loss of ozone, there is less
146 solar absorption in the stratosphere between 50-100 hPa in model simulations that include ozone
147 feedbacks (Fig. 5 c), leading to larger and more persistent cold anomalies in the lower stratosphere

148 (see Fig. 5 a). As a result, the depletion event prolongs the lifetime of the polar vortex. In
149 model experiments including ozone feedbacks, the spring polar vortex breakup ("final warming")
150 is significantly delayed compared to experiments with climatological ozone (by up to 10 days,
151 see Table S1). Hence, Arctic ozone anomalies actively extend stratospheric winter conditions,
152 which is expressed by a more persistent positive Northern Annular Mode (NAM) index in the
153 lower stratosphere in INT-3D compared to CLIM (Fig. 4). Prolonged stratospheric anomalies are
154 expected to induce anomalous states in the troposphere and a shift in the surface AO [29], which
155 is evident in WACCM (see Fig. 2). In SOCOL-MPIOM, the lower stratospheric anomalies (i.e.
156 NAM at 100 hPa) are sustained and coupling to the troposphere is enhanced (see Extended Data
157 Figures 3, 4), although less than in WACCM. Since the lifetime of stratospheric anomalies is
158 critically related to the magnitude of their surface response [37], the less persistent polar vortex
159 in SOCOL-MPIOM might reduce the AO response in this model compared to WACCM.

160 Moreover, positive temperature anomalies in the upper and middle stratosphere are stronger
161 in simulations including ozone feedbacks (Fig. 5 a, days 30-60). This is due to an increase in
162 planetary wave dissipation following the strengthening of the polar vortex through ozone feedbacks,
163 similar to what has been reported previously for spring conditions with an already weakened polar
164 vortex [38, 39, 27]. An increase in planetary wave breaking in the upper stratosphere leads to a
165 strengthening of the Brewer-Dobson circulation (BDC), which implies increased downwelling over
166 the pole, resulting in adiabatic heating [38] (Fig. 5 d, days 30-60).

167 A schematic illustration of the ozone feedback mechanism is shown in Figure 3 b. The ozone
168 feedback mechanism presented here is the first description of the downward impact of springtime
169 ozone depletion in the NH in a mechanistic way and is consistent with our understanding of the
170 dynamical impacts of the ozone hole in the SH. Even though ozone depletion is much less frequent
171 in the Arctic than in the Antarctic, we conclude that the large contribution of ozone depletion
172 to springtime surface climate as well as the mechanism by which ozone affects the stratospheric
173 circulation is analogous in both hemispheres.

174

175 **Implications for predictability**

176 Dynamical variability in the stratosphere has previously been shown to provide skill for subseasonal
177 to seasonal prediction for the Northern Hemisphere, both for winter (e.g., refs. [40, 41, 42]) and
178 for spring [43]. Such stratospheric predictability bears a broad societal relevance, for example
179 in the context of wind electricity generation [44] and human health [45]. Forecast systems with
180 an enhanced stratospheric resolution have been shown to provide improved skill for tropospheric
181 predictions [46]. Forecast errors for the North Atlantic region can be traced back to the initial
182 state of the polar stratospheric vortex and uncertainties in stratosphere-troposphere coupling in a
183 sub-seasonal prediction system [47]. One potential reason for such errors is that most state-of-the-
184 art prediction systems lack interactive stratospheric ozone chemistry [48]. Indeed, stratospheric
185 ozone information could further enhance sub-seasonal to seasonal predictions, and experiments
186 with a simplified ozone scheme that mimics interactions between dynamics and chemistry show
187 promising results [16].

188 The results presented here contribute to this discussion in two ways: First, they shed new light
189 on the nature of the ozone-surface climate connection in the NH — a relationship controversially
190 discussed. Our modelling experiments show in a robust manner that the substantial fraction of the
191 springtime NH surface pattern in the aftermath of strong stratospheric ozone depletion cannot be
192 explained by dynamical variability alone. Rather, ozone feedbacks represent an important contri-
193 bution to the surface response. We therefore conclude that interactive ozone chemistry is essential
194 for weather and climate models to realistically reproduce NH spring conditions. Where interactive
195 ozone chemistry is not feasible, our results suggest that a spatially resolved ozone forcing can lead
196 to improvements compared to the method still widely used in weather and climate prediction,
197 namely a zonally averaged ozone representation [22, 23, 24]. However, impacts of ozone asymme-
198 tries are model-dependent and stratosphere-troposphere coupling is highly underestimated when
199 interactive ozone chemistry is absent (Fig. 4, Extended Data Figure 3). A second contribution
200 is that these novel findings create new incentives to explore the value of stratospheric ozone for
201 subseasonal to seasonal prediction. Our results should thus serve as a motivation to explore ways

202 to include a more realistic representation of stratospheric ozone in forecast models, and to further
203 investigate the prediction skill arising from stratospheric ozone depletion in current and future
204 climate for both hemispheres. Despite the projected Arctic ozone recovery, large dynamical vari-
205 ability will ensue in the future, leading to large episodic springtime depletion [49]. Hence, Arctic
206 ozone will continue playing an important role in future climate variability.

207

208 **Acknowledgements**

209 We are grateful for the assistance from U. Beyerle in data management and thank S. Muthers
210 for support in the chemistry-climate modelling with SOCOL-MPIOM. Support from the Swiss
211 National Science Foundation through Ambizione Grant PZ00P2_180043 for M.F. and G.C, and
212 projects PP00P2_170523 and PP00P2_198896 to D.D. is gratefully acknowledged.

213 **Author contributions**

214 G.C. conceived the modelling experiments, G.C., M.F., A.S. and J.A. conducted the modelling
215 experiments, M.F. and G.C. processed the data, M.F., G.C., T.P., D.D. and S.F. analysed and
216 interpreted the results. M.F. wrote the paper with input from all authors.

217 **Competing interests**

218 The authors declare no competing interests.

219 **Additional information**

220 Supplementary information is available for this study.

221 **Correspondance and request for materials**

222 should be directed to Marina Friedel (marina.friedel@env.ethz.ch).

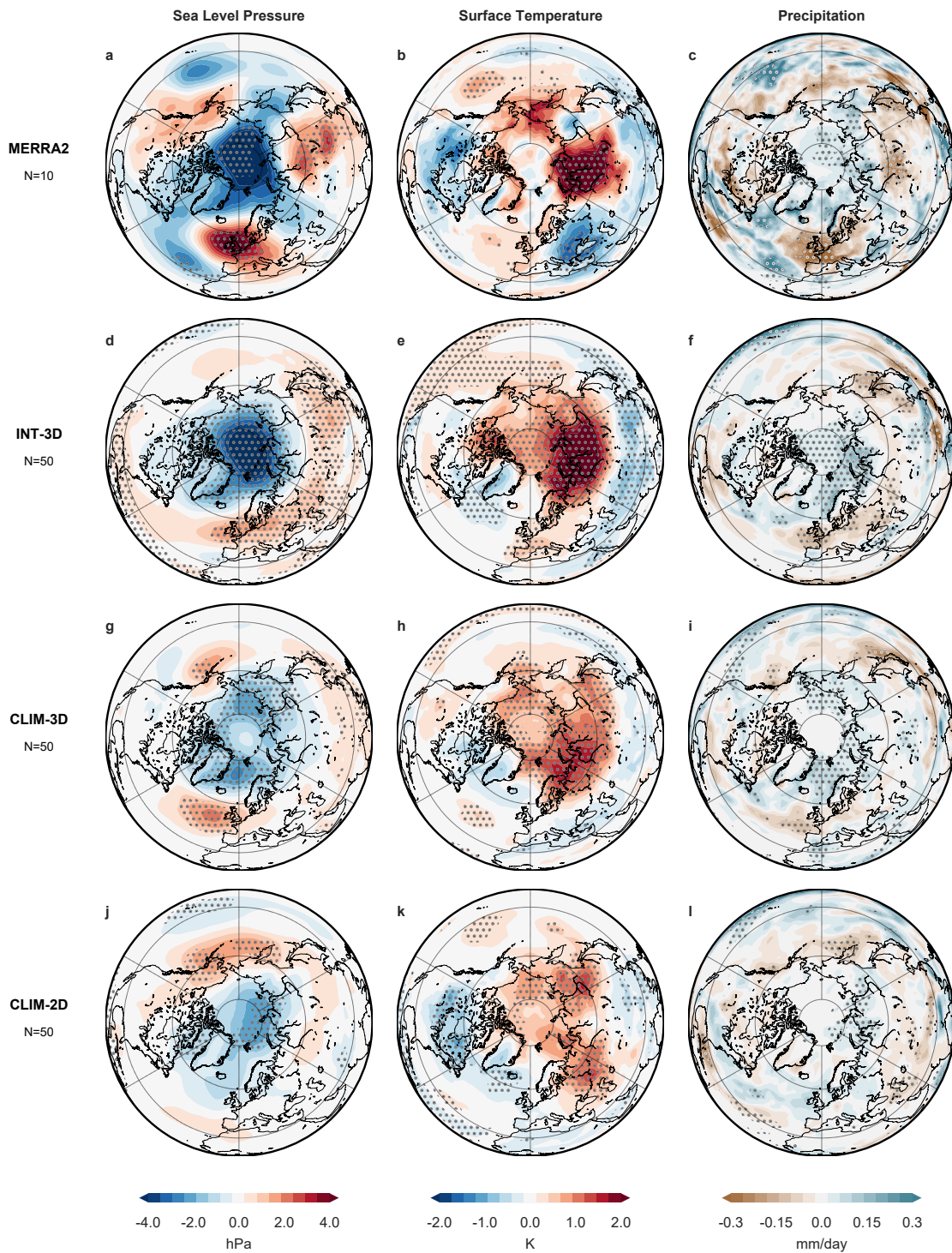


Figure 1. Surface climate following springtime Arctic ozone depletion. Composites of sea level pressure (a, d, g, j), surface temperature (b, e, h, k) and precipitation (c, f, i, l) anomalies in observations (top row), WACCM INT-O3 (second row), CLIM-3D (third row) and CLIM-2D (bottom row) after ozone minima in the 25% of winters with most extreme ozone loss (average over the 30 days after the ozone minimum date). Stippling shows significance on a 4.6% level ($2 \cdot \sigma$) following a bootstrapping test. The following springs are included in the observations (top row): 2020, 2011, 2005, 2002, 2000, 1997, 1996, 1995, 1993, 1990.

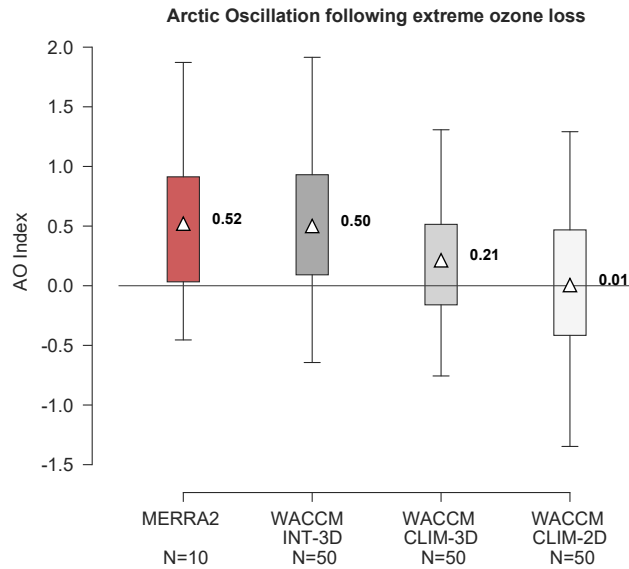


Figure 2. The Arctic Oscillation index following winters with extreme ozone loss in the month after the ozone minimum date. The box plot shows the distribution of the mean AO index (20 - 90°N) at 1000 hPa in the month following the ozone minimum for MERRA2 (red) and WACCM (grey) INT-O3, CLIM-O3 and CLIM-2D. Triangles and numbers indicate the mean Arctic Oscillation index in the month after the ozone minimum date averaged over the 25% most extreme winters. The upper and lower edges of the boxes show the upper and lower quartile, the whiskers represent the maximum and minimum values of the respective distribution. For MERRA2, individual data points for each ozone minimum are shown. For a discussion of the robustness of the AO response, refer to section S2.

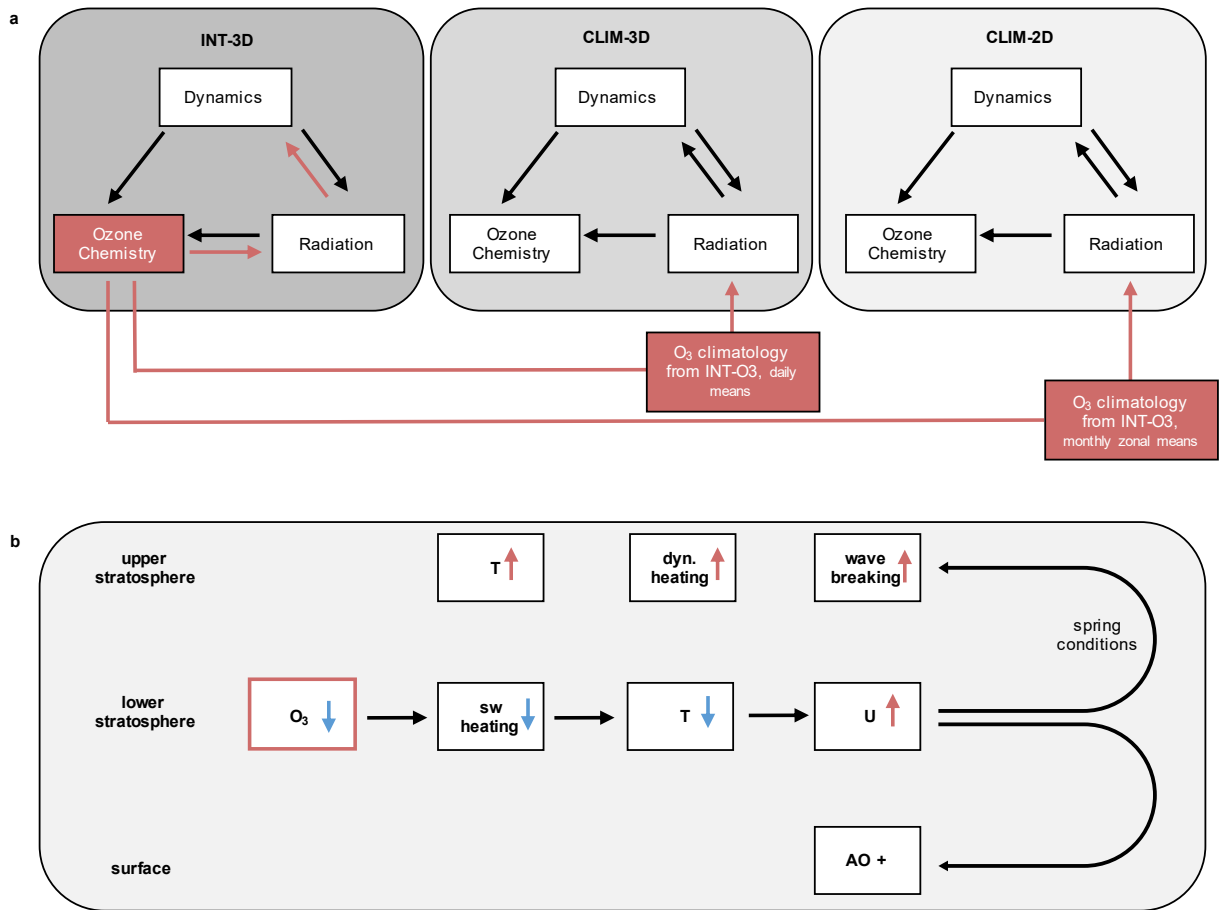


Figure 3. Simulation setup and ozone feedback mechanism. (a) Setup of the simulations with interactive ozone (INT-3D), prescribed three-dimensional climatological ozone (CLIM-3D) and prescribed monthly zonal mean climatological ozone (CLIM-2D). INT-3D treats ozone chemistry fully interactively, i.e. the calculated ozone field has a direct feedback on the atmosphere via the model radiation schemes. In contrast, the CLIM experiments do not use interactively calculated ozone in the radiation module. Instead, the radiation module uses an ozone climatology, which has been derived from INT-3D runs with interactive ozone of the same model. (b) Ozone feedback mechanism in the aftermath of strong springtime Arctic ozone loss. Shown are impacts of ozone depletion on short wave (sw) heating, temperature, and wind speed in the lower stratosphere and subsequent impacts on surface AO and upper stratospheric temperature.

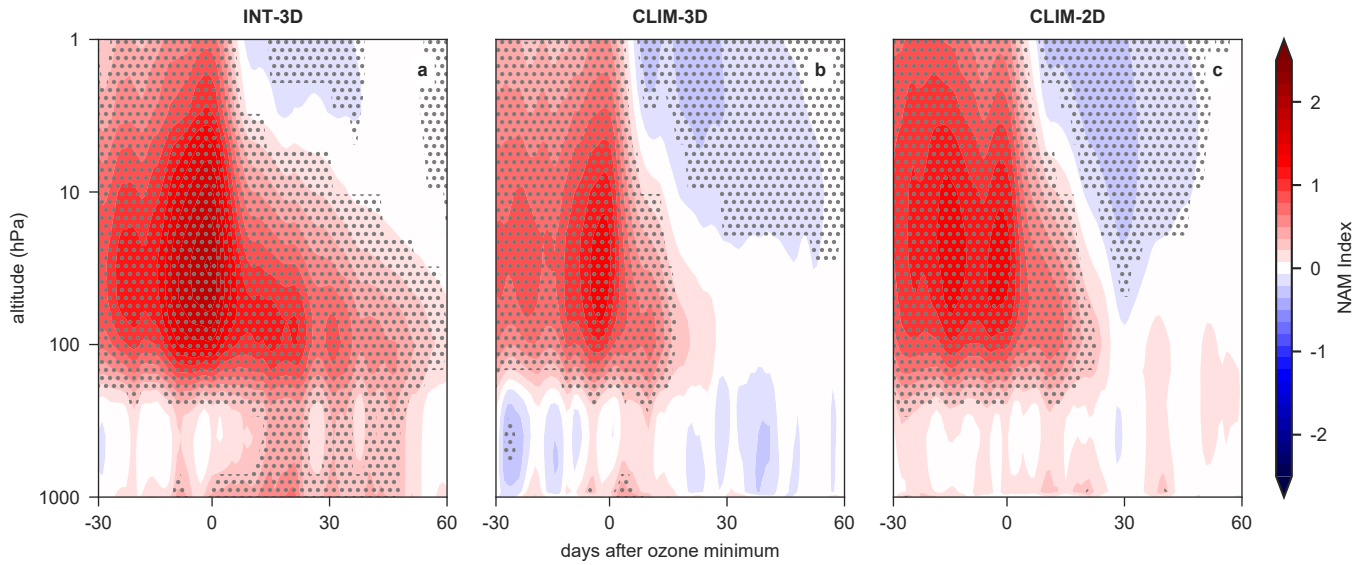


Figure 4. Influence of ozone depletion on stratosphere-troposphere coupling. Composites of Northern Annular Mode (NAM) indices (20 - 90°N) around the ozone minima in WACCM INT-3D (a), CLIM-3D (b) and CLIM-2D (c). Day zero indicates the date with the largest extent of the ozone minima ("ozone minimum date"). Stippling shows significance on a 4.6% ($2 \cdot \sigma$) level following a bootstrapping test (see methods).

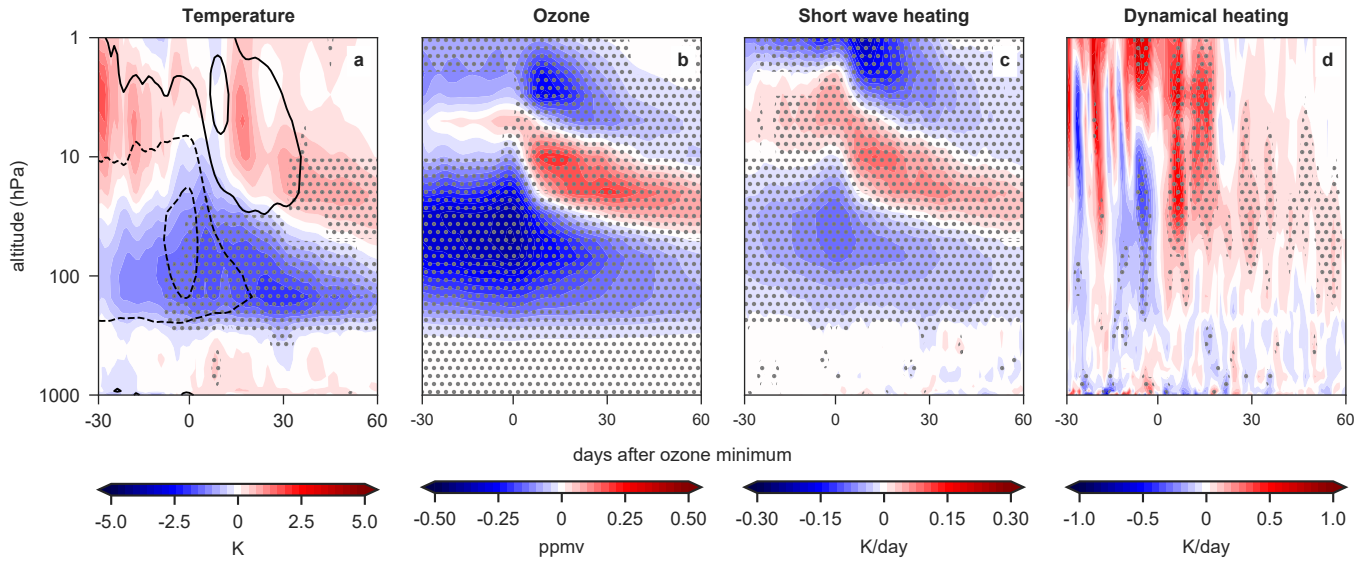


Figure 5. Impact of ozone feedbacks on shortwave and dynamical heating. Differences of polar cap (60-90°N) temperature (a), ozone (b), shortwave heating (c) and dynamical heating (d) anomalies between INT-3D and CLIM-3D around the ozone minima in WACCM. Day zero indicates the date with the largest extent of the ozone minima ("ozone minimum date"). Contour lines in the temperature plot show temperature anomalies in CLIM-2D around the ozone minima with a contour interval of 1.5 K. Stippling shows significance on a 4.6% ($2 \cdot \sigma$) level following a bootstrapping test.

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355 **Methods**

356 **Models**

357 We investigate ozone feedbacks in two chemistry climate models, WACCM version 4 and SOCOL-
358 MPIOM. WACCM (Whole Atmosphere Community Climate Model) is the atmospheric component
359 of the NCAR Community Earth System Model version 1 (CESM1.2.2). It is a fully interactive
360 high-top chemistry climate model [31] coupled to an active ocean [50] and sea ice components
361 [51]. Extending to the lower thermosphere ($5.1 \cdot 10^{-6}$ hPa) in altitude with 66 vertical levels and
362 a well resolved stratosphere [31], WACCM has been documented to capture stratospheric trends
363 and variability reasonably well and has been used in many recent studies analysing interannual
364 stratospheric variability (e.g., refs. [27, 33, 9] [52]). WACCM has a horizontal resolution of 1.9°
365 latitude and 2.5° longitude [31], while the ocean has a nominal latitude-longitude resolution of 1° .
366 Being coupled to an interactive chemistry scheme [53], WACCM calculates ozone concentrations
367 over a set of chemical equations between a total of 59 species [31] and therefore actively simulates
368 feedbacks between ozone and dynamics. In addition, WACCM can be run in a "specified chem-
369 istry" mode, where ozone concentrations and other radiative species are prescribed in the form of
370 zonal mean or three-dimensional monthly or daily mean climatologies [54].

371

372 SOCOL (SOlar Climate Ozone Links) version 3 is a chemistry climate model based on the gen-
373 eral circulation model MA-ECHAM5 and the chemistry transport model MEZON (Model for Eval-
374 uation of oZONe trends [55]), which are interactively coupled via 3-D temperature and wind fields
375 and through radiative forcing induced by several greenhouse gases (water vapor, ozone, methane,
376 nitrous oxide, and chlorofluorocarbons (CFCs)) [56]. MEZON includes a set of 140 gas-phase, 46
377 photolysis and 16 heterogeneous reactions between 41 species. SOCOL in its default configuration
378 therefore incorporates feedbacks between ozone and dynamics. Like WACCM, SOCOL can be run
379 in a "specified chemistry" mode through decoupling of chemistry and general circulation model, in
380 which case ozone concentrations are prescribed as either zonal mean, monthly mean climatologies,
381 or three-dimensional, daily mean climatologies [32]. The model version SOCOL-MPIOM used in

382 this study is additionally coupled to the ocean-sea-ice model MPIOM [32]. SOCOL-MPIOM has
383 a model top of 0.01 hPa with a well resolved stratosphere and 39 vertical levels and a horizontal
384 resolution of T31 ($3.75^\circ \times 3.75^\circ$) [56]. Despite exhibiting a cold pole bias in the stratosphere during
385 winter [56], SOCOL has been documented to capture the annual ozone cycle and ozone trends [56]
386 as well as stratospheric variability [32] reasonably well.

387

388 **Boundary Conditions**

389 Since both WACCM and SOCOL-MPIOM are models with fully coupled radiation, chemistry and
390 dynamics, both models incorporate ozone-circulation feedbacks. For the study at hand, we run
391 both models under invariant year-2000 boundary conditions with fixed, seasonally varying atmo-
392 spheric greenhouse gas (GHG) concentrations including ozone depleting substances (ODSs) and a
393 nudged quasi-biennial oscillation (QBO). Therefore, the model simulations only show very small
394 trends (see Fig. S6 and discussion in the supplementary material). For SOCOL, GHG concen-
395 trations and ozone depleting substances (ODS) have been prescribed following the approach in
396 Stenke et al. (2013) [56] and the QBO has been nudged according to Broennimann et al. (2007)
397 [57]. In WACCM, a perpetual 28-month QBO cycle was forced based on the setup described in
398 Marsh et al. (2013) [31]. Boundary conditions were prescribed following the CMIP5 forcing data
399 sets [58]. With concentrations of ODSs being set to year-2000 levels, this setup yields a high polar
400 stratospheric ozone variability in spring and thus maximised ozone feedbacks. It also removes the
401 effects of climate change, thereby aiding the statistical analysis.

402

403 **Experiment design**

404 To assess the impact of ozone feedbacks, we contrast runs with fully interactive and specified
405 ozone chemistry in both models. Within the fully interactive ozone runs (INT-3D), the free run-
406 ning models interactively calculate ozone concentrations, therefore allowing the simulation of ozone
407 feedbacks into the general circulation. Averaging over all 200 simulated years of INT-3D SOCOL

408 and INT-3D WACCM, ozone climatologies are derived and used as prescribed forcings in simula-
409 tions without interactive ozone (CLIM-2D and CLIM-3D). We perform two different simulations
410 with specified ozone chemistry: One using a zonal mean, monthly mean ozone climatology de-
411 rived from INT-3D (CLIM-2D), and another one using a three-dimensional, daily mean ozone
412 climatology from INT-3D (CLIM-3D).

413 For both runs with specified ozone chemistry (CLIM-3D and CLIM-2D) we use a hybrid model
414 setup: while interactive ozone is still being calculated and saved as output, it is decoupled from the
415 models' radiation schemes and replaced by ozone climatology from INT-3D. In this setup without
416 interannually varying radiative ozone forcing, ozone feedbacks are not simulated; ozone thus acts
417 as a passive tracer of dynamical variability in the stratosphere. The advantage of this setup
418 compared to a more standard approach without any chemistry scheme is the better comparability
419 between INT and CLIM experiments, as the oxidation of other radiatively active gases, such as
420 CH_4 , N_2O and CFCs, by ozone via $\text{O}(^1\text{D})$, is still considered in the CLIM setting. Comparisons of
421 CLIM experiments with runs with interactive ozone chemistry therefore allow us to draw robust
422 conclusions about the role of ozone feedbacks in the climate system. In addition, the hybrid
423 approach allows us in addition to apply the same definition for detecting the 25% most extreme
424 ozone minima as for reanalysis (50 events out of 200 simulated years) in all CLIM and INT
425 experiments. A similar set-up has already been used in the context of SH ozone depletion [59]. To
426 account for the high interannual stratospheric variability within the system, we simulate a total of
427 200 model years for each of the four runs. The model setup is illustrated in Figure 3 a.

428 **Sampling of ozone minima**

429 Springtime ozone minima are defined based on daily zonally averaged ozone mixing ratios. To
430 exclude outliers from being counted as "ozone minimum", a 5-day running mean of ozone mixing
431 ratios is derived from the daily data. In order to detect the impact of ozone feedbacks, it is desirable
432 to select the springtime ozone minima based on the altitude exhibiting the largest interannual ozone
433 variability. Since the year-to-year ozone variance maximizes at different altitudes in WACCM,
434 SOCOL-MPIOM and MERRA2 (see supplementary Fig. S7), we select years with springtime

435 ozone minima based on partial ozone column from 30-70 hPa rather than based on a specific
 436 altitude to standardize treatment of different datasets. The partial ozone column was calculated
 437 based on the 5-day running mean daily ozone mixing ratios in DU according to

$$O_{3,\text{pcol}} = \frac{1\text{DU}}{2.687 \cdot 10^{16} \frac{\text{molec}}{\text{cm}^2}} \sum_{p=30\text{hPa}}^{70\text{hPa}} \frac{\chi_{O_3}(p) \cdot 10 \cdot \Delta p}{g \cdot m_{\text{air,d}}} \quad (1)$$

438 where $\chi_{O_3}(p)$ denotes the ozone mixing ratio at pressure level p , Δp describes the distance to
 439 the next pressure level in Pa, g is the gravitational constant (in $\frac{\text{cm}}{\text{s}^2}$) and $m_{\text{air,d}}$ the mass of dry air
 440 (in $\frac{\text{g}}{\text{molec}}$). For each year within a dataset, the minimum polar cap mean (60-90°N) partial ozone
 441 column value within March and April is selected. For each dataset, the 25% of years with the
 442 lowest minimum partial ozone column in March and April are considered "low ozone years" (10
 443 events in MERRA2, 50 events for each model simulation). The day at which the minimum ozone
 444 value occurs is considered the "ozone minimum date". If not stated otherwise, the data is weighted
 445 with the cosine of latitude for latitudinal averaging.

446 **Calculation of anomalies**

447 To calculate anomalies of a variable, a climatology for the respective variable is derived for each
 448 day of the year by averaging each calendar day over all years available in the dataset. This daily
 449 climatology is subtracted from the daily variable values to obtain daily anomalies. For MERRA2,
 450 a daily climatology is derived by averaging over the years 1980 to 2019. Since the spring in 2020
 451 exhibited particular strong anomalies in both stratospheric and surface climate and the MERRA2
 452 record is comparably short, the year 2020 is excluded in the calculation of the daily climatology
 453 [11].

454 **Bootstrapping significance test**

455 A 1-sample bootstrapping significance test is performed to estimate the significance of mean anoma-
 456 lies composited around the ozone minimum (e.g. [27, 33]). For a composite including the 25% most

457 extreme springtime ozone minima, 500 random composites are created by sampling data around
458 the respective ozone minimum dates in random years to create a normal distribution of random
459 composites. The actual composite is considered significantly different from zero if it differs more
460 than 2 standard deviations from the mean of the randomly created distribution, which is equivalent
461 to a significance at the 95.4% level. The procedure for a 2-sample bootstrapping significance test is
462 conducted accordingly: To compare two composites, random composites are created as described
463 above in both datasets and the difference of both random composites was calculated. Repeating
464 this procedure 500 times, we create a distribution of 500 random samples. The difference between
465 both samples is considered significant if it differs more than 2 standard deviations from the mean
466 value of the random distribution.

467 **Calculation of Arctic Oscillation and NAM indices**

468 While the AO and NAM in general describe the same phenomenon of simultaneous fluctuations in
469 geopotential height of opposite sign over the polar cap and lower latitudes[29], we refer to the NAM
470 as the pattern on all vertical levels, whereas the AO is used to describe the near-surface profile at
471 1000 hPa [29]. Empirical Orthogonal Functions (EOFs) are used to calculate the AO and NAM
472 indices following method 3 in Baldwin et al. (2009) [60]. For each pressure level, we calculate
473 the leading EOF spatial pattern of year-round daily zonal mean geopotential height anomalies
474 north of 20°N while applying latitudinal weights as the square root of the cosine of latitude [29].
475 Daily geopotential height anomalies are then projected onto the EOF loading pattern to find the
476 Principal Component (PC) time series. PCs are normalized to unit variance to derive the respective
477 AO and NAM indices.

478 **Calculation of dynamical heating rate**

479 We calculate the vertical (\bar{w}^*) and meridional (\bar{v}^*) component of the residual circulation according
480 to the transformed Eulerian mean framework described by equations 3.5.1 and 3.5.2 in [61]. To
481 examine changes in temperature induced by ozone related changes in the Brewer Dobson Circula-
482 tion (BDC), we calculate the adiabatic dynamical heating in the Arctic stratosphere based on the

483 Quasi-Geostrophic (QG) theory according to

$$\frac{\delta \bar{T}}{\delta t} = S \cdot \bar{w}^* \quad (2)$$

484 where \bar{T} is the zonal mean temperature and S the atmospheric stability parameter [62] which
485 can be calculated according to Garcia et al. (2012) [63] as follows:

$$S = \frac{H \cdot N^2}{R^*}. \quad (3)$$

486 N^2 hereby denotes the Brunt–Väisälä frequency, H the atmospheric scale height and R^* the
487 specific gas constant for mixed gases.

488 **Calculation of Final Warming date**

489 Final warmings are defined as the first date when the zonal mean wind at 60°N and 10 hPa turns
490 easterly and does not return to westerlies for more than 10 consecutive days until the next winter
491 [64]. Uncertainty in the final warming date is estimated based on the standard deviation.

492 **Data availability**

493 The modelling data used in this study is available in the ETH Research Collection. Data for
494 WACCM: <https://www.research-collection.ethz.ch/handle/20.500.11850/527155>[65]. Data
495 for SOCOL-MPIOM: <https://www.research-collection.ethz.ch/handle/20.500.11850/546039>
496 [66].

497 The MERRA2 reanalysis data can be downloaded from the Goddard Earth Sciences Data and
498 Information Services Center (GES DIC) ([https://disc.gsfc.nasa.gov/datasets?keywords=
499 %22MERRA-2%22&page=1&source=Models%2FAnalyses%20MERRA-2](https://disc.gsfc.nasa.gov/datasets?keywords=%22MERRA-2%22&page=1&source=Models%2FAnalyses%20MERRA-2)).

500 Code availability

501 All codes and scripts used for the analysis in this study are available from the corresponding author
502 upon reasonable request.

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