Western University Scholarship@Western

Kinesiology Publications

Kinesiology School

10-1-2001

Critical analysis of cerebrovascular autoregulation during repeated head-up tilt.

R L Hughson

M R Edwards

D D O'Leary

J K Shoemaker Western University, kshoemak@uwo.ca

Follow this and additional works at: https://ir.lib.uwo.ca/kinpub

Part of the Kinesiology Commons

Citation of this paper:

Hughson, R L; Edwards, M R; O'Leary, D D; and Shoemaker, J K, "Critical analysis of cerebrovascular autoregulation during repeated head-up tilt." (2001). *Kinesiology Publications*. 40. https://ir.lib.uwo.ca/kinpub/40

Critical Analysis of Cerebrovascular Autoregulation During Repeated Head-Up Tilt

Richard L. Hughson, PhD; Michael R. Edwards, MSc; Deborah D. O'Leary, MSc; J. Kevin Shoemaker, PhD

- **Background and Purpose**—Cerebrovascular autoregulation has been described with a phase lead of cerebral blood flow preceding changes in cerebral perfusion pressure (CPP), but there has been less focus on the effect of CPP on cerebral vascular resistance. We investigated these relations during spontaneous fluctuations (control) and repeated head-up tilt.
- *Methods*—Eight healthy adults were studied in supine rest and repeated tilt with 10-second supine, 10 seconds at 45° head-up tilt for a total of 12 cycles. Cerebral blood flow was estimated from mean flow velocity (MFV) by transcranial Doppler ultrasound, CPP was estimated from corrected finger pressure (CPP_F), and cerebrovascular resistance index (CVRi) was calculated in the supine position from CPP_F/MFV. Gain and phase relations were assessed by cross-spectral analysis.
- *Results*—In the supine position, MFV preceded CPP_F , but changes in CVRi followed CPP_F . Gain and phase relations for CPP_F as input and MFV as output were similar in supine and repeated tilt experiments. Thus, changes in cerebrovascular resistance must have had a similar pattern in the supine and tilt experiments.
- *Conclusions*—Cerebrovascular autoregulation is achieved by changes in resistance in response to modulations in perfusion pressure whether spontaneous or induced by repeated tilt. The phase lead of MFV before CPP_F is a mathematical and physiological consequence of the relation the input variable (CPP_F) and the manipulated variable (cerebrovascular resistance) that should not be taken as an indication of independent control of cerebral blood flow. (*Stroke.* 2001;32: 2403-2408.)

Key Words: autoregulation ■ cerebral blood flow ■ ultrasonography, Doppler transcranial

C erebral blood flow (CBF) is a tightly regulated variable under the control of a complex system, which, for constant arterial PCO₂, attempts to rapidly counter changes in cerebral perfusion pressure (CPP) to maintain CBF near the desired set point. Cerebral autoregulation is normally operative across a range of CPP from 50 to 170 mm Hg.¹ Since the work of Aaslid et al² in 1989, there has been considerable interest in the dynamic nature of cerebral autoregulation. Aaslid and colleagues^{2–4} used a sudden release of occlusion cuffs placed about the upper thigh to achieve a "step" decrease in CPP and observed the rapidity of the change in cerebral vascular resistance (CVR). They were able to categorize different rates of response and quantify impaired autoregulation.

Dynamic autoregulation has also been studied by Birch et al⁵ with repeated squatting exercise to manipulate arterial pressure. In a similar manner, Diehl et al⁶ had their subjects perform repeated slow, deep breathing to achieve oscillations in arterial blood pressure while monitoring CBF. Both of these research groups as well as investigators who monitored spontaneous oscillations in CPP^{7–9} focused on the phase lead

of CBF preceding CPP as a means of quantifying cerebral autoregulation. Yet, when CPP is changed as a step function as with the release of leg cuffs, CBF lags, it does not lead the change in CPP.² There has not been a clear description of why CBF should lead CPP during spontaneous oscillations in CPP or during the squatting or deep breathing experiments. Recently, Cencetti et al¹⁰ argued that the phase lead of CBF before CPP indicated that autonomic modulation of the cerebrovasculature was able to "predominate over local autoregulatory mechanisms." These discrepant observations suggest that further investigation is required to explore the phase relations between input and output responses of cerebrovascular control.

In this study, we explored the phase relations between the 3 components of Ohm's law applied to the circulation; that is, pressure, flow, and resistance. Cerebral perfusion pressure was estimated by the Finapres device (CPP_F); mean flow velocity (MFV) in the middle cerebral artery was measured by Doppler ultrasound; and, in the supine position, cerebral vascular resistance index (CVRi) was calculated from CPP_F/MFV. In addition, we tested the phase relations between CPP_F

Stroke is available at http://www.strokeaha.org

Received January 16, 2001; final revision received May 16, 2001; accepted June 26, 2001.

From the Cardiorespiratory and Vascular Dynamics Laboratory, Faculty of Applied Health Sciences, University of Waterloo, Waterloo, Ontario, Canada.

Correspondence to Prof Richard L. Hughson, Cardiorespiratory and Vascular Dynamics Laboratory, Faculty of Applied Health Sciences, University of Waterloo, 200 University Ave W, Waterloo, ON N2L 3G1, Canada. E-mail hughson@uwaterloo.ca

^{© 2001} American Heart Association, Inc.

and MFV during repeated tilt that induced large, rapid variations in CPP_F . We hypothesized that the changes in CPP_F are followed by changes in CVRi that function to restore MFV toward normal levels. We show that the apparent phase lead of MFV before CPP_F is a mathematical consequence of the normal lag of CVRi after changes in CPP_F .

Subjects and Methods

Eight healthy young subjects (6 men and 2 women, 25 ± 5.9 years of age, mean \pm SD) volunteered for this study after receiving complete verbal and written details. The Office of Research Ethics at the University of Waterloo approved the research protocol.

Subjects were allowed to rest quietly in the supine position after instrumentation had been completed. Data were collected in the supine position before being alternately tilted to 45° for 10 seconds and returned to the supine position for 10 seconds for a total of 12 cycles in 4 minutes. Tilting was accomplished on a manually operated tilt table in <2 seconds.

MFV was determined by Doppler ultrasound (Transpect TCD, Medasonics) from the right middle cerebral artery (MCA) through the temporal window. Arterial blood pressure was estimated continuously by a servo-controlled photoplethysmograph (Finapres, Ohmeda) placed on the middle finger of the right hand. The finger cuff pressure is widely used as an estimate of arterial pressure, although absolute values sometimes differ.¹¹ Given this limitation, we chose to refer to gravity-corrected finger arterial pressure as CPP_F to provide an estimate of CPP. Heart rate was recorded by ECG. End-tidal PCO₂ was monitored from a nasal cannula with an infrared CO₂ analyzer (Pilot, Colin). Average values of end-tidal PCO₂ were obtained in the supine and tilted positions.

The finger cuff of the blood pressure monitor was positioned to rest comfortably on the subject's chest and then held in position by a sling connected to the tilt table. To correct blood pressure from this device to heart level and also to brain level, 2 pressure transducers (Transtar, Furon) were connected to amplifier circuits (Pilot, Colin). Both of these transducers were placed on the lateral midline to coincide with the aortic valve so that when subjects were tilted there was no change in the reference point with respect to the heart. One water-filled catheter tip was placed at the level of the finger cuff to correct finger pressure to arterial pressure; the other catheter tip was positioned at the cerebral Doppler probe so that arterial pressure could be adjusted to CPP_F. The transducers were calibrated against a column of water, and values were converted to millimeters of mercury.

Data Analysis and Statistics

Data were recorded on digital format tape (TEAC), then transferred for analysis by a computer-based system to yield a data set sampled at 100 Hz. MFV was determined from the outer envelope of the fast Fourier transformed cerebral Doppler signal. Beat-by-beat values were obtained for mean arterial pressure (MAP) and CPP_F by averaging the corrected pressure waveforms over each cardiac cycle. CVRi was calculated as CPP_F/MFV without reference to intracranial pressure, as discussed later. Autospectra of MFV, CPP_F, and CVRi were calculated within 3 distinct frequency regions (very low frequency [VLF] from 0 to 0.07 Hz, low frequency (LF) from 0.07 to 0.2 Hz, and high frequency (HF) from 0.2 to 0.3 Hz) to correspond to those used previously by Zhang et al.9 Cross-spectral power, transfer function gain, phase, and coherence were determined within the same frequency regions for the relations between $CPP_F \rightarrow CVRi$ and $CPP_F \rightarrow MFV$. Gain and phase relations were obtained only from those regions of the spectra where coherence was ≥ 0.5 . This critical value is commonly used because it represents a value well above that required (0.32) to indicate a squared coherence that was significantly different from zero (P < 0.01).

Data are expressed as mean±SD. The observed responses during baseline and tilt periods for autospectral power as well as gain and phase relations of the cross-spectra were compared by 1-way, repeated-measures ANOVA.



Figure 1. MFV, CPP_F, and CPP_F/MFV (in baseline, CPP_F/MFV is equivalent to CVR index as defined in text) are shown in the final 30 seconds of baseline and during first three 45° tilts for one subject. Data were obtained with 100-point moving average to smooth within-beat variations. From solid vertical line near end of baseline period, one can appreciate phase relations with CVR after CPP_F and MFV apparently "leading" CPP_F. Vertical dotted lines indicate onset of tilt up.

Results

A sample of the continuous data record is shown in Figure 1. This figure reveals the spontaneous variation in CPP_F , MFV, and CVRi that occurred in the baseline collection and during tilt. The magnitude of these variations and the increase with repeated tilt can be appreciated from the spectral power (Table 1). To provide a visual analysis of the spontaneous phase relation, a solid vertical line has been added to Figure 1 at the peak of the CPP_F oscillation that occurred just before the first tilt. With reference to this line, it is clear that the peak of the oscillation in MFV preceded the peak in CPP_F and that the peak change in CVRi followed. That this was the common observation is confirmed from the cross-spectral analysis for

TABLE 1. Autospectral Power During Baseline and Repeated Tilt Periods

	Baseline	Repeated Tilt
MFV, cm/s ²		
VLF	1.25±0.82	5.09 ± 4.8
LF	$0.76 {\pm} 0.28$	2.19±1.33*
HF	$0.12 {\pm} 0.03$	0.4±0.2*
CPP _F , mm Hg ²		
VLF	2.73±2.15	58.4±32.0*
LF	1.51 ± 1.24	16.9±8.4*
HF	$0.12 {\pm} 0.08$	1.7±0.8*
CPP_F/MFV^+ (mm Hg · cm ⁻² · s) ²		
VLF	$0.0011 \!\pm\! 0.0009$	0.016±0.013*
LF	$0.0005\!\pm\!0.0003$	0.0044±0.0034*
HF	$0.00007 {\pm} 0.00012$	0.0004 ± 0.0006

Values are mean \pm SD for 8 subjects. VLF, LF, HF frequency regions as described in Materials and Methods.

*Significantly different from baseline, P<0.05.

†For baseline, CPP_F/MFV is equivalent to CVRi as defined in text.

TABLE 2.	Transfer Fur	nction Gain	and Phase	Relations	During
Baseline a	nd Repeated	Tilt Protoco	ols		

	n	Baseline	Repeated Tilt
$CPP_F \rightarrow MFV$			
Gain, cm \cdot s \cdot mm Hg ⁻¹			
VLF	4	$0.40{\pm}0.28$	$0.33{\pm}0.12$
LF	7	$0.78\!\pm\!0.34$	$0.43{\pm}0.10$
HF	8	$0.93{\pm}0.31$	0.52±0.17*
Phase, degrees			
VLF	4	30.9±40.6	$55.9 {\pm} 25.0$
LF	7	42.0±20.7	$38.3 {\pm} 19.0$
HF	8	8.2±62.8	-9.1 ± 1.2
$CPP_{F} \rightarrow (CPP_{F} / MFV) \dagger$			
Gain, units/mm Hg			
VLF	7	$0.019 {\pm} 0.005$	$0.016 {\pm} 0.003$
LF	8	$0.016 {\pm} 0.006$	$0.014 {\pm} 0.003$
HF	6	$0.015 {\pm} 0.010$	$0.012 {\pm} 0.005$
Phase, degrees			
VLF	7	$-16.8{\pm}24.6$	-14.5 ± 13.5
LF	8	-28.8 ± 59.7	3.1±51.7*
HF	6	$-53.1 {\pm} 26.9$	-13.3 ± 13.7

Values are mean \pm SD. Number of subjects (n) was determined by coherence \geq 0.5 in baseline. When there was not sufficient coherence in baseline, only those same subjects were included under repeated tilt.

*Significantly different from baseline by repeated-measures ANOVA, P < 0.05.

†For baseline, CPP_F/MFV is equivalent to CVRi as defined in text, (units=mm Hg \cdot cm⁻¹ \cdot s⁻¹).

the baseline data in which across all frequency ranges there was a phase lead for MFV ahead of CPPi, whereas CVRi lagged CPPi (Table 2).

The interesting interactions between CPP_F, MFV, and CPP_F/MFV (the latter a reflection of vascular resistance) became apparent with the repeated tilt protocol (Figure 1). Because changes in vascular resistance must always lag the change in CPP_F with tilt up or tilt down, the immediate change in CPP_F caused rapid changes in MFV. The subsequent adjustment of vascular resistance caused MFV to be normalized; however, the timing was such that MFV reached its peak early in each 10-second period of postural change, whereas CPP_F was relatively constant. The timing of the peak of MFV compared with the midpoint in the oscillation of CPP_F provided the appearance of MFV "leading" CPP_F. Cross-spectral analysis confirmed this phase lead of MFV before CPP_F during the repeated tilt protocol (Table 2).

End-tidal PCO₂ was 39.5 ± 2.8 mm Hg during the supine resting baseline period and it returned to approximately this value with each tilt down to the supine position. However, during all head-up tilts, end-tidal PCO₂ decreased to 35.5 ± 4.6 mm Hg (*P*<0.05).

During the supine baseline period, cross-spectral analysis revealed the anticipated phase lead of MFV before CPP_F, especially in the LF region (Table 2). The differing sample size presented in the table indicates that not all subjects had coherence >0.5 in the selected frequency ranges. During the repeated tilt manipulations, the phase relations between MFV and CPP_{F} were not different from the baseline. The gain of the relation between $\text{CPP}_{\text{F}} \rightarrow \text{MFV}$ was similar during the baseline and repeated tilts in the VLF and LF regions but was reduced during repeated tilt in the HF region.

In the supine baseline period we were able to evaluate the relation between CPP_F \rightarrow CVRi. Changes in CVRi lagged changes in CPP_F across all frequency regions in the supine position (Table 2). The slight increase in phase lag from VLF to LF to HF is consistent with a time lag of \approx 1 second. With repeated tilt, the assumption of constant intracranial or venous pressure is violated so that CPP_F/MFV does not provide the same information about CVRi as in the supine position. However, it is evident that CPP_F/MFV during rapid tilt had almost identical values for gain and differed in phase relation only in the LF band when compared with the CVRi during supine baseline.

Discussion

These data support our hypothesis that a cyclic change in CPP, whether spontaneous or induced by rapid tilt, would be followed by an appropriate change in cerebrovascular resistance in an attempt to maintain MFV near the desired set point value. Further, the supine baseline data clearly show how the interaction between CPP and CVRi result in an apparent phase lead of MFV before CPP. As previously suggested by Aaslid and colleagues²⁻⁴ on the basis of their leg cuff deflation experiments, CPP and CVRi are the appropriate variables to investigate to understand the control of CBF. We have shown that the apparent phase "lead" of MFV to CPP is merely a mathematical consequence of the relation between the physiological response of CVRi to CPP. This latter observation is in stark contrast to the speculation of some researchers that the phase lead of MFV to CPP indicates autonomic control over cerebral blood vessels that precedes and then establishes the vascular tone in the rest of the body.¹⁰

Methodological Considerations

We used transcranial Doppler ultrasound to continuously monitor changes in CBF. This technique has been widely used under the assumption that the cross-sectional area of the middle cerebral artery does not change.^{2,5,8,12,13} Recent measurements of the MCA during application of lower body negative pressure in both hypocapnia and hypercapnia confirmed that this vessel is quite stable across a marked range of CBF.¹⁴

Vascular resistance is defined as the ratio of the pressure drop to flow across the vascular bed. The three components, pressure drop, flow, and resistance, are not and cannot be independent variables.¹⁵ In the case of cerebrovascular resistance, calculation is complicated by the difficulty in directly determining the pressure drop due to unknown values of intracranial pressure and venous pressure. We have used the ratio CPP_F/MFV as an estimate of CVRi during supine studies. This estimate will provide a reliable indicator of changes in cerebrovascular resistance as long as venous pressure does not change appreciably and as long as the components (CPP_F and MFV) are measured without error. The stable Doppler probe will minimize any random error in measurement of MFV, and the Finapres, although often subject to systematic error,¹¹ is not subject to random error. Thus, CVRi will reflect changes in CVR in the supine position.

During the repeated tilt experiments, we reported the CPP_F/MFV as a reflection of changes in CVR. Under the tilt protocol, intracranial and venous pressure almost certainly varied, invalidating the ratio CPP_F/MFV as an index of CVRi across body positions. CPP_F/MFV responded in the manner that we anticipated during the up and down tilts. It is interesting that the magnitude and phase relations for $\mbox{CPP}_{\mbox{\tiny F}}\!/$ MFV during repeated tilt were similar to those of CVRi during supine baseline. This suggests to us that the CPP_F/ MFV provided a useful indicator of changes in cerebrovascular dynamics. In our population of healthy young volunteers it was impossible to obtain direct measurements of intracranial pressure in an attempt to improve our understanding of cerebrovascular resistance. It is not clear how much intracranial pressure changes with head-up tilt,16 especially with our repeated tilt protocol, and recent direct measurements suggest that intracranial pressure might change independent of changes in cerebrovascular tone.17

Alternative methods to interpret changes in CVR such as estimation of critical closing pressure (CCP) or resistance area product derived with respect to CCP (RAP_c) have been used,¹³ although questions remain about this approach.¹⁷ CCP is obtained by extrapolation to the pressure axis of the linear regression between CPP_F and MFV over individual cardiac cycles. RAP_c is the inverse of the slope of the linear regression. For the subject shown in Figure 1, estimated CCP was 12.9 mm Hg in supine and -6.1 mm Hg during tilt. The corresponding RAP_c values were 0.95 and 0.84 mm Hg \cdot s⁻¹ \cdot cm⁻¹. These alternative indirect indexes are consistent with our data suggesting lower vascular resistance during the tilt.

Baseline Cerebrovascular Dynamics

Spectral analysis of the modulations in CPP_F and MFV yielded transfer gain and phase relations between these variables that were similar to those reported in previous investigations.8,9 We selected our frequency ranges to permit direct comparison to the results for spontaneous fluctuations in CPP_F reported by Zhang et al.⁹ In the VLF region of the spectrum (0.0 to 0.07 Hz), the low coherence between CPP_F and MFV suggests that autoregulation is effective in this region.9 At relatively higher frequencies, greater coherence indicated a link between changes in MFV and CPP_F. In the frequency range of 0.07 to 0.20 Hz, the gain of MFV relative to CPP (Table 2) was slightly less than reported by Zhang et al,⁹ whereas the phase lead of $42\pm7^{\circ}$ for MFV before CPP_F was within the range for spontaneous fluctuations in CPP_F⁹ and for repeated squatting exercise.⁵ As the frequency increased above 0.20 Hz, the phase difference between signals was reduced to a value not different from zero, indicating that oscillations in CPP_F were directly transmitted to MFV.

Unlike the previous studies, we determined the coherence, gain, and phase relations between CPP_F and CVRi. There was significant coherence between CPP_F and CVRi in at least 6 of 8 subjects across all frequency intervals (Table 2). The phase was consistently negative, indicating a phase lag of CVRi behind CPP_F . The gain values were almost identical across all

frequency intervals. The response of CVR after a change in CPP is the expected response of a closed-loop negative feedback system. That is, CVR is the manipulated variable that attempts to modulate the cerebral vasculature to allow CBF to be maintained near the set point by autoregulatory mechanisms.^{1,2,9}

Response to Tilt

During tilt, the autospectral power for each variable increased, especially near the frequency of the tilt, as the result of the introduction of large variations in CPP_F. The reduction in CPP_F with the first head-up tilt differs somewhat from the decrease in CPP_F observed with the rapid deflation of a leg cuff.² CPP_F recovered to baseline after cuff release but not after head-up tilt. With cuff release, there was probably no effect on intracranial pressure (or CCP), whereas in the current study, CCP decreased with tilt. The models are not identical, but the effect on CVR was directionally similar. With tilt, MFV decreased rapidly at first, then returned to values that were slightly below the pretilt baseline (Figure 1). The dynamic autoregulatory index⁴ indicated that the "normal" subject response required ≈ 5 seconds for the MFV to recover to $\approx 98\%$ of the prestep decrease in CPP. This is consistent with the observation in Figure 1. With both the leg cuff release and head-up tilt, MFV followed the change in CPP_F; it did not precede it as suggested from cross-spectral analysis.^{8,9,18} MFV appears to precede CPP_F during repeated squatting,⁵ deep breathing,⁶ and even the simple analysis shown by the solid vertical line drawn through the spontaneous variations in CPP_F in Figure 1. However, we argue that the phase relation between CPP_F and MFV does not provide an accurate indication of vascular responses to CPP_F changes.

With the first tilt back to the supine position, we were able to study the response to a rapid increase in perfusion pressure. The tilt back to supine caused CPP_F to reach a relatively stable value similar to that observed in the supine baseline. MFV increased rapidly with the tilt down, then returned toward the baseline value during the latter part of this 10-second period in the supine position. That is, MFV showed rapid changes on moving to head up or supine positions that were initiated by changes in perfusion pressure but must have been compensated through adjustments in CVR. The consequence of this sequence of events was that cross-spectral analysis suggested that changes in MFV preceded CPP_F , but in reality it was only the mid-point of the peak change in MFV that occurred before the mid-point of the change in CPP_F .

We used repeated tilting in this study to accomplish reproducible oscillations in CPP_F with a frequency similar to that investigated by repeated squatting exercise.⁵ As with this previous study, we were limited by lack of information about the true pressure gradient across the cerebrovascular bed as intracranial pressure changes with tilt and probably with squatting. However, we found that the gain and phase relations for CPP_F \rightarrow MFV were similar during the repeated tilting and the baseline measurements. This finding was important because it allowed us to use repeated tilt to explore cerebrovascular control under known conditions. Our finding from phase analysis that MFV preceded CPP_F was consistent with the results from the squatting exercise.⁵ By comparing responses for CVRi during baseline with the CPP_F/MFV during repeated tilt, we found that here, too, the gain and phase relations were similar during baseline and repeated tilt protocols. Visual analysis of Figure 1 indicates how the repeated tilt protocol has been able to provide valuable insight because it can be appreciated that changes in CPP_F, whether spontaneous or induced by repeated tilt, caused a change in the indicators of cerebrovascular resistance, which then in turn modified MFV.

Interaction With PCO₂

With the repeated tilting, there was a consistent decrease in end-tidal Pco_2 in the upright position. This decrease in Pco_2 is a common finding that might be a consequence of maintained alveolar ventilation with reduced CO_2 return to the lungs as venous return decreases with tilt. It is well established that reductions in arterial Pco_2 will cause an increase in $CVRi.^{2.19}$ Thus, regulation of CBF on going to the head-up position is the sum of the complex interactions of altered pressure gradient, dilation to counter the reduction in CPP, and constriction resulting from lower arterial $Pco_2.^{2.19}$ Indeed, Figure 1 shows the clear reduction in MFV in the upright position compared with the supine baseline or the supine position between tilts. This suggests that in addition to changes in CPP, the change in arterial Pco_2 did play an important role in establishing CBF.

Interpreting Cerebrovascular Dynamics

In a recent article, Cencetti et al¹⁰ concluded that the phase lead of MFV before CPP was an indication of neural control of the cerebrovascular system. They based their conclusion on spectral analysis of various signals from the cardiovascular system that showed oscillations in MFV preceded all of these other variables. We present an argument to demonstrate that the response of MFV is simply a consequence of the changes in perfusion pressure and the attempts of vascular resistance to regulate MFV close to the desired set point.

From the baseline period in Figure 1, we can appreciate that relative to the peak change in CPP_F, MFV does appear to lead CPP_F, whereas CVRi follows CPP_F. To explore this relation, a new figure has been constructed (Figure 2). The first assumption in this figure was that normally measured variables, for example, MFV and CPP, could be represented by sine waves. The exact function applied for this simulation does not influence the argument, as can be appreciated with respect to the phase relations shown by the solid vertical line in Figure 1. Second, we selected a frequency of 0.1 Hz as an example of the LF range. Third, to align the sine waves, we used our own calculated phase relation of MFV ahead of CPP by 42° which is equal to 1.17 seconds. Finally, we calculated CVR to satisfy the relation CVR=CPP/MFV. (In this model, we can use CVR rather than CVRi because intracranial pressure can be taken as constant.) To clearly illustrate a point, we have arbitrarily selected the mean amplitude to be 2, with a range of ± 1 for each of MFV and CPP. Selection of these values emphasizes the nonlinearity in the calculation of CVR, but this nonlinearity occurs even when realistic values



Figure 2. Schematic of interrelations between CPP, MFV, and CVR was constructed for frequency of 0.1 Hz after allowing for phase relations, as reported in Table 2. Mean values and variations for CPP and MFV were arbitrarily selected for clarity of overall pattern. CVR was calculated from CPP/MFV, assuming venous pressure=0. Note nonlinear response with more rapid decline in CVR as CPP decreased.

are introduced for the mean and variance of the MFV and CPP signals.

Given the above conditions for the measured variables MFV and CPP, it is apparent that CVR must lag behind CPP. Indeed, this is the only way in which a negative feedback control system could operate. Cerebrovascular autoregulation is normally considered to be a feedback system and thus our results should not be surprising, even though they are contrary to the recent opinion of Cencetti et al.10 The novel outcome of the analysis is the nonlinearity introduced into the control of CVR. Specifically, it appears that when MFV and CPP are assumed to bear a linear relation, CVR responds more slowly to an increase in CPP than it does to a decrease (Figure 2). If these assumptions prove to be true, the nonlinear response of CVR might be a very valuable physiological response to prevent fainting, because it would allow for rapid adjustments in CBF on moving from a supine to an upright posture. Panerai et al¹³ recently suggested application of a nonlinear model to fit MFV and CPP. Further research is required to determine where nonlinear models might improve our interpretation of the results.

Conclusions

The repeated tilt model demonstrated that the cerebrovascular system behaved as a closed-loop negative feedback system. The primary regulated variable, CBF, was kept within a relatively narrow range by rapid adaptations of the manipulated variable, CVR, in response to changes in CPP. The important concept advanced by this study was that research should focus on the control of CVR in response to changes in CPP. Unlike previous research that indicated the importance of the "phase lead" of MFV before CPP, we showed that this phase lead is simply a consequence of the interaction of the mechanisms responsible for control of CBF. The "phase lead" is a mathematical consequence of the phase lag of CVR. The response of CVR occurring with spontaneous fluctuations in

CPP, repeated tilt, or leg cuff deflation^{2,4} is a better indication of the efficiency of cerebrovascular autoregulation.

Acknowledgments

This research was supported by the Heart and Stroke Foundation of Ontario (NA 4387), the Canadian Space Agency, and the Natural Sciences and Engineering Research Council of Canada. The authors are grateful to Dr Roberta L. Bondar for the use of the transcranial Doppler ultrasound and recording equipment. M.R. Edwards was supported by graduate scholarships from NSERC and CSA, and D.D. O'Leary was supported by a graduate scholarship from HSFO.

References

- Lassen NA. Autoregulation of cerebral blood flow. Circ Res. 1964; 14(suppl I):I-1–I-201.
- Aaslid R, Lindegaard K-F, Sorteberg W, Nornes H. Cerebral autoregulation dynamics in humans. *Stroke*. 1989;20:45–52.
- Newell DW, Aaslid R, Lam A, Mayberg TS, Winn HR. Comparison of flow and velocity during dynamic autoregulation testing in humans. *Stroke*. 1994;25:793–797.
- Tiecks FP, Lam AM, Aaslid R, Newell DW. Comparison of static and dynamic cerebral autoregulation measurements. *Stroke*. 1995;26: 1014–1019.
- Birch AA, Dirnhuber MJ, Hartley-Davies R, Iannotti F, Neil-Dwyer G. Assessment of autoregulation by means of periodic changes in blood pressure. *Stroke*. 1995;26:834–837.
- Diehl RR, Linden D, Lücke D, Berlit P. Phase relationship between cerebral blood flow velocity and blood pressure: a clinical test of autoregulation. *Stroke*. 1995;26:1801–1804.
- Panerai RB, Kelsall AWR, Rennie JM, Evans DH. Analysis of cerebral blood flow autoregulation in neonates. *IEEE Trans Biomed Eng.* 1996; 43:779–788.

- Blaber AP, Bondar RL, Stein F, Dunphy PT, Moradshahi P, Kassam MS, Freeman R. Transfer function analysis of cerebral autoregulation dynamics in autonomic failure patients. *Stroke*. 1997;28:1686–1692.
- Zhang R, Zuckerman JH, Levine BD. Deterioration of cerebral autoregulation during orthostatic stress: insights from the frequency domain. *J Appl Physiol.* 1998;85:1113–1122.
- Cencetti S, Lagi A, Cipriani M, Fattorini L, Bandinelli G, Bernardi L. Autonomic control of the cerebral circulation during normal and impaired peripheral circulatory control. *Heart*. 1999;82:365–372.
- Imholz BPM, Settels JJ, van der Meiracker AH, Wesseling KH, Wieling W. Non-invasive continuous finger blood pressure measurement during orthostatic stress compared to intra-arterial pressure. *Cardiovasc Res.* 1990;24:214–221.
- Zhang R, Zukerman JH, Giller CA, Levine BD. Transfer function analysis of dynamic cerebral autoregulation in humans. *Am J Physiol.* 1998;274: H233–H241.
- Panerai RB, Dawson SL, Potter JF. Linear and nonlinear analysis of human dynamic cerebral autoregulation. *Am J Physiol.* 1999;277: H1089–H1099.
- Serrador JM, Picot PA, Rutt BK, Shoemaker JK, Bondar RL. MRI measures of middle cerebral artery diameter in conscious humans during simulated orthostasis. *Stroke*. 2000;31:1672–1678.
- Burton AC. *Physiology and Biophysics of the Circulation*. Chicago, Ill: Year Book Medical Publishers Inc; 1965:84–92.
- Rosner MJ, Coley IB. Cerebral perfusion pressure, intracranial pressure, and head elevation. J Neurosurg. 1986;65:636–641.
- Weyland A, Buhre W, Grund S, Ludwig H, Kazmaier S, Weyland W, Sonntag H. Cerebrovascular tone rather than intracranial pressure determines the effective downstream pressure of the cerebral circulation in the absence of intracranial hypertension. *J Neurosurg Anesthesiol*. 2000;12:210–216.
- Panerai RB, White RP, Markus HS, Evans DH. Grading of cerebral dynamic autoregulation from spontaneous fluctuations in arterial blood pressure. *Stroke*. 1998;29:2341–2346.
- Ursino M, Lodi CA. Interaction among autoregulation, CO₂ reactivity, and intracranial pressure: a mathematical model. *Am J Physiol*. 1998;274: H1715–H1728.