

## Basic Study

**Tissue microarray-chip featuring computerized immunophenotypical characterization more accurately subtypes ampullary adenocarcinoma than routine histology**

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**Abstract****BACKGROUND**

Ampullary adenocarcinomas (AACs) are heterogeneous tumors currently classified into three important sub-classes (SC): Intestinal (INT), Pancreato-Biliary (PB) and Mixed-Type (MT). The different subgroups have similar clinical presentation and are treated by pancreatoduodenectomy with curative intent. However, they respond differently to chemotherapy and have different prognostic outcomes. The SC are often difficult to identify with conventional histology alone. The clinical outcome of all three remains unclear, particularly for MT.

**AIM**

To identify two main subtypes of AACs, using an immunohistochemical (IHC) score based on CDX2, CK7 and CK20.

**METHODS**

Tissue samples from 21 patients who had undergone resection of AAC were classified by HE histology and IHC expression of CDX2, CK7 and CK 20. An IHC

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score was obtained for each marker by counting the number of positive cells (0 = no stained cells; 1 < 25%; 2 < 50% and 3 > 50%) and their intensity (1 = weak; 2 = moderate and 3 = strong). A global score (GS) was then obtained by summation of the IHC scores of each marker. The MT tumors were grouped either with the INT or PB group based on the predominant immuno-molecular phenotype, obtaining only two AACs subtypes. The overall survival in INT and PB patients was obtained by Kaplan-Meier methods.

## RESULTS

Histological parameters defined the AACs subtypes as follows: 15% INT, 45% PB and 40% MT. Using IHC expression and the GS, 75% and 25% of MT samples were assigned to either the INT or the PB group. The mean value of the GS was 9.5 (range 4-16). All INT samples had a GS above the average, distinct from the PB samples which had a GS score significantly below the average ( $P = 0.0011$ ). The INT samples were identified by high expression of CDX2 and CK20, whereas PB samples exhibited high expression of CK7 and no expression of CK20 ( $P = 0.0008$ ). The INT group had a statistically significant higher overall survival than in the PB group (85.7 mo *vs* 20.3 mo, HR: 8.39; 95%CI: 1.38 to 18.90;  $P = 0.0152$ ).

## CONCLUSION

The combination of histopathological and molecular criteria enables the classification of AACs into two clinically relevant histo-molecular phenotypes, which appear to represent distinct disorders with potentially significant changes to the current therapeutic strategies.

**Key Words:** Ampullary adenocarcinoma; Histo-molecular phenotype; Prognostic; CK7; CK20; CDX2

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**Core Tip:** Ampullary adenocarcinomas are heterogeneous tumors with different responses to specific chemotherapy regimens and prognosis, probably because they are a heterogeneous group including differing ampullary growth and overlapping histological phenotypes. Conventional histology does not allow a definitive identification of the three subgroups. We used an immunohistochemical score based on CDX2, CK7 and CK20 and identified only two sub-types, representing two groups of apparently separate neoplastic disorders with different oncological outcomes.

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## INTRODUCTION

The ampullary region is located at the confluence of the main biliary and pancreatic ducts in the second part of the duodenum. The ampulla itself is composed of several cell types<sup>[1]</sup>. It includes different epithelial lining originating from the pancreatic duct, distal common bile duct and duodenum<sup>[1,2]</sup>. Hence, ampullary adenocarcinomas (AACs) can exhibit either an intestinal (INT) or pancreatobiliary (PB) histology or a mixture of both (MT)<sup>[1-5]</sup>. Neoplasms arising from the ampulla of Vater are rare, with an estimated incidence of 5 to 7 cases per million per year, representing 0.2% of gastrointestinal tract cancers and 6% to 20% of periampullary tumors<sup>[6,7]</sup>.

Kimura *et al*<sup>[2]</sup> (1994), were the first to propose a subclassification of AACs, based exclusively on histological features. The authors reported different prognoses between the two subtypes, *i.e.*, long-term survival after excision being significantly greater in patients with intestinal type AACs compared to the PB type. Several authors have

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since tried to better understand the histological phenotypes by proposing various immune-histochemical panels to improve the histological classification<sup>[1,8-16]</sup>.

The INT phenotype usually stains for CK20, CDX2 (caudal-type homeodomain transcription factor 2), MUC2 and occasionally CEA and CD10<sup>[9,16,17]</sup>. While, the PB phenotype is positive for CK7, MUC1, and MUC5A<sup>[16]</sup>.

However, in each of these studies, different and sometimes complex combinations of immune-histochemical markers have been used, many of which were arbitrarily defined, with their primary validation using histomorphologic classification as the “gold standard”<sup>[10,11,15]</sup>.

Furthermore, many of these immunohistochemical markers are not widely available for use in common practice in many parts of the world (at least not yet). Additionally, some problems in some of the proposed immunohistochemical panels have been advocated. For example, CDX2 and CK20, the most useful markers to establish intestinal lineage, can be expressed not uncommonly by pancreatobiliary adenocarcinomas, albeit often more focally, as several studies have recently shown<sup>[18-22]</sup>. Moreover, due to the mixed/hybrid nature of ampullary tumors, a frequent finding in some studies is a mixed histomorphologic phenotype of the two subgroups<sup>[15,21]</sup>. Thus, even with the most complex immunohistochemical panels created to try to accurately define most cases, a significant proportion (18%-39%) of AACs remains without a distinct subclassification<sup>[10,11,18,19]</sup>.

Some authors have promoted a new classification of AACs consisting of only two AACs sub-classes (SC)<sup>[15]</sup>. However, in these reports the histological have been insufficient to reach identify the phenotype. Furthermore, immune-phenotypical classification remains controversial, since there is no standardized method to define the type and the number of markers needed to assess the AACs SC. Hence, several authors have used 2 to 6 cluster of markers, while others have not used immune-phenotypical support to distinguish between the AACs SC<sup>[15,17,22,23]</sup>.

All the subgroups have a similar clinical presentation, mostly with jaundice and are treated by pancreatoduodenectomy with curative intent. However, because they comprise different phenotypes, they exhibit different responses to chemotherapy, *e.g.*, although both gemcitabine and fluorouracil may be effective in pancreatic cancer, gemcitabine is ineffective in carcinomas of intestinal origin<sup>[24]</sup>.

According to current guidelines, only patients with pancreatic and duodenal adenocarcinomas should be treated with adjuvant therapy<sup>[5,25]</sup>. Nevertheless, adjuvant chemotherapy for ampullary tumors has been confirmed to improve overall survival when compared to surgery alone<sup>[3,9,11-13,26-29]</sup>. There is evidence that the histological subtype may have a stronger impact than the anatomical origin of the primary tumor on survival<sup>[8,14,30,31]</sup>.

Due to these considerations, an accurate histological classification of AACs is crucial for management by a tailored therapeutic strategy and valid prognostic assessment<sup>[32,33]</sup>.

The aim of this study is to establish a reliable, inexpensive method for a more accurate histological definition of the AACs subtypes, using an immunohistochemistry score based on CDX2, CK7 and CK20, and correlating this score value with overall survival (OS). This will provide a valid prognostic stratification for patients after resection of AACs.

## MATERIALS AND METHODS

### *Patient selection*

From January 2009 to July 2016, 21 patients with AACs underwent pancreatoduodenectomy at our Institution. The study population was obtained from the electronic institutional prospectively maintained database of patients undergoing pancreatic surgery. The data were analyzed retrospectively. This study was approved by the institutional review board.

### *Data collection and histopathological analysis*

Preoperative evaluation included demographic information (age, gender), body mass index, American Society of Anesthesiologists score, comorbidities (cardiovascular diseases, hypertension, diabetes mellitus, COPD), presence of symptoms (jaundice, pain, nausea and vomiting, loss of weight), preoperative placement of external biliary drainage or endoprosthesis, level of carbohydrate antigen 19.9 (CA 19.9), and neoadjuvant chemotherapy and / or radiotherapy.

Postoperative data included length of hospital stay (LOS), postoperative

complications (using the Clavien-Dindo Classification), re-intervention rate and mortality<sup>[34]</sup>.

Pathological data were obtained from the final pathological reports and tumor specimens were staged according to the 8th edition of the American Joint Committee on Cancer-Union for International Cancer Control, in order to assess their dimensions (T), nodes status (N) and presence of metastases (M), according to TNM classification<sup>[35,36]</sup>. The study included only AACs, as defined by the 2010 World Health Organization classification<sup>[37]</sup>. For all patients, the original hematoxylin and eosin stained slides from each specimen were examined to confirm the diagnosis of ACC. The specimens were then analyzed by two specialists in pancreatic histopathology and/or translational researchers experienced in peripancreatic pathologies (LEP and NF). All tumors were categorized according to the appearance of intestinal-like (INT; tall columnar cells with elongated nuclei), pancreaticobiliary-like (PB; rounded cells with rounded nuclei with scant fibrous cores) or mixed [Mixed-type (MT); representing both of the previous mentioned characteristics] (Figure 1). Histological evaluations of MT subtypes were re-classified based on the predominant cytological features (Table 1).

After surgery, all patients underwent oncological evaluation before proceeding with adjuvant treatment.

Follow-up information was obtained during ambulatory visit or by examination of outpatient records. Follow-up data included the recurrence rate, any further treatments, disease-free survival, and OS. Disease-free survival was defined as the length of time from surgical resection to disease recurrence. Recurrence was defined as radiological evidence of intra-abdominal soft tissue around the surgical site or of distant metastasis. OS was defined as the length of time from the pancreatic surgical resection to the patient's death (or, if not available, last follow-up). Patients who died within 30 d from surgery were not included in the survival analysis.

### **Tissue microarrays**

Three tissue microarrays (TMA) were designed by computer in order to contain 7 different samples each, with normal controls representing either mucosa of the duodenum, bile duct, pancreatic duct and normal pancreas. All TMAs were constructed from formalin-fixed, paraffin-embedded blocks, with the histological features and their phenotypical subclassifications in three groups: INT, PB or MT types. Four cores of tissue (1.5 mm) were obtained from each patient. Two cores were obtained from normal tissues detailed above. A total of 8 dots for normal controls were placed in each TMA. The TMA Tissues-Chip were built by a totally automated procedure performed through the instrument TMA Grand Master (Hungary). The machine was connected with the computer by a dedicated software to render the TMAs. Immunohistochemistry was performed on 4- $\mu$ m serial sections mounted on Super Frost slides (Menzel-Glaser, Braunschweig, Germany).

### **Immunohistochemistry staining**

Immunohistochemical (IHC) stains were performed on 5-mm unstained sections from the TMA blocks. To retrieve the antigenicity, the tissue sections were treated at 100°C in a steamer containing 10 mmol citrate buffer (pH 6.0) for 60 min. The sections were then immersed in methanol containing 0.3% hydrogen peroxidase for 20 min to block the endogenous peroxidase activity and incubated in 2.5% blocking serum to reduce nonspecific binding. Sections were incubated for 90 min at 37°C with primary antibodies: CDX2, CK7 and CK20 (Table 2). Standard avidin-biotin conjugated complex and DAB staining were sequentially performed through the automated system based on the surgical pathology guideline (BenchmarkDX, Ventana systems, United States). The positive tumor cells were highlighted by the brown precipitate on the membranes, the cytoplasm or the nuclei, according to the specificity of the antibody used. Normal tissues of duodenal mucosa or normal pancreatic ducts were used as positive controls of the INT subtype or PB subtype, respectively. Negative controls were obtained by subtraction of primary antibody, during standard procedure IHC (Figure 2A).

### **Immuno-histochemistry scoring**

All stained sections were evaluated by computerized software connected to a digital scanner (D-sight, Menarini, Italy). For each staining different values were acquired: (1) The number of stained tumor cells (STC) (0 = STC; 1 = less than 25% of STC; 2 = STC ranging from 25% to 50%; 3 = more than 50% of STC; (2) The intensity of stained tumor cells was as follows: 0 = no staining; 1 = weak; 2 = moderate; and 3 = strong.

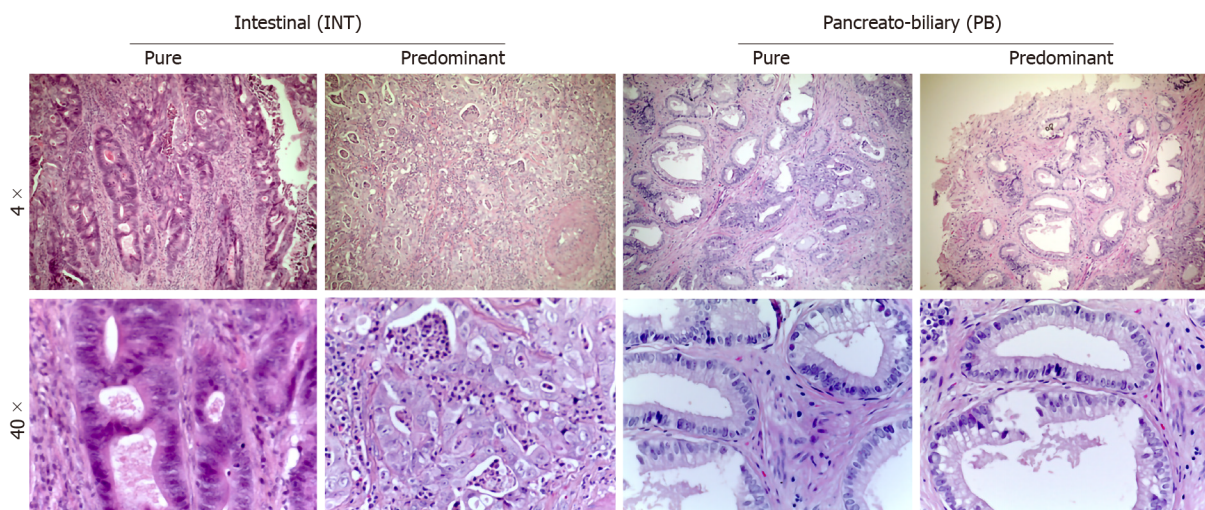
**Table 1 Categories used to classify ampullary adenocarcinomas**

	Pure histological classification	Basal histological classification	Molecular and histological classification
AACs	5 Categories	4 Categories	3 Categories
Tubular	Pure INT	Pure INT	INT
	Mixed INT-predominant	Mixed	
	Mixed PB-predominant		PB
	Pure PB	Pure PB	
Non-tubular	Other	Other	Other

AACs: Ampullary adenocarcinomas; INT: Intestinal; PB: Pancreato-Biliary.

**Table 2 Immunophenotypical characterization of ampullary adenocarcinomas**

Ref.	Markers						Number
	CK7	CK17	CK20	CDX2	MUC1	MUC2	
Chang <i>et al</i> <sup>[11]</sup> , 2013				X	X		2
Kumari <i>et al</i> <sup>[22]</sup> , 2013	X	X	X	X	X	X	6
Ang <i>et al</i> <sup>[18]</sup> , 2014			X	X	X	X	4
Fernández Moro <i>et al</i> <sup>[16]</sup> , 2016				X	X		2
Reid <i>et al</i> <sup>[15]</sup> , 2016							0
Liu <i>et al</i> <sup>[24]</sup> , 2019	X	X	X	X	X	X	6
Moekotte <i>et al</i> <sup>[31]</sup> , 2018			X	X	X	X	4
Al Abbas <i>et al</i> <sup>[30]</sup> , 2019			X	X	X	X	4
Abraham <i>et al</i> <sup>[17]</sup> , 2020	X		X				2
Total	33%	22%	67%	78%	78%	56%	Mean 3.33



**Figure 1 Representation of predominant histological sub-types of ampullary adenocarcinomas.** Right side: Pancreatobiliary types; Left side: Intestinal types (Up magnification 4 x, down magnification 40 x). PB: Pancreato-Biliary; INT: Intestinal.

Calibration of the system was assessed based on positive control results. The total score (TS) for each antibody was evaluated by summing the values of percentage of tumor cells and their positivity. A Global Score (GS) for IHC evaluation was obtained for each sample, by adding the three TSs obtained for CDX-2, CK7 and CK 20. All specimens were defined as: "high level Marker x.", if the TS > 3; or "low level Marker x." if the TS < 3. The heat-maps were generated from the total GS and the protein expression of markers (Figure 2B and C). The color scale was generated automatically based on the total score obtained (range: 0 - 6) for each marker. The 3D heat-map showed a complete image of each marker in each TMA. Statistical analyses of TS and GS were used to set the molecular cut-off for INT or PB subtype attribution (Figure 3). The analysis of OS was performed in relation to both histological and IHC evaluations.

### Statistical analysis

Survival analysis was performed using the Kaplan-Meier estimator. All experiments were performed in triplicate and repeated 3 times. Data were expressed as mean values  $\pm$  SE and analyzed by the Student's *t*-test or ANOVA followed by Tukey's multiple comparison. Data were analyzed using SPSS/PC+17 (SPSS Inc., Chicago, IL, United States). Statistical significance was set at  $P < 0.05$ . The statistical review of the study was performed by a biomedical statistician.

## RESULTS

Patient data are summarized in Table 3. Males represented 52.3%. The mean age was  $72.9 \pm 8.1$  years. The overall median follow-up was 32.4 (0.3–189.5) mo. Postoperative data are summarized in Table 4. The median OS was 87.7 (95%CI: 42.9 to 109.5) mo. The 3- and 5-year OS estimates were 62.8% (95%CI: 54.4 to 70.1%) and 54.4% (95%CI: 45.6 to 62.2%), respectively, for all patients.

Histological parameters defined AAC subtype samples as follows: 15% INT, 45%PB and 40% MT. Using the IHC expression and the GS, 75% and 25% of MIX samples were assigned to INT and PB, respectively. The mean value of the GS was 9.5 (range 4–16). All INT samples had a GS higher than the mean, while all PB samples had a GS below the mean ( $P = 0.0011$ ). The INT samples were identified by high expression of CDX2 and CK20, while PB samples exhibited high expression of CK7 and negative expression of CK20 (Figure 4A,  $P = 0.0008$ ). The GS profiles completely separated and relocated all MT type samples into the other two groups. The mean value of the GS was higher in the INT patients (mean  $4.111 \pm 0.577$ ) compared to PB patients (mean  $2.717 \pm 0.760$ ;  $P = 0.0018$ ; Figure 4B). The analysis revealed that the GS value of 3.500 completely discriminated the INT from PB. The mean value of the GS in all three groups was 3.530, indicating a non-significant difference between this value and the "cut-off" (3.500) and the mean GS in the MT group (3.762; Figure 4C). However, the GS computerized IHC evaluation confirmed the first histological HE examination by the pathologist (Chi-Test;  $P = 0.0350$ ; Figure 4D).

The OS of the molecular intestinal histo-molecular phenotype was significantly better than that of PB phenotype patients (72.92 mo *vs* 23.08 mo, HR: 4.62; 95%CI: 1.21 to 17.36;  $P = 0.0259$ ; Figure 5C). The re-classification of AACs based on GS only, altered the Kaplan–Meier analysis considerably as the two different curves were more obviously separated (87.5 mo *vs* 20.35 mo, HR: 8.39; 95%CI: 1.38 to 18.90;  $P = 0.0152$ ). Finally, the IHC effect of CDX-2 expression seems to play a pivotal role in the attribution of histological subtype in AAC patients. Higher protein expression of CDX-2 was always present in INT patients, while lower protein levels of CDX-2 were associated with PB sub-type. The complete analysis of all markers in all TMAs was carried-out in only four days (Figure 5A and B).

## DISCUSSION

In the most recent classification, AACs are classified according to histological appearance into either PB or INT type<sup>[2,8,15]</sup>. However, due to ampullary growth and overlapping histological epithelia, an accurate histological classification of these tumors may be difficult<sup>[38,39]</sup>.

Obviously, conventional histology alone is insufficient for valid classification of AAC phenotypes. Nonetheless, histo-morphologic classification of AACs is a requirement by the College of American Pathologists' reporting guidelines. In

**Table 3 Patient characteristics**

Patient characteristics	<i>n</i>
Age, mean ± SD (yr)	72.9 ± 8.1
M/F	11/10
BMI, mean ± SD (kg/m <sup>2</sup> )	22.9 ± 3.9
ASA I, <i>n</i> (%)	3 (14.2)
ASA II, <i>n</i> (%)	6 (28.6)
ASA III, <i>n</i> (%)	11 (52.4)
ASA IV, <i>n</i> (%)	1 (4.8)
Comorbidity, <i>n</i> (%)	16 (76.1)
Cardiovascular disease, <i>n</i> (%)	6 (28.6)
COPD, <i>n</i> (%)	6 (28.6)
Hypertension, <i>n</i> (%)	10 (47.6)
Diabetes mellitus, <i>n</i> (%)	3 (14.2)
Symptomatic, <i>n</i> (%)	12 (57.1)
Jaundice, <i>n</i> (%)	7 (58.3)
Pain, <i>n</i> (%)	3 (25.1)
Nausea or Vomiting, <i>n</i> (%)	1 (8.3)
Loss of weight, <i>n</i> (%)	1 (8.3)
Placement of PTBD or biliary endoprosthesis, <i>n</i> (%)	4 (33.3)
CA 19.9, mean ± SD (U/mL)	37.1 ± 53.2
Neoadjuvant therapy, <i>n</i> (%)	0 (0)

ASA: American Society of Anesthesiologists; COPD: Chronic obstructive pulmonary disease; PTBD: Percutaneous transhepatic biliary drainage.

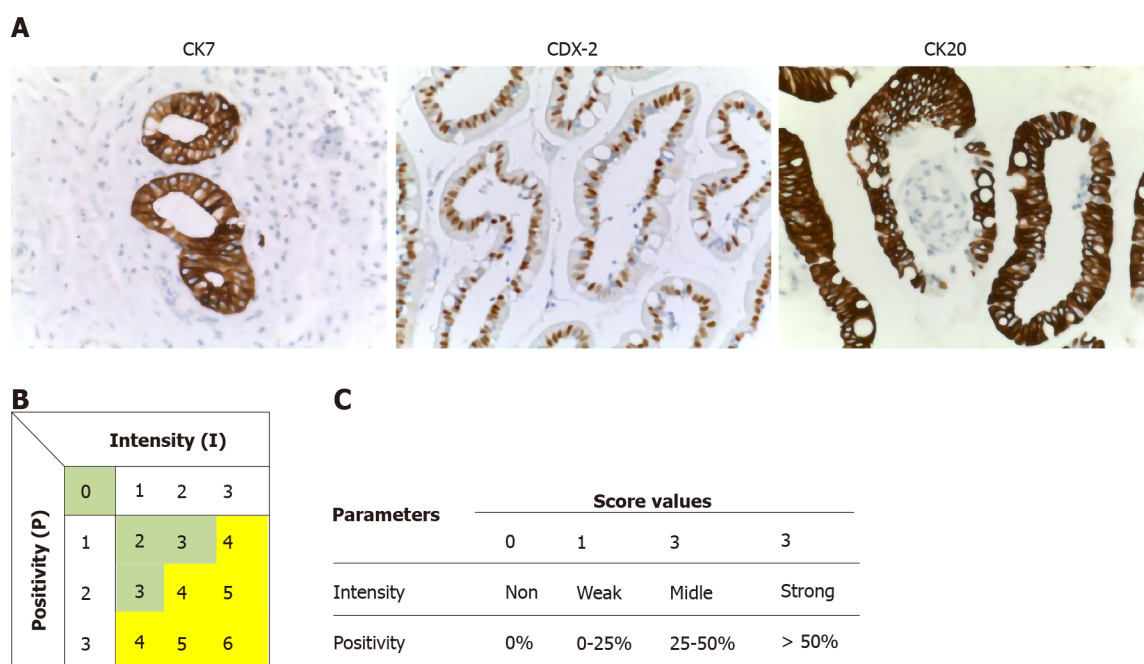
**Table 4 Post-operative data**

LOS, mean ± SD (d)	15.4 ± 6.2
Overall complications, <i>n</i> (%)	7 (33.3)
Clavien-Dindo > III, <i>n</i> (%)	3 (14.2)
Reoperation, <i>n</i> (%)	2 (9.5)
30-d mortality, <i>n</i> (%)	0 (0)

LOS: Length of hospital stay.

addition, MT AACs often present therapeutic dilemmas to the oncologists in deciding on the treatment that provides the “best supportive care” for these patients<sup>[25,38]</sup>, aside from which, the scientific community shares the view that the sub-classifications of AACs should be revised into two types only: INT and PB<sup>[15]</sup>. This simpler classification is important not only for pathologists, but to clinicians (surgeons and oncologists) involved in the treatment of patients harboring AACs. In our series, 40% of patients had AACs, illustrating that the diagnosis and management issues raised by mixed AACs is relatively common.

Reid *et al*<sup>[15]</sup> reanalyzed the “mixed” cases and concluded that the hybrid nature of ampullary cancers can manifest in different ways; in some, the mixed nature is manifest in different zones of the same tumor exhibiting distinctive morphologic patterns, specifically small tubular units with different cytomorphology within the tumor’s advancing edge<sup>[15]</sup>. In others, the tumor cells within the same region appeared chimeric, with some features resembling intestinal and others, pancreatobiliary



**Figure 2** The analyses and quantification of three markers was based on the expression in normal controls. A: Normal control for CK7, CDX-2 and CK20; B: The total score was established before the analyses; and C: Intervals of values associated with each parameter of the immunohistochemical score.

lineage.

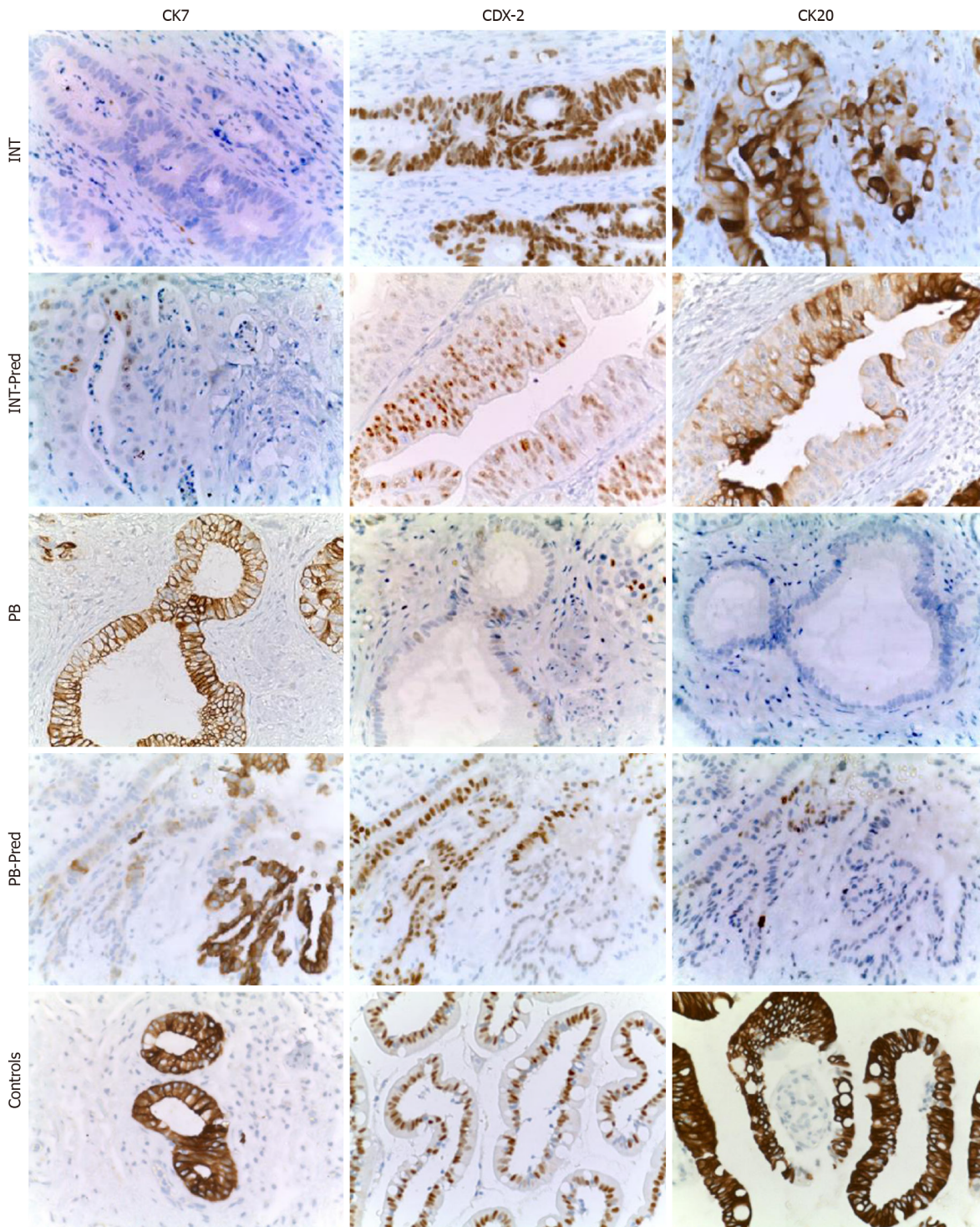
Several studies on ampullary adenocarcinomas have demonstrated that the histological type (PB *vs* INT) rather than primary tumor location determines survival<sup>[40-42]</sup>. There is growing evidence that the intestinal type is associated with a less aggressive tumor biology and a better prognosis, which is indicative of two distinct different subtypes<sup>[38,39]</sup>.

In this study, using a panel of immunohistochemical markers, we distinguished different tumor types based on their marker profile. The use of a specific histo-molecular panel classification resulted in the identification of a particularly aggressive cohort of patients with PB. These comprised 45% of our patient cohort and provided an improved classification by re-allocating the MT AACs, comprising 40% of the total to either the INT or PB phenotypes.

By means of IHC expression and the GS, it was possible to re-assign the MT group to the INT and the PB group in 75% and 25% of cases, respectively. The literature confirms high expression of CDX2 and CK20 in INT lesions, while PB lesions exhibited high expression of CK7 with negative expression of CK20 ( $P = 0.0008$ ). Both epigenetic and epigenomic analyses might explain the “ambiguous” molecular morphology of MT AACs. The micro-RNAs, play a significant role in molecular suppression and/or modulation, probably by displacement of the markers used for AACs classification<sup>[43,44]</sup>. According to the suggested classification, patients belonging to the PB group had a median OS of 20.3 mo *vs* 85.7 mo in patients belonging to the INT group ( $P = 0.0152$ ). In a recent study including 163 ampullary carcinomas, Schueneman *et al*<sup>[23]</sup> validated the histo-molecular classification by Chang *et al*<sup>[11]</sup> (2013) using a large data set<sup>[11,15]</sup>. Their results supported the clinical use of this new classification for AACs. They evaluated CDX2 and MUC1 expression using an IHC parameter of “all positive staining” along with their data demonstrating improved prognostication with MUC1 positivity defined as 10% staining. These authors proposed this definition for MUC1 positivity when applied to histo-molecular subtyping of AACs. In this assessment, 25.2% of their population exhibited a PB sub phenotype with a median OS of 21.1 mo compared to 108.3 mo for the INT sub phenotype ( $P < 0.0001$ ). The present study supports the use of CDX2, CK7 and CK20 expression associated with the GS, which together define only two AACs subtypes and thus eliminate the issues associated with MT AACs<sup>[15]</sup>.

The selection of these panels of specific markers (*i.e.*, CDX-2, CK7 and CK20) seems to prove the correct SC of AACs. The TMA platform, combined with: (1) Automatic staining of histological core samples; (2) Automatic detection of their staining; and (3) Automatic analysis of the score, represent a robust tailored flow-chart for both diagnostic and clinical management of these patients. At the same time, the automatic





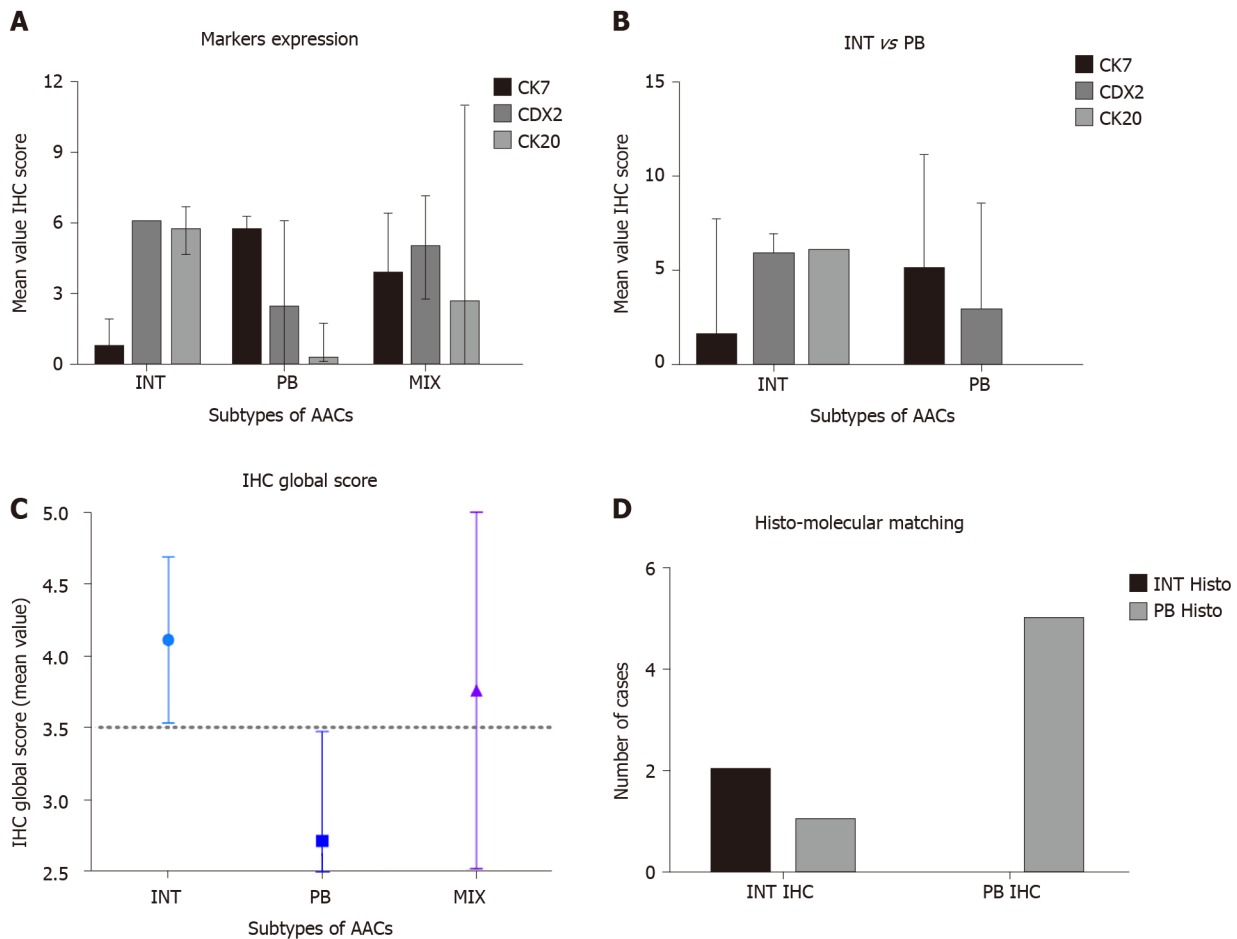
**Figure 3** Representation of immunohistochemical staining in all sub-types observed in ampullary adenocarcinoma samples. All images were acquired using a magnification 40 ×. IHC: Immunohistochemical; PB: Pancreato-Biliary; INT: Intestinal.

platform represents a valid tool to screen the more representative markers for pancreatic pathologies, in which the distinction of histological sub categories, may reflect their different clinical behavior<sup>[45,46]</sup>.

This study has clinical implications by improving prognosis and therapeutic decision-making to provide an individualized treatment, especially whether the patients should undergo primary surgery or neoadjuvant treatment.

The main limitations of the study are its retrospective nature, the small cohort of patients and the lack of data on the type of adjuvant therapy and possible interaction between the type of chemotherapy response and histo-molecular subtype.

Further prospective randomized or observational studies are needed to validate these results in a larger cohort to address this controversial issue.



**Figure 4** Statistical analyses of immunohistochemical markers and their values. A: Mean immunohistochemical value for each marker in INTestinal, Pancreato-Biliary and MIX types of ampullary adenocarcinomas, according to histological analyses (hematoxylin-eosin staining); B: Mean immunohistochemical value to compare intestinal vs Pancreato-Biliary; C: Global Score mean value in all sub-types of AACs; and D: Chi-square test to compare the histological vs molecular subtypes. AACs: Ampullary adenocarcinomas; IHC: Immunohistochemical; INT: Intestinal; PB: Pancreato-Biliary.

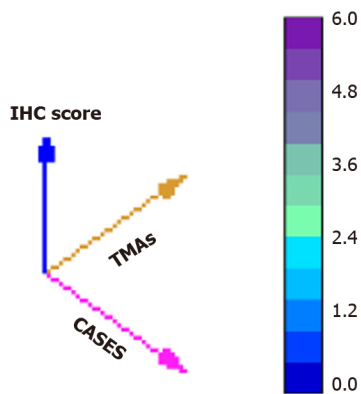
## CONCLUSION

The combination of histopathological and molecular criteria (three markers panel) evaluated through the TMA platform defines two clinically relevant histo-molecular sub-phenotypes of AACs. This molecular classification appears able to predict the clinical outcome and to indicate the best adjuvant treatment for these patients. The two AACs SC identified seem to represent distinct diseases with significant implications for current therapeutic strategies; hence, a useful tool for both surgeons and oncologists. A preoperative biopsy of the ampulla could provide an AACs subtype classification, enabling tailored oncological treatment to the tumor phenotype and planning the extent of the surgical resection<sup>[47-49]</sup>.

**A**

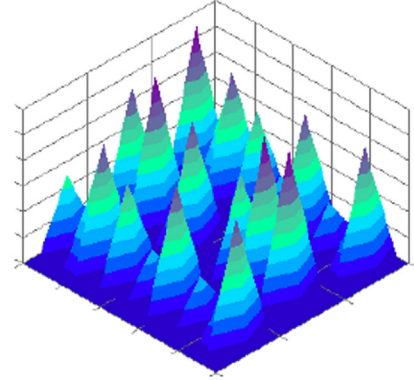
HD	TS	GS	CDX2	CK7	CK20	GSV
INT	INT	INT				12
INT	INT	INT				12
INT	INT	INT				12
MIX	INT	INT				16
MIX	INT	INT				12
MIX	INT	INT				10
MIX	INT	INT				10
MIX	PB	INT				10
MIX	INT	INT				16
MIX	PB	INT				10
MIX	INT	PB				4
PB	PB	PB				9
PB	PB	PB				9
PB	PB	PB				6
PB	PB	PB				8
PB	PB	PB				8
PB	PB	PB				8
PB	PB	PB				8
PB	PB	PB				6
PB	PB	PB				8
PB	PB	PB				6

Scoring	0	2	3	4	5	6
Expression	Low		High			

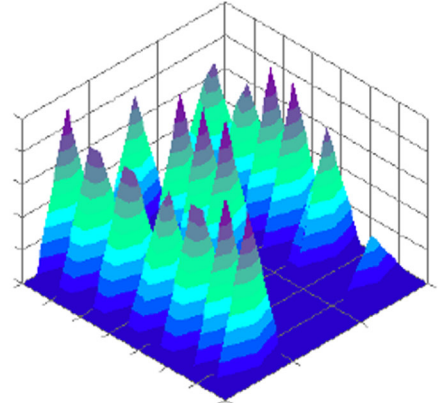


**B**

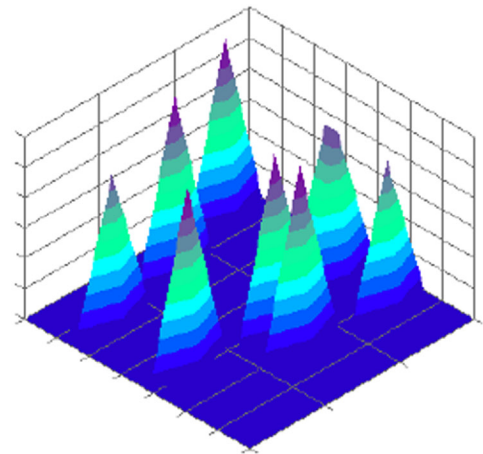
CDX2 protein expression in AAcS

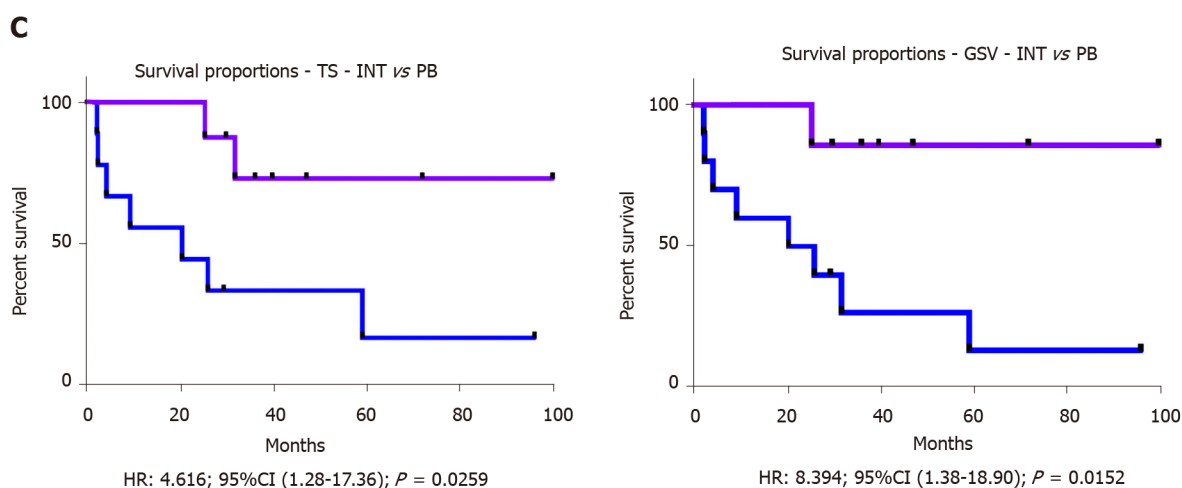


CK7 protein expression in AAcS



CK20 protein expression in AAcS





**Figure 5 Results elaborating the computerized analyses.** A: Classification according to the three different data sets obtained: Histology, Total score and Global score; B: 3D representation of immunohistochemical analyses of all samples; C: Kaplan–Meier curves of INTestinal vs Pancreato-Biliary according the molecular partition by Total score (above) or Global Score (below). IHC: Immunohistochemical; TS: Total score; Intestinal; PB: Pancreato-Biliary; AACs: Ampullary adenocarcinomas; TMA: Three tissue microarrays; INT: Intestinal.

## ARTICLE HIGHLIGHTS

### Research background

Ampullary adenocarcinomas (AACs) are heterogeneous tumors currently classified into the three most important sub-classes (SC): Intestinal (INT), Pancreato-Biliary (PB) and Mixed-Type (MT). The different subgroups have similar clinical presentation and are treated by pancreatoduodenectomy with curative intent; however, they have different responses to specific chemotherapeutics, with different prognoses.

### Research motivation

Conventional histology does not allow a definitive identification of the three subgroups.

### Research objectives

In this study using an immunohistochemical (IHC) score based on CDX2, CK7 and CK20 evaluation through three tissue microarray platforms, we identified two clinically relevant histo-molecular sub-phenotypes of AACs.

### Research methods

Tissue samples from 21 patients who had undergone AAC resection were arranged on three tissue microarray platforms and were classified by histology and IHC expression of CDX2, CK7 and CK20. An IHC score was obtained for each marker summing the number of positive cells (0 = no stained cells; 1 < 25%; 2 < 50% and 3 > 50%) and their intensity (1 = weak; 2 = moderate and 3 = strong). A global score (GS) was then obtained summing the IHC scores of each marker. The MT tumors were re-located to either the INT or PB group on the basis of the predominant immune-molecular phenotype, identifying only two AACs subtypes. The overall survival of INT and PB patients was obtained by Kaplan-Meier methods.

### Research results

Histological parameters defined the AACs subtypes as follows: 15% INT, 45%PB and 40% MT. Using the IHC expression and the GS, 75% and 25% of MT samples were assigned to the INT and PB group, respectively. The mean value of GS was 9.5 (range 4-16). All INT samples had a GS above the average, while all PB sample had a GS below the average ( $P = 0.0011$ ). In particular, the INT samples were identified by high expression of CDX2 and CK20, while PB samples showed high expression of CK7 and negative expression of CK20 ( $P = 0.0008$ ). The overall survival analysis was statistically significantly better for INT than PB patients (85.7 vs 20.3 mo, HR: 8.39; 95%CI: 1.38 to 18.90;  $P = 0.0152$ ).

### Research conclusions

The combination of histopathological and molecular criteria enables the definition of only two clinically relevant histo-molecular phenotypes of AACs that potentially represent distinct disorders with different management and chemotherapeutic strategies.

### Research perspectives

A preoperative biopsy of the ampulla could provide a AACs subtype classification, allowing the tailoring of oncological treatment and planning the extension of surgical resection.

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