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1 **Impacts of permafrost degradation on infrastructure**

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17

18 Abstract

19 Degradation of permafrost damages infrastructure and can jeopardize the sustainable
20 development of polar and high-altitude regions. Warming and thawing of ice-rich
21 permafrost is related to several natural hazards, which can pose a serious threat to the
22 integrity of constructions and the economy. In this Review, we explore the extent and costs
23 of observed and predicted infrastructure damages, and methods to mitigate adverse
24 consequences of permafrost degradation. We also present the diversity of permafrost
25 hazards and problems associated with construction and development in permafrost areas.
26 Finally, we highlight seven topics to support sustainable infrastructure in the future. The
27 observed damages are substantial and cumulative problems of infrastructure can be
28 exacerbated owing to the increasing human activity in permafrost areas and climate change.
29 It has been estimated that from one-third to more than half of critical circumpolar
30 infrastructure could be at risk by mid-century. Permafrost degradation-related
31 infrastructure costs could rise to tens of billion US dollars by the second half of the century.
32 To successfully manage with climate change effects in permafrost areas a better
33 understanding is needed about which constructions are likely to be affected by permafrost
34 degradation. Especially, mitigation measures are needed to secure existing infrastructure
35 and future development projects.

36 Key points

37

38 • Operational infrastructure is critical for sustainable development of Arctic and high-
39 altitude communities, but the integrity of constructions is jeopardized by degrading
40 permafrost.

41

42 • The extent of observed damages is substantial (up to tens of percentages of infrastructure
43 elements) and is likely to increase with climate warming.

44

45 • From one-third to more than 50% of fundamental circumpolar infrastructure is at risk by
46 mid-century.

47

48 • Engineering solutions to mitigate the effects of degrading permafrost exist but their
49 economic cost is high at regional scales.

50

51 • There is a need to quantify the economic impacts of climate change on infrastructure and
52 occurrence of permafrost-related infrastructure failure across the permafrost areas.

53

54 • Future development projects should conduct local-scale infrastructure risk assessments
55 and apply mitigation measures to avoid detrimental effects on constructions, socio-
56 economic activities, and ecosystems in permafrost areas under rapidly changing climatic
57 conditions.

58 Introduction

59 Polar and high-altitude regions have received increased attention in research, media and
60 political discussion owing to the observed unprecedentedly rapid and substantial changes in
61 the environment^{1,2}. While there have been numerous reports on shrinking glaciers and
62 ecosystems changes^{3,4}, less attention has been devoted to permafrost degradation
63 (warming and thawing of permafrost)^{5,6} and its implications⁷⁻¹². As more than one-fifth of
64 the Northern Hemisphere's exposed land surface is classified as permafrost region¹³, the
65 lack of documentation and guidance is clearly a shortage.

66

67 In addition to the potential adverse effects on climate, ecosystems and earth surface
68 processes, permafrost degradation will damage infrastructure, the backbone of human
69 activities in remote regions^{2,14-16} (FIG. 1). Especially, degradation of ice-rich permafrost
70 increases the risk of various gradual and abrupt natural hazards, which can impair roads,
71 buildings, pipelines, airports and other types of infrastructure^{6,17-19}. At least 120,000
72 buildings, 40,000 km of roads and 9,500 km of pipelines were estimated to be located in
73 permafrost areas of the Northern Hemisphere²⁰. Negative effects of permafrost degradation
74 on infrastructure are already evident^{6,21}. In the near future, cumulative problems of
75 infrastructure damage can be exacerbated, if recent projections of infrastructure risks are
76 materialised^{20,22,23}. Up to 70% of fundamental circumpolar infrastructure could be at risk by
77 mid-century²⁰.

78

79 Beyond the permafrost research community, permafrost-infrastructure interaction has
80 received relatively little consideration in focusing on the impacts of climate warming. This

81 lack of attention is a significant shortage as the Arctic and high-altitude regions are
82 undergoing significant changes in community patterns and economic activities, creating
83 challenges for decision-makers, planners, and engineers^{8,24}. Despite a general desire to
84 meet the climate targets of the Paris Agreement, the extraction of oil and other natural
85 resources can, in addition to other human activities, increase in the permafrost regions⁸⁻¹⁰.
86 Thus, operational infrastructure is critical to the development and economy of permafrost
87 regions and the environment^{2,15,19,20,23,25,26}. In response to the experienced and projected
88 impacts, communities and decision makers are identifying opportunities for adaptation to
89 manage the impacts of permafrost degradation on infrastructure²⁷⁻³⁰.

90
91 In this Review, we provide a stand-alone forward-looking assessment focused first on the
92 fundamental problems associated with construction in permafrost areas, second on
93 permafrost degradation-related hazards affecting infrastructure, third on the extent and
94 costs of observed and predicted infrastructure damages, and fourth on methods to mitigate
95 adverse consequences of permafrost degradation. In the end, we highlight seven topics that
96 should be considered to support operational infrastructure in permafrost areas in the
97 future. Owing to the increasing economic and environmental relevance of the permafrost
98 areas^{8,19,23,24,31}, such a review is of a vital importance for sustainable development of Arctic
99 and high-altitude cold regions.

100

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104

105 Building on permafrost

106 Infrastructure construction faces many challenging problems in permafrost areas²⁷⁻²⁹. As a
107 special foundation soil beneath infrastructure in cold regions, the major difference between
108 permafrost and non-permafrost is the presence of ground ice of variable types and
109 thickness³². Variable ice content and thermal state makes permafrost sensitive to
110 environmental factors, engineering activities and changing properties during the process of
111 freezing and thawing³³. Therefore, infrastructure stability must consider and predict the
112 impact of climatic and environment factors and engineering activities on
113 permafrost^{21,27,28,34}.

114

115 Permafrost soil exhibits vastly changeable properties of engineering from thawed to frozen
116 state due to the phase change of water to ice, and vice versa³². Soils, especially fine-grained
117 soils, can show heaving (from less than one cm to 40 cm/a) during the freezing process of
118 the wet soils within the active layer³². Under the action of frost heaving, significant forces
119 (up to 300 kPa) can be generated leading to infrastructure deformation and failure^{32,33}.
120 Conversely, frozen soil with high ice content will show significant strength and volume
121 changes during the thawing process resulting in potential infrastructure deformation and
122 damage³³. In general, frozen soil has higher strength increasing with decreasing
123 temperature due to the ice cement role within the frozen soils. But as the soil temperature
124 increases the strength rapidly decreases³⁵. As the soil temperature rises, the un-frozen
125 water in the ice matrix can exhibit compression and increasing creep rate properties. When
126 the soil temperature surpasses the freezing point, the bearing capacity is greatly reduced

127 due to increases in excess water and volume displacement, and the soil can no longer satisfy
128 the engineering stability, leading to differential settlement and infrastructure failure³⁶.

129

130 Spatial distribution and thickness (up to 1500 m in Russia, 500 m in Canada and 700 m in
131 Alaska) of permafrost varies substantially^{11,13,32}. Additionally, permafrost temperatures
132 (from 0 to about -20 °C) are variable due to climate and local factors such as topography,
133 soil properties, vegetation, snow, and hydrology^{6,11,37}. Local features are highly influential in
134 discontinuous and sporadic permafrost areas, increasing the difficulty of site surveys and
135 engineering solutions. Therefore, engineering geological conditions are required to be
136 accurately explored and spatially predicted to guide engineering design and construction in
137 permafrost areas, to include evaluation of thaw settlement and frost heaving potential as
138 well as changing bearing capacity^{34,38}. Generally additional cost is required for adequate
139 survey in engineering geological conditions³⁹.

140

141 Infrastructure in permafrost areas with different types of foundations and architecture leads
142 to highly variable thermal impacts, with potentially large differences for the effects on the
143 underlying permafrost^{33,40}. Deep foundations rely on adfreeze of ground ice and soil with a
144 pile or pier, and rising ground ice temperature decreases the adfreeze bond, therefore
145 design needs to account for permafrost conditions during entire life span of the structure
146 based on heat source of infrastructure types. Consideration of change in adfreeze bond can
147 alter the design principle of engineering and choice of expected engineering measures of
148 preventing freezing-thawing damage. Structures with high loads can require slab-on-grade
149 design, imparting high heat flux to the frozen ground, while lighter load structures can

150 utilize an elevated design to decouple the structure from the terrain and maintain the
151 frozen condition^{21,34}.

152

153 While thermal disturbance caused by construction or operation of any particular structure
154 can contribute to the degradation of the underlying permafrost, rapidly changing climatic
155 conditions and associated permafrost warming and increase in active layer thickness may
156 exacerbate the problem further leading to reduced strength of frozen soils⁴¹. Thus, climate
157 warming must be properly accounted for during engineering design in permafrost areas⁴².
158 However, choosing the right climatic input to estimate changes in permafrost geotechnical
159 properties is not a trivial task as it requires understanding of biases and uncertainties in
160 climate models^{9,10}. While engineering design can account for the worst case projected
161 climatic scenario (such as Representative Concentration Pathway (RCP) 8.5) such design is
162 not always economically feasible as it requires additional capital expenditures. At the same
163 time, downplaying role of climate change (for example use of RCP 2.6 or not accounting for
164 climate warming) can translate to higher operational expenses during the lifespan of the
165 structure. Meanwhile, protection of the environment also needs to be considered for
166 engineering design and construction because permafrost degradation alters environmental
167 conditions (for example hydrology)³². For some particularly sensitive areas, the additional
168 cost of environmental protection can increase significantly in engineering construction of
169 permafrost areas³⁹. Moreover, climate warming increases the vulnerability of the
170 infrastructure in permafrost areas to resist and/or adjust with the environment changes⁴³.
171 Thus, future infrastructure design and construction practices need to consider the issue of
172 permafrost stability, have the ability to adjust to changing conditions but at the same time
173 evaluate in the context of other factors such as increase in extreme events (such as

174 flooding) and slope instability⁴⁴. Failure to account for other environmental variables can
175 increase the susceptibility of infrastructure built on permafrost, and increase maintenance
176 and replacement costs^{15,23}.

177

178

179 Permafrost degradation-related hazards

180 Proactive planning in permafrost area requires knowledge of ground and climate
181 conditions^{32,34}. Moreover, it is important to consider permafrost degradation-related natural
182 hazards (hereafter permafrost hazards) that can jeopardize the integrity of infrastructure
183 under projected warmer and wetter climates^{11,45-48}. Mutually related permafrost hazards
184 include warming of permafrost, thickening of active layer, development of taliks (thawed
185 layers), and thaw-related hazards (thermokarst, mass-wasting processes on slopes, and
186 water-related thermal erosion) (FIG. 2).

187

188 **Warming of permafrost.** It is evident that permafrost warming is a global phenomenon^{49,50}.
189 For example, ground temperatures have increased by 0.29 °C across the permafrost area
190 between 2007 and 2016 (REF¹¹). Increasing ground temperatures are likely to increase the
191 unfrozen water content of the active layer and decrease the ice bonding (cohesion) of soil
192 particles, resulting in a gradual loss of bearing strength³³ (FIG. 2). Moreover, warming of
193 near-surface ice-rich permafrost increases creep rates of common types of foundations and
194 eventual loss of adfreeze bond support for pilings^{41,51}. These changes can greatly reduce
195 permafrost's capacity to carry structural loads imposed by buildings and structures for the

196 longer term (FIG. 2). In some of the Russian Arctic cities, bearing capacity has decreased by
197 even more than 40% between 1960s and 2000s (REF⁴¹).

198

199 Owing to higher rates of warming in the Arctic and high-altitude regions and the thermal
200 properties of frozen ground (for example latent heat associated with phase change) the rise
201 of ground temperatures has been more pronounced in areas characterized by cold
202 permafrost (< -5 °C) when compared to areas with relatively warm permafrost (> -3 °C)¹¹.

203 The rise of temperature in cold permafrost is not as critical as the rise in warm permafrost
204 because ground temperatures close to the melting point are the most detrimental for
205 infrastructure⁵¹. For example, adfreeze bond strength can decrease less than 10% when the
206 temperature rises from -6 to -4 °C, whereas the decrease is ca. 50% when the temperature
207 rises from -3 to -1 °C (REF⁵¹). Because of the projected warming of permafrost^{46,52}, there will
208 be increasing number of engineered structures in hazardous regions with ground
209 temperatures close to 0 °C in the future¹¹.

210

211 **Thickening of active layer.** Along with the higher ground temperatures thicker active layers
212 have been observed across the permafrost areas⁴⁹, although the relationship between
213 permafrost degradation and active layer thickness is not straightforward⁵³. Reported
214 regionally variable but dominantly increasing active layer thicknesses across the circumpolar
215 area during 1990–2015 (REF⁵⁴). The highest observed rates were over 10 cm per year in
216 Central Asian mountains⁵⁴. Thickness of active layer could increase from 0.8 to 6.5 cm per
217 decade by the end of this century, when averaged over all permafrost areas (REF⁵⁵). At the
218 highest, the total increase in active layer thickness could be 120–200 cm on the Qinghai–
219 Tibetan Plateau by 2100 REF⁵⁵. Thickening of active layer could result in increased thaw

220 settlement during summer and frost heave during winter, and lead to frost-jacking of piles⁵⁶.
221 Moreover, higher active layer thickness could lead to a decrease in frozen-ground adfreeze
222 strength, resulting in an increase in the creep settlement rate of existing piles and
223 footings⁵⁷. The thickened active layer can expose critical foundation elements designed for
224 direct frozen ground bearing or adfreeze, to newly thawed low bearing strength and poorly
225 consolidated soils. However, high variability of active layer response to climate warming
226 limits our understanding of the potential effects of active layer dynamics on infrastructure.

227

228 **Development of thawed layers.** Extreme weather conditions, for example heatwaves and
229 heavy rainfall during the thaw season, are more likely under climate change⁴⁵. An
230 abnormally warm summer can lead to the development of taliks in the top of the
231 permafrost, which decreases the load-bearing strength of the ground and systems
232 supporting infrastructure⁵⁷ (FIG. 2). These residual thaw layers can lead to progressive
233 surface settlement and slope movements. Moreover, current climate models depict an
234 increase in high-latitude precipitation⁴⁵. Therefore, potentially broad and long-lasting
235 impacts of increased precipitation on hydrology and ground thermal conditions should be
236 taken into consideration when estimating infrastructure hazard potential in different
237 regions^{37,48}.

238

239 **Permafrost thaw hazards.** Thaw of frozen ground is critical for engineered constructions
240 because the strength of soil drops substantially as the temperature rises above the melting
241 point and ground ice melts⁵⁸ (FIG. 2). The amount of thaw settlement is mostly related to
242 the soil moisture content (especially ground-ice) and bulk density⁵⁸. If permafrost is ice-rich,
243 the melt of ice can result in thermokarst (and uneven terrain) that threatens the existing

244 infrastructure but also complicates new construction projects⁵⁹. Based on climate change
245 projections an substantial amount (>30–60%) of near-surface permafrost can be lost by the
246 end of this century^{46,52,60,61}. It is evident that the most pronounced changes in permafrost
247 distribution will occur in warmer permafrost areas. These areas are often the most densely
248 built and populated stressing the high infrastructure risk potential in the near-future⁶².

249

250 Periglacial slope processes are common factors affecting constructions with shallow
251 foundations, especially transportation infrastructure⁴⁷ (FIG. 2). Slope processes range from
252 slow mass-movements such as permafrost creep and solifluction to more rapid ones like
253 landslides and earthflows (retrogressive thaw slumps and active-layer detachment slides).
254 Potential hotspots of landslides are sites with abrupt permafrost thaw (that can cover up to
255 20% of the circumpolar permafrost area)⁶³, particularly close to sea-, lake- and riverbanks
256 where water-induced thermal erosion and abrasion is effective⁶⁴ (FIG. 2). For example, a 60-
257 fold increase in retrogressive thaw slumps was observed in the Canadian Arctic in a 30-year
258 period, mainly owing to particularly warm summer conditions (REF⁶⁵). Thus, the projected
259 increase in summer temperatures and precipitation can increase thermal erosion and mass-
260 wasting related infrastructure hazards, especially in coastal⁶⁶ and topographically complex
261 regions^{47,65}. Under projected hydrological changes the existing water pathways cannot be
262 adequately designed resulting in overflow and damages to infrastructure^{29,67}.

263

264

265 Observed infrastructure damages

266 Although several reports have linked widespread damage to infrastructure with climate
267 change, the infrastructure damages on permafrost can easily misattributed to climate

268 change⁶⁸. For example, the majority of damage to structures in the Russian permafrost
269 areas in the period 1980 to 2000 resulted mainly from poor maintenance rather than
270 climatic change⁶⁹. Consequently, building on permafrost and maintaining operational
271 infrastructure is a highly challenging task even without climate change, but climate warming
272 induced permafrost degradation can exacerbate engineering challenges beyond feasible
273 solutions^{18,70}. While indigenous peoples for centuries had developed intimate knowledge of
274 living and building structures on permafrost, rapidly changing climatic conditions,
275 accelerating rates of coastal erosion, permafrost warming and ground subsidence have
276 recently threatened traditional lifestyles, subsistence economies, food security and
277 accessibility among others^{71,72}.

278

279 Russia, North America, and Qinghai–Tibet Plateau are central permafrost areas with varying
280 infrastructure features. Russian permafrost regions contain substantial population
281 concentrated mainly in large industrial centres with dense multi-storey buildings whereas
282 other regions on permafrost have less concentrated population residing in one-two storey
283 residential houses. While having smaller housing stock on permafrost, North America and
284 Qinghai–Tibet Plateau are characterized by higher proportion of transportation
285 infrastructure^{27,29}. Trans-Alaska pipeline system, Qinghai–Tibet engineering corridor and
286 Canada's Arctic airports and airstrips are examples of transportation infrastructure that are
287 central for the operation of communities in these permafrost areas^{8,27-29}. Owing to the main
288 infrastructure and socio-economic differences between the regions Russia is characterized
289 by damage of various engineered structures whereas damages of linear infrastructure
290 dominate North America and Qinghai–Tibet Plateau.

291

292 **Russia and Europe.** Almost 65% of land areas of Russia is underlain by permafrost⁷³. More
293 than 60% of settlements and vast majority of population (nearly 90%) in the Arctic
294 permafrost areas are located in Russia⁶². Greenland and Svalbard have a few notable
295 settlements and other infrastructure on permafrost whereas other parts of Europe have
296 relatively little infrastructure in the permafrost area^{62,74}. Russian expansion to the eastern
297 and northern regions have led to permafrost encounters documented as early as 16th
298 century. Centuries of error and trial allowed to gain knowledge and experience of
299 construction on permafrost, which was summarized in the textbooks, construction manuals
300 and regulations as early as the beginning of 20th century^{32,34}. To this date, the Russian
301 permafrost area is unique in unparalleled degree of industrialization and urbanization and
302 host several large cities, such as Vorkuta, Yakutsk, and Norilsk among others⁷⁵. Unlike other
303 parts of permafrost area, that generally characterized by small individual houses and light-
304 weight administrative and industrial facilities that have only few floors, Russia is
305 characterized by large apartment buildings, and heavy-built industrial facilities. It also
306 characterized by focal areas with high population density, and centralized network of
307 heating and utilities all of which makes impacts of human activities on permafrost more
308 concentrated and damages more pronounced. Historically, the ability of foundations to
309 support structures on permafrost was estimated using the climatological data available prior
310 to construction. However, rapidly changing climatic conditions and increasing technogenesis
311 challenged the paradigm^{76,77}.

312

313 The development of geographic information system (GIS) -based approach to geotechnical
314 modelling allowed to bridge the gap between climate change and permafrost geotechnical
315 environment. Using various types of climate input in combination with permafrost

316 modelling it became possible to provide regional assessments of changes in permafrost
317 bearing capacity under various climatic condition⁴¹. Using gridded-climate input and
318 detailed parameterization of soil conditions in Northwest Siberia REF⁷⁸ found that ability of
319 foundations to support structures have already decreased by 17 % on average with some
320 locations experienced up to 45% decrease relative to 1960-1990 period due to the
321 increasing permafrost temperatures and active layer thickness. Further analysis focused on
322 the Russian cities found that foundation stability has decreased by more than 15–20% in
323 several towns (for example in Salekhard, Noviy Urengoy, and Nadym)⁷⁹.

324

325 Climatically-induced permafrost degradation exacerbated by socio-economic
326 transformations leading to dismantling of adequate permafrost monitoring after collapse of
327 the Soviet Union resulted in neglect of the infrastructure in Russian permafrost areas. By the
328 beginning of 21st century majority of buildings on permafrost had deformations, from ca.
329 10% in Yakutsk and Noril'sk up to 80 % in Vorkuta⁶⁹, with later studies revealing even higher
330 numbers of structures affected by permafrost degradation^{21,76} (Supplementary Table 1; FIG.
331 3a–c). In Greenland, Svalbard and European mountains, thaw damages are clearly less
332 extensive and common, this owing to the terrain inherently reduced ground ice content,
333 differences in type and size of engineered structures and higher investments to construction
334 and maintenance⁸⁰⁻⁸⁴. For example, of the ca. 1000 infrastructure elements located on
335 permafrost in the French Alps, less than 3% were identified to be damaged owing to
336 permafrost degradation⁸⁴.

337

338 **North America.** More than half of Canadian and 80% of Alaskan's land surfaces are
339 characterized by the presence of permafrost. With sparse population (only ca. 7% of the

340 Arctic permafrost areas⁶²) and abundant natural resources, the social and economic
341 development of these vast territories depends heavily on a reliable transportation
342 infrastructure⁸⁵, although coastal erosion and relocation of settlements are also
343 issues^{66,71,72}. North America does not have the large industrial centres with densely arranged
344 vertical structures like Russia. Therefore, most of the infrastructure problems are related to
345 horizontal or linear infrastructure. For example, there exist ca. 6800 kms of road and 270
346 airstrips on permafrost²⁰. Construction of roads, airstrips, railways or other linear
347 infrastructure where snow is removed leads to local ground cooling and formation of
348 artificial dams affecting the surface hydrology, at the same time snow accumulation along
349 the linear types of infrastructure leads to waterlogging and permafrost degradation²⁹. These
350 consequences are naturally common across permafrost areas with various types of linear
351 infrastructure.

352

353 Signs of degradation of structures and adjacent land are becoming increasingly evident in
354 Northern Canada and Alaska (FIG. 3). In the North-West Territories, the estimated value of
355 infrastructure at risk due to climate warming is equivalent to 25% of the value of the
356 assets⁸⁶. Surface distortions, depressions and cracks at the edge of embankments, sinkholes,
357 longitudinal cracks, lateral embankment spreading and water ponding along roadside and
358 drainage ditches are the most common problems observed along embankments built on
359 thaw-sensitive permafrost (FIG. 3 d–f; synthesis of several publications in REFs^{87,88}). Several
360 types of degradations are also observed in areas adjacent to linear structures. Amongst
361 others, retrogressive thaws slumps, active layer detachment slides, thermal erosion gullies,
362 and newly developed icing ('aufeis') zones are increasingly threatening the integrity of linear
363 infrastructures. More circumscribed problems such as differential settlement caused by

364 creeping of ice-rich warm and/or saline permafrost under thick embankments⁸⁹; sudden
365 collapse due to the erosion or melting of ice-wedge⁹⁰ have also been documented recently.

366

367 There has been an increase in the frequency and severity of issues related to permafrost
368 degradation and slope stability along the Alaska Highway (Yukon) (FIG. 3 d and e) as well as
369 the Dempster highway (Yukon and Northwest Territories)⁹¹⁻⁹³. Most of these highways are
370 on permafrost and several sensitive areas have been documented and are monitored by
371 both highway administrations. For example, The Alaska Transportation and Public Facilities,
372 estimated that the state spends 11 million US dollars (\$) annually on permafrost related
373 problems with roads. Several issues, including thermal erosion, ice-wedge degradation and
374 thaw slumps related to permafrost degradation have also been documented on the newly
375 constructed Inuvik to Tuktoyaktuk Highway (Northwest Territories)⁹⁴, on roads and airstrips
376 in Nunavik (Quebec)⁹⁵, and on the Iqaluit airstrip (Nunavut) (FIG. 3 f). In the North-West
377 Territories, thawing permafrost is causing approximately \$41 million (ca. 51 million
378 Canadian dollars) worth of damage to public infrastructure every year⁸⁶.

379

380 **Qinghai–Tibet Plateau.** About 40% of Qinghai–Tibet Plateau is characterized by the
381 presence of permafrost⁹⁶. In Qinghai-Tibet Plateau, including Qinghai Province and Tibet
382 Autonomous Region, the total length of roads and railways is more than 200,000 kms and
383 3,900 kms, respectively⁹⁷. Climate warming along with thermal influences from engineering
384 construction foster permafrost degradation, seriously threatening the stability of
385 infrastructure on Qinghai-Tibet Plateau^{98,99} (FIG. 3 g–i). In the past years, many economically
386 and societally important transportation infrastructure were constructed, the Qinghai-Tibet
387 Highway (QTH), the Qinghai-Kangding Highway, the Gong-Yu Express Highway, the Qinghai-

388 Tibet Railway (QTR), and the Qinghai-Tibet DC power transmission line. These
389 infrastructures experienced different extent of distresses and even failure due to rapid
390 degradation of underlying permafrost⁴⁰.

391

392 The QTH underwent major repairs between 1991 and 2011 to stabilize the underlying
393 permafrost, for a total cost of nearly \$0.7 billion (4.5 billion Yuan), about six times of the
394 total costs for building and paving the QTH during 1950-1954 and 1979-1985, respectively.

395 The costs for mitigating damages associated with permafrost have significantly increased
396 the QTH operation cost, up to ca. \$64 million (420 million Yuan) between 1986 and 2007,
397 1.5 times of total maintenance costs from 1955 to 1985. Although QTH has been maintained
398 and reconstructed several times in the last decades, embankment damages due to the
399 underlying permafrost degradation are evident at 30% of total road length in permafrost
400 areas¹⁰⁰ (FIG. 3 h and i). Majority (85%) of the road damages were produced by thaw
401 settlement and less (15%) by frost heave^{100,101}. For example, QTH was reconstructed from
402 1991 to 1999 by increasing the thickness of the embankments, which resulted in new
403 damages (longitudinal and road shoulder cracks)¹⁰⁰⁻¹⁰². These damages were mainly caused
404 by thaw consolidation within roadbed soil under embankments. Meanwhile, QTR opened to
405 traffic in 2006, embankment experienced deformations ranging from 25 mm/a to 75
406 mm/a^{99,103} due to thawing of underlying permafrost. Also transition sections between
407 bridges and embankments experienced substantial (10–160 cm) deformations from 2006 to
408 2014 owing to degradation of permafrost¹⁰⁴.

409

410

411

412 Projected impacts

413 Spatial information of permafrost and infrastructure hazards are of importance to enable
414 planners and policy-makers to identify both high- and low-risk areas when planning future
415 infrastructure at settlement and transport route scales. For example, investments and
416 developments in industry and extraction of natural resources requires extensive maps of
417 permafrost hazards. Moreover, sustainable regional planning and infrastructure
418 management require information on the costs of maintaining current and planning new
419 constructions under climate change^{105,106}.

420

421 Since early 2000's the number of geographical hazard assessments has increased along with
422 the development of climate projections^{8,27,28}. The existing circumpolar infrastructure could
423 be affected by degrading permafrost under global warming was first presented
424 geographically by REF¹⁷ (see also REF¹⁰⁷). Comparable regional and national-scale
425 infrastructure hazard mappings with developed data and methodologies have been
426 presented for Russia^{41,51,108,109}, Greenland¹¹⁰, Qinghai–Tibet^{44,111,112} and Alaska¹¹³. For
427 example, REF¹¹⁴ estimated substantial reduction in stability of infrastructure on permafrost
428 in Russia by the mid-21st century. The cities of Salekhard, Norilsk, Yakutsk, and Anadyr were
429 estimated to lose on average 20, 30, 26, 20% of bearing capacity respectively by 2050 under
430 RCP 8.5 scenario. Most of the studies have considered hazard areas by mid or late 21st
431 century acknowledging the relatively short lifespan (often 20–50 years) of infrastructure in
432 the permafrost areas^{27,39}.

433

434 Many of the seminal geographical hazard assessments were based on the exploration of
435 changes in active layer thickness in combination with ground ice content^{17,107,108,111}. More
436 recently, other environmental factors such as surficial geology, temperature and thaw of
437 permafrost, and slope gradient have been included into the explorations^{44,110,112,113}. In
438 coastal environments, coastline erosion rates can be used in hazard assessments⁶⁶. Recent
439 circumpolar hazard explorations were presented in REFs^{20,23,115}. The circumpolar distribution
440 of high-hazard areas depend on the considered environmental factors. On one hand, if we
441 consider thickening of active layer and thaw settlement, the high-hazard areas occur in the
442 mid and northern parts of the circumpolar permafrost area with abundant ground ice and
443 pronounced climate warming^{20,22,23,115} (FIG. 4a and d). On the other hand, if we emphasize
444 the thaw of near-surface permafrost and loss of structure bearing capacity, the high-hazard
445 areas form a 'hazard belt' close to the southern margin of polar permafrost^{20,22,23} (FIG. 4b, c,
446 and e). Considering permafrost degradation, ground ice conditions, and surficial geology the
447 most critical areas with high hazard potential for infrastructure damage are the Pechora
448 region, the northwestern parts of the Ural Mountains, northwest and central Siberia,
449 northwest Canada as well as the yedoma areas of Alaska where regional and local scale
450 infrastructure risk assessments are important when planning new infrastructure in future
451 decades^{20,22,23,115} (FIG. 4 and 5b). For example, the Yamal-Nenets region in northwestern
452 Siberia is essential because of the extensive oil and natural gas production fields and high
453 level of industrialization²².

454

455 Despite the seminal nature of the permafrost thaw risk assessments presented in REFs^{17,107}
456 they did not include quantitative estimates of the amount infrastructure in high hazard
457 areas or at risk of damage. Recently, REF²⁰ quantified the detailed amount of infrastructure

458 elements potentially at risk across the circumpolar permafrost area under climate change.
459 The results showed that 69% of the residential, transportation, and industrial infrastructure
460 is located in areas with high potential for near-surface permafrost thaw by the mid-century
461 (FIG. 5a; Supplementary FIG. 1). Considering ground properties (such as ground ice and frost
462 susceptibility of ground material) in addition to permafrost thaw one-third of the pan-Arctic
463 infrastructures and 45% of the hydrocarbon extraction fields in the Russian Arctic were
464 located in areas where permafrost hazards could jeopardize current infrastructure and
465 future developments²⁰ (FIG. 5b; Supplementary FIG. 2). Owing to the uncertainty in
466 circumpolar geospatial datasets and statistically-based modelling methods, and the fact that
467 the effect of engineered structures on ground thermal regime was not considered, local
468 errors in the determination of hazard potential are likely^{20,115}. In a population study, 42% of
469 the 1162 permanent settlements will become permafrost-free due to thawing by 2050
470 (REF⁶²). Among the settlements remaining on permafrost, ca. 40% are in high hazard
471 areas⁶².

472

473 The replacement costs and damages due to projected changes, including permafrost
474 degradation are available for Alaska^{15,39}, Canada¹¹⁶ and Russia¹¹⁷⁻¹¹⁹. Maintaining stable and
475 safe transportation infrastructure in Alaska and Northern Canada is an important
476 engineering challenge. For example, the costs of permafrost damage to Alaska's publicly
477 owned infrastructure are expected to grow by an estimated 10 to 20 percent, or \$3.6 billion
478 to \$6.1 billion, by 2030⁸⁷. REF¹⁵ estimate the cost due to near surface permafrost thaw for
479 the period 2015–2099 at \$2.1 billion for RCP 8.5 and \$1.6 billion for RCP 4.5.

480

481 In the Yukon, nearly 50% of the North Alaska Highway is considered to be highly vulnerable
482 to permafrost thaw and is showing important signs of degradation⁹¹. The cost to maintain
483 these sections of road is estimated to be eight times more expensive than equivalent
484 sections on stable ground¹²⁰. In Russia, the total cost of support and maintenance of road
485 infrastructure due to permafrost degradation from 2020 to 2050 was estimated at ca. \$7.0
486 billion (422 billion RUB) for the existing network (no additional development), and ca. \$14.4
487 billion (865 billion RUB) for the modernization scenario based on the additional cost of the
488 construction of new roads and engineering facilities according to development goals
489 outlined in the Transport Strategy of Russian Federation, which is about 0.2 to 0.5 billion
490 per year¹¹⁸. Another study estimated the cost of residential housing replacement due to
491 permafrost degradation and decrease of foundation bearing capacity about \$0.5-0.6 billion
492 per year (30–36 billion RUB) between 2020–2050¹²¹.

493

494 The only pan-Arctic study to estimate the costs of permafrost degradation is focused on
495 critical infrastructure such as roads, railways, pipelines, ports, airports and buildings²³. The
496 estimated lifecycle replacement costs to maintain infrastructure on permafrost will require
497 \$15.5 billion by 2059 under RCP 8.5 scenario²³. Linear infrastructure (roads, railways, and
498 pipelines) is expected to be the most affected with pipelines being the most vulnerable type
499 of infrastructure. In addition, damages associated with thaw subsidence and decrease of
500 permafrost bearing capacity were estimated to add additional \$21.6 billion²³. However, the
501 presented estimate was largely constrained by the availability of infrastructure data,
502 especially in the case of Russia. The sustainable development of the permafrost regions
503 urgently requires more detailed assessments of infrastructure costs and risks associated
504 with permafrost degradation and their impacts on communities and the economy.

505

506

507 Mitigation methods

508 Methods to stabilize infrastructure constructed on permafrost have been used in Russia
509 since well before World War II, and since the 1940's in North America³⁵. The type of
510 permafrost terrain, either *thaw-stable* or *thaw-unstable*, can be determined by the inherent
511 ground ice content and by the soil type. Soils are considered 'thaw-unstable' when
512 volumetric ice content is in excess of the natural porosity of the unfrozen soil and when
513 hydraulic conductivity of the soil does not allow for effective dissipation of excess pore
514 pressures during the thawing process. This information on ice content and hydraulic
515 conductivity is critical to determine the type of foundation and the type of adaptation to be
516 used. A common classification of adaptation methods used in North America is 'active' and
517 'passive' protection systems³⁵. Active systems involve the use of an external source of
518 power to refrigerate permafrost, while passive systems use natural phenomena such as
519 convection, evaporation and/or condensation to cool the ground.

520

521 Two main approaches are used in Russia for construction design in permafrost-affected
522 environments^{34,41}. According to 'Principle I' permafrost is used as the foundation and is
523 protected from degradation during the construction and during the life of the structure
524 which is accomplished by decoupling structures from permafrost, such as creating of crawl
525 spaces under the buildings, using passive and active cooling methods. Principle I can be used
526 and developed further for considering climate warming in engineering design in areas with
527 warm permafrost¹²². For example, in Qinghai-Tibet Plateau, 36% of permafrost is considered
528 to be especially warm and extra-unstable (ground temperature > -0.5 °C)¹²³. Under these

529 conditions, engineering must cool permafrost to ensure stability under climate warming.
530 Alternatively, 'Principle II' can be used, involving thawing the permafrost before or during
531 the construction and protecting the ground from permafrost aggradation during the life of
532 the structure.

533

534 There exist several mitigation methods (see below and FIG. 6 for different
535 examples)^{29,38,124,125} that can be classified into three main categories: methods based on
536 preventing heat intake to permafrost; methods enhancing ground heat extraction; and
537 embankment reinforcement or ground improvement to help the structure resist permafrost
538 degradation²⁹. The methods can also be classified based on heat transfer mode: methods
539 based on adjusting and controlling heat conduction; methods based on adjusting and
540 controlling heat convection; methods based on heat radiation; and comprehensive
541 methods¹²⁴. In areas of warm-discontinuous permafrost with variable ground ice
542 characteristics alternatives routes either with complete avoidance and/or minimalized
543 encounter of high ice content and warm permafrost soils can be developed. Alternatives are
544 being developed providing methods for full-scale ground ice and permafrost temperature
545 characterization across the project areas^{38,125}, via combined geophysical surveys and
546 prescribed geotechnical drilling. In some cases, proper characterization can prescribe full
547 removal of the ice-rich material when depth of excess ground ice and structural fill material
548 availability are favorable to the project budget and timeline.

549

550 **Transportation infrastructure.** Considering the impact of climate warming, transportation
551 infrastructure built on thaw sensitive permafrost should be protected, either by cooling the
552 underlying permafrost or by considering pre-thawing to adapt and mitigate the impact of

553 climate warming^{40,124,126-128} (FIG. 6). Several techniques have been experimented and have
554 proven to be effective in cooling permafrost under transportation embankments. Some of
555 these techniques are now used for large scale applications across the circumpolar high-
556 altitude areas (Supplementary FIG. 3).

557

558 Heat removal techniques have been widely tested and used. Different types and shapes of
559 air convection embankments (ACEs) have been used in Alaska (Thompson Drive¹²⁹), Canada
560 (Alaska Highway¹³⁰; Puvirnituk airstrip¹³¹), and China^{29,132}. For QTR observations showed that
561 embankments with crushed rock structure can adapt to a climate warming of 1.0°C^{40,133}.

562 Thermosyphons have been used within transportation infrastructures in Russia¹³⁴, Alaska¹³⁵,
563 Canada¹³⁶, and China^{123,137}. Other techniques such as the heat drain¹³¹ have also been
564 successfully used to enhance ground heat extraction (FIG. 6). In most cases, the heat
565 extraction systems have successfully cooled the ground during winter, improving thus
566 significantly the heat budget at the ground surface. Recent monitoring results show that
567 beneath a U-shaped ACE, the ground temperature of the permafrost from 4 to 10 m in
568 depth was lowered by 0.5 °C¹³⁸. Based on the experimental work at Beaver Creek in Canada,
569 the presence of a 3 m thick ACE layer across the highway embankment has reduced winter
570 temperatures at the contact between the embankment and natural ground by
571 approximately 5 °C²⁹. Under a heat drain at the Tasiujaq test section in Canada, the mean
572 annual temperature at the contact of the embankment and the natural ground was reduced
573 by 2.2 °C, and, at the end of the monitoring period, the permafrost table had risen more
574 than 2 m compared with the reference section²⁹. It should be noted that the cost of these
575 systems is high and their application is generally restricted to limited areas of highly
576 sensitive soils.

577

578 Methods based on the reduction of heat intake during summer have been used to protect
579 permafrost underneath transportation infrastructure, of which can adapt the impact of
580 climate warming and stabilize permafrost (FIG. 6). The most common method is
581 embankment insulation used successfully at several locations in permafrost areas, of which
582 can only mitigate permafrost thawing due to climate warming¹³⁹⁻¹⁴⁰. The use of sunsheds to
583 protect embankment slopes^{126,130,141}, and high albedo surfaces to protect paved
584 embankments^{140,142,143} have also proved to be very effective to impede heat intake during
585 summer. Reduction in the annual average surface temperature by approximately 1 °C and to
586 permafrost aggradation of between 0.5 and 1.0 m under pavements with high-albedo
587 surfaces have been reported by different authors²⁹. For sunsheds, temperatures at the
588 surface of embankment slopes were observed to be 4 to 5 °C lower in summer and 3 °C
589 lower in winter when compared with an unprotected slope. In QTP, the difference was from
590 8 to 15 °C^{29,144}. Special techniques such as the use of gentle slopes to reduce the adverse
591 effect of snow accumulation on slopes and the replacement of embankments by a 'dry
592 bridge' widely used on the QTR to minimize disturbance and protect permafrost⁴⁰ can also
593 be considered in special conditions.

594

595 Some new mitigation techniques have been applied in the Gongyu express highway
596 construction in China, for example the combination technique of oriented heat-transfer
597 asphalt pavement and crushed-rock structure¹²³. The first three years of monitoring show
598 that these new techniques prevented rapid permafrost thaw. A field experiment showed
599 that embankment with hollow concrete bricks and ventilation ducts can effectively decrease
600 permafrost temperature at deeper than 15 m depth¹⁴⁵. Moreover, numerical model shows

601 that embankment with crushed-rock interlayer and perforated ventilation ducts can
602 effectively prevent permafrost thawing for an area where the mean annual air temperature
603 is -4.0 °C and air temperature increases by 0.052 °C/year¹⁴⁶. Although these approaches
604 increase the engineering cost, they can well adapt the underlying permafrost degradation
605 driven by long-term warming of climate.

606

607 Pipeline stability depends on its construction mode, elevated or buried, in permafrost areas.
608 Thaw settlement and subsequent frost heave often lead to pipeline deformation, even lose
609 pipeline service function^{147,148}. Heat removal techniques, for example, thermosyphons,
610 different air ventilated duct systems, and energy storage systems, are tested and used to
611 adjust and control the thermal regime of permafrost under the pipelines¹⁴⁹.

612

613 **Buildings and other vertical structures.** Proper characterization of ground ice content and
614 extent through rigorous geotechnical studies provides great advantages for the planning
615 and the design of foundations for vertical infrastructure, whether it is a house, industrial
616 facility, or an elevated road. Selection of construction site in areas of low thaw sensitivity
617 permafrost reduces risk of poor structure performance in a context of warming climate. A
618 good knowledge of permafrost characteristics and conditions is essential to reduce the risk
619 of foundation failure due to permafrost degradation^{27,38,150}. Complete understanding of the
620 subsurface conditions allows the selection of the appropriate foundation techniques^{38,140,151}.

621

622 During the Soviet time, rapid urbanization and industrialization of Russian permafrost areas
623 revolutionized permafrost research and engineering¹⁵². One of the most significant
624 developments was introduction of piling foundations (buildings are constructed on elevated

625 piles that are anchored in permafrost) in Norilsk in mid-1950s¹⁰⁹. Piling foundations allowed
626 to minimize the disturbance of permafrost due to construction and allowed to maintain the
627 permafrost temperature under the buildings as buildings provide shade in the summer and
628 have no snow accumulation in the crawl spaces in the winter therefore protecting the
629 permafrost¹⁵³. Natural ventilation of crawl spaces can be enhanced by various types of
630 passive or active cooling devices, such as thermosyphons. In QTP, pile foundation is usually
631 used by considering permafrost temperature, ground ice and sites conditions¹⁵⁴.
632 Thermosyphons are used to stabilize permafrost surrounding the piles, if required due to
633 impacts of climate warming or human activities leading to permafrost degradation¹⁵⁴.

634

635

636 Summary and future perspectives

637 Functional infrastructure is critical for sustainable development of Arctic and high-altitude
638 regions, but the integrity of constructions is jeopardized by degrading permafrost^{2,8,17,28}. The
639 extent of observed damages is considerable and is likely to increase under projected climate
640 change. It should be noted that a substantial proportion of permafrost degradation around
641 infrastructure is caused by the modification of the landscape and disturbance of thermal
642 equilibrium caused by the construction and maintenance of the structure, and not as a
643 result of climate change. In future, cumulative problems of infrastructure damage in
644 permafrost areas can be exacerbated owing to the increasing utilization of natural
645 resources, construction, and climate change. It has been estimated that from one-third to
646 more than half of fundamental circumpolar and high-altitude infrastructure could be at risk
647 by 2050 REF²⁰. Permafrost degradation-related infrastructure costs could rise to some tens
648 of billion US dollars by the mid and late century^{15,22,23,118,121}.

649

650 To effectively cope with climate change effects and support sustainable development in
651 permafrost areas, it is critical to firstly develop relevant data resources such as permafrost
652 characteristic, temperature and geotechnical monitoring with proper data archival and
653 exchange, secondly improve permafrost and geotechnical modelling across space and time,
654 thirdly comprehensively map permafrost hazards, fourthly evaluate the economic value of
655 constructions and natural resources at risk across the permafrost area, fifthly improve
656 infrastructure risk assessment approaches, sixthly develop new mitigation measures as well
657 as design and construction practices, and seventhly improve communication and
658 distribution of information among scientists and stakeholders (FIG. 7).

659

660 First, it is important to produce high-resolution geospatial data on climate (air temperature)
661 and ground conditions (ground ice content). Different datasets are currently collected by a
662 wide variety of scientists, governmental agencies, and other groups, but coordination and
663 harmonization of data products and accessible (open) publication of datasets need
664 improvements. Moreover, spatial resolution of climate, ground ice, surficial geology and
665 vegetation data are commonly too coarse or observations too scattered for high-resolution
666 modelling of permafrost. Forecasting the changes in environmental conditions for
667 infrastructure in permafrost area is particularly difficult due to the lack of long-term
668 monitoring data (for example ground temperatures in human-disturbed environments).
669 Thus, approaches that enable accurate mapping, monitoring, and prediction of fine-scale
670 climate and ground conditions across large spatio-temporal scales urgently require
671 development and subsequent integration into planning and construction methods on
672 permafrost.

673

674 Second, the forthcoming construction projects and infrastructure risk assessments would
675 significantly benefit from high-resolution process-based models of ground thermal regime
676 and of ground ice distribution applicable for large areas. Moreover, there is a practical need
677 for bridging the spatial gap between computationally expensive, short timescale
678 geotechnical models and coarse scaled land surface models. Results of REF¹⁵⁵ suggest that
679 current model-based approaches which do not explicitly consider engineered structures in
680 their designs are likely to underestimate the timing of future damage. Thus, further
681 improved models would be essential in assessing potential infrastructure damages under
682 climate change.

683

684 Third, engineering solutions to mitigate the effects of degrading permafrost exist but their
685 economic cost is high at regional scales. Consequently, high-resolution maps of permafrost
686 hazards are of importance to identify risk areas and make provision for mitigation
687 techniques, but detailed engineering solutions need to be based on detailed geotechnical
688 investigation. To identify areas of permafrost hazards process-based methods could be
689 applied at local and regional scales and geospatial data-based methodologies (remote
690 sensing and statistical modelling) at circumpolar scale. For example, detailed hazard maps
691 are needed in land use planning and could be used to identify risks related to the storage of
692 toxic substances (fuels, chemicals, and industrial waste products) to avoid environmental
693 pollution comparable to the diesel spill near the Norilsk City in the Taimyr region in May
694 2020 REF¹⁵⁶. Moreover, hazard maps are required in economic assessments.

695

696 Fourth, there is an urgent need for more detailed calculations of the costs associated with
697 permafrost degradation and its impacts on communities and infrastructure. However, lack
698 of comprehensive and readily available data on infrastructure attributes and location as well
699 as costs of construction and repair limit the development of such assessments. Further
700 complications arise from the general lack of long-term socio-economic and demographic
701 projections targeting permafrost regions. Improved permafrost projections, detailed hazard
702 maps and verified infrastructure databases with construction costs, will enable to assess the
703 economic impacts of permafrost degradation on infrastructure at a circumpolar scale and to
704 justify the cost of mitigation measures.

705

706 Fifth, future construction projects should be based on infrastructure risk assessment and
707 management approaches to minimize the risk of failure or poor infrastructure performance
708 under climate stress. Risk assessment can be used to determine the suitability of a project,
709 the appropriate design as well as appropriate maintenance practices. All decisions of this
710 nature are dependent upon the risk tolerance of the project stakeholders. The risk can be
711 reduced either by reducing the probability of occurrence of a hazard or by mitigating its
712 consequences. Mitigation methods reduce the probability of occurrence of permafrost
713 related hazards, compared to traditional design methods, by decreasing the likelihood of
714 permafrost degradation and its associated problems. Alternatively, intensive maintenance
715 can be a good management strategy to minimize the consequences of poor infrastructure
716 performance.

717

718 Sixth, new mitigation measures as well as design and construction concepts are needed to
719 control the thermal impacts of climate warming and engineering construction, especially for

720 discontinuous ice-rich warm-permafrost. It is also important to monitor the effects of
721 mitigation measures on ground thermal regime in different environmental and construction
722 settings. At the same time, new designs are considered to accommodate movements as the
723 permafrost destabilizes, especially for critical infrastructures, for example bridges, tunnels,
724 and large buildings. These creative ideas are critical important for high-speed railway and
725 express highway in permafrost areas under the impact of climate warming.

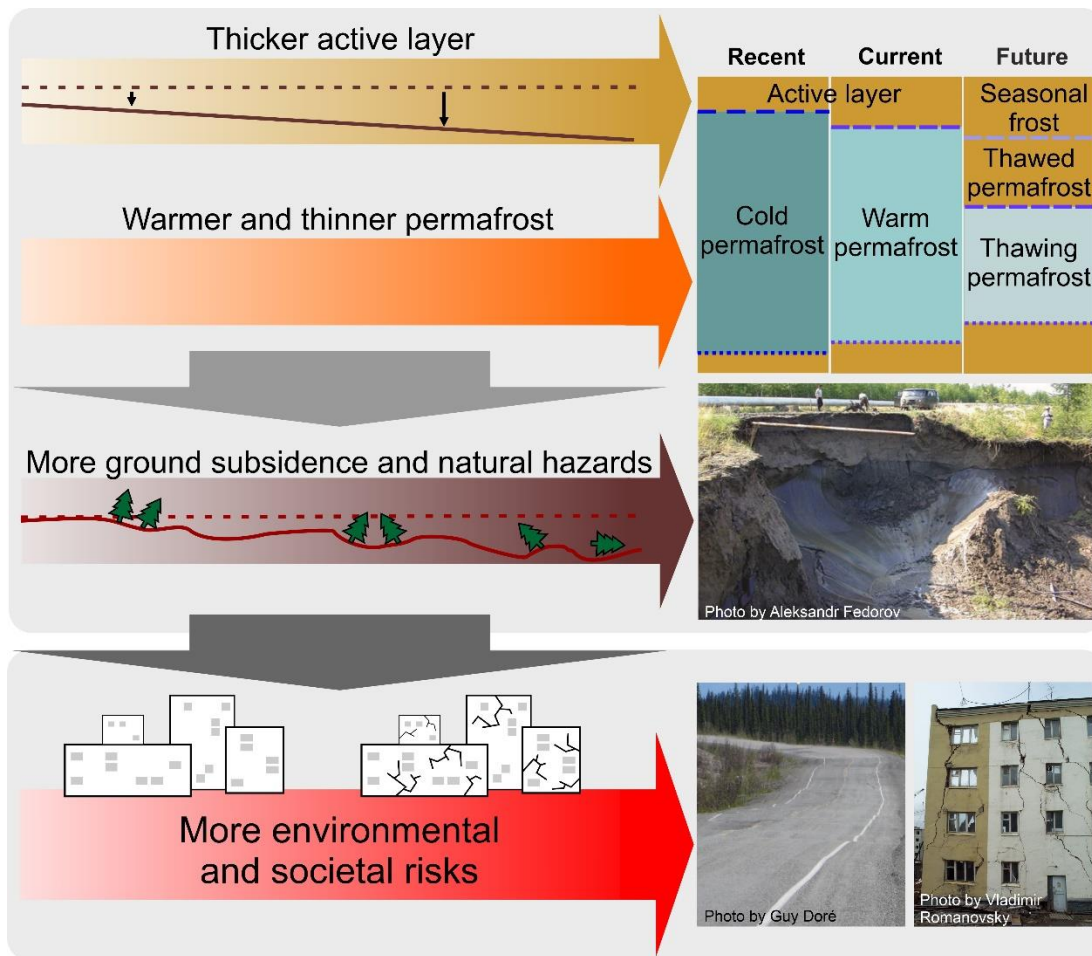
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727 Finally, more collaboration and better communication between scientists, local people and
728 authorities, industry, and governments are needed for promoting sustainable and resource-
729 efficient infrastructure in the future. Especially, scientists need to be more active in
730 distributing data and study results for engineers and decision-makers. A better dialog
731 between scientists and engineers would help to create design criteria that offer the best
732 alternatives for construction choices and maintenance options. Standardizing best practices
733 for planning, designing, and constructing infrastructure for permafrost conditions, now and
734 in the future, will help balance sustainable growth and development for local community
735 and wider stakeholder needs.

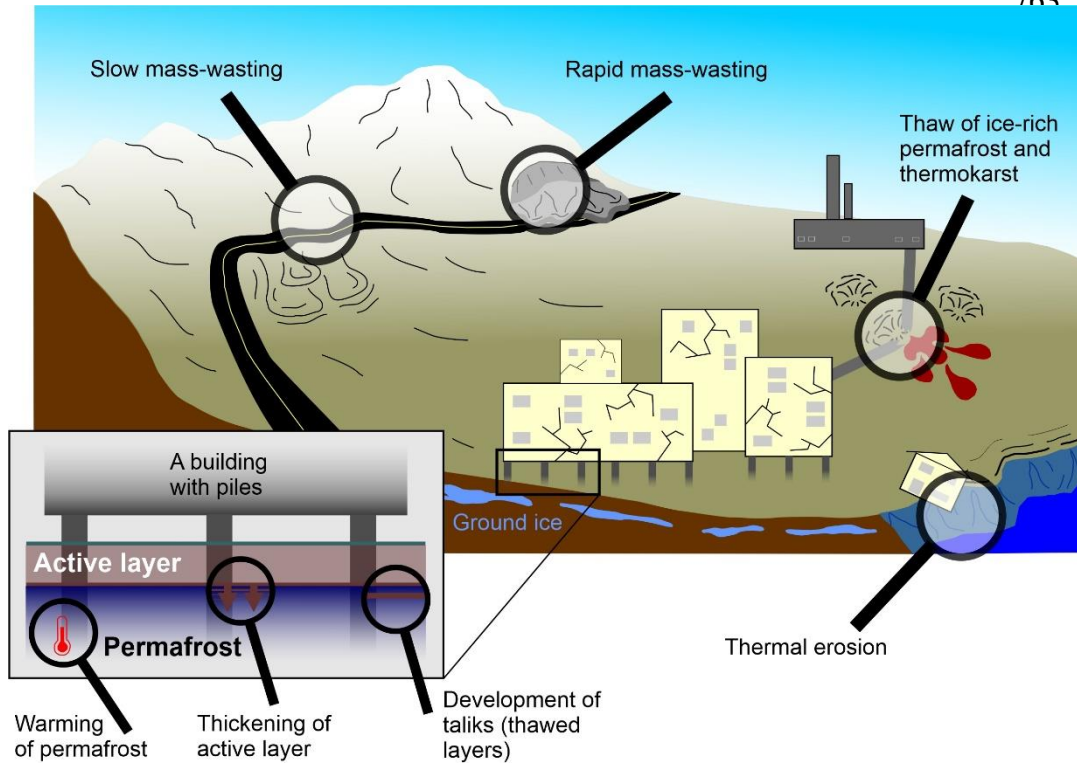
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737 In conclusion, to successfully manage climate change impacts in Arctic and high-altitude, a
738 better understanding is needed about which constructions are likely to be affected by
739 permafrost degradation, where they are located, and how to implement adaptive
740 management, considering the changing environmental conditions. Appropriate mitigation
741 measures are needed to secure existing infrastructure and future development projects,
742 and to protect the nature and societies from environmental disasters.

743 **Figure legends**



759 **Fig. 1. Degrading permafrost threatens environment and societies by damaging infrastructure.** A schematic
 760 presentation of degrading permafrost (thickening of active layer and warming and thawing of permafrost)
 761 causing natural hazards and environmental and societal risks such as damage of critical infrastructure in polar
 762 and high-altitude regions.



775

776

Fig. 2. Permafrost hazards damaging infrastructure. A schematic presentation of permafrost

777

degradation related natural hazards that threatens the integrity of critical infrastructure (roads,

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pipelines, buildings, and industrial facilities) in permafrost areas (see text for further details on

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permafrost hazards).



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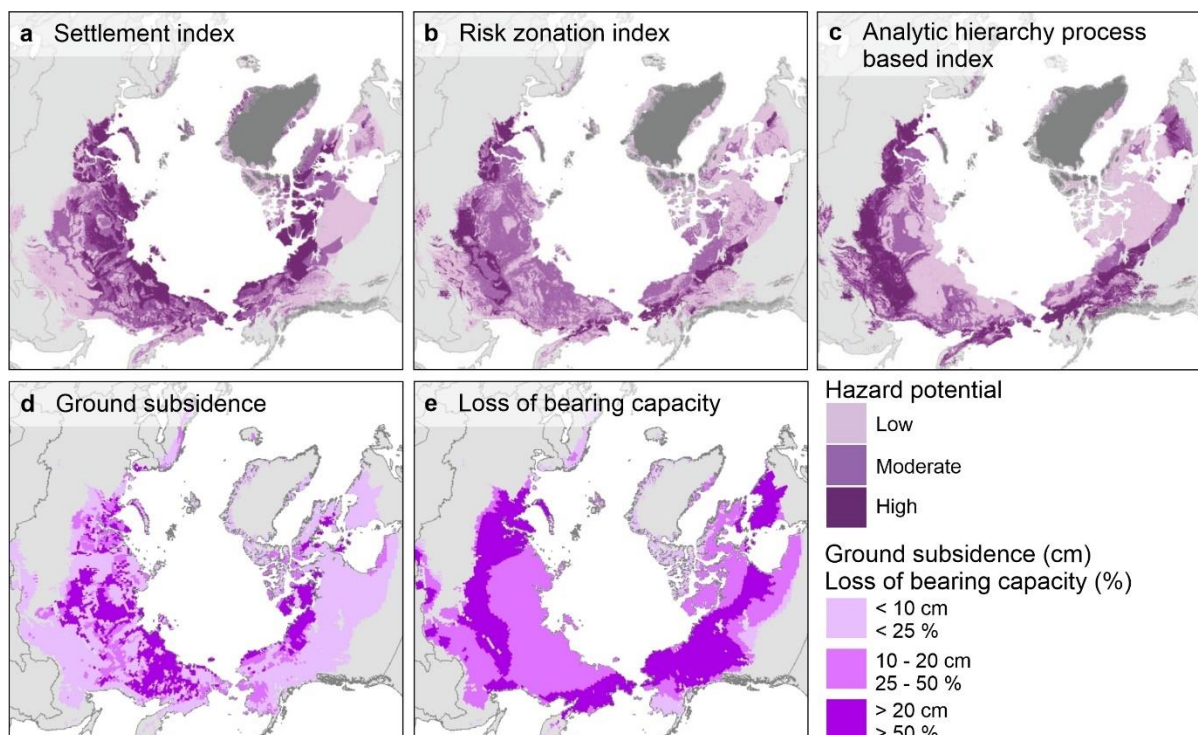
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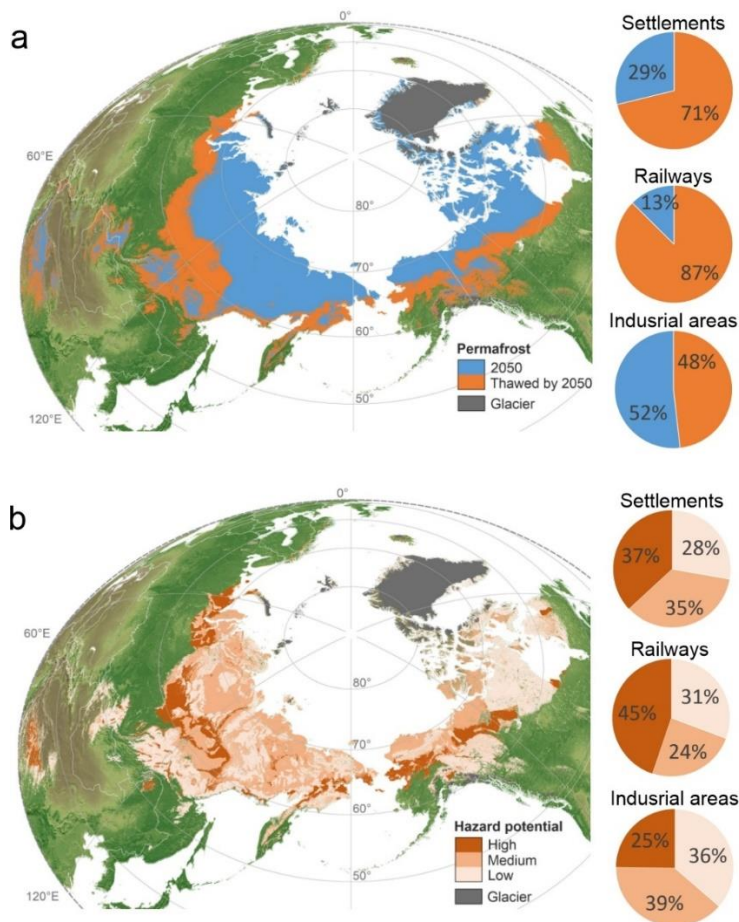
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Fig. 3. Infrastructure damages owing to degradation of permafrost. a and b Damaged buildings due to permafrost degradation in Yakutia, Russia (Photos by Ivan Khristoforov). **c** Below-ground pipeline in crossing an area with ice-rich permafrost and thermokarst development in Yamal-Nenets, Russia. **d** Longitudinal cracking due to shoulder rotation along the Alaska Highway in Yukon, Canada. **e** Thermokarst affecting partly the embankment of the Alaska Highway in the Yukon, Canada (Photo by Eva Stephani). **f** Sinkhole in the Iqaluit runway (Nunavut, Canada). **g** Embankment deformation of the Gongyu express highway, China (Photo by Chen Ji). **h** Collapsed bridge of Qinghai-Tibet Highway, China. **i** Longitudinal cracks of Qinghai-Tibet Highway, China.



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790

791 **Fig. 4. Geography of permafrost hazards across the circumpolar area.** Distribution of high-hazard areas
792 depend on the considered indices and environmental factors. Maps depict **a** settlement index¹¹⁵, **b** risk
793 zonation index¹¹⁵, **c** analytic hierarchy process (AHP) based index¹¹⁵, **d** ground subsidence²³, and **e** loss of
794 structure bearing capacity²³. Geohazard indices (**a–c**) show near-surface permafrost degradation related risks
795 to infrastructure under Representative Concentration Pathway (RCP) 4.5 scenario by the middle of the century
796 (2041–2060)^{20,115}. Settlement index (**a**) is computed based on the relative increase of active layer thickness and
797 ground ice content, risk zonation index (**b**) considers type of surface geology (sediment or bedrock), frost
798 susceptibility of ground material, ground ice content and permafrost thaw potential, and AHP based index (**c**)
799 is based on different factors with varying weights. The factors considered in AHP are ground temperature,
800 ground ice content, relative increase of active layer thickness, fine-grained sediment content and slope
801 gradient (see REF^{20,115} for further details). Modelled ground subsidence (**d**) and change in bearing capacity (**e**)
802 are shown between 2005–2010 and 2050–2059, under RCP8.5 scenario (for further details, see REF²³). World
803 Borders dataset is distributed under CC BY-SA 3.0 license (<https://creativecommons.org/licenses/by-sa/3.0/>)
804 on http://thematicmapping.org/downloads/world_borders.php.



819

820

Fig. 5. **Circumpolar infrastructure at risk by 2050**²⁰. **a** Proportion of settlements, railways, and industrial

821

infrastructure in areas of near-surface permafrost thaw (orange) and **b** hazard zones (shades of brown; high-

822

medium-low) based on Representative Concentration Pathway 4.5 scenario by the middle of the century

823

(2041–2060). In **b**, the hazard potential depicts permafrost degradation related risks of infrastructure damage

824

and the zones were determined based on a consensus of three different geohazard indices (see FIG. 4a–c).

825

Factors considered in the determination of hazard potential were relative increase of active layer thickness,

826

ground ice content, permafrost temperature and thaw, surficial ground materials, and slope gradient (see

827

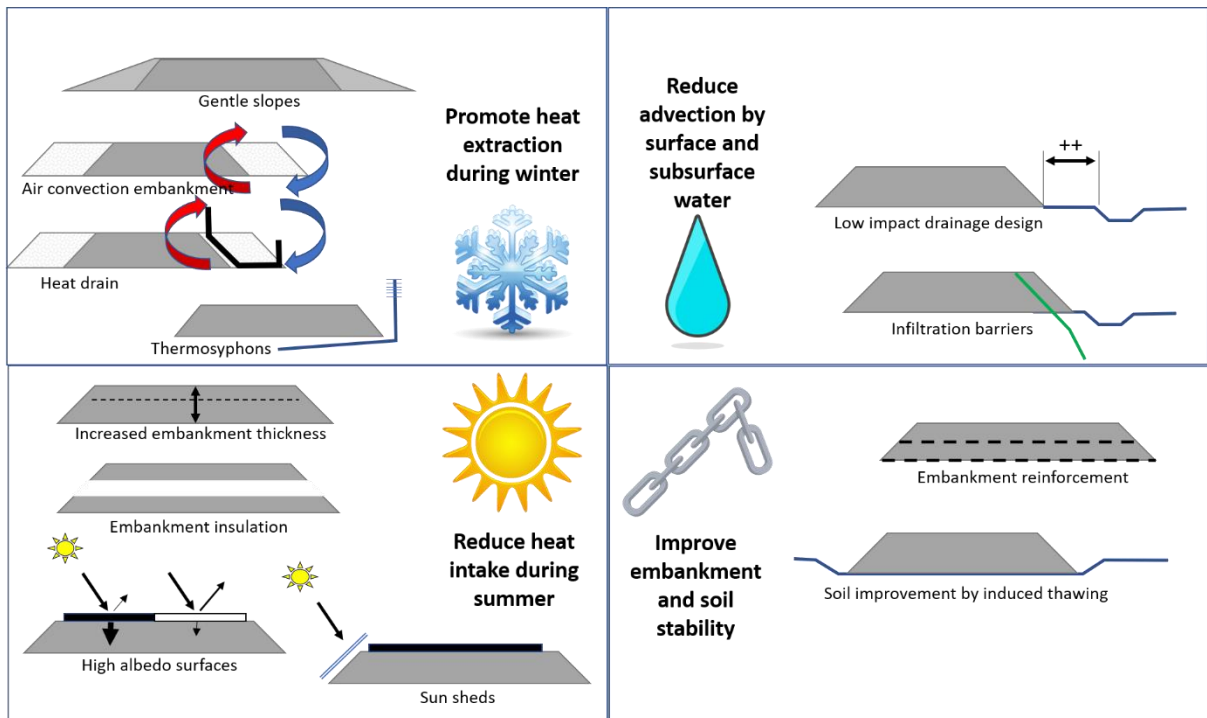
REF^{20,115} for further details). Owing to the fact that the effect of engineered structures on ground thermal

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regime and potential abrupt thaw of permafrost were not considered in the infrastructure risk computations in

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REF²⁰ the presented risk estimates can be conservative.

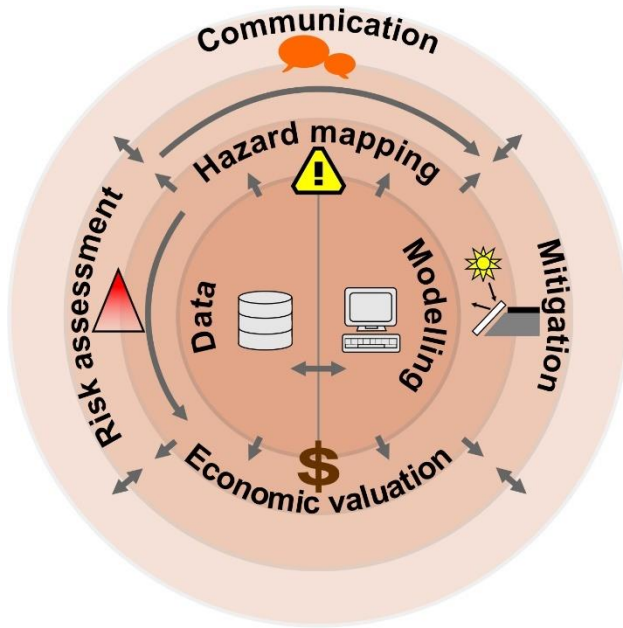


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831 Fig. 6. Schematic illustration summarizing different mitigation methods for transportation infrastructure.

832 Heat extraction in the winter can be promoted by preventing snow accumulation and its insulative effect
 833 (gentle slopes) or by enhancing heat transfer by using mechanisms such as convection (air convection
 834 embankment, heat drain or air ducts) or phase change (thermosyphons). Heat intake in soils during summer
 835 can be reduced by reducing heat transfer by solar radiation (modified surface albedo or sun sheds), or by
 836 impeding heat flux to permafrost using thick gravel layers or insulation boards. Advection from surface or
 837 subsurface water flow can be reduced by intercepting water at some distance from the embankment or by
 838 using impervious membranes. Finally, mechanical performance of embankments can be improved by using
 839 reinforcement layers or by using induced thawing to improve soil conditions. (Modified from REF¹⁵⁷)

840



852 Fig. 7. **Potential topics to support sustainable infrastructure in permafrost areas in the future.** A schematic
 853 presentation with simplified connections between issues that should be considered to secure existing and
 854 future infrastructure under climate change (see text for further details). For example, spatially and temporally
 855 high-resolution data of permafrost characteristics, including temperature and ground ice content are needed
 856 for mapping, planning, and construction purposes. Developed permafrost models could be used to assess
 857 infrastructure hazards and economic consequences of climate warming. Moreover, geotechnical models could
 858 be used in infrastructure risk assessments and when developing new mitigation methods for construction.

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1561 **Author contributions**

1562 J.H. and M.L. developed the content of the manuscript with contributions from D.S., G.D.,
1563 and Q.W. J.H. led the preparation of the manuscript with contribution from D.S., G.D., Q.W.,
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1567 **Competing interests**

1568 The authors declare no competing financial interests.

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1571 **Supplementary information**

1572 Supplementary information is available for this paper at...

1573 **Glossary**

1574 Permafrost: Ground with a temperature remaining at or below 0 °C for at least two
1575 consecutive years.

1576

1577 Warming of permafrost: An increase of permafrost temperature (ground temperature
1578 remains at or below 0 °C also after the warming).

1579

1580 Thaw of permafrost: Increase of permafrost temperature accompanied by melting of ground
1581 ice.

1582

1583 Infrastructure: Facilities with permanent foundations on ice-free land.

1584

1585 Natural hazard: A natural phenomenon that can have a negative effect on humans or the
1586 environment.

1587

1588 Ground ice: A general term referring to all types of ice contained in freezing and frozen
1589 ground.

1590

1591 Active layer: The layer of ground that is subject to annual thawing and freezing in areas
1592 underlain by permafrost.

1593

1594 Bearing capacity: The maximum load a soil or rock, frozen or unfrozen, can support from an
1595 applied load, within a defined measure of accepted strain (movement due to loading).

1596

1597 Bearing strength: The ability of a soil, sediment, or rock to support the direct application of a
1598 load or stress, either concentrated or diffused, and is measured in force.

1599

1600 Near-surface permafrost: Permafrost in the topmost ground layers (<10–15 m depth).

1601

1602 Mass-wasting: Downslope movement of soil or rock on, or near, the earth's surface under
1603 the influence of gravity.

1604

1605 Adfreeze: The process by which two objects are bonded together by ice formed between
1606 them.

1607

1608 Frost-jacking: Cumulative upward displacement of objects embedded in the ground, caused
1609 by frost action.

1610

1611 Permafrost creep: The slow deformation that results from long-term application of a stress
1612 too small to produce failure in the permanently frozen material.

1613

1614 Solifluction: Slow downslope flow of saturated unfrozen earth materials.

1615

1616 Retrogressive thaw slump: A slope failure resulting from thawing of ice-rich permafrost.

1617

1618 Active-layer detachment slide: A slope failure in which the thawed or thawing portion of the
1619 active layer detaches from the underlying frozen material.

1620

1621 Thermal erosion: The erosion of ice-bearing permafrost by the combined thermal and
1622 mechanical action of moving water.

1623

1624 Thermokarst: The process by which characteristic landforms result from the thawing of ice-
1625 rich permafrost or the melting of massive ice.

1626

1627 Bulk density: The weight of soil in a given volume.

1628

1629 Critical infrastructure: A general term for engineered structures (residential, transportation,
1630 and industrial) important for Arctic and high-altitude communities and the economy.

1631

1632 Sinkhole in permafrost: A small depression in the ground caused by collapse of the surface
1633 layer due to thaw of ice-rich permafrost.

1634

1635 Ice-wedge: A massive, generally wedge-shaped body with its apex pointing downward,
1636 composed of foliated or vertically banded ice.

1637

1638 Talik: A layer or body of unfrozen ground occurring in a permafrost area due to a local
1639 anomaly in thermal, hydrological, hydrogeological, or hydrochemical conditions.

1640

1641 Yedoma: An organic-rich permafrost with high ground ice content.

1642

- 1643 Excess ice: The volume of ice in the ground which exceeds the total pore volume that the
- 1644 ground would have under natural unfrozen conditions
- 1645
- 1646 Permafrost table: The upper boundary surface of permafrost.