

Article

# Benchmark Photoionization Cross-Sections of Neutral Scandium from the Ground and Excited States

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**Abstract:** The *B*-spline *R*-matrix method has been used to investigate cross-sections for photoionization of neutral scandium from the ground and excited states in the energy region from the 3d and 4s valence electron ionization thresholds to 25 eV. The initial bound states of Sc and the final residual Sc<sup>+</sup> ionic states have been accurately calculated by combining the multiconfiguration Hartree-Fock method with the frozen-core close-coupling approach. The lowest 20 bound states of Sc I belonging to the ground 3d4s<sup>2</sup> and excited 3d<sup>2</sup>4s, 3d<sup>2</sup>4p, 3d4s4p, 4s<sup>2</sup>4p, and 3d<sup>3</sup> configurations have been considered as initial states. The 81 *LS* final ionic states of Sc<sup>+</sup> belonging to the terms of 3p<sup>6</sup>3d<sup>2</sup>, 3p<sup>6</sup>3d4l (*l* = 0–3), 3p<sup>6</sup>3d5l (*l* = 0–3), 3p<sup>6</sup>3d6s, 3p<sup>6</sup>4s<sup>2</sup>, 3p<sup>6</sup>4s4l (*l* = 0–3), 3p<sup>6</sup>4s5l (*l* = 0–1), and 3p<sup>6</sup>4p<sup>2</sup> configurations have been included in the final-state close-coupling expansion. The cross-sections are dominated by complicated resonance structures in the low energy region converging to several Sc<sup>+</sup> ionic thresholds. The inclusion of all these final ionic states has been noted to significantly impact the near-threshold resonance structures and background cross-sections. The important scattering channels for leaving the residual ion in various final states have been identified, and the 3d electron ionization channels have been noted to dominate the cross-sections at higher photon energies.



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## 1. Introduction

The iron-group elements (*Z* = 21–28) have a high abundance in many astronomical objects, including metal-poor stars, HII regions, and gaseous nebulae. Highly accurate atomic data are important for the analysis of stellar and nebular spectra to determine physical conditions and elemental abundances. Atomic data such as transition probabilities, electron excitation collision strengths, and photoionization cross-sections are needed to develop a reliable non-local thermodynamical equilibrium (NLTE) model of stellar atmospheres. A comprehensive atomic model is required, for NLTE modeling calculations, that includes atomic and ionic states of the elements under consideration. Under NLTE conditions, the atomic populations are described by a set of statistical equilibrium equations by taking into account radiative and collisional processes. The partial photoionization cross-sections from the ground and excited states are normally needed for detailed NLTE modeling. Over the last few decades, reliable transition probabilities have been measured by several experimental groups for the important transitions in iron-group elements, but there is a lack of experimental studies on the cross-sections due to collisions of electrons and photons. The majority of atomic data of increasing accuracy have been produced through theoretical and computational efforts. Recently we have provided improved computational transition probabilities, electron impact excitation collision strengths, and photoionization cross-sections for Fe I and Fe II [1,2], Cr I and Cr II [3,4], and Ti II [5] for a wide array

of transitions to fulfill some of the atomic data needs for the modeling of astrophysical plasmas.

Scandium, with the ground state  $3d4s^2\ ^2D$ , is the simplest  $3d$  element of the iron-group. There is an intrinsic physical interest in the iron-group elements because of the competition between  $4s$  and  $3d$  orbitals and because the  $3d$  orbitals are the first to be subjected to a collapse phenomenon. When collapse occurs, the  $3d$  electrons become inner electrons, located inside the  $4s$  electrons. This leads to a very strong interaction with core orbitals making the theoretical calculations complicated, and provides a good understanding of many-electron dynamics. Most studies are devoted to the inner shell  $3p$  photoionization, which leads to a series of strong resonances in the photon energy region from 29 to 40 eV (for references, see review of Martins et al. [6]). Scandium did not receive much attention from both theory and experiment compared to other iron-group elements for the valence electron photoionization. The low-energy photoionization of the  $3d$  and  $4s$  valence electrons is of particular importance in the 3-dimensional NLTE modeling of stellar atmospheres. Several Sc I ( $Z = 21$ ) spectral lines have been observed in stellar and nebular objects [7,8]. Significant discrepancies for the abundance of scandium have been found between the meteoritic abundance and solar photospheric value [9,10].

The valence shell excitation of Sc I was first analysed by Robicheaux and Greene [11,12]. They described the Sc I photoabsorption in the wavelength range from 170 to 190 nm (6–7 eV) using the eigenchannel  $R$ -matrix method [13]. These studies provide details of the positions and widths of several autoionizing states, however, they do not provide total or partial cross-sections for use in the plasma modelling. Altun and Manson [14] performed photoionization calculations of the ground state of Sc I in the photon energy region from threshold to 60 eV using the many-body perturbation theory (MBPT) based on the close-coupling approximation for final state channels and the multi-configuration Hartree–Fock (MCHF) approach for energies. Their close-coupling expansion for the final ionic states of Sc II contain only three  $3d4s\ ^3D$ ,  $\ ^1D$ , and  $4s^2\ ^1S$  terms describing the valence  $3d$  and  $4s$  excitation in single-electron approximation. It represents only a small part of the Sc I spectrum and raises concerns about the convergence of the results. The use of approximate empirical formulae to generate atomic data may introduce significant uncertainties. The available atomic-structure computer codes and modern computational facilities allow one to generate atomic data sets routinely with sufficient accuracy. The collections of photoionization cross-sections can be found in several on-line atomic databases, e.g., TOPbase (<http://cdsweb.u-strasbg.fr/topbase/topbase.html> (accessed on 14 July 2019)) or NORAD-Atomic-Data (<https://norad.astronomy.osu.edu/#intro> (accessed on 14 July 2019)). Absence of the Sc I photoionization cross-section data in these popular databases calls for further calculations. The purpose of the present work is to provide a new set of sufficiently accurate computational partial and total photoionization cross-sections of Sc I from both the ground and excited states for NLTE modeling of the astrophysical objects.

Our work is based on the  $B$ -spline  $R$ -matrix (BSR) method [15] in  $LS$  approximation. The reliable photoionization calculation needs an accurate description of Sc I bound states as well as that of the final continuum states and Sc II ionic states. A variety of correlation and relaxation effects need to be included for an accurate description of all these states. The target wave functions were improved by using flexible nonorthogonal orbitals for accounting for the term dependence of valence orbitals and for representing correlation and relaxation effects. The multiconfiguration Hartree-Fock method [16], together with the  $B$ -spline box-based multichannel approach [17,18], were used to accurately determine correlation corrections. The  $B$ -spline basis was used for the description of continuum functions and we did not impose any orthogonality constraint between continuum functions, and the valence spectroscopic and correlation orbitals. Thus, the possible inconsistencies between the continuum and bound parts of the close-coupling plus correlation expansions were avoided. This allowed us to improve the correct positions and magnitudes of near-threshold resonances.

We have investigated photoionization of valence  $3d$ ,  $4s$ , and  $4p$  electrons from the atomic scandium initial bound terms of the  $3d4s^2$ ,  $3d^24s$ ,  $3d4s4p$ ,  $3d^24p$ ,  $4s^24p$ , and  $3d^3$  configurations giving rise to various final states of singly-ionized scandium. We have included the first 81  $LS$  final ionic states up to  $4s6s$  in the close-coupling expansion to calculate the likely converged photoionization cross-sections. Our calculation includes many Rydberg series of resonances converging to these ionic thresholds. We have included electron correlation effects both in the initial bound and final continuum states in a consistent manner to ensure accurate representation of resonances. Our calculation includes the important photoionization process in which the photoelectron is ejected and a second electron is allowed to be excited. We have found that the inclusion of all these final Sc II states has considerable impact on the resonance structures. They also have significant influence on the energy dependence of the photoionization cross-sections from the excited states.

## 2. Computational Methods

### 2.1. Calculation of Target States Wave Functions

The low-energy spectrum of Sc I can be considered as a three-electron system outside the  $1s^22s^22p^63s^23p^6$  closed core. The correlation between valence electrons is expected to be important. However, there is another complication due to proximity of the  $3d$  orbital to the core orbitals. It is supposed to produce strong interaction with the core orbitals as well as strong correlation effects for the  $3d$  wave function. Therefore, we can not restrict the atomic state expansions to configurations with a closed core, and should introduce the configurations with excited core orbitals. The present calculations of the Sc I atomic wave functions have been performed using the MCHF code of Froese Fischer et al. [16], together with the configuration-interaction code [17]. Our initial configuration expansions for Sc I contain all one, two, and three valence  $3d$ ,  $4s$ , and  $4p$  electrons excitations to the  $5l$  and  $6l$  ( $l = 0-4$ ) correlated orbitals, along with the one and two electron excitations of the  $3s$  and  $3p$  core orbitals to the spectroscopic  $3d$ ,  $4s$ , and  $4p$  orbitals. Each atomic state has been considered in separate MCHF calculations, with individual term-dependent sets of the valence and correlation nonorthogonal orbitals. This speeds up the convergence of atomic state expansions, however, this procedure still leads to the extremely large expansions with tens of thousands of individual configurations. Our strategy has been to include significant electron correlation effects by properly choosing important configurations. All initial atomic expansions were restricted by the cut-off parameters in the range from 0.010 to 0.025 for the various states due to different convergence rates for individual states. As a result, the final configuration expansions included all significant correlation effects and have been found to be of manageable size in the photoionization calculations. The  $LS$  initial states of Sc I in the present work were represented by configuration expansions of sizes between 400 and 1200.

We compare our calculated  $LS$  excitation energies for the 20 bound states of neutral scandium considered in the present photoionization calculations with the experimental excitation energies from the NIST compilation [19] in Table 1. It is clear from the comparison that our approach described above gives very accurate theoretical energies. The agreement with the observed  $LS$  energies is better than 35 meV for all 20 bound states. However, we have used experimental binding energies for the scandium states in our photoionization calculations to further improve the positions of close-to-threshold resonances.

The final ionic states of Sc II in the present calculations were produced by combining the multi-configuration Hartree-Fock and the  $B$ -spline box-based close-coupling methods [17]. Specifically, the structure of the multi-channel target expansion was chosen as:

$$\begin{aligned}
\Phi^{LS\pi} &= \sum_{nl} \left\{ \phi(3p^6 3d) P(nl) \right\}^{LS\pi} + \sum_{nl} \left\{ \phi(3p^6 4s) P(nl) \right\}^{LS\pi} \\
&+ \sum_{nl} \left\{ \phi(3p^6 4p) P(nl) \right\}^{LS\pi} + a \varphi(3p^6 3d^2)^{LS\pi} \\
&+ b \varphi(3p^6 3d 4s)^{LS\pi} + c \varphi(3p^6 3d 4p)^{LS\pi} \\
&+ d \varphi(3p^6 4s^2)^{LS\pi} + e \varphi(3p^6 4p^2)^{LS\pi}.
\end{aligned} \tag{1}$$

In the above equation, the orbital of the outer valence electron is denoted by  $P(nl)$ . The configuration interaction (CI) expansions of the corresponding ionic Sc III or specific atomic Sc II states are denoted by the  $\phi$  and  $\varphi$  functions, respectively. The CI expansions for each state have been produced in separate MCHF calculations. Equation (1) represents the entire  $3dnl$ ,  $4snl$ , and  $4pnl$  Rydberg series in singly ionized scandium. We employed separate CI expansions for the  $3d^2$  or  $4s^2$  states with equivalent electrons and included relaxation and term-dependence by generating state-specific nonorthogonal one-electron orbitals. The same procedure has also been adopted for the low-lying  $3d4s$  and  $3d4p$  states. The core-valence correlation is determined by the CI expansion of the ionic  $3s^2 3p^5 3d$ ,  $4s$ ,  $4p$  states. These expansions include all one electron and two electrons promotions from the  $3s$  and  $3p$  spectroscopic orbitals to the  $4l$  and  $5l$  ( $l = 0-3$ ) correlation orbitals. We have kept the CI expansions to a reasonable size by imposing a cut-off parameter of 0.01 on the coefficients of configurations and obtained an agreement within 0.01 eV between the calculated and experimental [19] values of ionization potentials.

The outer valence electron functions  $P(nl)$  have been expanded in a  $B$ -spline basis. These functions have been subjected to the condition that they become almost zero at the boundary. The  $R$ -matrix radius was set to  $30 a_0$ , where  $a_0$  denotes the Bohr radius. This radial range was spanned by 105  $B$ -splines of order eight using a semi-exponential knot grid. The  $B$ -spline coefficients for the valence electron functions  $P(nl)$  and that for the perturbers have been determined by diagonalization of the  $LS$  Hamiltonian. All perturber states in the expansion (1) were generated through separate MCHF calculations, in the same way as the initial Sc I wave functions discussed above. We included the most important correlation effects for the target states by keeping the target expansions to a reasonable size. This was achieved by retaining only significant configurations in the CI expansions with coefficients in the range from 0.015 to 0.030, or larger. The semi-empirical corrections were then applied by adjusting the theoretical  $LS$  energies to experimental values. The cut-off parameters have been varied to take a balanced account of correlation effects in the initial bound states of neutral scandium and the residual singly-ionized scandium. The balance in the correlation effects between the initial bound and final continuum states allowed us to determine the resonance structures accurately in the threshold regions. We were able to include from 200 to 400 configurations for each state in our computational calculations.

We included 81  $LS$  final ionic states of  $\text{Sc}^+$  belonging to the terms of the  $3p^6 3d^2$ ,  $3p^6 3d 4l$  ( $l = 0-3$ ),  $3p^6 3d 5l$  ( $l = 0-3$ ),  $3p^6 3d 6s$ ,  $3p^6 4s^2$ ,  $3p^6 4s 4l$  ( $l = 0-3$ ),  $3p^6 4s 5l$  ( $l = 0-1$ ), and  $3p^6 4p^2$  configurations. Table 2 lists the calculated energies for the lowest 81  $LS$  terms of  $\text{Sc}^+$  and compares the predicted results with the experimental values. As seen from Table 2, the agreement between the predicted and observed  $LS$  energies is better than 0.1 eV for most states, except for some  $4p^2$  and  $3d 4d$  states where the convergence was found to be very slow. A reasonable agreement between the calculated and experimental values supports the good quality of final ionic state wave function expansions.

**Table 1.** The Sc I target state excitation energies (in eV).

Index	Configuration	Term	NIST	Present	Diff.	Index	Configuration	Term	NIST	Present	Diff.
1	3d4s <sup>2</sup>	<sup>2</sup> D	0.00000	0.00000	0.000	11	3d <sup>2</sup> ( <sup>1</sup> G)4s	<sup>2</sup> G	2.49669	2.49141	−0.005
2	3d <sup>2</sup> ( <sup>3</sup> F)4s	<sup>4</sup> F	1.42694	1.43557	0.009	12	3d <sup>2</sup> ( <sup>3</sup> P)4s	<sup>2</sup> P	2.55482	2.55626	0.001
3	3d <sup>2</sup> ( <sup>3</sup> F)4s	<sup>2</sup> F	1.84628	1.85528	0.009	13	3d4s( <sup>1</sup> D)4p	<sup>2</sup> F <sup>o</sup>	2.59897	2.56998	−0.029
4	3d4s( <sup>3</sup> D)4p	<sup>4</sup> F <sup>o</sup>	1.95594	1.96443	0.008	14	3d4s( <sup>1</sup> D)4p	<sup>2</sup> P <sup>o</sup>	3.04454	3.04465	0.000
5	3d4s( <sup>3</sup> D)4p	<sup>4</sup> D <sup>o</sup>	1.98759	2.02268	0.035	15	3d4s( <sup>3</sup> D)4p	<sup>2</sup> D <sup>o</sup>	3.08150	3.07788	−0.004
6	3d4s( <sup>1</sup> D)4p	<sup>2</sup> D <sup>o</sup>	1.97772	1.97608	−0.002	16	3d4s( <sup>3</sup> D)4p	<sup>2</sup> F <sup>o</sup>	3.16949	3.17757	0.008
7	3d <sup>2</sup> ( <sup>1</sup> D)4s	<sup>2</sup> D	2.09741	2.09541	−0.002	17	3d <sup>2</sup> ( <sup>1</sup> S)4s	<sup>2</sup> S	3.32724	3.31765	−0.010
8	3d <sup>2</sup> ( <sup>3</sup> P)4s	<sup>4</sup> P	2.12946	2.11840	−0.011	18	3d <sup>2</sup> ( <sup>3</sup> F)4p	<sup>4</sup> G <sup>o</sup>	3.60522	3.62726	0.022
9	3d4s( <sup>3</sup> D)4p	<sup>4</sup> P <sup>o</sup>	2.28634	2.27636	0.010	19	3d <sup>2</sup> ( <sup>3</sup> F)4p	<sup>2</sup> G <sup>o</sup>	4.09246	4.09617	0.004
10	4s <sup>2</sup> 4p	<sup>2</sup> P <sup>o</sup>	2.31931	2.29476	−0.025	20	3d <sup>3</sup>	<sup>4</sup> F	4.18382	4.19027	0.006

**Table 2.** The Sc II target state excitation energies (in eV).

Index	Configuration	Term	Present	NIST	Diff.	Index	Configuration	Term	Present	NIST	Diff.
1	3d4s	<sup>3</sup> D	0.00000	0.00000	0.000	42	3d4f	<sup>1</sup> H <sup>o</sup>	9.39693	9.39977	−0.003
2	3d4s	<sup>1</sup> D	0.31767	0.30196	0.016	43	3d4f	<sup>3</sup> P <sup>o</sup>	9.40402	9.40163	0.002
3	3d2	<sup>3</sup> F	0.59227	0.59556	−0.003	44	3d4f	<sup>1</sup> P <sup>o</sup>	9.41875	9.41880	−0.000
4	3d2	<sup>1</sup> D	1.36353	1.34387	0.020	45	4p2	<sup>1</sup> D	9.46731	9.21547	0.252
5	3d2	<sup>3</sup> P	1.48190	1.49058	−0.009	46	3d6s	<sup>3</sup> D	9.60683	9.57157	0.035
6	4s2	<sup>1</sup> S	1.51860	1.44204	0.077	47	3d6s	<sup>1</sup> D	9.71856	9.63709	0.081
7	3d2	<sup>1</sup> G	1.77215	1.75510	0.017	48	3d5d	<sup>1</sup> F	9.72935	9.70654	0.023
8	3d2	<sup>1</sup> S	3.20710	3.20495	0.002	49	3d5d	<sup>3</sup> D	9.74595	9.72732	0.019
9	3d4p	<sup>1</sup> D <sup>o</sup>	3.24791	3.22059	0.027	50	3d5d	<sup>1</sup> P	9.75389	9.75160	0.002
10	3d4p	<sup>3</sup> F <sup>o</sup>	3.41384	3.41720	−0.003	51	3d5d	<sup>3</sup> G	9.76721	9.74943	0.018
11	3d4p	<sup>3</sup> D <sup>o</sup>	3.49953	3.46664	0.033	52	3d5d	<sup>3</sup> S	9.78282	9.80326	−0.020
12	3d4p	<sup>3</sup> P <sup>o</sup>	3.70783	3.68003	0.028	53	4p2	<sup>3</sup> P	9.78928	9.46857	0.321
13	3d4p	<sup>1</sup> P <sup>o</sup>	3.79382	3.80758	−0.014	54	4s5s	<sup>3</sup> S	9.89716	9.69063	0.207
14	3d4p	<sup>1</sup> F <sup>o</sup>	4.00081	3.99781	0.003	55	3d5d	<sup>3</sup> F	9.94269	9.89828	0.044
15	4s4p	<sup>3</sup> P <sup>o</sup>	5.18174	4.85089	0.331	56	3d5d	<sup>1</sup> D	10.00266	9.95468	0.048
16	4s4p	<sup>1</sup> P <sup>o</sup>	7.12477	6.89474	0.230	57	3d5d	<sup>1</sup> G	10.03374	9.99390	0.040
17	3d5s	<sup>3</sup> D	7.24090	7.13614	0.105	58	3d6p	<sup>1</sup> D	10.07017		
18	3d5s	<sup>1</sup> D	7.31687	7.20926	0.108	59	3d6p	<sup>3</sup> D	10.09479		
19	3d4d	<sup>1</sup> F	7.38925	7.36750	0.022	60	3d6p	<sup>3</sup> F	10.09940		
20	3d4d	<sup>3</sup> D	7.43957	7.42007	0.020	61	3d5d	<sup>3</sup> P	10.12891	10.03810	0.091
21	3d4d	<sup>1</sup> P	7.46611	7.47562	−0.010	62	3d5d	<sup>1</sup> S	10.13816		
22	3d4d	<sup>3</sup> G	7.49140	7.47205	0.019	63	3d6p	<sup>3</sup> P	10.14128		
23	3d4d	<sup>3</sup> S	7.57644	7.55881	0.018	64	3d6p	<sup>1</sup> F	10.16611		
24	3d4d	<sup>3</sup> F	8.00500	7.85547	0.150	65	3d6p	<sup>1</sup> P	10.17914		
25	3d4d	<sup>1</sup> D	8.12623	7.96737	0.159	66	4s5s	<sup>1</sup> S	10.28326	10.04474	0.239
26	3d4d	<sup>3</sup> P	8.17583	8.00574	0.170	67	4s4d	<sup>3</sup> D	10.44663	10.25450	0.192
27	3d4d	<sup>1</sup> G	8.20799	8.07516	0.133	68	3d5f	<sup>1</sup> G <sup>o</sup>	10.57951	10.56946	0.010
28	3d5p	<sup>1</sup> D <sup>o</sup>	8.24316	8.17588	0.067	69	3d5f	<sup>3</sup> F <sup>o</sup>	10.58667	10.59752	−0.011
29	3d5p	<sup>3</sup> D <sup>o</sup>	8.30766	8.23368	0.074	70	3d5f	<sup>3</sup> G <sup>o</sup>	10.59359	10.59578	−0.002
30	3d5p	<sup>3</sup> F <sup>o</sup>	8.31475	8.24495	0.070	71	3d5f	<sup>1</sup> F <sup>o</sup>	10.59850	10.60277	−0.004
31	3d5p	<sup>3</sup> P <sup>o</sup>	8.40630	8.33671	0.070	72	3d5f	<sup>1</sup> D <sup>o</sup>	10.60119	10.59479	0.006
32	3d5p	<sup>1</sup> F <sup>o</sup>	8.47378	8.38607	0.088	73	3d5f	<sup>3</sup> H <sup>o</sup>	10.60287	10.59402	0.009
33	3d4d	<sup>1</sup> S	8.52566	8.32071	0.205	74	3d5f	<sup>3</sup> D <sup>o</sup>	10.60897	10.60811	0.001
34	3d5p	<sup>1</sup> P <sup>o</sup>	8.56150	8.47960	0.082	75	3d5f	<sup>3</sup> P <sup>o</sup>	10.62363	10.62377	−0.000
35	3d4f	<sup>1</sup> G <sup>o</sup>	9.32220	9.31319	0.009	76	3d5f	<sup>1</sup> H <sup>o</sup>	10.62470	10.62692	−0.002
36	3d4f	<sup>3</sup> F <sup>o</sup>	9.33504	9.33524	−0.000	77	3d5f	<sup>1</sup> P <sup>o</sup>	10.63105		
37	3d4f	<sup>3</sup> G <sup>o</sup>	9.34062	9.33560	0.005	78	4s4d	<sup>1</sup> D	11.36043	11.13809	0.222
38	3d4f	<sup>1</sup> F <sup>o</sup>	9.35045	9.35423	−0.004	79	4p2	<sup>1</sup> S	12.08931		
39	3d4f	<sup>1</sup> D <sup>o</sup>	9.36291	9.35899	0.004	80	4s6s	<sup>3</sup> S	12.60138		
40	3d4f	<sup>3</sup> H <sup>o</sup>	9.36909	9.36413	0.005	81	4s6s	<sup>1</sup> S	12.77644		
41	3d4f	<sup>3</sup> D <sup>o</sup>	9.37282	9.37142	0.001						

### 2.2. PhotoIonization Calculations

The present photoionization calculations have been performed using the parallelized version of the *BSR* code [15] based on the *R*-matrix method to solve the close-coupling equations. An additional description of the *BSR* method can be found in the review by Zatsarinny and Bartschat [20]. The continuum wave functions in the inner region,  $r \leq a$  have been described by the *B*-splines as a universal basis. The *R*-matrix expansion in the inner region is represented as:

$$\begin{aligned} \Psi_k(x_1, \dots, x_{N+1}) = & \mathcal{A} \sum_{ij} \bar{\Phi}_i(x_1, \dots, x_N; \hat{\mathbf{r}}_{N+1} \sigma_{N+1}) r_{N+1}^{-1} B_j(r_{N+1}) a_{ijk} \\ & + \sum_i \chi_i(x_1, \dots, x_{N+1}) b_{ik}. \end{aligned} \tag{2}$$

In this equation  $\mathcal{A}$  denotes the antisymmetrization operator, the channel functions and continuum wave functions are described by  $\bar{\Phi}_i$  and the splines  $B_j(r)$ , respectively. The *B*-splines form a complete basis and the coefficient of the last spline provides the amplitudes of the wave functions at the boundary. Only limited orthogonality conditions are imposed between the bound and continuum wave functions. We imposed orthogonality of the continuum orbitals to the bound orbitals in the closed 1s, 2s, 2p, 3s, and 3p subshells in the present calculations. No orthogonality constraints were imposed between the continuum wave functions and other spectroscopic or correlated orbitals. Thus, we were able to avoid the  $(N + 1)$ -electron configurations of  $\chi_i$  in the second part of Equation (2), and were able to keep a balance between the scattering and bound parts of the close-coupling expansions. This allowed us to avoid the pseudo-resonance structure in the photoionization cross-sections.

In *R*-matrix theory, the photoionization cross-section is defined through the dipole matrix elements between the initial state  $\Psi_0$  and the *R*-matrix basis states  $\Psi_k$ , provided that all radial orbitals of the initial state are well confined to the inner region. The total photoionization cross-section (in  $a_0^2$ ) for a photon energy  $\omega$  (in Rydberg) and an initial state with total orbital angular momentum  $L_0$  is given by:

$$\sigma(\omega) = \frac{8}{3} \pi^2 \alpha \omega^{\pm 1} \frac{1}{(2L_0 + 1)} \sum_j |(\Psi_j^- \| D \| \Psi_0)|^2, \tag{3}$$

where  $\alpha \approx 1/137$  is the fine-structure constant and  $D$  is the electric dipole operator. The powers of  $\omega$  (+1 or  $-1$ ) correspond to the length and velocity formulations of the photoionization cross-sections, respectively. The index  $j$  runs over the various open channels. The solutions  $\Psi_j^-$  correspond to asymptotic conditions with a plane wave in the direction of the ejected electron momentum  $k$  and ingoing waves in all open channels. The radial wave function of the scattered electron has been generated by using the program ASYPCK [21].

### 3. Results and Discussion

The total photoionization cross-sections from the ground  $3d4s^2 \ ^2D$  state of scandium are shown in Figure 1a in the photon energy region from the  $\text{Sc}^+ \ 3d4s \ ^3D$  ionization threshold to 26 eV. It is clear from the figure that the resonance structures dominate total photoionization cross-sections, especially in the low energy region. A fine energy grid of  $1.36 \times 10^{-3}$  eV has been employed from the  $\text{Sc}^+$  first ionization threshold at 6.56 eV to the highest ionization threshold around 19 eV to account for resonances. There are many narrow and strong resonance series converging to various residual  $\text{Sc}^+$  ionic thresholds. The nonlocal thermodynamic equilibrium modeling calculations require the partial photoionization cross-sections for leaving the residual ion in various states. The photoionization of Sc populates many residual final ionic states. Our calculations exhibit no dominant ionic channel in the photoionization of a given initial state. It is due to the complex  $\text{Sc}^+$  atomic structure. The partial photoionization cross-sections from the ground  $3d4s^2 \ ^2D$

state, for leaving the residual  $\text{Sc}^+$  ion in the final states of the  $4s^2$ ,  $3d^2$ ,  $3d4s$ , and  $4s4p$ , are presented in Figure 1b–e, whereas Figure 1f presents the contribution from all other states of  $\text{Sc}^+$ . The summed partial cross-sections from various states of  $\text{Sc}^+$  belonging to a given configuration are presented in Figure 1, as there are a large number of final ionic states.

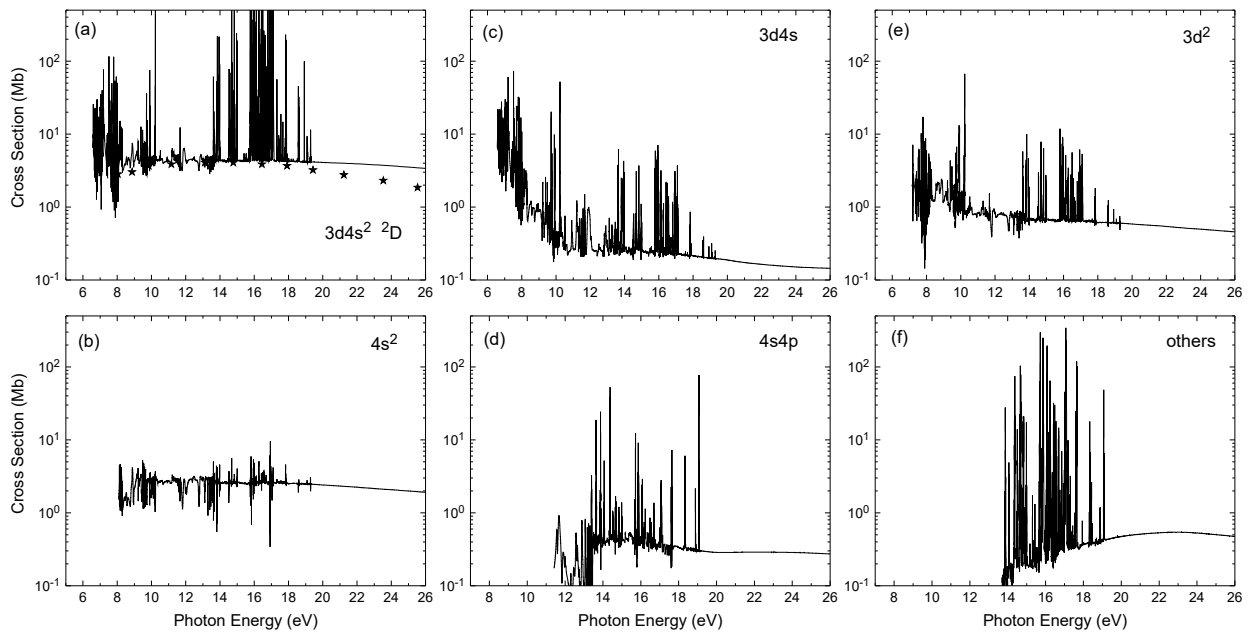
It is clear from Figure 1 that the dominant channels in the photoionization of the ground state  $3d4s^2\ ^2D$ , in the near-threshold region are due to photoionization of the  $4s$  electron leaving the  $\text{Sc}^+$  ion in the final states of  $3d4s$  configuration. As seen from the figure, the cross-sections rapidly decrease with increasing photon energy. The  $3d$  ionization channels become available above 8.08 eV and ionization to  $4s^2$  final ionic states becomes dominant. These channels contribute about 50% to the magnitude of the total photoionization cross-sections at higher energies. As shown in the other panels, ionization with another electron excitation to the  $3d^2$  and  $4s4p$  final ionic states is also significant. This reflects strong close-coupling effects as well as strong configurations mixing in the atomic and ionic states. As seen from Figure 1f, the photoionization to the highly excited state, above 14 eV, is also important for the background cross-sections as well as for resonance structure. Their contribution is estimated to be about 25%.

The only comparison with previous calculations can be made with the available MBPT calculation of Altun & Manson [14]. The background cross-section for the photoionization of the ground state of  $\text{Sc}$  is shown in Figure 1a. We see very close agreement in a wide range of energies, except the higher energies above 20 eV. Their model includes only three final ionic states  $3d4s\ ^3D$ ,  $^1D$  and  $4s^2\ ^1S$ , and corresponds to the contribution of the  $3d4s$  and  $4s^2$  configurations from the present calculations shown in Figure 1b,c. This contribution is about 30% less than the MBPT results, indicating that the strong coupling between different channels results in a considerable redistribution of the total flux.

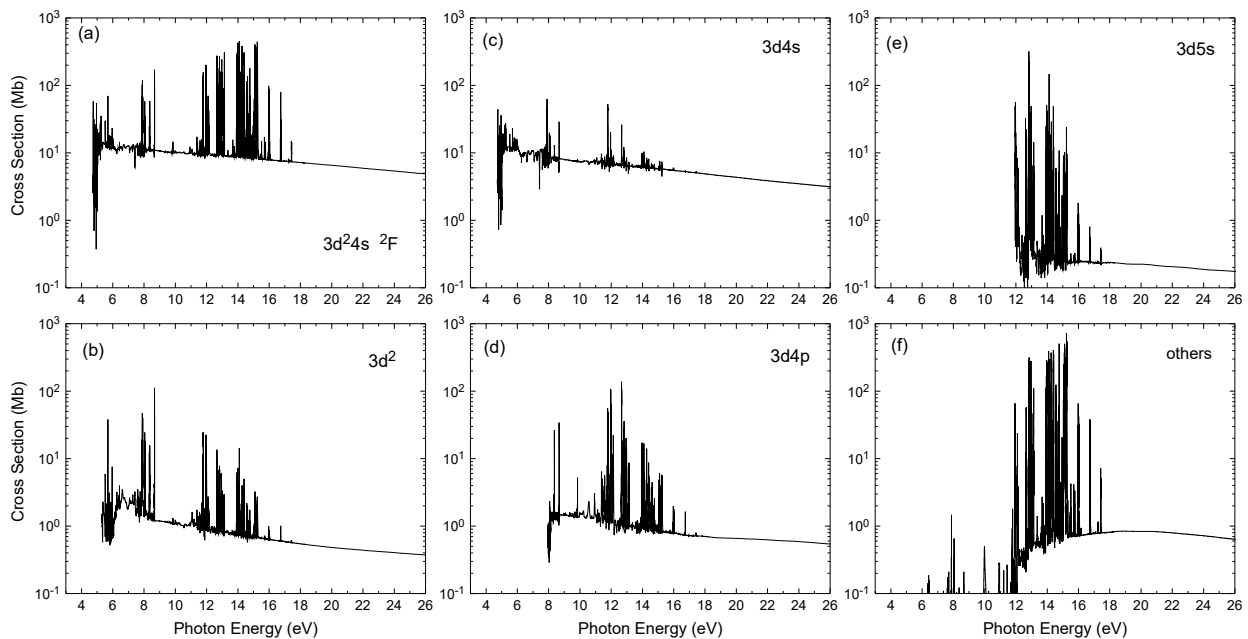
We now discuss the photoionization of the excited states in  $\text{Sc}$  with different shell occupations. The total and partial photoionization cross-sections of even-parity  $3d^24s\ ^2F$  excited state are displayed in Figure 2. The background cross-section in this case decreases linearly from 12 Mb at threshold to 5 Mb at 25 eV. The resonance structures consist of a set of narrow resonances and only provide relatively small overall contribution to the cross-sections. The populations of the final ionic states change significantly compared to the  $3d4s^2\ ^2D$  ground state. Direct ionization of  $4s$  electron leads to final ionic states with configuration  $3d^2$ , with average contribution to the total photoionization cross-sections of 10%. The  $3d$  ionization leads to the  $3d4s$  final ionic states and the photoionization cross-sections are shown in Figure 2c. These channels provide a dominant contribution in the near-threshold region and 65% at higher energies. As shown in the other panels, ionization with another electron excitation to the  $3d4p$  and  $3d5s$  final ionic states is also noticeable. The  $3d4p$  channel shown in Figure 2d, are expected to be important due to the strong  $3d-4p$  and  $4s-4p$  transitions in the ionic states. Their overall contribution is about 10%. The  $3d5s$  channels result from the  $3d$  electron ionization plus shakeup of the  $4s$  electron to  $5s$ . The individual contribution of all other channels are relatively small and do not exceed 1%. However, they add up to an overall contribution of about 12%. These examples of partial cross-sections once again confirm the strong close-coupling effects in the  $\text{Sc}$  photoionization process.

An example of photoionization for the odd-parity  $3d4s4p\ ^4D$  bound state is given in Figure 3. The  $3d4s4p$  states have three main photoionization channels related to the ionization of the  $3d$ ,  $4s$ , and  $4p$  electrons. Ionization of the outer  $4p$  electron leads mostly to the  $3d4s$  final ionic states. It can be seen from Figure 3c that the corresponding partial cross-sections provide the main contribution in the near-threshold region, but these contributions rapidly decrease with increasing energy. The ionization of the  $4s$  electron, Figure 3b, on the other hand, leads to the  $3d4p$  ionic states. The background cross-sections for these ionic states change slowly with added energy. On average, the contribution from the  $4s$  electron ionization to the total cross-section at higher energies is only  $\sim 8\%$ . The major contribution to the total cross-sections at higher energies comes from the  $3d$  electron ionization leading to the  $4s4p$  final ionic states, with an average contribution of  $\sim 70\%$ . The contribution of

the other channels is relatively small. We have shown in Figure 3e an example for the  $3d^2$  channels. Their overall contributions add up to 8% on average.

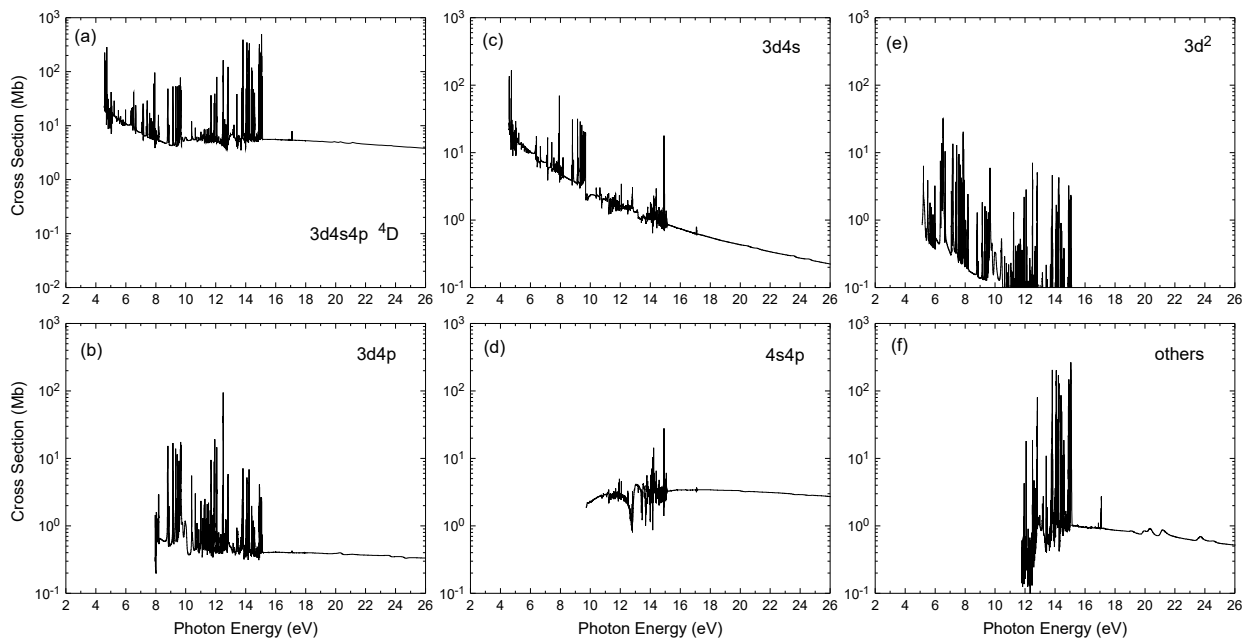


**Figure 1.** Cross sections for photoionization of the ground  $3d4s^2\ ^2D$  state of Sc. (a) total cross-sections from the present BSR-81 model are compared with the MBPT calculations of Altun & Manson [14] (asterisks). (b–e) show summed partial cross-sections for leaving the residual  $Sc^+$  ion in the final states of the  $4s^2$ ,  $3d4s$ ,  $4s4p$ , and  $3d^2$  configurations, respectively, along with contribution of all other channels in (f).



**Figure 2.** Cross sections for photoionization from the excited  $3d^2 4s\ ^2F$  state of Sc. (a) total cross-sections from the present BSR-81 model and (b–f) show summed partial cross-sections for leaving the residual  $Sc^+$  ion in the final states of the  $3d^2$ ,  $3d4s$ ,  $3d4p$ , and  $3d5s$  configurations, respectively, along with contributions of all other channels.





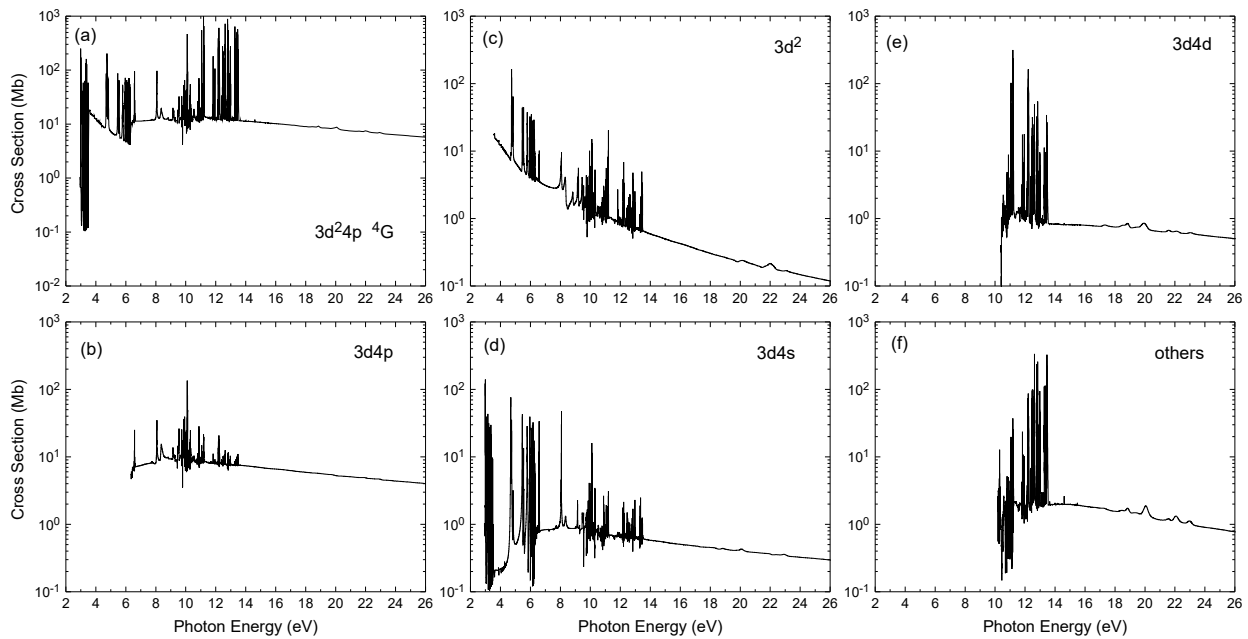
**Figure 3.** Cross sections for photoionization from the excited  $3d4s4p\ ^4D$  state of Sc. (a) shows total cross-sections from the present BSR-81 model whereas (b–f) show summed partial cross-sections for leaving the residual  $\text{Sc}^+$  ion in final states of the  $3d4p$ ,  $3d4s$ ,  $4s4p$ , and  $3d^2$  configurations, respectively, along with contribution of all other channels.

The total and partial photoionization cross-sections of odd-parity  $3d^24p\ ^4G$  excited state are displayed in Figure 4. The total cross-sections are presented in Figure 4a, which displays three regions with different energy behaviors. In the near-threshold region, where the channels with direct  $3d$  or  $4p$  ionization are closed, the cross-section has almost zero background and consists of a set of strong resonances. After 3.61 eV, the cross-section is mainly defined by the  $4p$  ionization leading to the  $3d^2$  final ionic states. These cross-sections are shown in Figure 4c and gradually decrease from 18 Mb at threshold to 0.1 Mb at 26 eV photon energy. At 6.35 eV, the  $3d$  ionization channels are opened, leading to  $3d4p$  ionic states, shown in Figure 4b. These channels provide dominant contributions to the total cross-section and are responsible for the step-like structure at 6.35 eV. As seen from Figure 4d,e, other significant contributions are provided by the  $3d4s$  and  $3d4d$  channels due to close-coupling effects related to the  $4s$ - $4p$  and  $4p$ - $4d$  transitions in the ionic states. The contributions of highly excited states are shown in Figure 4f. They contribute up to 10% depending on photon energy. The main contribution here comes from the  $3d5p$  channels, due to the  $4p$ - $5p$  shakeup transition.

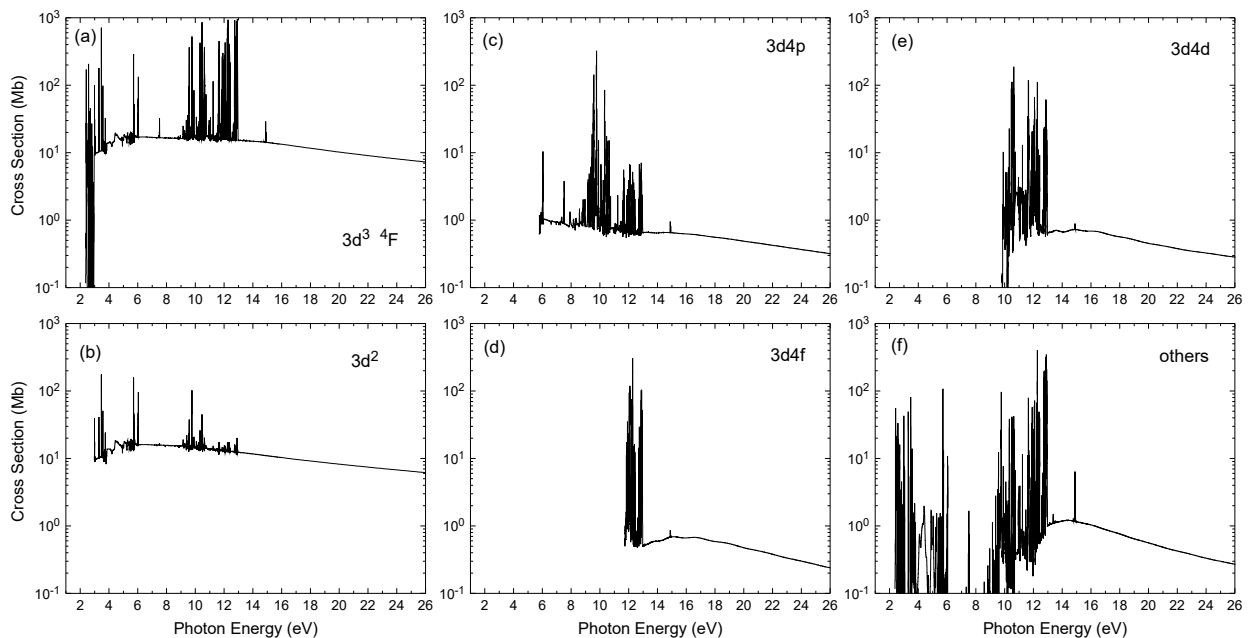
An example of photoionization for the highly-excited  $3d^3\ ^4F$  bound state is given in Figure 5. As seen from the figure, the direct  $3d$  electron ionization provides clearly dominant channels in this case, leaving the  $\text{Sc}^+$  in the  $3d^2$  levels. The average contribution of these channels is 80%. Before the opening of  $3d^2$  channels at 2.96 eV, the cross-sections have nearly zero background, and consist of a series of resonances. We also see the considerable contributions of the  $3d4p$  and  $3d4f$  channels due to close-coupling effects related to the strong  $3d - 4p$  and  $3d - 4f$  transitions in the ionic states. The shake-up process is also important, as indicated by relatively strong contributions of the  $3d4d$  channels in Figure 5e. In this case we have  $3d$  to  $4d$  transitions. All other channels from highly excited ionic states contribute from 4 to 8%, depending on photon energies. A detailed inspection of partial cross-sections shows that the dominant contribution is provided by the  $3d5f$  channels.

As can be seen from the above examples, the photoionization of scandium gives rise to many residual  $\text{Sc}^+$  ionic states. The relative population of the ionic states changes considerably with photon energy, and with the symmetry of the initial state. The background cross-sections for photoionization from the ground and excited states have similar shapes

and magnitudes. The background cross-sections are mostly given by direct ionization of the  $3d$  electron causing them to have similar values at higher energies.



**Figure 4.** Photoionization cross-sections from the excited  $3d^2 4p \ ^4G$  state of Sc. (a) shows total cross-sections from the present BSR-81 model whereas (b–f) show summed partial cross-sections for leaving the residual  $Sc^+$  ion in the final states of the  $3d4p$ ,  $3d^2$ ,  $3d4s$ , and  $3d4d$  configurations, respectively, along with the contribution of all other channels.



**Figure 5.** Photoionization cross-sections from the excited  $3d^3 \ ^4F$  state of Sc. (a) shows total cross-sections from the present BSR-81 model whereas (b–f) show summed partial cross-sections for leaving the residual  $Sc^+$  ion in the final states of the  $3d^2$ ,  $3d4p$ ,  $3d4f$ , and  $3d4d$  configurations, respectively, along with the contribution of all other channels.

#### 4. Summary

We have studied low energy total and partial photoionization cross-sections of Scandium. We considered many final  $Sc^+$  ionic states corresponding to the ejection of  $3d$ ,  $4s$ ,

and  $4p$  photoelectrons. We also included the important process of photoionization where one electron is excited and another electron is ejected. We calculated total and partial photoionization cross-sections for the first 20 bound states of Sc in the  $3d4s^2$ ,  $3d^24s$ ,  $3d^24p$ ,  $3d4s4p$ ,  $4s^24p$ , and  $3d^3$  configurations. The present calculations adopted a large set of 81  $LS$  Sc<sup>+</sup> final ionic states to cover all of the main ionization channels. We employed the  $B$ -spline  $R$ -matrix code [15] to determine solutions in the  $LS$  coupling scheme inside the  $R$ -matrix box. The initial bound and final ionic states have been described by extensive multiconfiguration expansions using term-dependent non-orthogonal orbitals. The predicted photoionization cross-sections for the ground state are in reasonable agreement with the other available theoretical calculations of Altun and Manson [14]. However, there are no other results available for photoionization from higher-lying excited states. We found that the background photoionization cross-sections for all Sc bound states are very similar due to the dominant  $3d$  ionization channels at higher photon energies. The autoionizing resonance structures have been carefully delineated in our calculation. Our analysis of the various channels show significant changes in the relative population of the ionic states with photon energy.

**Author Contributions:** Both authors contributed to the calculation of results presented and to the writing of the text. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The numerical total and partial photoionization cross sections are available in electronic form upon request.

**Conflicts of Interest:** The authors declare no conflict of interest.

### Abbreviations

The following abbreviations are used in this manuscript:

MDPI	Multidisciplinary Digital Publishing Institute
MCHF	Multi-configuration Hartree-Fock
RM	$R$ -matrix
BSR	$B$ -spline $R$ -matrix

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