A Wastewater-based Epidemiology tool for COVID-19 Surveillance in Portugal

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25 Abstract

26 The presence of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in 27 wastewater produced interest in its use for sentinel surveillance at a community level 28 and as a complementary approach to syndromic surveillance. With this work, we set the foundations for wastewater-based epidemiology (WBE) in Portugal by monitoring 29 30 the trends of SARS-CoV-2 RNA circulation in the community, on a nationwide perspective during different epidemiological phases of the pandemic. The Charité 31 32 assays (E_Sarbecco, RdRP, and N_Sarbecco) were applied to monitor, over 32-33 weeks (April to December 2020), the dynamics of SARS-CoV-2 RNA at the inlet of five 34 wastewater treatment plants (WWTP), which together serve more than two million 35 people in Portugal. Raw wastewater from three Coronavirus disease 2019 (COVID-36 19) reference hospitals was also analyzed during this period. In total, more than 600 37 samples were tested.

For the first weeks, detection of SARS-CoV-2 RNA was sporadic, with concentrations 38 varying from 10³ to 10⁵ genome copies per liter (GC/L). Prevalence of SARS-CoV-2 39 RNA increased steeply by the end of May into late June, mainly in Lisboa e Vale do 40 41 Tejo region (LVT), during the reopening phase. After the summer, with the reopening 42 of schools in mid-September and return to partial face-to-face work, a pronounced 43 increase of SARS-CoV-2 RNA in wastewater was detected. In the LVT area, SARS-44 CoV-2 RNA load agreed with reported trends in hotspots of infection. Synchrony between trends of SARS-CoV-2 RNA in raw wastewater and daily new COVID-19 45 cases highlights the value of WBE as a surveillance tool, particularly after the phasing 46 47 out of the epidemiological curve and when hotspots of disease re-emerge in the population which might be difficult to spot based solely on syndromic surveillance and 48

- 49 contact tracing. This is the first study crossing several epidemiological stages
 50 highlighting the long-term use of WBE for SARS-CoV-2.
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- 53 Keywords:
- 54 SARS-CoV-2; wastewater-based epidemiology; COVID-19; hospital wastewater
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56 1. Introduction

57 Climate change, deforestation and population growth led to an increase in contact between humans and wildlife, which may cause interspecies transmission of infectious 58 59 agents. Such conditions possibly resulted in the occurrence of previous outbreaks including the severe acute respiratory syndrome (SARS; 2002-2004) and the Middle 60 61 East respiratory syndrome (MERS; 2012-present) outbreaks, all caused by coronavirus (CoV; SARS-CoV and MERS-CoV, respectively). Several authors that 62 63 have addressed the environmental circulation of viruses had already highlighted the 64 possible occurrence of a new pandemic caused by coronavirus (Wigginton and Ellenberg, 2015; Santos and Monteiro, 2013). 65

Coronavirus disease 2019 (COVID-19) is caused by the severe acute respiratory 66 67 syndrome coronavirus 2 (SARS-CoV-2), an enveloped, single-stranded RNA virus with a high infection rate. The first clinical cases in Portugal were reported on March 68 69 2, 2020, with the exponential phase having been reached on March 14, 2020 (RTP, 70 2020). The Portuguese government closed schools on March 16, 2020, and declared the emergency state on March 19, 2020, with the country's entry into the first national 71 72 lockdown that lasted until May 2, 2020. Reopening occurred in three stages throughout 73 the month of May, with full reopening in June 2020 except for schools that remained 74 closed until the end of the academic year. In September, schools reopened, and partial 75 face-to-face work returned, a steep increase in the number of cases was registered (DGS, 2020). As of December 2, 2020, 307,618 COVID-19 cases had been reported 76 in Portugal, with 4,724 deaths and 229,018 recovered patients (DGS, 2020). 77

Although COVID-19 clinical tests have been developed in record time, the disease spread, and community infection burden often outpaced the capacity for clinical testing. In addition, syndromic surveillance strongly depends on individual reporting

and seriousness of clinical symptoms, and how this coincides with diseases known to circulate in the community (Mandi *et al.*, 2020). Rapid approaches to determine the extent of virus spread in the population, ideally in near real-time, are thus needed to slow down transmission.

Wastewater-based epidemiology (WBE) has been applied since 2005 to trace pharmaceutical and illicit drug use in the community (Zuccato *et al*, 2005; Reddy, 2010; Singer *et al.*, 2013; Choi *et al.*, 2018). The usefulness and potential of wastewater as a surveillance system for pathogens has already been shown, namely under the global polio eradication initiative, the most successful example of environmental surveillance to date (Hovi *et al.*, 2012; WHO, 2015; Koopmans *et al.*, 2017).

92 Several advantages are associated with WBE; firstly, testing wastewater means 93 testing thousands of potentially infected individuals at the same time, and with the 94 potential to identify hotspots of infection prior to syndromic surveillance. Secondly, 95 WBE can highlight trends in viruses shedding over time from symptomatic but also 96 from asymptomatic, pre-symptomatic and post-symptomatic individuals.

97 Although transmitted mainly via respiratory droplets (Meselson, 2020), SARS-CoV-2 has been detected in the feces and urine of infected patients, regardless of disease 98 99 severity or development of gastrointestinal illness (He et al., 2020; Pan et al., 2020; 100 Wölfel et al., 2020; Young et al., 2020). There is little indication that the viruses shed 101 in the stools of infected patients, and therefore circulating in wastewater, are infectious (Wölfel et al., 2020; Zang et al., 2020). Even so, the presence of SARS-CoV-2 RNA in 102 103 raw wastewater provides valuable information regarding the emergence, prevalence, 104 epidemiology and decrease of SARS-CoV-2 presence in the community, helping the 105 early identification of hotspots of infection.

106 To date, several authors reported the occurrence of SARS-CoV-2 RNA in wastewater 107 samples (Ahmed et al., 2020; Medema et al., 2020; Randazzo et al., 2020; Sherchan 108 et al., 2020) demonstrating the usefulness of WBE for SARS-CoV-2. Several iterations 109 of the application of WBE for SARS-CoV-2 are currently implemented in many countries, such as the Netherlands, Scotland, and Spain among others. The European 110 111 Commission (EC) has issued a recommendation for surveillance of SARS-CoV-2 and 112 its variants in wastewater as a complementary and independent approach to clinical 113 surveillance, and the member states that choose to accept the recommendation are 114 expected to begin sampling and analysis in October 2021, with the results being 115 reported directly to the EC (EC, 2021).

116 In this study, we report for the first time the results of SARS-CoV-2 RNA monitoring in 117 raw wastewater in Portugal, in a study covering about 20% of the Portuguese 118 population, corresponding to more than two million people, over a 32-weeks period. 119 More than 600 samples were collected from five wastewater treatment plants (WWTP) 120 and three COVID-19 hospitals in two regions of the country: a north cluster (four 121 municipalities) and a south cluster in Lisboa e Vale do Tejo (LVT) (six municipalities). 122 To the best of our knowledge, this is the first study jointly evaluating the presence of 123 SARS-CoV-2 RNA in raw wastewater from WWTP and COVID-19 hospitals. 124 Altogether, in contrast with the already published studies that only looked at the early 125 stages of the pandemic, and by encompassing several distinct epidemiological stages 126 of this disease, this study demonstrates the long-term usefulness of using WBE for SARS-CoV-2 and potential long-term application to future health crisis. 127

128 2. Materials and Methods

129 2.1. Clinical surveillance data

Clinical surveillance data were obtained from the Reports from the Portuguese Health
Authority (DGS, 2020). Data from clinical surveillance for each municipality were
presented daily in the reports from the Health Authority, being provided on a weekly
basis after July 2020.

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135 2.2. Porcine epidemic diarrhea virus (PEDV) strain and cell lines

136 Porcine epidemic diarrhea virus (PEDV) strain CV777 (kindly provided by Dr. Gloria 137 Sanchez, IATA-CSIC) is an enveloped virus from the genus Alphacoronavirus and 138 member of the Coronaviridae family, responsible for the porcine epidemic diarrhea. 139 PEDV was propagated in Vero cell line (ATCC CCL-81, LGC Standards). Briefly, Vero cells were grown in Dulbecco's Modified Eagle's Medium (DMEM; Gibco), 140 141 supplemented with 100 units/mL of penicillin (Lonza), 100 units/mL of streptomycin 142 (Lonza), and 10% heat-inactivated fetal bovine serum (Biological Industries). Cells 143 were cultured in T175 flasks at 37 (± 1) °C under 5 % CO₂. For infection with PEDV, 144 cells were grown in T25 flasks and inoculated with 100 µL of viral stock. At 2h post 145 infection, DMEM supplemented with 0.3% tryptose phosphate broth, 100 units/mL of 146 penicillin (Lonza), 100 units/mL of streptomycin (Lonza), and 10 µg/µL trypsin, was 147 added to the flasks. Flasks were then incubated at 37 (± 1) °C in 5% CO₂ for 4 days. PEDV were recovered following three cycles of freeze/thawing and centrifugation at 148 1,100 xg for 10 min. Quantification was performed by RT-dPCR as described on 149 150 section 2.5 using the primers and probes from Table 1 (Zhou et al., 2017), following 151 nucleic acid extraction as described on section 2.4. After absolute quantification by RT-dPCR (as described below), a stock solution was prepared in DNase/RNase free 152

water to obtain a PEDV final concentration of 1.21×10^4 GC/L in wastewater. The same stock was used in all experiments described below.

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156 2.3. Absolute quantification by RT-dPCR

RT-dPCR was used to determine the exact concentration of PEDV. PEDV was 157 158 amplified using the AgPath-ID One-Step RT-PCR kit (Thermo Fischer Scientific) with 159 the set of primers and probes described on Table 1 (Zhou et al., 2017). The 15 µL 160 reaction mixture consisted of 7.5 μ L of 2× RT-PCR buffer, 0.6 μ L of 25× RT-PCR enzyme mix, 800 nM of each primer, 200 nM of probe, 3.63 µL RNase/DNase-free 161 162 water, and 3 µL of DNA (diluted 4-, 5-, 6- fold). The reaction mixture was then spread 163 over the QuantStudio 3D Digital PCR chip (Thermo Fischer Scientific) and the chips 164 transferred to the QuantStudio 3D Digital PCR thermal cycler. Amplification was performed as follows: PEDV: 10 min at 45 °C, 10 min at 96 °C, 39 cycles of 2 min at 165 60 °C and 30 s at 98 °C, and a final elongation step for 2 min at 60 °C. Reactions were 166 performed in duplicate, and a non-template control (NTC) was included in each run. 167

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170 2.4. Sampling sites and sample collection

Raw wastewater samples (*n* = 404) were collected between April 27, 2020, and December 2, 2020, from five WWTP located in the North (Gaia Litoral (GA) and Serzedelo II (SE)) and in LVT (Alcântara (AL), Beirolas (BE), and Guia (GU)) (Fig. S1) of Portugal. Further information about these WWTP catchments is provided in Table S1. Sampling took place for 102 days, covering 220 of calendar days in total.

176 Raw wastewater from three reference COVID-19 hospitals (Hospital Curry Cabral
177 (HCC), Lisbon; Hospital Sra. Oliveira (HSO), Guimarães (North); and Hospital Santos

Silva (HSS), Vila Gaia (North); n = 204), in the catchment area of the WWTP, was also sampled.

Twenty-four-hour composite samples were collected using automated samplers (ISCO, US), except for HSO and HSS, where due to logistical issues only grab samples were taken. Samples were transported refrigerated to the laboratory, within 8 h of collection and processed immediately upon arrival to the laboratory.

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185 2.5. Processing of raw wastewater

186 Upon arrival to the laboratory, 1-L of raw wastewater from WWTP and COVID-19 187 hospitals was concentrated using hollow-fiber filters Inuvai R180 (molecular weight 188 cut-off ≤ 18.8 kDa; Inuvai, a division of Fresenius Medical Care, Germany). A stock of 189 PEDV was added to the samples to a final concentration of 1.21 x 10⁴ GC/L (quantified 190 as described above). Samples were eluted in 300 mL of 1X PBS containing 0.01% 191 sodium polyphosphate (NaPP) and 0.01 Tween 80/0.001% antifoam and precipitated 192 overnight with 20% polyethylene glycol (PEG) 8000. Samples were then centrifuged 193 at 10000 xg for 30 min and resuspended in 5 mL 1X PBS, pH 7.4 (Blanco et al., 2019). 194 Samples were kept at (-80 ± 10) °C until further processing. Recovery efficiency varied 195 between 40 and 82%, at an average of 61% (±16).

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197 2.6. Viral RNA extraction, detection, and quantification

¹⁹⁸ Viral RNA was extracted from 220 μ L of concentrated samples using the QIAamp ¹⁹⁹ FAST DNA Stool Mini kit (QIAGEN, Germany), according to the manufacturer's ²⁰⁰ instructions. The RNA was recovered in a final volume of 100 μ L.

201 Primers and probes used in this study are presented in Table 1. The recovery 202 efficiency for RNA extraction was performed using murine norovirus (MNV), which was

added to the concentrates as an extraction control. MNV RNA was detected and quantified using the assay described by Baert *et al.*, 2008. SARS-CoV-2 RNA was detected using the Charité assays: the E_Sarbecco, targeting the envelope protein gene, the RdRp that targets the RNA-dependent RNA polymerase gene and the N_Sarbecco, which targets the nucleoprotein (Corman *et al.*, 2020).

208 One-step RT-qPCR assays (AgPath-ID[™] One-Step RT-PCR, Thermo Scientific, USA) was used for the quantitative detection of SARS-CoV-2, PEDV, and MNV. For 209 210 the specific detection and quantification of viral RNA, 5 µL of 4-fold and 10-fold 211 dilutions of each viral RNA extract were also assayed in parallel with crude extracts; 212 dilutions were meant to overcome amplification inhibition due to the complex nature of the samples. Cycle Threshold differences (ΔCt) ≥ 2.50 and 3.50 between crude 213 214 extracts and 4-fold and 10-fold dilutions, respectively, were considered amplification inhibition free. 215

216 The final volume of reaction mixture was 25 µL, composed of 800 nM of each primer, 217 200 nM of probe and 5 µL of extracted RNA. RT-qPCR reactions were carried out at 45 °C for 10 min, 95 °C for 10 min, followed by 45 cycles of amplification at 95 °C for 218 219 15 s and 58 °C for 45 s for SARS-CoV-2 and 60 °C for 45 s for PEDV and MNV. RTqPCR was performed on an Applied Biosystems 7300 Real-Time PCR System 220 (Applied Biosystems, US). Reactions were considered positive only if the cycle 221 222 threshold was below 40 cycles (Medema et al., 2020; F. Wu et al, 2020). Quantification of E_Sarbecco and RdRp assays was performed through calibration curves using 10-223 224 fold dilutions of nCoV-ALL-Control plasmid (Eurofins Genomics, Germany), ranging from 1.94 to 1.94 x 10^6 and 1.00 to 1.00 x 10^6 GC per reaction respectively. 225 Quantification of N Sarbeco assay was performed using 2-fold and 10-fold dilutions 226 227 (ranging between 2.00 to 2.00 x 10⁴ GC per reaction) of the Amplirun SARS-CoV-2

- RNA control (Vircell, Spain). Negative controls (extraction and RT-qPCR assay) were
 also performed using DNase/RNase free distilled water, following the same conditions
 as the samples. The extraction efficiency using MNV as proxy averaged 70% (±19%).
- 231

232 **Table 1.**

Assay	Sequence (5' - 3') ^a	Length	Location in SARS-
		(bp)	CoV-2
			genome (bp)
MNV	F: CACGCCACCGATCTGTTCTG	108	4,972 - 5,080
	R: GCGCTGCGCCATCACTC		
	P: 6FAM-CGCTTTGGAACAATG-MGB		
PEDV	F: CAGGACACATTCTTGGTGGTCTT	140	26,010 - 26,149
	R: CAAGCAATGTACCACTAAGGAGTGTT		
	P: FAM-ACGCGCTTCTCACTAC-MGB		
SARS-CoV-2:	F: ACAGGTACGTTAATAGTTAATAGCGT	112	26,141 – 26,253
E_Sarbecco	R: ATATTGCAGCAGTACGCACACA		
	P: 6FAM-ACACTAGCCATCCTTACTGCGCTTCG-BHQ		
SARS-CoV-2:	F: GTGARATGGTCATGTGTGGCGG	99	15,361 – 15,460
RdRp	R: CARATGTTAAASACACTATTAGCATA		
	P1: 6FAM-CCAGGTGGWACRTCATCMGGTGATGC-BHQ		
	P2: 6FAM-CAGGTGGAACCTCATCAGGAGATGC-BHQ		
SARS-CoV-2:	F: CACATTGGCACCCGCAATC	127	28,555 – 28,682
N_Sarbecco	R: GAGGAACGAGAAGAGGCTTG		
	P: 6FAM-ACTTCCTCAAGGAACAACATTGCCA-BHQ		

^a W is A/T; R is G/A; M is A/C; S is G/C. FAM: 6-carboxyfluorescein; MGB: minor groove binder; BHQ: blackhole
 quencher.

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237 2.7. SARS-CoV-2 RNA load estimates standardized to population

238 Standardization of SARS-CoV-2 RNA concentration to population and WWTP for each

sampling date was performed in accordance with Eq. 1 (Gonzalez et al., 2020). For

this calculation only the results from E_Sarbecco assay were used since it was the

241 most sensitive assay.

243
$$L_{WWTP} = \frac{C_{WWTP} \times V \times f}{P}$$

where:

 L_{WWTP} is SARS-CoV-2 RNA load in the WWTP standardized to the population (GC per

- 246 person per day in the catchment)
- *C_{WWTP}* is the SARS-CoV-2 RNA concentration in samples yielded by the E_Sarbecco
 assay (GC/L)

V is the average daily flow of wastewater in the WWTP during the sampling day (m³/day)

251 f is the conversion factor between L and m³

252 *P* is the estimated population within the WWTP catchment.

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254 2.8. Data analysis

255 All data analysis was done with SPSS version 26 (IBM Corporation, US). For statistical 256 analysis, all RT-qPCR below the limit of detection (LOD) were substituted by the LOD 257 with subsequent log₁₀ transformation. The LOD was 3.99, 5.52 and 5.74 GC per reaction for E_Sarbecco, RdRp and N_Sarbecco assays, respectively. Kruskal-Wallis 258 259 test (KW statistics) was conducted to compare differences in the total number of 260 SARS-CoV-2 RNA detection for each assay, and pairwise comparison was performed with Dunn's test. Mann-Whitney test was used to determine the impact of sampling 261 262 type (composite versus grab samples collected at hospitals). Spearman rank order 263 correlation was used for calculation of correlation coefficients between the 264 concentrations of SARS-CoV-2 RNA obtained by the three assays and between the number of hospitalized COVID-19 patients and the concentration of SARS-CoV-2 RNA 265 266 at each hospital.

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268 3. Results and Discussion

269 3.1. Performance of Charité assays on SARS-CoV-2 quantification in
 270 wastewater

271 The first RT-gPCR assays for the detection of SARS-CoV-2 were designed at the beginning of the pandemic following the disclosure of the first SARS-CoV-2 sequence, 272 273 the designated Charité assays: E Sarbecco, RdRp (P1 and P2) and N Sarbecco 274 (Corman et al., 2020). Environmental studies generally rely on the use of a single 275 assay to determine the presence of a target (La Rosa and Muscillo, 2013). However, 276 due to sensitivity and specificity issues, WBE studies for SARS-CoV-2 have included 277 multiple gene targets, including the Charité (Wurtzer et al., 2020; Medema et al., 2020; 278 Chavarria-Miró et al., 2020) and the CDC assays (Ahmed et al., 2020; Medema et al., 279 2020; Randazzo et al., 2020). In the 32-week study reported here, the three assays were compared with respect to detection rates and concentrations to determine the 280 281 need to run all three assays in future WBE studies.

Detections of SARS-CoV-2 RNA were scarcer during the lockdown and reopening months (April-May), with discrepant results among the assays (Fig. 1A). The results of SARS-CoV-2 RNA prevalence for the three assays (n = 404), including below and above LOD, coincided in 193 samples. This number dropped to 80 samples when considering just samples above the LoD. In 116 samples, detection occurred for two assays and in 95 samples only one assay was detected.

Agreement between assays increased and became more consistent as the total number of detections increased, particularly following the end of the lockdown (Fig. 1A, B). The E_Sarbecco assay was detected more frequently, with consistent detections over the 32-week period of sampling. A total of 290, 177, and 100 samples tested positive for E_Sarbecco, RdRp, and N_Sarbecco, respectively. The detection

293 rates for all assays showed statistically significant differences (KW = 181.45, degrees 294 of freedom = 2, ρ <0.001). This result is in line with the original publication that indicated that E_Sarbecco and RdRp assays were more sensitive than N_Sarbecco assay 295 296 (Corman et al., 2020). There was also statistical difference in the number of detections 297 in the pair-wise comparison between individual assays (ρ <0.001, for all assays). The number of detections for N_Sarbecco assay was significantly lower than for the other 298 299 two assays, possibly due to the higher limit of detection determined for this assay or 300 possible loss of RNA integrity (Philo et al., 2020).



Fig. 1. SARS-CoV-2 RNA concentration estimated with Charité assays in selected sampling dates. The concentrations in each WWTP, in selected sampling dates, are depicted on the x axis of the figure. The dates were chosen at (roughly) monthly intervals, starting from April 28, with exception of June 3, which was added because it represented one of the first dates following the complete reopening of the country (A); epidemiological phase (EPI) I: emergency state; EPI II: calamity state; EPI III: contingency and alert state; EPI IV: emergency state. Percentage of positive detection assays across the study period. Obtained with the 3 Charité assays. The trendline was drawn with LOWESS smoothing (B).

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The positivity rates for RdRp and N_Sarbecco assays increased with increasing concentrations yielded by the E_Sarbecco assay. At concentrations between 10² and 10⁴ GC/L, the positivity rate was 20% and 6% for the RdRp and N_Sarbecco assays, respectively. For E_Sarbecco assay concentrations above 10⁴ GC/L, the positivity
rates increased to 77% for the RdRp assay and 45% for the N_Sarbecco assay (Fig.
S2).

The concentration of N_Sarbecco *versus* the other two assays in raw wastewater showed only moderate correlation (Spearman rank order correlation r = 0.50 for N_Sarbecco vs. RdRp; r = 0.56 for N_Sarbecco vs E_Sarbecco; $\rho < 0.01$, n = 404). The correlation between E_Sarbecco and RdRp concentration was significant (r = 0.74, $\rho < 0.01$, n = 404) (Fig. S3). Such figure facilitates the comparison of the distribution of positive and negative results for each pair of assays.

The discrepancies observed amongst E_Sarbeco, RdRp and N_Sarbeco assays agreed with previous reports, not only using the Charité assays but also the CDC protocol (Chavarria-Miró *et al.*, 2020; Corman *et al.*, 2020; Medema *et al.*, 2020; Randazzo *et al.*, 2020; Westhaus *et al.*, 2020).

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329 3.2. Detection of SARS-CoV-2 RNA in hospital wastewater samples

A total of 204 COVID-19 hospital wastewaters have been sampled in the 32-week 330 study period and evaluated for the presence of SARS-CoV-2 RNA. Ninety-seven 331 samples were positive for at least one SARS-CoV-2 assay (97/204; 48%), at 332 concentrations ranging from 10³ to 10⁶ GC/L (Fig. S4). The percentage of positive 333 334 samples varied from 24% (HSS) to 85% (HCC). The Cq values varied between 26.36 335 and 38.43 for the E Sarbecco assay, with agreement in detection for the three assays in 62% of the samples (including samples below the LoD) and in 21% of the samples 336 considering just SARS-CoV-2 RNA positive samples (n = 98). Although highly 337 relevant, the number of studies reporting the specific detection of this virus in hospital 338 wastewater is very limited (J. Wang et al., 2020; D. Zhang et al., 2020; Gonçalves et 339

al., 2021). Although no quantification was made, J. Wang et al. (2020) and Gonçalves 340 341 et al. (2021) reported similar Ct values to those obtained in our study. Detection 342 frequency of SARS-CoV-2 RNA in hospital wastewater increased by the end of the 343 study, when the number of cases in Portugal increased steeply and a high number of 344 hospital beds were being occupied by COVID-19 patients (Fig. 2). From the end of the 345 lockdown to schools reopening and return to partial face-to-face work (April through mid-September), the number of hospitalized COVID-19 cases decrease from an 346 average of 60 to 3 in HSS and from 73 to 5 in HSO, increasing to 115 and 162 in 347 348 November, respectively. As for HCC, the monthly average number of hospitalized 349 COVID-19 cases remained stable from April to July (average ranging between 48 and 350 61 in April and June, respectively), decreasing during the month of August (30) only 351 to increase again in September. By the end of the sampling period, the average number of hospitalized COVID-19 cases in HCC increased to 114. 352



Fig. 2. Gene fragment concentration in hospital wastewater (bars), and the number of hospitalized COVID-19 cases
 (line) in the three hospitals. HCC (A); HSS (B); HSO (C). ★ Indicates values below the LoD for E_Sarbecco assay.
 Values represented in the figures

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Correlation analysis was used to investigate the quantitative relation of SARS-CoV-2 360 RNA concentration to the number of hospitalized COVID-19 cases in each hospital. 361 362 No correlation was found in HCC and only moderate association was obtained for the 363 other two hospitals (Spearman rank order correlation r = 0.57 for HSS and r = 0.60 for HSO; all $\rho < 0.01$). During the phase with lower number of hospitalized COVID-19 364 cases at HSS, most of the samples collected were below the LOD, a similar result to 365 that observed in HSO hospital (Fig. 2). On the other hand, SARS-CoV-2 RNA 366 detection at HCC was consistent throughout the study. Sporadic detection of SARS-367 CoV-2 RNA during this phase could be attributed not only to the low number of 368 369 hospitalized COVID-19 patients but also to the different sampling strategy. While HCC 370 samples were composite, grab samples were taken at the other two hospitals.

371 Statistically significant differences (p<0.001; Mann-Whitney U test) were determined between composite and grab samples. Composite sampling provides a better 372 373 representation of a heterogenous sample than grab samples tested separately as the 374 variance between samples decreases and the analytical results reflect more thoroughly the real composition of the sample. Automated systems (composite 375 376 sampling) are commonly used for chemical analysis of water in industrial and public health applications (U.S. Geological Survey, 2006, 2010; Baird et al., 2017). 377 378 Composite sampling has also been widely used to analyze trace contaminants such 379 as mycotoxins in food and to determine microbial populations in soil and water (Jarvis, 380 2007; Cornman et al., 2018). However, for guantification purposes, composite 381 sampling has not been routinely applied in microbiological analysis of water due to a 382 possible dilution effect. This paradigm has shifted with SARS-CoV-2, with this respiratory virus being found only in approximately 50% of the stools of infected 383 patients at varying concentrations (10^2 to 10^8 per gram of stool) (Lescure *et al.*, 2020; 384 385 Pan et al., 2020; Wölfel et al., 2020; Y. Wu et al., 2020; Xu et al., 2020). Even if composite sampling is not paramount in WWTP settings, in single, point locations 386 387 (such as hospital wastewaters) it may have a deeper impact with the results from this 388 study corroborating the initial hypothesis, as a lower percentage of positive samples 389 were obtained for the hospitals where grab samples were taken.

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392 3.3. Temporal dynamics of SARS-CoV-2 RNA in raw wastewater

A total of 404 raw wastewater were collected between April 27 and December 2, 2020 and monitored for the presence of SARS-CoV-2 RNA. Concentration in positive samples, for E_Sarbecco assay, varied generally between 10^3 and 10^5 GC/L (Fig. 3).





Fig. 3. SARS-CoV-2 concentration in the tested WWTP. AL- Alcântara; BE – Beirolas; GU – Guia; GA – Gaia Litoral; SE – Serzedelo. Boxes, 25th and 75th percentile; lines within the boxes, median; whiskers, 10th and 90th percentile, respectively. *n*, number of samples in each category.

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Table 2 shows SARS-CoV-2 RNA concentrations and percentage of positive samples
discriminated by WWTP. The prevalence of SARS-CoV-2 RNA varied between 51%
in SE and 85% in BE and GU, with WWTP located in LVT conveying the highest
number of positive detections.

- 407
- 408 Table 2.
- 409 SARS-CoV-2 RNA concentration and percentage of positive samples in the overall study and in each WWTP

Sampling location	% Positive samples	SARS-CoV-2 RNA concentration variation (GC/L)
All WWTP	72 (291/404)	3.13 x 10 ³ – 8.95 x 10 ⁵
AL	82 (65/79)	3.86 x 10 ³ - 8.17 x 10 ⁵
BE	85 (74/87)	$3.13 \times 10^3 - 5.43 \times 10^5$
GU	85 (67/79)	$3.41 \times 10^3 - 8.95 \times 10^5$

GA	56 (44/79)	3.30 x 10 ³ – 3.93 x 10 ⁵
SE	51 (41/80)	3.29 x 10 ³ – 3.20 x 10 ⁵

411 The concentrations found in this study are in line with those documented in the US, 412 and The Netherlands (Gonzalez et al., 2020; Medema et al., 2020; Sherchan et al., 413 2020). A study conducted at the early stages of the pandemic in the Metropolitan area 414 of Barcelona has shown concentrations, as determined by the E_Sarbecco assay, in 415 the same range as in our study (Chavarria-Miró et al., 2021). Flood et al. (2021) have 416 determined SARS-CoV-2 RNA in raw wastewater at an average concentration of 8.53 417 x 10⁵ GC/L, when using the E_Sarbecco assay. Wurtzer et al. (2020) detected SARS-418 CoV-2 RNA, using the E_Sarbecco assay, in concentrations up to approximately 2.5 419 $x 10^{6}$ GC/L, with the number of cases reaching the highest number at more than 5000 420 daily cases (ECDC, 2020). Nonetheless, studies developed in Spain documented 421 concentrations at least two orders of magnitude superior to the mean concentrations 422 observed in this study (Randazzo et al., 2020). The differences found between studies may result from a multitude of factors, including disease prevalence, and variability in 423 the workflows including detection assays (Gonzalez et al., 2020). 424

425

426 3.4. Regional distribution of SARS-CoV-2 RNA concentration

This study was conducted over a period of 32-weeks (eight months), comprising the end of lockdown (April) and consecutive reopening stages (May), full reopening with online classes for students and partial face-to-face work (June), the vacation period (July and August), schools reopening and return to partial face-to-face work (mid-September) (Fig. S5). The new number of reported cases decreased sharply from April (mean, 570) to May (mean, 249), increasing again in June (mean, 325), according to Reports from the Portuguese Health Authority (DGS, 2020). The average number of 434 new cases decreased in July (mean, 286) and August (mean, 224) only to increase again in September (mean, 605), October (mean, 2,192) and November (5,058). 435 Fig. 4 shows the load of SARS-CoV-2 RNA, by date, normalized to population in the 436 service area of each WWTP. SARS-CoV-2 RNA detection in WWTP for the LVT region 437 438 showed lower percentages of detection during April-May, increase in the frequency of 439 detection in June, decrease for the months of July, August and mid-September, and a 440 steep increase from mid-September onwards (Fig. S6). The viral load in the LVT region in this region followed a similar trend to that of the prevalence of the virus. 441 Nonetheless, the detection of SARS-CoV-2 RNA in WWTP from LVT region remained 442 443 high after the end of lockdown.



446Fig. 4. SARS-CoV-2 RNA load, by date, normalized to the population in the service area of each WWTP. Black447dots indicate samples above the LoD, white dots represent samples below the LoD (with LOWESS smoothing)

445

449 SARS-CoV-2 RNA load in the north region of the country (GA and SE) remained stable during the period comprising April to mid-September, sharply increasing afterwards 450 451 following the trends observed in the syndromic surveillance (Fig. S6). Occasional 452 detections were observed during the lockdown and following periods with a gradual increase in the frequency of detection until mid-September. Upon school reopening, 453 454 and return to partial face-to-face work, a steep increase occurred in the SARS-CoV-2 455 RNA load in all locations. During pre-lockdown and lockdown, the North region was the most affected by COVID-19, a pattern that shifted following the reopening with the 456

457 great Lisbon area becoming the main contributor to the increase in the number of 458 COVID-19 cases observed throughout May and June (Fig. S7). Altogether, the cumulative number of COVID-19 cases increased at a slow pace from the end of April 459 460 until the beginning of October, with a noticeable increase at this stage mainly due to the new spike in cases registered in the North region. Overall, and until October 25, 461 462 2020, Lisbon and Sintra, both in LVT, had the highest number of confirmed COVID-19 cases (9,202 and 7,454, respectively), followed by Amadora, Loures, (3,722, and 463 464 4,164, respectively), also in the LVT region. In the North region, Vila Nova de Gaia 465 had the highest number of confirmed cases (3246).

Data from Fig. 4 can be used for comparison with existing outbreaks reported by the 466 467 health department. For instance, the increase in the detection of SARS-CoV-2 RNA in 468 the BE service area documented during June was likely caused by outbreaks in 469 Sacavém-Prior Velho, Camarate-Unhos-Apelação and Santa Clara civil parishes. 470 Such projection can also show trends in viruses spread over time within localized 471 populations, not only from symptomatic but also from asymptomatic, pre-symptomatic and post-symptomatic. Such representation shows that although the number of 472 473 clinically tested cases in the population was more consistent, the viral concentration 474 remained mostly heterogeneous with a vast influence from localized hotspots of infection. 475

Fig. 5 illustrates the combined loads of SARS-CoV-2 RNA, over time, in the chosen
WWTP service areas. The concentrations of SARS-CoV-2 RNA (E_Sarbecco) from
all five WWTP were merged daily to obtain an estimation of the concentrations in the
regions tested.

480 The trend combined for the regions was equivalent to the trends observed in the 481 clinical surveillance. It is evident from the present data that the reopening phase, in

May, corresponded to an increment in the viral load, which is in accordance with the increase observed, in Portugal, in the number of new daily COVID-19 reported cases. Following this phase, the country entered the summer vacation period, with a slight decrease in viral load. The third and final stage of viral loading, in this study, occurred after the reopening of schools and return to partial face-to-face work. At this stage, viral loading increased gradually in parallel with the rise of new daily COVID-19 cases in the country.



Fig. 5. Daily increase in COVID-19 cases (A) (DGS, 2020) and combined SARS-CoV-2 concentration in wastewater for the regions under study over the 32-week period with LOWESS smoothing (B)

The pattern similarity between the number of new COVID-19 cases reported daily, provided by clinical testing, and the load of SARS-CoV-2 RNA in raw wastewater further proves the usefulness of WBE for SARS-CoV-2, as well as potential future 498 pandemic. Such representation (Fig. 5B), could therefore be integrated with syndromic 499 surveillance data, as an early-warning system for the increase of the number of 500 infected individuals within the community. Although the number of cases peaked 501 during the month of November, SARS-CoV-2 RNA loading did not differ acutely 502 between the months of June and October-November, despite the steep difference in 503 the number of cases. This may have resulted from an increase in the testing 504 capacity/availability of tests during the latter phase (Fig. S8). Such result further 505 highlights the usefulness of WBE for SARS-CoV-2, particularly in locations where 506 testing is reduced or even unavailable.

507 Results from individual testing should be the most accurate measure of transmission 508 and disease occurrence in the population, but the scale of testing (spatial and 509 temporal) necessary to have accurate information and to be able to follow the spread 510 of the virus in the population is unrealistic and economically impracticable for most 511 countries. Additionally, continuous testing indispensable for the effective control of the 512 disease is economically and timely challenging. Wastewater monitoring represents 513 testing thousands of infected people simultaneously rather than a single person and 514 is complimentary to syndromic surveillance of COVID-19. The knowledge provided by 515 the analysis of wastewater can, therefore, be employed as an impartial surveillance 516 tool, reflecting more closely the health of a population. Moreover, wastewater may also 517 allow for a precocious detection of new SARS-CoV-2 variants circulating in the 518 community (Crits-Christoph et al., 2021; Jahn et al., 2021). WBE for SARS-CoV-2, and future emerging pathogens, has the potential to target the need for more localized 519 520 clinical testing, facilitating the detection of occasional hotspots of infection likely to 521 occur as this or other pandemics take place. It is scalable, with a fast turnaround, and 522 economically competitive. WBE could be useful in school or nursing home settings, to

evaluate the presence and spread of the viruses instead of testing hundreds or
thousands of individuals. Additionally, WBE can be a very powerful tool in countries
with limited resources, to inform decisions and in aiding with policy making.

526 4. Conclusion

SARS-CoV-2 RNA was detected in raw wastewater of all five studied WWTP
 at concentrations similar to those reported in other studies. Data reflected the
 different epidemiological stages, including surges and decreases, observed
 with the syndromic surveillance.

• The selection of sampling methods, composite vs grab, may have a massive impact in the results and potential use of WBE for SARS-CoV-2 or any other future pandemic, particularly in situations where low circulation of the microorganism is expected.

The total load of SARS-CoV-2 RNA in raw wastewater followed a similar trend
 to the number of daily new COVID-19 reported cases. Considering data, the
 use of viral loading would be a more suitable approach than gene-based
 approaches to use in WBE settings. We consider using the number of daily new
 COVID-19 reported cases a more suitable approach to simply comparing with
 cumulative number of cases especially when dealing with several waves of
 infection.

• Data from this study corroborates the plausibility and timeliness of the development and deployment of a nationwide WBE system for SARS-CoV-2 (naturally, ideally scalable for future pandemics) to aid local health and governmental authorities in policy making to help with future health crisis.

546

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551

552 **Declaration of Competing Interest**

- 553 The authors declare that they have no known competing financial interests or personal relationships
- that could have appeared to influence the work reported in this paper.
- 555

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Primers and probes used in this study				
Assay	Sequence (5' - 3') ^a	Length	Location in SARS-	
		(bp)	CoV-2	
			genome (bp)	
MNV	F: CACGCCACCGATCTGTTCTG	108	4,972 – 5,080	
	R: GCGCTGCGCCATCACTC			
	P: 6FAM-CGCTTTGGAACAATG-MGB			
SARS-CoV-2:	F: ACAGGTACGTTAATAGTTAATAGCGT	112	26,141 - 26,253	
E_Sarbecco	R: ATATTGCAGCAGTACGCACACA			
	P: 6FAM-ACACTAGCCATCCTTACTGCGCTTCG-BHQ			
SARS-CoV-2:	F: GTGARATGGTCATGTGTGGCGG	99	15,361 – 15,460	
RdRp	R: CARATGTTAAASACACTATTAGCATA			
	P1: 6FAM-CCAGGTGGWACRTCATCMGGTGATGC-BHQ			
	P2: 6FAM-CAGGTGGAACCTCATCAGGAGATGC-BHQ			
SARS-CoV-2:	F: CACATTGGCACCCGCAATC	127	28,555 – 28,682	
N_Sarbecco	R: GAGGAACGAGAAGAGGCTTG			
	P: 6FAM-ACTTCCTCAAGGAACAACATTGCCA-BHQ			

^a W is A/T; R is G/A; M is A/C; S is G/C. FAM: 6-carboxyfluorescein; MGB: minor groove binder; BHQ: blackhole quencher.

Table 2.

SARS-CoV-2 RNA concentration and percentage of positive samples in the overall study and in each WWTP

Sampling location	% Positive samples	SARS-CoV-2 RNA concentration variation (GC/L)
All WWTP	72 (291/404)	$3.13 \times 10^3 - 8.95 \times 10^5$
AL	82 (65/79)	3.86 x 10 ³ - 8.17 x 10 ⁵
BE	85 (74/87)	$3.13 \times 10^3 - 5.43 \times 10^5$
GU	85 (67/79)	$3.41 \times 10^3 - 8.95 \times 10^5$
GA	56 (44/79)	3.30 x 10 ³ – 3.93 x 10 ⁵
SE	51 (41/80)	3.29 x 10 ³ – 3.20 x 10 ⁵











Supplementary material for on-line publication only

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CrediT authorship contribution statement

Sílvia Monteiro: conceptualization, methodology, software, validation, formal analysis, investigation, writing – original draft, writing – review and editing, visualization. Daniela Rente: investigation. Mónica V. Cunha: review and editing. Manuel Carmo Gomes: review and editing. Tiago A. Marques: review and editing. Artur B. Lourenço: review and editing. Eugénia Cardoso: review and editing, sampling; Pedro Álvaro: review and editing, sampling; João Vilaça: review and editing, sampling; Fátima Meireles: review and editing, sampling; Nuno Brôco: project administration, funding acquisition, review and editing; Marta Carvalho: project administration, funding acquisition, review and editing; Ricardo Santos: conceptualization, methodology, resources, formal analysis, writing – review and editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.