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## Microvasculopathy in SMA is driven by a reversible autonomous endothelial cell defect

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#### **1** Microvasculopathy in SMA is driven by a reversible autonomous

#### 2 endothelial cell defect

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#### 46 Conflict of interest statement

Professor Francesco Muntoni has served on scientific advisory boards for Sarepta, Pfizer, 47 Roche, Novartis, Biogen, and Dyne Therapeutics, his institute receives research support from 48 Biogen and Sarepta, and has received funding for trials from Novartis, Biogen, Genethon, 49 50 Pfizer, Roche, and Sarepta Therapeutics. Dr Mariacristina Scoto has served on scientific advisory boards for Roche, Biogen and Novartis and has received funding for trials from 51 Roche and Biogen. Dr Giovanni Baranello has received speaker and consulting fees from 52 53 Biogen, Novartis and Roche, grant support and SMA studies sponsored by Novartis and Roche. Professor Paul Brogan has received consultancy fees from SOBI, Novartis, and 54 Roche. Professor Thomas H. Gillingwater has served on global scientific and clinical 55 advisory boards for SMA Europe and Roche. All the other authors declare no conflicts of 56 interest in this study. 57

#### 58 Abstract

Spinal muscular atrophy (SMA) is a neuromuscular disorder due to degeneration of spinal 59 cord motor neurons caused by the deficiency of the ubiquitously expressed SMN protein. 60 Here, we present a retinal vascular defect in patients, recapitulated in SMA transgenic mice, 61 driven by failure of angiogenesis and maturation of blood vessels. Importantly, the retinal 62 vascular phenotype was rescued by early, systemic SMN restoration therapy in SMA mice. 63 We also demonstrate in patients an unfavourable imbalance between endothelial injury and 64 repair, as indicated by increased circulating endothelial cell counts and decreased endothelial 65 progenitor cell counts in blood circulation. The cellular markers of endothelial injury were 66 associated with disease severity and improved following SMN restoration treatment in 67 cultured endothelial cells from patients. Finally, we demonstrated autonomous defects in 68 angiogenesis and blood vessel formation, secondary to SMN deficiency in cultured human 69 and mouse endothelial cells, as the underlying cellular mechanism of microvascular 70 pathology. Our cellular and vascular biomarkers findings indicate microvasculopathy as a 71 fundamental feature of SMA. Our findings provide mechanistic insights into previously 72 described SMA microvascular complications, and highlight the functional role of SMN in the 73 74 periphery, including the vascular system, where deficiency of SMN can be addressed by systemic SMN-restoring treatment. 75

76

#### 78 Introduction

Spinal muscular atrophy (SMA) is an autosomal recessive neuromuscular disorder caused, in 79 ~95% of patients, by homozygous deletion of the Survival Motor Neuron 1 gene (SMN1) (1). 80 The neuropathological hallmark of SMA is the selective degeneration of lower motor 81 neurons, with ensuing muscle atrophy and weakness. In the most common and severe form 82 83 (Type 1 SMA, or Werdnig Hoffman disease), children die within the first 2 years of life, without acquiring the ability to sit. Relatively milder forms are intermediate or Type 2 SMA, 84 in which children sit but are unable to walk; and Type 3 SMA, in which ambulation is 85 acquired although often lost with age (2). SMA Type 1 is the most common genetic cause of 86 infant mortality, with an incidence of around 1:6000 live births and a carrier frequency of 87  $\sim$ 1:35 in the Caucasian population (3). 88 89 Notable breakthroughs in therapy for SMA have recently been achieved using therapeutic interventions aimed at increasing SMN protein levels (4). Nusinersen is an antisense 90 91 oligonucleotide (AON) drug that has been approved by the US Food and Drug Administration (FDA) and European Medicines Agency (EMA), for the treatment of patients 92

93 with SMA (5, 6). It increases full-length SMN protein expression by targeting the intronic

splicing silencer N1 element (ISS-N1) in intron 7 of the *SMN2* gene and augmenting exon 7

95 inclusion in the mature SMN2 mRNA (7, 8). Nusinersen is delivered exclusively to the

96 central nervous system (CNS) by regular intrathecal injections. *SMN1* gene replacement

97 therapy using adeno-associated viral vectors (AAV) is another effective therapy for SMA (9-

98 11). Onasemnogene abeparvovec (AVXS-101,) an AAV9-SMN gene therapy drug approved

by FDA and EMA, is a one-off treatment delivered intravenously in infants with SMA Type

100 1, and is able to cross the blood-brain barrier. The orally bioavailable small molecules *SMN2* 

splice modulators risdiplam and branaplam are also effective, with risdiplam having been

approved by FDA and EMA (12, 13). In contrast to nusinersen, which acts exclusively in the

103 CNS, both onasemnogene abeparvovec and risdiplam are delivered systemically and
104 therefore address SMN deficiency in both the CNS and peripheral organs (14), although
105 differences in peripheral biodistribution between these two therapies exist.

The SMN protein is ubiquitously expressed. While SMA is primarily a lower motor neuron
disease, an increasing body of evidence from clinical and animal-based studies indicates a
contribution of peripheral organs to the complex pathogenesis of the disease (15-17). It is
therefore important to determine which organs have a clinically relevant requirement for
SMN protein, as different therapies may differentially address the SMN deficiency in discrete
tissues and organs.

Vascular-related defects have been reported in severe cases of infantile SMA, and in mouse 112 113 models of SMN deficiency. These include digital necrosis, distal vascular thrombosis and 114 skin necrosis (18-20); tail and ear necrosis, decreased density of capillaries in spinal cord and intestine in SMA mice (21-23); and decreased density of capillaries in skeletal muscle from 115 both patients and mice (22,24). Cardiovascular abnormalities, including congenital heart 116 disease and heart failure, have also been reported in severe SMA patients and SMA mice (19, 117 25-27). These defects result in widespread tissue hypoxia in animal models of SMA, to which 118 motor neurons appear to be particularly susceptible (28). In addition, changes in the 119 120 expression of some vascular-related factors have been reported in blood samples of patients 121 with SMA, suggesting that the vascular abnormalities could be pervasive in the SMA patient population (29). 122

Given the essential nature of the blood supply to the spinal cord and brain, and the sensitivity of motor neurons to defects in oxygen supply from disordered capillary networks, it is important to clarify the nature of a microvascular disease phenotype in SMA. Here, we used paediatric retinal imaging to reveal defects in retinal vascularisation in SMA patients. We

127 recapitulated the phenotype in mouse models of severe SMA and demonstrated defective angiogenesis and maturation of the primary retinal vasculature. In addition, we show that 128 antisense therapy, delivered systemically at birth to increase SMN protein expression, can 129 normalise the microvascular defect in these mice. Using blood samples from patients, we go 130 on to show increased vascular damage and decreased vascular repair. Importantly, this 131 vascular damage was correlated with disease severity and SMN2 copy number. Finally, using 132 two different cellular systems, we show that the vascular defect is driven by a cell-133 autonomous defect in the ability of SMN-depleted endothelial cells to form vessels. 134

#### 135 **Results**

#### 136 A retinal vascular phenotype in SMA patients

137 Retinal imaging is a reliable indicator of vascular health and disease in the CNS (30). Conventional colour fundus photography captures a field of view of 30-45°, but here we used 138 ultra-widefield imaging to capture an extended image of the retinal vasculature, with an angular 139 field of view of up to 200°. This allowed us to assess larger areas of the retina, but as the 140 imaging equipment requires postural cooperation from participants to position the eye at the 141 142 optimal distance for focus and field of view, we restricted our study to SMA Type 2 and 3 patients (31). The patients had normal visual acuity and did not complain of any visual 143 difficulty. 144

145 We analysed ultra-widefield images from 21 eyes of 11 SMA patients (images from one eye were excluded due to poor view of the vasculature obstructed by eyelash) and 46 eyes of 23 146 healthy control children, to generate Fractal Dimension (FD) measures of retinal vessel 147 branching complexity. FD is a unitless index of the degree of complexity and hence space 148 filling by the vessels, on the retinal surface. FD is a well-established parameter for 149 150 objectively characterising the complexity of the retinal microvasculature (32) and is used in 151 neurogenerative diseases as a surrogate for cerebral microvasculature changes (31). FD values generally range between 1 and 2, and we considered a change of 0.01 to be 152 153 biologically relevant based upon our previous experience (Pead E, unpublished observations). A lower FD value indicates a pattern of retinal vessels that is less space filling, analogous to 154 increased lacunarity or mesh size. We calculated the FD in three regions of interest (ROI); a 155 156 Standardised ROI for ultra-wide field images (VAMPIRE-UWF), further subdivided into a Posterior ROI (centred around the optic disc) and a Midperipheral ROI (the difference in 157 area between the standardised and posterior ROI) (see Methods). SMA patients had a 158

significantly lower FD in the *Standardised* ROI using two different methods of segmentation ( $\beta = -0.019$ , P< 0.001 by the Pellegrini method;  $\beta = -0.017$ , P= 0.001 by the IterNet method; Table 1) (33, 34), indicating that the vascular patterning was less space filling within this ROI (Figure 1). Using the IterNet method of segmentation that detects smaller branching vessels (33), SMA patients exhibited a significantly lower FD in the Posterior ROI compared to controls ( $\beta = -0.018$ , P< 0.001) (Figure 1). The mean FD was lower in the midperiphery zone but did not reach statistical significance.

166 The significant difference in FD indicates a less dense and less complex primary retinal

167 vasculature in SMA patients when compared to age matched control children.

#### 168 Demonstration of the retinal vascular phenotype in SMA mice

In order to further investigate this retinal vascular phenotype, we explored the possibility that 169 the primary retinal vasculature defect could be replicated in the 'Taiwanese' mouse model of 170 171 severe SMA (35). In mice, the retinal vasculature develops exclusively postnatally, and can be demonstrated by immunohistochemical staining of whole mount retinae, using a vascular 172 endothelial cell marker Griffonia Simplicifolia Lectin I/ isolectin B4 (GSL I/ IB4). Stained 173 174 retinas showed that vascular defects were readily apparent in the SMA mice from a presymptomatic age: postnatal day 3 (P3). In the SMA retinas the centripetal pattern of 175 angiogenic outgrowth from the optic disc toward the periphery lagged behind the retinas of 176 unaffected control littermates (Figure 2A). AngioTool software (36), which allows for semi-177 automated reconstruction and quantification of vascular networks, was used to analyse the 178 morphometric and spatial parameters of the retinal vasculature. A dramatic disease phenotype 179 was apparent in all parameters of vascular network outgrowth complexity measured. Vessel 180 outgrowth, a measure of overall microvascular density, and expressed as a percentage of total 181 retinal area, was significantly reduced in SMA compared to control mice at early 182

183	symptomatic P5 (Control: 57.1±2.3% and SMA: 32.0±2.2%, P<0.001), and late symptomatic
184	P8 (Control: 78.2±2.0% and SMA: 27.6±2.0%, P<0.001), but not at pre-symptomatic P3
185	(Control: 34.1±0.8% and SMA: 28.8±2.8%, P=NS) (Figure 2B) time points. Vessel
186	outgrowth increased in control retinas between P3 and P5, and between P3 and P8 (P<0.001),
187	but not in SMA retinas over the same periods. Numbers of microvessel endpoints, a measure
188	of the number of likely angiogenic 'tips', was significantly reduced in SMA compared to
189	control retinas at P3 (Control: 155.7±8.0 and SMA: 96.2±4.5, P<0.001), P5 (Control:
190	233.4±10.4 and SMA: 93.5±6.8, P<0.001) and P8 (Control: 301.3±11.9 and SMA: 84.9±6.2,
191	P<0.001) (Figure 2C). Numbers of endpoints increased in control retinas between P3 and P5,
192	and between P3 and P8 (P<0.001), but not in SMA retinas over the same periods. The
193	decreases in microvascular outgrowth and vessel endpoints in SMA retinas indicate
194	decreased angiogenesis and were inversely correlated with a significant increase in lacunarity
195	(a measure of network mesh size) in SMA at P3 (Control: 0.87 $\pm$ 0.06 and SMA: 1.72 $\pm$ 0.11,
196	P<0.001), P5 (Control: 0.22±0.04 and SMA: 0.71±0.05, P<0.001) and P8 (Control: 0.2±0.01
197	and SMA: 0.91±0.12, P<0.001) (Figure 2D). Lacunarity decreased in control and SMA
198	retinas between P3 and P5 (P<0.001), and between P5 and P8 (P<0.001), indicating a
199	developing and increased complexity of the microvascular plexus, resulting in a highly
200	branched and ramified structure in control, but not in SMA retinas. Together these data
201	support the patient data and reveal reduced vessel complexity (FD in the patients) in the
202	retina as a consistent and potentially important phenotype in SMA.
203	Preparations in which blood vessels were labelled by GSL I/ IB4, also show a population of
204	small, isolated cells. GSL I/IB4 is an established marker of activated and quiescent microglia
205	(37). The morphology of blood vessels and microglia are sufficiently different to make their

206 identification possible, and this showed that there was an apparent increase in the number of

207 microglia present in SMA retinas, especially in the periphery, where no blood vessels are208 present (Figure 2A).

209 Detailed investigation of the retinal vasculature in SMA mice showed that the naked collagen IV basal lamina tubes, indicative of vessel loss, were not present in either SMA or control 210 mice retinas, rather vessel and basal lamina were closely correlated (Figure 2E). This 211 212 suggests that there was no evidence of the vessel regression, which would be indicative of a degenerative phenotype. Further, those vessels present in SMA retinas were failing to mature 213 into arterioles, indicated by a lack of acquisition of smooth muscle (stained with  $\alpha$ -smooth 214 muscle actin) into vessel walls when compared with the mature, differentiated arterioles in 215 control retinas (Figure 2F). Finally, the pre-existing astrocytic base (stained with glial 216 fibrillary acidic protein), over which developing retinal vessels grow, appeared similar in 217 control and SMA mice. However, the alignment of vessels upon this framework was very 218 weak in SMA animals (Figure 2G). These findings are consistent with an impaired 219 220 angiogenic phenotype in SMA mice. Interestingly, the pre-existing, embryonic, hyaloid vasculature appeared near normal in P5 SMA mice compared to control retinas 221 (Supplemental Figure 1). 222

Taken together, these data reveal an important microvascular pathology of the retina in the
SMA mice, likely related to SMN protein deficiency. This phenotype, present in both SMA
patients and mouse models, points toward fundamental defects in angiogenesis and
microvascular development in the vessels supplying the CNS in SMA.

## 227 Systemic *in vivo* antisense oligonucleotide treatment restores the retinal vascular 228 network

To determine if these microvascular pathologies are amendable to therapeutic intervention,we next studied the outcome of the administration of a 25mer morpholino therapeutic AON

231	(PMO25), on SMA mouse retinas. SMA mice were treated with a single subcutaneous
232	injection of PMO25 at 40 $\mu$ g/g at P0 as described previously (21, 38). At a late symptomatic
233	timepoint of P10, retinas were collected from saline-treated SMA mice, PMO25-treated SMA
234	mice and healthy littermate controls. Quantitative real-time PCR on SMN2 transcripts in
235	mouse retinas showed significant increase by 5.5-fold in the full-length SMN2 transcripts
236	(P<0.0001) and decease by 37% in the SMN2 transcripts lacking exon 7 ( $\Delta$ 7 SMN2)
237	(P=0.0005) in PMO25-treated SMA mice compared to untreated SMA controls (Figure 3A),
238	suggesting the high efficacy of PMO25 in augmenting SMN2 exon7 splicing. The therapeutic
239	effect of PMO25 was further confirmed by western blotting, with over 8-fold increase of
240	SMN protein after PMO25 treatment (Figure 3B). Immunohistochemical staining of the
241	retinal vasculature using GSL I/IB4, showed the expected, severe pathology in the SMA
242	retinas, but also the recovery of vascularity in the PMO25-treated SMA mice (Figure 3C).
243	This was reflected in the restoration of key parameters of network complexity at P10. Vessel
244	outgrowth, expressed as a percentage of total area, was significantly decreased in SMA
245	compared to healthy control mice (Control: 95.7±0.33%, SMA: 34.2±1.7%, P<0.001), and
246	significantly restored following PMO25 treatment (SMA+PMO25: 82.9±0.35%, P<0.001).
247	Numbers of endpoints were significantly decreased in SMA compared to control mice
248	(Control: 710±42.9, SMA: 203±37.9, P<0.05), and almost completely restored after PMO25
249	treatment (SMA+PMO25: 559.8±133.6, P<0.05). Finally, the significantly increased
250	lacunarity observed in the SMA compared to control mice (Control: 0.21±0.01, SMA:
251	2.81±0.47, P<0.001), was also ameliorated after PMO25 treatment (SMA+PMO25:
252	0.31±0.04, P<0.001) (Figure 3C, D).
253	These data support the idea that pathology of blood vessels, and constituent endothelial cells

in SMA, which lie on the systemic, vascular face of the blood-brain and blood-retinal

barriers, is reversible and amendable to systemically delivered SMN-restoring AON.

## Reduced retinal vascularity precedes a reduction in neuronal population and increased microgliosis in SMA mice

258 In order to determine whether the severe defects in vascularisation were associated with any damage to, or loss of, neuronal population of the retina, we visualised and quantified the 259 neural retina in SMA mice. The neural retina is a deep, multi-layered structure. Initial 260 261 quantification of hematoxylin and eosin (H&E) stained sections of whole retinas from SMA mice at P5 and P8 (Figure 4A), showed a significant reduction in retinal thickness in SMA 262 retinas compared to controls at P5 (Control: 162.8±5.8µm and SMA: 138.2±1.3µm, P<0.01) 263 and P8 (Control: 132.8±3.1µm and SMA: 89.0±3.0µm, P<0.001) (Figure 4B). Note that 264 retinal thickness decreased in both control and SMA retinas between P5 and P8 (P<0.001, 265 Figure 4B). A further detailed immunohistochemical analysis of the different cells which 266 make up the multi-layered retina, showed that numbers of retinal ganglion cells (RGCs) 267 identified by BRN3a staining (Figure 4C), were similar at P5 in SMA and control littermate 268 mice (Control: 4.44±0.4 and SMA: 4.77±0.3, P=NS), but significantly reduced in SMA at P8 269 (Control: 3.7±0.2 and SMA: 1.9±0.2, P<0.001) (Figure 4D). There was no change in numbers 270 of RGCs in control retinas between P5 and P8 (P=NS), while SMA retinas showed a 271 significant loss of RGCs over the same period (P<0.001, Figure 4D). Next, and focussing on 272 P8, we stained amacrine and horizontal cells with Pax6 (Figure 4E), which revealed a 273 274 significant reduction in SMA retinas compared to healthy littermate controls (Control: 21.4±0.9 and SMA: 9.6±1.1, P<0.0001, Figure 4F). 275 Microglia are implicated in both vessel and synaptic remodelling as well as in disease 276 277 processes in the retina (39), and we had seen their apparent increase in SMA mouse retinas in our original observations (Figure 2A). We therefore quantified the microglia in wholemount 278

- 279 preparations, stained with GSL I/ IB4 lectin and identified by their morphology (Figure 4G).
- 280 Numbers of microglia were not changed in SMA retinas at P3 compared to control littermates

(Control: 13.0±1.07 and SMA: 19.8±1.7, P=NS) but significantly increased at P5 (Control: 281 10.9±1.2 and SMA: 19.7±1.9, P<0.05) (Figure 4H). By P8 microglia were almost absent in 282 control retinas but were dense in SMA retinas (Control: 2.0±0.9 and SMA: 32.8±3.7, 283 P<0.001, Figure 4H). Over this period numbers of microglia were consistent between P3 and 284 P5 in control retinas (P=NS) but decreased between P5 and P8 (P<0.05). In SMA retinas, 285 microglia were again stable between P3 and P5 (P=NS) but increased between P5 and P8 286 287 (P<0.001, Figure 4H). We also confirmed the identity of these cells using a second established marker, ionized calcium binding adaptor molecule 1 (iba1) (40). This showed the 288 289 same pattern of increases in microglia in SMA at P8, with the appearance of large numbers of microglia in the deeper synaptic inner and outer nuclear layers in sections of the retina 290 (Supplemental Figure 2). Conversely, in control retinas, microglia were noticeable by their 291 292 absence in these deeper layers.

Finally, we examined the light sensitive photoreceptors of the retina, where we identified rods by red/ green and blue opsin staining, and cones identified by rhodopsin staining at P8 (Figure 4I). Photoreceptors were depleted in SMA retinas compared to control littermates (Control rods:  $8.1\pm0.6\%$  and SMA:  $0.9\pm0.3\%$ , P<0.001; Control Cones:  $23.4\pm2.5\%$  and SMA:  $9.2\pm3.1\%$ , P<0.01, Figure 4J).

The loss of these retinal cells likely underlies the dramatic thinning of the retina which we initially observed in Figure 4A. These data show a close association between an early reduction in CNS vascularity and a concurrent or later loss of neurons. In turn this is associated with a progressive increase in microglia.

#### 302 Endothelial injury markers are increased in peripheral blood from SMA patients

To gather further insight on the microvascular system, we investigated the markers of
endothelial injury in blood circulation in SMA patients. Vascular health is determined by a

- balance between endothelial injury and repair (41-43). In response to chronic vascular
  inflammation or trauma associated with endothelial injury, endothelial cells detach from
  vessel walls and enter the blood circulation (44-46). These circulating endothelial cells
- 308 (CECs) allow vascular injury to be tracked in patients with vasculopathy (47-49).
- 309 Therefore, to assess whether vascular damage was present in patients with SMA, the levels of
- 310 CECs in peripheral blood were measured and compared with age-matched healthy controls.
- 311 The CEC count in patients with SMA (n=32) was higher at 147/mL (8-800) compared to
- 15/mL (0-64) in healthy controls (n=67, P< 0.0001) (Figure 5A). Significant differences in
- the CEC count were also detected in SMA patients with differing clinical severity when
- compared to healthy controls: Type 1 SMA patients 291/mL (144-640, n=6, P<0.0001); Type
- 2 patients 169/mL (8-800, n=12, P<0.0001); and Type 3 patients 64/mL (8-176, n=11,
- 316 P=0.3111). Significant difference was also detected between SMA Type 1 and Type 3
- patients (P<0.0001) (Figure 5B). Moreover, a significant negative correlation was found
- between the CEC count and *SMN2* copy number ( $r^2 = 0.2344$ , P< 0.05) (Figure 5C).
- 319 These results provide evidence of an ongoing endothelial injury in SMA patients and suggest
- a close association between endothelial injury and disease severity and/or *SMN2* copy
- number. These findings also highlight the potential utility of CEC counts in peripheral blood
- 322 as a novel cellular biomarker for SMA-associated vasculopathy.

#### 323 The potential of endothelial repair is decreased in SMA patients

- 324 In the presence of vascular damage, concurrent recruitment of bone marrow-derived
- endothelial progenitor cells (EPCs) is an important mechanism for ongoing endothelial repair
- 326 (43, 50). To assess this in SMA patients, we next carried out colony-forming unit (CFU)
- 327 assays in angiogenic medium, a specific enumeration system for EPCs (51). We found a
- 328 significant decrease in the number of CFUs from EPCs isolated from SMA patient blood

CFUs/well, range: 8-40, n=13; P= 0.0002) (Figure 5D). There was, however, no significant
difference in the EPC-CFU count between different SMA subtypes: the reduction in EPCCFU was similar between SMA Type 1 (6 CFUs/well, range: 0-17, n=7), Type 2 (6
CFUs/well, range: 1-12, n=11) and Type 3 patients (9 CFUs/well, range: 3-27, n=8) (Figure

samples (8 CFUs/well, range: 1-27, n=28), compared to age-matched healthy control (19

5E). Further, no correlation between the EPC-CFU count and *SMN2* copy numbers was

335 detected ( $r^2 = 0.1696$ , P=0.1435) (Figure 5F).

This result indicates a decreased potential for endothelial repair in SMA patients. The lack of

337 correlation between SMN2 copy number and the number of EPC-CFU indicates that a

decreased potential for endothelial repair might be a general phenomenon in a range of SMA

patients. Taken together, SMA patients show defective microvascular networks, increased
vascular injury and a reduced capacity for vascular repair, consistent with a generalised

341 microvasculopathy.

329

# Antisense treatment ameliorates vascular repair defects in endothelial progenitor cells isolated from SMA patients

As intrathecal delivery of the AON nusinersen is used for treatment in SMA, and our 344 observation that systemic AON treatment was able to rescue retinal vascularity in SMA mice, 345 we next set out to investigate if AON treatment was able to rescue SMA-associated 346 vasculopathy in-vitro. We tested the effect of SMN enhancing AON treatment on the 347 348 defective EPC function in SMA patients. We treated patient-derived EPCs with a 25-mer AON (same sequence as used in mouse retina studies above) targeting ISS-N1 in SMN2 349 intron 7 (21). To avoid the potential confounding effect of transfection reagents, this AON 350 351 was synthesized in vivo-morpholino chemistry (VMO25), as described previously (21). EPCs isolated from Type 1 SMA patients (SMA-I, n=5) were treated with VMO25 (SMA-352

I+VMO25) at 1µM for 7 days in the EPC-CFU assay. VMO25 significantly increased the
number of EPC-CFU approximately 2-fold (15 CFUs/well, range 12-17) when compared to
scrambled vivo-morpholino-treated (SMA-I+Scr-VMO, 7 CFUs/well, range 4-15, P<0.05)</li>
and untreated patients' EPCs (9 CFUs/well, range 2-13, P<0.05) (Figure 5G). This suggests</li>
that to ameliorate systemic SMA vasculopathy, the systemic administration of AON is
required.

#### 359 Endothelial cell-autonomous defects in angiogenesis drive the vascular phenotype

360 The findings detailed above all point to defects in angiogenesis, increased degeneration, and poor regeneration responses in the microvascular system of SMA patients. We therefore 361 wanted to establish whether this represents a cell-autonomous endothelial phenotype, 362 363 secondary to low levels of SMN protein. To understand the nature of the association between 364 SMN and defective vascularity, we first performed a series of *in vitro* studies on angiogenesis in cultured human umbilical vein endothelial cells (HUVECs). As these are human cells 365 366 carrying both SMN1 and SMN2 genes, we used an 18-mer exon 7-skipping AON to reduce SMN protein levels (Figure 6A) (52). This exon-skipping AON binding to both SMN1 and 367 SMN2 pre-mRNA was synthesized in vivo-morpholino chemistry (E7-VMO), as above. After 368 48 hours incubation, 1 µm E7-VMO induced approximately 70% exon-skipping in SMN1 and 369 SMN2 in HUVECs compared to scrambled control (Scr-VMO), as measured by quantitative 370 371 reverse transcription PCR (Figure 6B).

372 We then used this E7-VMO to deplete SMN in HUVECs and investigate microvascular

network formation and cell migration. HUVECs were initially incubated in Matrigel for 24 h

at 37°C, followed by the treatment of E7-VMO or Scr-VMO at 1  $\mu$ M for 48 hours. HUVECs

treated with E7-VMO showed significantly (~50%) reduced HUVEC capillary network

formation as compared to untreated (Blank control) and Scr-VMO treated HUVECs (Figure 376 6C). 377

378	The ability of endothelial cells to migrate, which is key to angiogenesis, was measured by the
379	scratch migration assay (53). HUVEC migration was significantly reduced after E7-VMO
380	treatment (11.5 $\pm$ 0.65, n=4) compared to Scr-VMO control (23.5 $\pm$ 1.32, n=4; P=0.002) and
381	blank control (28.6±2.30, n=5; P<0.0001) (Figure 6D). These data suggest that a depletion of
382	SMN protein in cultured endothelial cells results in defective tube formation and migration,
383	both essential components of angiogenesis. As this effect is directly linked to SMN
384	deficiency, we concluded this represents a cell-autonomous defect.
385	We further confirmed the occurrence of the endothelial cell-autonomous defects in cells
386	derived from SMA mice. Endothelial cells were isolated from the aorta of SMA mice and
387	control littermates at P4-P6, and placed into culture. Cells were grown in Matrigel for 16 h to
388	test their ability to form tubes. SMA endothelial cells showed significantly reduced tube
389	formation (Figure 6E). The percentage of the total area covered by vessels was reduced by
390	approximately 50% (Control: 24.57±1.06, SMA: 12.49±1.22, P<0.01), there was less
391	branching, as the number of junctions were reduced by approximately 60% (Control:
392	159.7±26.49, SMA: 66.7±11.4, P<0.05), while lacunarity (the mesh size of the spaces in the
393	vessel network) was approximately 3 times greater in control (1.09±0.22) compared with
394	SMA (0.38±0.03, P<0.05) cultures. Although not statistically significant, SMA cultures also
395	tended to have fewer end points (tubes terminating in a growing tip: Control: 152±24.01,
396	SMA: 91.3±11.98, P=0.08, Figure 6F). Taken together, these data confirm an endothelial
397	cell-autonomous defect in response to reduced SMN protein levels resulting in reduced
398	angiogenesis.

#### 399 Discussion

400 In this study, we have identified and characterised microvascular defects in SMA,

401 investigated by imaging, histological, molecular and cellular studies in SMA patients,

transgenic SMA mice and cellular models with SMN deficiency. We reveal a widespread

403 microvascular pathology which is amendable to systemically-delivered SMN-restoring

404 therapy, and describe gross and cellular biomarkers of vascular pathology.

The eye and neural retina are a window on the brain, and an area of growing interest in 405 406 neurodegenerative diseases (29,54, 55), including motor neuron disease (56). However, retinal microvasculature has not previously been examined in SMA, even though there are 407 changes in CNS neuronal and vascular parameters in SMA (22, 28). Here, by analysing ultra-408 409 widefield ophthalmoscopy images, we were able to detect a retinal vascular phenotype in 410 children with SMA. Although the long-term biological implications of this finding in children are unknown, a lower FD in the retinal implicates subclinical microvascular changes in the 411 412 systemic vasculature (57, 31). While FD is a promising measure for capturing the state of the vascular geometry, there are limitations to its computation using the method of automatic 413 vessel detection or segmentation. We therefore applied two vessel segmentation methods to 414 evaluate the stability of observations of statistically significant changes in FD. The key 415 difference between the two techniques is the amount of vasculature that is detected. The 416 417 Pellegrini technique (previous version of VAMPIRE-UWF) performs well on segmenting the larger and more prominent vessels whereas the IterNet technique (current version of 418 VAMPIRE-UWF) segments both the prominent and the smaller vessels. Therefore, the 419 420 IterNet technique includes more of the vasculature in FD computation than the Pellegrini technique. We believe the influence of including a more complete detection of the 421 422 vasculature in FD computation is the contributing factor to a significant difference in the posterior ROI that was not observed in the Pellegrini technique as this region contains 423

numerous small vessels. In addition, recent work reported a non-uniform FD (obtained from
Pellegrini segmentation) in the four retinal quadrants and a decrease in FD with increasing
distance from the fovea in UWF retinal images from healthy people (58), therefore between
ROI difference is not unexpected. However, it is not clear how this may relate to specific
clinical vulnerability of different retinal regions which may have different metabolic demand
for perfusion.

In SMA mice, widespread tissue hypoxia and multi-organ cellular hypoxic response have 430 been previously demonstrated in both CNS and periphery (28). This was accompanied by 431 increased glucose uptake in many affected organs, including spinal cord and eyes, as part of 432 the hypoxia response (28). Hypoxia response is usually started by hypoxia induced factor 433 (HIF) -1 and HIF-2 (59). However, no upregulation of HIF-1a or HIF-2 protein was detected 434 in either of the hypoxic organs in SMA mice at the time point of the analysis (Supplemental 435 Figure 3), suggesting tissue hypoxia is a rather complex dynamic cellular process under SMN 436 437 deficiency. We have nevertheless showed that the widespread tissue hypoxia is associated with increased neuronal vulnerability to hypoxia in SMA mice (28). It is hypothesized that a 438 disrupted neurovascular unit, consisting of local neurons, astrocytes and vascular endothelial 439 440 cells, could exist in SMA (60). Indeed, the disruption of neuron-astrocyte-endothelial communication has recently been reported in both Alzheimer's disease (31, 61) and ALS 441 442 (62). Early capillary regression results in insufficient local capillary blood flow and hypoxia (22), and we suggest that the reduced vascular tissue perfusion might in turn accelerate 443 neuronal loss, leading to a vicious cycle involved in SMA disease progression. Further, 444 defects in astrocyte to neuron communication in SMA (63), may also affect astrocyte to 445 retinal ganglion cell interactions in the retina. Finally, microgliosis was initially reported in 446 SMA mouse ventral horn (64), the effect of SMN depletion in microglia has been highlighted 447 (65), and microglia are identified by GSL I/ IB4 stain following ischaemia (66). These 448

observations suggest an intrinsic and an extrinsic origin for microgliosis in SMA, which is
particularly associated with synapse loss. This fits with our observation of an increase in
microglia observed in the deeper layers of the retina, where neurons are lost. These
observations suggest that the microgliosis seen in SMA mouse retinas may be indicative of a
more broadly relevant phenotype in SMA.

Although we could not perform retinal imaging in Type 1 SMA infants due to the positioning compliance needed, we anticipate that the retinal vasculature abnormalities are likely to be present also in this severe form of SMA. The alterations in retinal vasculature in the milder children with SMA Types 2 and 3 are in keeping with the nature of the reported anomalies in retinal vasculature in SMA mouse models, though mice develop their vasculature postnatally in contrast to human infants who have retinal vasculature reaching the retinal periphery by term (67).

The identification of the retinal vascular phenotype is timely as detailed ocular surveillance 461 462 has recently been put in place for SMA patients receiving risdiplam, due to the observation of retinal toxicity in preclinical toxicology studies (68). Reassuringly, neither the photoreceptor 463 degeneration nor microcystoid macular degeneration, previously detected in treated monkeys, 464 were seen in risdiplam treated patients (69). The study did include fundus photography, but 465 the vascularity of the retina was not analysed. The less complex and less dense vasculature 466 467 we quantified in SMA patients may not be obvious by direct inspection of an individual fundus image without FD analysis. Further analysis of the vasculature of these retinal images 468 may provide more valuable information in this patient group. Future work to assess the extent 469 of the microvascular structure defect in other anatomical localisation, for example the nailbed 470 capillaries which can be assessed non-invasively using a capillaroscopy system (70, 71), 471 would also be of interest. 472

Our studies demonstrate that there is an imbalance in endothelial injury and repair in SMA, as 473 indicated by increased numbers of CECs, and decreased numbers of EPCs in SMA patient 474 475 blood (Figure 5). CECs have been used as a marker to track endothelial injury in a wide range of acquired vascular disorders (46, 72), but never in SMA. We demonstrate that in SMA 476 patients there was a correlation between the SMN2 copy number and the increased CEC 477 number, indicating the potential of CEC concentration in blood as a cellular biomarker of 478 479 endothelial injury in SMA (Figure 5). Bone marrow-derived EPCs play an important role in endothelial maintenance and vascular healing (50), and act as a cellular biomarker of 480 481 endothelial repair in various vascular diseases (43, 51, 73). The decreased EPC-CFU numbers in SMA patients (Figure 5D, E) and the sensitive response of cultured EPCs isolated from 482 SMA Type 1 patients to AON treatment in vitro (Figure 5G), further support EPC as a 483 potential cellular marker indicative of endothelial repair in SMA. 484 Finally, we revealed defective angiogenesis in cultured SMN-depleted endothelial cells, 485 486 indicative of a cell-autonomous, SMN dependent pathology. While cell-autonomous defects secondary to SMN deficiency have been reported in key components of the motor system, 487 including motor neurons (74), skeletal muscle satellite cells (75) and Schwann cells (76), 488 there are no data on the vascular system. We demonstrate that cultured human endothelial 489 cells with induced SMN deficiency display defects in vascular tube formation and endothelial 490 491 cell migration (Figure 6A-D), but not endothelial cell apoptosis (Supplemental Figure 4). The cell autonomous defect was further confirmed in endothelial cells isolated from the aorta of 492 SMA mice, which also showed reduced vascular tube formation (Figure 6E, F), a surrogate 493 for angiogenesis. These data confirm the angiogenic phenotype described in the mouse 494 retinal data, where the amount of outgrowth and number of growing tips of the vascular 495 plexus were both depleted (Figure 2). In addition, the absence of vessel loss, failure of vessels 496

497 to mature and disorganised vessel growth, all point toward a primary failure in the ability of498 endothelial cells to grow and develop.

499 Our study indicates that microvasculopathy is a widespread phenomenon in patients and mice affected by SMA. This microvasculopathy is driven by an endothelial cell-autonomous defect 500 in angiogenesis. This likely accelerates disease progression by further compromising organs 501 502 that are already affected by SMN deficiency. Our findings emphasize the importance of therapeutic intervention to address the peripheral manifestation of SMA in addition to the 503 central nervous system. While some therapies target both the periphery and CNS (10, 68), 504 their effect on the vascular phenotype is still unknown. Onasemnogene abeparvovec has 505 shown efficacy in restoring motor function and survival in Type 1 SMA patients, especially 506 when administered close to disease onset (10). However, its limitation in rescuing the 507 vascular-related clinical features (digit necrosis and diffuse macular rash) was highlighted in 508 a recent report of a child with SMA Type 0 who was treated with both nusinersen and 509 onasemnogene abeparvovec. These peripheral manifestations remained unchanged, despite 510 the modest motor improvement (77). Whilst AAV9 serotype is efficient in crossing the BBB, 511 it induces minimal transduction of endothelial cells, while maintaining the capacity to 512 transduce neurons after the endothelial transcytosis (78). Of relevance, thrombotic 513 microangiopathy (TMA), characterized by arteriole and capillary endothelial pathology and 514 515 microvascular thrombosis, is a severe adverse effect recently reported in several SMA infants treated with onasemnogene abeparvovec (79). While the exact mechanism of this adverse 516 reaction is still unknown, suggested etiologies include direct AAV toxic effect (80), or 517 immune-mediated reactions to AAV vector (81). Our finding provides a further explanation 518 and indicates that the underlying endothelial dysfunction in SMA may predispose some 519 patients to TMA following systemic AAV gene therapy. To reduce the incidence of TMA, it 520

521 would be important to evaluate the baseline vascular status of patients before commencing AAV-mediated treatment, as this might help to identify potentially susceptible individuals. 522 Taken together, our data identify microvasculopathy as a fundamental feature of SMA, which 523 is driven by reversible autonomous endothelial cell defect. Future studies on endothelial cell-524 specific SMN restoration in SMA mice will be needed to better understand the role of 525 endothelial cells in disease pathogenesis and progression, and to what extent that 526 microvasculopathy may contribute to the multi-organ involvement in SMA. In light of all 527 these findings, our study suggests that therapeutic strategies for SMA should also include the 528 correction of the SMN deficiency in the periphery, including the vascular system. 529

#### 530 Materials and Methods

#### 531 **Patients and controls**

- All studies were performed in children with SMA with different levels of clinical severity
- attending the Great Ormond Street Hospital NHS Foundation Trust, London during Oct 2015
- and Feb 2018. Parental consent was obtained for all children involved in the study, which
- 535 was approved by the national Ethics Committees (See Study Approval). Inclusion criteria for
- children with SMA were as follows: age <18 years, a diagnosis of SMA confirmed by genetic
- 537 diagnosis indicating a genomic deletion in the *SMN1* gene. Control samples were obtained
- 538 from healthy sex- and age-matched children.

#### 539 SMA mice and procedures

540 SMA transgenic mice, FVB.Cg-Tg(SMN2)<sub>2</sub>Hung Smn1<sup>tm1Hung</sup>/J, also called the Taiwanese

541 model (35), were initially purchased from Jackson Laboratory (TJL005058; Jackson

542 Laboratory, Bar Harbor, ME). Mice were bred and experimental procedures were carried out

- 543 in the Biological Service Unit, University College London, in accordance with the Animals
- 544 (Scientific Procedures) Act 1986.
- 545 Newborn SMA mice were subcutaneously injected a single dose of PMO25 at 40  $\mu$ g/g.
- 546 Untreated SMA control mice were injected with similar volume of saline as previously
- 547 described (21).

#### 548 Antisense oligonucleotides

- 549 The therapeutic AON PMO25 and the *SMN1/2* exon 7 skipping vivo-morpholino (E7-VMO)
- 550 were purchased from Gene Tools. The antisense sequences were listed in Supplemental Table

551 1.

552 Human retina imaging and data analysis

An ultra-widefield (UWF) scanning laser ophthalmoscope (California CA Optos plc., 553

Dunfermline, Scotland, UK) was used to take non-dilated fundus images of the retinae of 11 554 SMA patients Type 2 (n=6) and Type 3 (n=5), median age 11yrs (range 6-16yrs), and from 555 23 healthy controls, median age 9yrs (range 3.5-17yrs). The plot of ages was presented in 556 Supplemental Figure 5. These images were centred on the fovea with on-axis symmetry of 15 557  $\mu$ m (TIFF format; 4000 × 4000 pixels). Measurements of the vasculature were obtained using 558 559 specially designed software for analysing UWF images (Vasculature Assessment and Measurement Platform for Images of the Retina (version VAMPIRE-UWF), Universities of 560 Edinburgh and Dundee, UK).

561

Fractal dimension (FD) is a unitless measure between 1-2. It describes how a repeating pattern 562 (such as the retinal vasculature) fills the space in which it is contained. FD is influenced by: (1) 563 the space or region of interest (ROI) in which it is measured (e.g., FD of a branching pattern 564 may decrease if the space in which it is contained increases -i.e., filling the larger space less); 565 566 (2) accuracy of the vessel segmentation and (3) image quality (that directly affects (2)). We therefore standardised the ROI (provided by the VAMPIRE-UWF software) so that FD was 567 computed for the same area within each image (denoted Standardised ROI). (Figure 1 shows 568 this region highlighted on the UWF image in grey.) We only included images clear from 569 eyelashes and eyelids, that can interfere with analysis, resulting in 21 images from SMA patient 570 571 eyes and 46 control eyes. Next, the retinal vessels were automatically segmented from the background using two different methods (33, 34). The Pellegrini et al. 2014 method segments 572 prominent vessels and the IterNet 2020 method segments prominent and the smaller branching 573 vessels. The segmented images were then skeletonised (i.e., the centreline of the vessel network 574 was represented as 1-pixel wide curved lines). The difference in vessel skeletons from the two 575 different segmentation methods can be seen in Figure 1. The skeletons were inspected and any 576 minor erroneous segmentations corrected manually. The Standardised ROI, (VAMPIRE-577

578 UWF), has an area of 319 mm<sup>2</sup> and includes the posterior pole, midperiphery and small portion 579 of the far periphery. The *Standardized* ROI was subdivided into a *Posterior* ROI (an annulus 580 centred on the optic disc that extends three optic disc diameters away from the optic disc 581 boundary also known as zone C (82) and a *Midperiphery* ROI (area between *Standardised* and 582 *Posterior* ROI) to investigate regional changes in FD. FD was computed from the three regions 583 using methods reported previously (83).

584 Mouse retinas dissection and immunohistochemical staining. See Supplemental Methods.

585 Quantification of mouse retinal vascularity

586 AngioTool software was used for quantification of the retinal vasculature

587 (https://ccrod.cancer.gov/confluence/display/ROB2/Home). For vessel outgrowth a single

588 figure was obtained for each retina. For Endpoint number and lacunarity, a systematic and

random method was used to capture ROIs for assessment, and thus each data point representsa single field.

591

#### 592 Quantification of mouse retinal thickness and retinal cells

Stained slides of mouse retinas were imaged using a standard upright Nikon Eclipse E400
microscope. Images were captured using a QICAM Fast 1394 camera and Volocity imaging
software (PerkinElmer). Retinal thickness was measured directly from calibrated images.
Retinal cell density was calculated using a systematic, random methodology, based on a
method reported previously (84). Additional details are presented in the Supplemental
Methods

#### 599 Immunomagnetic bead extraction of circulating endothelial cells from peripheral blood

600 CECs were extracted from whole blood by CD146-coated immunomagnetic beads (5050-P,

601 BioCytex, Marseille, France) using an international consensus protocol (45). The extracted

- 602 CECs were counted using a Nageotte chamber under a fluorescence microscope and were
- 603 defined as Ulex europaeus lectin (L9006, Sigma-Aldrich, Doset, UK) bright cells that were >
- $10 \,\mu\text{m}$  in size, with five or more magnetic beads attached.

#### 605 Endothelial progenitor cell colony-forming units

- 606 Peripheral blood mononuclear cells (PBMC) were isolated by density centrifugation
- 607 (Lymphoprep TM, Axis Shield, Dundee, UK). After purification with three washing steps, 2
- $10^{6}$  PBMCs were plated on fibronectin-coated 24-well plates. Cells were cultured and
- 609 maintained in endothelial growth medium (EGM-2) supplemented with growth factors
- 610 according to the manufacturer's recommendations (PromoCell, Heidelberg, Germany), plus
- 611 20 % foetal calf serum (FCS) and 40 ng/ml of vascular endothelial growth factor (VEGF).
- 612 After four days of culture, non-adherent cells were removed by washing with PBS.
- To study the effects of AONs, 1  $\mu$ M VMO25 or Scr-VMO was added to the media at day 4.
- 614 Culture medium was changed to maintain the cells in culture until day 7. The numbers of
- EPC-CFU, characterized by a cluster of cells surrounded by elongated spindled-shaped cells,
- 616 were counted manually in a minimum of two wells in 24-well plates by two independent
- 617 observers who were unaware of the experiment design. Results were presented as average
- 618 number of EPC-CFUs per well.
- HUVEC cultures and induced *SMN1* and *SMN2* Exon 7-skipping by vivo-morpholino.
  See Supplemental Methods.

621 HUVEC tube formation and cell migration assay. See Supplemental Methods.

622 SMA mouse endothelial cell cultures and tube formation assay. See Supplemental623 Methods.

624 PCR, real-time PCR and western blotting. See Supplemental Methods.

#### 625 Statistics

For data collected from SMA patients and controls, numeric results were summarized asmedian and range. The D'Agostino and Pearson omnibus normality test was used to examine

628 overall differences in experimental laboratory markers between the study groups, followed by

- 629 the two-tailed Mann-Whitney U test. Associations between CECs and EPCs with *SMN2* copy
- 630 numbers were assessed using Spearman rank correlation coefficient.

Statistical analysis of human retinal parameters was conducted using generalised estimation
equations (GEE's; R version 3.6.0; geepack (85)) (86), that accounts for the correlation
between both eyes of an individual. As GEE's are sensitive to outliers, extreme values were
imputed to the mean of the group (87).

All *in vitro* experiments were performed in triplicate unless otherwise stated, and values are presented as mean  $\pm$  standard error of the mean (SEM) unless otherwise specified. Statistical differences between two groups for *in vitro* experiments and *in vivo* studies in mice were determined by unpaired two-tailed Student's T-test; statistical analysis in more than two groups were performed by one-way analysis of variance (ANOVA) followed by post-hoc Tukey test. A *P* value less than 0.05 was considered significant. All analysis was performed using GraphPad Prism software.

#### 642 Study approval

643 The study was approved by the national ethics committees, including the West London &

644 GTAC Research Ethics Committee (REC reference 06/Q0406/33), NRES Committee London

- Camberwell St Giles (REC reference 13/LO/1894) and NRES Committee London –
- Bromley (REC reference 13/LO/1748). Blood samples were supplied by the MRC Centre for
- 647 Neuromuscular Diseases Biobank London (<u>http://www.cnmd.ac.uk</u>). All participants
- 648 provided written, fully informed consent prior to inclusion in the study. All participants were

anonymous in this study. Experiments on animals were performed under Home Office project

- licences PP2611161 and P92BB9F93. All treatment procedures conducted in mice were
- 651 carried out in the Biological Services Unit, University College London Great Ormond Street
- Institute of Child Health, in accordance with the Animals (Scientific Procedures) Act 1986.
- 653 Author contributions
- HZ, YH, PB, THG, DT, SHP and FM conceived and designed the research studies. HZ, YH,
- 655 AT, DT, EP, TM, EH, MS, FC, GH, HS, TN, JEM, JM, QZ and AH conducted the
- experiments and analyzed the data. MS and GB provided clinical samples and data. HZ, SHP,
- THG, KH, PB, DT and FM wrote the manuscript. HZ, YH, MS, AT, and EP agreed to share
- the first-authorship due to their important contribution and heavy involvement in conductingthe experiments, data analysis and presenting the results.

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672 **References** 

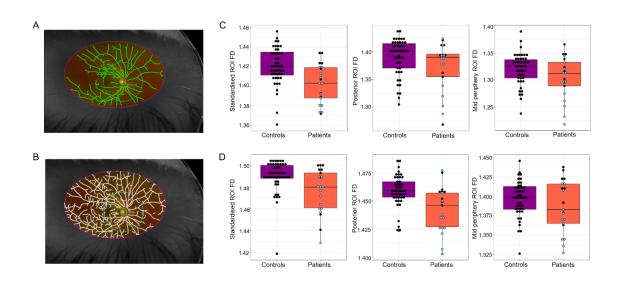
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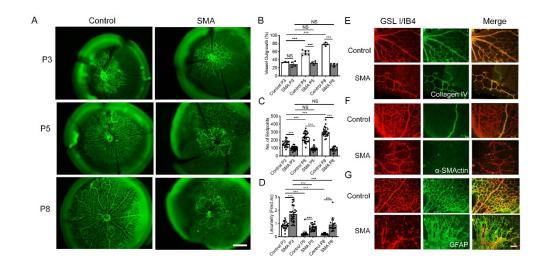
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#### 893 Figure 1 Retinal imaging and data analysis in SMA patients.

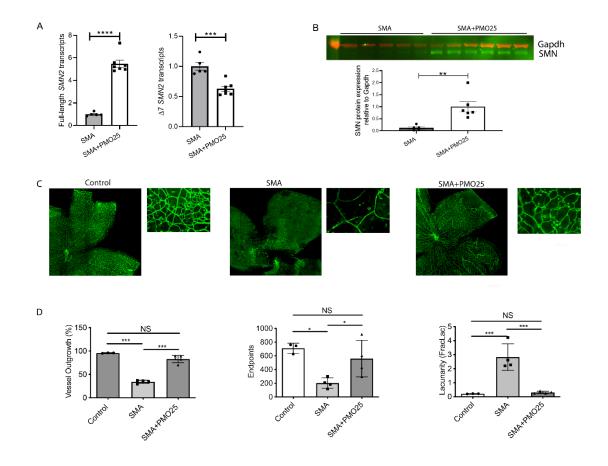
The vessel skeletons produced using two different automatic vasculature segmentation 894 methods are shown: (A) Skeleton (in green) from methods of *Pellegrini et al* (34) segments 895 only prominent vessels in the image; (B) Skeleton (in white) using Iternet neural network 896 (33) segments discrete and prominent vessels. Ultra-wide field retinal images in grey scale 897 are shown from the right eye of the same individual. Fractal dimensions (FD) were calculated 898 by segmentation method of *Pellegrini et al* (C) and *Iternet neural network* (D) from three 899 regions of interest (ROI) outlined by coloured lines: standardized ROI (magenta outline), 900 Posterior ROI (cyan outline around the optic nerve head) and Midperiphery ROI (between 901 cyan to magenta). For each segmentation method three box plots show the distribution of 902 corresponding FD calculated from each ROI in SMA patients (orange box, n=21) compared 903 to controls (magenta box, n=46). Type 2 SMA patients in blue dots (n=12), Type 3 in black 904 905 dots (n=9).





### Figure 2 Abnormal post-natal Development of retinal vasculature in a mouse model of SMA

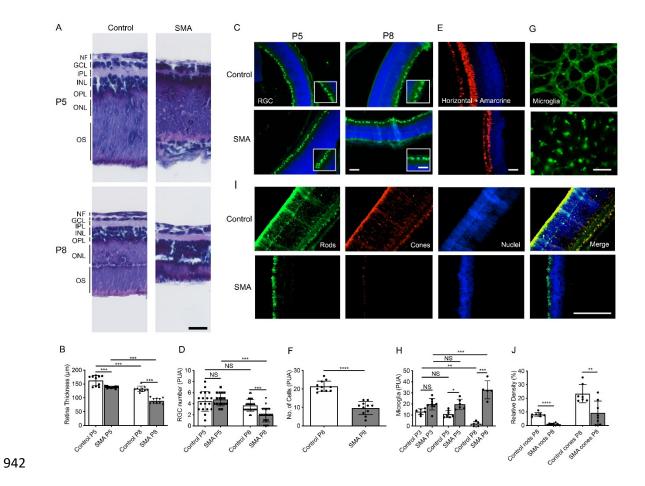
- 910 (A) Retinas were collected from SMA mice and healthy littermate controls at P3, P5 and P8,
- 911 and stained with GSL I/IB4 lectin (green). Quantification of the retinal vasculature using
- 912 AngioTool on (**B**) vessels outgrowth, (**C**) number of microvessel endpoints and (**D**)
- 913 lacunarity in SMA retinas compared to controls at P3, P5 and P8. (E) Co-staining for vessels
- 914 with GSL I/IB4 (red) and basement lamina with collagen IV (green). (F) Co-staining for
- 915 vessels with GSL I/IB4 (red) and smooth muscle with  $\alpha$ -smooth muscle actin ( $\alpha$ SMActin,
- 916 green). (G) Co-staining for vessels with GSL I/IB4 (red) and astrocytes with Glial fibrillary
- acidic protein (GFAP, green). All images were taken from retinas from mice at P8. Data represent mean  $\pm$ SEM with individual data points displayed, from  $\geq$ 3 mice for each group.
- Scale bar =  $500 \ \mu m$  in A and  $50 \ \mu m$  in G. One way ANOVA with Tukey post-hoc test was
- 920 used for data analyse. \* P < 0.05, \*\*P < 0.01, \*\*\* P < 0.001.



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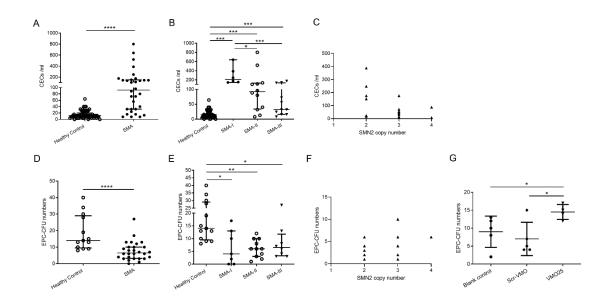
### 923 Figure 3 SMN restoration with antisense treatment restores retinal vasculature in 924 SMA mice

(A) The full-length SMN2 transcripts and truncated SMN2 transcripts without exon 7 ( $\Delta$ 7 925 SMN2) were measured by quantitative real-time PCR in retinas collected from PMO25-926 treated SMA mice (SMA+PMO25, n=7), compared to saline-treated SMA mice (n=5). (B) A 927 928 representative image of western blotting and semi-quantification of SMN protein expression in mouse retinas from SMA mice after PMO25 treatment (n=6), compared to saline treated 929 SMA controls (n=5). Mouse Gapdh protein was used as a loading control. (C) Mouse retinas 930 931 from saline-treated SMA, PMO25-treated SMA and healthy littermate controls were stained with GSL I/IB4 lectin (Green) to indicate blood vessels of the primary vascular plexus. Scale 932  $bar = 400 \mu m$ . (D) The vascular plexus was quantified using AngioTool on vessel outgrowth, 933 934 endpoints and lacunarity in mouse retinas from saline-treated SMA, PMO25-treated SMA and healthy littermate control mice. Scale bar =  $400\mu m$ . One way ANOVA with Tukey post-935 hoc test was used for data analyse. Data represent mean  $\pm$  SEM, with individual data points 936 displayed. N $\geq$ 3 eyes from  $\geq$ 3 mice for each group. \* P < 0.05, \*\* P < 0.01 \*\*\* P < 0.001, 937 \*\*\*\* P <0.0001. Scale bar =  $400\mu m$  in low power images and  $200\mu m$  in high power inset 938 images. Data displayed as individual data points and mean  $\pm$  SEM bars, from  $\geq$ 3 eyes from 939  $\geq$ 3 mice for each group. One way ANOVA with Tukey post hoc test. \* P<0.05, \*\*P<0.01, 940 \*\*\* P<0.001. 941



## 943Figure 4Depletion of neuronal components and increased microgliosis in SMA944mouse retina

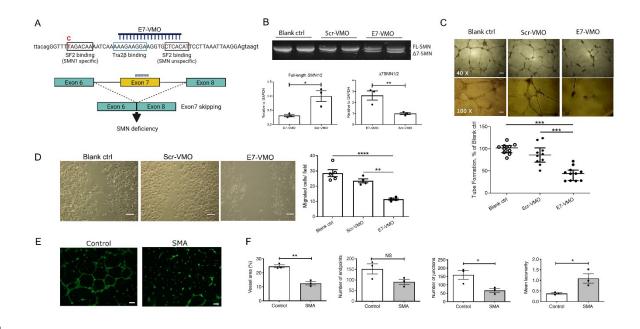
945 946 947 948 949 950	<ul> <li>(A) Gross appearance of H&amp;E-stained retina from SMA and healthy control mice at P5 and P8. (NF = nerve fibre, GCL = ganglion cell layer, IPL = inner plexiform layer, INL = inner nuclear layer, OPL = outer plexiform layer, ONL = outer nuclear layer, OS = outer segment).</li> <li>(B) Quantification of retinal thickness in sections from H&amp;E staining. (C) Retinal ganglion cells (RGCs) stained with BRN3a (green), with nuclei in blue. The insets show high power fields of the RGC layer. (D) Quantification of RGCs per unit area (PUA) at P5 and P8. (E)</li> </ul>
951	Horizontal and amacrine cells were stained with PAX6 transcription factor (red), with nuclei
952	in blue. (F) Quantification of horizontal and amacrine cells PUA at P8. (G) Microglia,
953	stained with GSL I/ IB4 isolectin, differentiated from blood vessels by their morphology.
954	Images were taken from the retinal periphery at P8. (H) Quantification of microglia cells
955	PUA at P5 and P8. (I) Light sensitive photoreceptors: red/ green and blue opsin identified
956	rods (green), rhodopsin identified cones (red), with nuclei in blue. (J) Relative quantification
957	of rods and cones signals at P8. All representative images were taken at P8, except where
958	indicated at P5. Scale bars: $A = 100 \mu m$ ; $C = 50 \mu m$ for the low power images and $= 25 \mu m$ for
959	the high power inset images; $\mathbf{E} = 25 \mu \text{m}$ ; $\mathbf{G} = 50 \mu \text{m}$ ; $\mathbf{I} = 25 \mu \text{m}$ . <b>B</b> , <b>D</b> and <b>H</b> were analysed by
960	one way ANOVA with Tukey post-hoc test, F and J were analysed by unpaired two-tailed
961	Student's T-test. The field of view (area) for assessment of cell density was 6250µm <sup>2</sup> . Data
962	represent mean $\pm$ SEM, with individual data points displayed. N $\geq$ 3 eyes from $\geq$ 3 mice for
963	each group. * P < 0.05, ** P < 0.01 *** P < 0.001, **** P < 0.0001.



964

### Figure 5 Increased vascular injury and decreased vascular repair revealed in peripheral blood from SMA patients

(A) The levels of CECs (number/ml) in peripheral blood from SMA patients (including type 967 1, 2 and 3, n=32) and healthy control (n=17). (B) The comparison of CECs counts between 968 969 healthy control (n=17), and SMA Type 1 (n=6), Type 2 (n=12) and Type 3 (n=11). (C) The 970 correlation between CECs counts with the copy number of SMN2 gene in SMA patients ( $r^2 =$ 0.2344, P<0.05). (D) The comparison of EPC-CFU numbers in peripheral blood from SMA 971 972 patients (including Type 1, 2 and 3, n=28) and healthy controls (n=13). (E) The comparison of EPC-CFU numbers between healthy control and SMA Type 1 (n=7), Type 2 (n=11) and 973 Type 3 (n=8). (F) The correlation between numbers of EPC-CFU and SMN2 copy ( $r^2 =$ 974 0.1696, P=0.1435). (G) The comparison of numbers of EPC-CFU from healthy control 975 (n=13), SMA Type 1 patients (SMA-I, n=5), EPC isolated from SMA Type 1 and treated 976 with scrambled vivo-morpholino (SMA-I+Scr-VMO, n=5) and EPC isolated from SMA Type 977 1 and treated with therapeutic AON (SMA-I+VMO25, n=5). A and D were analysed by 978 unpaired two-tailed Student's T-test, **B**, **E** and **G** were analysed by one way ANOVA with 979 Tukey post hoc test. C and F were analysed by Spearman rank correlation coefficient for 980 associations between CECs and EPCs with SMN2 copy numbers. Data represent mean  $\pm$ 981 SEM. \* P< 0.05, \*\*P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001. 982





### 984 Figure 6 Defects in angiogenesis in cultured human endothelial cells with induced 985 SMN deficiency

(A) AON was designed to target exon 7 in SMN1 and SMN2 genes to induce exon 7 skipping. 986 (B) HUVECs were treated with exon 7-skipping vivo-morpholino (E7-VMO) or scrambled 987 vivo morpholino (Scr-VMO) and compared to untreated HUVECs (Blank ctrl). The SMN1 988 and SMN2 exon 7 skipped by AONs was measured by reverse transcript PCR and 989 quantitative RT-PCR, respectively. Data were analysed by unpaired two-tailed Student's T 990 test. (C) Vascular tube formation in untreated HUVECs (blank control, n=10), HUVECs 991 treated with Scr-VMO (n=10) or E7-VMO (n=11). Images were captured at objective of 40× 992 and  $100^{\times}$ , respectively. Tube formation was quantified as percentage to blank control. Scale 993 bars: 100µm in 40× and 200µm in 100× images. Data were analysed by one way ANOVA 994 and Tukey post hoc test. (D) The endothelial cells migration in HUVECs of blank control, 995 and cells treated with Scr-VMO and E7-VMO. HUVEC migration was quantified and 996 analysed by one way ANOVA and Tukey post hoc test. Scale bar =  $200\mu m$ . (E) The cultured 997 endothelial networks from endothelial cells isolated from aortas harvested from SMA mice 998 999 and healthy controls at P4-6, visualised after calcein dye uptake. Scale bar =  $200 \mu m$ . (F). 1000 Parameters on endothelial networks were analysed by AngioTool. Data were analysed by unpaired two-tailed Student's T-test. Data represent mean  $\pm$  SEM, with individual data points 1001 displayed. \* P<0.05, \*\* P< 0.01, \*\*\* P<0.001. 1002

#### 1003 **Table 1. Retinal imaging fractal dimension**

	Region of Interest	Patients Mean (SD)	Controls Mean (SD)	Patients vs Controls	
Method				β (95% CI)	p-value
		N = 21	N = 46		
		(L = 10) (R = 11)	(L = 23) (R = 23)		
	<u>Standardised</u>	1.402 (.020) [0]	1.422 (.015) [2]	-0.019 (028 to .009)	<.001
Pellegrini et al (34)	Posterior disc	1.382 (.032) [2]	1.390 (.033) [0]	-0.016 (037 to .005)	.180
AUC = 0.97	Midperiphery	1.308 (.034) [1]	1.321 (.024) [2]	-0.019 (037 to .002)	.010
	Standardised	1.477(.020) [0]	1.492 (.009) [2]	-0.017 (028 to .007)	.001
IterNet (33) AUC = 0.98	Posterior disc	1.443 (.019) [0]	1.492 (.009) [4]	-0.018 (027 to .009)	<.001
	Midperiphery	1.387 (.033) [0]	1.398 (.020) [1]	-0.014 (029 to .003)	.190

**Table caption:** The FD values mean and (SD) for SMA patients and controls are tabulated for each

1005 ROI for two different techniques of segmentation, Pellegrini *et al* 2014 (34) and IterNEt 2020 (33).

1006 FD values for SMA patients were significantly lower in the standardised ROI indicating a less

1007 complex pattern of retinal branching than controls. AUC = Area under the curve.