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Technical Paper

# Linking inherent anisotropy with liquefaction phenomena of granular materials by means of DEM analysis

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## Abstract

The liquefaction phenomena of sands have been studied by many researchers to date. Laboratory element tests have revealed key factors that govern liquefaction phenomena, such as relative density, particle size distribution, and grain shape. However, challenges remain in quantifying inherent anisotropy and in evaluating its impact on liquefaction phenomena. This contribution explores the effect of inherent anisotropy on the mechanical response of granular materials using the discrete element method. Samples composed of spherical particles are prepared which have approximately the same void ratio and mean coordination number ( $CN$ ), but varying degrees of inherent anisotropy in terms of contact normals. Their mechanical responses are compared under drained and undrained triaxial monotonic loading as well as under undrained cyclic loading. The simulation results reveal that cyclic instability followed by liquefaction can be observed for loose samples having a large degree of inherent anisotropy. Since a sample having initial anisotropy tends to deform more in its weaker direction, leading to lower liquefaction resistance, a sample having an isotropic fabric potentially exhibits the greatest liquefaction resistance. Moreover, the effective stress path during undrained cyclic loading is found to follow the instability and failure lines observed for static liquefaction under undrained monotonic loading. From a micromechanical perspective, the recovery of effective stress during liquefaction can be observed when a threshold  $CN$  develops along with the evolving induced anisotropy. Realising that the conventional index of the anisotropic degree ( $a$ ) is not effective when the  $CN$  drops to almost zero during cyclic liquefaction, this contribution proposes an alternative index, *effective anisotropy* ( $a \times CN$ ), with which the evolution of induced anisotropy can be tracked effectively, and common upper and lower bounds can be defined for both undrained monotonic and cyclic loading tests.

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**Keywords:** Liquefaction; Discrete element method; Anisotropy; Fabric; Triaxial tests

## 1. Introduction

The liquefaction of granular soil can cause severe damage to infrastructure. Intense research on the liquefaction of sands began after the Niigata and Alaska earthquakes of 1964 (e.g., Seed and Idriss, 1967; McCulloch and Bonilla, 1970; Ishihara and Koga, 1981), and accelerated after the 2011 off the Pacific coast of Tohoku Earthquake (e.g., Sasaki et al., 2012). Liquefaction refers to the situa-

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## Nomenclature

$a$	Anisotropic degree	$G_{0v}$	Small-strain shear modulus of a sample measured in the vertical direction
$a_0$	Initial anisotropic degree	$K$	Stress ratio ( $= \sigma'_h/\sigma'_v$ ) at sample preparation
$C_u$	Uniformity coefficient	$\mu_{prep}$	Inter-particle friction coefficient during sample preparation
$CN$	Mean coordination number	$\mu_{load}$	Inter-particle friction coefficient during loading
$CN_0$	Initial mean coordination number	$N_c$	Total number of contacts
$CN^*$	Mean mechanical coordination number	$N_p$	Total number of particles
$D$	Diameter of a sphere	$P'$	Mean effective stress ( $= [\sigma'_v + 2 \sigma'_h]/3$ )
$D_{50}$	Median diameter of spheres	$p'_0$	Initial isotropic effective stress ( $= 100$ kPa)
$D_{r0}$	Initial relative density	$q$	Deviator stress ( $= \sigma'_v - \sigma'_h$ )
$e$	Void ratio	$\sigma'_h$	Principal stress in the horizontal direction
$e_0$	Initial void ratio	$\sigma'_v$	Principal stress in the vertical direction
$e^*$	Mechanical void ratio	$\nu$	Poisson's ratio of a sphere
$e_{min}$	Minimum void ratio of a sample	$CSR$	Cyclic stress ratio ( $= q/2p'_0$ )
$e_{max}$	Maximum void ratio of a sample	$FL$	Failure line during undrained loading
$E$	Young's modulus of a sphere	$Hor$	Sample prepared having an anisotropic fabric preferentially in the horizontal plane
$\varepsilon_a$	Axial strain during loading	$IL$	Instability line during undrained loading
$\varepsilon_{DA}$	Double amplitude axial strain during loading	$Iso$	Sample prepared having an isotropic fabric
$\varepsilon_{vol}$	Volumetric strain during loading	$NL$	Number of cycles to liquefaction when $\varepsilon_{DA}$ reaches 2%
$\Delta\varepsilon_h$	Horizontal strain during sample preparation	$PSD$	Particle size distribution
$\Delta\varepsilon_v$	Vertical strain during sample preparation	$Ver$	Sample prepared having an anisotropic fabric preferentially in the vertical direction
$\varphi_h$	Horizontal component of fabric tensor		
$\varphi_{h0}$	Horizontal component of initial fabric tensor		
$\varphi_v$	Vertical component of fabric tensor		
$\varphi_{v0}$	Vertical component of initial fabric tensor		
$G$	Shear modulus of a sphere		
$G_s$	Specific gravity of a sphere		
$G_{0h}$	Small-strain shear modulus of a sample measured in the horizontal direction		

tion in which the effective stress becomes zero (Seed and Lee, 1966). In terms of laboratory element tests, liquefaction can be divided into two categories: static liquefaction and cyclic liquefaction induced by undrained monotonic and cyclic loading, respectively (Ishihara, 1993). Static liquefaction, observed for loose samples, is characterised by strain-softening behaviour with an abrupt loss of strength, leading to flow deformation (Yamamuro and Lade, 1997; Chu and Leong, 2001). Cyclic liquefaction is accompanied by either cyclic instability or cyclic mobility for loose or denser sands, respectively (Castro, 1975; Casagrande, 1976).

The liquefaction resistance of a given material is influenced by not only relative density and confining pressure, but also inherent anisotropy, *i.e.*, the initial soil fabric (Tatsuoka et al., 1986). Here, the soil fabric refers to the geometric arrangement of the particles and pore spaces. Different sample preparation methods induce different degrees of inherent anisotropy in soil samples (Tatsuoka et al., 1986; Vaid et al., 1999; Ishibashi and Capar, 2003; Sze and Yang, 2014). Due to technical limitations, only a few studies found in the literature have quantified the anisotropy of prepared samples using microscopic methods

(Oda, 1972; Suzuki and Suzuki, 1988; Yang et al., 2008; Ni et al., 2021). Yang et al. (2008) and Sze and Yang (2014) found that samples prepared by moist tamping (MT) have a random arrangement of sand particles, leading to a lower degree of inherent anisotropy than samples prepared by dry deposition (DD).

Numerous studies have been carried out to understand the effect of the various sample preparation methods on the cyclic liquefaction of sands under different densities and confining pressures (*e.g.*, Miura and Toki, 1982; Tatsuoka et al., 1986; Yamashita and Toki, 1993; Ishibashi and Capar, 2003; Sze and Yang, 2014; Ni et al., 2021). For example, Tatsuoka et al. (1986) concluded that the MT method tends to provide greater liquefaction resistance than the air-pluviation (AP) method; similar observations were reported by other researchers (*e.g.*, Miura and Toki, 1982; Yamashita and Toki, 1993; Ishibashi and Capar, 2003; Sze and Yang, 2014).

The discrete element method (DEM) is a powerful numerical tool for understanding the particle-scale micromechanics of granular soil and for assisting in the interpretation of equivalent experimental results. DEM enables the quantification of soil fabrics in terms of the

contact normals, which helps with the interpretation of the overall response of granular materials as pioneered by Oda (1982). This allows researchers to quantify *inherent anisotropy* under the initial isotropic stress state and to track the evolution of *induced anisotropy* subjected to changes in the stress state. The importance of inherent anisotropy on the shear behaviour of granular soil has been reported in the literature (e.g., Yimsiri and Soga, 2010; Dai et al., 2016). Some recent studies have explored the relation between fabric anisotropy and undrained cyclic loading behaviour using a 2D DEM (Wang and Wei, 2016) and a 3D DEM (Wei and Wang, 2017). Wei et al. (2018) found that a highly anisotropic sample induced by pre-shearing has a lower liquefaction resistance.

As discussed above, laboratory element tests have revealed key factors that govern liquefaction phenomena. However, challenges remain in quantifying inherent anisotropy and in evaluating its impact on liquefaction phenomena. Building on the earlier works of Yimsiri and Soga (2010), Wei et al. (2018), among others, this contribution explores the effect of inherent anisotropy on the mechanical response of granular materials using DEM. Samples having varying degrees of inherent anisotropy are prepared at approximately the same void ratio and mean coordination number, and their mechanical responses, in relation to their induced anisotropy, are discussed under drained and undrained triaxial monotonic loading as well as under undrained cyclic loading.

## 2. Sample preparation and inherent anisotropy

### 2.1. Material properties

The present study uses spherical particles to investigate the fundamental effect of inherent anisotropy on the mechanical response of granular materials where the complicating effect of particle shape has been removed. The material properties of the tested spherical particles are similar to the typical properties of glass beads (Young's modulus

$E$ ): 71.6 GPa, shear modulus ( $G$ ): 29.1 GPa, Poisson's ratio ( $\nu$ ): 0.23, and specific gravity ( $G_s$ ): 2.7). Particle diameters ( $D$ ) range from 1.2 mm to 2.2 mm (Fig. 1) with a median size ( $D_{50}$ ) of 1.89 mm and coefficient of uniformity ( $C_u$ ) of 1.37, which represent a uniformly graded sand. A simplified Hertz-Mindlin contact model was used for the interaction between particles, since the contact stiffness is automatically determined and updated as a function of the particle properties and the applied load between two spherical particles (see Morimoto et al. (2021) for a more detailed explanation). A modified version of LAMMPS (Large-scale Atomic/Molecular Massively Parallel Simulator) (Plimpton, 1995) was used to run these DEM simulations. The time increment used in the present simulations was  $4 \times 10^{-7}$  s to satisfy the critical time increment for the given material (Otsubo et al., 2017).

### 2.2. Preparation method for Iso-, Ver-, and Hor-series samples

The sample preparation method adopted in this study follows the three steps illustrated in Fig. 2. Firstly, a cloud of 30,000 spherical particles was generated randomly in a cuboidal space without allowing the particles to touch each other. Periodic boundaries were used for the three principal directions. Secondly, three different initial compression procedures were employed to prepare the *Iso*, *Ver*, and *Hor* samples, respectively, in the absence of gravity, as follows:

- *Iso*: Isotropic compression was applied in the principal directions ( $x$ ,  $y$ , and  $z$ ) to achieve an isotropic effective stress of  $\sigma'_x = \sigma'_y = \sigma'_z = 100$  kPa (i.e.,  $p'_0 = 100$  kPa).
- *Ver*: Vertical compression was applied in the  $z$ -direction to achieve  $\sigma'_z = 1$  kPa ( $\sigma'_v = 1$  kPa), while the incremental axial strain in the  $x$ - and  $y$ - (horizontal) directions was kept at zero, i.e.,  $\Delta\varepsilon_x = \Delta\varepsilon_y = 0$  ( $\Delta\varepsilon_h = 0$ ).
- *Hor*: Horizontal compression was applied in the  $x$ - and  $y$ -directions to achieve  $\sigma'_x = \sigma'_y = 1$  kPa ( $\sigma'_h = 1$  kPa), while the incremental axial strain in the vertical direction was kept at zero, i.e.,  $\Delta\varepsilon_z = 0$  ( $\Delta\varepsilon_v = 0$ ).

Thirdly, for the *Ver* and *Hor* samples, further compression was then applied to achieve  $\sigma'_x = \sigma'_y = \sigma'_z = 100$  kPa ( $p'_0 = 100$  kPa). In the sample preparation stage, a maximum strain rate of  $0.2$  [ $s^{-1}$ ] was used and the strain rate was reduced from this maximum value as the desired stress was approached, as described in Otsubo (2016). The above process used an inter-particle friction coefficient during the sample preparation ( $\mu_{prep}$ ). Referring to Table 1, slightly different  $\mu_{prep}$  values of around 0.15 were used to prepare loose samples, resulting in similar initial void ratios ( $e_0 = 0.643$ – $0.645$ ). The initial mean coordination number ( $CN_0$ ), i.e., the number of contact points per particle, was also similar among the three samples ( $CN_0 = 4.68$ – $4.69$ ). The above-mentioned loose samples are referred to here

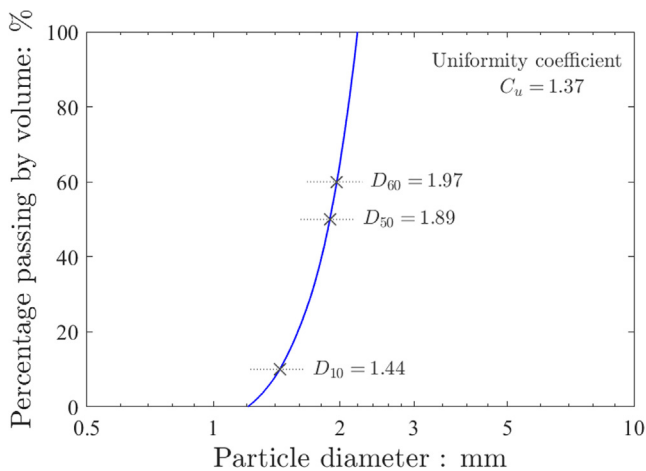


Fig. 1. Particle size distribution (PSD) of tested spherical particles.



I ) Particle generation

Generation of 30,000 particles without overlap in absence of gravity

II -a) Iso-, Ver- and Hor-series samples

Iso : Compression to  $\sigma'_x = \sigma'_y = \sigma'_z = 100$  kPa

Ver : 1-D vertical compression to  $\sigma'_z = 1$  kPa while keeping  $\Delta\varepsilon_x = \Delta\varepsilon_y = 0$  (i.e.,  $\Delta\varepsilon_h = 0$ )

Hor : Horizontal compression to  $\sigma'_x = \sigma'_y = 1$  kPa while keeping  $\Delta\varepsilon_z = 0$  (i.e.,  $\Delta\varepsilon_v = 0$ )

II -b) K-series samples

Compression to specified  $K = \sigma'_h / \sigma'_v$  where major principal stress is 1 kPa

Note:  $\sigma'_v = \sigma'_z$ ,  $\sigma'_h = (\sigma'_x + \sigma'_y) / 2$

III) Further compression

Further compression to  $\sigma'_x = \sigma'_y = \sigma'_z = 100$  kPa (i.e.,  $p'_0 = 100$  kPa)

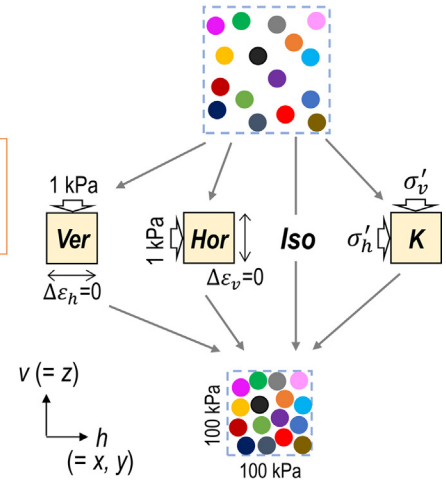


Fig. 2. Sample preparation method adopted in this study.

Table 1  
Specifications of sample types and properties of Iso-, Hor-, and Ver-series samples at  $p'_0 = 100$  kPa.

Sample	$\mu_{prep}$	$\mu_{load}$	$e_0$	$e_0^*$	$D_{r0}$ [%] <sup>†</sup>	$CN_0$	$CN_0^*$	$\varphi_{v0}$	$\varphi_{h0}$	$\varphi_{v0} - \varphi_{h0}$	$\varphi_{v0} / \varphi_{h0}$	$a_0$
Iso-L	0.150	0.4	0.644	0.753	29.6	4.69	5.19	0.333	0.333	0.000	0.999	-0.002
Ver-L	0.147	0.4	0.643	0.745	30.3	4.68	5.19	0.351	0.324	0.027	1.083	0.097
Hor-L	0.151	0.4	0.645	0.745	29.3	4.69	5.20	0.316	0.342	-0.025	0.926	-0.098
Iso-M	0.055	0.4	0.600	0.661	60.9	5.30	5.67	0.333	0.334	-0.001	0.997	-0.004
Iso-D	0.001	0.4	0.544	0.584	100.0	5.85	6.13	0.332	0.334	-0.002	0.995	-0.007
Iso- $e_{max}$	0.400	-	0.686	0.884	0.0	3.92	4.61	0.333	0.334	-0.001	0.998	-0.003

<sup>†</sup>  $D_{r0} = (e_{max} - e_0) / (e_{max} - e_{min}) \times 100$  [%] where  $e_{max}$  and  $e_{min}$  correspond to  $e_0$  of the Iso- $e_{max}$  and Iso-D samples, respectively.

Mean stress per particle [kPa]

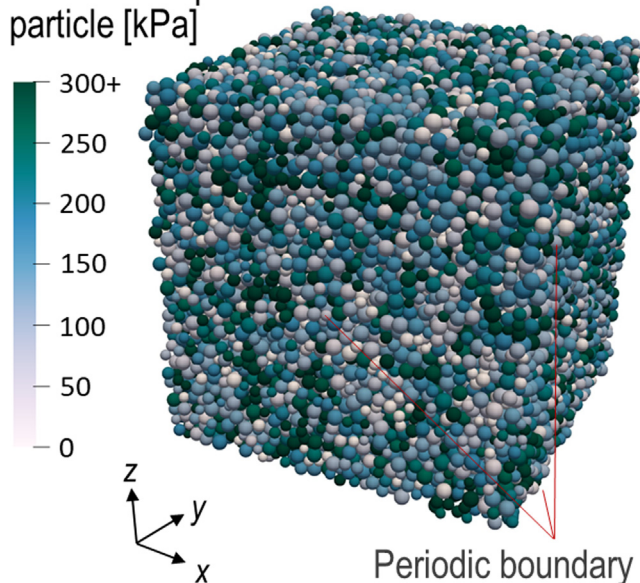


Fig. 3. Representative DEM sample (Iso-L) at  $p'_0 = 100$  kPa with periodic boundaries (colour bar shows mean stress per particle).

as Iso-L, Ver-L, and Hor-L. Fig. 3 shows the Iso-L sample where the colour of each particle indicates the mean stress per particle, as defined in Morimoto et al. (2021). In this

study, a medium dense (Iso-M) sample and a dense (Iso-D) sample of the Iso-series were prepared using lower  $\mu_{prep}$  of 0.055 and 0.001, respectively (Table 1), to investigate the effect of the initial density on the mechanical response during undrained cyclic loading.

To measure the relative density, the minimum and maximum void ratios ( $e_{min}$  and  $e_{max}$ ) were defined following the method proposed in Salot et al. (2009). Specifically,  $e_{min}$  was obtained by setting a small value for  $\mu_{prep}$  ( $= 0.001$ ) in the preparation method of the Iso-series samples to represent the maximum possible density, i.e., identical to the Iso-D sample.  $e_{max}$  was obtained by setting  $\mu_{prep} = 0.4$ , the same value used for the subsequent triaxial loading tests ( $\mu_{load}$ ); the prepared sample with  $e_{max} = 0.686$  is listed in Table 1 as the Iso- $e_{max}$  sample for completeness. Therefore, the measured  $e_0$  values are equivalent to  $D_{r0} = 29.3\%$ – $30.3\%$  for the loose samples,  $D_{r0} = 60.9\%$  for the Iso-M sample, and  $D_{r0} = 100\%$  for the Iso-D sample, where  $D_{r0} = (e_{max} - e_0) / (e_{max} - e_{min}) \times 100$  [%].

2.3. Preparation method for K-series samples

To vary the degree of inherent anisotropy in a systematic manner, ten additional loose samples ( $D_{r0} = 29.0\%$ – $30.3\%$ ) were prepared (referred to here as K-series), as follows (Fig. 2):

Table 2  
Specifications of sample types and properties of  $K$ -series samples at  $p'_0 = 100$  kPa.

Sample	$\mu_{prep}$	$\mu_{load}$	$e_0$	$e_0^*$	$D_{r0}$ [%]	$CN_0$	$CN_0^*$	$\varphi_{v0}$	$\varphi_{h0}$	$\varphi_{v0} - \varphi_{h0}$	$\varphi_{v0}/\varphi_{h0}$	$a_0$
K0.75-L	0.176	0.4	0.643	0.742	30.3	4.68	5.14	0.346	0.327	0.019	1.058	0.070
K0.80-L	0.167	0.4	0.644	0.744	29.5	4.69	5.16	0.341	0.329	0.012	1.036	0.044
K0.85-L	0.162	0.4	0.645	0.749	29.2	4.69	5.18	0.338	0.331	0.006	1.019	0.023
K0.90-L	0.158	0.4	0.645	0.751	29.0	4.69	5.18	0.335	0.333	0.002	1.006	0.008
K1.00-L	0.156	0.4	0.645	0.753	29.2	4.69	5.19	0.334	0.333	0.001	1.002	0.003
K1.10-L	0.158	0.4	0.645	0.751	29.0	4.69	5.18	0.331	0.334	-0.003	0.991	-0.011
K1.20-L	0.163	0.4	0.645	0.749	29.2	4.69	5.18	0.328	0.336	-0.007	0.978	-0.028
K1.25-L	0.165	0.4	0.644	0.742	30.0	4.69	5.16	0.326	0.337	-0.012	0.966	-0.044
K1.30-L	0.170	0.4	0.644	0.744	29.9	4.68	5.15	0.323	0.339	-0.016	0.953	-0.061
K1.35-L	0.175	0.4	0.644	0.741	29.6	4.69	5.14	0.320	0.340	-0.020	0.940	-0.078

- $K$ -series: A cloud of 30,000 spherical particles was compressed to achieve a specific  $K$  ( $= \sigma'_h/\sigma'_v$ ) where the target major principal stress was 1 kPa, *i.e.*, the larger stress component, either  $\sigma'_v$  or  $\sigma'_h$ , was adjusted to 1 kPa while keeping  $\sigma'_x = \sigma'_y$  ( $= \sigma'_h$ ). Then, further compression was applied to achieve  $p'_0 = 100$  kPa.

Table 2 summarises the properties of the  $K$ -series samples at  $p'_0 = 100$  kPa where the sample name indicates the  $K$  value at 1 kPa ranging from 0.75 to 1.35. For example, the K0.80-L sample was compressed initially at  $\sigma'_v = 1.0$  kPa and  $\sigma'_h = 0.8$  kPa prior to the following compression to  $p'_0 = 100$  kPa. The  $e_0$  and  $CN_0$  for the  $K$ -series samples were equivalent to those for the *Iso-L*, *Ver-L*, and *Hor-L* samples. The simulation results using the  $K$ -series samples are discussed in Section 5.3. This preparation method is similar to the so-called pre-shear method (*e.g.*, Yimsiri and Soga, 2010; Wei and Wang, 2017) with the main difference being that the resultant  $CN_0$  values are almost identical:  $CN_0 = 4.68$ – $4.69$  at  $e_0 = 0.643$ – $0.644$  in this study, compared to  $CN_0 = 2.51$ – $3.77$  at  $e_0 = 0.611$ – $0.612$  in Wei and Wang (2017).

#### 2.4. Quantifying inherent anisotropy

To characterise the difference in packing properties, the second-order fabric tensor  $\varphi_{ij}$ , in terms of contact normal orientations (Satake, 1982; Yimsiri and Soga, 2010), was quantified as:

$$\varphi_{ij} = \frac{1}{N_c} \sum_{N_c} n_i n_j \quad (1)$$

where  $N_c$  is the total number of contact points, and  $n_i$  and  $n_j$  are the contact normals in the  $i$ - and  $j$ -directions, respectively ( $i, j = x, y, z$ ). The principal components of the fabric tensor ( $\varphi_{xx}$ ,  $\varphi_{yy}$ , and  $\varphi_{zz}$ ) were used to quantify the vertical and horizontal components as  $\varphi_v = \varphi_{zz}$  and  $\varphi_h = (\varphi_{xx} + \varphi_{yy})/2$ , where  $\varphi_v + 2\varphi_h = 1$ . Following Yimsiri and Soga (2010), the anisotropic degree ( $a$ ) that expresses the fabric tensor as a single scalar was computed as:

$$a = \frac{5(3\varphi_v - 1)}{5\varphi_v + 1} \quad (2)$$

A larger  $a$  ( $>0$ ) means more contacts in the vertical direction, while a smaller  $a$  ( $<0$ ) means more contacts in the horizontal plane. The initial anisotropic degree ( $a_0$ ) values at  $p'_0 = 100$  kPa for all the tested cases are listed in Tables 1 and 2. For example, the  $a_0$  for *Hor-L*, *Iso-L*, and *Ver-L* are  $-0.098$ ,  $-0.002$ , and  $0.097$ , respectively (Fig. 4). Yimsiri and Soga (2010) prepared samples having  $a_0 = -0.13$ ,  $-0.01$ , and  $0.12$  for the dense case, and  $a_0 = -0.04$ ,  $0.01$ , and  $0.06$  for the loose case where positive values for the  $a_0$  in DEM correspond to the samples prepared experimentally by the DD or AP method. In a separate study by the authors, using the same material adopted in this paper, a sample was prepared using the AP method, resulting in  $a_0 = 0.083$ , which is slightly smaller than that for the *Ver-L* sample ( $a_0 = 0.097$ ). Sze and Yang (2014) reported that, based on experiments, the MT method can create more isotropic fabric, which is similar to the *Iso*-series samples. Regarding the *Hor-L* sample, while its inherent anisotropy may be different from typical samples prepared in experiments, this fundamental study will help in understanding the results of some elaborate experiments where soil fabrics are controlled systematically (*e.g.*, Oda, 1972; Oda et al., 2001). Referring to Table 2, the  $K$ -series samples cover a range of  $a_0$  from  $-0.078$  to  $0.070$ , which fills the gap of the  $a_0$  values between the *Hor-L* and *Ver-L* samples. It is noteworthy that samples with even more extreme  $a_0$  values are difficult to prepare using the adopted sample preparation method where specific  $e_0$  and  $CN_0$  values are required. Previous research found in the literature used alternative indices, such as  $\varphi_{v0} - \varphi_{h0}$  or  $\varphi_{v0}/\varphi_{h0}$  instead of  $a_0$ , where  $a_0$  is linearly related to these indices within the range considered in this study ( $-0.1 < a_0 < 0.1$ ).

### 3. Test methods and definition of parameters

Three loading methods were applied to samples at  $p'_0 = 100$  kPa: drained monotonic loading (triaxial compression or extension), undrained monotonic loading (triaxial compression or extension), and undrained cyclic loading. Strain-controlled loading was applied by moving boundaries at  $0.0002$  m/s, giving a strain rate of approxi-

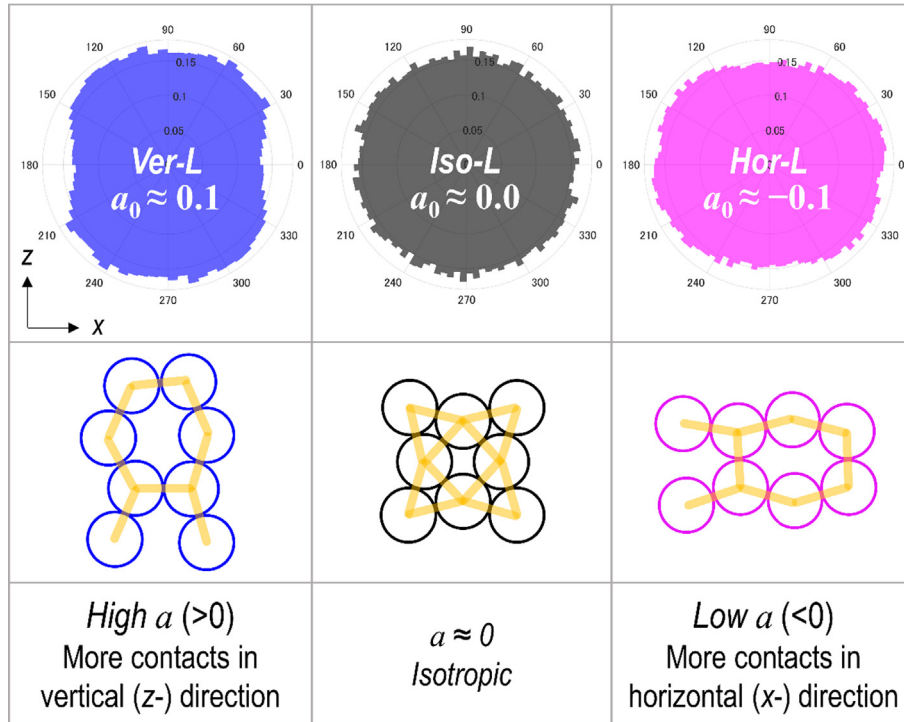


Fig. 4. Orientation of contact normal vectors of *Ver-L*, *Iso-L*, and *Hor-L* samples with schematic illustration of anisotropic degree ( $a$ ).

mately  $0.0039 \text{ [s}^{-1}\text{]}$ . This strain rate satisfies the quasi-static flow condition for the given material properties at  $p'_0 = 100 \text{ kPa}$  (Lopera Perez et al., 2016). During the loading, a local damping coefficient of 0.2 was applied as employed by Morimoto et al. (2021). The  $\mu_{prep}$  was increased to  $\mu_{load}$  ( $= 0.4$ ) for all the simulations during loading.

### 3.1. Drained monotonic loading

Drained monotonic loading was applied vertically while keeping the lateral effective stress ( $\sigma'_h$ ) constant. The deviator stress ratio ( $q/p'$ ) is defined as the ratio between the deviator stress ( $q = \sigma'_v - \sigma'_h$ ) and the mean effective stress ( $p' = [\sigma'_v + 2\sigma'_h]/3$ ), where  $q > 0$  for compression and  $q < 0$  for extension. During drained monotonic loading, the volumetric strain ( $\varepsilon_{vol}$ ) was calculated from the change in sample bulk volume, where  $\varepsilon_{vol} < 0$  for dilation and  $\varepsilon_{vol} > 0$  for contraction.

### 3.2. Undrained monotonic loading

Undrained monotonic loading was simulated by maintaining the sample volume during loading, *i.e.*, the constant volume approach. In physical experiments, excess pore water pressure develops in saturated soil and, in turn,  $p'$  decreases when the sample tends to contract, whereas in the constant volume approach, the decrease in  $p'$  is caused by the drop in individual particle stress. Details of the

mean stress calculation are provided in Morimoto et al. (2021). Finn and Vaid (1977) and Monkul et al. (2015) found similar results for the two different approaches in their experiments. Ng and Dobry (1994) confirmed the capability of DEM to capture the cyclic liquefaction phenomena in granular soil. Bonilla (2004) reported qualitatively similar results between the constant volume approach and undrained loading with fluid coupling using a 2D DEM.

### 3.3. Undrained cyclic loading

Undrained cyclic loading tests were performed to quantify the liquefaction resistance and the relationship between the cyclic stress ratio ( $CSR$ ) and the number of cycles to liquefaction ( $NL$ ).  $CSR$  is defined as  $q/2p'_0$ , where  $p'_0 = 100 \text{ kPa}$ , and the corresponding  $NL$  is used to compare the liquefaction resistance in this study. Firstly, compression in the vertical direction was applied and then the loading direction was reversed to extension when the target  $q$ , corresponding to the selected  $CSR$ , was achieved. The onset of liquefaction was determined when the double amplitude of axial strain ( $\varepsilon_{DA}$ ) reached 2% and its calculation followed the JGS standard (Japanese Geotechnical Society Standard, 2016). During monotonic and cyclic loading, the  $CN$  and  $a$  were monitored. Following Thornton (2000), the mean mechanical coordination number ( $CN^*$ ), that excludes the contribution from the non-active particles having  $CNi < 2$ , was computed as:

$$CN^* = 2 \frac{N_c - N_c^{CNi < 2}}{N_p - N_p^{CNi < 2}} \quad (3)$$

where  $N_p$  is the total number of particles;  $CNi$  is the coordination number per particle. Following Otsubo et al. (2022), the mechanical void ratio ( $e^*$ ) was calculated by counting the volume of non-active particles as the void volume. The  $CN_0^*$  and  $e_0^*$  values are listed in Tables 1 and 2.

### 4. Mechanical responses in monotonic loading

#### 4.1. Drained monotonic loading

Drained monotonic compression and extension were applied separately up to  $\epsilon_a$  of  $\pm 40\%$ . Figs. 5a and 5b compare the overall and initial variations in  $q/p'$  for the *Iso-L*, *Ver-L*, and *Hor-L* samples. The *Ver-L* sample ( $a_0 = 0.097$ )

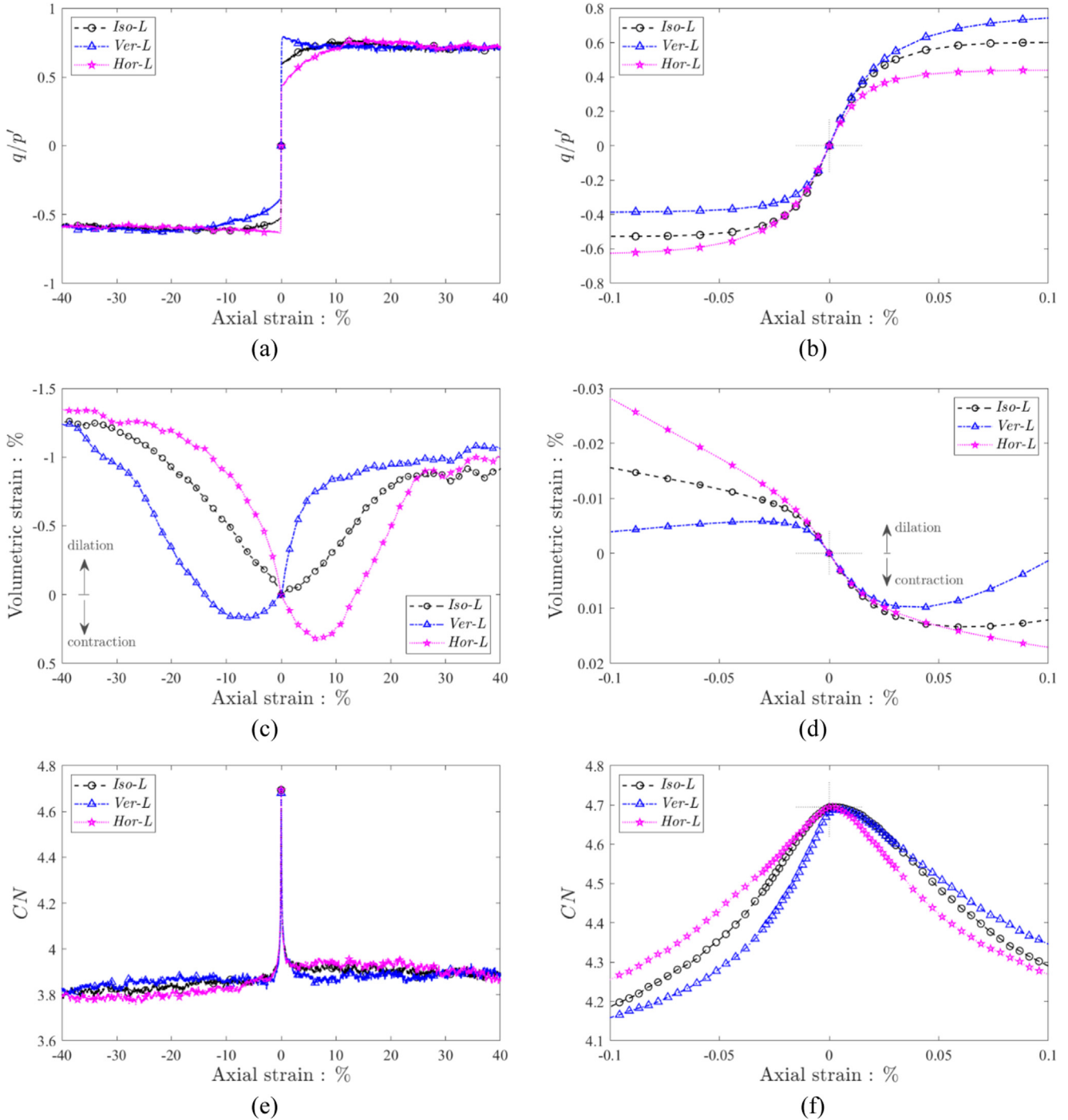


Fig. 5. Drained monotonic responses: (a) and (b) deviator stress ratio ( $q/p'$ ), (c) and (d) volumetric strain ( $\epsilon_{vol}$ ), and (e) and (f) mean coordination number ( $CN$ ) against axial strain. (a), (c), and (e) overall response and (b), (d), and (f) small-strain range.



shows a rapid growth in  $q/p'$  in compression ( $\varepsilon_a > 0$ ), while the *Hor-L* sample ( $a_0 = -0.098$ ) shows a stiffer response in extension ( $\varepsilon_a < 0$ ). The *Iso-L* sample ( $a_0 = -0.002$ ) gives an intermediate response on both sides. Their peak  $q/p'$  values vary measurably, but their residual  $q/p'$  values appear to be nearly identical. In contrast, Fig. 5c and 5d depict sensitive variations in  $\varepsilon_{vol}$ , where  $\varepsilon_{vol} < 0$  corresponds to dilation. More dilative behaviour is observed for the *Ver-L* sample in compression and for the *Hor-L* sample in extension. The overall responses of  $q/p'$  and  $\varepsilon_{vol}$  with  $\varepsilon_a$  agree qualitatively with Yimsiri and Soga (2010). Referring to Figs. 5e and 5f, the *CN* of the *Hor-L* sample drops quickly in compression, while that of the *Ver-L* sample drops rapidly in extension. These initial variations (Fig. 5f) are closely related to the variations in  $q/p'$  (Fig. 5b), i.e., a lower *CN* coincides with a lower magnitude of  $q/p'$  for a given  $\varepsilon_a$ .

#### 4.2. Undrained monotonic loading

Referring to Fig. 6a for the undrained stress–strain relationship, the *Ver-L* and *Iso-L* samples show monotonic increases in  $q$  in compression, and the *Hor-L* and *Iso-L* samples show monotonic increases in the magnitude of  $q$  in extension. In contrast, the  $q$  for the *Hor-L* sample increases initially in compression followed by an abrupt decrease to  $q = 0$  kPa, and then it increases again at around  $\varepsilon_a = 16.2\%$ ; the *Ver-L* sample shows a similar response in extension. Referring to Fig. 6b for the effective stress paths, the contractive behaviour of the *Hor-L* sample in compression and the *Ver-L* sample in extension is evident, leading to a reduction in  $p'$  to zero. The lines toward the origin ( $q = p' = 0$  kPa) are considered *instability lines*, and the state of zero effective stress is termed *static liquefaction* (Ishihara, 1993). A similar unstable response can be found in experiments for very loose sands (Yamamuro and Lade, 1997; Yoshimine and Ishihara, 1998). Huang et al. (2018)

observed static liquefaction for loosely packed spherical particles in DEM even with an initially isotropic fabric.

Fig. 7 shows the initial responses of  $q$  and the *CN* up to  $\varepsilon_a$  of  $\pm 2\%$ . The *Ver-L* sample is stiffer in compression while maintaining a relatively larger *CN*, whereas the *Hor-L* sample is stiffer in extension. The *CN* values for the *Hor-L* and *Ver-L* samples drop sharply at around  $+0.9\%$  and  $-1.1\%$ , respectively, corresponding to the points when  $q$  drops to zero (Fig. 7a). Referring to Fig. 8b, further loading leads to a gradual increase in the *CN* with visible fluctuations and then a sudden jump when the *CN* reaches around 3.65 ( $CN^* \approx 4.35$ ). The *CN* values at  $\varepsilon_a$  of 40% are stable and identical among the three samples. At the  $\varepsilon_a$  of  $\pm 40\%$ , the three samples are judged to have reached their critical states.

The overall variation in the *CN* is obviously different from the equivalent data for the drained monotonic loading case (Fig. 5e). The overall response of  $q/p'$  is similar regardless of the drainage conditions (Figs. 5a and 8a), but transient instability is observed for the undrained case due to the onset of static liquefaction. At the critical state, the effect of inherent anisotropy on  $q/p'$  or the *CN* appears insignificant; this agrees with the DEM results reported in the literature (Yimsiri and Soga, 2010; Dai et al., 2016).

#### 4.3. Change in distribution of contact forces

A more sensitive variation is observed for the undrained monotonic case compared with the drained monotonic case in terms of  $q$  (Fig. 6). To visualise the development of stress transfer and contact forces, four stages in the undrained compression of the *Hor-L* sample (points a to d annotated in Figs. 6 to 8) were selected and compared in Fig. 9, and the following observations can be made.

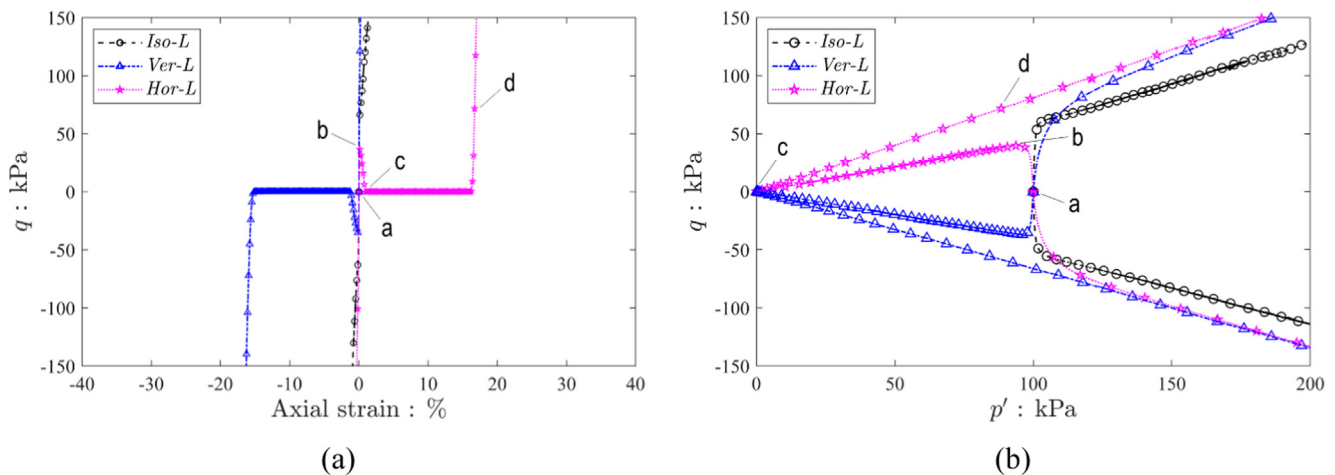


Fig. 6. Variations in (a) stress–strain relationship and (b) effective stress path during undrained monotonic loading.

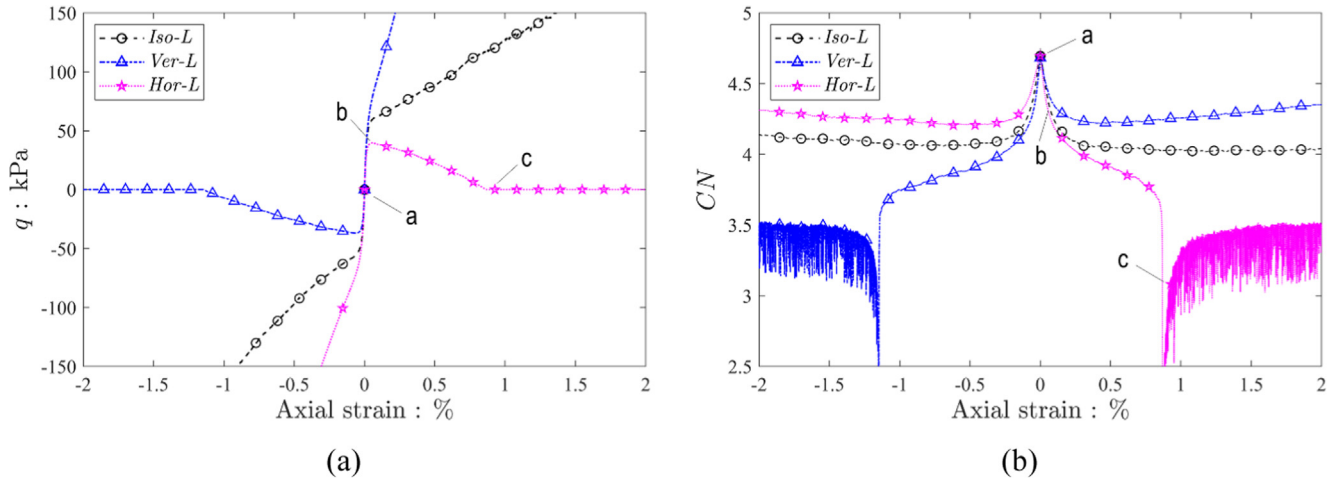


Fig. 7. Evolution of (a) deviator stress ( $q$ ) and (b) mean coordination number ( $CN$ ) within a small-strain range during undrained monotonic loading.

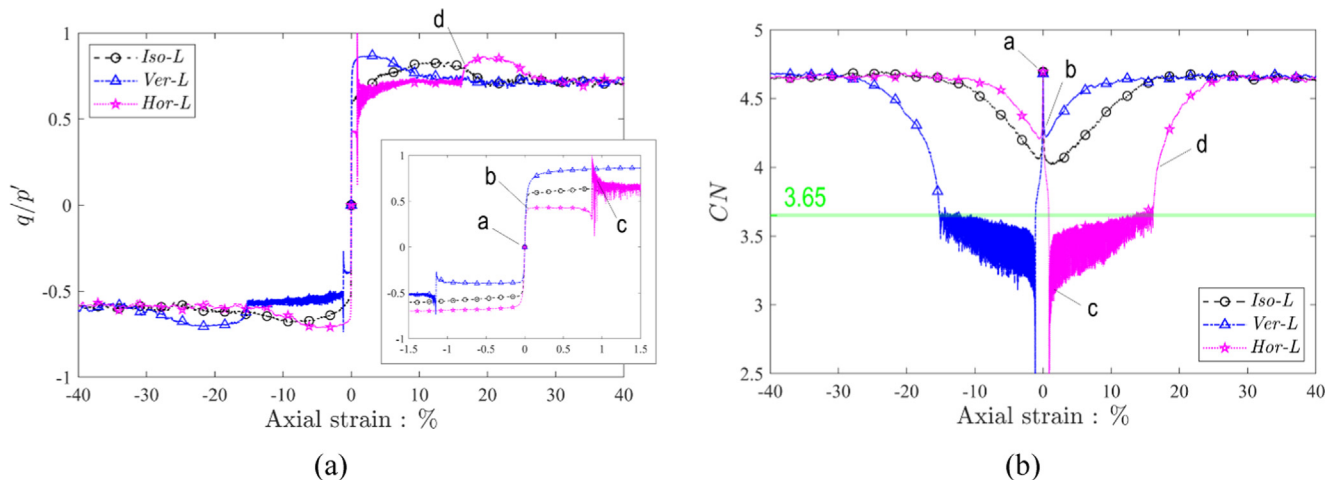


Fig. 8. Variations in (a) deviator stress ratio ( $q/p'$ ) and (b) mean coordination number ( $CN$ ) with axial strain during undrained monotonic loading. The inset of Fig. 8a highlights the data points of the axial strain between  $-1.5\%$  and  $1.5\%$ .

- Point a [ $\epsilon_a = 0\%$ ,  $p' = 100$  kPa,  $q = 0$  kPa, and  $a = -0.098$ ] is the initial state where the distribution of contact forces is slightly biased in the horizontal direction (Fig. 4).
- Point b [ $\epsilon_a = 0.054\%$ ,  $p' = 94.5$  kPa,  $q = 39.7$  kPa, and  $a = -0.014$ ] corresponds to the state where the temporary peak of  $q$  appears. The preferred direction of contact forces has become closer to the vertical.
- Point c [ $\epsilon_a = 0.928\%$ ,  $p' \approx 0$  kPa,  $q \approx 0$  kPa, and  $a = 0.236$ ] is an unstable condition when both  $q$  and  $p'$  are almost zero, *i.e.*, static liquefaction, where  $q/p' = 0.73$ . Although the  $CN$  is 3.08 (Fig. 7b), a stable stress transfer is absent; each contact transfers negligible contact force, resulting in  $p' \approx 0$  kPa.
- Point d [ $\epsilon_a = 16.7\%$ ,  $p' = 88.4$  kPa,  $q = 71.8$  kPa, and  $a = 0.358$ ] is the point when  $p'$  recovers from zero and the  $CN$  has increased to 3.98. The vertical forces are dominant in this stage. This kind of recovery of  $p'$  after static liquefaction has rarely been documented in the literature, either in experiments or in DEM. This phe-

nomenon is believed to occur due to the progressive rearrangement of the fabric, quantified by the  $CN$  or  $a$ , under constant volume conditions. This is discussed in further detail in Section 6.

## 5. Macroscopic response in undrained cyclic loading

### 5.1. Effect of CSR and inherent anisotropy

Fig. 10 compares the effect of the CSR on the *Iso-L* sample in terms of the stress–strain relationship and effective stress path. The CSR values of 0.15, 0.2, and 0.25 correspond to  $q = \pm 30$ ,  $\pm 40$ , and  $\pm 50$  kPa, respectively. A higher CSR causes a larger hysteresis per cycle in the stress–strain relationship and a profound reduction in  $p'$  in each cycle. With  $CSR = 0.25$  (Fig. 10c), the sample reaches the zero effective stress condition, *i.e.*, cyclic liquefaction, in only several cycles. This kind of behaviour with

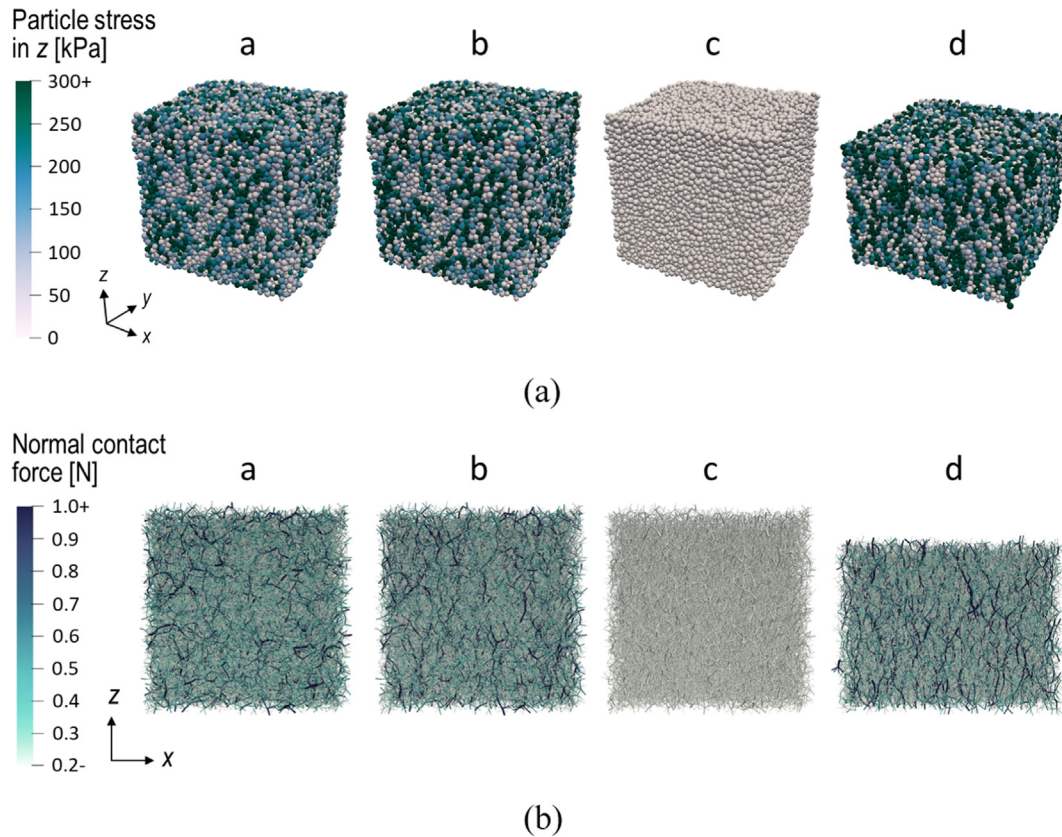


Fig. 9. Illustration of changes in (a) particle stress in  $z$  (vertical) direction and (b) normal contact force for *Hor-L* sample during undrained monotonic compression (points a, b, c, and d are annotated in Figs. 6 to 8).

limited deformation can be categorised as *cyclic mobility with limited flow* (Sze and Yang, 2014; Asadi et al. 2018).

The undrained cyclic responses of the *Ver-L* and *Hor-L* samples with  $CSR = 0.15$  are depicted in Fig. 11. A greater axial strain develops in extension for the *Ver-L* sample, but an opposite trend is observed for the *Hor-L* sample. This trend is attributed to the initial anisotropic response in undrained monotonic loading. The *Ver-L* sample shows an unstable response toward cyclic liquefaction in extension (*i.e.*,  $q < 0$ ) (Fig. 11a), while the *Hor-L* sample reaches cyclic liquefaction in compression (*i.e.*,  $q > 0$ ) (Fig. 11b). A similar pattern of strain development, depending on the initial bedding angle, can be found in experiments (*e.g.*, Oda et al., 2001). This kind of unstable behaviour observed for the *Ver-L* and *Hor-L* samples can be categorised as *cyclic instability* (Castro, 1975).

Referring to the effective stress path in Fig. 11, when undrained monotonic and cyclic loading conditions are compared, the position of the instability line, denoted as *IL*, appears identical for a given sample. In addition, the effective stress path after the recovery of  $p'$  from zero, termed the *failure line* (*FL*), appears unique between monotonic loading and cyclic loading. This phenomenon is investigated in detail by comparing three different  $CSR$  values for the *Ver-L* sample in Fig. 12. The rate of reduction in  $p'$  per cycle depends on the magnitude of the  $CSR$ ; however, the three cases eventually merge into an identical

instability line followed by a failure line. A similar observation was reported by Huang et al. (2018) where loose DEM samples, having an initially isotropic fabric, were used. Yamada et al. (2010) also showed a similar agreement between undrained monotonic and cyclic loading in experiments. In this study, the *Iso-L* sample did not show static liquefaction; however, for the same density, the *Ver-L* and *Hor-L* samples exhibited static liquefaction (Fig. 6), leading to overall fragile responses during undrained cyclic loading. This observation qualitatively agrees with the experimental data in Tatsuoka et al. (1986) and Sze and Yang (2014) where a more isotropic fabric leads to a greater liquefaction resistance.

### 5.2. Effect of initial density

Compared to the *Iso-L* sample ( $D_{r0} = 29.6\%$ ) with  $CSR = 0.25$  (Fig. 10c), a more resilient response is observed for the *Iso-M* sample ( $D_{r0} = 60.9\%$ ) (Fig. 13). This kind of behaviour – progressive deformation with an increasing number of load cycles – can be categorised as *cyclic mobility* (Castro, 1975; Vaid et al. 1985).

Figs. 14a and 14b show the responses of the *Iso-D* sample ( $D_{r0} = 100\%$ ) at the 1st, 250th, and 500th cycles of loading. There is little change in its stress–strain relationship even at the 500th cycle (Fig. 14a). This implies that the densest packing is not prone to liquefaction due to no

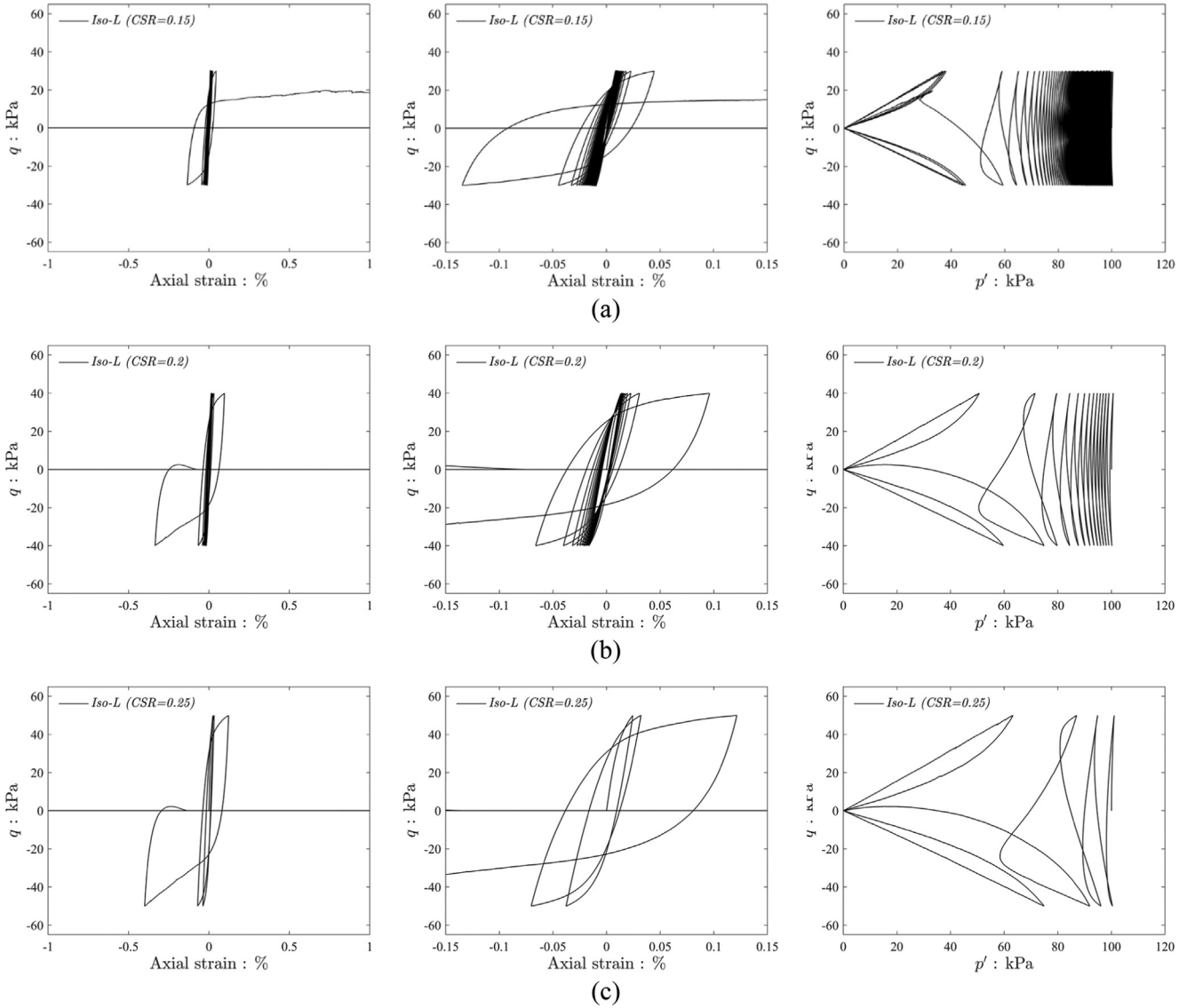


Fig. 10. Effect of CSR on stress–strain response and effective stress path of *Iso-L* sample for (a)  $CSR = 0.15$ , (b)  $CSR = 0.2$ , and (c)  $CSR = 0.25$ .

capacity for further contraction. Regarding the effective stress path,  $p'$  increases a little due to minor changes in the contact fabric and the creation of force chains (Fig. 14b). The same undrained cyclic loading was conducted using a face-centred cubic (*FCC*) packing having  $e_0$  of 0.350, which is the densest packing of equally sized spheres; no change in the effective stress path is observed even at the 500th cycle (Fig. 14c).

Referring to Section 3.3, the onset of liquefaction was determined when  $\varepsilon_{DA}$  reached 2%, and the corresponding *NL* values were quantified. The *CSR–NL* relationships for all the tested samples are compared in Fig. 15. For loose samples ( $D_{r0} \approx 30\%$ ), the curves for *Ver-L* and *Hor-L* are located below that for *Iso-L*. The effect of density on the *CSR–NL* relationship is obvious: a denser sample exhibits greater liquefaction resistance. Since the *Iso-D* sample did not show any reduction in  $p'$  at the 500th cycle, the arrows in Fig. 15 indicate that the actual *NL* should be  $>500$ .

### 5.3. Strain-dependent effect of inherent anisotropy

As discussed in Section 5.1, inherent anisotropy affects the deformation characteristics and liquefaction phenomena of granular materials. To understand the effect of  $a_0$  on the liquefaction behaviour, the results for the *K*-series samples with various  $a_0$  (Section 2.3, Table 2) were analysed.

Firstly, the initial shear moduli ( $G_0$ ) of the *K*-series samples were quantified from the initial linear part (up to  $\varepsilon_a = 0.001\%$ ) of the drained monotonic stress–strain relationship using the following formula:

$$G_0 = \frac{\Delta q}{2\Delta\varepsilon_q} \quad (4)$$

where  $\Delta\varepsilon_q$  is the deviator strain, *i.e.*, the difference between the axial strain and the mean of the other two strain components. It is noteworthy that the initial slopes of the stress–strain curves up to  $\varepsilon_a = \pm 0.001\%$  are identical



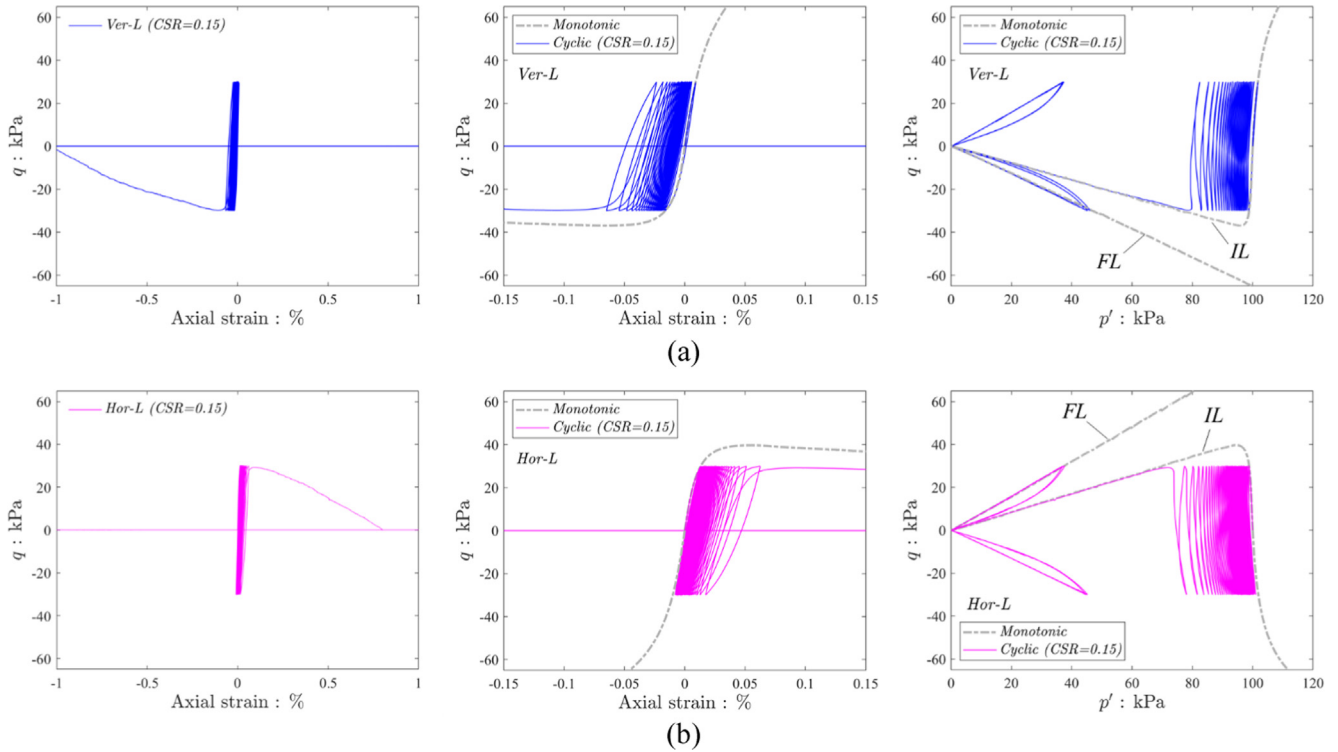


Fig. 11. Effect of initial fabric anisotropy on stress–strain relationship and effective stress path at  $CSR = 0.15$  for (a) *Ver-L* sample and (b) *Hor-L* sample (*IL*: instability line, *FL*: failure line).

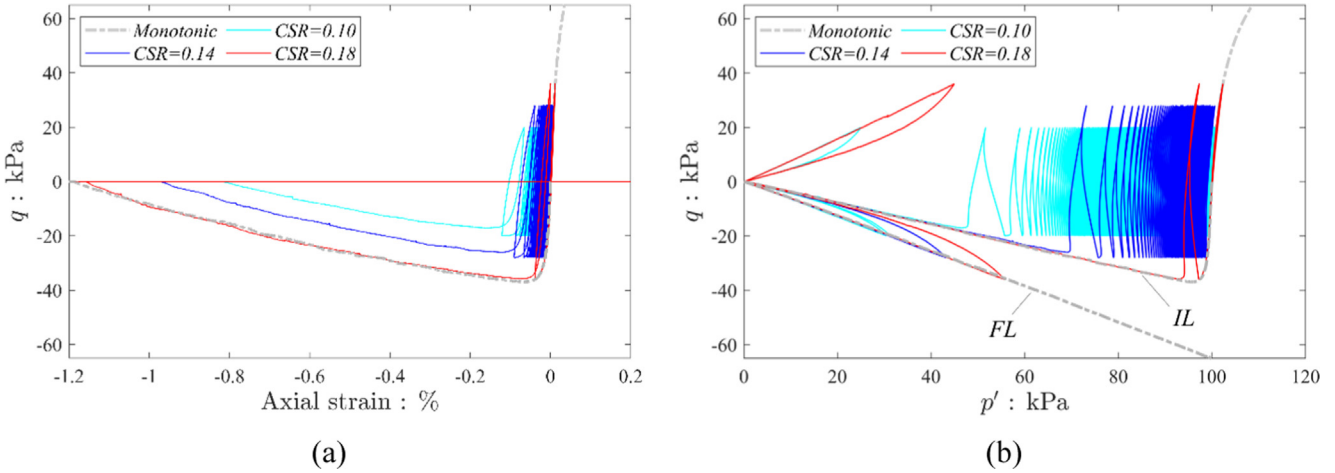


Fig. 12. Comparison of undrained monotonic and undrained cyclic responses at various  $CSR$  values for *Ver-L* sample: (a) stress–strain relationship and (b) effective stress path (*IL*: instability line, *FL*: failure line).

between compression and extension for the same sample (see Fig. 5b). Therefore, stiffness anisotropy was defined by comparing two stiffness components: vertically loaded and horizontally loaded (*i.e.*,  $G_{0v}/G_{0h}$ ), as illustrated in Fig. 16a. The horizontally loaded stiffness was taken as the average stiffness when loaded in the *x*- and *y*-directions.  $G_{0v}/G_{0h}$  varies linearly with  $a_0$  where the *K1.00-L* sample ( $a_0 \approx 0$ ) gives almost isotropic stiffness (*i.e.*,  $G_{0v}/G_{0h} \approx 1$ ).

Next, to understand how the stress–strain relationship evolves from elastic to plastic ranges under various  $a_0$ , the  $q/p' - \epsilon_a$  relationships for the *K*-series samples are plotted in Fig. 17a in which data points corresponding to  $\epsilon_a = \pm 0.005\%$  and  $\pm 0.1\%$  are marked. Almost identical linear parts are observed within  $\pm 0.005\%$ , and then differences in the magnitude of  $q/p'$  become evident at  $\epsilon_a = \pm 0.1\%$ . The  $q/p'$  values corresponding to  $\epsilon_a = \pm 0.005\%$  and  $\pm 0.1\%$  are compared in Fig. 17b with respect to  $a_0$ .

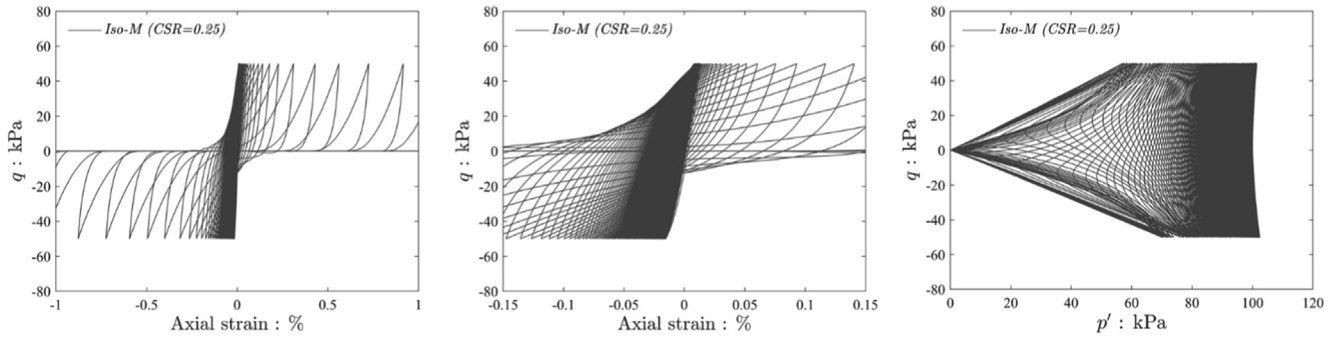


Fig. 13. Undrained cyclic responses of *Iso-M* sample ( $D_{r0} = 60.9\%$ ): Stress–strain relationship and effective stress path at  $CSR = 0.25$ .

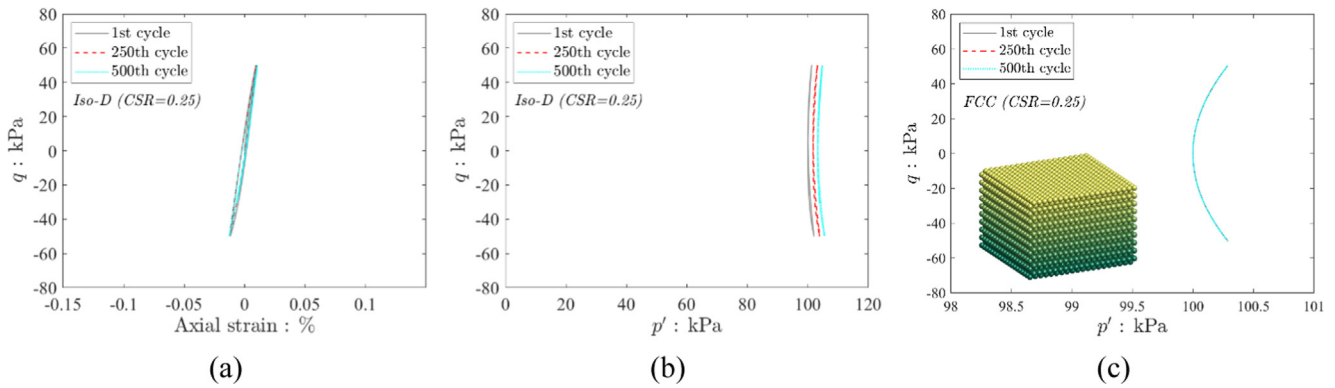


Fig. 14. Undrained cyclic responses of dense samples at  $CSR = 0.25$ : (a) stress–strain relationship, (b) effective stress path for *Iso-D* sample ( $D_{r0} = 100\%$ ), and (c) effective stress path for *FCC* sample.

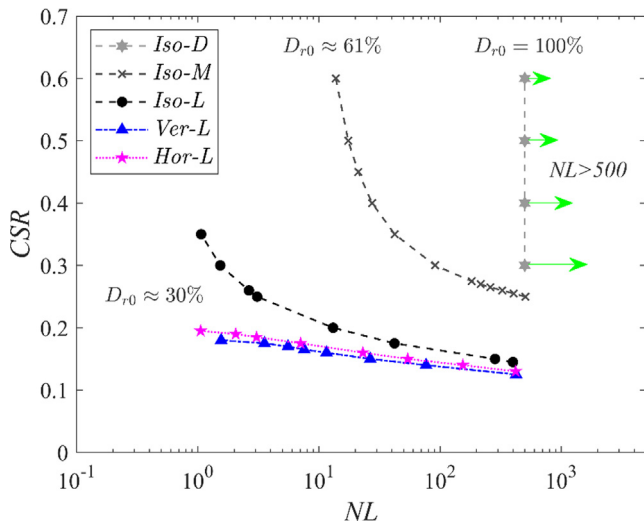


Fig. 15. Relationship between cyclic stress ratio ( $CSR$ ) and number of cycles to liquefaction ( $NL$ ) for *Iso*, *Ver*, and *Hor*-series samples ( $CSR = q/2p_0$ ;  $NL$  is determined when double amplitude axial strain exceeds  $2\%$  during undrained cyclic loading).

The effect of  $a_0$  on  $q/p'$  appears to be linear and becomes significant by  $\pm 0.1\%$ .

Lastly, the  $CSR-a_0$  relationships for the *K*-series samples are plotted in Fig. 16b with varying  $CSR$  values from 0.15 to 0.2. The  $NL$  is greater when  $a_0$  is close to zero, and

it decreases as the magnitude of  $a_0$  increases. The following key observations are found based on the results of the *K*-series samples:

1. An insignificant variation in  $q/p'$  is found at the initial loading within  $\varepsilon_a = \pm 0.005\%$  (Fig. 17a).
2. An increasing trend for  $q/p'$  with increasing  $a_0$  is observed at  $\varepsilon_a = \pm 0.1\%$  (Fig. 17b).
3. The variation in  $q/p'$  becomes less significant as loading continues beyond  $\varepsilon_a = \pm 0.1\%$ , which seems rational as the effect of  $a_0$  on  $q/p'$  becomes minimal at the critical state (Fig. 8a).
4. Referring to Figs. 10 and 11, a decrease in  $p'$  occurs within  $\varepsilon_a = \pm 0.1\%$  during undrained cyclic loading, indicating that the effect of inherent anisotropy on the  $NL$  is significant for the tested loose samples.
5. As discussed in Section 5.1, the samples having a larger degree of inherent anisotropy are more vulnerable to a particular direction of loading since their biased fabrics weaken rapidly during undrained cyclic loading. In contrast, samples having an isotropic fabric are potentially more resilient than those having higher or lower  $a_0$ , as evidenced in Figs. 15 and 16b.

It is noteworthy that the  $NL-a_0$  relationship reported in Fig. 16b is obtained for samples with approximately the same  $CN_0$  and  $e_0$ , and it is the  $a_0$  that controls the variation

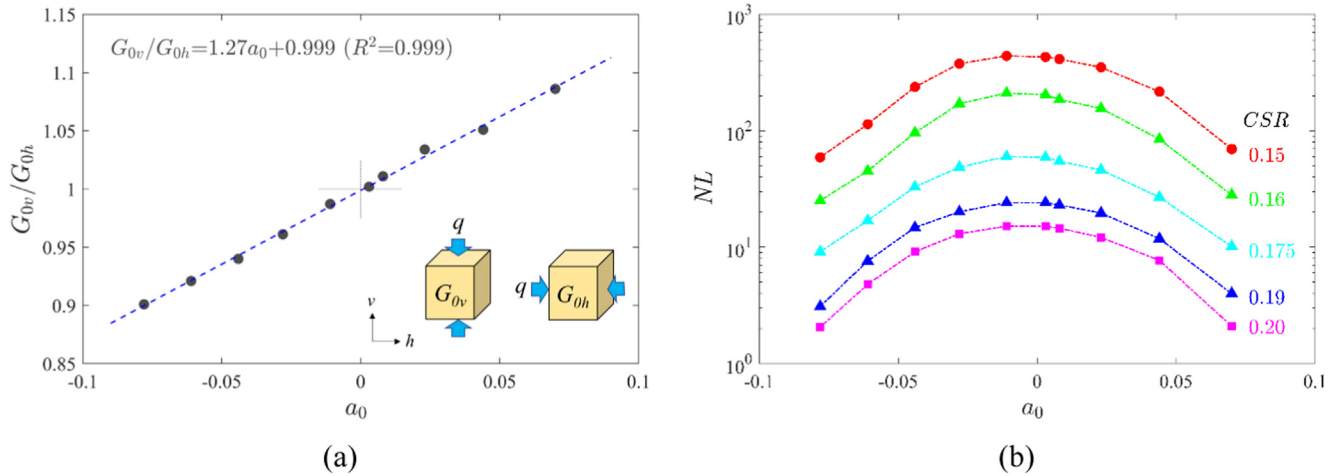


Fig. 16. Influence of initial anisotropic degree ( $a_0$ ) on (a) stiffness anisotropy and (b) number of cycles to liquefaction ( $NL$ ) at various  $CSR$  values for  $K$ -series samples (Table 2).

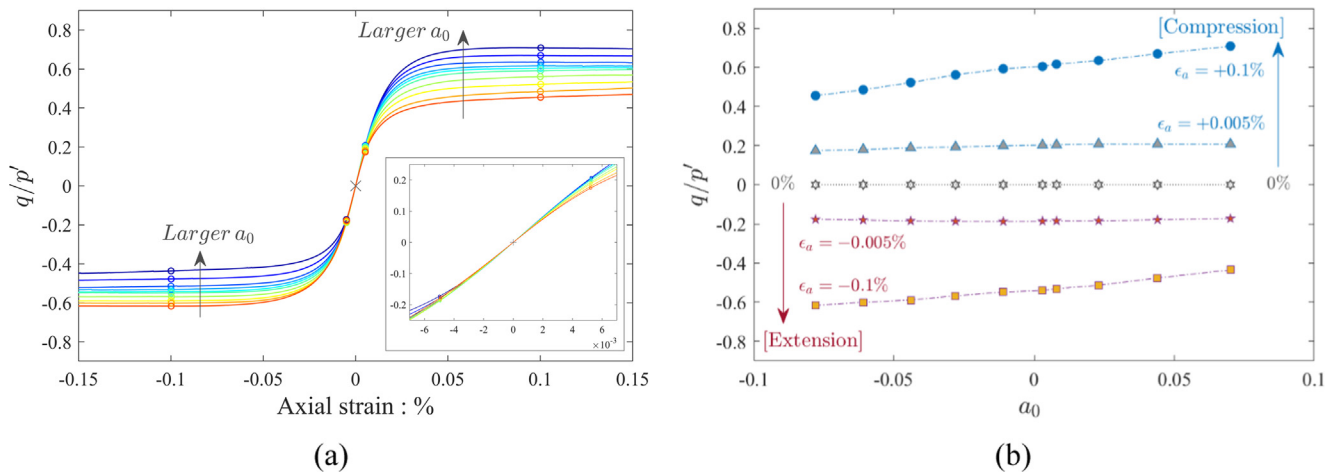


Fig. 17. Influence of initial anisotropic degree ( $a_0$ ) on evolution of deviator stress ratio ( $q/p'$ ) during undrained monotonic loading: (a) stress–strain relationship and (b)  $q/p'$ – $a_0$  relationship for selected axial strain levels for  $K$ -series samples (Table 2). The inset of Fig. 17a highlights the data points of the axial strain between  $-0.007\%$  and  $0.007\%$ .

in the  $NL$ . Wei and Wang (2017) reported a similar relationship among  $NL$ ,  $CSR$  and  $a_0$  (where  $a_0 > 0$ ); however, their  $CN_0$  values were also varied due to pre-shearing as a function of  $a_0$  in their DEM simulations.

### 6. Evolution of fabric tensor and induced anisotropy

The influence of inherent anisotropy on the macroscopic mechanical response during undrained cyclic loading was discussed in Section 5. This section investigates the contact-scale microscopic responses, focusing on the variations in the  $CN$  and  $a$  using the *Iso-L*, *Ver-L*, and *Hor-L* samples.

#### 6.1. Variation in $CN$ during undrained cyclic loading

Figs. 18a and 18b display the evolution of the  $CN$  during undrained cyclic loading with  $CSR = 0.15$  in

terms of the accumulated axial strain ( $\sum |\epsilon_a|$ ) and  $\epsilon_a$ , respectively. The three samples have  $CN_0 \approx 4.7$  at their initial states (Table 1) and maintain similar values at the beginning of cyclic loading, annotated as pre-liquefaction in Fig. 18. Cyclic liquefaction takes place when the  $CN$  drops to 0 where the granular materials are unstable. In contrast, the  $CN$  does not reach zero (Fig. 8b) during static liquefaction. In Fig. 18, the  $CN$  increases gradually to around 3.65 and then drops to 0 once again; the drop in the  $CN$  occurs repeatedly whenever the loading direction is reversed after  $q$  recovers to the target  $CSR$ . The threshold  $CN$  of around 3.65 ( $CN^* \approx 4.35$ ) appears to be equivalent to that for undrained monotonic loading (Fig. 8b). Even after a large  $\sum |\epsilon_a|$  value has developed, the threshold  $CN$  is maintained (Fig. 18a). A similar pattern for the variations in  $CN^*$  was observed in this study; the data are not shown here for conciseness. The threshold  $CN$  is lower than  $CN_0$ , probably due to the lower  $p'$  at the load reversal points

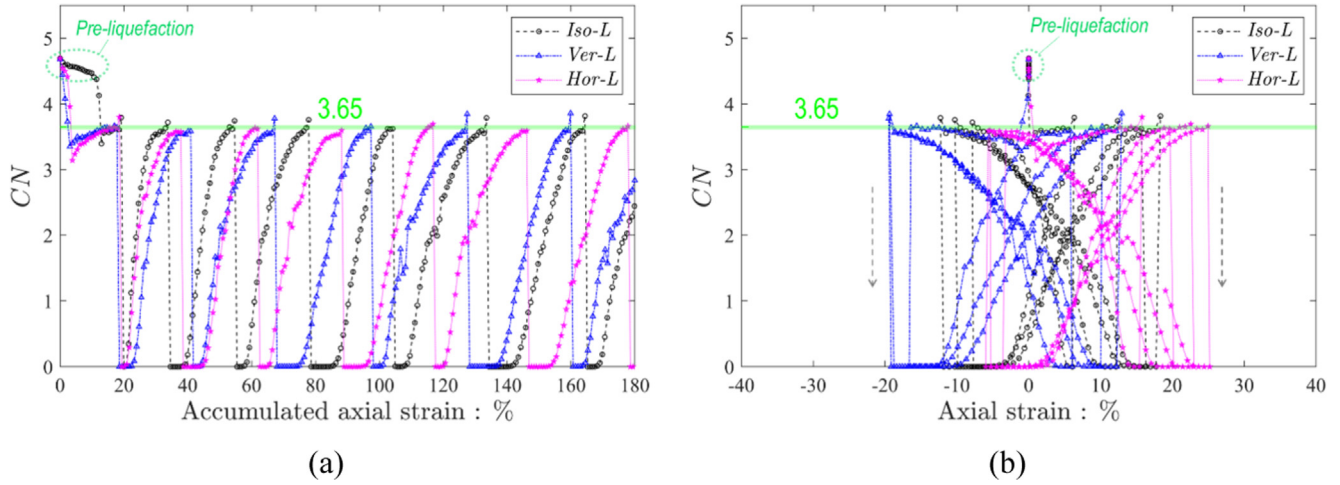


Fig. 18. Evolution of mean coordination number ( $CN$ ) during undrained cyclic loading at  $CSR = 0.15$  against (a) accumulated axial strain and (b) axial strain.

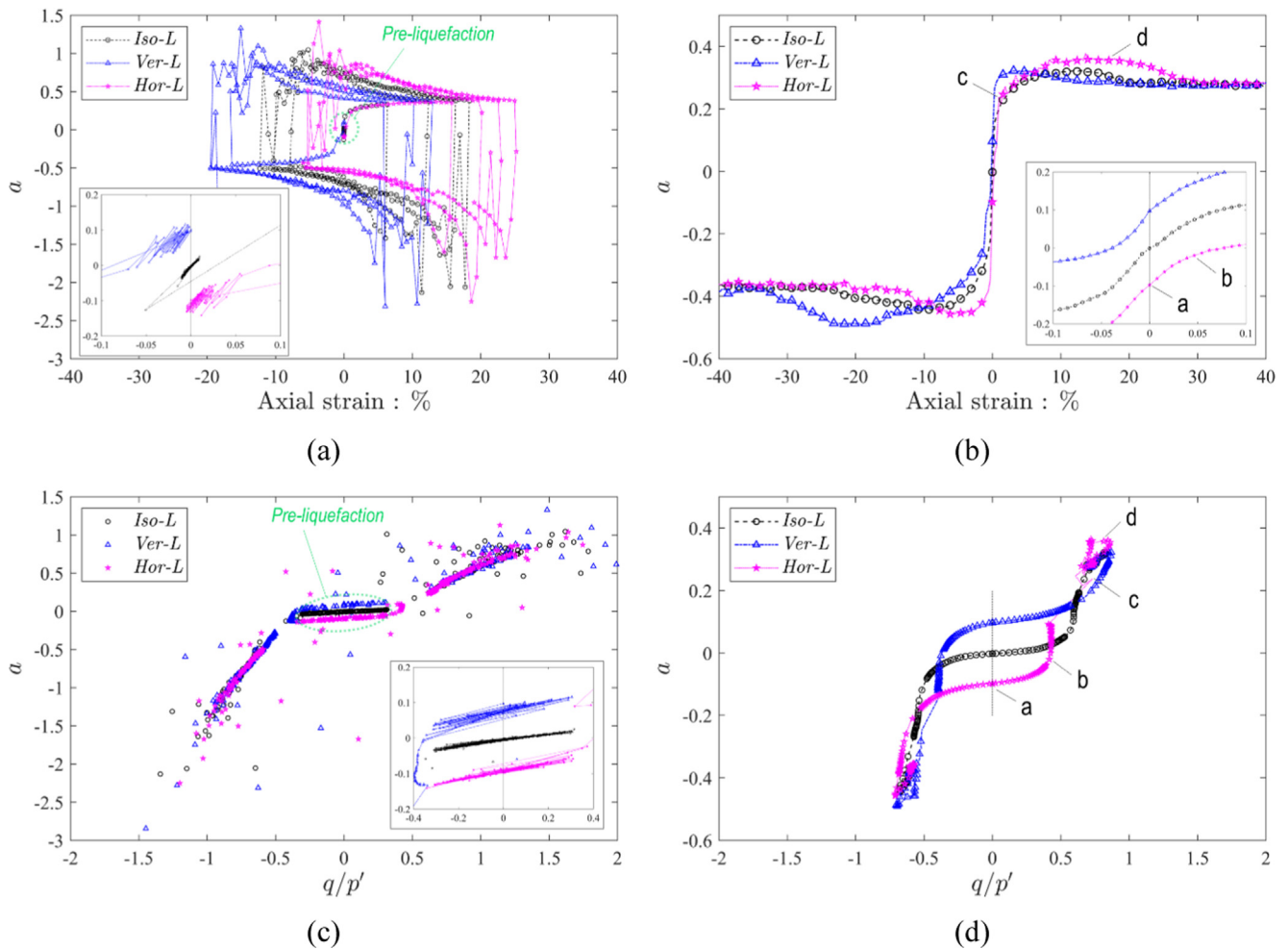


Fig. 19. Variations in anisotropic degree ( $a$ ) with (a) axial strain under undrained cyclic loading at  $CSR = 0.15$ , (b) axial strain under undrained monotonic loading, (c) deviator stress ratio under undrained cyclic loading at  $CSR = 0.15$ , and (d) deviator stress ratio under undrained monotonic loading. The insets of Figs. 19a, 19b, and 19c highlight the data points at the initial part of the loading.



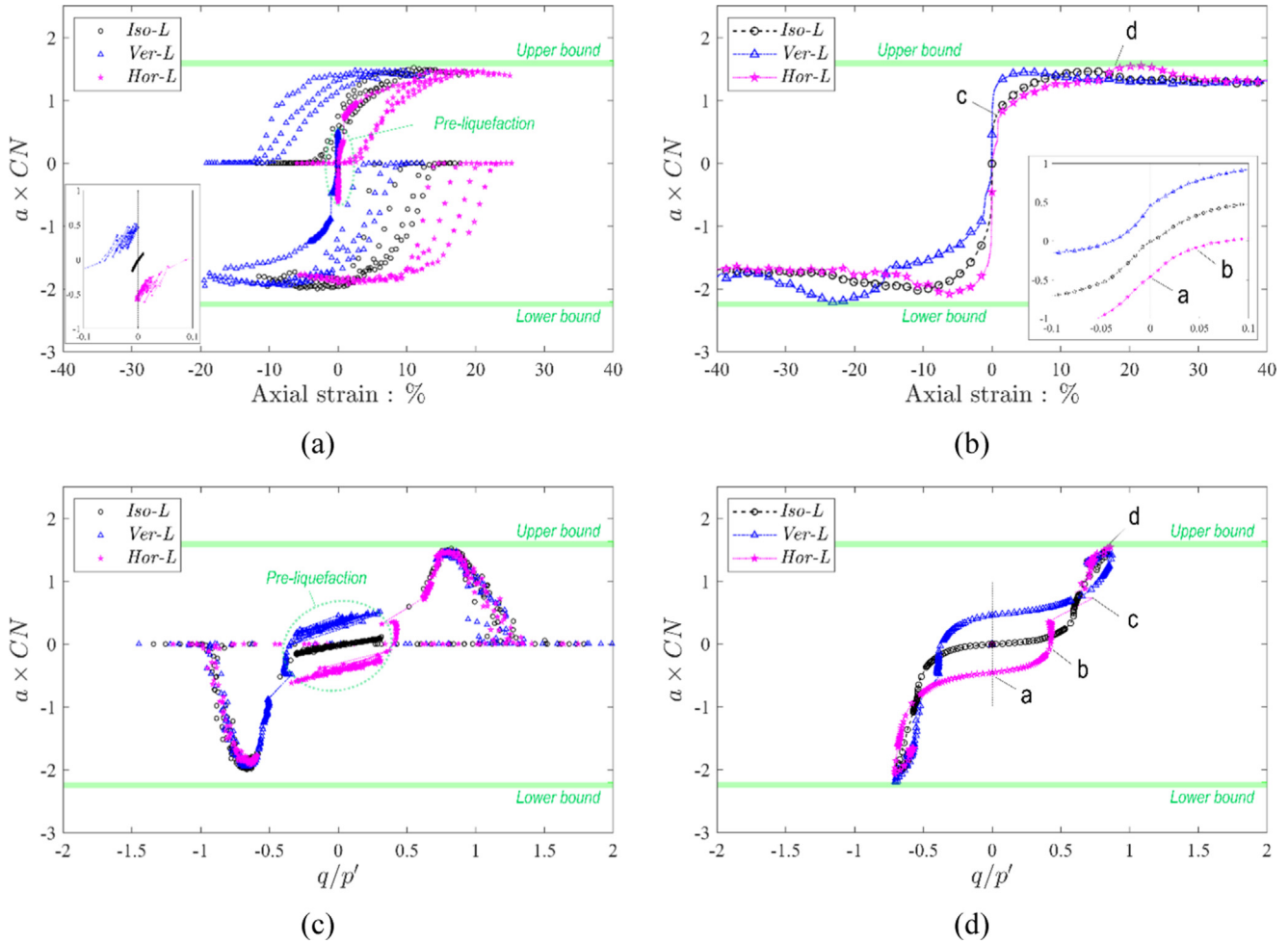


Fig. 20. Variations in effective anisotropy ( $a \times CN$ ) with (a) axial strain under undrained cyclic loading at  $CSR = 0.15$ , (b) axial strain under undrained monotonic loading, (c) deviator stress ratio under undrained cyclic loading at  $CSR = 0.15$ , and (d) deviator stress ratio under undrained monotonic loading. The insets of Figs. 20a and 20b highlight the data points at the initial part of the loading.

as well as the shear history accumulated during cyclic loading.

Furthermore, Fig. 18b indicates that the range in axial strain attained during cyclic liquefaction is affected by the inherent anisotropy where the *Hor-L* sample accumulates axial strain on the compression side, while the *Ver-L* sample exhibits an opposite trend. This makes sense as samples tend to deform more in their weaker directions during undrained cyclic loading depending on their inherent anisotropy (Fig. 11). This indicates that the residual axial strain after the onset of cyclic liquefaction may vary depending on inherent anisotropy. A recent DEM simulation by Wei et al. (2018) showed a similar influence of inherent anisotropy induced by pre-shearing.

### 6.2. Evolution of induced anisotropy

An analysis using the  $CN$  alone cannot capture the change in fabric anisotropy during loading. Therefore, the evolution of  $a$ , i.e., induced anisotropy, was quantified. Figs. 19a and 19b illustrate the evolution of  $a$  with  $\varepsilon_a$  dur-

ing undrained cyclic loading with  $CSR = 0.15$  and monotonic loading, respectively. Figs. 19c and 19d show the variation in  $a$  in terms of  $q/p'$ . There is no systematic pattern for the variation in  $a$  during undrained cyclic loading where the response changes drastically between pre-liquefaction and during cyclic liquefaction. Similar observations during cyclic liquefaction were reported in Wang and Wei (2016), confirming that this kind of complicated phenomenon can be observed in granular materials. In contrast, the variation in  $a$  during undrained monotonic loading is more stable and systematic. The overall variation in  $a$  during undrained monotonic loading, shown in Figs. 19b and 19d, is similar to that presented in Yimsiri and Soga (2010).

It is clear from Figs. 19a and 19c that the magnitude of  $a$  exceeds 1.0 during cyclic liquefaction, which is one order of magnitude higher than the range in  $a_0$  investigated in this study. The possible range in  $a$  is  $-5.0$  to  $1.67$  according to Eq. (2). It is also noted that, soon after the loading direction is reversed, the sign of  $a$  is reversed and the magnitude of  $a$  exceeds the range in  $a_0$ ; this observation implies that

the soil fabric only momentarily recovers an isotropic state during cyclic liquefaction. A sample having initial anisotropy tends to deform more in its weaker direction, and thus, exhibits a lower liquefaction resistance. Therefore, extremely anisotropic fabric with a larger magnitude of  $a$ , when  $q$  recovers to the target  $CSR$  during cyclic liquefaction, is deemed to be quite unstable when loaded in the reverse direction: the  $CN$  drops to almost zero immediately after the load reversal (Fig. 18).

### 6.3. Alternative index – Effective anisotropy

A possible explanation for the different trends in  $a$  between the two loading conditions is that the  $CN$  drops to almost zero when the loading direction is reversed during cyclic liquefaction (Fig. 18). Therefore, a small number of contacts determine  $a$ , which does not represent the overall state of the fabric. This contribution proposes an alternative index, termed *effective anisotropy*, as the product of  $a$  and  $CN$ , i.e.,  $a \times CN$ , so that the evolution of induced anisotropy can be tracked effectively and compared systematically between the two loading conditions. Similar indices,  $\phi_v \times CN$  and  $\phi_h \times CN$ , have been used by Li et al. (2021) for drained monotonic compression. Fig. 20 depicts the variation in  $a \times CN$  in terms of  $\varepsilon_a$  or  $q/p'$  to be compared with Fig. 19. Fig. 20 shows horizontal lines indicating the upper and lower bounds of  $a \times CN$ , taken from the highest and lowest values, respectively, in the undrained monotonic loading tests. It is noteworthy that the magnitude of  $a \times CN$  in the cyclic loading test depends on the selected  $CSR$ ; setting an infinitely large  $CSR$  makes the cyclic loading test equivalent to the monotonic loading test. The threshold effective anisotropy is one of the material characteristics for the given samples irrespective of the loading types under undrained conditions. It should be noted that the threshold  $a \times CN$  values depend on  $e_0$ , PSD, and the drainage conditions.

### 7. Limitations of this contribution

This study lacks several important aspects of granular soil, e.g., particle shape, PSD, and degree of saturation. Recent DEM simulations have used multi-sphere clumps to represent non-spherical particles (e.g., Katagiri, 2019; Angelidakis et al., 2021). It is likely that factors, such as particle shape parameters and major axis orientation, will contribute to the mechanical response. PSD influences the attainable ranges in the  $e$  and  $CN$  values (Liu et al., 2021). The tested range in  $a_0$  for the loose samples in Tables 1 and 2 covers extremely anisotropic conditions under the specific  $e_0$  and  $CN_0$  adopted in this study; however, this range would be altered if the particle shape or PSD were different. The effect of partial saturation is not considered in this study. However, in experiments, the degree of saturation is critical for liquefaction resistance as the excess

pore water pressure does not develop rapidly (e.g., Okamura and Soga, 2006; Mele et al., 2019). Kuhn and Daouadji (2020) focused on this effect using DEM. Lastly, the present DEM analysis is limited to triaxial testing conditions. Recently, Jiang et al. (2021) compared the dynamic response of spherical particles using DEM under horizontally polarised shear- and Love-wave strain conditions. While acknowledging the above limitations, this study nonetheless provides the essential mechanical responses of granular materials subjected to both monotonic and cyclic loading influenced by inherent anisotropy.

### 8. Conclusions

This contribution investigated the effect of inherent anisotropy, i.e., initial soil fabric, on the mechanical response of granular materials subjected to drained/undrained monotonic loading and undrained cyclic loading using DEM in relation to their induced anisotropy. For this purpose, the initial anisotropic degree,  $a_0$ , was varied systematically for samples with approximately the same  $e_0$  and initial mean coordination number,  $CN_0$ . Based on systematic analyses using spherical particles, the following conclusions can be drawn:

- The initial stiffness and peak strength of anisotropic samples will be greater if compression is applied in the direction of the preferred contact normals. For example, a sample having a similar fabric to those prepared by the air-pluviation method tends to exhibit a greater resistance to compression compared to extension.
- The influence of  $a_0$  on the development of  $q/p'$  during drained monotonic loading is considerable at small to medium strain levels, whereas its effect is insignificant at the residual state ( $\varepsilon_a = \pm 40\%$ ).
- The overall response of  $q/p'$  against axial strain appears to be similar between drained and undrained monotonic loading tests.
- During undrained monotonic loading, variations in  $q$  and the  $CN$  appear sensitive to  $a_0$  compared to drained monotonic loading. For the *Ver-L* and *Hor-L* samples, instability followed by static liquefaction was observed in the weakly structured direction. However, a recovery of  $p'$  took place during further monotonic loading at a larger strain level; consequently, the  $q/p'$  and  $CN$  at the residual state became independent of  $a_0$ .
- The liquefaction resistance curve, i.e., the  $CSR-NL$  relationship, is found to depend not only on  $D_{r0}$ , but also on  $a_0$ . A sample having a large degree of anisotropy tends to deform more in its weaker direction at an early stage of undrained cyclic loading, leading to a lower  $NL$ , compared to samples having an isotropic fabric. Thus, the presence of inherent anisotropy tends to reduce the liquefaction resistance of granular materials composed of spherical particles.

- Extremely anisotropic fabric with a larger magnitude of  $a$ , when  $q$  recovers to the target  $CSR$  during cyclic liquefaction, is deemed to be quite unstable when loaded in the reverse direction: the  $CN$  drops to almost zero immediately after the load reversal.
- Microscopic analyses revealed the presence of a threshold  $CN$  at which  $p'$  recovers from zero after static liquefaction (Fig. 8b) and cyclic liquefaction (Fig. 18). This threshold  $CN$  is around 3.65 ( $CN^* \approx 4.35$ ) for the tested material and conditions in this study, regardless of monotonic or cyclic loading.
- There is no systematic pattern of changes in  $a$  during undrained monotonic and cyclic loading since the  $CN$  varies significantly where the  $CN$  drops to almost zero during cyclic liquefaction. An alternative new index proposed in this study, effective anisotropy ( $a \times CN$ ), can be used when the two loading conditions are compared in terms of induced anisotropy, which provides similar upper and lower bounds irrespective of the loading conditions.

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