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Gene editing in Farm Animals: A Step Change for Eliminating2 Epidemics on our Doorstep?

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Competing Interest Statement: Whilst the University of Edinburgh's Roslin Institute and animal genetics company Genus plc have signed an agreement to produce pigs that are resistant to a respiratory disease affecting livestock worldwide (https://www.ed.ac.uk/news/2021/agreement-targetsdisease-resistant-geneedited-pigs), this study, carried out prior to the agreement, builds solely on published findings and rigorous scientific methods for model development and assessment. As such the results are entirely objective, and neither the results nor their interpretation are in any way influenced by this agreement or by personal believe or self-interest.

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38 Abstract

39 Recent breakthroughs in gene-editing technologies that can render individual animals fully resistant to infections may offer unprecedented opportunities for controlling future epidemics in farm animals. Yet, 40 41 their potential for reducing disease spread is poorly understood as the necessary theoretical 42 framework for estimating epidemiological effects arising from gene editing applications is currently 43 lacking. Here, we develop semi-stochastic modelling approaches to investigate how the adoption of 44 gene editing may affect infectious disease prevalence in farmed animal populations and the prospects 45 and timescale for disease elimination. We apply our models to the Porcine Reproductive and 46 Respiratory Syndrome PRRS, one of the most persistent global livestock diseases to date. Whereas 47 extensive control efforts have shown limited success, recent production of gene-edited pigs that are 48 fully resistant to the PRRS virus have raised expectations for eliminating this deadly disease.

Our models predict that disease elimination on a national scale would be difficult to achieve if gene editing was used as the only disease control. However, from a pure epidemiological perspective, disease elimination may be achievable within 3-6 years, if gene editing was complemented with widespread and sufficiently effective vaccination. Besides strategic distribution of genetically resistant animals, several other key determinants underpinning the epidemiological impact of gene-editing were identified.

55 Significance statement

56 This proof-of-concept modelling study offers first quantitative insights into the potential 57 epidemiological benefits of gene-editing technologies, and how these may be most effectively 58 implemented to control one of the most harmful pig diseases to date. In the future, the epidemiological 59 benefits will need to be complemented by systematic assessment of economic and technological 60 feasibility to enable balancing these against ethical and societal concerns.

61 Introduction

62 Novel genomic technologies such as gene editing offer promising opportunities to tackle some of the most pressing global challenges humanity faces today. They provide new prospects to solving 63 64 emerging threats such as the global Covid-19 pandemics (1), as well as to long-standing global health 65 issues such as the HIV/Aids crisis (2) or malnutrition (3, 4), with minimal side effects. Besides the medical field, food production stands to gain most from widespread use of genome editing 66 67 technologies. Currently 11% of the human population suffers malnourishment (5), and this is expected 68 to increase with the projected growth of the human population to 10.9 billion by 2100 (42%) (6). 69 Meeting the 60% increase of agricultural production needed to provide sustainable and nutritious diets 70 will likely require transformative innovations to existing production methods (7). While genome-editing 71 technologies have been applied widely in plant breeding to simultaneously improve production and 72 resilience to diverse stressors (see (8) for examples), their application in the livestock sector is still in 73 its infancy, primarily due to technical limitations associated with the gene editing process itself and the 74 safe and fast dissemination of edits, as well as ethical and societal concerns (9). Nevertheless, 75 breakthroughs in genetic modification of farm animals through genome editing start to emerge with 76 drastic improvements in efficiency traits (10, 11), animal welfare (12) and disease resistance (13, 14). 77 Improving disease resistance in livestock seems particularly pertinent, as infectious diseases affect 78 the entire food production chain and its economic viability (15).

79 The recent scientific breakthroughs in genome editing raise expectations for radical shifts in infectious 80 disease control in livestock (14). Although many countries currently lack specific regulations covering 81 the application of genome edited animals in the food chain, this technology currently falls under GMO 82 legislation in countries that have such processes. Reflecting this, we are seeing the rapid 83 development of gene editing regulations worldwide (see the Global Gene Editing Regulation Tracker (16) for an up-to-date status of gene editing regulations per country). Specifically, some countries 84 have identified that some genome editing strategies are exempt from regulatory approval. This is 85 reflected in the recent announcement in Japan that a genome edited seabream does not need to be 86 regulated as no gene has been introduced into the genome (17, 18). These developments make it 87

88 realistic that application of gene editing to help control infectious disease is likely in the near future. 89 This prospect evokes pressing questions concerning the theoretical and practical feasibility of tackling 90 diseases for which conventional control methods have failed. It is currently not known how to best 91 implement gene editing-induced disease resistance to achieve noticeable reduction in disease 92 prevalence and possibly even eliminate the disease on a national scale, and in what time scale such 93 ambitious goals could be achieved.

94 These questions are impossible to address in an entirely hypothetical context since epidemiological 95 characteristics affecting the spread of the disease in question and the dynamics of the dispersal of 96 resistant animals within the population play important roles in the success of the scheme. In this study, we focus on a particular disease, PRRS, for the development of a mathematical modelling 97 98 framework to investigate the feasibility of the application of gene editing to achieve disease 99 elimination. PRRS (Porcine Reproductive and Respiratory Syndrome) represents one of the most 100 important infectious disease problems for the pig industry worldwide with economic losses estimated at \$2.5 billion per annum in the US and Europe alone (19, 20). Despite extensive global control 101 102 efforts, the disease continues to persist in national commercial pig populations, largely due to high 103 genetic heterogeneity of the PRRS virus, PRRSV, (21) and the associated limited effectiveness of all 104 PRRS vaccines (22, 23) and limited reliability of diagnostic tests (24, 25). There is considerable 105 natural genetic variation in pigs' responses to PRRSV infection but evidence to date suggests that no 106 pig strain is naturally fully resistant to it (26). However, recent advances in gene editing of porcine 107 macrophages, in which a simple disruption of the CD163 gene confers complete resistance to 108 infection with PRRSV, may revolutionize future PRRS control (27-29).

To exploit the full potential of gene editing for PRRS control, we here develop a theoretical proofconcept model to address a number of crucial research questions: To what extent can gene editing help reduce PRRS prevalence in national commercial pig populations? Is it possible to eliminate this disease through gene editing by creating a disease-resistant subpopulation adequately dispersed within the national susceptible population? If so, what proportion of pigs would have to be *PRRSV* resistant and how would these animals need to be distributed across herds?

It is unlikely that gene editing will fully replace existing control methods, such as wide-spread 115 116 vaccination. Hence, we also use our model to investigate the epidemiological effects of gene editing 117 and vaccination combined. Finally, we investigate how fast the required proportion of resistant 118 animals could be introduced in a national commercial pig population, if gene editing was strictly 119 limited to breeding programs and resistance alleles propagate to commercial pigs using current 120 industry practices with their diverse technical limitations. This last question becomes particularly important for an RNA virus with a high evolutionary rate such as PRRSV, since escape mutants of the 121 122 virus might limit the shelf-life of gene editing and vaccines in terms of effectiveness (14, 30).

123 We address these questions with two linked simulation models: [1] an epidemiological model to 124 simulate the effects of different disease control schemes on PRRS prevalence in a national 125 commercial pig population, and [2] a gene flow model to simulate the propagation of PRRSV 126 resistance alleles from breeding programs that routinely carry out gene editing for PRRS resistance, 127 into the commercial population. The epidemiological model provides insight into the numbers and 128 distribution of genetically resistant pigs required to eliminate PRRS under a range of realistic 129 scenarios. The allele propagation model subsequently provides estimates for the time required to 130 realistically produce this required number of genetically resistant pigs.

Our proof-of-concept model provides the first quantitative estimates for how gene editing may reduce
 infectious disease prevalence in farm animals and the required time frame and criteria for eliminating
 a disease on a national level.

134 Results

135 Impact of gene editing on disease prevalence and chance of disease elimination

136 Gene editing as the only disease control. We first investigated how gene editing of pigs may affect 137 PRRS prevalence at a national level. We assessed whether disease elimination through gene editing 138 alone is possible and what proportion of a population would have to be genetically resistant to achieve 139 this goal. Epidemiological theory for herd immunity stipulates that disease elimination is possible 140 provided that individual subpopulations or herds contain sufficient proportions of resistant individuals (31). The required proportion of resistant individuals (P_e^*) in a population depends largely on the 141 142 disease transmission potential, otherwise known as the reproductive ratio R, which is defined as the 143 expected number of secondary cases caused by a primary case over its infectious period (32).

144 To predict the potential effects of gene editing on PRRS prevalence at a national level, we simulated 145 national commercial pig populations consisting of herds that varied in size, PRRS virus exposure and 146 the baseline transmission potential R_0 in the absence of genetically resistant or vaccinated animals 147 (see Methods). We then simulated four different distribution scenarios according to which given 148 numbers of available genetically resistant pigs are distributed across the herds. These scenarios 149 mimic different degrees of regulations concerning the distribution of these pigs, ranging from a 150 centrally regulated scheme that may be based on either little or accurate information about the 151 baseline transmission potential R_0 to an entirely voluntary uptake by the farmers (see Methods and 152 Table 1). Following epidemiological theory (32), the presence of genetically resistant pigs in a herd 153 reduces the herd specific R_0 value to the effective reproductive rate

$R = R_0(1 - P_e)$

where P_e denotes the fraction of genetically resistant in the herd (see Methods for the more generic model also including vaccination effects). PRRS prevalence on a national level was then defined as the proportion of herds with *R* above one.

Figure 1 demonstrates that gene editing can contribute to considerable reduction of disease prevalence and even lead to full elimination under optimal conditions. However, the rate at which disease prevalence reduces with increasing proportions of genetically resistant individuals depends strongly on both R_0 and how resistant pigs are distributed across herds. In particular, the latter plays a significant role in whether or not a strategy achieves full disease elimination (Figure 1).

162 Specifically, under optimal conditions where the herd-specific R_0 is known or accurately estimated, 163 resistant animals, if sufficiently available, can be distributed according to demand to reduce the herd-164 specific R to below one (see above equation, or eq [1] in Methods). This optimum distribution leads to a significant reduction in disease prevalence even under higher average R_0 (Figure 1a), and could 165 166 achieve disease elimination when less than half of the national pig population is genetically resistant for relatively high average R_0 (i.e. avg. $R_0 < 3$). For a moderate average R_0 of 1.5, as estimated for 167 168 PRRS (33-35), the required proportion of genetically resistant pigs drops to 30% (Figure 1a). While 169 this ideal situation would provide the best environment for PRRS elimination using genetically 170 resistant animals, it is unlikely to occur in a real pig production system, where the herd specific R_0 is 171 unknown and farmers can be expected to differ in their willingness and capability to invest in adopting 172 the new technology. A perhaps more realistic distribution scenario, hereafter called comprehensive 173 distribution, assumes that all herds are supplied with an equal proportion of available genetically 174 resistant animals, and the sourcing of resistant pigs is managed by the supplying breeding companies 175 rather than the farmer (Figure 1b). Under these circumstances, disease prevalence only decreases 176 considerably when the available proportion of genetically resistant individuals in the population is 177 high. In particular, disease elimination is only possible if the majority of individuals are genetically 178 resistant (e.g. 74% for average R₀ of 1.5 (Figure 1a)). The third alternative model scenario, hereafter 179 called Concentrated distribution, considers that not all farmers may embrace gene-editing and splits 180 farmers into "adopters" and "non-adopters". Randomly chosen adopters are supplied with an equal 181 fixed proportion of genetically resistant animals (where the proportion may or may not be based on 182 national or regional estimates for R_0 , whereas non-adopters opt out of this supply entirely. In contrast 183 to the other scenarios, this concentrated distribution leads to a linear reduction in disease prevalence with increasing proportion of genetically resistant animals. Disease elimination is however 184 185 unachievable unless the supply is based on reasonably accurate estimates of R_{0} . For moderate

186 average R_0 of 1.5 this implies that most herds (>98%) would need to contain a large proportion 187 (~75%) of genetically resistant animals (Figure 1c & Figure 2a). In contrast to all regulated scenarios, the fourth scenario simulated an entirely unregulated distribution of genetically resistant animals, 188 189 where adoption of these animals was assumed to be entirely optional to the farmer. Thus, from a 190 modelling perspective, arbitrary herds are supplied with arbitrary proportions of resistant animals independent of herd size or herd-specific R₀. This scenario leads to a relatively small reduction in 191 192 disease prevalence with high uncertainty, as represented by the wide confidence intervals in the 193 simulations (Figure 1d). Disease elimination through gene editing alone is out of reach for this 194 unregulated distribution scenario.

The above model scenarios assume a pessimistic situation where all herds are exposed to *PRRSV* infected pigs. Reducing the exposure probability of each herd to 50% had however little effect on the overall model predictions: unless the baseline transmission potential R_0 is known and the distribution of genetically resistant pigs is regulated accordingly, PRRS elimination through gene editing alone is only achievable if almost all herds (>95% for $R_0 = 1.5$) consist primarily (>70% for $R_0 = 1.5$) of genetically resistant animals (see Figure 2d-f for moderate $R_0 = 1.5$ and Fig. S1 for high $R_0 = 5$).

201 Gene editing and vaccination as combined disease control. Controversial technologies such as 202 gene editing are unlikely to fully replace existing measures of disease control soon. The second 203 question we therefore sought to answer is how gene editing can effectively complement existing 204 disease control measures. Mass vaccination of pigs against PRRS is already widespread in many 205 countries, but has limited effectiveness (22, 36) and subsequently cannot serve as a singular 206 elimination tool. To investigate the combined impact of gene editing and vaccination on the feasibility 207 of eliminating PRRS, we calculated the PRRSV transmission potential (see Methods) for scenarios 208 where either vaccination or gene editing are applied as the sole disease control strategies or applied 209 either as complementary alternatives (hereafter referred to as Edit or Vaccinate scenario, see 210 Methods), or jointly (hereafter referred to as Edit and Vaccinate scenario, see Methods).

211 In line with existing estimates, our model (with *R*-values calculated using equation [1] in Methods) 212 predicts that PRRS elimination cannot be achieved through mass vaccination alone when vaccine effectiveness is 70% or less and the average R_0 is 1.5 and exposure probability is 50% or higher (37, 213 214 38). Elimination could however be achievable if vaccination and gene editing are deployed together 215 (Figure 2). Compared to the requirements for eliminating PRRS through gene editing alone, the 216 required amount of genetically resistant animals and herds adopting such animals reduces 217 considerably if gene editing is complemented by mass vaccination (Figure 2). The biggest gains occur 218 if vaccination is applied to all susceptible animals (Edit and Vaccinate scenario, Figure 2c &f) rather 219 than just in herds that deploy vaccination as an alternative disease control to gene editing (Edit or 220 Vaccinate scenario, Figure 2b & e). For example, when the average R_0 is 1.5 and all herds are 221 exposed to PRRSV infection, the required proportion of genetically resistant pigs drops by 83% from 222 74% to as little as 12% resistant pigs for the centrally regulated Comprehensive distribution scenario 223 when gene editing is complemented by vaccination of all susceptible animals with a vaccine of 70% effectiveness (Figure 2c). 224

Perhaps most importantly, the model predicts that PRRS elimination becomes possible even when 225 226 the adoption of genetically resistant animals is unregulated if mass vaccination is simultaneously 227 applied, although it would still require most herds in a population to purchase genetically resistant 228 animals (Figure 2c & f). The exact percentage of herds and genetically resistant animals required 229 depends strongly on the baseline transmission potential (See Figure 2 and Figure S1) and the 230 exposure probability. Whereas the voluntary scheme would require 70% of pigs to be genetically 231 resistant in over 91% of herds when the average R_{0} is 1.5 and *PRRSV* exposure is 100%, only 20% 232 of genetically resistant pigs distributed across 63% of herds would suffice if the exposure probability 233 dropped to 50% (Figure 2c & f).

As would be expected, the required number of resistant pigs increases when the transmission potential of PRRS is higher. However, even in a severe scenario corresponding to average R_0 of 5 and 100% exposure, the model predicts that disease can be eliminated when all herds are supplied with a set proportion of 53% genetically resistant animals and all susceptible pigs are vaccinated (seeSupplement Figure S1).

239 Impact of vaccine effectiveness on disease elimination. Whereas gene editing and vaccination 240 with vaccines of relatively high effectiveness (≥70%) emerges as a highly effective PRRS elimination 241 strategy in our models, vaccination with poorly effective vaccines is predicted to contribute relatively 242 little to disease elimination. This is illustrated in Figure 3 (and Figure S2 for higher R₀), which also 243 shows that for a voluntary distribution scheme, disease elimination is no longer possible when vaccine 244 effectiveness is 50% or less.

245 Time scale for achieving disease elimination. With the required proportions of genetically resistant 246 animals under different strategies defined, the third question surrounding the feasibility of gene editing can be addressed: How long does it take to produce the required numbers of genetically resistant 247 animals using current breeding techniques within existing technical constraints? Given the potentially 248 limited shelf life of gene editing caused by the emergence of escape mutants, fast dissemination of 249 250 genetically resistant pigs into the commercial level is crucial. This could be hampered by the fact that 251 gene editing technology will be limited to the top tier of the multi-tier pig production pyramid (Figure 4) and that the PRRS resistance allele is recessive (14). Genotyping of pigs to trace resistance 252 253 genotypes could help to identify both resistant and heterozygous carrier selection candidates and 254 propagate the resistance allele efficiently through the production pyramid. However, genotyping is 255 costly and not usually applied in the lower tiers. Despite these and various other technical limitations, 256 which were considered in our gene flow simulation model (see Methods for details), we found that gene edited resistance alleles can be efficiently disseminated through the tiers of the population 257 258 without continuous genotyping of selection candidates in lower population tiers. Through selective 259 mating of both homozygous resistant and heterozygous carrier animals in the top two tiers where 260 genotyping is conventionally carried out, the resistance allele effectively propagates through the 261 whole production pyramid, eventually resulting in genetically resistant animals carrying two copies of 262 the resistance allele in the commercial tier (Figure 4).

263 Our natural gene flow model predicts that the required proportion of resistant animals in the 264 commercial population to achieve disease elimination under the different distribution and vaccination 265 scenarios above can be reached within less than 6 years (see Figure 5). In the best case scenario, 266 where genetically resistant animals are distributed optimally across herds and this is augmented by mass vaccination with a vaccine of at least 70% effectiveness (either only in herds that do not receive 267 resistant animals or of susceptible animals in general), this can be achieved within less than 3 years 268 (green lines, Figure 5, for details on timepoints see Table S1 in the supplement). Gene editing a 269 270 higher percentage of selection candidates in the top tier of the production pyramid does not result in a 271 proportional reduction of the time needed to produce the required proportion of resistant animals (e.g. 272 in the example above, increasing the editing proportion from 5% to 20% only reduces the time before 273 required numbers are reached by 20%).

274 Discussion

275 The results of our modelling study suggest that gene editing could drastically reduce PRRS prevalence and may succeed in eliminating PRRS within three to six years of selective breeding. If 276 277 gene editing was the only disease elimination tool, this would however require a highly regulated 278 distribution scheme that supplies the majority of herds with a disproportionally large percentage of 279 genetically resistant pigs. Given that adoption by farmers remains one of the biggest barriers to 280 implementation of biotechnology (39), this blanket distribution of a novel genomic technology seems 281 unlikely under current conditions. Nonetheless, we found PRRS elimination still to be feasible for a 282 more realistic scenario where gene editing and mass vaccination are used conjunctively, allowing 283 individual farmers to choose their management tool. Effective application of both control strategies 284 simultaneously drastically reduces the required number of genetically resistant pigs and herds needed 285 to adopt these and can achieve elimination even without stringent regulations concerning their 286 distribution. Since PRRS has proven difficult to combat with conventional disease control (22, 40), this 287 finding is encouraging, as it illustrates that effective combination of existing control tools with novel 288 genomic technologies may achieve the so far impossible outcome of much desired disease 289 elimination.

290 Our model, despite its simplicity, provides important first insights into the key determinants and their 291 interactions that underpin the success of gene editing in controlling livestock epidemics.

Determinant 1: The baseline transmission potential R_0 . As expected, the higher the baseline 292 293 transmission potential R_0 , the more stringent control measures (e.g. more genetically resistant pigs) 294 are needed to achieve a desired outcome (compare Figure 2 (mean $R_0 = 1.5$) and Figure S1 (mean 295 R_0 = 5)). In practice, the implementation of effective disease control is hampered by the fact that R_0 296 typically varies across sub-populations and that precise estimates of R_0 are rarely available (41, 42). 297 Our model accommodates for heterogeneities in R_0 implicitly by drawing herd-specific R_0 values from normal distributions. The results highlight the importance for obtaining precise sub-population specific 298 299 estimates of R_0 , as such estimates allow for more effective targeted disease control with minimum 300 wastage of valuable resources, such as genetically resistant pigs. The Optimal distribution scenario in our model, which assumes that herd specific R₀ values are known, required up to 60% fewer 301 302 genetically resistant pigs for disease elimination compared to other distribution scenarios with less precise or no knowledge of R₀. However, given the high uncertainty in herd-specific R₀-values in 303 304 practice (42), we incorporated different degrees of knowledge about R_0 in the modelled distribution 305 scenarios, ranging from full knowledge of herd specific R_0 represented by the Optimum distribution scenario to partial knowledge (e.g. national or regional average R₀ estimates) accommodated within 306 307 the Concentrated scenario to potentially zero knowledge represented by the other scenarios. Based 308 on our model predictions, PRRS elimination through gene editing was only possible if R_0 was at least 309 partially known or complemented by mass vaccination of all susceptible individuals with a sufficiently 310 effective vaccine.

Determinant 2: Distribution of genetically resistant animals across herds. Our model results show that 311 312 reduction in disease prevalence and the prospect of disease elimination depend strongly on how 313 available genetically resistant animals are distributed across herds. Whereas the modelled Optimum distribution was able to eliminate PRRS from a national commercial pig population without 314 315 complementary vaccination with only as little as 30% of pigs carrying the PRRS resistance genotype. 316 the Unregulated distribution could only achieve elimination if 70% of all pigs were genetically resistant 317 and the remaining pigs were vaccinated with a sufficiently effective vaccine. Feasibility issues with regards to the appropriate dissemination of genetically resistant individuals in commercial populations 318 319 warranted modelling a variety of potential scenarios.

320 The Optimum distribution scenario provides valuable insights into the potential scope of gene editing 321 for controlling epidemics in a hypothetical world, where the full-scale benefits of gene editing for 322 disease control can be realized. However, it is unlikely to be met in practice as it not only assumes 323 that herd specific R_0 values are known, but also that PRRSV resistant pigs are identifiable, and that 324 no obstacles for providing each herd with the required number of genetically resistant pigs exist. 325 Identifying PRRSV resistant pigs would require either tracing the parentage across the production 326 pyramid or genotyping all commercial pigs, neither of which are current industry practices. Unless 327 adoption of genetically resistant pigs was made compulsory (Comprehensive scenario), only a 328 fraction of herds is therefore likely to contain these pigs in practice. Furthermore, the proportion of 329 genetically resistant pigs that each of these herds receive could be either controlled by the supplier 330 (Concentrated scenario) or by the farmer (see Unregulated scenario). Either of them could base their 331 decisions on estimates of R_0 , which are realistically only available on a national or regional level. Our 332 choice of distribution scenarios aimed to capture this wide spectrum of potential scenarios, and to 333 provide useful quantitative estimates of the associated impact. To accommodate the common lack of herd specific R_0 estimates, all distribution scenarios except for the Optimum scenario assumed that 334 335 the proportion of genetically resistant pigs per herd is independent of the herd specific R_0 . It should be 336 noted that predictions for all alternative scenarios to the Optimum scenario also apply if the resistance 337 genotype of pigs was not exactly known, as long as the overall proportion of genetically resistant pigs 338 in the population was known by the suppliers and pigs were distributed randomly to the receiving 339 herds. Our model provides quantitative estimates how each distribution scenario may affect PRRS

prevalence and importantly reveals that gene editing can substantially reduce the prevalence even if adopted in restricted, sub-optimal capacity. However, PRRS elimination would realistically require a widespread uptake of genetically resistant pigs and a regulated distribution of these across a significant proportion of herds (i.e. over 50% for average $R_0 = 1.5$), coupled with a disease surveillance and vaccination programme.

345 Determinant 3: Combination of alternative control measures with different effectiveness. There is 346 general acceptance that no single silver bullet can eliminate persistent diseases such as PRRS, but 347 that this would require a combination of effective control measures (43–45). Correspondingly, our 348 epidemiological model predicts that PRRS elimination cannot be realistically achieved through the 349 sole application of gene editing or vaccination but becomes feasible if both measures are effectively 350 used in conjunction. Importantly, our results suggest that the likely presence of staunch non-adopters, e.g. farmers that cannot be incentivized to participate in an elimination scheme on the basis of gene 351 352 editing, may not necessarily stand in the way of realising the full-potential of gene editing since not all 353 herds have to receive genetically resistant animals if simultaneous vaccination is applied.

354 Our model results also demonstrate that the success of combined control strategies hinges on their relative effectiveness. Whereas evidence to date suggests that pigs carrying two copies of the PRRS 355 356 resistance alleles are fully resistant to PRRSV infection (i.e. effectiveness of gene editing = 1) (27, 28, 357 46), the effectiveness of existing PRRS vaccines is severely compromised amongst other factors by the limited cross-protectivity of a given vaccine to different PRRSV strains resulting in vaccine 358 359 efficacies below 50% (47, 48), sub-optimal vaccine administration (37, 49) or host heterogeneity in vaccine responsiveness (50). In our model, elimination of PRRS falls out of reach for the less 360 361 stringent Unregulated and Concentrated distribution scenarios if vaccine effectiveness drops below 362 50%. Published field-study estimates of vaccine effectiveness for PRRS are rare; however a recent 363 PRRS modelling study calibrated with weekly PRRSV outbreak data from over 2100 US pig farms 364 estimated that a 50% vaccine effectiveness as defined in equation [1] could be achieved with 365 vaccines with 12% efficacy, whereas efficacies above 50% would be required to pass the 70% effectiveness threshold (38). These predictions clearly demonstrate the need for continued support of 366 vaccine development even when new and perhaps at first sight more promising technologies such as 367 368 gene editing appear on the horizon.

369 Similar to gene editing, the impact of vaccination also depends strongly on vaccine coverage (37). 370 Here we deliberately made the strong assumption that mass vaccination is applied either in all herds 371 that don't adopt gene editing, or in all herds altogether. Although PRRS vaccination is wide-spread in 372 practice, these assumptions are obviously unlikely to be met in reality. Incomplete vaccine coverage 373 would prevent disease elimination when the adoption of genetically resistant pigs is sparse and 374 exposure risk is high, as indicated by the high proportion of resitant pigs needed when vaccines with 375 lower effectiveness are being used (see Supplementary Information, Figure S2). This highlights the need to consider additional determinants that may underpin the success of gene editing for disease 376 377 control in future studies, such as natural genetic variation in pigs' PRRS resistance. Indeed, genetic selection of pigs for increased natural PRRS resistance has been advocated as a viable complement 378 379 to existing PRRS control (51, 52). Combined application of these complementary genetic disease 380 control strategies may effectively eliminate PRRS even under restricted vaccine usage.

381 Determinant 4: Exposure risk. It is unlikely that all herds are simultaneously and equally exposed to 382 the PRRS virus. Heterogeneity in exposure was included in our model through a random uniform 383 exposure probability distribution. Whilst reduction of the average exposure risk from 100% to 50% had 384 little influence on the model results associated with gene editing as sole disease control strategy, it drastically reduced the requirements for genetically resistant animals when gene editing and 385 vaccination were used in conjunction. In reality, exposure risk will likely depend on PRRS prevalence 386 in herds that are in close spatial proximity or linked through e.g. transport or trading (53, 54). Whilst 387 388 spatial factors were not explicitly considered in our model presented here, exploration of these is an 389 important avenue for future modelling studies as they would allow more strategic and targeted distribution of genetically resistant animals in epidemic hotspots. Furthermore, some countries or 390 391 regions contain high frequency of small-holder farms with small herd size, which are unlikely to adopt 392 gene editing technologies or even vaccination. The impact of these farms on the overall exposure risk393 and subsequent prospects for elimination warrants further investigation.

394 Timeliness and other considerations for practical applications. PRRSV has been estimated to have the highest evolutionary rate (on the order of 10⁻²/site/year) of all known RNA viruses (with rates 395 396 ranging from 10⁻³ to 10⁻⁵/site/year) (55). This alarming evolutionary rate, together with observations that the virus evolves towards increased virulence with ability to evade vaccine-induced immunity 397 398 (36), raises concerns about how long the current gene editing process confers complete resistance to 399 this virus. Hence, ambitious goals such as disease elimination, would need to be achievable within a 400 short time frame. Coupling the epidemiological model with a gene flow model suggests that PRRS 401 can be potentially eliminated through use of gene editing within three to six years. Although 402 impossible to predict whether this is sufficiently fast to win the race against virus evolution, this time 403 scale fits well within the anticipated time scale of current national or regional elimination programmes 404 for PRRS and other livestock diseases (45, 56).

405 A number of simplifying assumptions in our gene flow model warrant further investigations with 406 regards to their impact on the predicted time scales. Our model describes the national pig industry by a five-tier breeding pyramid originating from three pure breeds. Although this structure is common for 407 408 modelling pig breeding schemes (57, 58), it does not take into account the multitude of different 409 breeding companies and different breeds that often form part of the crossbreeding schemes behind 410 hybrid pig production. Furthermore, we assumed that all selection candidates for selection in the top 411 pyramid tier are also candidates for gene editing, thus ignoring the possibility that some breeding companies may not apply the technology to all selection candidates, or not apply it at all if this meets 412 413 best their costumers' demand. Our model could easily accommodate this increased complexity by 414 increasing the proportion of gene edits carried out to a subset of selection candidates in the top tier. In 415 the current model gene editing of 20% of animals in the top tier was sufficient to satisfy the demands 416 for genetically resistant animals in the lower tiers. Increasing this proportion in a subset of breeds 417 composing the top production tier would generate the required number of genetically resistant animals in the commercial population in a similar time frame. An additional limitation of the current model is 418 419 the absence of a strategy for the management of inbreeding, which could be incorporated alongside 420 the implementation of separate breed-specific populations.

421 Our gene flow model assumes gene editing technologies to be incorporated into traditional breeding schemes based on natural mating or artificial insemination of selection candidates. However, a 422 423 number of more efficient methods for fast propagation of genetically resistant to the commercial tier 424 have been recently proposed, such as e.g. the use of surrogate sire technology (59) or gene-drives 425 (60) for the faster propagation of the resistance allele, or the use of e.g. self-terminating "daisy chain" 426 gene-drives that disappear from the population after a few generations (61). These may not only 427 accelerate the rate at which genetically resistant animals can be produced, but may also help to limit 428 potential contamination effects of gene editing on the wider population (62), e.g. organic producers 429 that need to ensure that their animals do not carry any artificially altered genetic material.

430 Lastly, it is important to remind readers that this study focused purely on the epidemiological impact of gene editing. Implementation of this controversial technology into practical disease control will also 431 432 largely depend on economic and societal aspects. Estimated annual economic losses due to PRRSV 433 range between \$24 Mio and \$664 Mio in European countries and the US alone (63, 64). Future 434 studies are therefore required to assess the economic feasibility of the approaches presented here 435 and to weigh the associated economic costs against the considerable potential economic benefits of 436 eliminating one of the costliest livestock diseases to date. A thorough cost-benefit analysis is beyond 437 the scope of this study. However, one major cost factor flagged up by our models concerns the investment into routine genotyping of commercial pigs, which would allow identification and targeted 438 distribution of genetically resistant pigs, thus increasing the chance of disease elimination. In addition, 439 440 economic assessments should consider potential trade-offs arising from selection for gene-edited pigs 441 with selection for other important livestock traits in multi-trait improvement programmes. Preferential selection of animals carrying the resistance allele likely results in a loss in genetic gain for other traits 442 443 in the breeding goal, as do selection decisions due to inbreeding avoidance. While these weighted 444 selection decisions are expected to have a limited impact on the time needed to reach sufficient 445 numbers of genetically PRRSV resistant individuals for PRRS elimination, the scale of these trade-446 offs will greatly influence the willingness of livestock breeders and farmers to produce and adopt 447 genetically resistant animals. This willingness may drop considerably when PRRS prevalence has 448 reduced to low levels, or if elimination has been achieved. As such, including scale of adoption over 449 time in a cost benefit analysis framework would inform a feasible level of investment in gene-editing 450 for PRRS resistance. In the context of adoption, an important aspect to consider, with epidemiological 451 and economic consequences, is the likelihood that reducing the number of genetically resistant pigs in 452 the national population increases the risk of re-introduction of PRRSV through international trading of 453 domestic pigs, and possibly also through natural reservoirs such as wild boars infected with PRRSV 454 (65, 66).

455 Conclusions

456 In summary, our proof-of-concept study highlights hitherto unprecedented opportunities for eliminating infectious diseases in livestock by complementing existing control methods with novel gene editing 457 458 technologies. The model provides some first quantitative estimates of how many edited individuals may be required, and how these would need to be distributed depending on the overall transmission 459 460 potential of the disease and the quality and application of available vaccines. It particularly highlights 461 the continued need to develop vaccines with high effectiveness, and to consider additional control options such as genomic selection for natural (yet incomplete) PRRS resistance. Effective 462 463 combination of these alternatives increases the chance for disease elimination and reduces the 464 requirements for stringent regulations concerning the application of each of these measures. Finally, our study provides some first estimates of resource requirements to balance epidemiological benefits 465 466 against economic trade-offs and stresses the urgent need to carefully investigate and weigh 467 epidemiological and economic benefits against ethical and other societal concerns.

468 Methods

469 The epidemiological simulation model. We simulated a commercial pig population representative for many countries in Europe or pig-producing regions in North America or China (67), which 470 471 consisted of 12 million pigs distributed into 5,000 herds. Herd size was assumed normally distributed around a mean of 2,400 with a standard deviation of 1,000 pigs. Note that this excludes countries or 472 473 regions in which pigs are predominantly reared in small-holder or back-yard farms. Furthermore, we 474 assumed that each herd is exposed to *PRRSV* infection with a given exposure probability p_{exp} . This 475 value was originally set to one to model the worst-case scenario and then reduced to 0.5 to mimic the 476 more realistic situation of heterogenous exposure risk.

477 Once exposed, epidemiological theory stipulates that an infectious disease cannot invade a herd if its 478 transmission potential, i.e. the so-called reproductive ratio R, is below one, whereas invasion is possible when R > 1 (32). The R-value is usually not precisely known and is expected to differ 479 480 between individual herds, depending on the circulating pathogen strain, the pig breed, individual variation in resistance to the infection, environmental factors, as well as herd management and 481 482 biosecurity characteristics (37, 68). Detailed epidemiological modelling of PRRSV transmission dynamics that considers these demographic characteristics as well as within- and between herd 483 484 contact structures affecting disease transmission will be an important avenue for future predictive 485 modelling, but as a first step we here sought to gain initial qualitative and quantitative understanding 486 about the potential impact of gene editing on PRRS control. To achieve this, we simply assumed that 487 in the absence of gene editing or vaccination, the baseline *PRRSV* transmission potential R_{ρ} for the different herds follows a normal distribution $\sim N(\mu_{R0}, \sigma_{R0})$, which is independent of the herd size, i.e. 488 489 PRRS transmission was assumed to be density-dependent (69).

Following epidemiological theory (32) and assuming no interactive effects between genetic resistance and vaccination, the presence of genetically resistant and / or vaccinated pigs in a herd reduces the herd specific R_0 -value to the effective reproductive rate

493
$$R = R_0(1 - \varepsilon_e P_e - \varepsilon_v P_v(1 - P_e))$$
[1]

494 where the parameters ε_e and ε_v denote the effectiveness (i.e. proportional reduction in *PRRSV* 495 infection) of gene editing and vaccination, respectively, and P_e and P_v denote the fraction of 496 genetically resistant or vaccinated animals in the current herd, respectively, with $P_e + P_v \le 1$. For 497 scenarios representing heterogeneous exposure, herds (chosen at random with probability 498 p_{exp}) that are not exposed to *PRRSV* infection are assigned a value R < 1. Input parameters with the 499 assumed ranges for the epidemiological simulation model are listed in Table S2.

In this study we define PRRS prevalence as the proportion of herds for which the effective reproductive rate $R \ge 1$ as per equation [1]. *PRRSV* is considered to be eliminated from the population if R < 1 in over 99% of herds.

Equation [1] allows calculation of the required proportion of genetically resistant and vaccinated individuals to achieve herd immunity, i.e. R < 1. In particular, in a non-vaccinated herd ($P_v = 0$) and assuming gene-editing efficacy $\varepsilon_e=1$, the required minimum proportion of edited pigs per herd for preventing disease invasion (i.e. achieving R < 1) is

507
$$P_e^* > \left(1 - \frac{1}{R_o}\right).$$
 [2]

508 Expression [2] implies that PRRS can in principle be eliminated from a national pig population if the 509 herd specific R_0 -values were known or could be reliably estimated and each herd contains the critical 510 number of genetically resistant individuals P_e^* .

511 According to [1] and [2], disease prevalence and elimination on a national scale depend not only on 512 the proportion of genetically resistant and vaccinated animals in a population, and on the 513 effectiveness of the corresponding control measure, but also on how these animals are distributed 514 across the herds. The proportions P_{e} of genetically resistant pigs in each herd were specified by the 515 corresponding distribution and vaccination scenarios. Specifically, for the Optimal, Concentrated and 516 Unregulated distribution scenarios (Table 1), herds were selected at random to receive the required 517 proportion P_e^* (Optimal), or a given fixed proportion P_e (Concentrated), or arbitrary proportion Pe (Unregulated) of genetically resistant animals, respectively, until the available stock of genetically 518 519 resistant animals was either depleted or the demand was satisfied, whichever fast achieved first. In 520 the Comprehensive distribution strategy, the available stock of genetically resistant animals was 521 distributed uniformly across all herds thus resulting in an average equal fraction of edited animals P_e in 522 each herd.

523 For simulations that also included vaccination, the distribution of genetically resistant animals across 524 herds was carried out first, and vaccination was subsequently assumed to be applied to either all 525 animals in herds that contained no genetically resistant animals (Edit or Vaccinate strategy) or to all 526 remaining susceptible animals across all herds (Edit and Vaccinate strategy). Thus, for the Edit or Vaccinate strategy the proportion P_{n} of vaccinated individual per herd is either zero or one, depending 527 on whether the farmer adopts genetically resistant animals or applies mass vaccination to control 528 529 PRRS. For the Edit or Vaccinate scenario, where all non-resistant animals (and possibly also resistant 530 animals if their resistance status is unknown) are vaccinated, P_{ν} was set to $1 - P_{e}$.

531 For each model scenario, 100 replicates were produced, and the means and standard errors over the 532 replicates were calculated. The minimum number of herds and genetically resistant animals required 533 to achieve disease elimination for each simulated scenario was calculated using the Newton-Raphson 534 optimization method (70).

535 **Gene flow simulation model.** We developed a stochastic gene flow simulation model to track the 536 propagation of PRRS resistance alleles through a typical 5-tier pig production pyramid into the 537 commercial pig population (57), where gene editing can realistically only be carried out on a subset of 538 pigs at the top pyramid tier. This specific pathogen-free (SPF) nucleus tier typically consists of 539 purebred animals (here from three distinct breeds) that are selectively bred at high health and 540 management level, and for which a proportion are then sold or provide semen to farms in the lower 541 tiers of the pyramid, as outlined in Figure 4. Pigs in each tier are produced through mating (or artificial 542 insemination of) a fixed proportion of males and females from the same or upper pyramid tiers that 543 have been selected to act as parents for the next generation (see Table S3 for selected proportions 544 and mating ratios), thus propagating their genetic material to offspring in the same or subsequent tier.

545 To assign a timescale to the natural propagation of the resistance alleles through the production 546 pyramid, offspring in each tier are produced in the simulations in discrete monthly batches to 547 represent a management system that is aligned with the natural reproductive and life cycle of pigs 548 (see assumed parameter values in Table S4).

PRRS resistance was assumed to be controlled by a single gene in our model and to follow Mendelian inheritance patterns. Since PRRS resistance is expected to be just one of multiple genetic traits on which selection decisions are based, each animal was also assigned a single value representing its total genetic merit that it passes on to its offspring. This value represents a combination of genetically correlated and uncorrelated traits controlled by many genes with standard polygenic inheritance patterns (71) and allows for the calculation of mean genetic merit of the entire population.

556 In the beginning of the simulation, a stable starting population was generated for each tier of the 557 production pyramid in the absence of gene editing. This was achieved by first creating founder populations for each of the three breeds represented in the top pyramid tier, where each animal was 558 559 assigned a genetic merit drawn from a random normal distribution. Specified proportions of individuals 560 were then selected for mating within the nucleus based on their genetic merit (for proportions, see 561 Table S3). Once a stable base population was obtained within the nucleus (after about 18 months), 562 individuals (or semen) were selected for transfer to subsequent tiers as shown in Figure 4. The burn-563 in phase was then run for an additional 33 months to create base populations in all pyramid tiers. The 564 maximum numbers of sows in each tier were back-calculated based on the number of commercial piglets produced annually (12 Million), the selection proportions, and the underlying pig life cycle 565 parameters (Table S4). The burn-in period resulted in a homogenously susceptible population that 566 567 contained no animals carrying the PRRS resistance allele.

568 PRRS resistance was introduced into the population by selecting a fixed proportion (5%, 10% or 20%, respectively) of animals with the highest genetic merit from each breed in the top tier of the production 569 pyramid, the SPF Nucleus, to undergo the gene editing process. Gene editing was limited to tier I to 570 test the feasibility of reaching sufficient numbers of resistant animals in the commercial tier without 571 572 applying repeated gene editing throughout the breeding pyramid. Editing success using 573 CRISPR/Cas9 and embryo survival rates were assumed to be 0.81 and 0.61, respectively (72). 574 Animals in the top pyramid tier were then preferentially selected based on their PRRS resistance 575 genotype as well as (if there were not enough animals carrying at least one PRRS resistance allele) 576 their genetic merit value, thus allowing the resistance alleles to be naturally propagated to the subsequent tiers following Mendelian inheritance patterns. 577

As selection candidates in tier II, the Production Nucleus tier, were assumed to be genotyped to determine their resistance genotype, preferential selection for the successful gene edit occurred here as well. Since only high-merit selection candidates are selected in the gene editing process inside the SPF nucleus, selection in the absence of genotyping in the lower tiers of the pyramid is expected to also be skewed towards animals carrying the PRRS resistance allele. However, genotyping in the top 2 tiers accelerates the flow of resistant individuals from the top of the breeding pyramid into the lower tiers while reflecting current industry practices.

In tiers III and IV, animals were selected based on their genetic merit alone. The gene flow simulation model generated estimates for the number of animals carrying one or two copies of the resistance allele in each pyramid tier, and in particularly for the number of PRRSV resistant animals in the commercial population, over time.

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765

766 Figure 1. Predicted reduction in PRRS prevalence achieved by using genetically PRRSV resistant pigs, depending on the average baseline PRRSV transmission potential R₀, the available proportion of resistant 767 768 individuals and their distribution across herds. PRRS prevalence is defined as the proportion of herds with 769 effective disease transmission potential R above 1. The four graphs show four different distribution scenarios of 770 resistant animals into herds (see Table 1 and text for details). a) Optimum distribution, b) Comprehensive distribution, c) Concentrated distribution, d) Unregulated distribution. Shaded areas correspond to confidence 771 intervals comprising 95% of the predicted values from 100 simulated replicates (note that in a to c, these are too 772 773 narrow to be visible). Note that in the unregulated distribution scenario (Fig. 1d), the actual proportion of 774 genetically resistant animals across all herds may be lower than the available proportion (presented on the x-775 axis), explaining why elimination is not possible even if there is unlimited supply of genetically resistant pigs.

776 Figure 2. Minimum required proportion of genetically resistant animals (solid bars) and corresponding herds 777 adopting gene editing (transparent bars) for achieving disease elimination through gene editing alone or with 778 vaccination combined, depending on how edited animals are distributed across the herds. Results are shown for 779 average R₀ value of 1.5 and exposure probability of either 100% (Fig.2 a-c) and 50% (Fig.2 d-f), and vaccine 780 effectiveness of 70%. Different colours refer to different distribution scenarios (see Table 1) with blue = Optimum, 781 black = Comprehensive, green = Concentrated and yellow = Unregulated. The proportion of edited animals in the 782 Concentrated scenarios is chosen at the smallest possible proportion for elimination under each scenario, resulting in a Pe of 0.75 for scenarios a, b, d, e (green bars), a Pe of 0.5 for scenario c (green bars, purple fill) and 783 784 a Pe of 0.1 for scenario f (green bars, red fill). For further explanation of editing and vaccination strategies, and 785 the different distribution of edited individuals across herds see text.

787 Figure 3. Minimum required proportion of genetically resistant animals for achieving disease elimination through 788 gene editing and vaccination combined, depending on vaccine effectiveness ε_V and exposure probability. Dark 789 bars: $\varepsilon_V = 0.7$, medium bars: $\varepsilon_V = 0.5$; light bars: $\varepsilon_V = 0.3$. Different colours refer to different distribution scenarios 790 (see Table 1) with blue = Optimum, black = Comprehensive, green = Concentrated and yellow = Unregulated. 791 The proportion of edited animals in the Concentrated scenarios is chosen at the smallest possible proportion for 792 elimination under each scenario, resulting in a Pe of 0.75 for scenarios a, c (green bars), a Pe of 0.5 for scenario 793 b (green bars, purple fill) and a Pe of 0.1 for scenario d (green bars, red fill). An average transmission potential of 794 $R_0 = 1.5$ was assumed. 795

Figure 4. Schematic diagram of a typical five-tier pig production structure implemented into the gene flow model. Two maternal breeds, A (black, e.g. Yorkshire) and B (grey, e.g. Landrace), are crossed to create hybrid females. Hybrid sows are mated to males from a terminal breed T (white, e.g. Duroc) to produce commercial animals. The color composition in individual animals represents the relative breed contribution. Numbers next to the arrows denote selection proportions transferred into subsequent tiers. Gene editing is performed in all three breeds, but limited to tier I only; genotyping of selection candidates is carried out in tiers I and II (see text for more details).

Figure 5. Time to reach proportions of resistant pigs in the population needed for PRRS elimination under

804 different gene editing scenarios. The indicated thresholds levels refer to required numbers of genetically resistant 805 pigs for achieving elimination under different distribution scenarios of pigs in the commercial tier (average $R_0 =$

806 1.5 and exposure probability = 100%). For visibility, not all scenarios are depicted.

786



Proportion of genetically resistant animals

Proportion of genetically resistant animals



Proportion of resistant pigs in the population

Proportion of herds receiving resistant pigs

Optimum Nr of res. animals per herd

- Comprehensive distrib. of res. animals
- Conc. distrib. of res. animals per herd (see caption) Unregulated distribution





Proportion of PRRS resistant pigs in the commercial population over time







Proportion of resistant pigs in the population

Proportion of herds receiving resistant pigs

Table 1. Overview of the scenarios for the distribution of genetically resistant pigs across herds in the epidemiological model.

Distribution Scenario	Optimum	Comprehensive	Concentrated	Unregulated
Baseline risk <i>R</i> ₀ known?	Yes, for each herd	No	Not necessarily, though estimates for average R_0 may exist	No
Proportion <i>P_e</i> of resistant pigs per herd	Optimal proportion to achieve herd specific <i>R</i> <1	Equal proportion	Equal proportion in herds that adopt gene-editing technology ¹	Arbitrary variable proportion in herds that adopt gene-editing technology ¹
Herds that receive genetically resistant pigs	Only herds with $R_0 > 1$	All herds	Only herds that adopt gene-editing technology ¹	Only herds that adopt gene-editing technology ¹
Interpretation	Fully informed and regulated. Optimal distribution for elimination depending on demand; only (theoretically) possible if R_0 was known for each herd	Supply of resistant pigs is uniform across all herds; supply is managed by breeding companies or national control programs	Voluntary adoption of gene-editing; all adopting herds are supplied with a fixed proportion of resistant pigs ² ; supply is managed by breeding companies	Voluntary adoption of gene- editing, with farmers deciding how many resistant pigs they receive

¹ See section *The epidemiological simulation model* in Methods for information how these herds were chosen.

² This fixed proportion may or may not be informed by estimates of the baseline disease risk R_0 ; See *The epidemiological simulation model* section in Methods for further information