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1	Expression of genes in the 16p11.2 locus during development of the human fetal cerebral
2	<u>cortex.</u>
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20 <u>Abstract</u>

21 The 593 kbp 16p11.2 copy number variation (CNV) affects the gene dosage of 29 protein coding 22 genes, with heterozygous 16p11.2 microduplication or microdeletion implicated in about 1% of 23 autism spectrum disorder (ASD) cases. The 16p11.2 CNV is frequently associated with 24 macrocephaly or microcephaly indicating early defects of neurogenesis may contribute to 25 subsequent ASD symptoms, but it is unknown which 16p11.2 transcripts are expressed in 26 progenitors and whose levels are likely, therefore, to influence neurogenesis. Analysis of human 27 fetal gene expression data revealed that KIF22, ALDOA, HIRIP3, PAGR1, and MAZ transcripts 28 are expressed in neural progenitors with ALDOA and KIF22 significantly enriched compared to post-mitotic cells. To investigate the possible roles of ALDOA and KIF22 proteins in human 29 30 cerebral cortex development we used immunohistochemical staining to describe their expression 31 in late first and early second trimester human cerebral cortex. KIF22 protein is restricted to 32 proliferating cells with its levels increasing during the cell cycle and peaking at mitosis. ALDOA protein is expressed in all cell types and does not vary with cell-cycle phase. Our expression 33 34 analysis suggests the hypothesis that altered neurogenesis in the cerebral cortex contributes to ASD 35 in 16p11.2 CNV patients.

36

37 Keywords:

38 ALDOA, Autism, Cerebral Cortex, CNV, KIF22

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42 Large, recurrent Copy Number Variations (CNVs) are implicated in many neuropsychiatric 43 disorders including autism spectrum disorders (ASD), epilepsy, intellectual disability (ID) and 44 schizophrenia (McCarthy et al., 2009; Girirajan and Eichler, 2010; Levy et al., 2011; Sanders et 45 al., 2011; Malhotra and Sebat, 2012). The 16p11.2 CNV (OMIM 611913) encompasses a 593 kb 46 DNA sequence in the p11.2 region of human chromosome 16 (BP4-BP5). This region harbors 29 47 protein coding genes and is strongly linked to neurodevelopmental disorders (NDDs) including 48 ASD (Kumar et al., 2008; Bijlsma et al., 2009; Rosenfeld et al., 2010; Shinawi et al., 2010; 49 Zufferey et al., 2012). This 16p11.2 region is flanked by two homologous 147kbp sequences that arose after the evolutionary divergence of humans from other primates, generating a hot-spot for 50 51 mis-aligned recombination that explains the high frequency of the 16p11.2 CNV in the human 52 population and also the high frequency of *de novo 16p11.2* CNV (Nuttle *et al.*, 2016). In humans, the 16p11.2 microdeletion is associated with transient infant brain overgrowth (macrocephaly) and 53 focal thickening of the cerebral cortex, while the 16p11.2 microduplication is associated with 54 55 reduced brain size (microcephaly) (Qureshi et al., 2014; Blackmon et al., 2018). The early manifestation of anatomical phenotype in newborns, along with the onset of ASD symptoms in 56 57 infancy, suggests crucial roles for 16p11.2 genes during neural development. 16p11.2 is the most prevalent CNV associated with ASD, ~1% incidence, making this CNV particularly intriguing and 58 59 providing motivation for investigating the role played by 16p11.2 genes in brain development and 60 function (Weiss et al., 2008). Available lines of evidence from 16p11.2 rodent models, 16p11.2 61 patient derived lymphoblastoid cell lines, and human induced pluripotent stem cells genetically 62 engineered to harbor the 16p11.2 CNV indicate that all 16p11.2 mRNAs' levels reflect the altered 63 gene dosage of 16p11.2 genes (50% in microdeletion and 150% in microduplication

64	heterozygotes) (Horev et al., 2011; Blumenthal et al., 2014; Pucilowska et al., 2015; Tai et al.,
65	2016). This indicates that multiple 16p11.2 transcript levels are affected by the 16p11.2 CNV and
66	that the pathology of the <i>16p11.2</i> CNV could stem from altered dosage of one or more them.

While none of the individual *16p11.2* genes have been identified as sole causative genes for the *16p11.2* phenotype, *MAPK3*, *QPRT*, *KCTD13*, *ALDOA*, *TAOK2*, and *KIF22* have each been
individually associated with a variety of neural phenotypes in non-human models. These include
cell proliferation, neuronal morphology, axonal projection and spine morphogenesis, altered head
size, and behavioral phenotypes (Blaker-Lee *et al.*, 2012; de Anda *et al.*, 2012; Golzio *et al.*, 2012;
Pucilowska *et al.*, 2015, 2018) (Escamilla et al., 2017) (Richter et al., 2019) (Yadav et al., 2017)
(Ultanir et al., 2014).

74 The cellular mechanisms by which the 16p11.2 CNV cause the patient phenotype are poorly 75 understood. One plausible hypothesis is that disrupted neurogenesis causes changes in neuronal 76 output which produce a brain with abnormal cell number or composition and that this contributes 77 to the 16p11.2 pathology. Consistent with this hypothesis, the 16p11.2 deletion mouse model 78 exhibits proliferation defects in cortical progenitors during pre-natal brain development and subsequently develops ASD-like symptoms (Horev et al., 2011; Pucilowska et al., 2015). 79 80 However, it is unknown which of the proteins produced by 16p11.2 CNV genes are expressed by 81 progenitor cells in the developing human cerebral cortex and are therefore candidates for regulating neurogenesis. 82

Excitatory (glutamatergic) neurons in the human cerebral cortex are derived from progenitors that
reside in the ventricular and subventricular zones of the dorsal telencephalon while inhibitory
(GABAergic) interneurons are derived from progenitors that reside in the ventral telencephalon

86 and migrate into the cerebral cortex (Clowry et al., 2010) (Bystron et al., 2008) (Ma et al., 2013) 87 Here we focused on the potential for the 16p11.2 CNV to affect neurogenesis of excitatory neurons in the developing human cerebral cortex by identifying 16p11.2 genes that are highly expressed in 88 89 cerebral cortex progenitors in the ventricular zones and down-regulated as cells become postmitotic. We analysed previously published human fetal cortex single cell RNA sequencing 90 91 (scRNA-seq) data (Pollen et al., 2015) (Zhong et al., 2018) to identify candidate genes and characterize their expression in sections of developing human fetal cerebral cortex from the late 92 first and early second trimester. 93

94

95 <u>Material and Methods</u>

96 Human Tissue

- 97 Human embryos ranging in age from 12-16 post-conceptual weeks (PCW) were obtained from
- 98 the MRC/Wellcome-Trust funded Human Developmental Biology Resource at Newcastle
- 99 University (HDBR, http://www.hdbr.org/) with appropriate maternal written consent and
- 100 approval from the Newcastle and North Tyneside NHS Health Authority Joint Ethics Committee.
- 101 HDBR is regulated by the UK Human Tissue Authority (HTA; www.hta.gov.uk) and operates in
- 102 accordance with the relevant HTA Codes of Practice.

- 104 with 30% sucrose/PBS and then embedded in 50:50 30% sucrose:OCT, flash frozen and
- 105 sectioned at 12µm using a Leica Cryostat.
- 106 Stages used for t study: 12 PCW (2 brains), 14 PCW (1 brain) and 16 PCW (1 brain).

¹⁰³ For cryosections 12 PCW week brains were fixed in 4%PFA/PBS for 1 week then cryoprotected

107 scRNA-seq Analysis

The publicly available scRNA-seq data sets (Pollen *et al.*, 2015) (Zhong et al., 2018) were used to identify candidate genes. Prior to dataset publication the reads were aligned, we normalized the RPKMs as log(x+1). Analysis was performed using R studio. To determine genes with significant changes a Wilcox test by *FindAllMarkers* in Seurat package was used. Monocle2 R package was used to order cells in pseudotime. To identify cell-cycle phase specific transcripts we used function CellCycleScoring from Seurat R package.

114 Immunohistochemistry

Immunohistochemistry was carried out on paraffin sections obtained from HDBR. Antigen
retrieval consisting of boiling sections in 10mM sodium citrate pH6 for 10 mins was used for all
stains. Primary antibodies were diluted in 20% blocking serum in pH7.6 Tris buffered saline
(TBS) and sections incubated overnight at 4°C. Primary antibodies used: KID 1/5000 DAB,
1/2000 fluorescent (Invitrogen PA5-29490), KI67 1/800 (Novus Biologicals NBP2-22112),
ALDOA 1/100 (Sigma HPA004177).

For colourmetric stains, sections were incubated 1hr at room temperature with biotinylated secondary antibody (1/200) followed by incubation for 1 hour with ABC (Vector Labs) and developed with diaminobenzidine solution (Vector Labs), washed, counterstained with nuclear fast red, dehydrated and then mounted using DPX.

125 For immunofluorescence sections were incubated with secondary antibodies 1/200 1 hour room

temperature, counterstained with 4',6-diamidino-2-phenylindole dihydrochloride

127 (DAPI; ThermoFisher) and mounted with Vectashield H1400 Hardset Mounting Medium (Vector

128 Labs). Extensive TBS washes were carried out between each step.

129 In Situ Hybridisation

PCR primers used to clone *in situ* probes from human cDNA into pGEMTeasy for preparation of
DIG labelled RNA were as follows: *ALDOA*, CTG TCA CTG GGA TCA CCT T, & GTG ATG
GAC TTA GCA TTC AC; *KIF22*,: CGA GAG CGG ATG GTG CTA AT &: GAG ACC CAG
GAT GTT TGC CT; *PAGR1*, ATG ATG AGC CAG TGA CAC CA & TCT GCC TCT CCC
TTC AAG TG; *HIRIP3*, TGG TGC CCA TCG AAA CTA CA & TGG CCC AAA ATA CAG
GAG GT; & *MAZ*, CAC GAG GAG AAA GTG CCA TG & GAG AGA AGA GGA CCG TCG
AG.

137 *In situ* hybridisation was performed on cryosections of 12 PCW brain as described previously

138 (Radonjić et al., 2014). Briefly, 12µm cryosections were dried at 37°C for 3 hours then incubated

139 overnight at 70°C in hybridization mix containing 1x salts (200mM NaCl, 10mM Tris HCl (pH

140 7.5), 1mM Tris Base, 5mM NaH₂PO₄2H₂O, 5mM Na₂HPO₄, 0.5M EDTA: Sigma-Aldrich), 50%

141 deionized formamide, 10% dextran sulfate, 1mg/ml rRNA, 1x Denhardt's, and DIG-labelled

142 RNA probe. Next day sections were washed 3 times at 70°C in wash buffer comprising 1x SSC,

143 50% formamide, 0.1% Tween-20 and then 3 times at RT in 1x MABT (20mM Maleic acid,

144 30mM NaCl, 0.5% Tween-20 and pH adjusted to 7.5 with 10mM NaOH). Sections were

145 incubated 1hr RT in 1x MABT blocking solution (20% sheep serum, 2% blocking reagent) and

then incubated overnight with anti-DIG antibody 1:1500 in blocking solution at 4°C followed by

147 colour reaction overnight at RT.

148 Microscopy and Imaging

149 DAB and *in situ* hybridisation images were taken using a Leica DMNB microscope with an

150 attached Leica DFC480 Camera. Fluorescence images were obtained with a Leica DM5500B

151 epifluorescence microscope with a DFC360FX camera. Confocal images were obtained using

152 Nikon A1R FILM microscope and analysed in ImageJ.

153 Image Analysis and Quantification

- For DAB stains and *in situ* hybridisation the images were stitched in ImageJ using the stitching
 plugin (Preibisch, Saalfeld and Tomancak, 2009).
- 156 For KIF22 analysis of DAB stains rectangular counting boxes (34x88µm) were overlaid across

the section. Using ImageJ cell counting plugin cells in each box were counted and denoted

158 KIF22+ (brown) or KIF22- (red). The distinction between the regions (VZ, SVZ, IZ/CP) was

159 determined anatomically by cell density. The count for each box was averaged with other boxes

160 in the region to provide the final value.

161 For analysis of KIF22/KI67 double staining counting boxes (20x145µm) were overlaid over the

162 VZ and SVZ (determined based on cell density). For determining intensity cells were randomly

selected on the DAPI channel, the nucleus outlined and intensity of KIF22 and KI67 recorded.

20 cells were selected per box and the counts from individual boxes combined to give finalvalues.

For subcellular ALDOA analysis counting boxes (20x145µm) were overlaid over the VZ and
SVZ. Cells were randomly selected on the DAPI channel, far enough apart to ensure their
cytoplasm would not overlap, the Z plane through the center of the cell was used and the nucleus

- 169 outlined. The KI67 and ALDOA intensity was measured constituting the nuclear value. To
 - 8

170 obtain ALDOA cytoplasmic intensity the nuclear outline was duplicated and extended 4 pixels

- 171 allowing a reading of just the cytoplasmic area to be obtained (see Fig.6e). This was performed
- 172 for 10 cells in each box and the counts from individual boxes combined to give final values.
- 173 Data Analysis and Statistics

174 Where error bars are shown they are expressed as mean \pm SEM. Boxplots show median and upper 175 and lower quartiles. Statistical comparison between two groups was performed with a *t* test. 176 Statistical comparison between more than two groups was performed with ANOVA followed by 177 *post hoc* test. *P*<0.05 was considered statistically significant. Analysis was performed using 178 GraphPad Prism.

- 179
- 180 <u>Results</u>

181 Analysis of scRNA-seq data identifies *KIF22* and *ALDOA* as progenitor-enriched *16p11.2*182 transcripts in the developing human fetal cerebral cortex.

The 16p11.2 CNV involves microduplication or microdeletion of a 593kb locus on human chromosome 16 containing 29 protein coding genes (Fig.1a). The aim of the current study is to identify 16p11.2 genes which are potential candidates for being involved in neurogenesis in the developing human cerebral cortex (Fig.1b,c) and whose altered dosage in 16p11.2 microdeletion or microduplication patients may disrupt neurogenesis and contribute to the CNV phenotype. We reasoned that 16p11.2 genes important for neurogenesis would be highly expressed in proliferating progenitor cells and down-regulated as cells became post-mitotic.

190



192	Figure 1: Bioinformatics analysis of scRNA-seq from the VZ and SVZ of the 16-18GW
193	human fetal cortex. a) 16p11.2 region and genes. b) schematic adapted from Budday et al 2015
194	shows the process of human fetal cortex development over time. Dotted box indicates
195	approximately the time-period of interest for our study; 12-16PCW. c) schematic of human
196	cortical structure during development. d) tSNE clustering of cell types. e) changing mRNA
197	expression levels of 16p11.2 genes as cells move from progenitors to neurons with KIF22 and
198	ALDOA transcripts identified as changing significantly. Schematic of human cortex with dotted
199	box indicates the compartments of the brain that were used to generate this dataset - the
200	germinative VZ and SVZ. f) Violin plots showing distribution of KIF22 in different cell types. g)
201	KIF22 gradient plot (arrow indicates progenitors with a subset expressing high levels of KIF22
202	mRNA). h) Violin plots showing distribution of KIF22 at different cell cycle stages. i) Violin
203	plots showing distribution of ALDOA mRNA levels in different cell types. j) ALDOA gradient
204	plot. k) Violin plots showing distribution of ALDOA mRNA at different cell cycle stages.

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206 We took advantage of a published single cell RNA-sequencing (scRNA-seq) data-set acquired 207 from 393 cells of the ventricular zone (VZ) and subventricular zone (SVZ) of gestational week 208 (GW) 16-18 human fetal cerebral cortex (equivalent to post conception week (PCW) 14-16) to 209 perform an unbiased screen to identify 16p11.2 transcripts that matched this expression profile 210 (Pollen et al., 2015). Dimensional reduction of the scRNA-seq data separated the cells into three 211 clusters based on transcriptome similarity (Fig.1d - each dot on the tSNE plot represents an 212 individual cell) that were subsequently identified as the three cardinal cell classes of progenitors 213 (blue), post-mitotic neurons/ principal cells (red) and interneurons (green), by expression of cell-214 type specific transcripts. We next used the monocle2 R package to order the cells in pseudotime 11

215 using the normalized expression levels of selected differentially expressed genes (DEGs) as input 216 to order the cells (Trapnell et al., 2014; Qiu et al., 2017) (Fig.1e) moving from the progenitor state 217 (left) to post mitotic state (right) along the X-axis. We plotted the average expression of each 218 16p11.2 transcript at each pseudotime-point on the Y-axis. We found that two genes, KIF22 (blue 219 line) and ALDOA (brown line), were notable for having high expression in progenitors that 220 declined as cells became post-mitotic. A Wilcox test identified ALDOA and KIF22 as the only 221 16p11.2 transcripts that were significantly higher in progenitor than neuronal populations 222 (p<<0.05). Although not significantly enriched in progenitors HIRIP3 (orange line), MAZ (red 223 line), PAGR1 (green line) were expressed in progenitors at higher levels than the remaining 224 16p11.2 transcripts (shown as grey lines), many of which were barely expressed at all.

Violin plots of the numbers of cells expressing different levels of KIF22 mRNA in the different 225 226 cardinal cell classes show that KIF22 is expressed predominantly in progenitors (Fig.1f) and 227 mapping the expression level of *KIF22* onto the tSNE plot (Fig.1g) revealed that *KIF22* expression 228 is highest in a subset of the progenitor cluster (arrow in Fig.1g) with a substantial proportion of 229 progenitor cells expressing relatively low levels of KIF22. Very few post-mitotic neurons, both 230 interneurons and principal cells, express appreciable levels of KIF22 (Fig. 1f,g). The expression of 231 KIF22 in a subset of progenitors prompted us to ask whether its expression was related to the cell-232 cycle phase. We used the expression of cell-cycle phase specific transcripts using function 233 CellCycleScoring from Seurat R package to divide the cells into three classes (Macosko et al., 234 2015; Tirosh et al., 2016), G1/S, G2/M, and post-mitotic neurons, and compared KIF22 transcript 235 levels between these three groups using a violin plot (Fig.1h). We found that the majority of cells 236 in G2/M phase expressed higher levels of KIF22 (red plot), cells in G1/S expressed lower levels 237 (blue plot) while the vast majority of post-mitotic cells expressed low levels of *KIF22* (green plot).

238 Similar analysis for ALDOA show that while a greater proportion of cells expressing the highest 239 levels of ALDOA are progenitors (blue plot) there are also a substantial number of principal cells 240 (red plot) expressing similarly high levels of ALDOA transcripts although very few interneurons 241 (green plot). Mapping ALDOA expression level onto the tSNE plot (Fig. 1) shows cells expressing 242 high levels of ALDOA are evenly distributed throughout the progenitor cluster with appreciable 243 numbers of principal cells expressing high levels of ALDOA and a much lower proportion of 244 interneurons. In contrast to KIF22, there is no clear difference in the partitioning of ALDOA 245 expression level between different phases of the cell-cycle (Fig.1k). We performed the same 246 analysis on another developing human cortex scRNAseq data set that spanned a wider 247 developmental interval (PCW10-28) and also included cells from all layers of the cerebral cortex 248 (Zhong et al., 2018). This analysis produced similar results. *KIF22* transcripts showing them to be 249 enriched in progenitors (Sup Fig.1b,e) and in G2/M phase of the cell cycle (Sup Fig.1g). Although 250 ALDOA transcript levels were highest in progenitors they did not decline as much as KIF22 (Sup 251 Fig.1b,f) in postmitotic cells and showed no clear difference between different phases of the cell-252 cycle (Sup Fig.1h).

We next used in situ hybridisation to visualise the expression of *KIF22*, *ALDOA*, *HIRIP3*, *PAGR1*, and *MAZ* transcripts in the different layers of the 12 PCW brain. We identified the VZ and SVZ based on cytoarchitecture, however, to validate our delineation we stained a section with PAX6, expressed by progenitor cells (Fig.2a-a'*). This confirmed the absence of progenitor cells in the IZ and CP and allowed us a guidance for estimating cellular location in other sections.

Consistent with the scRNAseq data *KIF22* and *ALDOA* transcripts are the most clearly
differentially expressed between zones containing progenitors (VZ and SVZ) and the more
superficial layers that are mainly composed of postmitotic cells (IZ and SP/CP). *KIF22* mRNA 13

261 expression is most prominent in the VZ and SVZ with a few expressing cells in the IZ and SP/CP (Fig.2b and 2b') While ALDOA mRNA expression is most prominent in the proliferative VZ and 262 263 SVZ there are substantial numbers of ALDOA expressing cells in the SP/CP (Fig.2c and 2c'). 264 HIRIP3 (Fig.2d,d'), PAGR1 (Fig.2e,e'), and MAZ (Fig.2f,f') mRNAs are expressed in the VZ/SVZ 265 and also in cells of the SP/CP. In addition to being expressed in the proliferative zones of the 266 cerebral cortex KIF22, ALDOA, HIRIP3, PAGR1, and MAZ are also expressed in the ventricular 267 zone of the ganglionic eminences where interneuron progenitors reside suggesting the hypothesis that interneuron development may be affected by alterations in their dosage in the 16p11.2 CNV. 268



ΝZ

MAZ mRNA

ZN

PAGR1 mRNA

HIRIP3 mRNA 269 15

N



To conclude, of all the 29 *16p11.2* transcripts, five, *KIF22*, *ALDOA*, *HIRIP3*, *PAGR1*, and *MAZ*, are expressed in the ventricular and subventricular zones at higher levels than in post-mitotic cells. Of these only two, *KIF22* and *ALDOA*, are significantly enriched in progenitors compared to postmitotic cells making them candidates for having specific roles in neurogenesis in the developing human fetal cerebral cortex. Although both are enriched in progenitors, *KIF22* and *ALDOA* transcript expression shows notable differences: *KIF22* transcripts are more restricted to progenitors and their levels vary as the cell-cycle progresses. We next describe the expression ofKIF22 and ALDOA protein over a range of developmental stages.

294

295 KIF22 protein is expressed in germinal zones of 12, 14 and 16 PCW cortex

296 Here we characterize KIF22 protein expression during human corticogenesis. Coronal cortex 297 sections spaced along the rostral-caudal axis were immunostained for KIF22 protein and 298 counterstained with Nuclear Fast Red (NFR) to show cytoarchitecture. KIF22⁺ (brown) and KIF22⁻ 299 (red) cells were counted for each region in the telencephalic wall (VE, VZ, SVZ, IZ and CP) (see 300 methods for details of sampling) and lamination was identified by cell density (Bayer and Altman, 301 2002, 2005). These data are shown for three developmental stages, 12 PCW (Fig.3 a-d), 14 PCW (Fig.3 a'-d'), and 16 PCW (Fig.3 a*-d*). At all stages and rostro-caudal positions KIF22 302 303 expressing cells appear most abundant in the VE followed by the VZ and SVZ with the IZ and CP 304 presenting a very low to complete absence of KIF22 (Fig.3 c, c', and c* with higher magnification 305 of boxed regions from each zone shown in d, d', and d* respectively, green arrows indicate 306 examples of individual KIF22⁺ cells).

307

We next pooled KIF22⁺ cell count data in two ways to compare between all ages (Fig.3e) and anatomical regions (Fig.3f) and found that the percentage of KIF22⁺ cells in the VE (40-50%) was consistently higher than other regions, followed by the VZ (20-30%) and SVZ (10%), with even fewer cells (<10%) in the IZ, and CP (Fig.3e,f). This result describes KIF22 protein as predominantly restricted to a subset of cells in the germinal zones of the developing cortex at all stages studied.



315 Figure 3: KIF22 protein expression levels in the cerebral cortex at 12, 14 and 16 PCW a, a', 316 a*) schematic showing brain regions sectioned. b, b', b*) images of whole brain section, scale bars 317 =2mm. c, c', c^*) sections spanning the rostral-caudal axis showing KIF22 expression in the 318 telencephalic wall, scale bars = $100\mu m$. d, d', d*) high magnification images of different cortical 319 zones rostral-caudal. KIF22+ cells in brown and examples indicated by green arrows, KIF22- cells 320 in pink, scale bars =25 μ m. e) Quantification of KIF22 expressing cells with all three ages 321 combined. f) Quantification of KIF22 expressing cells with rostral, middle, caudal values combined. 322

323

324 KIF22 protein expression is restricted to proliferating cells

325 KIF22 protein expression is almost exclusively restricted to a subset of cells in the proliferative 326 regions. From the scRNA-seq data, we expect these to be progenitor cells (Fig.1). To identify these 327 KIF22 positive cells we performed double immunofluorescence for KIF22 and KI67 (a protein 328 expressed in all proliferating cells (Scholzen and Gerdes, 2000; Miller et al., 2018)). KIF22⁺ cells 329 were predominantly located in the VE, VZ and SVZ (Fig.3), therefore these regions were examined 330 for analysis. Low magnification of KI67/KIF22 staining are shown in 12 PCW (Fig.4a) and 14 331 PCW (Fig.4b) with higher magnification showing individual cells in Fig.4c. Cell counts for KIF22⁺/KI67⁺ labelled cells show that the majority (80-90%) of KI67⁺ cells also express KIF22 332 333 both at 12 (Fig.4d) and 14 PCW (Fig.4e) or across the rostral-caudal axis. Combining the data for 334 anatomical locations and ages revealed significantly more KIF22⁺/KI67⁺ cells than KIF22⁺/KI67⁻ 335 and KIF22⁻/KI67⁺ cells (Fig.4f).



336 ∠∪

337	Figure 4:	Immunof	luorescence (of KIF22 a	nd KI67	proteins in	the cortex. a) KIF22	and KI67
								/	

- at 12 PCW, low magnification scale bars = 4mm, high magnification scale bars = 100μ m. b)
- 339 KIF22 and KI67 at 14 PCW, low magnification scale bars = 4mm, high magnification scale bars
- $= 100 \ \mu\text{m. c}$) high magnification of KI67/KIF22 expressing cells. Scale bars = $10 \ \mu\text{m. d}$)
- 341 Percentage of cells expressing KIF22, KI67 or both at 12 PCW. e) Percentage of cells expressing
- 342 KIF22, KI67 or both at 14 PCW. f) Combined data of percentage of cells expressing KIF22,
- 343 KI67 or both.
- 344

345 KIF22 levels vary with cell-cycle phase

From the scRNA-seq analysis, and the variable KIF22 protein levels in KI67⁺ cells, we 346 347 hypothesised that KIF22 protein levels change throughout the cell cycle. To test this, we quantified 348 nuclear immunofluorescence intensity of KIF22 and KI67 in two 12 PCW brains (see methods for 349 details of sampling procedure). KI67 protein levels vary during the cell cycle: lowest in G1 phase, 350 increasing through S and G2 to peak in mitosis (Fig. 50) (Scholzen and Gerdes, 2000; Miller et al., 351 2018). We found a strong correlation between KIF22 and KI67 intensity (Brain 1 rostral $R^2=0.8095$, middle $R^2=0.8139$, caudal $R^2=0.6691$. Brain 2 rostral $R^2=0.7489$, middle $R^2=0.7447$, 352 353 caudal R²=0.7763) (Fig.5d-f). To ensure the correlation observed was not a result of nucleus size changing with cell cycle, we confirmed that KIF22 protein levels did not correlate with nuclear 354 size by DAPI staining (Brain 1 rostral KIF22 R²=0.104, middle KIF22 R²=0.0874, caudal KIF22 355 R²=0.2969. Brain 2 rostral KIF22 R²=0.1183, middle KIF22 R²=0.0512, caudal KIF22 356 R^2 =0.2287). A strong positive correlation was also observed at 14 PCW (Brain 1 rostral 357 $R^2=0.7465$, middle $R^2=0.6668$, caudal $R^2=0.634$, Fig.5j-1). Again, we confirmed that KIF22 358

protein levels did not correlate with nuclear size (rostral KIF22 R²=0.1239, middle KIF22 359 R^2 =0.0599, caudal KIF22 R^2 =0.0229). This demonstrates that the correlation between KI67 and 360 361 KIF22 is consistent between ages and rostral-caudal location. Combining all values of KI67/KIF22 362 nuclear intensity values showed that KIF22 was expressed at significantly higher levels in KI67⁺ cells (Fig.5m) with a strong correlation (R²=0.7236) between KIF22 and KI67 levels (Fig.5n). 363 364 Although KIF22 expressing cells were scattered throughout the VE, VZ and SVZ there was a 365 general trend for the cells expressing the highest levels of KIF22 to be closest to the apical surface (yellow coloured dots on scatterplots Fig.5d-f, j-l,n) with lower expressing cells tending to be 366 367 further from the apical surface (blue coloured dots on scatterplots Fig.5d-g, j-l,n). During 368 interkinetic nuclear movement radial glial cell nuclei move to the apical surface to perform mitosis 369 so this spatial distribution suggests KIF22 is expressed at high levels by radial glial cells 370 undergoing mitosis at the apical surface of the VZ. Cerebral cortex progenitor that do not undergo 371 interkinetic movement (intermediate progenitors and outer sub-ventricular zone progenitors) 372 cannot be assigned to cell cycle phase using their position as we were able to do for radial glial 373 cells which do undergo interkinetic movement. As KIF22 transcript levels were at highest in all 374 progenitors in M-phase (Fig.1h) we suspect that cells expressing high levels of KIF22 and KI67 375 further away from the apical surface are cortical progenitors in M-phase not undergoing interkinetic movement but further experiments would be needed to show this. 376

From these data we show that, for radial glial cells, KIF22 protein levels change throughout the
cell cycle in positive correlation with KI67: KIF22 is present in G1 and increases through S and
G2 phase to peak in mitosis (Fig.5p).



- **Figure 5: Quantification of KIF22 protein levels.** a,b,c) 12 PCW quantification of KIF22
- 382 fluorescence intensity in KI67+/KI67- cells (raw data transformation =+1(log), unpaired t-test
- 383 with Welch's correction, p=<0.001). d,e,f) intensity correlations of KIF22 and KI67 nuclear
- 384 fluorescence intensity at 12 PCW. g, h, i) 14 PCW quantification of KIF22 fluorescence intensity
- in KI67+/KI67- cells (raw data transformation = $+1(\log)$, unpaired t-test with Welch's correction,
- 386 p=<0.001). j, k, l) intensity correlations of KIF22 and KI67 nuclear fluorescence intensity at 14
- 387 PCW. m) quantification of KIF22 fluorescence intensity in KI67+/KI67- cells 12 and 14 weeks
- 388 combined (raw data transformation =(log), paired t-test, p=0.0122). n) intensity correlations of
- 389 KIF22 and KI67 nuclear fluorescence intensity for rostral-caudal points at 12 and 14 PCW with
- distance from apical surface indicated by dot colour. o) diagram of KI67 protein levels
- throughout the cell cycle. p) model based on our results of KIF22 protein levels throughout thecell cycle.
- 393

ALDOA protein is highest in the germinal zones of the cortex

Bioinformatics analysis and *in situ* hybridisation show *ALDOA* mRNA levels decrease as progenitor cells move towards a neuronal fate (Fig.1). Here we used immunofluorescence to characterize ALDOA protein expression across the telencephalic wall at 3 developmental time points; at 12, 14 and 16 PCW, ALDOA immunofluorescence is most intense in the VZ and SVZ before decreasing in the cortical plate (Fig.6a-c). Double immunofluorescence for KI67 and ALDOA viewed at high magnification shows that ALDOA protein is primarily localized outside DAPI⁺ nuclei in the cytoplasm and that ALDOA is expressed by KI67⁺ progenitor cells and also

- 402 by cells that do not express KI67 (Fig.6d). The schematic (Fig.6e and 6e') illustrates the areas used
- 403 for quantification of nuclear and whole cell ALDOA fluorescence presented in Fig.6.



405	Figure 6: ALDOA	protein ex	pression in the cort	tex. ALDOA	protein ex	pression ac	ross th

- 406 telencephalic wall at a) 12, b) 14 and c) 16 pcw. Scale bars = 100μm. White arrow indicates non-
- 407 specific binding to blood vessels. d) high magnification immunofluorescence of ALDOA and
- 408 KI67 proteins, scale bar = $10\mu m$. e) low power image showing how cells were randomly selected
- 409 for analysis using the DAPI channel. e') high power image showing how the nucleus and
- 410 cytoplasm were delineated for analysis
- 411

412 ALDOA protein levels do not correlate with proliferation

413 Although examination of ALDOA mRNA expression indicated it was enriched in progenitors we were unable to find a significant difference in ALDOA protein levels between KI67⁺ and KI67⁻ 414 415 cells at 12 (Fig.7a), 14 (Fig.7b) and 16 PCW (Fig.7c) in the human cortex. To look for any 416 fluctuation in ALDOA levels with the cell cycle we quantified immunofluorescence for KI67 and 417 cell body ALDOA "(nucleus and adjacent cell body) using the same analysis as that described above for KIF22, and found no correlation or discernible pattern at 12 (Fig.7e) ($R^2 = 0.018$), 14 418 (Fig.7f) (R^2 = 2e-4) or 16 PCW (Fig.7g) (R^2 = 0.00992). These data show that in human cortex 419 420 development, cellular ALDOA protein levels do not correlate with proliferation or fluctuate with 421 cell cycle.

422

Previous work in different models demonstrated nuclear ALDOA level is greater in proliferating
cells (Mamczur, Mazurek and Rakus, 2010; Mamczur *et al.*, 2013). To see if this was the case in
human cortex development, we quantified nuclear ALDOA and KI67 (Fig.6e) but found no
significant difference in nuclear ALDOA fluorescence between KI67⁺ and KI67⁻ cells at 12

427 (Fig.7i), 14 (Fig.7j) or 16 PCW (Fig.7k). We next tested if nuclear ALDOA levels in proliferating cells varied with cell cycle. Analysis of ALDOA and KI67 nuclear intensity established no 428 correlation or pattern at 12 (Fig.7m) (R^2 = 5e-05), 14 (Fig.7n) (R^2 = 0.0365) or 16 PCW (Fig.7o) 429 $(R^2 = 0.0723)$. This shows nuclear ALDOA levels do not increase with proliferation, nor fluctuate 430 with cell cycle. We combined results across the 12, 14 and 16 PCW. There was no significant 431 432 difference between KI67⁺ and KI67⁻ cells when examining ALDOA protein intensity in the whole 433 cell (Fig.7d) or the nucleus (Fig.7i). Using the pooled data, there was no correlation or discernible pattern when nuclear ALDOA intensity was graphed against nuclear KI67 level for the whole cell 434 (Fig.7h) ($R^2 = 0.0049$) or the nucleus (Fig.7p) ($R^2 = 6e-04$). 435



437 ₂₉

438	Figure 7: ALDOA protein quantification. a-d) Cell body ALDOA protein fluorescent intensity
439	in KI67+ and KI67- cells at a) 12 PCW (raw data transformation =+1(log), bimodal distribution,
440	Mann-Whitney test, $p = 0.3702$), b) 14 PCW (raw data transformation =+1(log), normal
441	distribution, unpaired t-test with Welch's correction, $p = 0.2032$), c) 16 PCW (raw data
442	transformation =+1(log), normal distribution, unpaired t-test with Welch's correction, $p =$
443	0.3523). d) ALDOA cell body protein fluorescent intensity in KI67+ and KI67- cells, 12, 14 and
444	16 PCW individual datasets averaged, (raw data transformation =+1(log)), paired t-test, p =
445	0.0836. e-h) ALDOA cellular protein intensity levels ls correlated to nuclear KI67 protein
446	intensity at e)12, f)14 and g)16 PCW with distance from ventricular edge indicated. h) ALDOA
447	whole cell protein intensity levels correlated to nuclear KI67 protein intensity pooled 12, 14, 16
448	PCW. i-l) Nuclear ALDOA protein fluorescent intensity in KI67+ and KI67- cells at i) 12 PCW
449	(raw data transformation =+1(log), normal distribution, unpaired t-test with Welch's correction,
450	p = 0.7543), j) 14 PCW (raw data transformation =+1(log), normal distribution, unpaired t-test
451	with Welch's correction, $p = 0.0694$), k) 16 PCW (raw data transformation =+1(log), normal
452	distribution, unpaired t-test with Welch's correction, $p = 0.0772$). l) ALDOA nuclear protein
453	fluorescent intensity in KI67+ and KI67- cells, 12, 14 and 16 PCW individual datasets averaged,
454	(raw data transformation =+1(log)), paired t-test, p = 0.1330. m-p) ALDOA nuclear protein
455	intensity levels is correlated to nuclear KI67 protein intensity at m)12, n)14 and o)16 PCW with
456	distance from ventricular edge indicated. p) ALDOA nuclear protein intensity levels correlated
457	to nuclear KI67 protein intensity pooled 12, 14, 16 PCW. q) schematic demonstrating ALDOA
458	protein is predominantly in the cytoplasm and lower in the nucleus in both KI67+ proliferating
459	cells and KI67- post mitotic cells. r) model showing ALDOA levels do not change with the cell
460	cycle.

461 **Discussion**

462 *16p11.2* transcript expression during human neurogenesis.

463 The 16p11.2 CNV is a polygenic mutation that causes NDDs and the current study identified a 464 number of the 29 16p11.2 transcripts expressed in progenitor cells of the cerebral cortex. In 465 addition to ALDOA and KIF22 that are significantly enriched in progenitors, several other 466 transcripts (e.g. *HIRIP3*, *PAGR1*, and *MAZ*) are also expressed in progenitors albeit at lower levels 467 and are not significantly down-regulated as cells become post-mitotic. The simultaneous expression of multiple 16p11.2 genes in cells undergoing neurogenesis suggests that these cells 468 469 may be particularly vulnerable to simultaneous alteration in their dosage as a consequence of the 470 16p11.2 microdeletion or microduplication. This lends support to the hypothesis that neurogenesis 471 is disrupted in 16p11.2 CNV patients and that this contributes to subsequent development of 472 NDDs.

In this study we focused on the expression of 16p11.2 genes in progenitors of the developing cerebral cortex that will give rise to excitatory neurons. However, *KIF22*, *ALDOA*, *HIRIP3*, *PAGR1*, and *MAZ* transcripts are also expressed in the ventricular zone of the ganglionic eminences where the progenitors of the inhibitory interneurons that subsequently migrate into the cerebral cortex reside. Simultaneous increase or decrease of these transcripts in interneuron progenitors resulting from the 16p11.2 CNV may therefore have an impact on the neurogenesis or differentiation of interneurons.

480 KIF22

KIF22 is a multifunctional protein that can regulate cell proliferation through at least two distinct
 mechanisms. First, KIF22 is a kinesin-like microtubule-based motor that binds microtubules and
 31

483 chromosomes during mitosis and regulates mitotic spindle microtubule stability and 484 symmetric/asymmetric cell division (Tokai et al., 1996; Tokai-Nishizumi et al., 2005; Sun and 485 Hevner, 2014). Second, KIF22 regulates the expression of the cell-cycle regulator CDC25C. 486 During cell division, CDC25C dephosphorylates CDK1, thus activating the CDK1-cyclinB 487 complex while the CDK1-cyclin B complex phosphorylates CDC25C, causing an amplification 488 loop to drive cells to mitosis (Nilsson and Hoffmann, 2000). KIF22 directly transcriptionally 489 represses CDC25C and inhibits mitosis; this transcriptional repression of CDC25C is dependent 490 on KIF22 being phosphorylated on Thr463 (Ohsugi et al., 2003; Yu et al., 2014). KIF22 depletion 491 in a tumor cell line accelerates the G2/M transition and slows M/G1 transition (Yu et al., 2014).

492 Overall, it therefore appears that KIF22 can act at several different points in the cell cycle making 493 it difficult to predict how increased or decreased dosage of KIF22 in the 16p11.2 microduplication 494 or microdeletion respectively would impact cell cycle in the specific context of cerebral cortex 495 neural progenitors especially in light of the concomitant altered dosage of other 16p11.2 genes co-496 expressed with KIF22 in progenitors. Our observation that KIF22 mRNA and KIF22 protein levels 497 both increase during the cell cycle to achieve highest levels in G2/M phase that drop as cells enter 498 G1 phase implies that KIF22 protein does not persist for long after it is translated and is degraded 499 at the end of M-phase suggesting that both transcriptional and post-transcriptional mechanisms 500 regulate its levels. A clear outcome of our study is that KIF22 levels positively correlate with KI67 in neural progenitors and steadily rise as the cell progresses through G1>S>G2>M phases 501 502 culminating in the maximum level during M-phase. One possibility is that KIF22 is required to 503 reach a threshold for mitosis to occur, after which its levels must decrease sufficiently to allow 504 mitotic exit. Whether cells undertake proliferative or neurogenic divisions is a process heavily 505 controlled by cell cycle length (Borrell and Calegari, 2014). Perturbing KIF22 gene dosage as a consequence of the *16p11.2* CNV might affect the timing of KIF22 protein reaching this threshold
in neural progenitors and therefore affect cell-cycle kinetics and perturb neurogenesis and neuronal
output. Our results suggest the hypothesis that KIF22 regulates neurogenesis in the human
developing cortex through cell-cycle regulation.

510

511 <u>ALDOA</u>

512 The process of brain development requires a vast and consistent supply of energy. Glucose is the 513 predominant energy substrate for the fetal brain (Gustafsson, 2009), therefore efficient and 514 controlled glycolysis is essential for normal brain development. ALDOA is required for the fourth step of glycolysis, conversion of fructose 1,6-biphospate to dihyroxyacetone phosphate and 515 516 gluteraldehyde 3-phosphate. The metabolic role of cytoplasmic ALDOA is well established, and 517 ALDOA also has other non-glycolytic "moonlighting" roles such as regulating mitochondrial 518 function and cytoskeleton stability (Orosz, Christova and Ovadi, 1988; Pagliaro and Taylor, 1992; 519 Kao et al., 1999; Jewett and Sibley, 2003; Buscaglia et al., 2006). In addition to its cytoplasmic 520 roles, ALDOA has been observed in the nucleus (Mamczur and Dzugaj, 2008; Mamczur, Mazurek 521 and Rakus, 2010; Mamczur et al., 2013) where it has been suggested to impact cell cycle by 522 positively regulating cyclin D1 expression to mediate G1/S progression (Ritterson Lew and Tolan, 523 2012; Fu et al., 2018). Cell-culture studies show ALDOA sub-cellular localisation depends on the 524 availability of energetic substrates, with addition of glucose driving ALDOA protein to the 525 cytoplasm (Mamczur et al., 2013). Therefore, it is likely the primary role for ALDOA is metabolic when cells require, and have available to them, large amounts of energy. The majority of ALDOA 526 527 studies have used highly abnormal cancer tissue, or artificial cell culture systems in which

glycolytic enzymes have been shown to be increased (Ritterson Lew and Tolan, 2012; Mamczur *et al.*, 2013; Fu *et al.*, 2018; Pollen *et al.*, 2019). How these observations of ALDOA in a variety
of systems relate to its role in human cerebral cortex development is unclear.

531 Altering ALDOA dosage in the developing brain will likely impact energy metabolism by altering 532 the flow of metabolites through the glycolytic pathway and impacting subsequent pathways which 533 feed on outputs of glycolysis. Disruption to energy metabolism during development has previously been linked to ASD and ADHD (Rash et al., 2018). The offspring of hyperglycemic mice presented 534 535 microcephaly, a phenocopy of the microcephaly observed in 16p11.2 microduplication patients 536 (Rash et al., 2018) and disruptions to energy metabolism may contribute to the microcephaly seen 537 in the offspring of Zika infected mothers (Gilbert-Jaramillo et al., 2019). No homozygous null 538 ALDOA patients have been identified suggesting it is essential for life, but patients with changes 539 to ALDOA levels have been identified; one patient with reduced ALDOA activity presented 540 microcephaly (Kreuder et al., 1996) and another presented intellectual disability (Beutler et al., 541 1973). Of particular interest is the finding of schizophrenia patients with upregulated cortical 542 ALDOA levels (Beasley et al., 2006) and 16p11.2 microduplication is strongly associated with risk of schizophrenia. This information, coupled with our results that ALDOA is expressed in all 543 544 cell types, make it clear that any changes to ALDOA dose will perturb energy metabolism at many 545 stages in the brain, impacting its development.

ALDOA is much more abundant in the cytoplasm and we also found no clear relationship between
cell body ALDOA levels and cell proliferation status. Nuclear ALDOA has been linked to cell
proliferation (Mamczur, Mazurek and Rakus, 2010; Mamczur *et al.*, 2013; Fu *et al.*, 2018) but we
found no clear relationship between nuclear ALDOA protein levels and cell proliferation status.
While *ALDOA* mRNA levels are higher in proliferating cells compared to non-proliferating cells, 34

quantitative analysis of ALDOA protein revealed that ALDOA protein persists once cells exit mitosis. Therefore, while ALDOA protein is abundant in progenitor cells of the developing human cerebral cortex and so may play a role in neurogenesis phenotypes, the persistent expression of ALDOA protein as cells become post-mitotic argues against a specific role in neurogenesis and raises the additional possibility that ALDOA also plays roles in differentiated neurons.

556

557 Conclusion

558 Our study of 16p11.2 gene expression in developing human fetal cerebral cortex indicates that 559 altered dosage of KIF22, ALDOA, HIRIP3, PAGR1, and MAZ caused by the 16p11.2 560 microduplication or microdeletion may impact on neurogenesis in the developing human cortex 561 and we identified KIF22 being a strong candidate for having a specific role in neurogenesis. 562 Further studies are required to unpick the mechanisms involved, but given the nature of the tissue, 563 the scope for studying this in vivo is currently limited. However, growth of new model systems in 564 which 16p11.2 gene expression can be manipulated such as human cerebral organoids will provide the opportunity address these questions. 565

566

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	576	Supplementary	Figure 1:	Bioinformatics a	analysis of Zhong	get al scRNA-sec	dataset which
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577 included all regions of the telencephalic wall in samples from 8-36GW. a) tSNE clustering of

- 578 cell types. b) changing mRNA expression levels of 16p11.2 genes as cells move from
- 579 progenitors to neurons. Schematic of human cortex with dotted box indicates that all the regions
- of the cortex were used in this dataset. c) KIF22 gradient plot. d) ALDOA gradient plot. e) Violin
- 581 plots showing distribution of KIF22 in different cell types. f) Violin plots showing distribution of
- *ALDOA* in different cell types. g) Violin plots showing distribution of *KIF22* at different cell
- 583 cycle stages. h) Violin plots showing distribution of *ALDOA* at different cell cycle stages.

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