Cost-effectiveness of implementing a digital psychosocial intervention for patients with psychotic spectrum disorders in low- and middle-income countries in Southeast Europe: economic evaluation alongside a cluster randomised trial

5

Feng Y^{1*}, Roukas C¹, Russo M², Repišti S³, Džubur Kulenović A⁴, Injac Stevović L³, Konjufca J⁵,
 Markovska-Simoska S⁶, Novotni Lj⁷, Ristić I⁸, Smajić-Mešević E⁴, Uka F⁵, Zebić M⁸, Vončina L⁹, Bobinac A¹⁰, Jovanović N²

- 9 1: Wolfson Institute of Population Health, Queen Mary University of London, UK
- 10 2: Unit for Social and Community Psychiatry, WHO Collaborating Centre for Mental Health Services
- 11 Development, Queen Mary University of London, UK
- 12 3: Psychiatric Clinic, Clinical Centre of Montenegro, Podgorica, Montenegro
- 13 4: Clinical Center University of Sarajevo, Sarajevo, Bosnia and Herzegovina
- 14 5: Department of Psychology, University of Pristina, Kosovo by United Nations resolution
- 15 6: Macedonian Academy of Sciences and Arts, Skopje, Republic of North Macedonia
- 16 7: University Psychiatry Clinic, Skopje, Republic of North Macedonia
- 17 8: Faculty of Medicine, University of Belgrade, Belgrade, Serbia
- 18 9: Faculty of health studies, University of Rijeka, Croatia
- 19 10: Center for Health economics and Pharmacoeconomics, Faculty of Economics and
- 20 Business University of Rijeka, Croatia
- 21

22 *Corresponding author

- 23 Dr Yan Feng
- 24 Wolfson Institute of Population Health
- 25 Barts and The London School of Medicine and Dentistry
- 26 Queen Mary University of London
- 27 Yvonne Carter Building
- 28 58 Turner Street
- 29 Whitechapel
- 30 London UK E1 2AB
- 31 Email address: yan.feng@qmul.ac.uk
- 32
- 33 A shorten version of the title: Economic evaluation alongside a cluster randomised trial for a
- 34 psychosocial intervention to improve treatment of patients with psychosis
- 35



This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 779334

This peer-reviewed article has been accepted for publication but not yet copyedited or typeset, and so may be subject to change during the production process. The article is considered published and may be cited using its DOI.

This is an Open Access article, distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives licence

(http://creativecommons.org/licenses/by-nc-nd/4.0/), which permits non-commercial reuse, distribution, and reproduction in any medium, provided the original work is unaltered and is properly cited. The written permission of Cambridge University Press must be obtained for commercial re-use or in order to create a derivative work.

Cost-effectiveness of implementing a digital psychosocial intervention for patients with psychotic spectrum disorders in low- and middle-income countries in Southeast Europe: economic evaluation alongside a cluster randomised trial

40

41 Abstract

42 **Background:** DIALOG+ is a digital psychosocial intervention aimed at making routine meetings 43 between patients and clinicians therapeutically effective. This study aims to evaluate the cost-44 effectiveness of implementing DIALOG+ treatment for patients with psychotic disorders in five low-45 and middle-income countries in Southeast Europe alongside a cluster randomised trial.

Methods: Resource use and quality of life data were collected alongside the multi-country cluster randomised trial of 468 participants with psychotic disorders. Due to COVID-19 interruptions of the trial's original 12-month intervention period, adjusted costs and quality-adjusted life years (QALYs) were estimated at the participant level using a mixed-effects model over the first 6 months only. We estimated the incremental cost-effectiveness ratio (ICER) with uncertainty presented using a costeffectiveness plane and a cost-effectiveness acceptability curve. Seven sensitivity analyses were conducted to check the robustness of the findings.

Results: The average cost of delivering DIALOG+ was €91.11 per participant. DIALOG+ was associated
with an incremental health gain of 0.0032 QALYs (95% CI -0.0015, 0.0079), incremental costs of €84.17
(95% CI -8.18, 176.52), and an estimated ICER of €26,347.61. The probability of DIALOG+ being costeffective against three times the weighted gross domestic product (GDP) per capita for the five
participating countries was 18.9%.

58 **Conclusion:** Evidence from the cost-effectiveness analyses in this study suggested that DIALOG+ 59 involved relatively low costs. However, it is not likely to be cost-effective in the five participating 60 countries compared with standard care against a willingness-to-pay threshold of three times the 61 weighted GDP per capita per QALY gained.

62

Key words: Cost-effectiveness, Cluster Randomised Trial, Psychotic Disorders, DIALOG+, Low- and
 Middle-Income Countries in Southeast Europe

65

66 Trial registration: ISRCTN11913964

67 1. Introduction

68 The international prevalence of psychotic disorders is around 0.75% [1], and life expectancy of people 69 with psychosis is 10 to 15 years shorter than the general population [2]. These illnesses are usually 70 associated with poor quality of life and multi-morbidity [3]. They also often lead to high societal costs, 71 including direct costs for patients' healthcare and costs related to productivity losses [4]. In low- and 72 middle-income countries (LMICs) in Southeast Europe, an estimated 45% patients with psychotic 73 disorders have experienced a treatment gap (i.e., difference between the treatment they require and 74 the treatment they receive) [5-7]. This is the result of shortages in funding and qualified staff, and a 75 high patient load. Reducing the treatment gap in those countries through implementation of effective 76 and low-cost interventions is an urgent need.

77 DIALOG+ is an app-based psychosocial therapeutic intervention. Previously, interactions between 78 psychotic patients and clinicians in routine face-to-face clinical meetings were guided solely by clinical 79 judgement rather than evidence-based methods [8-9]. DIALOG+ was originally developed to make 80 meetings therapeutically effective [8]. To do this, the intervention implements a structured self-81 assessment for patients during the meetings as well as provides guidance for clinicians on how to 82 respond to patients' ratings. Previous studies have shown that using DIALOG+ is effective in improving 83 the quality of life for patients with psychosis in UK community-based settings [8, 10]. Furthermore, 84 the effectiveness of DIALOG+ has been extensively studied in mental health care across multiple 85 countries and in different healthcare settings [11-14].

86 Since DIALOG+ is used in existing routine patient-clinician meetings, it does not require the formation 87 of new services or hiring of new staff, and only requires that the existing service makes a one-off 88 investment in computer tablets. The intervention can then be widely used by the clinicians with 89 minimal training, making it a good fit for healthcare systems with scare resources [15]. Evidence from 90 high-income settings suggests that DIALOG+ is a cost-saving intervention for people with mental 91 disorders [10]. The intervention also has potential to deliver benefits for psychotic patients in low-92 resource settings. However, no study has previously evaluated its implementation in LMICs in 93 Southeast Europe. A multi-country cluster randomised trial within the IMPULSE study was conducted 94 to fill this empirical gap. The trial aimed to evaluate the effectiveness and cost-effectiveness of 95 implementing DIALOG+ in five LMICs in Southeast Europe compared to standard care for patients with 96 psychotic disorders [15].

97 The primary aim of this paper is to report the cost-effectiveness analyses of the DIALOG+ intervention 98 versus standard care carried out in five Southeast European countries alongside the cluster 99 randomised trial within the IMPULSE study.

100 **2. Methods**

101 2.1 Trial Design

102 The cluster randomised trial within the IMPULSE study recruited participants from five Southeast 103 European countries: Bosnia and Herzegovina, Kosovo (UN Resolution), Montenegro, North 104 Macedonia, and Serbia. These countries shared similar socioeconomic and political backgrounds 105 before the 1990s, which facilitated the trial setup and mutual learning across sites [15]. Eligible 106 participants were identified through review of medical records. Participants were eligible if they had: 107 a primary diagnosis of psychosis or related disorder in remission with ICD-10 code F20-29 or F31; a 108 lifetime history of being admitted to hospital at least once; a record of attending outpatient psychiatric 109 services; and the capacity to provide written informed consent. Participants with diagnoses of organic 110 brain disorders and/or severe cognitive deficits were excluded from the trial. Clinicians were randomised to either the intervention group (DIALOG+) or control group (standard care). Details about

the trial methodology and implementation of the intervention can be found in the trial protocol [15].

- 113 The trial was launched in March 2019 and completed in July 2020.
- 114 2.2 DIALOG+ intervention and standard care

115 DIALOG+ intervention

DIALOG+ is a full therapeutic intervention which aims to make existing routine patient–clinician meetings therapeutically effective. The intervention is based on quality of life research, and embeds the concepts of a patient-centred approach and solution-focused therapy in order to provide an evidence-based structure to routine clinical meetings between patients and clinicians. The intervention consists of two parts: (1) a patient self-rating exercise of satisfaction with their life and treatment, followed by (2) a four-step solution-focused discussion which aims to address the patients' concerns and agree on further actions.

123 The trial was designed so that participants in the intervention group would receive 6 sessions of 124 treatment during their routine outpatient consultations over a 12-month period. In accordance with 125 the DIALOG+ manual [16], each session lasted between 30 and 60 minutes. In the first three months, 126 participants received one session per month. followed by one session event three months.

126 participants received one session per month, followed by one session every three months.

127 Every intervention session started with the patient self-rating their satisfaction with eight life domains 128 (mental health, physical health, job satisfaction, accommodation, leisure activities, partner/family, 129 friendships, personal safety) and three treatment domains (medication, practical help, meetings with 130 clinician) using the DIALOG+ app installed in computer tablets. Next, clinicians were instructed to 131 provide positive feedback to patients for any domain that was scored highly by patients and (from 132 session two onwards) for domains with an improvement in rating from previous sessions. After the 133 self-rating exercise, clinicians and patients identified a maximum of three domains for discussions. 134 These discussions were guided by a four-step approach based on the principles of solution-focused 135 therapy. Finally, the patients and clinicians jointly agreed on actions to improve the patients' 136 satisfaction with the discussed domain(s). At the beginning of the next session, they reviewed those 137 actions together [17]. Each clinician in the intervention group received face-to-face training by a local 138 research team member before the first DIALOG+ session, followed by top-up training after delivering 139 the third session. Clinicians were also able to access individual supervision provided by the study 140 researchers after each session. A computer tablet with DIALOG+ installed was offered to each clinician 141 prior to the first session.

142 Standard care

Standard care included consultations on medication, psychological support, and discussion with patients on other aspects of care. Participants receiving standard care were offered 6 sessions of treatment over the 12-month trial period following the same delivery schedule as participants in the intervention group.

147 2.3 Impact of the COVID-19 pandemic

Although the trial intervention was originally designed to last 12 months, interruption due to the COVID-19 pandemic from March 2020 onward led to significant changes in the intervention, patient assessments, data collection, and retention in the last stage of the trial [14]. Only Serbia completed the 6 sessions and the last assessment (at month 12) as per protocol before the introduction of local restrictions. The other four countries adapted the DIALOG+ manual, and delivered the last 2 sessions (fifth and sixth) and the last assessment remotely. Because of these changes, the effect of the 154 complete intervention at 12 months (i.e., 6 sessions) could not be explored. Therefore, the economic

evaluation was based on the first 6 months of trial data (first 4 sessions), starting from implementation

- 156 of the intervention at baseline.
- 157 2.4 Study measures
- 158 *Outcome measures*

Three instruments were used to assess quality of life for participants, including the 5L version of the EQ-5D (EQ-5D-5L) [18], Manchester Short Assessment of Quality of Life (MANSA) [19], and the 10-item version of Recovering Quality of Life (ReQoL-10) [20]. Due to COVID-19 pandemic (see section 2.3),

162 only data collected at baseline and 6 months after randomisation were used in analysis.

163 The EQ-5D-5L measured the primary economic evaluation outcome. EQ-5D-5L data were converted 164 to index scores by applying the EQ-5D-5L value set. There was no country-specific value set available 165 for any of the five participating countries, so we applied the newly published EQ-5D-5L value set for 166 Poland [21] in Central Europe as the best proxy available. Quality-adjusted life years (QALYs) for 167 participants during the first 6-month period of the trial were calculated using the area-under-the-168 curve method and EQ-5D-5L index scores [22]. MANSA measured the primary clinical effectiveness 169 outcome in the IMPULSE trial. MANSA scores were calculated as the mean of the instrument's 12 170 individual item scores. ReQoL-10 is a new instrument for measuring quality of life in people 171 with mental health conditions. For ReQoL-10 data, simple sum scores on the instrument's 10 172 questions were calculated.

For all three outcome measures, lower score indicates poorer quality of life. EQ-5D-5L index scores
have a theoretical range between -0.590 and 1. The range is 1 to 7 for MANSA scores, and 0 to 40 for
ReQoL-10 scores.

176 Costs data

The retrospective costs data 6 months prior to baseline and 6 months after randomisation were collected using an adapted version of the Client Service Receipt Inventory (CSRI) [23]. The CSRI recorded participants' use of inpatient hospital services, community care service, primary care service, and medication. We collected unit cost for each item from the local teams in the five participating countries. Data on participants' socio-demographics, employment status, monthly income, number of days off from work due to mental and/or physical health issues, monetary amount of state benefits claimed, and criminal records were also collected using the CSRI.

184 We developed a health economics inventory form to collect costs data for providing DIALOG+ and 185 standard care treatments. Items included time spent by clinicians on the DIALOG+ training, time spent 186 by clinicians and supporting staff on treatments, quantity of equipment and key materials used for 187 providing treatments. We also collected the unit cost for each item using the inventory form.

We converted all unit costs from local currencies to euros at year 2019 level with Purchasing Power Parity ((EU28=1 as the reference base) adjusted [24]. Costs for each item were then calculated as a product of the quantity used and its corresponding unit cost. Finally, we summed all costs together and presented the costs data at participant and assessment time-point levels. There was no discount applied to adjust costs and outcomes data as the time horizon of the study was 6 months [25].

Outcome and cost measures used in the economic evaluation are validated scales, including EQ-5D 5L [18], MANSA [19], ReQoL-10 [20] and CSRI [23]. They were translated into the local languages by
 study researchers from central and local research teams before being administered to participants.

196 2.5 Economic evaluation

We compared participant-level costs and outcomes data between the two trial groups at each assessment time point (i.e., baseline and 6 months after randomisation). Independent t-tests were used for all comparisons. The 95% confidence intervals (CI) were constructed using a bootstrap method with 1,000 replications. We also applied a three-level mixed-effects model to recognise the clustered nature of our data where participants nested within clinicians that nested within countries. The model controlled for baseline variable (i.e., costs or outcomes) and covariates (i.e., age of participants, ICD-10 code, and profession of clinicians).

We conducted the within-trial analyses from a healthcare perspective under the principle of intentionto-treat. Time horizon for the economic evaluation was 6 months, starting from implementation of the intervention at baseline. This was consistent with the time horizon for the effectiveness evaluation of DIALOG+ in the IMPULSE trial [14].

208 Cost-utility analysis was used to conduct the base case economic evaluation. Costs included 209 intervention costs, health service costs, and medication costs. The primary economic outcome 210 measure used QALYs calculated from the EQ-5D-5L index scores. We estimated the incremental costs 211 (and incremental QALYs) as the difference between the intervention and control groups over the first 212 6 months of the trial period, controlling for baseline values, participants' ages, ICD-10 code, and 213 profession of clinicians. A three-level mixed-effects model was applied. The pattern of missing values 214 with three variables (i.e., costs at baseline, costs, and QALYs over the 6-month period) was assumed 215 as missing at random. Multiple imputation with chained equations was applied to generate 70 216 imputed data sets (the largest fraction of missing information was 0.5258). The point estimate of the 217 incremental cost-effectiveness ratio (ICER) was calculated by dividing the estimated incremental costs 218 by the estimated incremental QALYs. To explore the uncertainty around the point estimate, we used 219 the non-parametric bootstrap approach with 1,000 replications to estimate the 95% CI around the 220 ICER [26]. The result was presented using a cost-effectiveness plane. We also constructed a cost-221 effectiveness acceptability curve to show the probability that DIALOG+ was cost-effective compared 222 with standard care for a range of willingness-to-pay values for an additional QALY gained.

There is no evidence-based cost-effectiveness threshold to apply in multi-country trials for LMICs [27]. The World Health Organisation has recommended using one to three times the gross domestic product (GDP) per capita of an LMIC as the cost-effectiveness threshold for the country [28, 29]. An intervention with an estimated ICER less than three times the national annual GDP per capita is considered cost-effective. In our base case evaluation, we compared our point estimate of the ICER against one to three times the weighted GPD per capita. The weights are proportions of participants from each country out of the total trial sample size.

230 To check the robustness of the findings from the base case evaluation, we conducted seven sensitivity 231 analyses. First, we ran the base case analysis with complete cases only (i.e., without missing values). 232 Second, the seemingly unrelated regression model without robust standard error was applied to 233 compare the impact of the model choice [30]. Third, we estimated two ICERs using the minimum (and 234 maximum) unit costs, respectively, for all medications from each country when unit costs for some 235 medications were reported in a range. Fourth, we undertook analyses using a broader analytical 236 perspective, including costs due to productivity lost as a result of mental or physical health problems. 237 In the fifth and sixth sensitivity analyses, we replaced the outcome measure EQ-5D-5L index scores 238 with MANSA scores and ReQoL-10 sum scores, respectively. Finally, we estimated country-specific 239 ICERs by applying the method developed by Willke and colleagues [31].

240 Statistical significance was determined at the 5% level (P<0.05). All analyses were 241 performed with software package STATA/MP 17 [32].

242 3. Results

243 3.1 Characteristics of the sample

244 We present the characteristics of all participants at baseline in Table 1. In total, 468 eligible 245 participants were recruited, with 236 receiving the DIALOG+ treatment and 232 receiving standard 246 care. There were 424 participants at 6 months after randomisation. The trial recruited 81 clinicians 247 from 11 clinics across five countries. The average age of participants in the trial was 42.59 years old 248 (SD=11.30). More than half of the participants were male (54.3%), single (54.3%), unemployed 249 (59.7%), not receiving any state benefits (56.8%), and reported the highest level of education as high 250 school (60.5%). Montenegro contributed the largest trial sample (n=122, 26.1%), followed by Kosovo 251 (UN Resolution; n=103, 22%), North Macedonia (n=82, 17.5%), Bosnia and Herzegovina (n=81, 17.3%), 252 and Serbia (n=80, 17.1%).

253 3.2 Costs for DIALOG+ and standard care interventions

The average cost of delivering DIALOG+ for each participant was \notin 91.11 during the 6-month trial period. The majority of this cost was for clinicians' time, with \notin 50.92 spent on delivering DIALOG+ and \notin 14.69 on training. The cost also included key resource use (\notin 17.66; computer tablets, fee for translating DIALOG+ manual to local language, room booking for DIALOG+ training), and other equipment use (\notin 6.59; cell phones, recording devices, stationery). Costs from other staff that supported the delivery of DIALOG+ were minor at \notin 1.24 per participant. Average total cost for delivering standard care sessions during the 6-month trial period was \notin 20.87 per participant.

261 3.3 Resource use and costs

262 **Table 2** presents the quantity of resource use at the participant level over the 6-month trial period, 263 while Appendix 1 reports the unit costs for each resource use item. Table 3 shows the average cost 264 per participant for resource use over the 6-month trial period. The single most costly resource was 265 medication. On average, the medication cost for participants in the intervention group was €237.23 266 per participant, while average medication cost in the control was €243.35. The total cost in the 267 intervention group was €565.95 per participant, and €497.78 per participant in the control. The 268 difference in total cost between the groups was €68.17 (95% CI -54.26, 168.60), but this was not 269 statistically significant as suggested by independent t test. While controlling for the differences in total 270 costs and the list of other covariates at baseline, the mixed-effects models produced qualitatively 271 similar results. The difference in total cost was estimated as €98.42 (95% CI -29.49, 208.30), although 272 this was not statistically significant.

- 273 We found differences between two groups in costs for total resource use over 6 months before 274 randomisation (**Appendix 2**), and these differences were not statistically significant.
- 275 3.4 Outcome measures

Table 4 shows the participant level EQ-5D-5L index scores (and estimated QALYs), MANSA scores, and
ReQoL-10 sum scores at each assessment time point (baseline and 6 months) by trial group
(intervention and control). After adjusting for the baseline differences in EQ-5D-5L index scores and
the list of covariates, the mixed-effect model resulted in a difference of 0.0035 QALYs (95% CI -0.0021,
0.0089) between the intervention and control groups over the 6-month period, a difference of 0.1810
points (95% CI 0.0315 to 0.3158) for the MANSA, and a difference of 0.7237 points (95% CI -0.2798 to

1.9375) for the ReQoL-10. All three outcome measures suggested a health improvement after 6
months of treatment with DIALOG+, however, only the difference in MANSA scores was statistically
significant.

285 3.5 Cost-effectiveness base case analysis

286 Table 5 reports results from the base case evaluation. Cost per QALY gained from implementing 287 DIALOG+ was €26,347.61, achieved by dividing incremental costs of €84.17 (95% CI -8.18, 176.52) by 288 incremental QALYs of 0.0032 (95% CI -0.0015, 0.0079). The weighted GDP per capita was €4,587, and 289 three times this value was $\leq 13,761$. Figure 1 shows the uncertainty around our point estimate of the 290 ICER using a cost-effectiveness plane, including 1,000 pairs of incremental costs and incremental 291 QALYs from bootstrap replications. Figure 2 presents the cost-effectiveness acceptability curve 292 showing that the probability of DIALOG+ being cost-effective compared with standard care was 3.8% 293 at a willingness-to-pay of €4,587 per QALY, and 18.9% at a willingness-to-pay of €13,761 per QALY. 294 The base case analysis suggested that DIALOG+ was unlikely to be cost-effective.

295 3.6 Sensitivity analyses

296 Table 5 reports results from seven sensitivity analyses. The first four sensitivity analyses produced 297 results consistent with the base case analysis: the point estimate of the ICER was above three times 298 the weighted GDP per capita per QALY gained threshold. When ReQoL-10 sum scores were applied as 299 the outcome measure, one score of improvement in ReQoL-10 was associated with additional costs 300 of €119.02 (sensitivity analysis five). Analysis of MANSA scores suggested that an improvement of one 301 score in MANSA was associated with additional costs of €523.53 (sensitivity analysis six). In sensitivity 302 analysis seven, we attempted to estimate country-specific ICERs. DIALOG+ treatment was consistently 303 found not to be cost-effective in four participating countries; Kosovo (UN Resolution) was the only 304 country where the intervention was more effective and less costly than standard care.

305 4. Discussion

306 The main cost-effectiveness analysis suggested that DIALOG+ is slightly more costly and slightly more 307 effective than standard care over the first 6 months of the trial period. The point estimate of the ICER 308 was higher than the willingness-to-pay value at three times the weighted GDP per capita of the five 309 participating countries. Regarding the uncertainty of this point estimate, our results suggested that 310 the probability was low (18.9%) that DIALOG+ was cost-effective compared with standard care at the 311 provider's willingness-to-pay threshold. We conducted sensitivity analyses to explore the impact of 312 missing values, estimation methods, key parameters for costs, and evaluation perspectives. None of 313 these analyses challenged the main finding. In country-specific analyses, we found DIALOG+ was more 314 effective and more costly in four of the five participating countries (and the point estimate of the ICER 315 was not cost-effective). Kosovo (UN Resolution) alone showed DIALOG+ as more effective and less 316 costly than standard care. This result should be interpreted with caution as the trial was not powered 317 to detect country-specific treatment effects (in particular, for the EQ-5D-5L measure). Cost analyses 318 shared similar limitations. Additionally, a few unit costs for resource use in Kosovo (UN Resolution) 319 were proxied by the lowest unit price among the other four participating countries due to absence of 320 an official local data source. Country-specific costs for total resource use per participant and outcomes 321 by group is reported in Appendices 3 and 4, respectively.

In this trial, we observed modest improvements of quality of life measured by three instruments. Only
 the difference in MANSA scores (i.e., the primary clinical effectiveness outcome in the IMPULSE trial)
 between the intervention and control groups was statistically significant [14]. The primary economic
 evaluation relied on QALYs derived from the EQ-5D-5L data as the outcome measure. It should be

noted that the EQ-5D-5L has been criticised for its sensitivity regarding people with psychotic
disorders and severe and complex nonpsychotic disorders [33]. It has been argued that a conditionspecific instrument might be more sensitive in reflecting changes in quality of life in these populations
than a generic instrument like the EQ-5D-5L.

DIALOG+ has previously been applied in community care settings in the UK for patients with psychosis
 [10]. However, the UK study found that the treatment was less costly than standard care, which was
 not in line with the results from our IMPULSE study. The UK study did not collect EQ-5D-5L data, which
 was one of the limitations reported by its authors. We, therefore, were unable to make a direct
 comparison between IMPULSE and the UK study of patients' self-reported EQ-5D-5L and QALYs.

Evidence of cost-effectiveness analyses of treatments for severe mental illness in Southeast Europe is scarce [15]. Treatments are predominantly provided in large psychiatric hospitals with limited community-based alternatives. However, a recently published economic evaluation in the Czech Republic showed that it is cost-effective to discharge patients with chronic psychotic disorders to community care compared with care in psychiatric hospitals [4]. This finding supports one of the aims of introducing DIALOG+ in the LMIC settings, namely, to provide effective and cost-effective mental health treatment for psychotic patients through community-based services.

342 To our knowledge, this study reports the first cost-effectiveness evaluation of implementing (non-343 pharmacological) psychosocial treatments for people with psychosis in Southeast Europe. A strength 344 of this study is the trial data that we collected. The challenges around data collection and lack of 345 country-specific unit cost data in multi-country randomised controlled trials are well documented in 346 the literature [29]. It has widely been observed in economic evaluations of multi-country clinical trials 347 that the analyses applied unit costs from one country to all participating countries due to lack of unit 348 cost data from all individual countries [29, 34]. A concern with this approach is around the possibility 349 of generating biased (over/under) estimates for costs. In IMPULSE trial, we collected resource use and 350 outcomes data at the patient level, as well as country-specific unit costs for each resource item used. 351 This strategy for data collection enabled patient-level data analyses with multi-country costing.

352 This study has several limitations that should be considered. First, there were no country-specific value 353 sets for the three outcome measures (EQ-5D-5L, MANSA, ReQoL-10). Since we observed minimal 354 improvements in QALYs for EQ-5D-5L data, the impact of value set choice on the estimated ICERs 355 could, therefore, be very limited. We reported the results of cost-effectiveness analyses in this paper 356 using ReQoL-10 and MANSA to enable comparisons with future research. Another consideration is 357 around the generalisability of our findings. This issue is well documented for economic evaluations of 358 multi-country randomised controlled trials [29, 35]. We showed different results in cost analyses from 359 the application of the DIALOG+ in the UK [10]. Care should be taken when interpreting our findings to 360 inform decision making in a different context or/and for a different population. A final limitation of 361 the study relates to the COVID-19 pandemic. The trial was designed to last 12 months, but only the 362 first 6 months of data was interpretable due to disruptions in the study's delivery relating to pandemic 363 restrictions [14].

Future research might consider producing value sets or conducting mapping exercises to convert scores from MANSA and ReQoL instruments to health utilities in LMIC settings. Furthermore, we found limited research evidence on country-specific cost-effectiveness thresholds in LMICs [36]. The empirical evidence and methodological research in this area are much needed. Finally, we did not find an agreed approach for estimating country-specific cost-effectiveness of an intervention in multicountry clinical trials. Additional research is required in this area in order to inform policy makers regarding resource allocation decisions at the country-specific level.

371 **5. Conclusion**

372 This paper reports an economic evaluation of the DIALOG+ intervention alongside the IMPULSE trial.

373 Within the trial, DIALOG+ was shown to be more costly and also more effective for patients with

374 psychosis compared with standard care. The probability was low that DIALOG+ was a cost-effective

treatment at the willingness-to-pay threshold of three times the weighted GDP per capita of the five

376 participating countries.

377 Abbreviations

- 378 CI: confidence interval
- 379 CSRI: Client Service Receipt Inventory
- 380 EQ-5D-5L: The 5-level EQ-5D version
- 381 GDP: gross domestic product
- 382 ICD-10: International Classification of Diseases, Tenth Revision
- 383 ICER: incremental cost-effectiveness ratio
- 384 LMICs: low- and middle-income countries
- 385 IMPULSE: Implementation of an effective and cost-effective intervention for patients with psychotic
- 386 disorders in low and middle-income countries in Southeast Europe
- 387 MANSA: Manchester Short Assessment of Quality of Life
- 388 QALY: Quality-Adjusted Life Year
- 389 ReQoL-10: Recovering Quality of Life, a short 10-item version

390

391 References

- Moreno-Küstner B, Martín C, Pastor L. Prevalence of psychotic disorders and its association with methodological issues. A systematic review and meta-analyses. PLoS One. 2018 Apr 12;13(4):e0195687. doi: 10.1371/journal.pone.0195687.
- 395 2. Simon GE, Stewart C, Yarborough BJ, Lynch F, Coleman KJ, Beck A, Operskalski BH, Penfold RB, 396 Hunkeler EM. Mortality Rates After the First Diagnosis of Psychotic Disorder in Adolescents 397 and Young Adults. JAMA Psychiatry. 2018 Mar 1;75(3):254-260. doi: 398 10.1001/jamapsychiatry.2017.4437.
- Rodrigues M, Wiener JC, Stranges S, Ryan BL, Anderson KK. The risk of physical multimorbidity
 in people with psychotic disorders: A systematic review and meta-analysis. J Psychosom Res.
 2021 Jan;140:110315. doi: 10.1016/j.jpsychores.2020.110315.
- Winkler P, Koeser L, Kondrátová L, Broulíková HM, Páv M, Kališová L, Barrett B, McCrone P.
 Cost-effectiveness of care for people with psychosis in the community and psychiatric
 hospitals in the Czech Republic: an economic analysis. Lancet Psychiatry. 2018
 Dec;5(12):1023-1031. doi: 10.1016/S2215-0366(18)30388-2.
- 406 5. mhGAP: Mental Health Gap Action Programme: Scaling Up Care for Mental, Neurological and
 407 Substance Use Disorders. Geneva: World Health Organization; 2008.
- 4086. WorldHealthOrganization.MentalHealthAtlas2014,409https://apps.who.int/iris/handle/10665/178879; [accessed 12 January. 2022].
- 410 7. World Health Organization. Regional Office for Europe, European Observatory on Health
 411 Systems and Policies, McDaid, David, Knapp, Martin & Curran, Claire. (2005). Mental health III:
 412 funding mental health in Europe. World Health Organization. Regional Office for
 413 Europe. https://apps.who.int/iris/handle/10665/107633.
- 414 8. Priebe S, Kelley L, Omer S, Golden E, Walsh S, Khanom H, Kingdon D, Rutterford C, McCrone
 415 P, McCabe R. The Effectiveness of a Patient-Centred Assessment with a Solution-Focused

416		Approach (DIALOG+) for Patients with Psychosis: A Pragmatic Cluster-Randomised Controlled
417		Trial in Community Care. Psychother Psychosom. 2015;84(5):304-13. doi: 10.1159/000430991.
418	9.	Priebe S, McCabe R. The therapeutic relationship in psychiatric settings. Acta Psychiatr Scand
419		Suppl. 2006;(429):69-72. doi: 10.1111/j.1600-0447.2005.00721.x.
420	10.	Priebe S, Golden E, Kingdon D, Omer S, Walsh S, Katevas K, McCrone P, Eldridge S, McCabe R.
421		Effective patient-clinician interaction to improve treatment outcomes for patients with
422		psychosis: a mixed-methods design. Southampton (UK): NIHR Journals Library; 2017 Feb.
423	11.	Matanov A, McNamee P, Akther S, Barber N, Bird V. Acceptability of a technology-supported
424		and solution-tocused intervention (DIALOG+) for chronic depression: views of service users
425		and clinicians. BMC Psychiatry. 2021 May 20;21(1):263. doi: 10.1186/s12888-021-03256-5.
426	12.	van Loggerenberg F, McGrath M, Akena D, Birabwa-Oketcho H, Mendez CAC, Gomez-Restrepo
427		C, Dzubur Kulenović A, Muhić M, Sewankambo NK, Sikira H, Priebe S. Feasibility, experiences
428		and outcomes of using DIALOG+ in primary care to improve quality of life and mental distress
429		of patients with chronic conditions: an exploratory non-controlled trial in Bosnia and
430		Herzegovina, Colombia and Uganda. Pilot Feasibility Stud. 2021 Sep 30;7(1):180. doi:
431	40	10.1186/s40814-021-00914-z.
432	13.	van den Brink R, Wiersma D, Wolters K, Bullenkamp J, Hansson L, Lauber C, Martinez-Leai R,
433		Miccabe R, Rossier W, Salize H, Svensson B, Torres-Gonzales F, Priebe S. Non-uniform
454 425		an international comparison. See Develoiate Develoiate Enidemiol. 2011 Aug: 46(9):695-02. doi:
455		
430	11	10.1007/S00127-010-0255-X.
437	14.	treatment of nations with neuclosis in low-and-middle-income countries
430		in Southeast Europe: results from a hybrid effectiveness implementation pragmatic cluster.
435		randomised clinical trial (IMPLIESE) Accented for publication in Eur Psychiatry, June 2022
440 441	15	Iovanovic N. Francis I. Maric NP. Arenliu A. Bariaktarov S. Kulenovic AD. Injac I. Feng Y.
441	15.	Novotni A Implementing a psychosocial intervention DIALOG+ for nations with psychotic
112		disorders in low and middle income countries in South Eastern Europe: protocol for a hybrid
445		offectiveness implementation cluster randomized clinical trial (IMPLUSE). Clobal Development
444		2020 May(2/1); $82.96 https://doi.org/10.2478/gp.2010.0020$
445	16	ZUZU May, S(1). 83-50. https://doi.org/10.2476/gp-2015-0020.
440	10.	Priede S, Golden E, Kalevas R, Realey P, Miccabe K. DIALOG+ Manual,
447		Inteps.//www.ent.inis.uk/sites/default/lifes/DIALOG%20Wahual%20%282021%29.pdf;
448	47	[accessed 9 July 2022].
449	17.	Omer S, Golden E, Priebe S. Exploring the Mechanisms of a Patient-Centred Assessment with
450		a Solution Focused Approach (DIALOG+) in the Community Treatment of Patients with
451		Psychosis: A Process Evaluation within a Cluster-Randomised Controlled Trial. PLoS One. 2016
452		Feb 9;11(2):e0148415. doi: 10.1371/journal.pone.0148415.
453	18.	Herdman M, Gudex C, Lloyd A, Janssen M, Kind P, Parkin D, Bonsel G, Badia X. Development
454		and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). Qual Life Res. 2011
455		Dec;20(10):1727-36. doi: 10.1007/s11136-011-9903-x.
456	19.	Priebe S, Huxley P, Knight S, Evans S. Application and results of the Manchester Short
457		Assessment of Quality of Life (MANSA). Int J Soc Psychiatry. 1999 Spring;45(1):7-12. doi:
458		10.1177/002076409904500102.
459	20.	Keetharuth AD, Brazier J, Connell J, Bjorner JB, Carlton J, Taylor Buck E, Ricketts T, McKendrick
460		K, Browne J, Croudace T, Barkham M. Recovering Quality of Life (ReQoL): a new generic self-
461		reported outcome measure for use with people experiencing mental health difficulties. Br J
462		Psychiatry. 2018 Jan;212(1):42-49. doi: 10.1192/bjp.2017.10.
463	21.	Golicki D, Jakubczyk M, Graczyk K, Niewada M. Valuation of EQ-5D-5L Health States in Poland:
464		the First EQ-VT-Based Study in Central and Eastern Europe. Pharmacoeconomics. 2019
465		Sep;37(9):1165-1176. doi: 10.1007/s40273-019-00811-7.

466	22.	Matthews JN, Altman DG, Campbell MJ, Royston P. Analysis of serial measurements in medical
467		research. BMJ. 1990 Jan 27;300(6719):230-5. doi: 10.1136/bmj.300.6719.230.
468	23.	Beecham J, Knapp M. Costing psychiatric interventions. In: Thornicroft Graham, editor.
469		Measuring Mental Health Needs (Second Edition), London: Royal College of Psychiatrists; 2001,
470		p. 200-224.
471	24.	Eurostat. Purchasing power parities (PPPs), price level indices and real expenditures and real
472		expenditures for ESA 2010 aggregates,
473		https://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=prc_ppp_ind⟨=en;
474		[accessed 6 April 2021].
475	25.	National Institute for Health and Clinical Excellence. Guide to the Methods of Technology
476		Appraisal 2013, https://www.nice.org.uk/process/pmg9/resources/guide-to-the-methods-
477		of-technology-appraisal-2013-pdf-2007975843781; [accessed 15 February 2021].
478	26.	Briggs AH, Wonderling DE, Mooney CZ. Pulling cost-effectiveness analysis up by its bootstraps:
479		a non-parametric approach to confidence interval estimation. Health Econ. 1997 Jul-
480		Aug;6(4):327-40. doi: 10.1002/(sici)1099-1050(199707)6:4<327::aid-hec282>3.0.co;2-w.
481	27.	Woods B, Revill P, Sculpher M, Claxton K. Country-Level Cost-Effectiveness Thresholds: Initial
482		Estimates and the Need for Further Research. Value in Health. 2016 Dec;19(8):929-935. doi:
483		10.1016/j.jval.2016.02.017.
484	28.	Bertram MY, Lauer JA, De Joncheere K, Edejer T, Hutubessy R, Kieny MP, Hill SR. Cost-
485		effectiveness thresholds: pros and cons. Bull World Health Organ. 2016 Dec 1;94(12):925-930.
486		doi: 10.2471/BLT.15.164418.
487	29.	Oppong R, Jowett S, Roberts TE. Economic Evaluation alongside Multinational Studies: A
488		Systematic Review of Empirical Studies. PLoS One. 2015 Jun 29;10(6):e0131949. doi:
489		10.1371/journal.pone.0131949.
490	30.	Gomes M, Ng ES, Grieve R, Nixon R, Carpenter J, Thompson SG. Developing appropriate
491		methods for cost-effectiveness analysis of cluster randomized trials. Med Decis Making. 2012
492		Mar-Apr;32(2):350-61. doi: 10.1177/0272989X11418372.
493	31.	Willke RJ, Glick HA, Polsky D, Schulman K. Estimating country-specific cost-effectiveness from
494		multinational clinical trials. Health Econ. 1998 Sep;7(6):481-93. doi: 10.1002/(sici)1099-
495		1050(199809)7:6<481::aid-hec353>3.0.co;2-k.
496	32.	StataCorp, L.L.C., Stata Statistical Software. Release 16. College Station, TX. 2019, Stata Press.
497	33.	Brazier J. Is the EQ-5D fit for purpose in mental health? Br J Psychiatry. 2010 Nov;197(5):348-
498		9. doi: 10.1192/bjp.bp.110.082453.
499	34.	Reed SD, Anstrom KJ, Bakhai A, Briggs AH, Califf RM, Cohen DJ, Drummond MF, Glick HA,
500		Gnanasakthy A, Hlatky MA, O'Brien BJ, Torti FM Jr, Tsiatis AA, Willan AR, Mark DB, Schulman
501		KA. Conducting economic evaluations alongside multinational clinical trials: toward a research
502		consensus. Am Heart J. 2005 Mar;149(3):434-43. doi: 10.1016/j.ahj.2004.11.001.
503	35.	Sculpher MJ, Pang FS, Manca A, Drummond MF, Golder S, Urdahl H, Davies LM, Eastwood A.
504		Generalisability in economic evaluation studies in healthcare: a review and case studies.
505		Health Technol Assess. 2004 Dec;8(49):iii-iv, 1-192. doi: 10.3310/hta8490.
506	36.	Vončina L, Strbad T, Fürst J, Dimitrova M, Kamusheva M, Vila M, Mardare I, Hristova K,
507		Harsanyi A, Atanasijević D, Banović I, Bobinac A. Pricing and Reimbursement of Patent-
508		Protected Medicines: Challenges and Lessons from South-Eastern Europe. Appl Health Econ
509		Health Policy. 2021 Nov;19(6):915-927. doi: 10.1007/s40258-021-00678-w.

510 Acknowledgements

511 We are thankful to all the patients and clinicians who participated in the IMPULSE trial. We are also

512 grateful for the useful comments from participants in the IMPULSE trial meetings on the earlier

- 513 versions of this manuscript.
- 514

515 Financial support

The study is funded by the European Commission's Horizon 2020 research and innovation programme
(grant agreement no. 779334). The opinions expressed in this paper are those of the authors, not of
the funder.

519

520 Conflicts of Interest

- 521 The authors declare no conflicts of interest.
- 522

523 Supplementary

- 524 For supplementary material accompanying this paper, visit cambridge.org/EPA.
- 525

526 Data Availability Statement

- 527 The data that support the findings of this study are available from the corresponding author, YF, upon528 reasonable request.
- 529
- 530 Trial registration: ISRCTN11913964
- 531

532 Ethical approval

533 All procedures in the trial were approved by the following six ethics committees including Bosnia and 534 Herzegovina (Klinicki Centar Univerziteta u Sarajevu – Eticki Komitet 03-02-4216, Eticki komitet JU 535 Psihijatriska bolnica Kantona Sarajevo & JU Zavod za bolesti ovisnosti Kantona Sarajevo 02.8 – 408/19); 536 Kosovo (UN Resolution) (Hospital and University Clinical Service of Kosovo – Ethics Committee 2019-537 85); Montenegro (Javna Zdravstvena Ustanova Klinicki Centar Crne Gore – Eticki komitet 03/01 – 538 29304/1, ZU Specijalna Bolnica za Psihijatriju 'Dobrota' Kotor – Eticki komitet, Eticki Komitet JZU Dom 539 Zdravlja 'DR Nika Labovic' Berane 01-47); Republic of North Macedonia (Eticka Komisija za istrazuvanje 540 na luge, Medicinski Fakultet pri UKIM vo Skopje 03-24219); Serbia (Eticka komisija Medicinskog 541 fakulteta u Beogradu 2650/XII-20 and Eticka komisija Specijalne bolnice 'Dr Slavoljub Bakalovic' Vrsac 542 01-36/1); and the United Kingdom (Queen Mary University of London QMREC2204a, 16 October 543 2018).

		Bosnia and Herzegovir	l na	Kosovo (UI	N Resolution)	Monteneg	ro	North Mac	edonia	Serbia	
Resource item	Unit	Unit cost ¹	Data source	Unit cost ¹	Data source	Unit cost ¹	Data source	Unit cost ¹	Data source	Unit cost ¹	Data source
Psychiatric hospital (voluntary)	Day	119.82	HIRI ^{2,4}	21.86	Proxy ³	21.86	HIFM ^{2,5}	35.77	HIFNM ^{2,6}	26.69	LIS ^{2,7}
Psychiatric hospital (involuntary)	Day	119.82	HIRI	21.86	Proxy	21.86	HIFM	35.77	HIFNM	26.69	LIS
Physical hospital	Day	116.69	HIRI	21.86	Proxy	21.86	HIFM	44.71	HIFNM	26.69	LIS
General Practitioner	Visit	10.94	HIRI	4.24	Ргоху	12.04	HIFM	4.24	HIFNM	4.90	LIS
Psychiatrist	Visit	16.67	HIRI	9.16	Ргоху	27.85	HIFM	74.51	HIFNM	9.16	LIS
Psychologist	Visit	22.40	HIRI	21.98	Ргоху	21.98	HIFM	33.53	HIFNM	35.40	LIS
Dentist	Visit	4.69	HIRI	4.69	Proxy	18.87	HIFM	63.33	HIFNM	5.57	LIS
Emergency service	Visit	15.63	HIRI	15.63	Ргоху	17.41	HIFM	29.06	HIFNM	41.31	WHO Choice
Other mental health professional	Visit	17.30	HIRI	12.46	Proxy	12.46	HIFM	50.51	WHO Choice ^{2,8}	43.05	WHO Choice
Other specialist doctor	Visit	8.17	HIRI	8.17	Proxy	11.61	HIFM	48.49	WHO Choice	41.31	WHO Choice
Lost work by patients at baseline	Daily income	35.81	World Bank ⁹	35.81	Proxy	57.89	World Bank	39.58	World Bank	44.22	World Bank
Medicine at baseline	Patient	407.58	CRF ²	130.86	CRF	286.29	CRF	308.31	CRF	1393.29	CRF
DIALOG+ clinician	Hourly rate	12.28	HEI ²	3.34	HEI	16.74	HEI	24.44	HEI	12.43	HEI

Appendix 1: Summary of main resources and unit costs (euros) by country, adjusted for Purchasing Power Parity

Standard care	Hourly	13.54	HEI	3.71	HEI	17.37	HEI	32.06	HEI	9.96	HEI
clinician	rate										

1: Unit costs were Purchasing Power Parity (EU28=1) adjusted.

2: CRF: Case Report Form; HEI: Health Economics Inventory form; HIRI: Health Insurance and Reinsurance Institute of the Federation of Bosnia and Herzegovina; HIFM: Health Insurance Fund of Montenegro; HIFNM: Health Insurance Fund of Republic of North Macedonia; WHO: World Health Organization; LIS: Legal Information System of the Republic of Serbia.

3: In absence of official data source, unit costs for Kosovo (UN Resolution) were derived using the lowest unit price among the other four participating countries in the trial.

4: Data source: http://www.zzofbih.ba/bs/dokument/tarifnik/68. Last accessed 12 December 2020.

5: Data source: <u>https://fzocg.me/davaoci_zdravstvenih_usluga.php?type=prices2.</u> Last accessed 12 December 2020.

6: Data source: <u>http://www.fzo.org.mk/default-en.asp</u>. Last accessed 12 December 2020.

7: Data source: <u>http://www.pravno-informacioni-sistem.rs/SlGlasnikPortal/eli/rep/sgrs/drugidrzavniorganiorganizacije/pravilnik/2019/55/2/reg</u>. Last accessed 12 December 2020.

8: Data source: https://www.who.int/choice/cost-effectiveness/inputs/health_service/en/. Last accessed 12 December 2020.

9. Data source: https://data.worldbank.org/indicator/NY.ADJ.NNTY.PC.CD. Last accessed 17 February 2021.

Appendix 2: Mean costs (euros) for resource use over 6 months before baseline by group, adjusted for Purchasing Power Parity

	DIALOG+ intervention (N=236) ¹		Standard (N=232) ¹	care	Difference (no adjustment) ²
	N	Mean (SD)	N	Mean (SD)	Difference (P value) (95% CI)
Inpatient service					
Voluntary admission to psychiatric	236	676.76	232	392.49	284.27
hospital (days)		(1596.50)		(1511.86)	(-5.86, 562.59)
Involuntary admission to	236	206.60	232	96.07	110.53
psychiatric hospital (days)		(1057.21)		(611.65)	(-45.04, 271.58)
Admission to hospital for physical	236	6.06	232	29.81	-23.75
health (days)		(60.17)		(271.44)	(-71.56, 3.18)
Sub total	236	889.42	232	518.36	371.06
		(2387.47)		(1960.70)	(-40.63, 762.05)
Primary/community service ³					
General Practitioner	234	25.52	231	30.77	-5.26
		(40.92)		(38.59)	(-12.17, 1.89)
Psychiatrist	232	139.45	231	118.09	21.36
		(231.43)		(138.96)	(-11.06, 60.32)
Psychologist	235	20.00	231	53.73	-33.73
		(73.99)		(285.27)	(-76.16, 1.13)
Dentist	236	16.84	230	20.38	-3.54
		(60.90)		(92.52)	(-21.63, 9.40)
Emergency services	211	2.67	198	2.68	-0.01
		(9.06)		(9.64)	(-2.09, 1.74)
Other mental health professional	236	42.72	230	52.78	-10.05
		(133.80)		(180.20)	(-40.27, 18.87)
Other specialist doctor	236	16.86	232	11.30	5.56
		(49.36)		(29.99)	(-1.45, 12.78)
Sub total	206	211.90	195	264.42	-52.52
		(225.61)		(430.25)	(-119.83, 10.15)

Patients' other costs									
Lost work by patients	232	141.19	230	248.61	-107.42				
		(813.86)		(1310.80)	(-332.78, 76.63)				
Medicine	236	332.16	232	482.09	-149.93				
		(577.65)		(2411.37)	(-579.02, 65.93)				
Total costs with productivity lost	203	1640.46	193	1633.08	7.38				
		(2789.70)		(3691.84)	(-653.80, 661.01)				
Total costs without productivity lost	206	1478.06	195	1357.47	120.59				
		(2628.71)		(3332.29)	(-511.82, 678.01)				

1: N refers to the number of participants who responded to each question.

2: Independent t-tests are reported; CI was produced using bootstrapping method with 1,000 replications; * P value is <0.05.

3: Those contacts do not include care that participants received in the IMPULSE trial.

Appendix 3: Mean costs (euros) for resource use over 6 months before and 6 months after randomisation by country and group, adjusted for Purchasing Power Parity

	6 months after	randomisation	6 months before randomisation			
	DIALOG+	Standard care	DIALOG+	Standard care		
	intervention		intervention			
Bosnia and Herzegovina	627.10	466.26	2327.47	2162.24		
Kosovo (UN Resolution)	331.51	548.52	604.68	634.92		
Montenegro	552.87	445.20	1761.03	653.23		
North Macedonia	643.02	538.20	644.53	1462.26		
Serbia	728.87	510.20	1632.55	2444.61		

	EQ-5D-5L inc	dex scores	MANS	A scores	ReQoL-10 sum scores		
	DIALOG+	Standard	DIALOG+	Standard	DIALOG+	Standard	
	intervention	care	intervention	care	intervention	care	
Bosnia and Herzegovina							
At baseline	0.926	0.970	4.898	5.036	30.325	30.951	
At 6 months	0.964	0.961	4.901	4.912	27.757	29.769	
Kosovo (UN Resolution)							
At baseline	0.829	0.880	4.190	4.128	23.788	23.294	
At 6 months	0.922	0.927	4.775	4.519	27.213	25.044	
Montenegro							
At baseline	0.891	0.933	4.332	4.604	23.677	25.233	
At 6 months	0.932	0.942	4.650	4.654	25.378	25.632	
North Macedonia							
At baseline	0.921	0.943	4.715	4.774	27.341	29.024	
At 6 months	0.950	0.948	4.979	4.732	28.600	29.171	
Serbia							
At baseline	0.906	0.915	4.427	4.197	24.805	20.385	
At 6 months	0.907	0.890	4.935	4.424	27.162	21.056	

Appendix 4: Comparisons of EQ-5D-5L index scores, MANSA scores, and ReQoL-10 sum scores by country and group



Figure 1: Cost-effectiveness plane (1,000 iterations)





1

	DIALOG+	Standard care	Overall sample
	intervention	(N=232)	(N=468)
	(N=236)		
Age in years (Mean, SD)	44.34 (11.09)	40.81 (11.26)	42.59 (11.30)
Sex (% female)	103 (43.64%)	111 (47.84%)	214 (45.73%)
Countries (N, %)			
Bosnia and Herzegovina	40 (16.95%)	41 (17.67%)	81 (17.31%)
Kosovo (UN Resolution)	52 (22.03%)	51 (21.98%)	103 (22.01%)
Montenegro	62 (26.27%)	60 (25.86%)	122 (26.07%)
North Macedonia	41 (17.37%)	41 (17.67%)	82 (17.52%)
Serbia	41 (17.37%)	39 (16.81%)	80 (17.09%)
Marital status (N, %)			
Single	121 (51.27%)	133 (57.33%)	254 (54.27%)
Married/Co-living/Any partnership	66 (27.97%)	59 (25.43%)	125 (26.71%)
Separated/Divorced	38 (16.10%)	37 (15.95%)	75 (16.03%)
Widow/Widower	11 (4.66%)	3 (1.29%)	14 (2.99%)
Educational level (N, %)			
Less than elementary school	2 (0.85%)	7 (3.02%)	9 (1.92%)
Elementary school graduate	49 (20.76%)	30 (12.93%)	79 (16.88%)
High school graduate	139 (58.90%)	144 (62.07%)	283 (60.47%)
University/College graduate	40 (16.95%)	45 (19.40%)	85 (18.16%)
Postgraduate/professional qualification	4 (1.69%)	4 (1.72%)	8 (1.71%)
Other qualification	2 (0.85%)	2 (0.86%)	4 (0.85%)
Employment status (N, %) ¹			
Paid employment	29 (12.29%)	39 (16.81%)	68 (14.56%)
Sheltered employment	1 (0.42%)	1 (0.43%)	2 (0.43%)
Training/Education	7 (2.97%)	13 (5.60%)	20 (4.28%)
Unemployed	140 (59.32%)	139 (59.91%)	279 (59.74%)
Retired	54 (22.88%)	39 (16.81%)	93 (19.91%)
Other	4 (1.69%)	1 (0.43%)	5 (1.07%)
State benefits (N, %) ²			
No	128 (54.24%)	138 (59.48%)	266 (56.84%)
Yes	106 (44.92%)	90 (38.79%)	196 (41.88%)

Table 1: Baseline characteristics of trial participants by group for five participating countries

1: There is one observation missing in the DIALOG+ group with N = 235.

2: There are two observations missing in the DIALOG+ group with N = 234 and four observations missing in the standard care group with N = 228.

	DIALO	G+ intervention	Standa	ard care
	(N=23	6)	(N=23	2)
	N ¹	Mean (SD)	N ¹	Mean (SD)
		[min, max]		[min, max]
Inpatient service				
Voluntary admission to psychiatric	206	2.00 (14.15)	218	1.20 (7.03)
hospital (days)		[0, 180]		[0, 60]
Involuntary admission to	206	0.54 (4.41)	218	0.06 (0.62)
psychiatric hospital (days)		[0, 54]		[0, 7]
Admission to hospital for physical	206	0.19 (1.50)	218	0.05 (0.50)
health (days)		[0, 15]		[0, 7]
Primary/community service ²	·	·		·
General Practitioner (visits)	206	3.31 (4.81)	218	3.41 (3.80)
		[0, 48]		[0, 22]
Psychiatrist (visits)	206	2.53 (3.25)	218	1.73 (2.77)
		[0, 19]		[0, 24]
Psychologist (visits)	206	0.80 (3.39)	218	1.42 (8.15)
		[0, 24]		[0, 96]
Dentist (visits)	205	0.55 (1.71)	218	0.70 (1.62)
		[0, 20]		[0,10]
Emergency service (visits)	205	0.08 (0.38)	214	0.09 (0.51)
		[0, 3]		[0, 5]
Other mental health professional	206	1.77 (5.51)	218	5.41 (17.46)
(visits)		[0, 48]		[0, 120]
Other specialist doctor (visits)	205	0.65 (2.56)	218	0.53 (1.44)
		[0, 24]		[0, 12]
Patients' other costs	•	1	•	
Lost work as physical health (days)	196	1.16 (8.40)	198	0.35 (2.45)
		[0, 90]		[0, 30]
Lost work as mental health (days)	197	2.54 (18.66)	198	1.21 (13.00)
		[0, 180]		[0, 180]
Medicine (euros)	206	237.23 (234.34)	218	243.35 (509.97)
		[0 1598 10]		[0 6169 04]

Table 2: Mean resource use	in quantities over the firs	st 6 months of the trial by group
----------------------------	-----------------------------	-----------------------------------

1: N refers to the number of participants who responded to each question.[0, 6169.04]

2: Those contacts do not include care that participants received in the IMPULSE trial.

Table 3: Mean costs (euros) for resource use over the first 6 months of the trial by group with Purchasing Power Parity adjusted

	DIALOG+ intervention		Standar	d care	Difference	Difference
	(N=236)		(N=232)		(no adjustment) ¹	(with adjustment) ²
	N ³	Mean	N ³	Mean	Difference	Difference
		(SD)		(SD)	(95% CI)	(95% CI)
Inpatient service		ŀ		·		
Voluntary admission to psychiatric	206	52.40	218	32.78	19.62	4.58
hospital (days)		(377.41)		(196.95)	(-30.32,91.52)	(-42.70, 76.63)
Involuntary admission to	206	11.89	218	1.30	10.58	11.35
psychiatric hospital (days)		(96.50)		(13.62)	(0.82, 28.24)	(-0.20, 29.94)
Admission to hospital for physical	206	7.70	218	1.00	6.69	9.12
health (days)		(62.84)		(10.86)	(0.39, 17.30)	(-0.98, 22.68)
Sub total	206	71.98	218	35.09	36.89	29.45
		(392.17)		(197.33)	(-13.51, 103.08)	(-21.42, 106.93)
Primary/community service ⁴	1	·		·		
General Practitioner	206	27.01	218	28.82	-1.81	0.29
		(42.49)		(42.60)	(-9.49, 6.41)	(-6.58, 7.15)
Psychiatrist	206	64.29	218	36.27	28.02*	23.92*
		(128.22)		(68.16)	(10.02, 48.20)	(9.71, 40.64)
Psychologist	206	19.83	218	33.84	-14.01	-19.69
		(80.18)		(185.03)	(-44.21, 7.45)	(-48.72, 5.12)
Dentist	205	8.71	218	15.60	-6.89	-3.75
		(27.02)		(56.77)	(-18.22, -0.03)	(-9.41, 1.56)
Emergency services	205	1.80	214	1.62	0.19	0.31
		(8.48)		(8.92)	(-1.52, 1.83)	(-1.52, 1.84)
Other mental health professional	206	22.02	218	72.11	-50.09*	-52.33*
		(68.62)		(231.14)	(-86.04, -20.00)	(-83.94, -25.13)
Other specialist doctor	205	15.07	218	11.99	3.09	2.70
		(65.81)		(29.94)	(-5.08, 14.01)	(-6.70, 14.87)
Sub total	205	158.63	214	202.94	-44.31	-50.08

		(202.81)		(362.18)	(-106.03, 5.60)	(-105.06, 3.90)
Patients' other costs	1	ł	I		I	1
Lost work by patients	196	169.28	198	81.35	87.93	106.81
		(1125.73)		(753.46)	(-107.38, 289.20)	(-84.55, 307.61)
Medication	206	237.23	218	243.35	-6.12	37.03
		(234.34)		(509.97)	(-92.56, 55.65)	(-40.88, 78.90)
DIALOG+/Standard care treatments						
DIALOG+ training	236	14.69	-	-	-	-
		(9.13)				
Other staff support for DIALOG+	236	1.24	-	-	-	-
		(2.42)				
Provision of DIALOG+/standard care	236	50.92	232	20.22	-	-
		(62.63)		(21.14)		
Other equipment	236	6.59	232	0.04	-	-
		(9.02)		(0.08)		
Other key resources	236	17.66	232	0.61	-	-
		(10.94)		(1.14)		
Sub total	236	91.11	232	20.87	-	-
		(62.86)		(20.71)		
Total costs with productivity lost	195	714.49	194	584.44	130.05	154.65
		(1247.26)		(986.27)	(-81.79, 352.24)	(-110.94, 422.73)
Total costs without productivity lost	205	565.95	214	497.78	68.17	98.42
		(516.45)		(642.55)	(-54.26, 168.60)	(-29.49, 208.30)

1: Independent t-tests are reported; 95% CI was produced using bootstrapping method with 1,000 replications; * P value is <0.05.

2: Mixed-effect model with baseline cost and covariates (patients' age, ICD code, and clinicians' profession) controlled. 95% CI was produced using

bootstrapping replication for 1,000 times with bias corrected. * P value is <0.05.

3: N refers to the number of participants who responded to each question.

4: Those contacts do not include care that participants received in the IMPULSE trial.

|--|

	DIALOG+ intervention (N=236)		Standard care (N=232)		Difference (no adjustment) ¹	Difference (with adjustment) ²
	N ³	Mean (SD)	N ³	Mean (SD)	Difference	Difference
		[min, max]		[min, max]	(95% CI)	(95% CI)
EQ-5D-5L				•	•	
Index at baseline	235	0.891 (0.16)	232	0.927 (0.13)	-0.0351*	-
		[0.173, 1]		[0.008, 1]	(-0.0609, -0.0088)	
Index at 6 months	206	0.934 (0.13)	218	0.935 (0.12)	-0.0005	0.0140
		[-0.141, 1]		[0.075, 1]	(-0.0290, 0.0190)	(-0.0083, 0.0355)
QALYs over 6 months ⁴	206	0.458 (0.06)	218	0.465 (0.050)	-0.0074	0.0035
		[0.095, 0.5]		[0.195, 0.5]	(-0.0190, 0.0027)	(-0.0021, 0.0089)
MANSA						
At baseline	236	4.480 (0.95)	232	4.537 (0.96)	-0.0576	-
		[1.917, 7]		[1.083, 6.833]	(-0.2304, 0.1242)	
At 6 months	206	4.839 (0.98)	218	4.649 (0.97)	0.1896*	0.1810*
		[2, 6.917]		[1, 7]	(0.0061, 0.3645)	(0.0315, 0.3158)
ReQoL-10						
At baseline	236	25.661 (8.13)	232	25.672 (8.51)	-0.0114	-
		[1, 40]		[2, 40]	(-1.6213, 1.3952)	
At 6 months	206	27.170 (7.88)	218	26.161 (8.31)	1.0094	0.7237
		[2, 40]		[3, 40]	(-0.6621, 2.4348)	(-0.2798, 1.9375)

1: Independent t-tests are reported; 95% CI was produced using bootstrapping method with 1,000 replications; * P value is <0.05.

2: Mixed-effect model with baseline outcome measure and covariates (patients' age, ICD code, and clinicians' profession) controlled. 95% CI was produced using bootstrapping replication for 1,000 times with bias corrected. * P value is <0.05.

3: N refers to the number of participants who responded to each question.

4: Formula used to calculate QALYs over 6 months: QALY = 0.25 X (index at baseline + index at 6 months).

Table 5: Cost-effectiveness analysis for point estimate of the ICER and sensitivity analyses

	Differences	ICER ¹	One to three times GDP				
	(95% CI)		per capita in euros ^{2, 3}				
Base case analysis (EQ-	Base case analysis (EQ-5D-5L at 6 months)						
Costs	84.17	€26,347.61	4,587 – 13,761				
	(-8.18, 176.52)						
Outcomes	0.0032						
	(-0.0015, 0.0079)						
Sensitivity analysis 1 (C	omplete case analysis)	1	1				
Costs	98.42	€28,062.05	4,587 – 13,761				
	(-48.08, 244.91)	_					
Outcomes	0.0035						
	(-0.0031, 0.0101)						
Sensitivity analysis 2 (S	eemingly Unrelated Regressi	on)	1				
Costs	66.09	€19,667.97	4,587 – 13,761				
	(-44.86, 177.05)	_					
Outcomes	0.0034						
	(-0.0024, 0.0091)						
Sensitivity analysis 3.1	(minimum drug price)						
Costs	63.18	€18,649.54	4,587 – 13,761				
	(-68.37, 194.73)	_					
Outcomes	0.0034						
	(-0.0031, 0.0099)						
Sensitivity analysis 3.2	(maximum drug price)	622 767 02	4.507 42.764				
Costs	/8.86	€22,/67.93	4,587 - 13,761				
Outcomos	(-71.41, 229.14)	_					
Outcomes							
Sonsitivity analysis A (s	ciotal perspective)						
Costs		£21 202 61	1 597 - 12 761				
COSIS	(-136 19 347 15)	£31,303.01	4,387 - 13,701				
Outcomes	0.0034	-					
Outcomes	(-0.0031, 0.0099)						
Sensitivity analysis 5 (R	= 0.0001, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.00000, 0.0000	e)					
Costs	85 30	€ , €119.02					
	(-45 63 216 22)	0113.02					
Outcomes	0.72	_					
	(-0.4880, 1.9212)						
Sensitivity analysis 6 (MANSA as outcome measure)							
Costs	89.06	€523.53					
	(-41.91, 220.03)						
Outcomes	0.17						
	(0.01, 0.33)						
Sensitivity analysis 7.1 ⁴							
Bosnia perspective		€22,464.30	4,199 – 12,597				
Sensitivity analysis 7.2	4						
Kosovo (UN Resolution) perspective		Dominant	3,036 - 9,108				
Sensitivity analysis 7.3	4	1					

Montenegro perspective	€30,514.02	6,124 - 18,372
Sensitivity analysis 7.4		
North Macedonia perspective	€61,293.59	4,139 – 12,417
Sensitivity analysis 7.5	· ·	· ·
Serbia perspective	€47,205.13	5,095 – 15,285

1: Measure for outcomes was ReQol-10 sum scores in sensitivity analysis 5 and MANSA scores in sensitivity analysis 6. Outcome measure for all other analyses in Table 5 used QALYs.

2: For base case analysis and sensitivity analyses 1 to 4, GDP per capita was calculated as the weighted GDP per capita of the five participating countries. The weights were proportions of participants from each country out of the total trial sample size. The formula used was: $($4198.69 \times 17.31 + $3036.39 \times 22.01 + $4139.38 \times 17.52 + $6123.57 \times 26.07 + $5094.54 \times 17.09)/100 = $4,587$. Three times of the GDP per capita was therefore calculated using \$4,587 \times 3 = \$13,761.

3: For sensitivity analyses 7.1 to 7.5, GDP per capita was country-specific.

4: For sensitivity analyses 7.1 to 7.5, we ran two regressions for each analysis including a structural cost regression and a QALY outcome regression. Country-perspective ICER was calculated using coefficients from three interactions terms of the two regressions. We followed the method proposed by Willke et al (1998).