# Device-related complications in the subcutaneous and transvenous ICD: a secondary analysis of the PRAETORIAN trial

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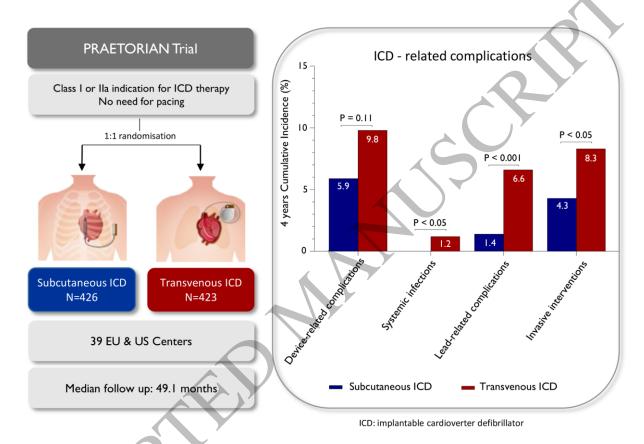
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Structured Graphical Abstract 160x108 mm (.58 x DPI)

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1	Introd	luction

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Implantable cardioverter-defibrillator (ICD) therapy is an effective therapy for the prevention of sudden cardiac death, but comes with the risk of device-related complications (1-4). At 10 years post implant, the risk of lead failure can be as high as 25% in patients with a transvenous ICD (TV-ICD)(5). The subcutaneous ICD (S-ICD) is a completely extravascular ICD and was developed to overcome leadrelated complications (6). Currently, the S-ICD is considered a safe and viable alternative for TV-ICD therapy (7-9). Registries have shown that S-ICD therapy is associated with a complication rate of up to 15% in 5-year follow-up compared to 14% in the TV-ICD (4, 9-12). However, the morbidity and clinical relevance of complications in S-ICD patients differ compared to complications related to TV-ICD patients (13).The PRAETORIAN trial is the first randomised controlled trial comparing the S-ICD and TV-ICD and demonstrated that the S-ICD is non-inferior to the TV-ICD with regard to the composite endpoint of device-related complications and inappropriate shocks in a general ICD population (14). In this primary analysis, device-related complications alone were shown to be not statistically different between S-ICD and TV-ICD. Since device-related complications after device implantation vary greatly in morbidity and clinical relevance, this prespecified secondary analysis of the PRAETORIAN trial analyses the type, nature and timing of complications and evaluates the clinical consequences in patients implanted with an S-ICD or TV-ICD.

20 Methods

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22 Design and population of the PRAETORIAN trial

The PRAETORIAN trial is an international, multicenter, randomised controlled trial in which 849 patients were randomised 1:1 to receive an S-ICD or TV-ICD. Rationale and design of the PRAETORIAN trial were described in length elsewhere (15). In brief, patients with a class I or IIa indication for ICD therapy

and without the need for bradycardia pacing or cardiac resynchronisation therapy were included from March 2011 until January 2017 and randomised to S-ICD or TV-ICD therapy. Key exclusion criteria were a known ventricular tachycardia (VT) below 170 bpm or therapy refractory monomorphic VT. A dual chamber device that is specifically deemed necessary for arrhythmia discrimination was allowed per protocol. The primary endpoint was the composite of inappropriate shocks and device-related complications. Patients were followed for a median of 49 months. ICD programming was mandated by protocol in both arms. All complications were collected, monitored and adjudicated by a clinical events committee (CEC). All participating centers were required to have ample experience with implanting both devices. Implant procedure and follow-up were per local routine. The PRAETORIAN study protocol was approved by the institutional medical ethics committees and all patients provided written informed consent.

# Endpoints and definitions

In this prespecified secondary analysis all complications adjudicated by the CEC as device-related were analysed. Device-related complications included: device-related infections that resulted in a lead or generator extraction; pocket bleedings - also called pocket hematoma - that required drain insertion, blood transfusion or prolonged hospitalisation; device-related thrombotic events; pneumothorax or hemothorax that led to intervention or prolongation of hospitalisation; lead perforation; tamponade; lead repositioning and other complications related to the lead or generator that led to medical or surgical intervention. Generator replacements due to normal battery depletion were not included as device-related complications.

Lead-related complications were defined as complications directly caused by insertion or chronic placement of the ICD lead, or leading to extraction, repositioning or replacement of the ICD lead. Systemic infections were defined as infections with positive blood cultures and sepsis was defined as a dysregulated host response to infection causing life-threatening organ dysfunction (16). Acute

- 1 complications were defined as device-related complications occurring within  $\leq$  30 days and late
- 2 complications were defined as complications occurring more than 30 days after device implantation.
- 3 Procedure-related complications were defined as complications within 30 days including device-related
- 4 bleeding, thrombotic event, defibrillation test failure, perforation, tamponade, pneumothorax and
- 5 implantation failure. Lead and/or device repositioning, lead and/or device replacements, device
- 6 extractions, pocket explorations or drain insertions after initial implantation or implantation attempt were
- 7 considered invasive interventions.

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Statistical analysis

10 All data were analysed using an intention-to-treat analysis. An as-treated analysis was also performed for

lead-related complications. Descriptive statistics are reported as mean  $\pm$  standard deviation or median with

interquartile range (IQR) for continuous variables and numbers and percentages for categorical variables.

Baseline variables were compared using the Fisher exact test,  $\chi^2$  test, Student t-test, or Mann-Whitney U

test, as appropriate. For time to event variables, Kaplan-Meier curves displaying the pattern of events were

constructed and 48-month Kaplan-Meier estimates of the event rate are reported for both study groups and

compared using log-rank tests. Participants without events were censored at their last known event-free

time point. Hazard ratios (HRs) and 95% confidence intervals (CIs) were calculated by Cox proportional

hazard models. The proportional hazard assumptions were assessed by scaled Schoenfeld residuals and

visually comparing the plot of the log of cumulative hazard between treatments. Univariable and

multivariable Cox proportional hazard models were performed to find predictors for device-related

complications and device-related complications requiring invasive interventions. Two-sided P-values

<0.05 were considered statistically significant. P-values were not adjusted for multiplicity. All statistical

analyses were performed using R software version 4.0.3 (RStudio PBC).

#### 1 Results

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Patient characteristics

3 A total of 849 patients were randomised to an S-ICD (N=426) or TV-ICD (N=423). Details and primary results of the PRAETORIAN trial are published elsewhere (14). Baseline characteristics of the total cohort 4 5 are indicated in Table S1. In short, median age was 63 years (IQR, 54-69 years) in the S-ICD group and 6 64 years (IQR, 56-70 years) in the TV-ICD group, 20.9% were female in the S-ICD group and 18.4% in 7 the TV-ICD group, 67.8% had an ischemic cardiomyopathy in the S-ICD group and 70.4% in the TV-ICD group. In the S-ICD group 81.2% and in the TV-ICD group 80.1% received the ICD for primary 8 prevention. The median follow-up time was 48.0 months in the S-ICD group and 50.6 months in the TV-9 ICD group. Implant experience per implanter, median implantation duration, incision technique, use of 10 prophylactic antibiotics, use of general anaesthesia and defibrillation test (DFT) performance are given in 11 12 Table S2. Thirty-one patients in S-ICD group experienced a total of 36 device-related complications and 44 patients 13 in the TV-ICD group had a total of 49 device-related complications. There was no statistical difference in 14 the total number of patients experiencing a device-related complication in the S-ICD group and TV-ICD 15 16 group (48-month Kaplan-Meier estimated cumulative incidence, 5.9% and 9.8%, respectively; HR, 0.69 [95% CI 0.44-1.09]; P=0.11, Figure 1). In the TV-ICD group 3 (6.8%) patients had a dual chamber ICD 17 implanted and 2 (4.5%) patients had a CRT-D implanted. In the S-ICD group one patient had a dual 18 19 chamber TV-ICD (3.2%) at the time of experiencing a device-related complication. Four patients in the S-ICD group and 5 patients in the TV-ICD group experienced more than one device-related complication 20 21 during follow up (Table S3). Median time from initial implant to first device-related complication was 11 22 days (IQR 1-1218 days) in the S-ICD group and 41 days (IQR 2-736 days) in the TV-ICD group (P=0.78). 23 The median age of patients experiencing a complication in the S-ICD group was 65 years (IQR, 58-69 24 years) and 62 years (IQR, 56-70) in the TV-ICD group. The characteristics of patients with device-related complications were similar in both arms except for the history of atrial fibrillation (AF) which was 25

significantly higher in the S-ICD group (41.9% vs. 6.8%, P = < 0.001, Table 1). A comparison of the

baseline characteristics of patients with and without device-related complications in both groups is shown

in Table S4. A history of AF was a positive predictor for device-related complications in the S-ICD group

and a negative predictor in the TV-ICD group (Table S5). Body mass index (BMI) in the S-ICD group and

a history of AF in the TV-ICD group were independent predictors for device-related complications

6 requiring an invasive intervention (Table S6).

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*Type of device-related complications* 

The most common device-related complications in the S-ICD group were pocket bleedings (8/36) whereas

lead dysfunctions (9/49) and infections (8/49) were most prominent in the TV-ICD group (Table 2). In the

S-ICD group, 3 out of 8 patients with a pocket bleeding had a history of AF. In 5 out of 8 patients (62.5%)

in the TV-ICD group the infection was systemic with severe morbidity, with three patients having a

sepsis, one critically ill patient with a concomitant pulmonary embolism, and one patient with a multifocal

pneumonia with acute respiratory failure and need for mechanical ventilation. One of the patients in the

TV-ICD group with a pocket infection without systemic involvement did not receive prophylactic

antibiotics. Two patients with a systemic infection in the TV-ICD group died within 12 months after

device infection. In the S-ICD group four patients (12.9%) experienced a device-related infection, of

whom none had a systemic infection (P=0.03, Figure 1 and Table 3), and none died within 12 months after

device infection.

20 A total of 2 out of 36 patients in the S-ICD group underwent a pulse generator replacement before

experiencing a device-related complication. In one of these patients the device-related complication was

related to the replacement procedure. Two patients in the S-ICD group had a crossover to a TV-ICD

before the device-related complication occurred, due to a non-systemic infection and a sensing issue,

respectively. Both patients experienced a lead-related complication after crossover (Table S4). In the TV-

- 1 ICD group one patient underwent a pulse generator replacement and had a device-related complication
- 2 related to this replacement procedure. One patient in the TV-ICD group developed a pacing indication 13
- 3 months after having a crossover to an S-ICD due to TV-ICD implant failure (Table S4 and Table S7).
- 4 Lead-related complications
- 5 Significantly less patients experienced lead-related complications in the S-ICD group (48-month Kaplan-
- 6 Meier estimated cumulative incidence 1.4% and 6.6%, respectively; HR 0.24; 95% CI 0.10-0.54; P<0.001,
- 7 Figure 1 and Table 3). In the TV-ICD group, 29 patients had 32 lead-related complications compared to 7
- 8 patients with 7 lead-related complications in the S-ICD group. Three patients in the TV-ICD group with
- 9 multiple leads experienced a total of 4 lead-related complications; 2 lead replacements were due to
- dysfunction of the right ventricular lead and 1 tamponade and 1 pneumothorax occurred in patients with a
- 11 CRT-D. Table S8 shows an overview of all lead-related complications. In total 4 drain insertions were
- 12 performed as an intervention after a lead-related complication of which none were associated with a
- 13 subsequent infection. Two out of seven patients in the S-ICD group experienced a lead-related
- complication while having a TV-ICD, one was a sensing issue and one was an atrial lead dislocation after
- having a dual chamber ICD implanted. The as-treated analysis showed five lead-related complications in
- five (1.0%) patients with an S-ICD and 34 lead-related complications in 31 (7.0%) patients with a TV-
- 17 ICD (*P*<0.001).

- 19 Acute and late device-related complications
- Eighteen out of 36 (50.0%) and 21 out of 49 (42.9%) of the device-related complications were acute
- 21 complications in the S-ICD and TV-ICD group, respectively (Table 4). In the S-ICD group, pocket
- bleedings (7/18) were the most frequent type of acute complication, while in the TV-ICD group lead
- repositioning was most frequent (6/21) (Table 4). Of the acute complications 11 (61.1%) in the S-ICD
- group and 13 (61.9%) in the TV-ICD group were procedure-related and four (22.2%) in the S-ICD group
- and 16 (76.2%) in the TV-ICD group were lead-related. The most frequent late complication in the S-ICD
- 26 group was the development of a pacing indication (5/18) and in the TV-ICD group a lead replacement

- 1 (8/28) followed by a device infection (7/28) (Table 4). All five patients in the S-ICD group developed a
- 2 pacing indication more than one year after device implantation (Table S9). In 2 of these patients a single-
- 3 chamber pacemaker was implanted, while in the other 3 patients the S-ICD was exchanged for a TV-ICD
- 4 or CRT-D (Table S7). Half of the total complications occurred in the first 3 months after implantation in
- 5 both arms (S-ICD 50.0% and TV-ICD 51.0%, Table S9).
- 6 Interventions
- 7 Patients in the S-ICD group underwent significantly less often invasive interventions as a result of device-
- 8 related complications compared to the TV-ICD group (48-month Kaplan-Meier estimated cumulative
- 9 incidence 4.3% vs. 8.3%, HR 0.59; 95% CI 0.35-0.99 *P*=0.047, Figure 1 and Table 3). An overview of the
- specific interventions per type of device-related complication is shown in Figure 2. In total 25 out of 36
- device-related complications in the S-ICD group and 42 out of 49 device-related complications in the TV-
- 12 ICD group required an invasive intervention (Table 5). The most common invasive intervention in the S-
- 13 ICD group was a crossover (11/25) and in the TV-ICD group a lead replacement (9/42). The most
- 14 frequent reason for crossover in the S-ICD group was the development of a pacing indication (5/11)
- 15 followed by an infection (3/11). In the TV-ICD group the most common reason for crossover was an
- infection (3/6)(Table S7).
- 17 In the TV-ICD group, 7 out of 8 patients required intravenous antibiotics due to a device-related infection.
- 18 In the S-ICD group all patients with an infection were treated with oral antibiotics. In 3 out of 8 patients in
- 19 the TV-ICD group with an infection, the infection occurred within one year after implantation and a lead
- 20 explantation was performed. In the remaining five patients a lead extraction was performed with and
- 21 without the use of powered sheaths. After extraction of the S-ICD following infection, an S-ICD was re-
- 22 implanted in one patient and in the three other patients a TV-ICD was implanted. After extraction of the
- 23 TV-ICD following infection, three patients received an S-ICD, three patients underwent a re-implantation
- 24 of a TV-ICD and in two patients the device was extracted without replacement (Figure 2).

#### 1 Hospitalisation and healthcare burden

2 A new hospitalisation was required for 24 out of 36 (66.7%) device-related complications in 23 patients in

3 the S-ICD group and for 34 out of 49 (69.4%) device-related complications in 33 patients in the TV-ICD

group (Figure 3). In the TV-ICD group 13 (26.5%) complications resulted in a prolonged hospitalisation

compared to 11 (30.6%) in the S-ICD group. The median number of additional hospitalisation days was

similar in both the S-ICD and TV-ICD group (S-ICD 2.5 (IQR 1-5); TV-ICD 3.0 (IQR 1-7), P=0.49). The

total number of additional hospital days due to device-related complications was 151 days in the S-ICD

group and 354 days in the TV-ICD group.

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#### Discussion

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This prespecified secondary analysis of the PRAETORIAN trial demonstrated a difference in nature and

severity of device-related complications in the S-ICD and TV-ICD. Lead-related complications and

systemic infections are significantly lower in the S-ICD group compared to the TV-ICD group. On the

other hand, pocket bleedings are more frequent in patients implanted with an S-ICD. In the TV-ICD

group, device-related complications resulted in significantly more invasive interventions (Structured

17 Graphical Abstract).

18 The overall device-related complication rate of 5.9% vs. 9.8%, which was included in the primary

endpoint of the trial, did not significantly differ between the S-ICD and TV-ICD. The complication rate in

the TV-ICD group is comparable to earlier studies (4, 12) whereas the complication rate in the S-ICD

group was lower compared to earlier registries (9-11). This is most likely caused by differences in

definition of device-related complications and inclusion of centers with limited S-ICD experience in the

registries.

- The more frequent occurrence of pocket bleedings in the S-ICD group might be related to the larger incision and larger pocket necessary for S-ICD implantation due to the larger generator size. Additionally, the majority of patients with a TV-ICD receive a pressure bandage after implantation, while after S-ICD implantation this is less often applied and can explain the more frequent pocket bleedings. Furthermore, anticoagulation strategies before TV-ICD implantation has been extensively studied, but data regarding anticoagulation strategies before S-ICD implantation is lacking and is up to the preference of the implanter (17). A retrospective study of 200 S-ICD patients showed that pocket bleedings in the S-ICD did not appear in patients with interrupted anticoagulation and suggests that interruption without bridging may
- Overall, more implant experience may result in a reduction of device-related complications such as pocket bleedings in S-ICD patients (19).

reduce pocket bleedings after S-ICD implantation (18). However, data on peri-procedural anticoagulation

14 Device-related infections

strategies within this trial were not available.

Patients with a TV-ICD had significantly more systemic infections requiring device extraction. By its intravascular design, infections of the TV-ICD have a higher chance of developing into a systemic infection. A prospective multicentre observational registry of 1099 ICD patients showed that all infections in the TV-ICD were systemic compared to none in the S-ICD (13). In our study, all systemic infections occurred in patients implanted with a TV-ICD, no systemic infections were seen in S-ICD patients. Systemic infections usually have more serious clinical consequences, which is emphasized by the occurrence of sepsis, pulmonary embolism and respiratory insufficiency in the TV-ICD group. The 1-year mortality of a device infection triples from 7% to 24% if the transvenous lead is involved (1). In this study, two out of five patients with a systemic infection died within 1 year after infection related to their TV-ICD. Additionally, if extraction is required, removal of the S-ICD pulse generator and/or leads is less

- 1 complex and is associated with fewer complications and a lower mortality risk compared to the TV-ICD
- 2 (20, 21).
- 3 *Lead-related complications*
- As expected by the extravascular design of the S-ICD, this study showed significantly less lead-related 4 5 complications in patients with an S-ICD. This finding is in line with previous meta-analyses of nonrandomised studies and confirmed by the recent ATLAS trial (22-24). It was shown previously that 6 implanting more leads results in a higher risk of lead-related complications (25). In the current study, for 2 7 8 lead-related complications in the TV-ICD group and 1 lead-related complication in the S-ICD group it 9 could not be excluded that the complication was caused by another than the standard right ventricular ICD 10 lead. Patients with a TV-ICD are especially prone to lead failure after multiple years of ICD therapy because of the mechanical traction due to heart motion and arm movements. A large TV-ICD registry 11 showed a lead failure rate of 25% after 10 years (5). A longer follow-up of patients in this study may 12 therefore result in an even higher rate of lead-related complications in the TV-ICD group. 13 Notwithstanding, the S-ICD received an advisory in December 2020 due to 27 reported cases of lead 14 15 fractures with a calculated occurrence rate of 0.2% at 41 months (26). No lead fractures in the S-ICD were 16 observed in this study: the extended follow-up of the PRAETORIAN trial will put this advisory on lead-
- 18 Predictors

AF was a positive predictor for device-related complications in the S-ICD group. Whereas this
mechanistically could be explained by a higher risk of pocket bleedings due to anticoagulation therapy,
the fact that only 3 out of 8 patients with a bleeding in the S-ICD group had a known history of AF
suggests that also other mechanisms may play a role. Similarly, the higher number of patients with a
history of AF in the S-ICD group cannot be fully explained by the higher number of pocket bleedings in
this group. In the TV-ICD group AF was a negative predictor for device-related complications which is in

related complications in S-ICD patients in further perspective.

- 1 contrast to findings in other studies and might be caused a play of chance, since there does not seem to be
- 2 a logical mechanistically explanation (27). The choice for a specific device in patients with AF remains a
- 3 challenge as a history of AF is a risk factor for inappropriate therapy in patients with a TV-ICD (28).
- 4 BMI was shown to be a positive independent predictor for device-related complications in the S-ICD
- 5 group requiring an invasive intervention. The extra-vascular nature of the S-ICD and the position of the
- 6 lead and generator underneath a layer of fat tissue may hamper the attachment of the lead to the fibrotic
- 7 tissue in patients with a high BMI and therefore these patients may be more prone to lead dislocation. BMI
- 8 could be a factor during shared decision making when selecting device type. Specifically as a reduced
- 9 BMI is associated with an increased risk on device complications in the TV-ICD (29). However, more
- studies with a larger volume of patients and more recent implantation techniques are necessary to further
- investigate this and to determine potential BMI thresholds.
- 12 Invasive interventions and hospitalization
- An invasive intervention was more often needed after device-related complications in TV-ICD patients.
- 14 This was mainly driven by interventions due to lead dysfunction or device-related infections and
- concomitantly resulted in more hospital admissions. Interventions after S-ICD implant were less often
- invasive, mainly driven by the non-invasive interventions after a pocket bleeding. It is unknown to what
- extent this was caused by physicians being more cautious due to having less experience with the S-ICD,
- and may not be occurring with current standard of care.
- 19 Crossovers after implantation occurred more frequently from S-ICD to TV-ICD. Whereas development of
- 20 a pacing indication was an important reason for crossover to TV-ICD, there may also have been a lower
- 21 threshold for crossover from S-ICD to TV-ICD due to limited initial experience of the implanters and
- 22 treating centers. An example is crossover to a TV-ICD after a failed DFT with the S-ICD. Current
- 23 experience is that suboptimal implantation can result in DFT failure and can be managed with
- repositioning and often improve DFT outcome (30). It can therefore be speculated that, with the increased

knowledge of the S-ICD, newer generation S-ICDs and current treatment of complications, the number of 1 2 S-ICD complications requiring crossover may be lower if the trial would be repeated. New technology by 3 adding a leadless pacemaker for anti-tachycardia pacing or even bradycardia pacing in the future might 4 further reduce the need for crossover (31). 5 The current guidelines of the European Society of Cardiology recommend S-ICD therapy, in the absence 6 of a pacing indication, as an alternative for TV-ICD therapy (32). In this study it was shown that, even in a 7 setting where the S-ICD had a disadvantage of limited implanter experience and therapy optimization, 8 device-related complications in the S-ICD are less severe as they required less often an invasive intervention. Therefore, the S-ICD could become the preferred choice in patients with an ICD indication 9 10 without need for pacing. A limitation of this study is the difference in experience of the implanters and treating centers with both 11 12 systems. Whereas centers were selected who had experience with the S-ICD, this was always less than with the TV-ICD, simply due to the shorter availability of the former in clinical practice. The difference in 13 selection of anesthesia during ICD implantation may also have had an unknown effect on device-related 14 15 complication, for example on post-operative pain and/or discomfort. P-values were not adjusted for 16 multiple comparisons and this should be taken into account when interpreting the results. Furthermore, 17 data regarding implant techniques, such as the type of venous access, and pocket position, were lacking. Conclusion 18 19 In the primary endpoint of the PRAETORIAN trial, there was no significant difference in overall device-20 related complications, however the nature and consequences of device-related complications differ. This 21 secondary analysis showed that lead-related complications and systemic infections were more prevalent in 22 the TV-ICD group compared to the S-ICD group, while pocket bleedings were more frequently observed 23 in patients receiving an S-ICD. Device-related complications in the TV-ICD group were considered more severe as they required significantly more often an invasive intervention. These data further clarify the 24 25 difference between the S-ICD and TV-ICD and contribute to shared decision making in clinical practice.

# 1 Acknowledgements

- 2 The authors thank the the patients who participated in this trial, the members of the Clinical Event
- 3 Committee, for adjudicating all events the members of the data and safety monitoring board, the
- 4 physicians and the research coordinators for contributions to the conduct of this trial.

5

- 6 Funding
- 7 The PRAETORIAN trial was funded by Boston Scientific, which had no role in the design of the trial,
- 8 analysis of the data, or the drafting and submission of the manuscript (grant number ISROTH20076).

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#### Conflict of interest

- 11 Dr Knops reports consultancy fees and research grants from Abbott, Boston Scientific, Medtronic, and
- 12 Cairdac and has stock options from AtaCor Medical Inc. Dr Mittal reports consultancy fees from Boston
- 13 Scientific. Dr Vernooy reports consultancy fees from Medtronic and Abbott. Dr Burke is a consultant and
- receives honoraria as well as research grants from Boston Scientific and has equity in and is chief medical
- officer for AtaCor Medical, Inc. Dr Wright has consultancy arrangements with Boston Scientific and
- 16 Medtronic and a research grant from Boston Scientific. Dr Nordbeck reports modest speaker honoraria
- 17 from Biotronik, Boston Scientific, and Medtronic. Dr Miller reports consultancy fees from Boston
- 18 Scientific. Dr Whinnett is an advisor for Boston Scientific and on the advisory board for Medtronic and
- 19 Abbot and reports speaker fees from Medtronic. The other authors report no conflicts.

- 21 Data availability statement
- 22 The data underlying this article are available in the article and in its online supplementary material.

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1	Figure legends
2	
3 4	Figure 1. Time-to-First-Event Curves for total device-related complications, device-related complications requiring invasive intervention and lead-related complications
5	Shown are the cumulative event rates of the first occurrence of device-related complications (A), device-related
6	complication requiring invasive intervention (B), and lead-related complications (C). Hazard ratios are derived from
7	Cox regressions and indicate the relative risk (subcutaneous ICD vs. transvenous ICD) of the endpoint. P-values
8	were not adjusted for multiple comparisons.
9	ICD implantable cardioverter-defibrillator, S-ICD subcutaneous ICD, TV-ICD transvenous ICD.
LO	
l1	Figure 2. Type of intervention after device-related complications
12	Shown are the different interventions after a specific device-related complication in the S-ICD group (A) and the
L3	different interventions after a specific device-related complication in the TV-ICD group (B). Details on type of non-
L4	invasive interventions are presented in Table 5.
L5	DFT defibrillator threshold testing, ICD implantable cardioverter-defibrillator, S-ICD subcutaneous ICD, TV-ICD
L6	transvenous ICD.
L7	
L8	Figure 3. Burden of device-related complications on the healthcare system
19	Shown is the burden of device-related complications on new hospitalizations, prolonged hospitalizations and visits to
20	the outpatient clinic.
21	ICD implantable cardioverter-defibrillator, S-ICD subcutaneous ICD, TV-ICD transvenous ICD.
22	
23	Graphical Abstract
24	Shown is the summary of the main endpoints of the manuscript: Device-related complications in the subcutaneous
25	and transvenous ICD: a secondary analysis of the PRAETORIAN trial.
26	ICD implantable cardioverter-defibrillator.
27	

### 1 Table 1. Baseline characteristics of patients with device-related complications

### Patients with a device-related complication (N=75)

	Subcutaneous ICD	Transvenous ICD	
	(N=31)	(N=44)	P-value
Age, years, median (IQR)	65 (58-69)	62 (56-70)	0.47
Female sex, n (%)	6 (19.4)	11 (25.0)	0.78
Diagnosis, n (%)			0.64
Ischemic cardiomyopathy	22 (71)	35 (79.5)	
Non-ischemic cardiomyopathy	7 (22.6)	7 (15.9)	
Inherited cardiac disease	2 (6.5)	1 (2.3)	
Hypertrophic cardiomyopathy	1 (3.2)	1 (2.3)	
Hypertrophic cardiomyopathy and Brugada	1 (3.2)	0	
Idiopathic ventricular fibrillation	0	1 (2.3)	
Secondary prevention, n (%)	3 (9.7)	4 (9.1)	1.0
Ejection fraction, %, median (IQR)	28 (23-32)*	29 (20-30)†	0.99
NYHA class, n (%)			0.15
I	14 (45.2)	12 (27.3)	
II	12 (38.7)	27 (61.4)	
III/IV	5 (16.1)	5 (11.4)	
Body mass index, kg/m², median (IQR)	27.4 (25.0-31.0)	27.1 (23.9-30.8)	0.46
History of atrial fibrillation, n (%)	13 (41.9)	3 (6.8)	< 0.001
History of diabetes, n (%)	11 (35.5)	15 (34.1)	1.0

ICD implantable cardioverter-defibrillator, IQR interquartile range, NYHA New York Heart Association. \* missing in 1 patient.

<sup>†</sup> missing in 4 patients.

#### 1 Table 2. Type of device-related complications in the S-ICD and TV-ICD

	S-ICD (N=36)	TV-ICD (N=49)
Infection, n (%)	4 (11.1)	8 (16.3)
Pocket/Pulse generator	3	3
Lead	1*	5*
Bleeding, n (%)	8 (22.2)	2 ( 4.1)
Thrombotic event, n (%)	1 (2.8)	2 (4.1)*
Pneumothorax, n (%)	0	4 (8.2)*
Lead perforation, n (%)	0	2 (4.1)*
Tamponade, n (%)	0	2 (4.1)*
Lead repositioning, n (%)	2 (5.6)	7 (14.3)*
DFT failure	1	0
Lead dislocation	1*	5
Lead dysfunction	0	21
Other lead or device complications, n (%)	21 (58.3)	22 (44.9)
Lead replacement, n (%)	3 (8.3)*	9 (18.4)*
Lead dysfunction	0	71
Lead dislocation	2†	1
Lead perforation	0	1
Inappropriate therapy	1	0
Device malfunction, n (%)	4 (11.1)	6 (8.2)
Early battery depletion	3	2
Interrogation problem	1	0
Long charging time	0	1
Automatic ICD reset	0	1
Other device malfunction	0	2‡
Sensing issues, n (%)	4 (11.1)*†	0
Pacing indication, n (%)	5 (13.9)	1 (2.0)†
Implantation failure, n (%)	0	3 (6.1)*§
DFT failure with subsequent action, n (%)	3 (8.3)*	0
Pain or discomfort, n (%)	2 (5.6)†	3 (6.1)

DFT defibrillator threshold testing, ICD implantable cardioverter-defibrillator, S-ICD subcutaneous ICD, TV-ICD transvenous ICD

<sup>\*</sup> Device-related complication that are combined in the endpoint lead-related complications: 1/4 sensing issues in the S-ICD group was a reduced RV sensing of the RV-lead (crossover in S-ICD group); 1/3 DFT failure with subsequent action was included as this one was amongst others related to incorrect lead position; One lead repositioning after DFT failure was not included in this combined endpoint as DFT continued to fail after lead repositioning and was therefore not seen as related to the lead; 1/3 implant failures in the TV-ICD group was included in the lead-related endpoint as in this patient RV-lead positioning was impossible despite multiple attempts.

<sup>†</sup> Crossover prior to complication. Only 1/2 patients with a lead dislocation, 1/4 patient with sensing issues and 1/2 patients with pain or discomfort in the S-ICD group had a crossover to TV-ICD before this complication.

<sup>‡</sup> One battery/voltage error, one capacitor charging error.

<sup>§</sup> in 2/3 patient there was no venous access during implantation, in 1/3 patients RV-lead positioning was impossible despite multiple attempts.

<sup>4</sup> patients with a lead dysfunction had an increased threshold of which 2 had also an increased impedance, 2 patients had an undersensing of the RV lead and 3 patients had a lead fracture.

### 1 Table 3. 48-month Kaplan-Meier estimated cumulative incidence of main endpoints

	S-ICD	TV-ICD	P-value*	HR (CI)
Device-related complications	5.9%	9.8%	0.11	0.69 (0.44-1.09)
Invasive interventions	4.3%	8.3%	0.047	0.59 (0.35-0.99)
Lead-related complications	1.4%	6.6%	< 0.001	0.24 (0.10-0.54)
Systemic infections	0	1.2%	0.03	- /
Acute complications	3.8%	4.7%	0.49	0.79 (0.41-1.53)

3 \*P-values were not adjusted for multiple comparisons.

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# 5 Table 4. Timing of device-related complications after last implanted device

	30 days	> 30 days	)
S-ICD, n (%)	18 (50.0)	18 (50.0)	
Infection	1	3	
Pocket bleeding	7	1*	
Thrombotic event	1	0	
Lead repositioning	2	0	
Other lead or device complication	7	14	
Lead replacement	2		1
Device malfunction	1		3
Sensing issues	1		3
Defibrillation test failure	3		0
Pain or discomfort	0		2
Pacing indication	0		5
TV-ICD, n (%)	21 (42.9)	28 (57.1)	
Infection	1	7	
Bleeding	2	0	
Thrombotic event	0	2	
Perforation	2	0	
Lead repositioning	6	1	
Pneumothorax	4	0	
Tamponade	2	0	
Other lead or device complication	4	18	
Lead replacement	1		8
Device malfunction	0		6
Implantation failure	3		0
Pain or discomfort	0		3
Pacing indication	0		1

ICD implantable cardioverter-defibrillator, S-ICD subcutaneous ICD, TV-ICD transvenous ICD

<sup>\*</sup> Patient underwent an extraction of the S-ICD due to expired ICD indication after which a pocket bleeding occurred.

## 1 Table 5. Interventions after device-related complications

	S-ICD (N=36)	TV-ICD (N=49)
Invasive interventions, n (%)	25 (69.4)	42 (85.7)
Replacement pulse generator, n (%)	4 (11.1)	4 (8.2)
Replacement lead, n (%)	3 (8.3)	9 (18.4)
Extraction with replacement pulse generator + lead, n (%)	2 (5.6)	4 (8.2)
Extraction without replacement, n (%)	0	2 (4.1)
Crossover, n (%)	11 (30.6)	6 (12.2)*
Repositioning lead, n (%)	2 (5.6)	8 (16.3)
Repositioning pulse generator, n (%)	1 (2.8)	3 (6.1)
Reposition pulse generator + lead, n (%)	1 (2.8)	1 (2.0)†
Pocket exploration, n (%)	1 (2.8)	1 (2.0)
Drain insertion, n (%)	0,	4 (8.2)
Non-invasive interventions, n (%)	11 (30.6)	7 (14.3)
Additional DFT, n (%)	2 (5.6)	0
Reprogramming, n (%)	0	1 (2.0)
Blood transfusion, n (%)	3 (8.3)	0
Thrombolysis, n (%)	1 (2.8)	0
Change in medication, n (%)	1 (2.8)	2 (4.1)
Conservative, n (%)	4 (11.1)	4 (8.2)

DFT defibrillator threshold testing, ICD implantable cardioverter-defibrillator, S-ICD subcutaneous ICD, TV-ICD transvenous ICD

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<sup>\*</sup> One patient in the TV-ICD group received an S-ICD due to TV-ICD implantation failure and later developed a pacing indication for which a TV-ICD was implanted and received a total of 2 crossovers.

<sup>†</sup> One patient underwent a right sided TV-ICD implantation.

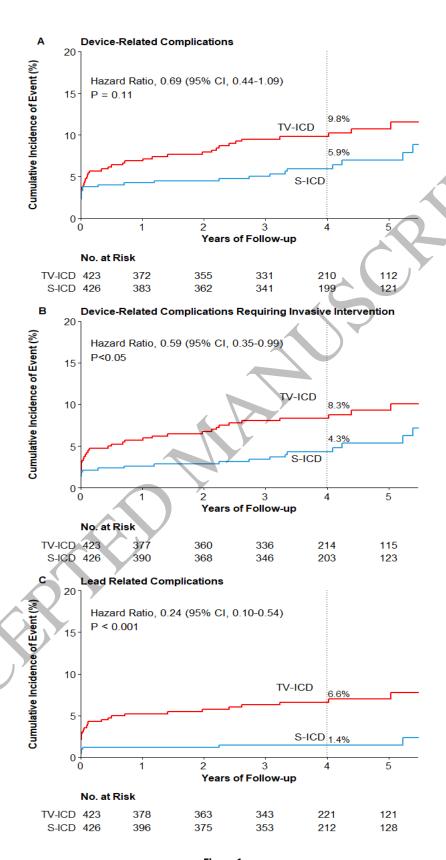


Figure 1 106x247 mm (.58 x DPI)

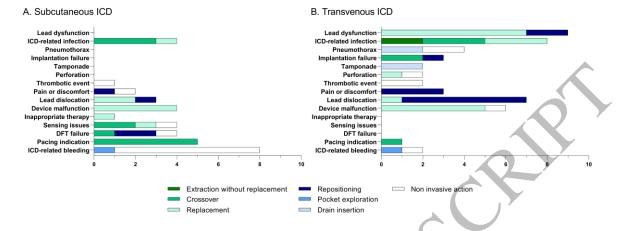


Figure 2 160x59 mm (.58 x DPI)

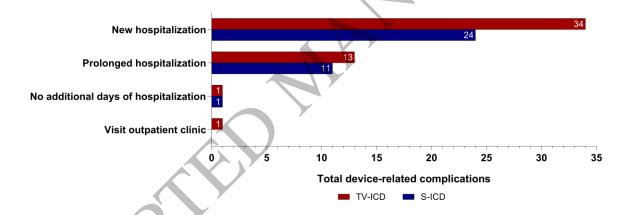


Figure 3 160x57 mm (.58 x DPI)