

Title

Classical infratentorial superficial siderosis of the central nervous system:
pathophysiology, clinical features and management

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1 **Abstract**

2 The term superficial siderosis is derived from the Greek word *sideros*, meaning iron. It includes two
3 subtypes, distinguished by their anatomical distribution, causes and clinical features: “classical”
4 infratentorial superficial siderosis (iSS, which sometimes also affects supratentorial regions); and
5 cortical superficial siderosis (cSS, which affects only supratentorial regions). This paper considers iSS,
6 a potentially disabling disorder usually associated with very slow persistent or intermittent
7 subarachnoid bleeding from a dural defect and characterised by progressive hearing and vestibular
8 impairment, ataxia, myelopathy, and cognitive dysfunction. The causal dural defect - most often spinal
9 but sometimes in the posterior fossa - typically follows trauma or neurosurgery occurring decades
10 before diagnosis. Increasing recognition of iSS with paramagnetic-sensitive -weighted MRI is leading
11 to an unmet clinical need. Given the diagnostic challenges and complex neurological impairments in
12 iSS, we have developed a multidisciplinary approach involving key teams. We discuss pathophysiology,
13 diagnosis and management, including a proposed clinical care pathway.

14

15 **Keywords**

16 Superficial siderosis, infratentorial, central nervous system, haemosiderin, clinical care pathway

17

18 WHAT IS SUPERFICIAL SIDEROSIS?

19 The term superficial siderosis – derived from the Greek word *sideros*, meaning iron – refers to the
20 deposition of iron-containing compounds generated by blood breakdown (mainly haemosiderin) in
21 the most superficial layers of the tissue of the central nervous system (CNS). The increased detection
22 of siderosis (in large part due to the advent of paramagnetic-sensitive MRI sequences has led to the
23 recognition of two siderosis subtypes, distinguished by their anatomical distribution, causes and
24 clinical features. Classical or infratentorial superficial siderosis (iSS) always affects infratentorial but
25 sometimes also supratentorial regions, while cortical superficial siderosis (cSS) affects only the
26 supratentorial convexities (**Figure 1, Table 1**)^{1,2}. We term the first type of siderosis, the main topic of
27 this review, “classical” or Type 1 infratentorial siderosis (iSS), although a range of different terms have
28 previously been used^{2,3}. We chose the word “classical” as this is the most established form of siderosis,
29 first diagnosed in 1908 and well recognised pathologically and clinically (with a syndrome of deafness,
30 imbalance and ataxia, and sometimes myelopathy) in the pre-MRI era^{4,5}. The term infratentorial is
31 used because deposition of haemosiderin in this form invariably involves the infratentorial structures
32 but, perhaps confusingly, supratentorial (cortical) involvement is usually also present by the time of
33 diagnosis^{1,6}. The mechanism underlying classical iSS is a slow, persistent or intermittent low-volume
34 haemorrhage into the subarachnoid space - most often spinal but sometimes in the posterior fossa -
35 typically following cranio-spinal trauma (including brachial plexus avulsion) or neurosurgery decades
36 before diagnosis. Based on this understanding of the pathophysiology we suggested radiological
37 diagnostic criteria of symmetrical haemosiderin deposition in at least two of the infratentorial regions
38 on MRI (cerebellum, brainstem, cranio-cervical junction or spine)¹. We also distinguished a second
39 form of iSS (Type 2 or “secondary” iSS) in which there is more restricted haemosiderin deposition in
40 infratentorial structures, but not as symmetrical or widespread as in Type 1, and with a history of a
41 definite single incident intracranial bleeding event (subarachnoid haemorrhage (SAH) or intracerebral
42 haemorrhage (ICH)); this category is based on a presumption that it is due to “overspill” of blood and

43 haemosiderin deposition from a single intracranial haemorrhage rather than a persistent low-volume
44 leak into the cerebrospinal fluid (CSF).

45 More recently, a clinically and radiologically distinct type of superficial siderosis, cortical superficial
46 siderosis (cSS) has been described² in which haemosiderin is limited to a supratentorial (cortical)
47 distribution (**Figure 1, Table 1**), in a “tramline” configuration either side of cerebral sulci. In older
48 people, it is most often associated with cerebral amyloid angiopathy (CAA), where rupture of amyloid-
49 laden leptomeningeal arterioles leads to subarachnoid bleeding over the cerebral convexities which
50 evolves to form subpial cortical haemosiderin². Similar appearances to cSS can, less commonly, follow
51 other forms of bleeding including traumatic brain injury, aneurysmal SAH, reversible cerebral
52 vasoconstriction syndrome, haemorrhagic transformation of an infarct, dural fistulae or cortical vein
53 thrombosis, but these can usually be differentiated by their clinical or radiological features. cSS is an
54 entirely different disease entity to classical ISS and is not associated with the clinical syndrome of
55 progressive deafness, ataxia and myelopathy².

56 **PATHOPHYSIOLOGY**

57 Animal studies and clinical observations indicate that classical ISS is due to a chronic low volume
58 leakage of blood into the subarachnoid space, usually associated with a dural defect occurring many
59 years before clinical diagnosis^{1 4 6}. Once red cells are extravasated into the CSF their resultant
60 breakdown to haem stimulates microglia to release haemoxygenase-1 and Bergmann glia to
61 synthesise apoferritin^{7 8} (**Figure 2**). Haemoxygenase-1 breaks down haem into biliverdin and
62 neurotoxic free (ferrous) iron. The neurotoxic free (ferrous) iron is bound by apoferritin to form ferritin
63 which then aggregates to form haemosiderin deposited in the subpial layers and leptomeninges^{7 8}.
64 Histopathologically, haemosiderin is found as large granules in macrophages. Because it neutralises
65 toxic free iron, haemosiderin is hypothesised to be neuroprotective rather than neurotoxic⁸. However,
66 once ferritin synthesis and the capacity to form haemosiderin is saturated, the unbound (ferrous) iron

67 is the toxic agent that causes tissue injury from lipid peroxidation resulting in gliosis, demyelination
68 and, ultimately, axonal damage ⁷. Thus, monitoring the extent of haemosiderin deposition as a
69 neuroimaging biomarker may not be a clinically meaningful way of monitoring disease progression as
70 it may not be relevant to clinical disability.

71 The invariable involvement of cerebellum (particularly, superior vermis, but also flocculus and
72 cerebellar convexities), is likely due to their abundant ferritin-reactive Bergmann microglia (necessary
73 to make haemosiderin), and the pattern of CSF flow which causes early and extensive irrigation of
74 these structures with red cells and iron degradation products ^{4 7}. Both segments of the vestibulo-
75 cochlear nerve are susceptible because of the long glial segment exiting the brainstem at the
76 cerebellopontine angle to reach the porus acusticus of the internal auditory canal ^{4 8}. Selective
77 involvement of other cranial nerves including the olfactory and optic nerves also probably relates to
78 the relative length of their glial portions ^{4 6}. Visual impairment is rare in iSS, possibly due to the macular
79 fibres being most central within the optic nerve protected from the superficial pathophysiological
80 changes ⁹. Other commonly involved regions in iSS are the brainstem, craniocervical junction, spinal
81 cord and spinal roots, basal frontal lobes and medial temporal lobes.

82 **UNDERLYING CAUSES**

83 Previous studies suggest long lists of possible causes of iSS but these did not use standardised clinical
84 or radiological criteria for iSS and may have included radiologically detected siderosis not related to
85 the classical syndrome or a mixture of classical (Type 1) and secondary (Type 2) iSS. In our experience,
86 by far the most common cause of iSS, identified in over 80% of patients, is a dural abnormality leak,
87 either in the spine or the posterior fossa ¹. Chronic bleeding into the subarachnoid space probably
88 arises from small vessels at the free edges of an acquired dural defect, typically associated with either
89 an extradural collection or pseudomeningocele. The most common causes of a dural abnormality are

90 previous cranio-spinal trauma (including brachial plexus avulsions), or neurosurgery (**Table 2**)¹
91 ⁴. However, why only a small portion of people develop iSS is unknown.

92 In our experience, brachial plexus injury is a frequent cause of iSS in our experience, probably due to
93 the damage to small fragile venous structures related to the nerve roots. Dural abnormalities (e.g.,
94 ectasia) in patients with ankylosing spondylitis, neurofibromatosis and Marfan's syndrome can also
95 be associated with iSS, presumably also due to slow bleeding from the abnormal distorted dura¹⁴.

96 Vascular tumours - particularly those related to the ependymal surface - can also generate chronic
97 SAH and thus classical siderosis (**Table 2**)¹⁰. Classical iSS typically does not result from "macrovascular"
98 lesions that cause symptomatic arterial bleeding, for example aneurysms, arteriovenous
99 malformations, cavernomas, or dural fistulae. Thus, cerebral or spinal angiography – previously
100 traditionally widely used to investigate iSS – is not likely to be helpful and is not indicated in the routine
101 investigation of iSS. However, a small proportion of atypical macrovascular lesions can be associated
102 with a slow subarachnoid leak (**Table 2**).

103 In our experience, a few patients have no definite history of trauma or neurosurgery, but, rather, a
104 neurological "event" (usually a sudden-onset persistent orthostatic postural headache) attributable
105 to a spontaneous CSF leak, often many years previously which was either ignored or felt to be a non-
106 aneurysmal SAH. The critical history of postural headache following thunderclap headache (often with
107 failed lumbar puncture due to low CSF pressure or identified blood products but no opening pressure
108 recorded and negative tests for aneurysmal SAH) was identified in retrospect. There is limited
109 evidence describing iSS in the setting of spinal dural defects with concomitant spontaneous
110 intracranial hypotension¹¹⁻¹³. In a recent study of 51 patients with persistent spontaneous intracranial
111 hypotension and ventral spinal CSF leaks, superficial siderosis developed in 12% patients and
112 bibrachial amyotrophy in 4% during 280 patient-years of follow-up¹⁴. Whether symptoms of

113 intracranial hypotension, iSS, or both develop may depend on the type of dural defect and rate of
114 subarachnoid bleeding.

115 **DIAGNOSIS OF CLASSICAL SUPERFICIAL SIDEROSIS: RADIOLOGICAL FEATURES**

116 The diagnosis of iSS – previously often achieved at autopsy, intra-operatively or clinically - has become
117 much easier with the widespread use of MRI, which is much more sensitive and specific than
118 computerised tomography (CT) ^{14 9 15}. The first radiological report of iSS in 1985 described hypointense
119 rims of haemosiderin along the surfaces of the brain, brainstem, cerebellar folia, optic, trigeminal and
120 vestibulocochlear nerves, and spinal cord on T2-weighted MR images ¹⁶. Paramagnetic-sensitive
121 sequences – Gradient-Recalled Echo (GRE) T2* and susceptibility-weighted imaging (SWI) – are more
122 sensitive and specific than T2-weighted MRI for identifying haemosiderin deposits ^{1 9 17 18}. These
123 sequences are therefore essential in confirming or excluding the diagnosis. As mentioned previously,
124 radiological diagnostic criteria for iSS are based on symmetrical haemosiderin distribution involving
125 the superior cerebellar vermis (often extending to cerebellar folia, peduncles or both) and at least one
126 other infratentorial structure (including the brainstem, cranio-cervical junction or spinal cord (**Figure**
127 **3**)) ¹. In our experience people with few or no symptoms can have siderosis confined to the superior
128 cerebellar vermis, which may be a very early site of involvement in the disease course. Hypointense
129 appearances on paramagnetic-sensitive MR sequences consistent with haemosiderin deposits can
130 occur with other clinical entities (“mimics”) with distinct patterns and distribution: these include CAA,
131 in which cortical haemosiderin has a “tramline” appearance either side of a cortical sulcus (**Figure 1**),
132 dural fistulae or cortical vein thrombosis; haemorrhagic transformation of an infarct; or head trauma.
133 These alternative entities usually have distinct clinical and radiological features so are usually not likely
134 to be confused with iSS.

135 **HOW COMMON IS SUPERFICIAL SIDEROSIS?**

136 iSS is considered rare (defined as less than 1 per 2,000 people in a general European population or
137 fewer than 200,000 in the USA) and is listed in the OrphaNet Rare Diseases Registry (ORPHA:247245)
138 ^{3 19}. Although there have been very few dedicated prevalence studies, iSS was identified in 0.094%
139 (1/1062) individuals in the population-based Rotterdam imaging study, and in 0.14% (2/1412) in a
140 population-based Mayo Clinic Study of Aging (Minnesota) ^{20 21}. In two hospital-based MR studies the
141 prevalence was 0.10% (9/8843) and 0.031% (30/97733)^{22 23}.

142 **WHAT ARE THE CLINICAL FEATURES OF SUPERFICIAL SIDEROSIS?**

143 iSS is usually characterised by sensorineural hearing loss; imbalance, ataxia or both; and sometimes
144 myelopathic symptoms and signs ^{4 6}. Hearing loss is usually the first symptom. The disease onset and
145 progression are often insidious and slow over years or decades, so that patients commonly present in
146 their second half of life with significant functional decline and often advanced disease by the time of
147 diagnosis. However, iSS may cause a much broader array of symptoms **(Box 1)**.

148 **Hearing, vestibular and balance dysfunction**

149 Patients nearly always have hearing disturbance and often describe an early symptom of difficulty
150 with hearing in noisy environments. Absence of hearing difficulty should lead to questioning the
151 diagnosis. iSS-related hearing loss is characterised as bilateral sensorineural of both cochlear and
152 retro-cochlear origin ^{4 24 25}. It can be asymmetric, of variable degree, ranging from mild-to-moderate
153 to severe-to-profound ²⁴⁻²⁶. There is often sparing of low-frequencies and mid-frequencies in the early
154 stages of disease, with down-sloping configuration on pure-tone audiometry (PTA), which may be
155 misdiagnosed as age-related hearing loss ²⁵. Its onset in iSS, however, may be earlier than in age-
156 matched populations ^{24 25}. Gradual involvement of mid- and low-frequencies and overall deterioration
157 of hearing thresholds may occur with disease progression ^{4 25}. There are few dedicated studies on
158 vestibular assessment, describing mixed central (cerebellar) and peripheral vestibular impairment,

159 likely due to involvement of the cerebellar structures, vestibular nerves and end-organs^{24,26}. Impaired
160 balance is a major symptom, usually with a broad-based ataxic gait, usually worse in the dark; in
161 several cases this may progress to truncal ataxia and inability to sit or walk unassisted.

162 **Cognitive dysfunction**

163 We recently reported cognitive impairment (affecting executive function and performance IQ) in 50%
164 of people with iSS suggesting that this might be an under-recognised core feature of the syndrome²⁷,
165 but studies in larger unselected cohorts are needed.

166 **Myelopathy and sphincter disturbances**

167 There may be symptoms suggesting myelopathy (e.g., gait disturbance, reduced dexterity, leg
168 stiffness) at the time of diagnosis or they may develop with disease progression⁴. Radicular pain may
169 also occur. Bladder and bowel dysfunction can develop in severe disease, manifesting as neurogenic
170 bladder, constipation, urinary or faecal incontinence and reduced sensation during micturition and
171 defaecation^{4,6,28}.

172 **Olfactory dysfunction**

173 In our experience olfactory symptoms (reduction or loss of smell) are common in iSS, but not often
174 volunteered by patients so should be specifically enquired about; there are no systematic studies
175 available^{4,6}.

176 **Other symptoms**

177 Given the widespread distribution of iSS in both infratentorial and supratentorial regions (see below)
178 it is unsurprising that other neurological symptoms such as epilepsy (focal onset seizures), dysphagia
179 may occur in iSS^{1,4,6,10}. Severe persistent back pain may be a feature of arachnoiditis associated with
180 iSS⁴.

181 Increasingly, iSS is detected on MR scans of the brain or spine performed for other reasons (including
182 in neurologically healthy individuals). The pattern of siderosis in asymptomatic people in our
183 experience is typically limited to the cerebellum, often the superior vermis but there are no natural
184 history studies available. An important question is whether asymptomatic or minimally symptomatic
185 people with iSS will remain stable or eventually progress to develop the typical syndrome, and
186 whether early investigation and treatment is appropriate.

187 **HOW SHOULD I INVESTIGATE A PATIENT WITH SUPERFICIAL SIDEROSIS?**

188 **Imaging**

189 MR of both the brain and whole spine imaging (ideally with paramagnetic-sensitive sequences) is
190 essential to define the pattern of siderosis and seek an extradural collection as a potential source of
191 bleeding (frequently a ventral collection in the thoracic region¹). A key goal is to identify a source of
192 bleeding that could be treatable^{1 28}. When MRI or CT myelography identifies an epidural or extra
193 arachnoid CSF collection (**Figure 4**), its exact location is often unclear, but must be determined to
194 consider surgical repair. Current reports suggest that dynamic CT myelography and digital subtraction
195 myelography are the most successful modalities for this purpose but the imaging remains a highly
196 specialist technical challenge^{29 30}. Specialist sequences (e. g., balanced turbo-field echo (BTFE)
197 combined with dynamic improved motion-sensitized driven-equilibrium steady-state free precession
198 (iMSDE SSFP) might be a useful adjunct method to locate the defect but are likely limited to highly-
199 specialist units³¹. The decision on appropriate imaging should be made following a discussion with
200 the interventional neuroradiology and neurosurgical teams and after careful consideration of the
201 likely benefit from surgical repair if a leak is identified (**Supplemental figure 1**)¹. CT, MR and digital
202 subtraction angiography are generally not helpful in investigating classical iSS because macrovascular
203 lesions are unlikely to cause chronic low-volume subarachnoid bleeding^{1 28}.

204 **CSF analysis**

205 CSF analysis is usually performed to check for active or recent bleeding, confirmed by the presence of
206 elevated red cell count (RCC) and ferritin^{14,28}. The RCC is often in the high hundreds or thousands (out
207 of proportion to the white cell count, ratio >500:1), with highest titres in samples nearest to the
208 bleeding site⁴. The red count is presumed to reflect very recent bleeding, while CSF ferritin,
209 upregulated in response to subarachnoid bleeding, is likely to be raised for some months in response
210 to haemorrhage³² but the duration of ferritin detection is uncertain and it is not known whether it
211 relates to disease severity or future progression^{4,9,28,33}. Two patients evaluated in our service showed
212 marked reduction or resolution of CSF ferritin levels following dural defect repair, making it a potential
213 biomarker of treatment success (**Figure 5**).

214 **Neuro-otological evaluation**

215 In view of commonly reported audiovestibular impairments, some of which are amenable to
216 treatment, we refer all patients with iSS for neuro-otological evaluation to inform diagnosis,
217 monitoring, management and rehabilitation. A full audiological evaluation in iSS should include pure-
218 tone audiometry (PTA) and speech tests in quiet. Additional tests of otoacoustic emissions, auditory
219 brainstem-evoked responses (ABR), speech-in-noise and “central” tests of hearing can be helpful
220 dictated by the hearing levels, the patient’s symptoms and other characteristics (comorbidities,
221 previous ear, nose and throat (ENT) history, CNS surgery or trauma). We previously identified
222 progressive Interval changes on PTA and ABR in iSS, probably reflecting disease progression²⁶.
223 Vestibular tests may help to determine the deficit pattern and vestibular rehabilitation plan;
224 potentially useful tests include video-nystagmography and video head-impulse test (contraindicated
225 if there has been recent CNS surgery, ventriculoperitoneal shunting, abnormal intracranial pressure,
226 history of cervical immobility)^{24,26,34,35}. Caloric testing may be performed to assess (horizontal)
227 semicircular canal/superior vestibular nerve involvement while vestibular evoked myogenic potentials
228 assess otolith end-organ and vestibular nerve function²⁶.

229 **Neuropsychology**

230 Because in our experience many people with classical iSS report cognitive difficulties our
231 neuropsychology team routinely assesses cognitive function at referral – and sometimes over time –
232 as a means of monitoring for the pattern and progression of cognitive impairment ²⁷. Cognitive
233 difficulties can often be overshadowed by more obvious neurological deficits and are often difficult to
234 assess accurately in people with hearing or movement impairment. In our opinion, specialist
235 neuropsychological assessment is often required over and above brief cognitive and mood screening
236 measures.

237 **HOW SHOULD I MANAGE ISS?**

238 There are two broad approaches to neurological management of the bleeding associated with iSS: (1)
239 to identify and, if possible, treat a source of ongoing subarachnoid bleeding; and (2) to reduce the
240 amount and adverse clinical impact of neurotoxic iron using iron-chelating agents such as deferiprone
241 (**Supplemental figure 1**). Although the goal of treatment is to prevent disease progression and
242 functional decline, there are currently no randomised trial data showing definitive clinical benefit from
243 any treatment for iSS. Nevertheless, based on current understanding of iSS pathophysiology, the most
244 logical treatment goal is to repair the dural defect that is judged to be causing ongoing subarachnoid
245 bleeding ²⁸. We consider surgical dural repair for patients who: (1) are good surgical candidates (i.e.
246 with good preoperative functional status and few severe comorbidities); (2) have confirmed active
247 current or recent bleeding into the subarachnoid space; (3) have evidence of recent and significant
248 clinical progression of iSS-related neurological impairment; (4) have a clear dural defect reliably
249 identified, localised and judged amenable to repair; and (5) are likely to have benefits outweighing
250 the risks of the surgery ²⁸. Surgical repair of the identified dural defect – that is, closure of posterior
251 fossa pseudomeningocele ³⁶, spinal ventral dural defect ³⁷ or cervical pseudomeningocele
252 secondary to brachial plexus avulsion ³⁸, can lead to biochemical resolution of red cells, xanthochromia

253 or ferritin in CSF. However, data regarding clinical outcomes are limited by small cohorts, short follow-
254 up, lack of control groups, and advanced symptoms at the time of surgical repair. However, most
255 series seem to suggest that surgical repair might at least stop further neurological deterioration
256 **(Supplemental table 1)**.

257 Autologous targeted or blind blood patching may also be considered, particularly if there is a high
258 suspicion of low CSF pressure or the dural defect cannot be identified,¹² but in our experience may
259 not lead to CSF biochemical improvement or limit neurological progression.

260 The iron chelating agent, deferiprone, has been used for many years in iSS, with growing evidence
261 suggesting possible efficacy^{39 40}. However, the reported radiological measures of haemosiderin
262 deposition are not validated as a surrogate biomarker of treatment benefit, and there are no validated
263 clinical scores that capture the full range of iSS-related impairments. We therefore consider the
264 evidence for deferiprone efficacy to be weak, but do consider this in patients fulfilling the following
265 criteria: (1) symptomatic with progressive functional deterioration attributed to iSS; (2) confirmed
266 ongoing subarachnoid bleeding, with no identified surgically treatable source; (3) unwilling or
267 unsuitable for surgical or radiological intervention (or with no evidence of biochemical or clinical
268 benefit despite such intervention); and (4) with no contraindications to deferiprone (e.g.,
269 neutropenia). From observational reports (with all of the methodological limitations already
270 mentioned) of deferiprone in iSS, radiological improvement seems more likely than clinical benefit,
271 **(Table 3, Supplemental table 1)**. There are few data on combined treatment with surgery followed by
272 iron-chelation therapy.

273 In our experience, the benefit of deferiprone remains uncertain, and it may be associated with a
274 substantial and potentially serious risks of agranulocytosis and sepsis **(Table 3, Supplemental table**
275 **1)**^{39 41 42}. In our service, the specialist haematology team (including an expert specialist nurse)
276 regularly monitor blood counts and iron stores in all patients taking deferiprone. Deferiprone use is

277 further limited by reduced availability in hospital formularies and costs as an off-label prescription.
278 Randomised controlled trials of deferiprone in iSS using validated outcome measures (ideally
279 reported by patients as well as objective clinical scales and surrogate imaging or CSF biomarkers) are
280 needed, though the heterogeneity of the disease remains a major challenge. In our service,
281 treatment approaches are determined on a case-by-case basis and with the input from a
282 multidisciplinary team (MDT) including neurosurgical, interventional neuroradiology, haematology,
283 audiovestibular and neuro-otology clinicians. The patient and their family should be involved with
284 discussion of treatment options and their possible benefits and associated risks, with emphasis on
285 the lack of robust evidence for their efficacy^{28 41-43}. Following surgery, we suggest repeat MR scan of
286 the brain and spine and lumbar puncture at 6 months to assess resolution of the
287 pseudomeningocele/ventral epidural CSF collections and for resolution of CSF red cells,
288 xanthochromia and ferritin (**Supplemental figure 1**).

289 **Management of hearing impairment and vestibular deficits**

290 Hearing is a major factor influencing quality of life in iSS. Measures to improve hearing include
291 conventional hearing aids and other types of hearing rehabilitation. Cochlear implantation may be
292 considered for patients with profound hearing loss, although with variable outcomes likely due to
293 progressive cochlear nerve involvement²⁴. Input from neurophysiotherapy or vestibular
294 physiotherapy teams may be indicated for balance or gait disturbance, and a personalised
295 rehabilitative exercise programme can address the patient's deficits and optimise and potentially
296 maintain function.

297 **Management of other deficits**

298 Other deficits (cognitive, daily function, mobility, dysphagia, sphincter disturbances) should be
299 managed by relevant neurotherapy teams (e.g., including speech and language therapy or
300 occupational therapy) as for any complex neurological condition. Uro-neurological input should be
301 considered depending on symptoms; for example, urinary urgency can impact markedly on quality of

302 life and can often be effectively treated. Unsurprisingly, patients with iSS often develop clinically
303 significant anxiety, depression (or both) secondary to the debilitating impact of the disease ²⁷. A
304 referral to specialist clinical neuropsychology services may be helpful in providing cognitive
305 rehabilitation, counselling, and support.

306 **HOW SHOULD A PERSON WITH ISS BE MONITORED AND WHAT IS THE PROGNOSIS?**

307 There are few high-quality data describing the natural history of iSS. In our experience some
308 individuals can be stable and minimally symptomatic for long periods, but in others the condition may
309 lead to slow and irreversible functional decline ⁴⁶. The factors associated with disease progression are
310 not known, but the heterogeneity of underlying causes of iSS is likely to impact on prognosis. Due to
311 the frequent delay in its diagnosis, patients may have established significant morbidity by the time of
312 diagnosis limiting the potential benefit from treatments ¹⁴⁶.

313 Patients at our unit are offered regular neurology follow-up to assess for clinical deterioration.
314 However, there are no validated clinical rating scales for iSS so judging clinical progression can be very
315 challenging. It can also be difficult to know which neurological deficits relate to iSS rather than its
316 underlying cause (e.g., previous neurosurgery or trauma). Those taking deferiprone ideally require
317 haematology specialist nurse follow-up to ensure safe monitoring. We arrange appointments with
318 neuro-surgical and haematology teams are arranged if required. We offer neuro-otological or
319 audiovestibular team reviews as-needed to monitor and manage hearing and balance objectively.

320 While interval imaging may an option to monitor disease progression, in our experience the extent of
321 visible haemosiderin deposition is unlikely to change in the short-term (6-12 months) but may do so
322 over longer time intervals (several years). Clinical evidence of deterioration or new symptoms may
323 warrant repeat imaging. There are currently no validated imaging biomarkers of disease progression
324 in iSS; haemosiderin is challenging to measure and currently proposed methods of haemosiderin

325 quantification may not be clinically helpful since haemosiderin might not be directly neurotoxic ⁸.
326 There are no validated measures of the anatomical extent of iSS on MRI.

327 **THE IMPORTANCE OF MULTIDISCIPLINARY TEAMWORKING**

328 The complexity of iSS means that patients require input from several clinical disciplines. As a result of
329 our unit's experience spanning over almost two decades, we established a specialist iSS
330 multidisciplinary team at Queen Square (London, UK). We hold bi-monthly meetings involving senior
331 clinicians from neurology, neuroradiology, neurosurgery, haematology, neuro-otology or
332 audiovestibular medicine, and neuropsychology. The team discusses each case individually, evaluates
333 patients' clinical progress, reviews recent imaging and CSF results, proposes further investigations
334 where necessary and sets individualised management plans. Based on our experience, we recently
335 proposed a clinical care pathway for patients with iSS, outlining suggested steps in their rational
336 diagnosis and management (**Supplemental figure 1**).

337 **GAPS IN KNOWLEDGE AND FUTURE DIRECTIONS**

338 iSS is becoming more frequently recognised due to the widespread use of MRI including paramagnetic-
339 sensitive sequences, and clinical and research evidence has grown considerably in the past two
340 decades. However, the condition remains relatively understudied in several domains, with work
341 currently underway to close some of the knowledge gaps. Box 2 outlines some potential directions for
342 future research.

343

344 **KEY LEARNING POINTS**

- 345 1. Classical infratentorial superficial siderosis is characterised by hearing loss (almost always
346 present), vestibular loss, ataxia, and sometimes myelopathy; recent studies suggest that
347 cognitive impairment is also common.
- 348 2. Diagnosis is based on the radiological appearances and clinical syndrome to allow
349 differentiation from other types of superficial siderosis.
- 350 3. The cause is very often a dural defect in the spinal cord or posterior fossa, related to either
351 craniospinal trauma or neurosurgery, usually decades before the diagnosis; a history of
352 thunderclap headache with features suggesting low CSF pressure or volume may be a
353 causative event for subsequent radiological iSS, especially if the patient cannot mobilise at the
354 onset of the headache.
- 355 4. Repair of the dural defect probably gives the best prospect of preventing disease progression;
356 chelating agents, including deferiprone, have been used but without clear evidence of efficacy
357 to date; multidisciplinary tea input is essential to guide diagnosis and management

358

359 **Author contributions**

360 DJW had the idea for the article. NK wrote the first draft with extensive editing by DJW. NK and DJW
361 prepared the figures. All authors checked the article for important intellectual content.

362 **Data availability**

363 No datasets were generated for this work.

364 **Ethics statement**

365 Ethics committee approval was not required. Formal consent was obtained from the patient for use
366 of intraoperative images (Figure 5).

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374 **Competing interests**

375 The authors declare otherwise no conflict of interest regarding the authorship, content or publication.

376 **Patient consent for publication**

377 Not required

TABLES

Superficial siderosis types	Term used	Haemosiderin distribution	Description
Infratentorial superficial siderosis (ISS)	Type 1 <i>Classical</i>	<p>Infratentorial, involving superior cerebellar vermis and at least one other infratentorial region, as described in proposed radiological diagnostic criteria*</p> <p>In our experience “restricted” ISS at an early stage of the disease (often with minimal clinical symptoms) can involve the superior cerebellar vermis only</p> <p>Note: the proximal VIII (vestibulo-cochlear) cranial nerves are also frequently involved (and probably early in the disease) but siderosis affecting these structures is more difficult to visualise reliably radiologically</p>	Likely due to chronic low-volume low-pressure extravasation of blood into CSF, usually over decades before diagnosis
	Type 2 <i>Secondary</i>	Infratentorial, extensive involvement of the site of intracranial haemorrhage and a thin rim of haemosiderin in adjacent regions often surrounding the outlet of 4 th ventricle	Associated with “overspill” of blood from a large single acute intracranial haemorrhagic event: intracranial haemorrhage, subarachnoid haemorrhage, CNS surgery or trauma
Supratentorial cortical superficial siderosis (cSS)	<i>Focal</i>	Supratentorial only, involving cerebral convexities and ≤3 sulci	Associated with previous convexity subarachnoid cortical haemorrhage; in older people (>60 years) the most common cause is cerebral amyloid angiopathy
	<i>Disseminated</i>	Supratentorial only, affecting cerebral convexities and >3 sulci	

Table 1. Classification and nomenclature of superficial siderosis involving the central nervous system (CNS). *Infratentorial distribution of superficial siderosis that is bilateral, symmetrical and involves at least two of the infratentorial regions: 1) cerebellum (superior cerebellar vermis, folia, peduncles); 2) brainstem (midbrain, pons, medulla), 3) craniocervical junction or spinal cord (if imaging available) ¹. Legend: CSF cerebrospinal fluid

Likely causes of iSS (in order of most-to-least frequently reported)	
Infratentorial superficial siderosis (classical, Type 1)	
Dural abnormalities	Dural defect with extra-arachnoid CSF collection or pseudomeningocele from previous craniospinal trauma (including brachial plexus avulsion) or neurosurgery
	Dural ectasia presumed associated with a dural defect
CNS tumours with capacity for slow sustained bleeding into the subarachnoid space	Ependymoma
	Pituitary adenoma
	Melanocytoma
	Astrocytoma
	Paraganglioma
	Metastases
	Described in single cases: craniopharyngioma, germ-cell tumour, haemangioblastoma, oligodendroglioma, meningioma, neurinoma, papillary glioneuronal tumour, pinealoma, teratoma
Atypical aneurysms or cavernomas close to the cortical or ependymal surfaces with slow sustained low volume SAH (likely rare)	
Infratentorial superficial siderosis (secondary, Type 2) resulting from “overspill” due to a single intracranial bleeding event	
Intracerebral haemorrhage (may be “primary” due to cerebral small vessel disease or “secondary” due to a macrovascular cause (e.g., AVM, aneurysm))	Lobar
	Deep
Aneurysmal SAH	
Subdural haemorrhage	
Intraoperative haemorrhage	

Table 2. List of likely causes of infratentorial superficial siderosis (iSS), including cranial and spinal tumours reported in the setting of iSS ^{1 4 10}. Legend: AVM arteriovenous malformation, CSF cerebrospinal fluid, SAH subarachnoid haemorrhage.

Studies	Number of participants (n=168)	Outcomes (n, %)	
		Clinical	Radiological
Deferiprone only	63*	Improved 17 (27%) Stable 29 (46%) Worse 17 (27%) N/R 0	Improved 19 (42%) Stable 16 (36%) Worse 10 (22%) N/R 18
Surgery only	82	Improved 24 (33%)** Stable 34 (47%)** Worse 14 (19%)** N/R 10	Improved 5 (25%) Stable 8 (40%) Worse 7 (35%) N/R 62
Deferiprone and surgery combined	19*	Improved 8 (73%) Stable 0 Worse 3 (27%) N/R 8	Improved 8 (100%) Stable 0 Worse 0 N/R 11
Trientine only	4	Improved 0 Stable 2 (50%) Worse 2 (50%) N/R 0	Improved 0 Stable 1 (100%) Worse 0 N/R 3
Side-effects of deferiprone (alone or combined with surgery; total n=82)†			(n, %)‡
Fatigue			10 (12%)
Neutropaenia; neutropaenic sepsis			6 (7%)
Iron deficiency anaemia			5 (6%)
Zinc deficiency			5 (6%)
Joint pain			4 (5%)
Abnormal liver function tests (transient)			3 (4%)
Mouth ulcers			2 (2%)
Transient nausea at start of treatment			1 (1%)

Table 3. Summary of clinical and radiological outcomes following surgical repair or iron-chelation therapy or both, reported in the time-period of year 2000 and November 2021 and limited to the English language (**Supplemental table 1**); * Outcomes for 2 patients who had surgery included into “deferiprone only” group ⁴²; † side-effects not reported for trientine (4 individuals); N/R not reported;

‡Including participants from 'deferiprone only' and 'deferiprone and surgery combined' cohorts (n=82); ** Total at 99% due to rounding up; n, number; N/R not reported.

Clinical features in iSS (in order of most-to-least frequently reported)
Hearing loss
Imbalance
Gait/truncal ataxia
Pyramidal signs
Myelopathy-related symptoms (radiculopathy, pain, sensory disturbances)
Cognitive dysfunction
Bladder disturbance (incontinence, voiding difficulty, urgency, sensory abnormalities)
Bowel disturbances (constipation)
Symptoms of intracranial hypotension
Symptoms associated with arachnoiditis (lower back/sacral pain, sciatica pain)
Dysphagia
Hydrocephalus
Olfactory dysfunction
Ageusia
Myoclonus
Seizures
Visual disturbances
Cranial nerve palsies
Paraparesis/quadriparesis

Box 1. Clinical features associated with infratentorial superficial siderosis (iSS) ^{1 4 6 10 27 28}.

Current gaps in the knowledge about iSS:

- Prevalence in the general population
- Natural history, including early recognition, sequence of CNS region involvement and duration of symptomatic phase, though longitudinal studies on natural history may be challenging due to long prodrome and likely long asymptomatic period
- Genetic susceptibility to development or progression of acquired iSS, with a particular focus on impairment of haemoglobin clearance
- Validated and robust biochemical and clinical or surrogate biomarkers (including patient-report measures):
 - for early detection and diagnosis of iSS
 - to determine disease burden
 - to monitor clinical progression and response to medical therapy or following surgical repair
 - to assess quality of life
- New approaches for quantification of radiological findings, for example measuring the extent of haemosiderin deposition or volume of key structures such as the cerebellum as a marker of disease progression
- Efficacy of currently available treatment options by means of large multi-centre randomised control trials
- Development of novel therapeutic approaches to reduce neurological injury

Box 2. Summary of the current knowledge gaps and suggested future directions for research. Legend: CNS central nervous system; iSS infratentorial superficial siderosis

FIGURES

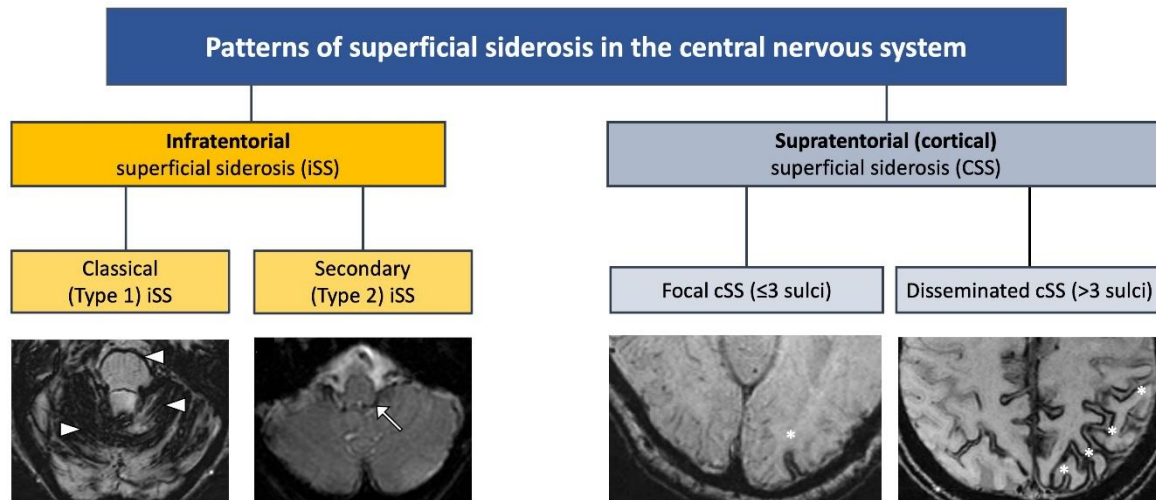


Figure 1. Classification and types of superficial siderosis of the central nervous system (CNS). Shown in the left hand panels, type 1 (classical) infratentorial superficial siderosis (iSS) refers to a symmetrical pattern of infratentorial siderosis affecting 2/3 areas of the cerebellum, brainstem or craniocervical junction, often associated with the clinical syndrome of hearing loss, ataxia and imbalance, and myelopathy while type 2 iSS is distinguished by limited and often asymmetrical infratentorial haemosiderin (white arrow). In cortical superficial siderosis (cSS; shown in the right hand panels), haemosiderin is limited to supratentorial structures and can be focal (asterisk) or disseminated (multiple asterisks).

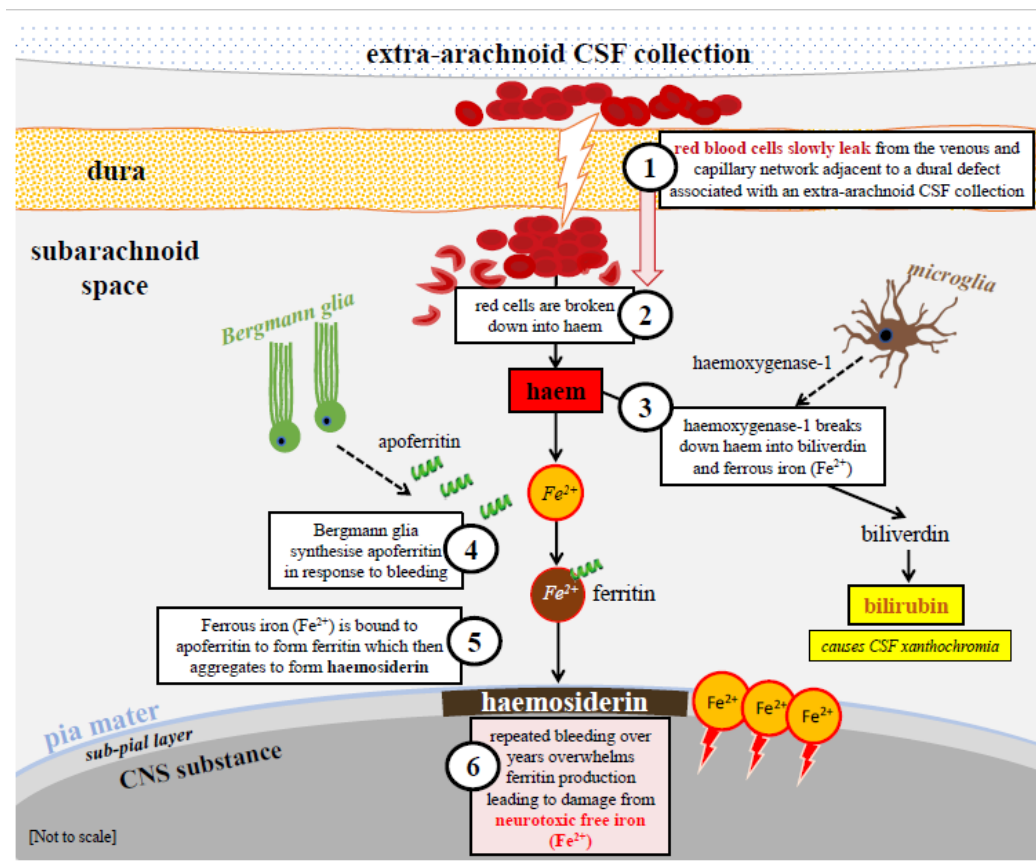


Figure 2. Diagram of pathophysiological processes implicated in intratentorial superficial siderosis (classical, Type 1); adapted, with permission from Chan et al, 2021²⁷. Legend: CNS central nervous system; CSF cerebrospinal fluid.

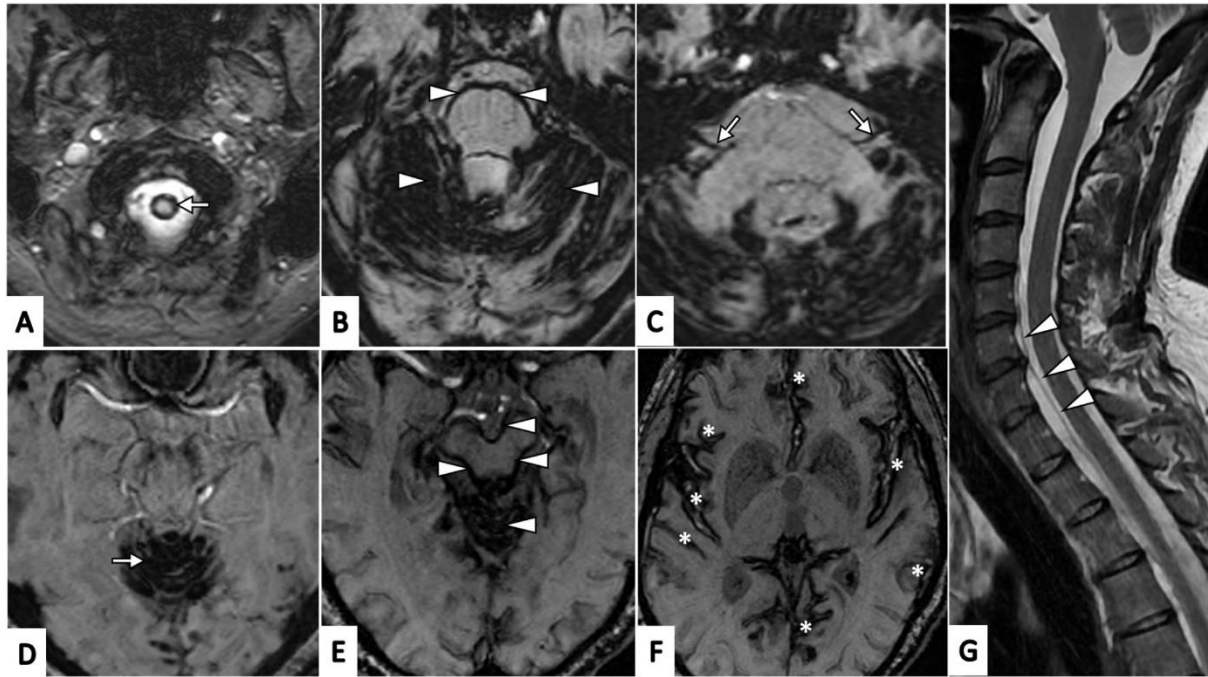


Figure 3. Typical (classical) radiological appearances of haemosiderin deposits in infratentorial superficial siderosis (axial susceptibility weighted MR images, SW MRI, A-F): A. Craniocervical junction (arrow); B. Haemosiderin involving cerebellar folia with a rim of haemosiderin surrounding the pons (all marked with arrow heads); C. Haemosiderin involving the vestibulo-cochlear nerves (CN VIII) bilaterally (arrows); D. Haemosiderin involving superior vermis (arrow); E. Haemosiderin involving superior vermis with a rim of haemosiderin surrounding the midbrain (all marked with arrowheads); F. Haemosiderin involving supratentorial regions (including the Sylvian fissures, temporal and frontal lobes and cingulate gyri, all marked with asterisks); G. Sagittal T2-weighted MRI demonstrating ventral cerebrospinal fluid spinal collection (arrowheads).

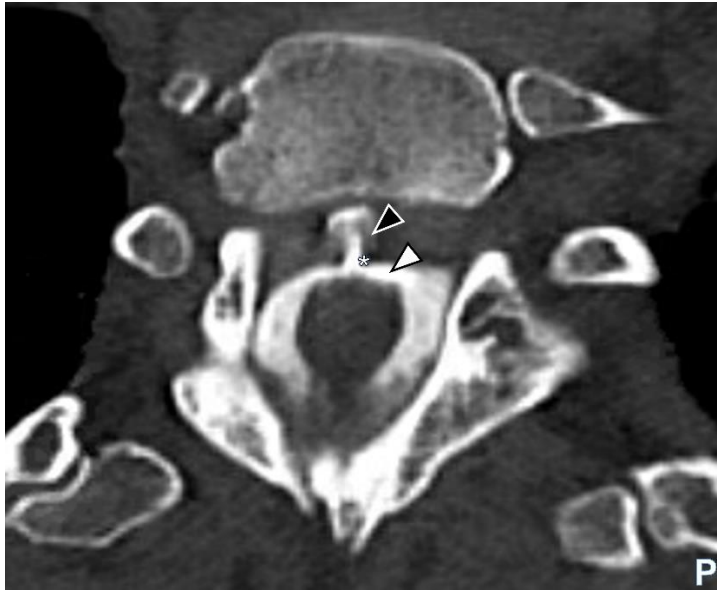


Figure 4. Axial CT myelogram image showing contrast (white arrowhead) in the cerebrospinal fluid (CSF) and clearly visible extravasation of contrast (black arrowhead) at the site of dural defect (asterisk) in the thoracic region. Legend: CT computerised tomography; P posterior.

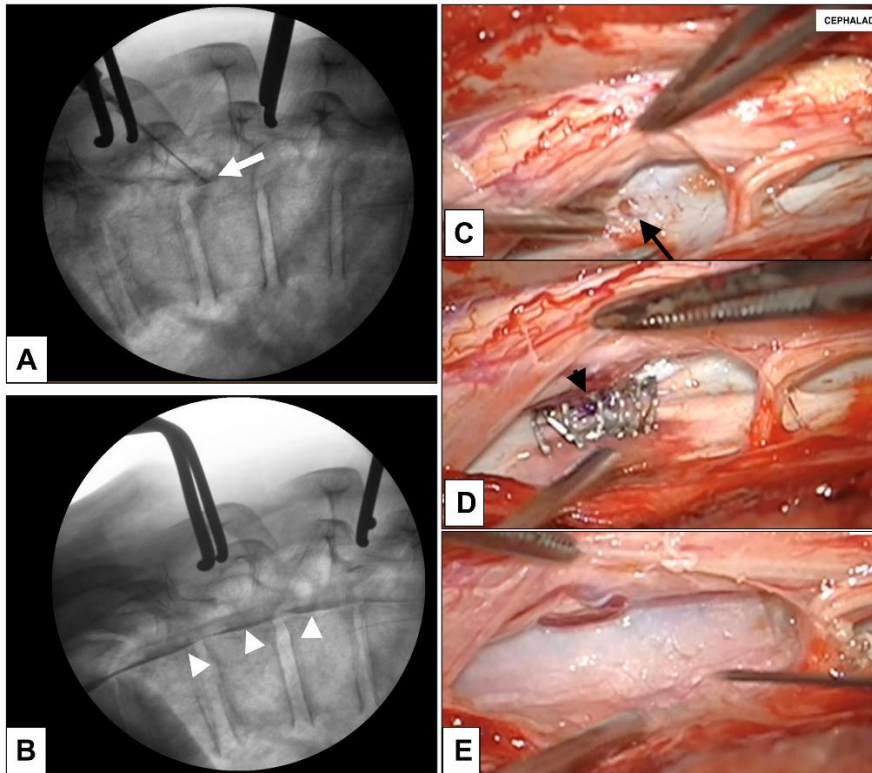


Figure 5 (A-E). A, B. Intra-operative myelogram showing contrast injected through the ventral dural defect (white arrow) which had shown accumulation of dye in the ventral epidural space (white arrowheads) (B) prior to dural defect repair; C. intra-operative images showing the 2mm ventral dural defect (black arrow); the arachnoid had prolapsed into the defect thus creating a CSF fistula; in the image, spinal cord has been retracted, and dorsal dura reflected to expose the ventral dural defect; D. following defect repair with 6-0 suture (black arrowhead) and Anastoclips® and (E) reinforced with Durepair™ dural patch and Evicel® tissue glue. Legend: CSF cerebrospinal fluid.

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