# Presleep Heart-Rate Variability Biofeedback Improves Mood and Sleep Quality in Chinese Winter Olympic Bobsleigh Athletes

QinLong, L., Steward, C., Cullen, T., Kaixuan, C. & Yue, Z Author post-print (accepted) deposited by Coventry University's Repository

#### Original citation & hyperlink:

QinLong, L, Steward, C, Cullen, T, Kaixuan, C & Yue, Z 2022, 'Presleep Heart-Rate Variability Biofeedback Improves Mood and Sleep Quality in Chinese Winter Olympic Bobsleigh Athletes', International Journal of Sports Physiology and Performance, vol. (In-Press), pp. (In-Press). <u>https://doi.org/10.1123/ijspp.2022-0037</u>

DOI 10.1123/ijspp.2022-0037 ISSN 1555-0265

**Publisher: Human Kinetics** 

Copyright © and Moral Rights are retained by the author(s) and/ or other copyright owners. A copy can be downloaded for personal non-commercial research or study, without prior permission or charge. This item cannot be reproduced or quoted extensively from without first obtaining permission in writing from the copyright holder(s). The content must not be changed in any way or sold commercially in any format or medium without the formal permission of the copyright holders.

This document is the author's post-print version, incorporating any revisions agreed during the peer-review process. Some differences between the published version and this version may remain and you are advised to consult the published version if you wish to cite from it.

Running Title: Pre-sleep heart rate variability biofeedback improves mood and sleep quality in Chinese Winter Olympic bobsleigh athletes Submission type: Original investigation Authors: QinLong Li<sup>1</sup>, Charles J. Steward<sup>2</sup>, Tom Cullen<sup>2</sup>, Kaixuan Che<sup>1</sup>, Yue Zhou<sup>1\*</sup> <sup>1</sup>Beijing Sport University, 48 Xinxi Road, Haidian District, Beijing, 100084, China. <sup>2</sup>Coventry University, Priory Street, Coventry, CV1 5FB, UK. Abstract word count: 211 Text word count: 3492 Number of figures: 6 Number of tables: 5 **Corresponding Author:** Dr. Yue Zhou Department of Exercise Physiology **Beijing Sport University** 48 Xinxi Road, Haidian District, Beijing, 100084, China Email: zhouy@bsu.edu.cn Running head: Pre-sleep heart rate variability biofeedback

#### 43 Abstract

44

45 **Purpose:** To evaluate the effectiveness of heart rate variability
46 (HRV) biofeedback on improving autonomic function, mood
47 and sleep in elite bobsleigh athletes.

48

49 Methods: Eight Chinese Winter Olympic bobsleigh athletes 50 (age: 24±2 years; body mass 89±15kg and height 184±5cm) completed a randomised crossover study with and without HRV-51 52 biofeedback before a single night's sleep. HRV-biofeedback was 53 provided 35 minutes prior to bedtime in the experimental 54 condition. The assessment of HRV took place 45 and 10 minutes before bedtime. The Profile of Mood States (POMS) 55 56 questionnaire was completed 50 and 15 minutes prior to bedtime. 57 Sleep duration and quality were measured through an air-58 mattress sleep monitoring system.

59

60 **Results:** Sleep efficiency (P=0.020, F=7.831, CI=0.008 to 0.072) 61 and the percentage of deep sleep duration increased (P=0.013, 62 F=10.875, CI= 0.006 to 0.035) whilst the percentage of light 63 sleep decreased (P=0.034, F=6.893, CI=-0.038 to -0.002). Pre-64 sleep HRV-biofeedback increased parasympathetic and 65 decreased sympathetic activity. Mood states of anger (P=0.006, F=7.573), panic (P=0.031, F=4.288), tension (P=0.011, 66 F=6.284), depression (P=0.010, F=6.016), fatigue (P=0.000, 67 68 F=16.901) and total mood disturbance (P=0.001, F=11.225) 69 were reduced before sleep.

70

Conclusion: Pre-sleep HRV-biofeedback improved some
measures of autonomic function, mood, and sleep quality in
Chinese Olympic bobsleigh athletes. Pre-sleep HRVbiofeedback provides a practical strategy that may help reduce
sleep disturbances during periods of training and competition.

- 76
- 77
- 78
- 79

80

81 Keywords: Bobsleigh athletes; Heart rate variability
82 biofeedback; Sleep quality; Profile of mood states.

#### 83 **1 Introduction**

84

85 Bobsleigh is a winter sport that requires good acceleration and high peak speeds during the initial push-phase, as well as 86 87 excellent kinaesthetic awareness and decision-making 88 capabilities to navigate the track at speeds of up to 160km/h.<sup>1</sup> 89 Marginal time differences between success and failure mean that even subtle declines in physical and cognitive ability could be 90 91 detrimental for performance. During the Winter Olympics, athletes are often required to compete late in the evening. In the 92 93 Beijing Winter Olympics, all four heats for the two-man and two-94 woman bobsleigh teams took place after 20:00 local time,<sup>2</sup> in addition to light training in the evenings on non-competition days. 95 Evening competitions have been shown to increase sleep onset 96 97 latency and reduce sleep quality.<sup>3</sup> Although this is not a universal 98 finding<sup>4</sup>, an increase in sympathetic activity and a state of arousal may negatively impact sleep.<sup>5</sup> For example, high intensity 99 evening exercise elicits sympathetic mediated physiological 100 responses, including an increase in nocturnal heart rate<sup>3,4</sup> and a 101 reduction in HRV.<sup>3</sup> Moreover, the stress of competition can 102 manifest as negative mood states that prolong sympathetic 103 activity and disrupt sleep.<sup>6</sup> It is well established that impaired or 104 105 shortened sleep can impact sporting performance in aspects crucial to bobsleigh performance, such as lower body power and 106 cognitive performance.<sup>7,8</sup> Hence, strategies to overcome impaired 107 sleep are a high priority for this group of athletes. 108

109

HRV-biofeedback is a therapeutic tool that uses breathing 110 111 manoeuvres to match breathing with heart rate patterns,<sup>9</sup> in order to help restore autonomic nervous system balance through 112 increasing parasympathetic nervous system activity.<sup>10</sup> The use of 113 114 HRV-biofeedback has been shown to help treat anxiety, depression and sleep related issues in diseased and healthy 115 populations.<sup>9</sup> Reiner et al<sup>11</sup> found that it supported feelings of 116 117 relaxation over and above other common relaxtion techniques such as meditation, yoga and unassisted breathing techniques. 118 The pychophysiological benefits of HRV-biofeedback may in 119 turn reduce sleep onset latency<sup>11</sup> and scores of sleep 120 disturbance.<sup>12</sup> To date there is very limited research using this 121 intervention in athletes in these scenarios. 122

123

During the 2022 Beijing Winter Olympics many athletes will be 124 125 under high levels of physiological and psychological pressure to 126 succeed. This will likely further increase pre-competition 127 anxiety and lead to an increased risk of impaired sleep 128 throughout the competition and subsequently impair 129 performance. Countermeasures for poor sleep may be a potent 130 tool to reduce the impact of pre-competition anxiety on 131 performance but are not commonly used by athletes and 132 coaches.<sup>13</sup> Indeed, the majority of studies on sleep in elite athletes have focussed on better understanding the problem 133 134 while relatively few have assessed potential solutions. The aims 135 of this study were to determine whether pre-sleep HRVbiofeedback could improve parameters of autonomic function, 136 mood and sleep. It was hypothesised that pre-sleep HRV 137 138 biofeedback would enhance autonomic function and mood 139 which would in turn improve sleep duration and quality in 140 Chinese Olympic bobsleigh athletes.

141

#### 142 **2 Methods**

143

#### 144 **2.1 Participants**

Eight Chinese Winter Olympic bobsleigh athletes (Tier 5: World 145 class),<sup>14</sup> consisting of five males (4 pilots and 1 brakeman) and 146 147 three females (3 pilots) (age: 24±2 years; body mass 89±15kg 148 and height 184±5cm) participated in this cross-over study. 149 During the testing period, all athletes were undergoing a 4-day 150 training cycle, which consisted of 3 days training and 1 day of 151 rest. On training days, athletes had two sessions and followed the same training routine. Sessions took place at the National sliding 152 Centre from 9:00 - 11:00 in the morning and 19:00 - 21:00 in the 153 154 evening. The athletes were in bed by ~22:30. The bedtime and 155 wake times for each athlete can be found in Table 2. All participants volunteered to take part in the study and completed 156 157 informed consent forms and health questionnaires prior to participation. Ethical approval was provided by the Beijing Sport 158 159 University Ethics Committee (2020132H) and all procedures 160 conformed to the Declaration of Helsinki.

161

#### 162 2.2 Study design

163 Athletes completed a randomised, counterbalanced, cross-over

164 design study. The study consisted of two phases; the first phase

165 included control testing and the second phase intervention

166 testing. Each phase was separated by 4-days. There were no 167 differences between training loads for each individual training 168 cycle (Table 1). Total distance was calculated based on the distance of the bobsleigh track, multiplied by the 5 slides per 169 170 training session. During the testing period the brakeman 171 participated in the same training regimen as the pilots as this 172 athlete had recently changed position from pilot to brakeman. 173 Pilot testing of HRV-biofeedback training and the POMS questionnaire took place the day before testing. Moreover, the 174 air-mattress sleep monitoring system (Sleeptek 300, Beijing 175 176 Tonghe Technology Co., Ltd.) was installed in the home of the 177 athletes to help minimise artificial sleep disruption on the test 178 day.

179

180 During the experimental trial HRV was assessed 45 minutes 181 before bedtime in the HRV-biofeedback condition and 10 182 minutes before bedtime in the HRV-biofeedback and control condition. We decided to measure HRV at these time points as 183 HRV is typically higher in the late evening<sup>15</sup> and modifying 184 HRV prior to bedtime may play a subsequent role in mediating 185 changes in sleep, especially following evening exercise.<sup>3</sup> HRV-186 biofeedback was provided to the intervention group 35 minutes 187 prior to bedtime. POMS were assessed 15 and 50 minutes before 188 189 bedtime in the HRV-biofeedback condition and 15 minutes 190 before bed in the control condition. Sleep was continuously 191 monitored throughout the night through the use of an air-192 mattress sleep monitoring system which is described in more detail below.<sup>16</sup> A schematic representation of the experimental 193 194 protocol can be found in Figure 1.

195

196

#### \*Insert Figure 1\*

197

#### 198 2.2 Experimental protocol

199 In the week prior to testing the diet of athletes were controlled in 200 accordance with the team's dietary plan. On the day of testing, athletes were asked to avoid napping throughout the day. Six of 201 202 the athletes reported not napping during training periods prior to the study and two reported taking the occasional nap but not on 203 204 a daily basis. The athletes arrived at the laboratory for testing at the same time of day to control for the influence of circadian 205 rhythm on autonomic activity and mood.<sup>17,18</sup> Athletes had no 206 207 recent physical or psychological traumas and had no previous exposure to any form of psychological therapy. The laboratory
was maintained at an ambient temperature of 24-26°C and a
relative humidity of 52-55% (Aneroid barometer, THB9392,
China).

212

213 Following an explanation of the study protocol, the mood states of athletes were assessed 50 minutes prior to bedtime through 214 the revised POMS questionnaire<sup>19</sup>, which has previously been 215 translated and validated.<sup>20</sup> The questionnaire included 40 items 216 and consisted of five negative mood subscales (Anger, Panic, 217 218 Tension, Depression and Fatigue) and two positive mood 219 subscales (Vigour and Self-esteem). Each was an individual 5-220 point Likert scale that athletes ranked from 0 (Not at all) to 5 221 (Extremely). Total mood disturbance was then calculated (5 total 222 negative emotional values - 2 total positive emotional values + 223 100).

224

225 Athletes were then instructed to sit and rest for 10 minutes before 226 HRV (Ignite and H10, Polar Electro, Finland) was recorded 45 227 minutes before bedtime for 10 minutes. The validity of Polar H10 at rest is comparable to that of an Holter ECG for assessing 228 RR intervals.<sup>21</sup> The use of HRV is an effective tool to assess 229 autonomic nervous activity and is often used as an indicator of 230 sympathetic and parasympathetic activity.<sup>22</sup> The indicators that 231 reflect parasympathetic activity through HRV are as follows: 232 233 parasympathetic nerve index (PNS Index), root mean square of continuous difference between RR intervals (RMSSD), mean 234 235 RR interval (MRR) and high frequency power (HF). The 236 indicators that reflect sympathetic activity include: sympathetic nerve index (SNS Index), mean heart rate (MHR), stress index 237 238 (SI), and low frequency power (LF). LF/HF stands for 239 sympathetic and parasympathetic balance. The RR interval data 240 were then exported, and the Kubios HRV-standard (ver.3.4.3) 241 software was used to calculate the autonomic nervous system 242 data as described elsewhere.<sup>23</sup>

243

HRV-biofeedback was then implemented 35 minutes before
bedtime, over a 20-minute period. Athletes were provided with
real time HRV data and an image of Bodhi tree through a selfgenerated physiological coherence system (American Heart
Math Association and Beijing Haofeng Digital Technology Co.).
The athletes simultaneously listened to music and watched the

250 Bodhi tree, whilst matching their breathing rhythm (0.1Hz) in 251 accordance with the system. The image of the Bodhi tree would 252 grow depending on the mental coordination and respiratory 253 control of the athlete (low, medium or high) (Figure 2). Based 254 on the computer screen image, athletes were able to modify 255 breathing manoeuvres in an attempt to improve autonomic nervous system control.<sup>24</sup> The biofeedback training process 256 during pilot tests and testing sessions are described elsewhere.<sup>24</sup> 257

258 259

260

#### \*Insert Figure 2\*

261 The mood states of athletes were assessed 15 minutes prior to bedtime through the revised POMS questionnaire<sup>24</sup> and HRV 262 was then reassessed 10 minutes before bedtime. After the final 263 264 measurement, athletes were instructed to lay down on the air-265 mattress (Figure 3), which was placed between the back of the 266 athlete and the bed. The air-mattress contained supporting air 267 cells, piezoelectric sensors, a signal transmission line, and a host 268 computer. Through the microphone and piezoelectric sensors, 269 the system was able to continuously monitor heart rate, body 270 movements and respiration. The Sleeptek air-mattress has been 271 successfully validated against Polysomnography and as a result 272 is registered as a medical device (20182071457) for monitoring 273 sleep within hospitals across the People's Republic of China. The 274 correlation coefficients of the air-mattress for beat to beat heart 275 rate (r=0.98, P<0.01) and breath by breath respiration (r=0.96, P<0.01) are comparable to electrocardiograms and piezoelectric 276 belts, respectively.<sup>16</sup> 277

- 278
- 279 280

## \*Insert Figure 3\*

281 Sleep efficiency was calculated as the ratio of total sleep time to 282 time in bed and sleep onset latency (SOL) as the time between 283 bedtime and falling asleep. Total sleep was made up of 4-6 cycles, with each cycle separated into Time awake, Rapid Eve 284 285 Movement (REM) and Non-Rapid Eye Movement (NREM). 286 The combination of stage 1 and 2 of NREM represented the duration of light sleep, and the combination of stage 3 and 4, 287 deep sleep duration. This allowed each sleep cycle to be divided 288 into four stages, time awake, light sleep, deep sleep and REM.<sup>25</sup> 289 290

#### 291 2.3 Statistical analysis

292 A Shapiro-Wilk test was used to confirm data normality. A 293 within-subjects linear mixed model analysis were performed to 294 examine the differences in sleep, HRV, POMS and workloads. The test times were used as a fixed effect, whilst athlete identity 295 was the random effect within the models. Selection of variance-296 297 covariance structures were based on the smallest Akaike 298 Information Criterion. Upon observing a main effect, post hoc 299 pairwise comparison with Bonferroni correction was used for the mean values. Statistical significance was accepted at P<0.05. 300

301

302 Upon the occurrence of significant differences, within-subjects 303 correlations were calculated between sleep, HRV, POMS and workloads variables.<sup>26</sup> This provided a correlation and 95% 304 confidence interval between the covariates and outcome 305 306 measures. If the 95% confidence intervals overlapped positive 307 and negative values, the effect was considered as unclear. The 308 magnitudes of correlations were classified as 309 trivial ( $r \le 0.1$ ), small (r = 0.1-0.3), moderate (r = 0.3-0.5), large (r=0.5-0.7), very large (r=0.7-0.9), and almost perfect  $(r\geq0.9)$ .<sup>27</sup> 310 311 All statistical analysis was carried out in SPSS (IBM SPSS Statistics for Windows, Version 26.0. Armonk, NY: IBM Corp.) 312 313 and figures generated in GraphPad Prism 5.0 (GraphPad 314 Software Inc. San Diego, CA).

- 315
- 316

### 317 **3 Results**

318

#### 319 **3.1 Workload metrics**

There were no significant differences in workload metrics between conditions for session duration, rest time, rating of perceived exertion and total slide time between the HRVbiofeedback and control conditions for day and evening training (P>0.05). Correlation analysis revealed no relationships between workload metrics with measures of sleep, HRV and POMS.

326 327

#### \*Insert Table 1\*

328 329

#### 3.2 Sleep quality and duration

330 HRV-biofeedback increased sleep efficiency (P=0.020, F=7.831, 331 CI=0.008 to 0.072), percentage of deep sleep (P=0.013, 332 F=10.875, CI= 0.006 to 0.035) and reduced the percentage of light sleep (P=0.034, F=6.893, CI=-0.038 to -0.002). No 333 334 differences were detected for other measures of sleep quality and 335 duration (P>0.05). Correlation analysis revealed no relationships 336 between sleep variables with workload metrics and POMS. 337 338 Post-hoc correlation analysis determined a positive association 339 between percentage of deep sleep and MRR (r=0.675, P=0.004, 340 CI=<0.001 to 0.001) and negative association between percentage of deep sleep and MHR (r=-0.715, P=0.002, CI=-341 342 0.006 to -0.002). In contrast, there was a negative association 343 between percentage of light sleep and MRR (r=-0.549, P=0.028, 344 CI=-0.001 to <-0.001) and a positive association between percentage of light sleep and MHR (r=0.652, P=0.006, 345 346 CI=0.001 to 0.006). 347 \*Insert Figure 4\* 348 349 350 \*Insert Table 2\* 351 352 3.3 Autonomic nervous system regulation 353 A main effect was observed with an increase in PNS Index (P<0.001, F=26.090), MRR (P=0.003, F=9.938), SNS index 354 355 (P=0.003, F=9.382), MHR (P=0.001, F=13.191) and SI 356 (P=0.002, F=10.318). 357 Compared with the control group, PNS Index (P<0.001, 358 359 CI=0.796 to 2.039) and MRR (P=0.003, CI=48.765 to 243.235) 360 increased. SNS Index (P=0.015, CI=-1.880 to -0.185), MHR (P=0.001, CI= -17.112 to -4.638) and SI (P=0.036, CI=-7.624 to 361 -0.226) decreased in the Post HRV-biofeedback group. 362 363 364 Compared with the Pre HRV-biofeedback group, PNS Index (P<0.001, CI=0.820 to 2.062), and MRR (P=0.009, CI=31.390 365 366 to 225.860) increased, SNS Index (P=0.003, CI=-2.117 to -367 0.423), MHR (P=0.016, CI=-15.612 to -3.138) and SI (P=0.002, 368 CI=-9.799 to -2.401) decreased in the Post HRV-biofeedback 369 group (Table 2). There were no differences observed between 370 the control and Pre HRV-biofeedback conditions (Table 3).

371	Correlation analysis revealed no relationships between HRV
372	with workload metrics and POMS.
373	
374	*Insert Table 3*
375	
376	*Insert Figure 5*
377	
378	3.4 Profile of mood states
379	A main effect was detected for anger (P=0.006, F=7.573), panic
380	(P=0.031, F=4.288), tension (P=0.011, F=6.284), depression
381	(P=0.010, F=6.016), fatigue (P<0.001, F=16.901) and total
382	mood disturbance (P=0.001, F=11.225).
383	
384	Post hoc pairwise comparisons revealed that there was a
385	difference between the HRV-biofeedback and control conditions
386	for anger (P=0.020, CI=-6.260 to -0.490), tension (P=0.033,
387	CI=-5.538 to -0.212), depression (P=0.023, CI=-5.639 to -0.361),
388	fatigue (P=0.001, CI=-7.121 to -2.129) and total mood
389	disturbance (P=0.003, CI=-26.746 to -5.504). Differences were
390	also apparent for anger (P=0.020, CI=-6.635 to -0.865), tension
391	(P=0.020, CI=-5.578 to -0.462), depression (P=0.023, CI=-5.639
392	to -0.361), fatigue (P=0.001, CI=-7.121 to -2.129) and total
393	mood disturbance (P=0.002, CI=-27.264 to -6.004) when
394	comparing the HRV-biofeedback to Pre HRV-biofeedback
395	conditions. No differences were observed between control group
396	and Pre HRV-biofeedback groups (Table 3). Correlation
397	analysis revealed no relationships between POMS with sleep
398	variables, HRV and workload metrics.
399	
400	*Insert Table 4*
401	
402	*Insert Figure 6*

#### 403 4 Discussion

404

405 This study investigated the efficacy of pre-sleep HRV-406 biofeedback on autonomic function, mood and sleep in elite 407 bobsleigh athletes. In the majority of athletes, HRV-biofeedback 408 prior to a single night's sleep increased sleep efficiency (6/8 409 athletes), deep sleep duration (7/8 athletes), and decreased light sleep duration (7/8 athletes). However, there were large 410 individual differences for improvements in SOL (5/8) and total 411 sleep time (4/8). This could be linked to the observation that 412 413 superior sleep quality was preceded by parasympathetic 414 dominance and a reduction in negative mood states for only 415 some athletes. Taken together, we can partially accept our hypothesis given that pre-sleep HRV-biofeedback improved 416 417 autonomic function and mood, which was followed by superior 418 sleep quality.

419

420 The need for adequate sleep to enhance mental health and performance has been emphasised by the International Olympic 421 Committee.<sup>28</sup> Regardless, 50% of athletes exceeded the clinical 422 threshold for poor sleep quality and 53% reported sleep 423 complaints prior to the 2016 Rio Olympic Games.<sup>29,30</sup> According 424 to the National Sleep Foundation, adults are advised to have 7-9 425 h of sleep per night.<sup>31</sup> In addition to a sufficient duration of sleep. 426 adults are also recommended to achieve good levels of sleep 427 428 quality with a combination of >85% sleep efficiency, <30minutes SOL and <20 minutes of time awake encouraged.<sup>32</sup> Only 429 430 3/8 of the Chinese Winter Olympic bobsleigh athletes met these 431 generic sleep duration and quality guidelines. Notably, HRVbiofeedback resulted in an increase to 5/8 during this standard 432 433 training period (Table 2 and Figure 4). Despite these positive findings, it is worth highlighting that approximately one quarter 434 of individuals achieving these sleep durations perceive their 435 sleep quality as fairly or very bad.<sup>30</sup> Furthermore, this one size 436 437 fits all approach does not indicate that each athlete achieved optimal levels of sleep for recovery and performance.<sup>33</sup> Indeed, 438 Olympic athletes may require as much as 10 h of sleep per 439 440 night,<sup>34</sup> although this will be dependent on the age of the athlete 441 and performance demands.

442

443 In line with previous research, we showed that HRV-444 biofeedback before a single night's sleep can improve sleep 445 quality through reducing the duration of light sleep (Stage 1) but had no effect on SOL and total sleep duration.<sup>12</sup> Although our 446 results showed that there were high levels of individual 447 448 differences for SOL and total sleep duration. This reemphasises 449 the presence of individual variability for HRV and sleep 450 parameters in elite athletes. On the contrary to Ebben and 451 colleagues, we also observed an increase in sleep efficiency and 452 deep sleep duration (Stage 2) (Figure 4). Whilst our changes in sleep effciency, percentage of deep sleep, and percentage of light 453 sleep were relatively small for some individuals, even marginal 454 improvements in sleep quality could be of relevance for 455 456 bobsleigh performance.<sup>35</sup> To our knowledge this is the first study 457 to demonstrate that pre-sleep HRV-biofeedback offers a 458 practical therapeutic strategy to improve sleep quality for some 459 Olympic athletes. It is also concievable that the benefits of HRV-460 biofeedback may be greater during periods of high physiological 461 and pyschological stress when sleep may be impaired more, such as competition phases.<sup>29,30</sup> However, further testing in 462 competition can prove difficult or even undesirable as it can lead 463 464 to further unneccessary stress for the athlete and impair performance. It would also be interesting to see the cumulative 465 466 effects of this approach over longer periods because consecutive 467 days of poor sleep can potentially hinder components of athletic 468 performance, such as muscle recovery, immune defence and 469 nuerocogntive fuction.<sup>7</sup>

470

471 As evening training sessions can result in higher levels of 472 psychophysiological arousal and increased sympathetic activity, <sup>3,35</sup> this could partially explain why many athletes did not meet 473 the general sleep duration and quality guidelines.<sup>31, 32</sup> In contrast, 474 improvements in sleep quality after HRV-biofeedback may have 475 476 been underpinned by a decrease in sympathetic and increase 477 parasympathetic activity prior to sleep (Figure 5) or by improved 478 mood (Figure 6). In support of the former, we revealed a positive 479 association for MRR and negative association for MHR with percentage of deep sleep and vice versa for percentage of light 480 sleep (Table 2 and Figure 4).<sup>37</sup> In general, our findings 481 correspond with Prinsloo et al, who reported a shift towards 482 483 parasympathetic activity and relaxation during and after a single 484 HRV-biofeedback session in those exposed to regular workrelated stress.<sup>38</sup> Such alterations in autonomic activity may assist 485

486 sleep through enhancing cardiorespiratory resting function
487 during sleep.<sup>39</sup>

488

489 Negative mood states are common in athletes during periods of 490 psychological and physical strain and can cause poor sleep quality.<sup>35</sup> Given that negative moods encourage sleep 491 disturbances and vice versa,<sup>7</sup> a vicious cycle can occur whereby 492 athletic performance deteriorates owing to mood and sleep 493 494 problems. Acute changes in the autonomic nervous system and mood can directly affect one another in a bidirectional 495 relationship.<sup>39-41</sup> In our study parasympathetic dominance prior 496 497 to sleep was accompanied with reductions in anger, depression, 498 fatigue, and total mood disturbance for all athletes. In addition, tension was reduced for the majority (6/8 athletes) (Figure 6). 499 Similarly, Windthorst et al<sup>42</sup> determined that eight sessions of 500 HRV-biofeedback can decrease feelings of fatigue and 501 depression. In addition, 3-weeks of HRV-biofeedback attenuates 502 503 anger and anxiety in patients with sympathetic over-arousal, which coincided with sleep benefits.<sup>11</sup> 504

505

#### 506 **5 Limitations**

507 It should be acknowledged that this study had several limitations. 508 Firstly, although the seven bobsleigh pilots represent the entirety 509 of the Chinese Winter Olympic team, the sample size is 510 relatively small; therefore, caution is advised when applying 511 these findings to different athletic populations. This study also 512 utilised HRV-biofeedback during a training period, it is therefore 513 unclear how these findings will transfer if HRV-biofeedback is 514 used during competition periods. In addition, it is conceivable that our study underestimated the capacity of HRV-biofeedback 515 to attenuate negative moods and enhance sleep quality given that 516 517 athletes often suffer from higher levels of anxiety and sleep 518 disruption prior to competition. Future research should explore 519 the influence of pre-sleep HRV-biofeedback during competition. 520

#### 521 6 Practical Applications

522 Pre-sleep HRV-biofeedback can be considered a practical 523 strategy that may enhance recovery and performance. However, 524 the presence of individual variability suggests that the benefits 525 of pre-sleep HRV-biofeedback can be optimised through an 526 individualised athlete approach.<sup>43</sup> These are important findings 527 given that the majority of studies on sleep in elite athletes have

500	forward on above stanicing and better understanding (the
528	focussed on characterising and better understanding 'the
529	problem', while the major strength of the current study is that it
530	directly investigates a potential solution.
531	
532	7 Conclusions
533	In summary, there is individual variability in the efficacy of pre-
534	sleep HRV-biofeedback on some parameters of autonomic
535	function, mood and sleep. Our findings demonstrate that HRV-
536	biofeedback can increase sleep efficiency, deep sleep, and
537	decrease light sleep. These benefits might be underpinned by
538	parasympathetic dominance and a reduction in negative mood
539	states.
540	
541	
542	
543	
544	7 Acknowledgements
545	The authors would like to thank the national bobsleigh team
546	coach, doctor, participating athletes and the financial support of
547	the National Key R&D Program of China (2018YFF0300801).
548	
549	
550	
551	
552	
553	
554	
555	
556	
557	
558	
559	
560	
561	
562	
563	
564	
565	
565 566	
567	
568	
569	

#### 570 **8** References 571 Komarova M. World Bobsleigh Tracks: from Geometry to 1. 572 the Architecture of Sports Facilities. Nexus Network J. 573 2018;20(1):235-249. doi:10.1007/s00004-017-0348-6 574 Olympics. Available online: 2. 575 https://olympics.com/en/news/bobsleigh-beijing-2022-576 competition-schedule (accessed on 25 February 2022). 577 Oda S, Shirakawa K. Sleep onset is disrupted following 3. pre-sleep exercise that causes large physiological 578 579 excitement at bedtime. Eur J Appl Physiol. 2014;114(9):1789-1799. PubMed ID: 24859750 580 581 doi: 10.1007/s00421-014-2873-2 582 4. Myllymäki T, Kyröläinen H, Savolainen K, et al. Effects of vigorous late-night exercise on sleep quality and cardiac 583 autonomic activity.J sleep res. 2011;20(1pt2):146-153. 584 585 PubMed ID:20673290 586 doi: 10.1111/j.13652869.2010.00874.x 587 Juliff LE, Peiffer JJ, Halson SL. Night Games and Sleep: 5. 588 Physiological, Neuroendocrine, and Psychometric 589 Mechanisms. Int J Sports Physiol Perform. 590 2018;13(7):867-873. PubMed ID: 29252066 591 doi:10.1123/ijspp.2016-0809 592 Chennaoui M, Bougard C, Drogou C, et al. Stress 6. 593 biomarkers, mood states, and sleep during a major 594 competition:"Success" and "failure" athlete's profile of 595 high-level swimmers. Front physiol. 2016;7:94. PubMed ID: 27014092 doi:10.3389/fphys.2016.00094 596 Fullagar HH, Skorski S, Duffield R, Hammes D, Coutts 597 7. AJ, Meyer T. Sleep and athletic performance: the effects of 598 sleep loss on exercise performance, and physiological and 599 cognitive responses to exercise. Sports med. 600 601 2015;45(2):161-186. PubMed ID: 25315456 doi: 602 10.1007/s40279-014-0260-0. 603 Cullen T, Thomas G, Wadley AJ, Myers T. The effects of a 8. single night of complete and partial sleep deprivation on 604 605 physical and cognitive performance: A Bayesian analysis. J 606 sports sci. 2019;37(23):2726-2734. PubMed ID: 31608829 doi:10.1080/02640414.2019.1662539 607 Lehrer PM, Gevirtz R. Heart rate variability biofeedback: 608 9. 609 how and why does it work? Frontiers in psychol. 2014;5:756. PubMed ID: 25101026 610 611 doi:10.3389/fpsyg.2014.00756

612	10.	Richard Gevirtz PhD B. The promise of heart rate
613		variability biofeedback: Evidence-based applications.
614		Biofeedback (Online). 2013;41(3):110. doi: 10.5298/1081-
615		5937-41.3.01
616	11.	Reiner R. Integrating a portable biofeedback device into
617		clinical practice for patients with anxiety disorders: Results
618		of a pilot study. Appl Psychophysiology Biofeedback.
619		2008;33(1):55-61. PubMed ID: 18286369 doi:
620		10.1007/s10484-007-9046-6
621	12.	Ebben MR, Kurbatov V, Pollak CP. Moderating laboratory
622		adaptation with the use of a heart-rate variability
623		biofeedback device (StressEraser®). Appl
624		Psychophysiology Biofeedback. 2009;34(4):245-
625		249.PubMed ID: 19418214 doi: 10.1007/s10484-009-
626		9086-1
627	13.	Juliff LE, Halson SL, Peiffer JJ. Understanding sleep
628		disturbance in athletes prior to important competitions. $J$
629		sci med sport. 2015;18(1):13-18. PubMed ID: 24629327
630		doi:10.1016/j.jsams.2014.02.007
631	14.	McKay AK, Stellingwerff T, Smith ES, et al. Defining
632		Training and Performance Caliber: A Participant
633		Classification Framework. Int J sports physiol
634		perform.2022;1(aop):1-15. PubMed ID:34965513
635		doi:10.1123/ijspp.2021-0451
636	15.	Bilan A, Witczak A, Palusiński R, Myśliński W, Hanzlik J.
637		Circadian rhythm of spectral indices of heart rate
638		variability in healthy subjects. <i>J Electrocardiol</i> .
639		2005;38(3):239-243. PubMed ID: 16003709 doi:
640		10.1016/j.jelectrocard.2005.01.012
641	16	Shin JH, Chee YJ, Jeong D-U, Park KS. Nonconstrained
642	10.	sleep monitoring system and algorithms using air-mattress
643		with balancing tube method. <i>IEEE Trans Inf Technol</i>
644		<i>Biomed.</i> 2009;14(1):147-156. PubMed ID: 19846378
645		doi: 10.1109/TITB.2009.2034011
646	17	Lastella M, Roach GD, Halson SL, Sargent C. The
647	17.	chronotype of elite athletes. <i>J hum kinet</i> . 2016;54:219.
648		PubMed ID: 28031772 doi: 10.1515/hukin-2016-0049
649	18	Hastings MH, Maywood ES, Brancaccio M. Generation of
650	10.	circadian rhythms in the suprachiasmatic nucleus. <i>Nat Rev</i>
651		<i>Neurosci.</i> 2018;19(8):453-469. PubMed ID: 29934559 doi:
652		10.1038/s41583-018-0026-z
653	19	Grove JR, Prapavessis H. Preliminary evidence for the
000	17.	Stove sit, i inputessis in i forminary evidence for the

654		reliability and validity of an abbreviated profile of mood
655		states. <i>Int J Sport Psychol</i> . 1992: 23(22), 93–109.
656		doi:10.1007/BF00636229
657	20	Zhu Beili. [Introduction to POMS Scale and Simple
658	-0.	Chinese Norm] [J]. <i>Journal of Tianjin Institute of Physical</i>
659		<i>Education</i> .1995;(01): 35-7. (in Chinese)
660	21.	Gilgen-Ammann R, Schweizer T, Wyss T. RR interval
661		signal quality of a heart rate monitor and an ECG Holter at
662		rest and during exercise. <i>Eur J Appl Physiol</i> .
663		2019;119(7):1525-1532. PubMed ID: 31004219
664		doi:10.1007/s00421-019-04142-5
665	22.	Oskooei A, Chau SM, Weiss J, Sridhar A, Martínez MR,
666		Michel B. Destress: deep learning for unsupervised
667		identification of mental stress in firefighters from heart-rate
668		variability (hrv) data. In: <i>Expl AI Heal Med</i> . Springer;
669		2021:93-105. doi:10.1007/978-3-030-53352-6 9
670	23.	Tarvainen MP, Niskanen J-P, Lipponen JA, Ranta-Aho PO,
671		Karjalainen PA. Kubios HRV-heart rate variability analysis
672		software. Comput Methods Programs Biomed.
673		2014;113(1):210-220. PubMed ID: 24054542
674		doi.org/10.1016/j.cmpb.2013.07.024
675	24.	Lehrer PM, Vaschillo E, Vaschillo B. Resonant frequency
676		biofeedback training to increase cardiac variability:
677		Rationale and manual for training. Appl Psychophysiology
678		Biofeedback. 2000;25(3):177-191.PubMed ID: 10999236
679		doi:10.1023/a:1009554825745
680	25.	Aserinsky2 E, Kleitman N. Regularly occurring periods of
681		eye motility, and concomitant phenomena, during sleep. $J$
682		neuropsychiatry Clin neurosci. 2003;15(4):454-455.
683		PubMed ID: 13089671 doi: 10.1176/jnp.15.4.454
684	26.	Bland JM, Altman DG.Calculating correlation coefficients
685		with repeated observations: Part 1-Correlation within
686		subjects. Bmj. 1995;310 (6977):446.
687		doi: 10.1136/bmj.310.6977.446
688	27.	Hopkins WG, Marshall SW, Batterham AM, Hanin J.
689		Progressive statistics for studies in sports medicine and
690		exercise science. Med Sci Sports Exerc. 2009;41(1):3-13.
691		PubMed ID:19092709
692	-	doi: 10.1249/MSS.0b013e31818cb278
693	28.	Reardon CL, Hainline B, Aron CM, et al. Mental health in
694		elite athletes: International Olympic Committee consensus
695		statement (2019). Br J sports med. 2019;53(11):667-699.

696		PubMed ID: 31097450 doi: 10.1136/bjsports-2019-100715
	20	0 1
697	29.	Drew M, Vlahovich N, Hughes D, et al. Prevalence of
698		illness, poor mental health and sleep quality and low
699		energy availability prior to the 2016 Summer Olympic
700		Games. <i>Br J sports med.</i> 2018;52(1):47-53. PubMed ID:
701		29056598 doi:10.1136/bjsports-2017-098208
702	30.	Silva A, Narciso FV, Rosa JP, et al. Gender differences in
703		sleep patterns and sleep complaints of elite athletes. Sleep
704		Sci. 2019;12(4):242. PubMed ID: 32318244
705		doi: 10.5935/1984-0063.20190084
706	31.	Hirshkowitz M, Whiton K, Albert SM, et al. National Sleep
707		Foundation's sleep time duration recommendations:
708		methodology and results summary. Sleep Heal.
709		2015;1(1):40-43. PubMed ID: 28346153 doi:
710		10.1016/j.sleh.2016.11.006.
711	32.	Ohayon M, Wickwire EM, Hirshkowitz M, et al. National
712		Sleep Foundation's sleep quality recommendations: first
713		report. Sleep Heal. 2017;3(1):6-19. PubMed ID: 28346153
714		doi: 10.1016/j.sleh.2016.11.006.
715	33.	Walsh NP, Halson SL, Sargent C, et al. Sleep and the
716		athlete: narrative review and 2021 expert consensus
717		recommendations. Br J sports med. 2021;55(7):356-368.
718		PubMed ID: 33144349 doi: 10.1136/bjsports-2020-102025
719	34.	Calder A. Recovery strategies for sports performance.
720		USOC Olympic Coach E-Magazine. 2003;15(3):8-11.
721	35.	Halson, S. L. Sleep in elite athletes and nutritional
722		interventions to enhance sleep. Sports Med. 2014; 44(1),
723		13-23. PubMed ID: 24791913 doi: 10.1007/s40279-014-
724		0147-0
725	36.	Gupta L, Morgan K, Gilchrist S. Does elite sport degrade
726		sleep quality? A systematic review. <i>Sports Med.</i>
727		2017;47(7):1317-1333. PubMed ID: 27900583 doi:
728		10.1007/s40279-016-0650-6
729	37.	Costa JA, Figueiredo P, Nakamura FY, Rebelo A, Brito J.
730		Monitoring Individual Sleep and Nocturnal Heart Rate
731		Variability Indices: The Impact of Training and Match
732		Schedule and Load in High-Level Female Soccer Players.
733		Front Physiol. 2021;12:678462 Pubmed ID: 33981255
733 734		doi:10.1371/journal.pone.0218635
735	38	Prinsloo GE, Rauch HL, Karpul D, Derman WE. The effect
736	50.	of a single session of short duration heart rate variability
730 737		biofeedback on EEG: a pilot study. <i>Appl psychophysiol</i>
131		oforecuback on EEO. a phot study. Appl psychophysiol

738		Biofeedback. 2013;38(1):45-56. PubMed ID: 23129056
739		doi: 10.1007/s10484-012-9207-0
739 740	20	Sakakibara M, Hayano J, Oikawa LO, Katsamanis M,
740 741	59.	Lehrer P. Heart rate variability biofeedback improves
741 742		cardiorespiratory resting function during sleep. <i>Appl</i>
742 743		psychophysiol Biofeedback. 2013;38(4):265-271. PubMed
743 744		ID: 23959190 doi: 10.1007/s10484-013-9232-7
744 745	40	McCraty R, Atkinson M, Tiller WA, Rein G, Watkins AD.
745 746	40.	The effects of emotions on short-term power spectrum
747		analysis of heart rate variability. <i>Am J Cardiol.</i>
748		1995;76(14):1089-1093. PubMed ID: 7484873
749		doi: 10.1016/s0002-9149(99)80309-9
750	41	Kop WJ, Synowski SJ, Newell ME, Schmidt LA,
751		Waldstein SR, Fox NA. Autonomic nervous system
752		reactivity to positive and negative mood induction: The
753		role of acute psychological responses and frontal
754		electrocortical activity. <i>Biol Psychol</i> . 2011;86(3):230-238.
755		PubMed ID: 21182891
756		doi.org/10.1016/j.biopsycho.2010.12.003
757	42.	Windthorst P, Mazurak N, Kuske M, et al. Heart rate
758		variability biofeedback therapy and graded exercise
759		training in management of chronic fatigue syndrome: An
760		exploratory pilot study. J psychosom res. 2017;93:6-13.
761		PubMed ID: 28107894
762		doi:10.1016/j.jpsychores.2016.11.014
763	43.	Costa J, Figueiredo P, Nakamura F, Rago V, Rebelo A,
764		Brito J. Intra-individual variability of sleep and nocturnal
765		cardiac autonomic activity in elite female soccer players
766		during an international tournament. PLoS One.
767		2019;14(9):e0218635. Pubmes ID: 31527865 doi:
768		10.1371/journal.pone.0218635
769		
770		
771		
772		
773		
774		
775		
776		
777		
778		
779		

780	Figure captions
781	
782	Figure 1: Experimental protocol
783	
784	Figure 2: The three stages of Bodhi tree growth that represent
785	low, medium and high mental coordination and respiratory
786	control
787	
788	Figure 3: Schematic diagram of air-mattress installation
789	
790	Figure 4: Individual responses with mean ± standard deviation
791	for (a) Light sleep time (LST), (b) Deep sleep time (DST), (c)
792	Rapid eye movement (REM), (d) Time awake (TA), (e) Sleep
793	efficiency (SE), (f) Sleep onset latency (SOL) and (g)Total sleep
794	time (TS) (n=8). *= a significant difference between control and
795	HRV-biofeedback (P<0.05).
796	
797	Figure 5: Individual responses with mean ± standard deviation
798	for (a) Sympathetic nervous system index (SNS index), (b) Mean
799	heart rate (MHR), (c) Stress index (SI), (d) Parasympathetic
800	nervous system index (PNS index) and (e) Mean RR interval
801	(MRR) (n=8). *= a significant difference between pre and post
802	HRV-biofeedback (P<0.05). **= a significant difference
803	between pre and post HRV-biofeedback (P<0.01). $\nabla$ = a
804	significant difference between control and post HRV-
805	biofeedback (P<0.05). $\nabla \nabla$ = a significant difference between
806	control and post HRV-biofeedback (P<0.01).
807	
808	Figure 6: Individual responses with mean $\pm$ standard deviation
809	for (a) Anger, (b) Tension, (c) Depression, (d) Fatigue and (e)
810	Total mood disturbance (n=8). *= a significant difference
811	between pre and post HRV-biofeedback (P<0.05). **= a
812	significant difference between pre and post HRV-biofeedback
813	(P<0.01). $\nabla$ = a significant difference between control and post
814	HRV-biofeedback (P<0.05). $\nabla \nabla =$ a significant difference
815	between control and post HRV-biofeedback (P<0.01).
816	
817	
818	
819	
820	
821	

# **Table titles and captions**

022	
823	
824	Title - Table 1: Workload metrics for bobsleigh athletes in
825	control and HRV-biofeedback conditions (n=8).
826	
827	Caption - Day training (DT), evening training (ET), and rating
828	of Perceived Exertion (RPE). Values expressed as mean with the
829	95% confidence interval.
830	
831	Title - Table 2: The sleep onset times and wake times for
832	individual bobsleigh athletes (n=8).
833	
834	Title - Table 3: Measures of HRV in the control condition and
835	pre and post the HRV-biofeedback condition (n=8).
836	
837	Caption - Sympathetic nervous system index (SNS index), mean
838	heart rate (MHR), stress index (SI), parasympathetic nervous
839	system index (PNS index), Root mean square of successive RR
840	interval differences (RMSSD), mean RR interval (MRR), low-
841	frequency (LF), high-frequency (HF) and low-frequency and
842	high-frequency ration (LF/HF). Values expressed as mean and
843	95% confidence interval. *= a significant difference between pre
844	and post HRV-biofeedback (P<0.05). **= a significant
845	difference between pre and post HRV-biofeedback (P<0.01). $\nabla$ =
846	a significant difference between control and post HRV-
847	biofeedback (P<0.05). $\nabla \nabla =$ a significant difference between
848	control and post HRV-biofeedback (P<0.01).
849	
850	Title - Table 4: Profile of mood states in the control condition
851	and pre and post the HRV-biofeedback condition (n=8).
852	
853	Caption - Values expressed as mean and 95% confidence
854	interval. *= a significant difference between pre and post HRV-
855	biofeedback (P<0.05). **= a significant difference between pre
856	and post HRV-biofeedback (P<0.01). $\nabla$ = a significant difference
857	between control and post HRV-biofeedback (P<0.05). $\nabla \nabla = a$
858	significant difference between control and post HRV-
859	biofeedback (P<0.01).