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ARRAY TOMOGRAPHY: 15 YEARS OF SYNAPTIC ANALYSIS

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47 **ABSTRACT**

48

49 Synapses are minuscule, intricate structures crucial for the correct communication between
50 neurons. In the 125 years since the term synapse was first coined, we have advanced a long
51 way when it comes to our understanding of how they work and what they do. Most of the
52 fundamental discoveries have been invariably linked to advances in technology. However,
53 due to their size, delicate structural integrity and their sheer number, our knowledge of
54 synaptic biology has remained somewhat elusive and their role in neurodegenerative
55 diseases still remains largely unknown. Here, we briefly discuss some of the imaging
56 technologies used to study synapses and focus on the utility of the high-resolution imaging
57 technique array tomography. We introduce the array tomography technique and highlight
58 some of the ways it is utilised with a particular focus on its power for analysing synaptic
59 composition and pathology in human post-mortem tissue. We also discuss some of the
60 benefits and drawbacks of techniques for imaging synapses and highlight some recent
61 advances in the study of form and function by combining physiology and high-resolution
62 synaptic imaging.

63

64 **INTRODUCTION**

65

66 Throughout history, scientific advances are almost always tied to technological
67 breakthroughs, and this holds true within the field of neuroscience. Ramón Santiago y Cajal
68 used contemporary staining techniques in the late 1800's to highlight with exquisite
69 neuroanatomical detail and draw the most beautiful – and anatomically accurate – images
70 of brain cells and other biological structures (1,2). Thanks to pioneering work in the early
71 1900's by neuroscientists like Otto Loewi (3,4), Henry Dale and Paul Gaddum (5), we now
72 understand the chemical nature of synapses and how neurotransmitters control neuronal
73 function. More recently, in the 1970's, Neher and Sakmann developed patch clamp
74 electrophysiology (6,7), at the time a revolutionary technique that allowed the recording of
75 single ion channel activity in neurons, helping researchers explore the fundamental
76 processes that govern neuronal activity. Our more recent advances in understanding
77 synaptic function are built upon many of these foundational studies and techniques.

78

79 All the machinery required for the generation, release, receipt and response to
80 neurotransmitters is maintained within minute synaptic compartments. Incredibly, it is
81 estimated we have more of these synaptic contacts in our brain than there are stars in our
82 galaxy (8). Given their astronomical number, it follows that their size would be in the
83 nanoscale. This is why visualising synapses is incredibly difficult, yet essential, since form
84 and function go hand in hand and understanding their structure can provide crucial
85 information on how they work. However, it wasn't until the invention of the electron
86 microscope in the mid 1900's that we could fully appreciate the intricacy of a synapse.

87

88 In 1955, the first electron microscopy image of a vertebrate's central nervous system
89 synapse was published (9). Electron microscopy uses a controlled beam of electrons rather
90 than light to visualise the sample, which means resolutions in the low tens of nanometres
91 can be achieved. This technique helped increase our knowledge of synapse structure and
92 allowed researchers to visualize critical synaptic components such as presynaptic vesicles
93 and the post synaptic density for the first time. Electron microscopy remains the gold

94 standard technique for studying synaptic anatomy. The two main electron microscopy
95 modalities are transmission electron microscopy (TEM) and scanning electron microscopy
96 (SEM). Essentially TEM relies on electrons travelling through ultrathin sample sections to
97 build a monochrome 2-dimensional image, whereas SEM detects the electrons as they
98 rebound off the sample providing a 3-dimensional image of the sample surface. The use of
99 TEM or SEM will depend on the kind of information you want to gather from your sample
100 and for a more detailed description of their sample requirements and differences in image
101 capture, there are several comprehensive reviews on the topic (10,11). Retaining the
102 synaptic ultrastructural integrity throughout the sample processing can be challenging. It is
103 also worth noting that electron microscopy is labour intensive which usually restricts the
104 study to only a relatively small number of synapses at one given time, as well as being quite
105 technically demanding and requiring expensive, specialised equipment. Nonetheless,
106 electron microscopes combined with block-face trimming can automate the process of
107 imaging large blocks of cortex at a synaptic level. This provides invaluable data on neuronal
108 connectivity and synaptic architecture, but the amount of data generated is difficult to
109 handle which means this approach is often restricted to large institutes and consortia
110 employing many research staff, such as The Machine Intelligence from Cortical Networks
111 (MICrONS) program at the Allen Brain institute, who are using TEM and artificial intelligence
112 to reconstruct 200,000 cortical cells and 500,000,000 synapses (12,13). Another handicap is
113 the fact that labelling proteins of interest using immuno-EM techniques is often hindered by
114 poor antibody penetration due to resin embedding. This can sometimes be bypassed by
115 performing post-embedding immuno-EM, but not all antibodies are suitable for this
116 approach and rigorous controls are needed. Non-specific labelling, resin embedding issues
117 and an overall lack of suitable antibodies, means studies of synaptic protein composition
118 can prove difficult using electron microscopy (14), therefore we need complimentary
119 techniques that overcome the inescapable drawbacks.

120
121 Fluorescence-based light microscopy has advanced dramatically in recent decades, with the
122 development of high-resolution and super-resolution techniques. However with even the
123 most powerful confocal microscope, it is difficult to visualize individual objects that are
124 within approximately 250nm of each other in the x-y plane. This is due to the unavoidable
125 light diffraction limit which is determined by the microscope optics and the wavelength of
126 light emitted by the detection fluorophore. Furthermore, mostly due to light scatter, the
127 best confocal axial resolution (z-plane) within tissue is around 0.5-1 micron. Synapses are
128 tightly packed into a dense neuropil and although deconvolved confocal imaging may
129 distinguish synapses in two dimensions, it will not achieve the resolution required to
130 observe single synapses accurately and consistently in 3-dimensions (Figure 1A). Therefore,
131 imaging techniques that can break this diffraction limit are required to accurately identify
132 individual synapses within intact tissue. Super resolution microscopy techniques were
133 developed by the Nobel Prize winning collective of Eric Betzig, Stefan Hell, and William E.
134 Moerner, and have facilitated huge advances in our understanding of neuronal and synaptic
135 composition (15). However, although techniques such as STORM, STED and PALM can
136 achieve resolutions beyond the diffraction limit, they are expensive, require extensive
137 optimisation and experienced users.

138
139 Essentially, the technique of choice comes down to the question being addressed. For
140 instance, if the aim is to study synaptic protein composition at scale, mass spectrometry

141 based proteomics on synaptically enriched samples from fresh frozen tissue can identify
142 thousands of proteins (16–18). This technique has provided crucial information on the
143 molecular structure of the synapse and revealed numerous disease-associated protein
144 changes (18–20). This approach also allows for protein quantification, but at the cost of any
145 intact tissue context as the entire sample is homogenised before analysis. Obviously, this
146 also prevents any analysis of synaptic density or anatomy. Ideally, in many circumstances, it
147 would be useful to analyse multiple proteins in individual synapses, in intact tissue, and in a
148 high-throughput manner. Furthermore, from a disease context, the reliance on animal
149 models has proved somewhat unreliable for impactful translational output, and arguably, a
150 greater characterisation of human post-mortem material will provide greater insight into
151 the molecular mechanisms driving neurodegenerative disease. Given the critical role of
152 synapses in normal brain function and their early breakdown in neurodegenerative disease,
153 it is important we have the tools to study molecular changes within identifiable synaptic
154 populations, to unravel the molecular mechanisms driving synapse loss.
155

156 Here we will introduce the high-resolution imaging technique array tomography which
157 allows multiplexed analysis at the single synapse level of human brain and summarise its
158 impact on synaptic biology over the past 15 years.
159

160 **ARRAY TOMOGRAPHY**

161
162 Since its development in 2007 (22) and its later adaptation for use in human post-mortem
163 tissue (14), the high-resolution imaging technique known as immunofluorescent array
164 tomography (AT) has been an invaluable tool for studying synapses at both a density
165 analysis and protein composition level, without the need for highly specialised microscopes.
166 Array tomography overcomes the axial resolution problem of light microscopy by the simple
167 – yet effective – approach of physically dissecting the tissue in ultrathin (70nm) serial
168 sections of resin-embedded post-mortem tissue (Figure 1B, Figure 2A-C). This technique is
169 not to be confused with SEM AT, which applies a similar ultrathin dissection approach but
170 uses an EM to visualise the sample and post hoc 3-dimensional rendering. The serial array
171 tomography sections – also called ribbons – can then be stained using standard
172 immunofluorescence protocols and the antibodies can be stripped and the sample can be
173 re-stained (23,24). This already proves an advantage over electron microscopy as it allows
174 multiplexing of several proteins (25) and it avoids the use of heavy metals, making it a safer,
175 more accessible technique. Multiplexing is a huge advantage of array tomography, allowing
176 the analysis of multiple proteins within the same individually resolved synapses.
177 Theoretically, this process can be performed repeatedly, however multiple rounds of
178 washes and buffers eventually take their toll on the tissue. Extensive validation is required
179 to ensure antibodies are efficiently stripped, otherwise contamination of previous staining
180 can influence the interpretation of future staining rounds. Over repeated strips, tissue
181 quality degrades and staining performance drops, however this approach has yielded good
182 quality imaging over four staining rounds of pathological amyloid proteins in the brain of a
183 mouse model of Alzheimer’s disease (26) and allowed the identification of 18 synaptic
184 markers for stratifying excitatory and inhibitory synapses over 6 rounds of staining and
185 imaging (25). The images can be obtained using a widefield epifluorescence microscope,
186 which is more convenient than EM and super resolution techniques (23). Array tomography

187 is accessible to many research teams with access to a few key pieces of equipment, often
188 readily available in many imaging facilities.

189 The analysis performed after image acquisition will vary depending on the question being
190 investigated, but all array tomography analyses begin with 2-dimensional alignment of the
191 serial images. Once the images are accurately aligned, they are rendered into 3-dimensional
192 image stacks. These steps can be performed successfully with image alignment tools in
193 open-access platforms such as FIJI, but many labs are now writing their own scripts for
194 automating batch alignments and downstream analyses. For example, we perform synapse
195 density analysis and protein co-localisation analysis on segmented images (Figure 3A-B) with
196 freely-available MATLAB scripts (27,28) and use open-access visualization software for 3D
197 rendering (29) (Figure 3C). Essentially, the script cleans up the images by removing puncta
198 only found in one section (most likely background) and retaining 3-dimensional puncta.
199 These are segmented and binarized, ready for counting. Several imaging channels can be
200 prepared in the same way and co-localisation of proteins at the single synapse level,
201 quantified. Ultimately, the goal is to accurately segment and quantify true synaptic puncta
202 in 3-dimensions and assess the co-localisation of target proteins. More complex custom-
203 made scripts have been generated to accurately identify synapse subtypes and even
204 subsynaptic composition, using a combination of closely opposed pre and postsynaptic
205 proteins (30). The researchers called this approach SubSynMAP (SUB-SYNaptic, Multiplexed
206 Analysis of Proteins). As research teams start tackling larger 3-dimensional volumes, the
207 sheer size of the image files and analysis demands will require significant hardware
208 performance and storage capabilities. Likely researchers will naturally navigate towards
209 cloud-based software and machine learning approaches to efficiently process and analyse
210 the vast amounts of imaging data.

211
212 We have briefly summarised our array tomography protocol below (Figure 2 A-F) but more
213 detail can be found in Kay et al., 2013 (14) and in the excellent and comprehensive review
214 by one of the inventors of the technique, Prof. Stephen Smith (23).

215 216 **UTILITY OF ARRAY TOMOGRAPHY**

217
218 In most neurodegenerative diseases, such as Alzheimer's, Parkinson's, Huntington's and
219 Motor Neuron Disease, synapse degeneration is thought to occur prior to neuronal loss (31).
220 This suggests synapses are vulnerable in disease, but importantly we know they are also
221 extremely plastic, making them an exciting avenue for therapeutics to slow or even stop
222 disease progression. However, there is still a lot to learn about the underlying mechanisms
223 driving synaptic pathology, which is why having different imaging tools in our arsenal will be
224 essential in making those advances.

225
226 Array tomography has been used as a high-resolution imaging technique to study synapses
227 in several disease contexts, such as Alzheimer's disease, Fragile-X syndrome (FXS) and
228 Amyotrophic Lateral Sclerosis (ALS) (26,32–38). Dr. Kristina Micheva, one of the pioneers of
229 this technique along with Prof. Stephen Smith, has recently used it to study the involvement
230 of different synapse subtypes in FXS (38). In FXS, increased tortuous spine density is well-
231 characterised at an anatomical level in mouse models, but the molecular identity of these
232 changes has been difficult to discern (39,40). They revealed a layer-specific increase in small
233 VGLUT1 positive synapses in the somatosensory cortex and a decrease of large VGLut1-

234 positive synapses, as well as a decrease of large inhibitory synapses throughout all cortical
235 layers, in a model of FXS. Interestingly, they also found a change in the astrocytic association
236 with excitatory synapses. This level of spatial and molecular detail at this scale would have
237 proven extremely difficult with other imaging approaches and really showcases the power
238 of single-synapse, multiplex imaging of intact tissue.

239

240 Prof. Tara Spires-Jones was one of the first neuroscientists to utilise the power of array
241 tomography for the analysis of human post-mortem brain. Her work has led to significant
242 advances in our understanding of several mechanisms involved in synaptic pathology in
243 Alzheimer's disease. For example her team have described the presence of β -amyloid
244 (26,41), and Tau in Alzheimer's synapses (26,35,42–45) as well as the synaptic presence of
245 α -synuclein, tau and β -amyloid in synapses of dementia with Lewy bodies brains (33,46).
246 More recently, they have also used array tomography to reveal loss of inhibitory synapses
247 near amyloid plaques in Alzheimer's disease and an accumulation of amyloid in remaining
248 synapses (41). Furthermore, her team have also begun an important programme of work
249 exploring synaptic changes within a healthy-ageing cohort (47,48).

250

251 It is important to note that other groups have used this technique to study several aspects
252 of synapse biology in different contexts. Granger et al., studied the localisation of several
253 synaptic proteins in the pre-synapse of ChAT⁺ and VIP⁺ interneurons in the mouse cortex
254 (49). Array tomography has also been used to understand the synaptic connectome, for
255 instance Bloss et al., saw that presynaptic axons form clusters of synapses on the distal
256 dendrites of CA1 pyramidal neurons in mouse hippocampus (50); moreover, Rah et al., used
257 array tomography to assess synaptic input to the layer 5 pyramidal neurons in mouse
258 somatosensory cortex (51).

259

260 As a research group, we are interested in the association between synapse degeneration
261 and clinical presentation of ALS. Briefly, ALS is a devastatingly fatal neurodegenerative
262 disease primarily affecting motor neurons, which leads to muscle weakness, difficulty
263 swallowing and talking, and eventually inability to breathe (which is usually the cause of
264 death, occurring 2-5 years after diagnosis). Up to 50% of ALS patients will also display some
265 level of cognitive or behavioural impairment, which is very important as these patients have
266 a worse prognosis, and we still do not understand the underlying pathological correlate (52–
267 54).

268

269 In ALS, motor neurons die. Two of the main ideas as to why that happens are the die back
270 vs. die forward hypotheses. The die back hypothesis postulates that, following a toxic insult,
271 there is synaptic degeneration, which leads to axonal retraction and motor neuron death
272 (55–57); whereas the die forward hypothesis centres on excitotoxicity, claiming a
273 hyperexcitability of the motor neurons or an excess of glutamate leads to an increase of
274 intracellular calcium, which is toxic to the cells therefore causing neuronal death (58–64). It
275 is important to highlight that both hypotheses place synapses at the core. In fact, synapse
276 loss preceding motor neuron death has been found to occur both in the peripheral and
277 central nervous system in ALS (65–69). While working in the Spires-Jones lab, we used array
278 tomography to show that synapse loss in the dorsolateral prefrontal cortex of ALS patients
279 was also linked to cognitive impairments (34). This was the first evidence that synapse loss is
280 associated with the cognitive features of many ALS patients. Array tomography allowed us

281 to analyse approximately 45,000 synapses per sample, compared to 100 per sample by TEM.
282 It also allowed us to show for the first time, that ALS-associated pathology was found at the
283 human synapse.

284

285 Following this discovery, our interest lies in understanding the pathomechanisms underlying
286 synapse degeneration in ALS, with a special focus in using human post-mortem tissue. We
287 use array tomography to study potential links between synapse loss in different areas of the
288 brain and symptoms displayed by patients, such as different types of cognitive or
289 behavioural impairments. We also use array tomography to study the potential synaptic
290 localisation of ALS-associated proteins, namely, aggregation-prone proteins known to be
291 associated with ALS such as TDP-43 (aggregates are found in up to 97% of cases (70), and
292 mutations in TDP-43 are disease-causative (71)) or FUS (protein aggregates in ALS and
293 mutations in gene are disease-causative (72–75)). To do so, we use array tomography to
294 study the colocalisation of target proteins with either pre or post synaptic markers. This
295 level of molecular detail is difficult to obtain accurately with other imaging methods unless
296 you have the ability and resources to perform super-resolution microscopy.

297

298 While array tomography has facilitated great insight into synaptic composition and
299 pathology in disease, there are caveats that currently prevent its mainstream use. Resin-
300 embedding can mask epitopes and make some proteins difficult to visualise. Learning to use
301 an ultramicrotome is time-consuming and a demanding technique that some will not
302 master. Analysing and interpreting thousands of synaptic puncta in 3D, can be challenging.
303 However, all these caveats are surmountable and the rewards for persevering are
304 significant. As the field advances and technology improves, it is interesting to see how the
305 benefits of array tomography are incorporated to unlock their full potential and we will
306 discuss some of these below.

307

308

309 **RECENT ADVANCES IN ARRAY TOMOGRAPHY**

310

311 Array tomography can be combined with other imaging techniques in what's called
312 conjugate AT (50,76,77). For example, careful sample processing allows the combination of
313 array tomography with EM. The ultrathin tissue ribbons are immunostained and imaged as
314 per conventional immunofluorescent array tomography protocols then the ribbons are
315 washed and prepped for EM imaging. This technique consequently combines the anatomical
316 insight of EM with fluorescent multiplexing of several proteins, providing both anatomical
317 and molecular data at a single synapse resolution. The combination of different protocols
318 can affect ultrastructure and immunostaining so currently only a very small number of labs
319 have optimized this approach. As processing techniques improve, the power of combining
320 these anatomical and molecular imaging modalities will be fully realised. Another
321 development is the use of super-resolution imaging on array tomography ribbons. Recent
322 work studying the amyloid composition within and around amyloid plaques in Alzheimer's
323 disease, reconstructed an entire amyloid plaque using confocal-array tomography and STED-
324 array tomography (78). The improved resolution and detection of smaller amyloid
325 fragments when array tomography and STED were combined, highlights this combination of
326 imaging modalities as a powerful way to achieve super-resolution in all three dimensions.
327 Also, array tomography has recently been combined with structured illumination

328 microscopy (SIM), to reveal distinct cortical synaptic input from different areas of the
329 thalamus in mouse brain (79). The research team named this approach structured
330 illumination microscopy on the putative region of interest on ultrathin sections (SIM-PRIUS).
331 Combining super-resolution imaging and array tomography appears an attractive method
332 for gaining 3-dimensional data at the nanoscale, but at present is not widely accessible due
333 to the limitations of super-resolution imaging noted previously.

334

335 Some groups have combined the study of form and function by performing array
336 tomography on physiologically characterised synapses (80–82). They achieved this by filling
337 neurons with a dye via their recording electrode, and then embedding the tissue in resin
338 before performing array tomography. These studies provide exquisite detail on the synaptic
339 connectivity between paired cells. Holderith et al., have devised an improved protocol for
340 the imaging of physiologically characterised synapses in epoxy resin-embedded tissue (83).
341 This paper is an impressive resource, describing several advances in the utility of array
342 tomography and an improved methodology. For instance, some epitopes can be blocked by
343 the hard resin used for array tomography, yet this paper describes alternative tissue
344 embedding and processing approaches, increasing the success rate for primary antibody
345 binding. The ultrathin ribbons were etched with Na-ethanolate to improve antigenicity and
346 could be labelled in multiple rounds, allowing for the multiplexing of proteins. They also
347 performed STED microscopy on characterised synapses, gaining super-resolution in all three
348 dimensions. To highlight the power of their optimised imaging protocol they describe its use
349 following paired recordings, two-photon $[Ca^{2+}]$ or glutamate-sensor (iGluSnFR) imaging in
350 slice preparations. They discovered that axonal varicosities in which Ca^{2+} release was not
351 evoked by an action potential contained vGluT1 but did not contain Munc-13-1 or opposing
352 PSD-95. This suggests these axonal swellings contain presynaptic vesicles but do not contain
353 a presynaptic active zone and do not form functional synapses. A striking example of
354 correlated function and molecular composition at a single synapse scale.

355

356 These new approaches allow us to closely correlate changes in synapse function (based on
357 the electrophysiological recordings) with changes in their structure or protein composition.
358 As technology develops, the high-resolution scrutiny of physiologically characterised
359 synapses is becoming more attainable, opening many avenues of research, and providing
360 crucial understanding of synaptic biology. Furthermore, these studies highlight array
361 tomography as a powerful yet accessible imaging tool that can be utilised to provide form to
362 functional readouts. Reflecting on how these 125 years have shaped and shifted our
363 understanding of the synapse, we can't help but wonder what Sir Sherrington would say if
364 he were able to see the beautiful images of synapses in the fantastic detail that array
365 tomography provides.

366

367

368 **FIGURE LEGENDS**

369

370 **Figure 1. Array Tomography: Improving axial resolution by physical dissection. A)** Due to
371 the limitations of confocal resolution and light scatter within tissue, some synapses within a
372 3D space will not be resolved, as their fluorophore emission will overlap and be recorded as
373 one large puncta. **B)** Array tomography addresses this problem by physically sectioning the
374 tissue at 70nm. This significantly improves axial resolution from 500-1000nm to 70nm.

375 Synapses are larger than 70nm so real synaptic puncta should be present in several
376 consecutive sections. Only collecting and analysing these 3D puncta allows for accurate
377 single synapse identification. A summary of the processing can be found in Figure 2. Created
378 with BioRender.com.

379

380 **Figure 2. Array tomography workflow summary.** **A)** Tissue is obtained from fresh post-
381 mortem brain, lightly-fixed in 4% PFA and then embedded in an LR White resin capsule. **B)**
382 Blocks are cut into approximately 20 serial sections of 70nm thickness using an
383 ultramicrotome and the ribbon is collected onto gelatin-coated coverslips. **C)** Ribbons are
384 blocked and stained using standard immunostaining protocols and mounted on to a slide. **D)**
385 Images are taken using a widefield epifluorescence microscope equipped with a 63x 1.4NA
386 Plan Achromat objective. **E)** 20 consecutive images are taken at the same position along
387 the ribbon and then stacked into 3D volumes ready for analysis. **F)** Post hoc 3D rendering of
388 pre-synapses (synaptophysin; green) and nuclei (DAPI; blue). Created with BioRender.com

389

390 **Figure 3. Basic image editing workflow.** **A)** Example of a stacked array tomography image,
391 consisting of 20 serial 70 nm sections. Synaptophysin (presynapse) in magenta and PSD95
392 (postsynapse) in cyan. **B)** Example of the same image after segmentation, which removes
393 background puncta present in only one 70nm section and binarizes the retained synaptic
394 puncta which are present in at least 2 consecutive sections. **C)** Example of 3D-rendered
395 synapses from B. See the close and direct apposition of the re and post-synaptic markers.

396

397

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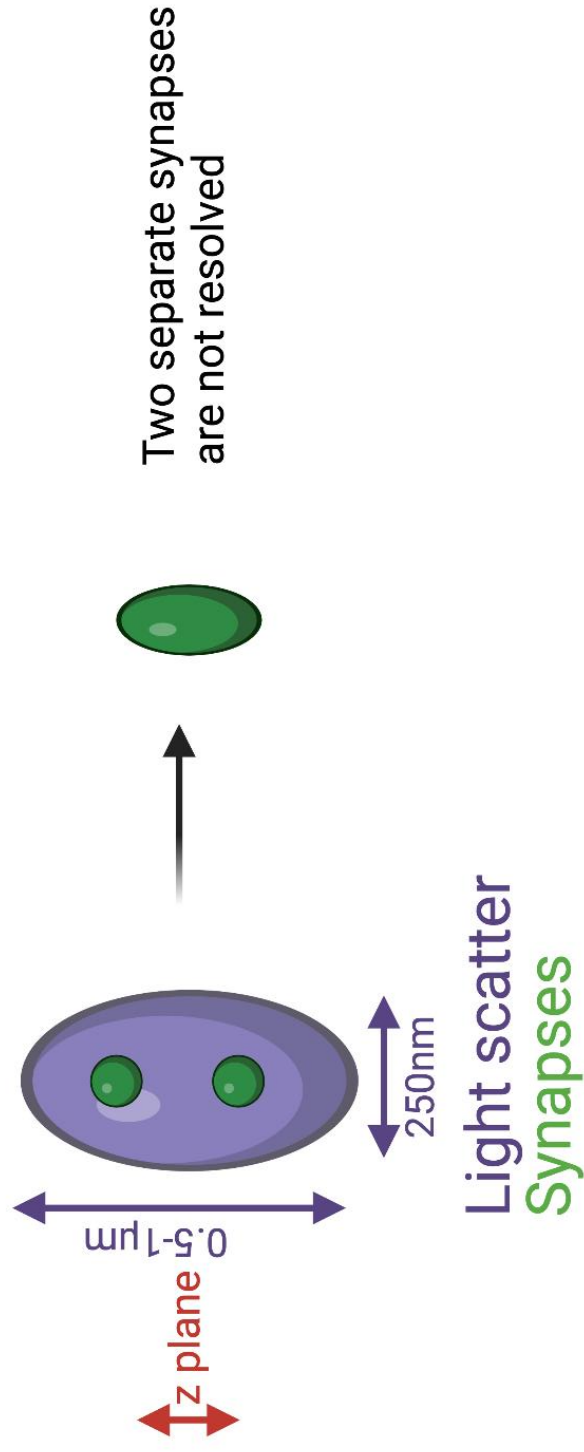
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A



Confocal microscopy

B

Array tomography

