

Unsupervised Tissue Segmentation via Deep Constrained Gaussian Network

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Abstract—Tissue segmentation is the mainstay of pathological examination, whereas the manual delineation is unduly burdensome. To assist this time-consuming and subjective manual step, researchers have devised methods to automatically segment structures in pathological images. Recently, automated machine and deep learning based methods dominate tissue segmentation research studies. However, most machine and deep learning based approaches are supervised and developed using a large number of training samples, in which the pixel-wise annotations are expensive and sometimes can be impossible to obtain. This paper introduces a novel unsupervised learning paradigm by integrating an end-to-end deep mixture model with a constrained indicator to acquire accurate semantic tissue segmentation. This constraint aims to centralise the components of deep mixture models during the calculation of the optimisation function. In so doing, the redundant or empty class issues, which are common in current unsupervised learning methods, can be greatly reduced. By validation on both public and in-house datasets, the proposed deep constrained Gaussian network achieves significantly (Wilcoxon signed-rank test) better performance (with the average Dice scores of 0.737 and 0.735, respectively) on tissue segmentation with improved stability and robustness, compared to other existing unsupervised segmentation approaches. Furthermore, the proposed method presents a similar performance (p -value > 0.05) compared to the fully supervised U-Net.

Keywords—*Semantic Segmentation, Unsupervised Learning, Unsupervised Segmentation, Deep Mixture Models, Tissue Segmentation*

I. INTRODUCTION

Given an image, a segmentation algorithm aims to assign labels for pixels based on their feature representations. Tissue segmentation is essential for automated pathological examination, diagnosis and prognosis; however, manual delineation is time-consuming, onerous and unreproducible. To alleviate the burden of this manual procedure, researchers have explored conventional approaches to automatically segment organs or structures, including watershed [1], contour detection [2], clustering [3, 4], and random field [5], etc. However, these methods are unreliable and heavily rely on thresholds or preset parameters. Recently, machine and deep learning based methods have garnered great success in computational pathology [6-9]. For example, Mahbod et al.

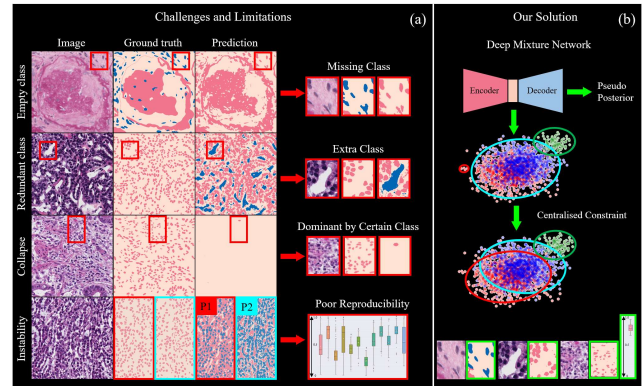


Fig. 1. Current challenges and limitations of unsupervised segmentation for tissue segmentation (a) and our solutions (b). (a) examples of empty class (first row), redundant class (second row), collapse (third row), and instability (fourth row) issues. The red boxes highlight three subregions of the raw image, ground truth and prediction (from left to right) using existing unsupervised segmentation methods. P1 and P2 represent the first and second predictions obtained from repeated experimental studies (last row); (b) our proposed unsupervised segmentation based on a centralised constraint deep mixture network. The representative results of our proposed model are highlighted in green boxes (last row), and from left to right, these show clearly that our unsupervised segmentation can tackle empty class, redundant class, collapse, and instability issues. All box plot scales range from [0, 1] for the Dice scores.

[9] proposed a progressive sequential causal GAN to synthesize the late gadolinium enhancement imaging for better segmentation of diagnosis-related structures. Liu et al. [10] incorporated CycleGAN with an adaptive Mask RCNN for unsupervised nuclei segmentation in histopathology images, by learning knowledge from fluorescence microscopy images. However, most learning-based methods are fully supervised which require manual labelling, or unsupervised that demand complex training procedures. In particular, complex pathological structures dramatically increase the difficulty of pixel-level annotation, resulting in an urgent need for developing segmentation methods with limited or no manual annotation.

One way to overcome this hurdle is known as (deep) semi-supervised learning, which builds the model with limited

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1 annotations or prior knowledge of the targets. Self-training is
2 a commonly used method that trains the model with limited
3 annotated labels and fine-tunes it via pseudo labels generated
4 by itself. For instance, Liang et al. [11] proposed an iterative
5 learning scheme to segment gastric tumours based on a
6 partially labelled dataset. In addition to self-training, one can
7 use the prior knowledge given by conventional methods or
8 empirical constraints such as target labels to train a network.
9 This includes the utilization of coarse masks given by image
10 processing algorithms, pre-trained weights from correlated
11 datasets, or image-level annotations provided by domain
12 experts. Hu et al. [12] applied activation maps to detect
13 COVID-19 infections without pixel-level annotation.
14 Atlason et al. [13] took coarse masks from an automated
15 labelling system as attention maps to force the network to
16 concentrate on the constrained region.

17 Another solution is (deep) unsupervised learning, which
18 produces general semantic predictions such as ‘background’
19 and ‘foreground’ without using any manual annotations. For
20 instance, Kanezaki et al. [14] employed Simple Linear
21 Iterative Clustering [15] to obtain super-pixel level
22 segmentation results, combining with convolutional neural
23 networks to segment natural images. Shen et al. [16]
24 introduced a coupled “deep-image-prior” module to segment
25 background and foreground regions. However, most of these
26 studies focused on natural images, whose effectiveness for
27 pathological images remains unclear. Moreover, image
28 quality variations (e.g., different brightness, contrast, noise,
29 and shade levels in pathological images) may lead to poor
30 generalisability for models originally developed for natural
31 images. The randomized initializations of some unsupervised
32 learning methods may further result in unreliable
33 performance and weak reproducibility. In particular, there
34 are several degenerative issues (Fig. 1) for unsupervised
35 segmentation, including (1) empty class (2) redundant class
36 (3) collapse, and (4) instability issues. The empty class
37 problem indicates that the model confounds a certain class
38 with another one, e.g., the prediction only has two classes
39 even if the pre-defined number of classes is three (Fig. 1 (a)
40 first row). The redundant class indicates the demand for an
41 additional class to achieve better performance during
42 unsupervised segmentation. This redundant class is used to
43 represent the hard samples, which are defined as pixels
44 whose intensities are diffusely/narrowly vary from the
45 average intensity of their true/false class. For example, the
46 white regions in the second row of Fig. 1 (a) are considered
47 a unique class, since the model cannot treat them as the same
48 class (background) as stroma. Collapse issue refers to the
49 phenomenon when a certain class dominates the major
50 predictions of an image while other classes only appear
51 sporadically (as shown in Fig. 1(a), the third row). The
52 instability means the fluctuant performance when conducting
53 repeated training (Fig. 1 (a) fourth row).

54 To address these limitations, our study proposes a novel
55 unsupervised approach that integrates a deep neural network
56 with log-likelihood maximisation and centralised constraint
57 (Fig. 1 (b)), namely Deep Constrained Gaussian Network
58 (dubbed DCGN). Unlike previous methods that utilise prior
59 knowledge, the proposed DCGN takes raw images as inputs
60 and produces pixel-wise predictions for tissue structures.

61 Besides, a centralised constraint, which can greatly enhance
62 the model’s robustness and performance, is devised, aiming
63 to shrink the estimated mean value of the components closer
64 to the real data centroids. Comprehensive experimental
65 studies were conducted on a multicentre open access dataset
66 (i.e., MoNuSeg, acquired from the TCGA archive) and our
67 in-house dataset. In addition, repeated experiments are
68 performed to evaluate the stability of different approaches.
69 The proposed method achieves a new state-of-the-art
70 performance in unsupervised segmentation in pathological
71 images, with Dice scores of 0.743 and 0.737 on MoNuSeg
72 and our in-house dataset, respectively, outperforming all
73 comparison models significantly (Wilcoxon signed-rank test
74 p -value <0.001). The main contributions of this paper are:

75 1) Major challenges and limitations of current unsupervised
76 tissue segmentation approaches in the pathological image
77 domain have been investigated comprehensively and
78 summarised concisely. These include the missing class
79 problem, the redundant class problem, collapse, and the
80 instability issues. We observed that these degenerative issues
81 are caused by large intra-class variations or small inter-class
82 variations.

83 2) A DCGN with a centralised constraint is proposed to
84 address all the degenerative problems. This centralised
85 constraint forces the estimated mean to approximate the
86 observed mean value by considering the heterogeneity of the
87 training data to solve a) the missing class or collapse issue
88 when previous unsupervised methods may consider outliers
89 as a single class, b) the instability issue when previous
90 unsupervised methods may be trapped at the local optimum,
91 and c) the redundant class issue when the existing
92 unsupervised methods could encounter small inter-class
93 variations and result in weak predictions. The proposed
94 centralised constraint is a succinct yet effective module that
95 can be easily adapted to other unsupervised approaches for
96 tissue segmentation.

97 3) Comprehensive experimental studies have been conducted
98 to demonstrate the significantly improved performance of
99 our proposed DCGN with greatly enhanced reproducibility.
100 Our study also suggests that the assessment of future
101 unsupervised tissue segmentation methods must consider
102 degenerative problems and repeated experiments should be
103 carried out to prove stability and robustness.

104 The rest of this paper is organised as follows. The related
105 studies on unsupervised segmentation are summarised in
106 Section II. Details of the proposed method are illustrated in
107 Section III. The experimental settings, including dataset
108 details and training parameters, are described in Section IV.
109 Sections V and VI present the discussion and conclusion of
110 this study.

111 II. RELATED WORKS

112 This section describes the most related previously published
113 studies, including both conventional and deep learning-based
114 unsupervised segmentation approaches.

115 A. Conventional Unsupervised Segmentation

116 In general, unsupervised segmentation can be treated as a
117 clustering task. Given a three-channel RGB image, the

1 clustering algorithm first flattens the 3D array to a 2D vector,
 2 then each pixel group (pixels along with R, G, and B channels)
 3 is considered as a multidimensional sample for clustering.
 4 These methods include graph/normalised cuts [17, 18],
 5 Markov random field [18], minibatch K-means [19],
 6 Gaussian mixture model (GMM) [20], mean shift [21], and
 7 have been widely used in medical image analysis tasks, such
 8 as registration [22], lesion detection [23] and segmentation
 9 [20]. In addition to clustering, learning and distinguishing
 10 different feature representations can also segment regions of
 11 interest from images. For instance, Fan et al. [24] applied
 12 hierarchical image matting to segment vessels from fundus
 13 images. Tosun et al. [25] proposed an object-oriented method
 14 with a homogeneity measurement to segment biopsy images.

15 *B. Deep Clustering and Mutual Information*

16 Recent studies of unsupervised learning aim to combine
 17 conventional clustering methods with deep neural networks
 18 [26-28]. Specifically, these methods use clustering-based
 19 objective functions to train a neural network. For instance,
 20 DeepCluster [26] jointly updated parameters of the neural
 21 networks and clustering during the training, and used pseudo
 22 labels to calculate objective functions. Kim et al. [29]
 23 proposed a spatial constraint to the softmax cross-entropy
 24 loss (given by pseudo labels and predictions) to keep the
 25 spatial continuity of semantic predictions. Wellmann et al.
 26 [28] integrated domain knowledge as probabilistic relations
 27 and proposed a deep conditional GMM. However, using
 28 pseudo labels for training is prone to weak solutions, such as
 29 empty clusters, and trivial parametrisation [26].

30 Maximizing the mutual information of paired predictions
 31 is effective [30]. To further alleviate degenerative issues,
 32 Invariant Information Clustering (IIC) [31] modified co-
 33 clustering approaches and proposed mutual information
 34 based objective functions between paired samples to train a
 35 segmentation model. Given a pair of variables X, Y and their
 36 marginal distribution $p(x)$ and $p(y)$, the mutual information
 37 between X and Y , jointly distributed according to $p(x, y)$, is
 38 defined

39 as

$$40 \quad I(X; Y) = \sum_{x,y} p(x, y) \log \frac{p(x,y)}{p(x)p(y)}. \quad (1)$$

41 IIC generated paired images by randomised rotation to assist
 42 the network to learn the invariant information and textual
 43 representations. More generally, IIC aimed to find common
 44 parts of paired samples while ignoring the redundant ones.
 45 However, it still suffers from degenerative issues and
 46 unstable performance (as shown in Section IV).

47 *C. Deep Generative Models and Log Likelihood*

48 Deep generative models aim to learn image representations
 49 by reconstructing the input images through generative
 50 models, such as generative adversarial networks (GAN),
 51 variational auto-encoder (VAE), and encoder-decoders.
 52 These representations can then be used to produce semantic
 53 predictions or calculate objective functions [32]. For instance,
 54 Chen et al. [33] employed redrawing ideas to segment
 55 foreground and background samples. Gandelsman et al. [34]
 56 proposed double Deep Image Prior (DIP) to composite
 57 images as background and foreground samples. However,

58 these methods can only segment limited classes, which
 59 would be computationally redundant when producing multi-
 60 class predictions.

61 Another attempt is to combine deep neural networks with
 62 the GMM. Zong et al. [35] proposed a deep auto-encoder
 63 Gaussian mixture model (DAGMM), adding GMM to the
 64 low-dimensional feature representations within an auto-
 65 encoder for unsupervised anomaly detection. Oord et al. [36]
 66 incorporated GMM on the top layers in hierarchical
 67 structures for unsupervised classification. Based on these
 68 studies, Zanjani et al. [37] extended DGMM for
 69 segmentation via classifying each pixel for stain
 70 normalisation. They proposed three novel schemes,
 71 including GAN-based, VAE-based, and deep convolutional
 72 Gaussian mixture model (DCGMM) based approaches.
 73 Among these attempts, the VAE-based approach and
 74 DCGMM can be well transferred to segmentation. The VAE-
 75 based method performed log-likelihood loss and Kullback-
 76 Leibler (KL) divergence loss to assess the reconstruction
 77 performance of raw data and the correlation between latent
 78 variables and prior distribution, respectively. The DCGMM
 79 trained the network by maximising the log-likelihood
 80 objective function. However, most of these methods only
 81 simply combine expectation maximisation with deep neural
 82 networks, without addressing the common issues in
 83 unsupervised tissue segmentation.

84 III. METHODOLOGY

85 *A. Overview*

86 To address the limitations of existing unsupervised
 87 segmentation approaches, we summarise the properties that
 88 a well-performed model should possess:

- 89 1. The model should have strong reproducibility during
 90 the training and validation stages.
- 91 2. The model should be as light as possible and does not
 92 require complex pre-processing or post-processing
 93 steps.
- 94 3. The model should have the ability to alleviate
 95 degenerative issues (e.g., the empty clusters problem).

96 By considering the above properties, DCGN is proposed to
 97 segment pathological tissue images.

98 *B. Deep Constrained Gaussian Network*

99 In biomedical image segmentation, especially in pathological
 100 images, the semantic labels are more related to colour
 101 representations compared to natural images. This suggests
 102 that a mixture model can be well integrated with a deep
 103 neural network for unsupervised segmentation.

104 Let ω denote learnable parameters of a deep neural
 105 network and \mathcal{J} refer to the objective function. In fully
 106 supervised learning, ω is updated by minimizing the
 107 objective function \mathcal{J} , which is commonly defined by
 108 calculating the errors between ground truth labels and
 109 predictions. Therefore, the key to unsupervised segmentation
 110 can be treated as finding the best objective function for
 111 training deep neural networks without annotation (ground
 112 truth label). In addition to maximizing the mutual

1 information between paired samples in Eq. (1), maximizing
 2 the log-likelihood can also be integrated into the gradient
 3 descent training framework, by minimizing the negative log-
 4 likelihood.

5 The proposed DCGN includes a feature extractor, a
 6 decoder, and a log-likelihood estimation module. Different
 7 from the accurate objective functions that calculate the error
 8 between the ground truths and predictions in supervised
 9 learning, log-likelihood maximization is a biased estimation
 10 that only produces a rough ‘direction’ to the global optimum
 11 [38, 39]. Therefore, we believe that complex and deep
 12 network structures are more likely to be over-fitted and
 13 trapped at local optima when there is no strong supervised
 14 optimisation function. In order to formulate a light
 15 architecture, MobileNet-V2 [40] is employed as the feature
 16 extractor, followed by a decoder that is comprised of
 17 Upsampling layers, Convolution layers, Batch normalisation
 18 layers, and ReLU activations. To adapt the prediction of the
 19 network to the pseudo posterior of the latent variable Z in the
 20 mixture model, a differentiable softmax layer is applied to
 21 the output, forming a $[W, H, K]$ shaped prediction (W, H and
 22 K are the width, height, and the number of classes,
 23 respectively). Given input images I with K classes,
 24 the network Φ aims to produce semantic probability maps φ ,
 25 which are considered as the pseudo posterior γ in the
 26 conventional GMM, that is

$$\gamma \approx \varphi = \Phi(I, \omega) \in \mathbb{R}^{W \times H \times K}. \quad (2)$$

27 Based on the above assumption, the E-step can be
 28 conducted by forward propagation through a neural network,
 29 while M-step is applied by optimising the likelihood function
 30 via gradient descent.

31 Given the pseudo posterior γ_{ik} , the log-likelihood
 32 $\mathcal{L}(\theta|\theta^{(t)})$ of the multivariate GMM can be estimated using

$$\mathcal{L}(\theta|\theta^{(t)}) = \sum_{k=1}^K \sum_{i=1}^N \gamma_{ik} \left[\log \alpha_k - \frac{D}{2} \log(2\pi) - \log \gamma_{ik} - \frac{1}{2} \log |\Sigma_k| - \frac{1}{2} (X_i - \mu_k)^T \Sigma_k^{-1} (X_i - \mu_k) \right], \quad (3)$$

33 where $\frac{D}{2} \log(2\pi)$ is a constant that can be ignored, D is the
 34 dimension of each sample ($D=3$ for a flattened RGB image
 35 array), N is the number of samples (pixel groups) of the
 36 image, α_k is the weight of the k -th Gaussian mixture model
 37 that $\sum_k \alpha_k = 1$. Therefore, by integrating Eqs. (2) and (3),
 38 the network Φ can be trained by minimising the log-
 39 likelihood \mathcal{L}

$$\omega = \arg \min_{\omega} [-\mathcal{L}(\omega)]. \quad (4)$$

40 It is of note that one major concern for existing deep
 41 Gaussian models is the redundant class issue, which is mainly
 42 caused by small inter-class and large intra-class variations. It
 43 makes the model assign the same (different) label(s) to
 44 samples of different (same) classes. The hard samples
 45 (outliers) may also lead to an incorrect estimate of the
 46 optimisation function, resulting in local optima trapping or
 47 an unstable training process. Another problem is the
 48 instability issue, which is a common drawback of existing
 49 unsupervised learning algorithms. Due to randomised
 50 initialisation, most existing methods require multiple training
 51 procedures to obtain the best performance.

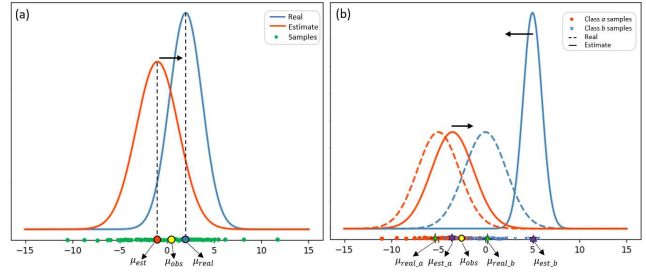


Fig. 2. Deviation of the estimated parameters: (a) normal distribution on single class samples (b) mixture model on multi class (number of class $k=2$) samples. Note that μ_{est} is the estimated mean value of the mixture model, μ_{obs} is the observed mean value of minibatch data X , and μ_{real} is the real (ideal) mean value of the mixture model.

55 Here, we propose a centralised constraint for the log-
 56 likelihood objective function to alleviate the degenerative
 57 issues of deep Gaussian networks. The objective function of
 58 the deep Gaussian network is calculated using the estimated
 59 parameters Θ and pseudo posterior γ . However, the variance
 60 in batch data makes it difficult to derive the real parameters
 61 μ_{real} . To better demonstrate the idea of our proposed
 62 centralised constraint, two simplified examples are shown in
 63 Fig. 2. We first introduce a simplified scenario in Fig. 2 (a),
 64 which is a group of single-class samples following the
 65 Gaussian distribution. Given a batch of data X , let μ_{est} be the
 66 estimated mean value of the mixture model, μ_{obs} be the
 67 observed mean value of minibatch data X , and μ_{real} be the
 68 real (ideal) mean value of the mixture model. The centralised
 69 constraint will slightly drive μ_{est} close to the μ_{obs} . Note that
 70 μ_{obs} does not equal to μ_{est} since it is the mean value of
 71 minibatch samples.

72 For multi-class samples, this centralised constraint can
 73 alleviate the negative effect of small inter-class variations
 74 (Fig. 2 (b)). Assume there are two classes a and b , which
 75 denote a' and b' as the estimated classes. The model treats
 76 the majority samples of class a and b as the class a' , while
 77 some outliers of class b are considered as b' . This could lead
 78 to poor segmentation results when performing existing
 79 methods on samples with small inter-class variations.

80 Therefore, a centralised constraint Δ is devised to let the
 81 estimated mean μ_{est} approximate μ_{obs} by considering the
 82 diversity of X

$$\Delta = \frac{|\mu_{est} - \bar{X}|}{\sigma_X^2}. \quad (5)$$

83 When dealing with hard samples with small inter-class
 84 variations, the observed variance is relatively small, resulting
 85 in a relatively large constraint value. This constraint will
 86 force the model to reallocate the estimated mean to
 87 approximate the observed mean; therefore, can reduce the
 88 degenerative issues. When dealing with ‘‘easy’’ samples (i.e.,
 89 samples with large inter-class variations), the observed
 90 variance is high, leading to small constraints to the objective
 91 functions that can barely affect the parameter estimation.

92 With this centralised constraint Δ , the objective function
 93 \mathcal{L}_C for our DCGN can be expressed as

$$\mathcal{L}_C = \mathcal{L}(\theta|\theta^{(t)}) - \lambda \sum_{k=1}^K \sum_{c=1}^C \frac{|\mu_k^{(t)} - \bar{X}_c|}{\sigma_c^2}, \quad (6)$$

where C is the dimension of the input samples (e.g., $C = 3$ for RGB images), σ_c^2 is the variance of minibatch samples on channel c , and \bar{X}_c denotes the mean value of minibatch samples on channel c . With the proposed constraint, the objective function \mathcal{L}_C would be penalised if the estimated μ_k is far away from the observed mean μ_{obs} . As a result, outliers or hard samples would produce less interference to the objective function, hence, stabilising the training procedure, and in turn, improving the segmentation performance.

Assume the constraint weight as λ , by calculating partial derivatives over μ_k , Σ_k and α_k of Eq. (6), the centralised mixture parameters can be obtained via

$$\gamma_{i,k}^{(t+1)} = \phi(X, \omega^{(t)}) \quad (7)$$

$$\mu_k^{(t+1)} = \begin{cases} \frac{\left(\sum_{i=1}^N \gamma_{ik}^{(t+1)} X_i - \lambda \sum_{c=1}^C \frac{\Sigma_k^{(t)}}{\sigma_c^2} \right)}{\sum_{i=1}^N \gamma_{ik}^{(t+1)}}, & \mu_k \geq \bar{X}_c \\ \frac{\left(\sum_{i=1}^N \gamma_{ik}^{(t+1)} X_i + \lambda \sum_{c=1}^C \frac{\Sigma_k^{(t)}}{\sigma_c^2} \right)}{\sum_{i=1}^N \gamma_{ik}^{(t+1)}}, & \mu_k < \bar{X}_c \end{cases} \quad (8)$$

$$\alpha_k^{(t)} = \frac{\sum_{i=1}^N \gamma_{ik}^{(t+1)}}{N} \quad (9)$$

$$\Sigma_k^{(t)} = \frac{\sum_{i=1}^N \gamma_{ik}^{(t+1)} (X_i - \mu_k^{(t+1)})^T (X_i - \mu_k^{(t+1)})}{\sum_{i=1}^N \gamma_{ik}^{(t+1)}} \quad (10)$$

Note that in Eq. (10), the calculation of $\mu_k^{(t+1)}$ demands $\Sigma_k^{(t)}$; therefore, an initialisation of Σ_k is required before the training process. A random initialisation from uniform distribution was used in this study.

Algorithm 1. Pseudo-code for training DCGN

Input: images $X \in \mathbb{R}^{W \times H \times 3}$

Output: trained network parameters ω ,
semantic prediction γ

1. randomly initialize $\Sigma_k^{(0)}$, network parameters $\omega^{(0)}$

2. **for** t in iterations **do**

$$\gamma^{(t)} = \phi(X, \omega^{(t)}) \in \mathbb{R}^{W \times H \times K}$$

update $\mu_k^{(t+1)}, \alpha_k^{(i)}$ with $\gamma_k^{(t)}, \Sigma_k^{(t)}$

update $\Sigma_k^{(t+1)}$ with $\gamma_k^{(t)}, \mu_k^{(t+1)}$

Compute \mathcal{L}_C through $\mu_k^{(t+1)}, \Sigma_k^{(t+1)}, \alpha_k^{(t+1)}$

update ω by $\arg \min_{\omega} [-\mathcal{L}_C(\omega^{(t)})]$

The pseudo-code of the entire training procedure for DCGN is shown in Algorithm 1.

C. Preprocessing

Each input image X is pre-processed by the min-max normalisation through RGB channels, that is

$$X'_c = \frac{X_c - \max(X_c)}{\max(X_c) - \min(X_c)}, \quad (11)$$

where X_c is the channel c of the input image X .

IV. Experiments

This section demonstrates all the experimental settings including datasets, evaluation metrics, implementation details and results. The efficiency of the proposed DCGN is assessed on a public dataset from the TCGA* repository (MoNuSeg†) and our in-house renal biopsy image (RBI) dataset.

A. Datasets and Training Strategies

MoNuSeg. MoNuSeg consists of 44 pathological tissue images with 28,846 manually annotated nuclear boundaries. These 1,000×1,000 images were extracted from the separate whole slide images (scanned at 40×) from the TCGA repository, representing 9 different organs from 44 individuals. The stromal and epithelial nuclei were manually labelled using Aperio ImageScope. Details of MoNuSeg are described in Table I. The various tissue sections greatly increase the richness and appearance variation of the dataset, which can provide a convincing assessment.

TABLE I

COMPOSITION OF THE MONUSEG DATASET.

Subset	Nuclei	Images	Anatomical Details
Training	21623	30	6 breast, 6 liver, 6 kidney, 6 prostate, 2 bladder, 2 colon, 2 stomach
Testing	7223	14	2 breast, 3 kidney, 2 prostate, 2 bladder, 1 colon, 2 lung, 2 brain

RBI. RBI includes more than 10,000 image patches extracted from 400 whole slide images with biopsy-proven results collected from the National Clinical Research Centre of Kidney Diseases, Jinling Hospital. All data were deidentified in accordance with the tenets of the Declaration of Helsinki [41]. Each image was resized to a unified size of 512×512. We randomly selected 577 images for training and 20 images for validation (the glomerular structures were annotated by experienced pathologists with 20 years of experience). Note that the training set and validation set were selected from different whole slide images.

Training Strategies. Parameters of the encoder are initialised with ImageNet pre-trained weights to provide strong feature extraction capabilities, while that of the decoder are initialised using He-normal initialisation. Randomised hue transformation (delta=0.12), randomised saturation (saturation factor ranges from 0.5 to 1.5), randomised flip-up/down, and randomised flip-left/right were implemented to augment the dataset before training. All of the models were trained on an NVIDIA RTX 3090 GPU for 200 epochs, with an initial learning rate of $5e^{-5}$ and a decay of 0.98 per epoch.

B. Experimental Details

Comparisons. To evaluate the effectiveness of DCGN, we compared it with several deep learning based and conventional unsupervised segmentation methods, including minibatch K-Means (denote as mKMeans), GMM, IIC [31], Double DIP [34], DCAGMM (deep clustering via adaptive GMM modelling) [42], DIC (deep image clustering) [43], Kim's work [29], Kanazaki's work [14] and DCGMM [37]. It is of note that we reproduce and modify the DCAGMM by

* The Cancer Genome Atlas (TCGA), [Online]. Available at: <http://cancergenome.nih.gov/> (Accessed in August, 2021)

† The MoNuSeg public dataset [Online]. Available at <https://monuseg.grand-challenge.org/Data/> (Accessed in July 2021)

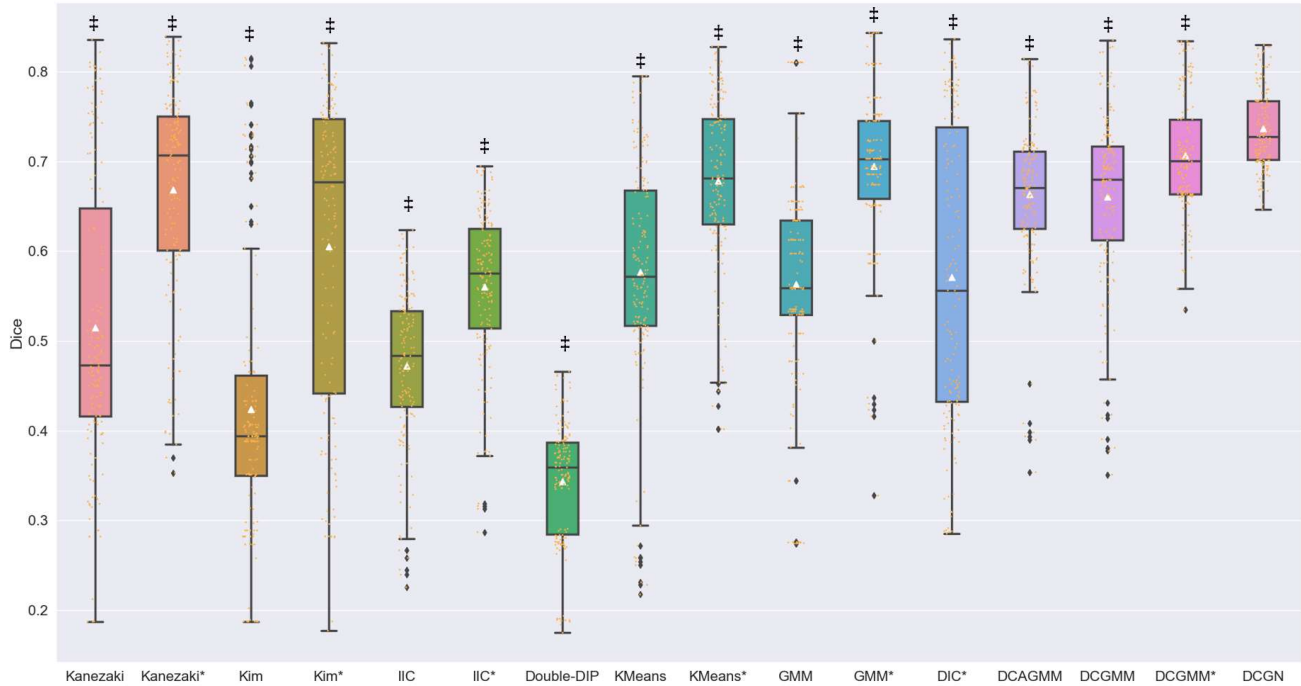


Fig. 3. Box plot of the Dice score during repeated experimental studies, where * denotes the model with redundant class (the number of pre-defined classes $k=3$ for cell segmentation), # indicates highly significant differences results (Wilcoxon signed-rank test with $P<0.001$) compared with DCGN, the black dots refer to outliers and white triangles indicate mean values, the small orange dots refer to samples.

TABLE II
PERFORMANCE OF THE CELL SEGMENTATION (MoNuSeg DATASET).

Methods	Precision	Recall	Dice	AJI
mKMeans*	0.657±0.175(0.679)*	0.792±0.174(0.773)‡	0.678±0.094(0.682)‡	0.305±0.140(0.338)‡
GMM*	0.631±0.150(0.664)‡	0.822±0.109(0.819)	0.695±0.085(0.717)‡	0.290±0.151(0.319)‡
IIC*	0.467±0.092(0.516)‡	0.725±0.121(0.796)‡	0.560±0.087(0.618)‡	0.056±0.030(0.072)‡
Kim et al.*	0.575±0.249(0.698)‡	0.824±0.189(0.772)	0.606±0.171(0.694)‡	0.220±0.176(0.323)‡
Double DIP	0.221±0.051(0.221)‡	0.820±0.109(0.851)	0.344±0.067(0.350)‡	0.013±0.006(0.013)‡
Kanezaki et al.*	0.629±0.195(0.725)‡	0.822±0.162(0.783)	0.669±0.119(0.727)‡	0.260±0.166(0.351)‡
DCGMM*	0.693±0.135(0.698)*	0.786±0.171(0.801)‡	0.707±0.064(0.719)‡	0.314±0.124(0.345)‡
DIC*	0.511±0.249(0.595)‡	0.848±0.170(0.832)*	0.571±0.165(0.644)‡	0.147±0.169(0.193)‡
DCAGMM	0.619±0.137(0.691)‡	0.767±0.131(0.763)‡	0.664±0.079(0.706)‡	0.300±0.126(0.365)‡
DCGN	0.685±0.113(0.716)	0.834±0.115(0.808)	0.737±0.043(0.743)	0.352±0.113(0.379)
U-Net†	0.695±0.095(0.740)	0.849±0.083(0.848)	0.755±0.045(0.782)	0.370±0.093(0.436)*

* denotes redundant class ($k=3$) and † refers to a fully supervised learning baseline using modified U-Net. The bold values refer to the best average performance among unsupervised methods (without considering supervised U-Net). *(‡) indicates significant differences (highly significant differences) results compared with DCGN, with Wilcoxon signed-rank test $P<0.05$ ($P<0.001$). The results are shown as “mean± standard deviation (upper-bound results)”.

1 adopting its distance-based constraints in the original
2 DCGMM (it was initially designed for image classification).
3 Open-source implementations of the comparison methods
4 used in this study can be obtained on Github. The network
5 structure of the DCGMM was modified to match our DCGN
6 for a fair comparison. In addition to unsupervised methods,
7 we also implemented a fully supervised U-Net on cell
8 segmentation task for better comparison. The implemented
9 U-Net was modified by adding batch normalization layers
10 and dropout layers compared to the original vanilla U-Net
11 [44].

12 **Cell Segmentation on MoNuSeg.** For many existing
13 unsupervised learning approaches, the performance of
14 segmentation suffers from random initialisation. In this study,

15 repeated experiments were conducted to explore the stability
16 and reproducibility of the performance of all comparison
17 algorithms. All these approaches were trained for 150 epochs
18 each time and repeated 10 times without changing any
19 parameters or training samples. The upper bound
20 performance is defined as the best results among 10 repeated
21 experiments. Although cell segmentation is a binary task, all
22 the compared studies were assessed using different numbers
23 of classes ($k=2$ or 3) to show their upper-bound performance.
24 In addition, a fully supervised U-Net is trained as the baseline
25 of supervised learning.

26 **Glomeruli Decomposition on RBI.** In addition to assessing
27 the effectiveness of the binary segmentation, a glomeruli
28 decomposition task is carried out. The glomerular structures

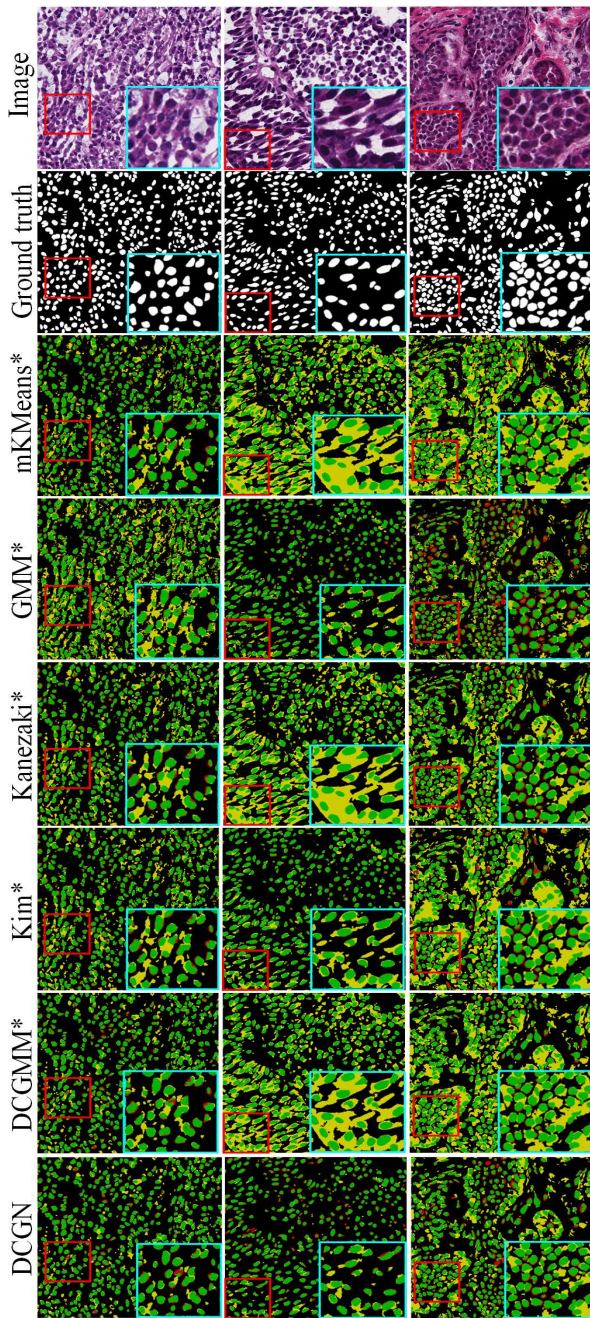


Fig. 4. Comparison of unsupervised cell segmentation results, where * denotes models with redundant class ($k=3$). Green, yellow, and red colours refer to the true positive, the false positive and the false negative predictions, respectively. The red and cyan boxes highlight the region of interests before and after zoom-in.

1 were divided into three parts ($k=3$), including (1) mesangial
 2 matrix and basement membrane, (2) intra-glomerular cells
 3 (mesangial, endothelial and podocytes) and macula densa,
 4 and (3) other regions such as glomerular capillaries,
 5 bowman’s space, exudate, etc. It is of note that Double DIP
 6 was not assessed since it was designed for binary
 7 segmentation only.

8 **Degeneration Assessment.** To explore the degenerative
 9 issues, we analysed 140 predictions on the MoNuSeg

10 datasets and 100 predictions on the RBI datasets, based on
 11 the following criteria:

12 (1) All these predictions are acquired from repeated
 13 experiments (10 times for MoNuSeg and 5 times for RBI).

14 (2) Collapse is assessed on both *MoNuSeg* and *RBI*
 15 datasets, which is defined as a certain class dominating the major
 16 region (here we set 97% as the threshold) of an image.

17 (4) Redundant class is assessed on the *MoNuSeg*
 18 dataset, which is identified when the segmentation performance can
 19 be improved by adding an extra class without semantic
 20 meanings.

21 (5) Empty class is assessed on the *RBI* dataset and refers to
 22 missing a certain class or with an extremely low ratio (here
 23 we set $<1\%$) in the prediction.

24 (6) Instability is assessed on both *MoNuSeg* and *RBI*
 25 datasets and is considered when the standard deviation of the
 26 average performance among repeated experiments is larger
 27 than 8%.

28 **Evaluation Metrics.** In addition to the commonly used Dice
 29 coefficient score, pixel-wise precision and recall were also
 30 reported. To statistically evaluate the performance, Wilcoxon
 31 signed-rank test was adopted between the evaluation results
 32 derived using DCGN and other comparison methods, with
 33 $P<0.05$ (or $P<0.001$) indicating significant (or highly
 34 significant) differences between the two paired methods. The
 35 Aggregated Jaccard Index (AJI) was applied to the MoNuSeg
 36 dataset to verify the instance-level segmentation
 37 performance, that is

$$38 \quad \text{AJI} = \sum_{i=1}^N \frac{G_i \cap P_i}{G_i \cup P_i + \varepsilon}, \quad (12)$$

39 where i indicates the number of cells, ε is the smooth
 40 parameter, G_i and P_i refer to the ground truth and prediction
 41 of the i -th cell. In glomeruli segmentation, we applied
 42 normalised mutual information (NMI) to assess the mutual
 43 dependence between two samples, which is given by

$$44 \quad \text{NMI}(Y, C) = \frac{2I(Y; C)}{[H(Y) + H(C)]}, \quad (13)$$

45 where Y refers to the ground truth labels and C denotes the
 46 prediction, and I is the mutual information of Y and C , $H(\cdot)$
 47 is the entropy. It is of note that all the ground truth labels were
 48 only used during the evaluation that had not been revealed in
 49 the training process.

50 C. Experimental Results

51 **Unsupervised Cell Segmentation on MoNuSeg.** The
 52 performance of repeated experiments is presented in Table II,
 53 shown as mean \pm standard deviation (with the upper-bound
 54 results of each method shown in brackets). It shows that some
 55 unsupervised approaches initially developed for natural
 56 images could not perform well on pathological images,
 57 indicating a significantly lower average Dice (relatively 3-
 58 39% lower) compared to the proposed DCGN (Fig. 3 and
 59 Table II). For instance, double DIP [34] failed to perform cell
 60 segmentation with only a 0.344 average Dice score.
 61 Interestingly, conventional GMM ($k=3$) achieved good
 62 performance with a 0.695 average Dice score, which is
 63 similar compared to that of the DCGMM (0.707).

1 To provide statistical assessments, Wilcoxon signed-rank
 2 test was performed between the evaluation results of 10
 3 repeated experiments. Considering the upper bound of the
 4 segmentation performance (shown in Table II), the proposed
 5 DCGN achieved the best Dice score (0.743) among
 6 unsupervised learning approaches, followed by Kanezaki's
 7 (0.727) and DCGMM (0.719). Moreover, DCGN achieved
 8 the best AJI score (0.379) among all the unsupervised
 9 learning approaches.

10 In addition, DCGN achieved a significantly better Dice
 11 coefficient score and AJI score compared to other
 12 unsupervised segmentation approaches ($P < 0.001$).
 13 Interestingly, there were no significant differences ($P > 0.05$)
 14 found for Precision, Recall and Dice scores using our DCGN
 15 compared to the fully supervised U-Net based method (Table
 16 II). Although the DCGMM achieved better Precision
 17 compared to our DCGN ($P = 0.036$), its Recall, Dice and AJI
 18 score are significantly lower than the proposed DCGN
 19 ($P < 0.001$). DIC has the highest Recall, but relatively low
 20 Precision indicating lots of false-positive predictions. Double
 21 DIP achieved a high recall as well but the lowest precision
 22 score and therefore a very low Dice score. To better
 23 demonstrate the performance of the competitive approaches

TABLE III
 PERFORMANCE OF THE GLOMERULUS SEGMENTATION
 (RBI DATASET).

Methods	NMI	Dice
mKMeans	0.200±0.040(0.200) ‡	0.555±0.037(0.559) ‡
GMM	0.328±0.051(0.328) ‡	0.640±0.061(0.640) ‡
DCGMM	0.207±0.042(0.229) ‡	0.567±0.047(0.579) ‡
Kanezaki	0.186±0.095(0.207) ‡	0.502±0.109(0.537) ‡
IIC	0.090±0.068(0.124) ‡	0.501±0.054(0.534) ‡
Kim	0.187±0.089(0.195) ‡	0.500±0.106(0.511) ‡
DIC	0.192±0.117(0.234) ‡	0.516±0.127(0.558) ‡
DCAGMM	0.207±0.041(0.212) ‡	0.578±0.048(0.582) ‡
DCGN	0.377±0.053(0.384)	0.735±0.050(0.746)

‡ indicates highly significant differences results (Wilcoxon signed-rank test $P < 0.001$) compared with our DCGN. The bold values refer to the best performance among comparison methods. The results are shown as "mean± standard deviation (upper-bound results)".

24 (Dice>0.65), three images were randomly selected from the
 25 test set to visualise the upper-bound segmentation
 26 performance (Fig. 4). It is of note that in Fig. 4, predictions
 27 of the redundant class have been removed (some methods
 28 achieved upper-bound performance by adding a redundant
 29 class (i.e., $k=3$)).

30 **Unsupervised Glomeruli Decomposition on RBI.** The
 31 average performance of our comparison study on RBI is
 32 summarised in Table III, Fig. 5, assessed by NMI and Dice
 33 coefficient score. All comparison studies were performed
 34 with $k=3$ to segment three semantic labels (the definition of
 35 semantic labels is described in Section IV B).

36 As Table III shows, the proposed DCGN achieved
 37 significantly better results ($P < 0.001$) compared to state-of-

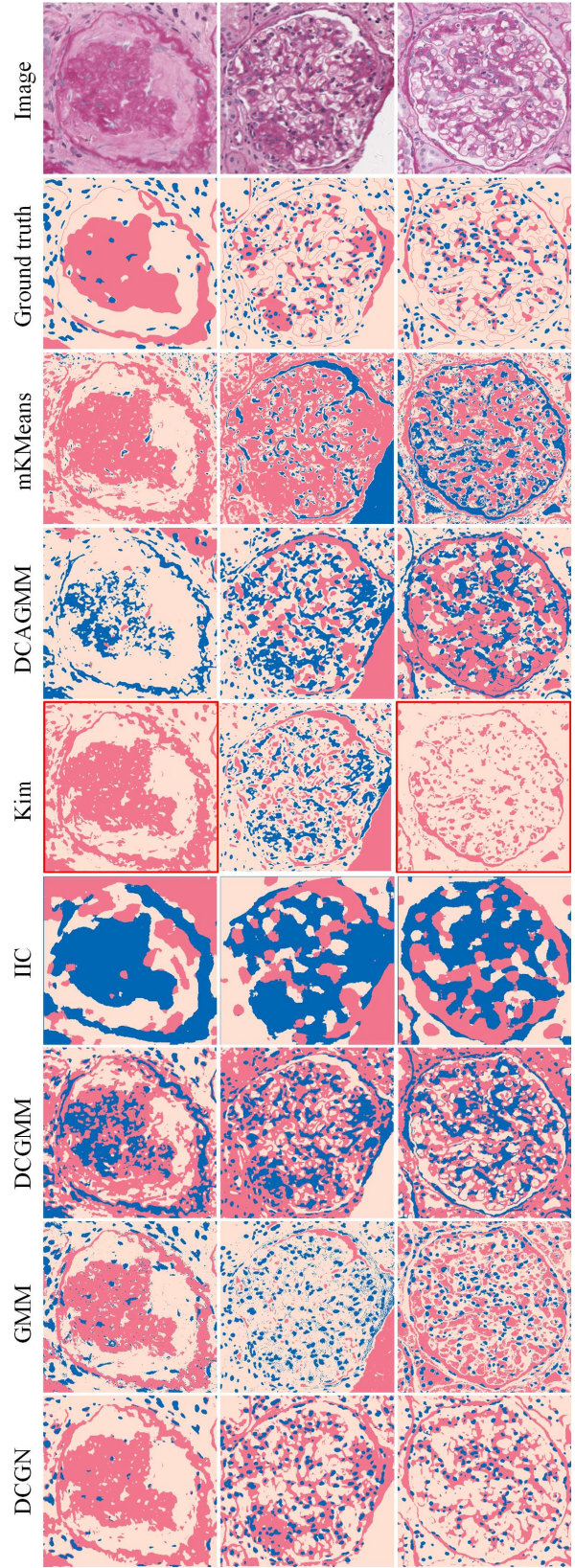


Fig. 5. Comparison of unsupervised glomeruli segmentation results. Empty class issues are highlighted by red bounding boxes. The red, blue, and almond colours in the ground truth refer to (1) mesangial matrix and basement membrane, (2) intra-glomerular and macula densa cells, (3) other regions such as glomerular capillaries, respectively.

TABLE IV
DEGENERATION ASSESSMENT (MoNuSeg and RBI DATASET)

	Collapse	Empty Class	Stability
GMM	0/240	0/100	×
Kanezaki	7/240	23/100	×
Kim	17/240	28/100	×
mKMeans	0/240	0/100	×
IIC	0/240	0/100	×
DIC	0/240	25/100	×
DCAGMM	0/240	1/100	√
DCGMM	0/240	2/100	√
DCGN	0/240	0/100	√

The red blocks indicate the occurrence of degenerative issues.

1 the-art methods on glomeruli composition, with an average
2 of 0.735 Dice score and 0.377 NMI, followed by GMM (an
3 average of 0.640 Dice and 0.328 NMI) and DCAGMM (an
4 average of 0.578 Dice and 0.207 NMI).

5 **Degeneration Assessment.** The results of the degeneration
6 assessment are shown in Table. IV. It is of note that Double
7 DIP was not assessed due to its relatively weak performance.
8 As Table. IV shows, the methods proposed by Kanezaki and
9 Kim heavily suffered from all degenerative issues. Similarly,
10 the empty class is prone to occur in DIC. DCGMM and
11 DCAGMM occasionally encountered the empty class issue
12 and GMM presented instability during repeated experiments.
13 Both mKMeans and IIC witnessed instability in the
14 MoNuSeg dataset.

V. Discussion

16 In this study, we have developed a novel unsupervised
17 segmentation method combining deep neural networks with
18 a constrained GMM. This approach has been
19 comprehensively evaluated on pathological images using
20 both a public MoNuSeg dataset and an in-house RBI dataset.
21 We have achieved significantly better results compared to
22 previously published unsupervised segmentation methods
23 with clear evidence of mitigating degenerative issues that are
24 currently challenging for pathological tissue image
25 delineation. Besides, our proposed method has also achieved
26 comparable results with some widely used semi-supervised
27 and fully supervised learning methods.

28 **Performance Analysis.** Comprehensive comparison results
29 in Tables II and III and Figs. 4 and 5 have demonstrated the
30 superior segmentation capability of the proposed DCGN.
31 Compared to existing unsupervised segmentation methods,
32 our DCGN is robust to small inter-class variations. For
33 instance, as Fig. 5 (second column) shows, all the
34 unsupervised methods except DCGN have regarded white
35 regions as a single class while ignoring the exudation/stroma
36 regions (light pink regions in the raw images).

37 Interestingly, conventional methods such as mKMeans
38 and GMM have shown their effectiveness in tissue
39 segmentation. In particular, GMM has obtained better
40 performance than mKMeans for tissue segmentation with
41 slightly worse stability. It achieved better performance than
42 mKMeans in kidney tissue segmentation, with a 0.08 higher

43 average Dice score and 0.12 higher NMI score, respectively.
44 Methods proposed by Kanezaki et al. and Kim et al. have
45 produced reasonable results on cell segmentation but have
46 suffered heavily from collapse and empty class issues (large
47 variances in Fig. 3 and many failed cases summarised in
48 Table IV). We observed poor segmentation for these two
49 methods when dealing with kidney tissue segmentation (see
50 Table. III and Fig. 5). DIC have presented a high recall score
51 with a low precision score in cell segmentation and poor
52 results in glomeruli segmentation. Double DIP has derived
53 similar coarse predictions (high recall but low precision
54 scores) as DIC for cell segmentation, indicating its
55 incompatibility for tissue segmentation, although the method
56 could be more adaptive for natural image segmentation. The
57 coarse predictions given by IIC have indicated its
58 inapplicability to pathological images. Although DCGMM
59 has presented comparable performance to our DCGN on cell
60 segmentation, it has achieved significantly lower
61 segmentation accuracy on kidney tissue segmentation and
62 has issues with generating empty classes. Moreover,
63 DCGMM has presented poor performance when dealing with
64 samples with small inter-class variations (poor cell
65 segmentation results from dark background areas as shown
66 in Fig. 4 middle column). Similar to DCGMM, DCAGMM
67 presented comparable results. However, its normalized
68 distance constraint (which aims to increase the distance
69 between Gaussian centres) makes it hard to segment classes
70 with high intra-class variations.

Comparing with Fully Supervised Segmentation Methods.

71 One of the major concerns of unsupervised segmentation is
72 how it performs compared with fully supervised
73 segmentation algorithms. In addition to the U-Net baseline
74 given in Table III, we compared the proposed DCGN with
75 previously published supervised studies (Table V). It is of
76 note that all comparisons were performed on the same test
77 data of the MoNuSeg dataset. As Table V shows, the
78 proposed DCGN has achieved a comparable average Dice
79 coefficient score compared with the fully supervised U-Net
80 based method (no significant differences were found in the
81 Precision, Recall and Dice score). DCGN has obtained
82 significantly better performance compared to other
83 unsupervised segmentation methods (Tables II and III), it,
84 however, has presented a lower AJI score compared to fully
85 supervised and semi-supervised segmentation methods
86 (Table V). This is mainly because of the adhesion of adjacent
87 cells, which could be better addressed using supervised or
88 semi-supervised methods.

TABLE V
PERFORMANCE OF SUPERVISED METHODS (MoNuSeg DATASET).

Methods	Avg F1 (Dice)	Avg AJI
DCGN	0.7432	0.3790
U-Net	0.7582	0.4357
Mask RCNN [45] *	0.7991	0.5128
Dual U-Net [46] *	0.7913	0.5899
Tian et al. [47] †,*	0.7638	0.4927
Qu et al. [48] †,*	0.7566	0.5160
CNN [49] *	0.7623	0.5083

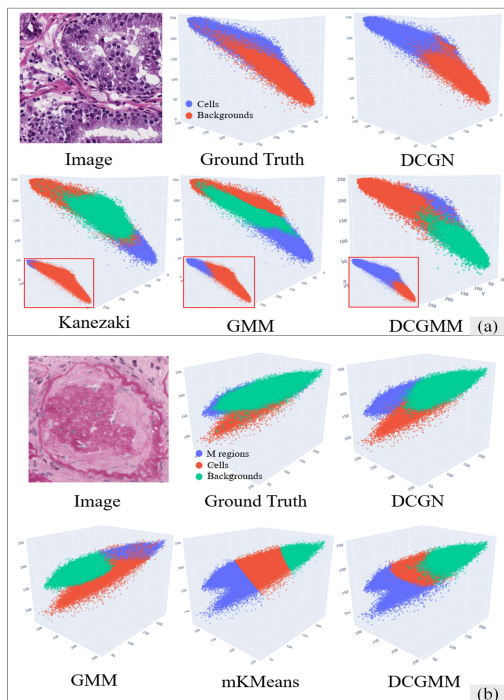


Fig. 6. Class intensity maps of the top 4 methods for (a) cell segmentation and (b) renal tissue segmentation. The three axes refer to the R, G, and B intensities, and different colours denote different classes. The vignette in red boxes in (a) indicate class intensity maps without redundant class.

31 ground truth, indicating the effectiveness of the proposed
32 centralised function.

33 **Redundant Class.** Experimental results have indicated that
34 most unsupervised segmentation methods have suffered from
35 the redundant class issue. As Fig. 3 shows, most of the
36 compared methods have obtained a significant performance
37 improvement for the binary segmentation task when
38 changing the number of classes from 2 to 3. The reason
39 behind this is that these models can be struggling to
40 distinguish samples with small inter-class variations. While
41 the pre-defined number of classes cannot well accommodate
42 all samples, unstable performance can be observed since the
43 hard samples can be assigned with different labels at different
44 repeated experiments. For example, white background pixels
45 may be assigned as background samples in the first round of
46 training while assigned as the foreground samples in another
47 round. Therefore, these unsupervised methods require a
48 redundant class to accommodate these hard samples.
49 However, our DCGN has the capability for accurate tissue
50 segmentation without using an additional redundant class
51 that is more efficient and effective.

52 **Stability.** As shown in Fig. 3 and Table V, IIC, mKMeans,
53 DCGMM and DCGN have presented good stability in
54 repeated experiments. Similar to the conventional GMM that
55 has suffered from instability, the performance of Kim’s and
56 Kanezaki’s methods has also presented dramatic fluctuation
57 with large variances. In addition, the stability of previous
58 methods has been enhanced by introducing a redundant class
59 to accommodate hard samples. However, even though IIC,
60 mKMeans and DCGMM have presented good stability, their
61 segmentation performance has been significantly lower than
62 our DCGN.

63 **Reproducibility and Empty Class Issues.** Methods that
64 cannot be trained on large-scale studies are more likely to
65 result in poor reproducibility. For instance, conventional
66 GMM without minibatch learning can only be performed on
67 a small number of images. This leads to limited information
68 when developing generalised segmentation models.
69 Moreover, some methods (e.g., Kim’s and Kanezaki’s
70 methods) can only produce a single image during the training
71 process, leading to low reproducibility of repeated
72 experiments (i.e., obtaining the same semantic labels for the
73 same samples).

74 The empty class problem is another issue that has hindered
75 the deployment of unsupervised segmentation. For instance,
76 Kim’s, Kanezaki’s and DCGMM methods have encountered
77 empty class issues during the evaluation. This is caused by
78 the incapability of separating hard samples (i.e., delineation
79 of pixels with similar intensities but different categories). In
80 contrast, the proposed DCGN can effectively avoid the
81 empty class issue and achieve higher reproducibility in large-
82 scale training.

83 **Ablation Studies of Penalty Weights.** The influence of the
84 proposed centralised constraint is explored by setting
85 different weights λ in Eq. (6). The results of 10 repeated
86 experiments (for each λ) are shown in Table V.

87 TABLE VI

1 * indicates patch based training progress, † refers to semi-supervised
2 learning approaches.

3 Both semi-supervised approaches proposed by Tian et al.
4 [47] and Qu et al. [48] have taken prior knowledge of cell
5 central points into account, leading to competitive AJIs of
6 0.4927 and 0.5160. In addition, significant improvement in
7 average AJI has been observed using patch-based methods
8 (denote with * in Table V) compared to the raw image-based
9 learning strategy. This has indicated the importance of the
10 patch learning strategy in the tissue segmentation task (here
11 patch-based methods refer to extracting small patches from
12 original raw images in both the training and testing process).
13 Overall, it can be difficult for unsupervised segmentation
14 approaches to produce precise pixel-level predictions,
15 especially for dense and small objects.

16 **Distinguishing Samples with Small Inter-Class Variations.**

17 The capability of distinguishing small inter-class variation
18 samples determines the accuracy of the subtle tissue
19 segmentation. We have explored this capability by plotting
20 the class intensity map of the top 4 methods in cell and kidney
21 tissue segmentation, respectively. As Fig. 6 (a) shows, most
22 unsupervised methods have not been able to clearly segment
23 the background samples and require a redundant class for
24 those hard samples, while DCGN can effectively distinguish
25 background samples and foreground samples without adding
26 a redundant class. As shown in Fig. 6 (b), mKMeans method
27 presented hard boundaries due to the Euclidean distance
28 measurement, while other methods have produced smoother
29 boundaries. DCGN has presented the most similar class
30 intensity maps compared to the ones generated from the

ABLATION STUDIES OF CONSTRAINED WEIGHTS

λ	Dice	Avg Epochs
0.05	0.637±0.076 (0.740)	37
0.005	0.737±0.043 (0.743)	62
0.0005	0.734±0.005 (0.745)	89

"Avg Epochs" indicates the average number of epochs for convergence.

It can be observed that the upper bound performance of models with different λ remains similar, with 0.740, 0.743, 0.745 of $\lambda=0.05$, $\lambda=0.005$ and $\lambda=0.0005$, respectively. However, the standard deviation of the Dice score exerts significant differences. As Table VI shows, a large weight for the centralised constraint leads to faster convergence while also leading to an unstable training procedure (which may be attributed to the local optimum trapping of the module). A smaller weight requires more training epochs for convergence but has more stable training processes.

Capacity on whole slide images. It remains unclear how DCGN performs on whole slide images when predictions are made across patches (tiles). Here we tested the cell segmentation module (two classes) on a renal whole slide image. It demonstrated that our method could achieve promising performance when handling renal images with homogenous features. However, false-positive samples could be observed in some vessel regions, indicating potential research directions (e.g., enhancing the utilization of textural features) to improve the module capacity.

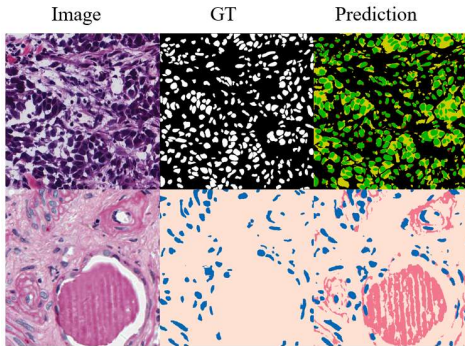


Fig. 7. Weak predictions of cells and glomerular structures.

Limitations. The essence of unsupervised learning is to allocate the same label to samples of the same class. However, it is almost impossible to acquire precise segmentation predictions without any prior knowledge or annotation. Compared with the existing studies [50, 51] of pathological image segmentation, the proposed method may not able to produce satisfactory instance segmentation results (cells are prone to adhesion), which may limit its clinical application when a single-cell analysis is necessary. Most of the unsupervised learning methods are performed based on pixel intensities without considering textual features. Although combining deep neural networks with clustering or mixture models can enhance the utilization of textual features, it still relies on pixel intensity-based objective functions to some extent. The weak predictions can be observed in the segmentation of cells (first row in Fig. 7.) and glomerular structures (second row in Fig. 7.). This is mainly because of the conflict between the hypothesized

Gaussian and real data distributions. Although the proposed DCGN may not be able to produce satisfactory predictions when handling complex images with too many categories or images with many "outliers", the DCGN has shown merits in upstream (general tasks such as foreground/background segmentation) tasks. More importantly, the proposed constraint can help the module to build better classification boundaries for classes with small inter-class variations which is a major technical contribution of our method; however, our method can alleviate the false predictions but not completely remove them.

How does DCGN alleviate degenerative issues? In order to give readers more intuition about how our method addresses the degenerative issues, we designed some schematic illustrations using simplified examples in 2D space (because real 3D cluster are intricate to demonstrate and comprehend).

First, the missing class issue usually occurs when the module fails to address the outliers, e.g., the module takes the outliers as a unique class while combing certain categories (blue and red dots) into a single class (as shown in Fig.8(a)). This kind of issue is more likely to occur in iterative methods that rely on pseudo labels, while it is also occasionally witnessed in existing deep Gaussian networks. The proposed centralised constraint will force the mixture module to be closer to the centroid of the data samples, thus preventing the occurrence of the missing class issue.

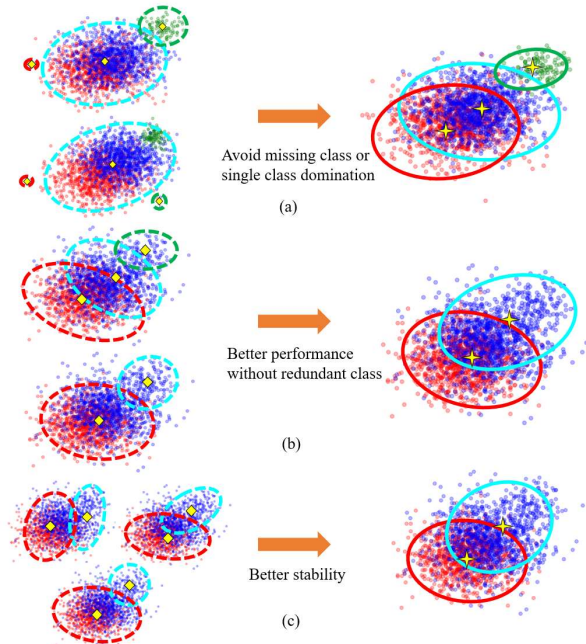


Fig. 8. Simplified examples to illustrate how the proposed centralised constraint addresses the (a) missing class and single class domination (collapse); (b) redundant class and (c) instability problems. Predictions given by methods without centralised constraint are noted with dotted circles (left column). Class centroids are shown as yellow diamonds (class centroid given by methods without centralised constraint) and yellow stars (class centroid given by the proposed method).

1 Second, the redundant class issue is usually artificial, as
2 to improve the performance of most unsupervised
3 methods. Due to the discrete distribution of a certain class
4 (e.g., background regions that contain stroma and white
5 non-tissue areas), some methods may need an additional
6 class to ‘collect’ certain samples (shown as the blue
7 samples within the green dotted circles in Fig.8 (b)). The
8 redundant class can be simply avoided by setting an
9 appropriate number of classes, however, modules without
10 centralised constraints cannot achieve good performance
11 (as shown in Fig. 3).

12 Moreover, the instability (low reproducibility) occurs
13 because of the random initialisation. The proposed
14 centralised constraint can alleviate the randomness caused
15 by initialisation since it forces the module to learn
16 parameters that approximate the data centroid (the
17 proposed method achieves the lowest variance of
18 evaluation metrics as shown in Table II.).

19 **Suggested criteria and Future Directions.** Based on
20 the findings of our study, we emphasize these in-depth
21 evaluation criteria for unsupervised segmentation
22 approaches: 1) Repeated experiments should be conducted
23 to present the stability and reproducibility of the method
24 and 2) The degenerative issues should be discussed in
25 detail to check the robustness of the method.

26 Here we also provide some potential research directions
27 for unsupervised segmentation. The proposed DCGN can
28 address essential segmentation tasks in pathological images.
29 However, there remains further exploration on how it
30 performs on other image modalities, e.g., segmenting the
31 tumour from brain magnetic resonance scans [52, 53] or
32 segmenting organs from computerised tomography images
33 [54]. In addition, the uncertainty estimation of the
34 semantic predictions for unsupervised segmentation
35 should be explored. By using those ‘confident’
36 predictions, a self-supervised paradigm may be integrated
37 with unsupervised learning to achieve superior
38 performance. Moreover, methods that can cope with
39 images with many classes still need to be developed, since
40 most unsupervised segmentation approaches can only deal
41 with relatively simple semantic predictions (e.g., learning
42 by imitation to address the unseen classes [55]). Last but not
43 least, a robust model that can better address the "outliers"
44 should be developed.

45 VI. Conclusion

46 Tissue segmentation is an essential step of computational
47 pathology; however, most existing methods demand a large
48 number of manual annotations. This study demonstrates an
49 effective unsupervised tissue segmentation using the
50 developed, innovative DCGN method. The proposed DCGN
51 method can accurately segment tissue structures without
52 using any manual annotations or prior knowledge. This could
53 potentially reduce the annotation costs in computational
54 pathology dramatically.

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